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Authors

Patterson, Noelle K
Lane, Belize A
Sandoval-Solis, Samuel
[et al.](#)

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1 A hydrologic feature detection algorithm to quantify
2 seasonal components of flow regimes

3 Noelle K. Patterson^{1*}, Belize A. Lane², Samuel Sandoval-Solis¹, Gregory B. Pasternack¹, Sarah
4 M. Yarnell³, Yexuan Qiu¹

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7 *Corresponding author

8 Email: nkpatterson@ucdavis.edu

9 1. Department of Land, Air and Water Resources, University of California, Davis

10 2. Department of Civil and Environmental Engineering, Utah State University

11 3. Center for Watershed Sciences, University of California, Davis

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13

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23

24 Highlights

- 25 • A new signal processing algorithm identifies seasonal transitions from daily flow data.
- 26 • Application to 223 unimpaired gages in California highlights algorithm performance.
- 27 • Algorithm identifies statistically distinct seasonal timing across diverse flow regimes.

28 Abstract

29 Seasonal flow transitions between wet and dry conditions are a primary control on river conditions,
30 including biogeochemical processes and aquatic life-history strategies. In regions like California with
31 highly seasonal flow patterns and immense interannual variability, a rigorous approach is needed to
32 accurately identify and quantify seasonal flow transitions from the annual flow regime. Drawing on
33 signal processing theory, this study develops a transferable approach to detect the timing of seasonal
34 flow transitions from daily streamflow time series using an iterative smoothing, feature detection, and
35 windowing methodology. The approach is shown to accurately identify and characterize seasonal flows
36 across highly variable natural flow regimes in California. A quantitative error assessment validated the
37 accuracy of the approach, finding that inaccuracies in seasonal timing identification did not exceed
38 10%, with infrequent exceptions. Results for seasonal timing were also used to highlight the
39 statistically distinct timing found across streams with varying climatic drivers in California. The
40 proposed approach improves understanding of spatial and temporal trends in hydrologic processes and
41 climate conditions across complex landscapes and informs environmental water management efforts
42 by delineating timing of seasonal flows.

44 Keywords

45 Streamflow hydrology, environmental flows, time series analysis, California

46

47

48 1. Introduction

49 Streams and rivers in semi-arid/Mediterranean climates are physically, chemically, and biologically
50 driven by predictable, seasonal periods of wet and dry conditions over an annual cycle (Gasith and
51 Resh, 1999). Seasonal flow regimes support predictable river processes such as disturbance regimes
52 (Rood et al., 2005), seasonal habitat provision (Aadland, 1993, Booker and Acreman, 2007, Jacobson,
53 2013), and native species life-history cues (Yarnell et al., 2010). While streamflow characteristics
54 including magnitude, duration, frequency, and rate of change are useful for describing components of
55 the flow regime (Poff et al., 1997), the timing of seasonal flow transitions within the annual flow
56 regime is particularly important for understanding seasonally-adapted ecological processes such as
57 migration, spawning, or vegetation recruitment (Cambray, 1991, Greet et al., 2011, Poff and
58 Zimmerman, 2010). It is critical to identify these distinct wet and dry conditions and when they occur
59 across different flow regimes to improve understanding of physical climate and watershed controls on
60 these seasonal transitions and their sensitivity to change.

61
62 Numerical descriptors of the flow regime, known as flow metrics, are routinely quantified from daily
63 streamflow time series to link streamflow patterns to river processes (Buttle, 2011, Poff and Ward,
64 1989) and biological response (Mazor et al., 2017, Olden and Poff, 2003). Existing flow metrics used
65 to identify and quantify the timing of seasonal flow transitions are limited, especially across large
66 regions and in hydrologically variable settings. These measurements of timing are often simplified by
67 calculating flow metrics within predetermined timing windows instead of identifying the occurrence of
68 seasonal transitions and key events based on annual flow patterns. The Hydroecological Integrity
69 Assessment Process (Henriksen et al., 2006) and the Indicators of Hydrologic Alteration (Richter et al.,
70 1996) incorporate timing through calculations such as monthly average flows or the date of annual
71 minimum and maximum flow. However, in variable flow regimes such as flashy rain-sourced streams,
72 the timing of seasonal flow transitions varies significantly between water years and hydroclimatic
73 settings (Lane et al., 2018). This wide inter-annual variability suggests that metrics describing a
74 particular aspect of seasonal flow, such as dry season flow magnitude, cannot be accurately quantified
75 based on the same months in each water year. Calculation of the annual maximum or minimum

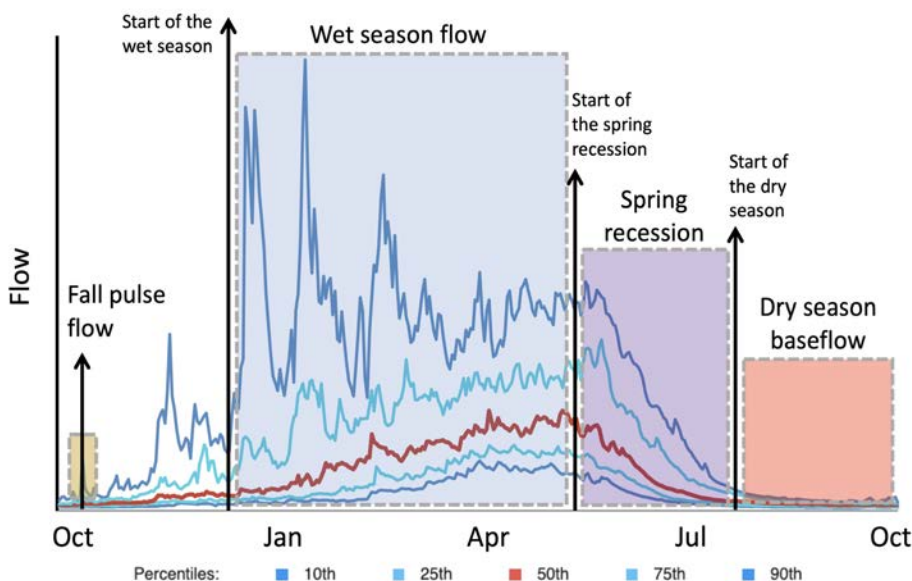
76 similarly may oversimplify understanding of seasonal flow components, because these calculations do
77 not account for annual or seasonal patterns of flow or events other than the most extreme conditions
78 (Déry et al., 2009).

79
80 To better quantify flow regimes based on variable seasonal patterns, signal processing techniques can
81 be used to identify sub-annual hydrologic patterns from daily flow time series. Signal processing
82 theory provides well-established techniques, such as data smoothing, peak detection, and time
83 windowing, that have been applied in hydrology (Kusche et al., 2009, Mann, 2004) and can be used to
84 detect features from a time series of daily streamflow data. Time series smoothing is used to enhance
85 certain frequencies (i.e., the signal) while attenuating others (i.e., the noise), and many smoothing
86 techniques are available such as moving average, exponential moving average, empirical mode
87 decomposition, regression smoothing (e.g. LOESS, Cleaveland and Loader, 1996), wavelet, and
88 splines (Janert, 2010). Smoothing functions generate fitted curves to time series data that emphasize
89 different frequency signals depending on the function and level of smoothing (Pollock, 1999). Feature
90 detection is used to extract peaks or valleys of interest from the smoothed data and can depend on
91 attributes such as magnitude or slope (Schneider, 2011, Scholkmann et al., 2012). Dynamic
92 windowing around a detected feature constrains further analysis to a particular period of interest and
93 allows for increased resolution of subsequent analysis (Palshikar, 2009).

94
95 In previous work, signal processing techniques have been applied to hydrologic time series for
96 applications such as detecting long-term trends (Letcher et al., 2001), modeling hydrologic processes
97 (Zhang et al., 2016), and predicting future trends (Adamowski and Sun, 2010, Cannas et al., 2006).
98 Common techniques such as harmonic analysis using Fourier or wavelet transform methods can be
99 effective in analyzing hydrologic time series characteristics, such as periodicity, trends, coherence and
100 cross-phase among deriving and response variables, or complexity determined by wavelet entropy
101 (Pasternack and Hinnov, 2003, Sang, 2013). Additionally, many techniques have been developed to
102 identify baseflow recession (Hall, 1968); recent attempts include identifying a consecutive number of
103 days of negative slope in the hydrograph (Bart and Hope, 2014), combining requirements of negative
104 slope with a percentile-based magnitude threshold (Sawaske and Freyberg, 2014), or automatic
105 identification of recession curves based on parameters balancing accuracy and coverage (Smith and

106 Schwartz, 2017). While some methods share similarities with components of the proposed method, to
107 the authors' knowledge there has not yet been a method developed to automatically isolate and
108 quantify all major seasonal flow transitions from annual streamflow time series.

109
110 To identify ecologically significant flow transitions from the annual hydrograph, this study applied
111 signal processing methods to identify functional flows found in the highly seasonal Mediterranean
112 streams of California, USA. Functional flows refer to sub-annual aspects of the flow regime that
113 support key ecological, geomorphic or biogeochemical processes in riverine systems (Escobar-Arias
114 and Pasternack, 2010, Yarnell et al., 2015). Yarnell et al. (2015) aggregated flow ecology literature to
115 identify four functional flow components relevant to Mediterranean streams with a distinct wet and dry
116 season: wet-season initiation flows, peak magnitude flows, spring recession flows, and dry-season low
117 flows. Building on those efforts and more recent work highlighting key functional flows specific to
118 California (Yarnell et al., 2020), this study identifies the timing of four functional flow components
119 applicable to California's natural streamflow regimes: fall pulse flow, wet season flow (encompassing
120 both wet season baseflow and peak flow conditions), spring recession, and dry season baseflow (Fig.
121 1). Once the timings of functional flow transitions are identified from the annual hydrograph, each
122 functional flow component can be further quantified using additional flow metrics such as magnitude,
123 timing, frequency, duration, or rate of change, and can be used to design functional flow regimes in
124 managed river systems (Yarnell et al., 2020).



125

126 Fig. 1. Identification of the start timing of four functional flows identified for California (Yarnell et al.,
127 2020) using the proposed signal processing algorithm. The timing of flow transitions identified by the
128 algorithm are marked with arrows. Hydrographs indicate the 10th, 25th, 50th, 75th, and 90th
129 percentiles of flow in a mixed rain-snow river system (modified from Yarnell et al., 2020). A water
130 year in California is defined as October 1 to September 30.

131
132 Drawing on signal processing theory, this study develops an algorithm in the open-source Python
133 programming language to calculate the timing of seasonal flow transitions from daily flow time series,
134 allowing for improved characterization of seasonal flows. This research addresses the following
135 questions: (1) is it possible to automatically identify timing of seasonal streamflow components from
136 annual hydrographs, and if so what is the level of error?; and (2) does the timing of seasonal flow
137 components calculated through this study reveal distinctions among streams with varying climatic
138 drivers? Using data from the highly seasonal streams of California as a testbed, this study assesses
139 the accuracy and limitations of the algorithm for quantifying functional flows across a wide range of
140 natural flow regimes and climate conditions, including flow regimes exhibiting snowmelt, rain, or
141 mixed rain and snowmelt signatures. To further achieve confidence in the results, algorithm outputs
142 are analyzed in the context of California hydrology and tested for the extent that results align with
143 expectations for regional hydrologic regimes.

144

145 2. Methods

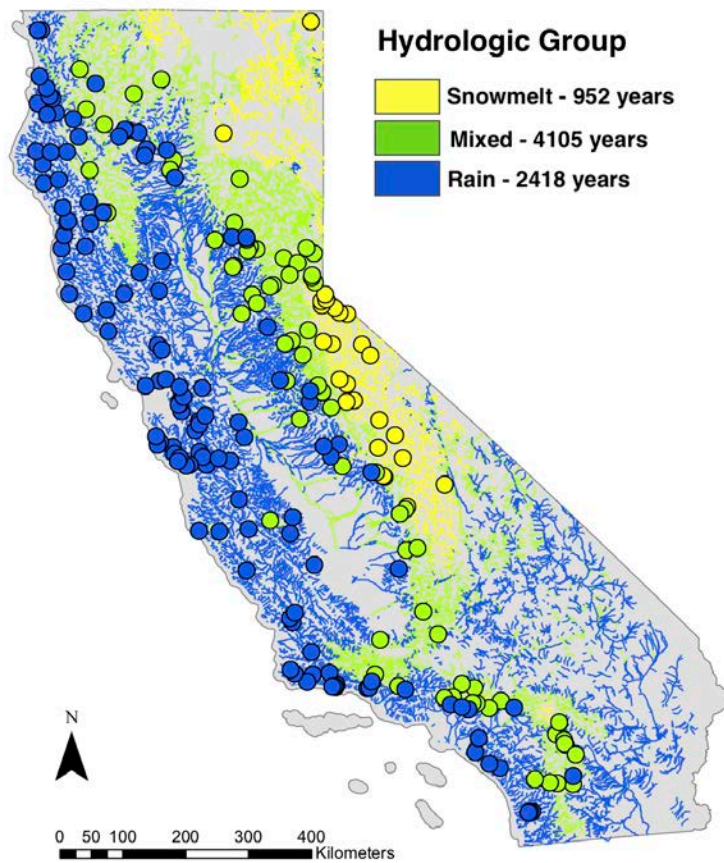
146 The study design describes development, calibration, and performance assessment of the algorithm
147 for detecting the timing of functional flow transitions from daily streamflow time series, with algorithm
148 steps summarized in Fig. 4.

149 2.1. Study region

150 California has a Mediterranean climate with pronounced wet and dry seasons, as well as high
151 interannual variability and spatial heterogeneity (Dettinger et al., 2011, Liu et al., 2018). Much of this
152 variability stems from California's wide latitudinal extent (800 km) and physiographic diversity, with

153 multiple mountain ranges and valleys of different sizes, shapes, and relief (Abatzoglou et al.,
154 2009, LaDochy et al., 2007). California rainfall is characterized by the capability of a limited number of
155 high intensity storm events to contribute to the majority of annual precipitation; Dettinger et al.
156 (2011) found that 20–50% of California’s long-term rainfall average derives from these high
157 precipitation storm events. California’s rivers and streams reflect the state’s climatic and
158 physiographic diversity, ranging from small, intermittent streams in the southwest deserts to larger
159 snowmelt-fed rivers draining the western slopes of the Sierra Nevada mountain range (Lane et al.,
160 2018, Mount, 1995).

161
162 For this study, nine natural hydrologic classes previously identified for California by Lane et al.
163 (2018) were aggregated into three dominant stream types recognized throughout the state (Mount,
164 1995): snowmelt-, rainfall-, and mixed snowmelt and rain-sourced streams (Fig. 2). Snowmelt-
165 sourced flow regimes are largely controlled by the timing and rate of snowmelt, which are driven by
166 seasonal patterns of precipitation and temperature. Rain-sourced flow regimes are controlled by the
167 intensity of winter rainfall and characteristics of individual storm events. Mixed-source streams
168 experience both rain-driven flows in the winter and a snowmelt pulse in the spring, or they occur in
169 large drainages that receive both snowmelt and rainfall contributions from upstream.



170

171 Fig. 2. The three dominant stream types in California based on aggregated natural hydrologic classes

172 developed by Lane et al. (2018): snowmelt (yellow), mixed snow and rain (green), and rain (blue).

173 Reference streamflow gages used in this study are shown as circles, and the number of total water

174 years of data in each stream type are shown. (For interpretation of the references to colour in this

175 figure legend, the reader is referred to the web version of this article.)

176

177 2.2. Data

178 Streamflow data used for this analysis come from 223 gage stations with unimpaired or naturalized
179 daily streamflow records in California (refer to Kennard et al., 2010 for definitions of unimpaired and
180 naturalized streamflow) (Fig. 2). Unimpaired gage data was sourced from the dataset compiled
181 by Zimmerman et al. (2017), who followed a 3-step protocol to obtain unimpaired daily streamflow.
182 Their process designated gage stations as unimpaired based on: (1) designation as a “least disturbed”
183 site from a U.S. Geological Survey database of watershed attributes (Falcone et al., 2010), (2) status
184 of unimpairment based on annual gage station reports and appearance of natural conditions from
185 satellite imagery, and (3) historical flow records that pre-date anthropogenic disturbance such as
186 dams and urbanization. Seven gages with simulated unimpaired (i.e., naturalized) daily streamflow
187 data were also added to the dataset to cover the Central Valley region of California (CDWR, 2007),
188 which was otherwise poorly represented by unimpaired gage stations. A final screening of the annual
189 hydrographs of the resulting dataset was performed, and several gages were removed from the
190 analysis that had flow patterns appearing irregular, impaired, or too low to exhibit seasonal patterns.
191 The resulting dataset of 223 reference gages includes periods of record as early as 1891 and as recent
192 as 2015, with an average period of record of 34 years and a range of 6 to 65 years.

193 2.3. Seasonal flow detection algorithm development

194 The following sections provide the theory and rationale for the Seasonal Flow Detection Algorithm
195 (SFDA), explain the signal processing methods applied, and describe individual calculation steps.
196 Additional description of signal processing methods is described in the Supplemental Materials.

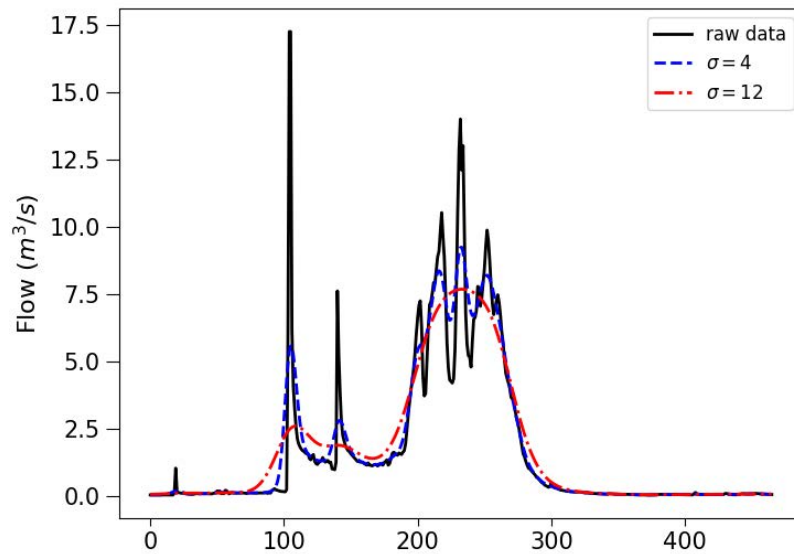
197 2.3.1. Data smoothing

198 Data smoothing is a type of filtering in which low-frequency components are retained while high-
199 frequency components are attenuated, enabling detection of features of interest at different
200 frequencies or time-scales (Press and Teukolsky, 1990). Common finite-difference smoothing
201 techniques include simple running averages, weighted moving averages, and exponential filters

202 (Janert, 2010). In this study, a Gaussian weighted moving average filter was used to generate a
203 smoothed time series using the function `gaussian_filter1d` from the SciPy Image Processing package
204 (Verveer, 2003) in Python. This smoothing method was selected for its ability to retain local maxima
205 in the output function, while avoiding abrupt distortions in the filtered data. The Gaussian filter sets
206 the weighting factors of the smoothing window w_j according to a Gaussian normal distribution
207

$$f(x, \sigma) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{1}{2}\left(\frac{x}{\sigma}\right)^2\right) \quad [1]$$

210 such that any new streamflow observation that enters the smoothing window is only gradually added
211 to the moving average and then gradually removed. The standard deviation of the Gaussian function
212 (σ) dictates the width of the distribution and consequently the degree of smoothing applied. In this
213 study, low and high levels of streamflow data smoothing were associated with $\sigma < 5$ and $\sigma > 8$,
214 respectively. For example, a daily streamflow time series smoothed with a high standard deviation
215 Gaussian filter ($\sigma = 12$, Fig. 3) will dampen daily to weekly hydrologic variability while preserving
216 major seasonal patterns. Alternatively, a low standard deviation Gaussian filter ($\sigma = 4$, Fig. 3) will
217 preserve storm events occurring on weekly scales. High levels of smoothing are often applied first in
218 the algorithm to identify coarse resolution temporal patterns such as the distinction between the
219 annual wet and dry season, while removing the signal noise caused by individual storm events.
220 Increasingly lower levels of smoothing are then applied to identify hydrologic features on finer
221 temporal scales.



222

223 Fig. 3. Daily streamflow time series (black) plotted for one water year (Oct. 1–Sept. 30) with two
 224 levels of filters using Gaussian weighted moving averages with different σ parameter values.

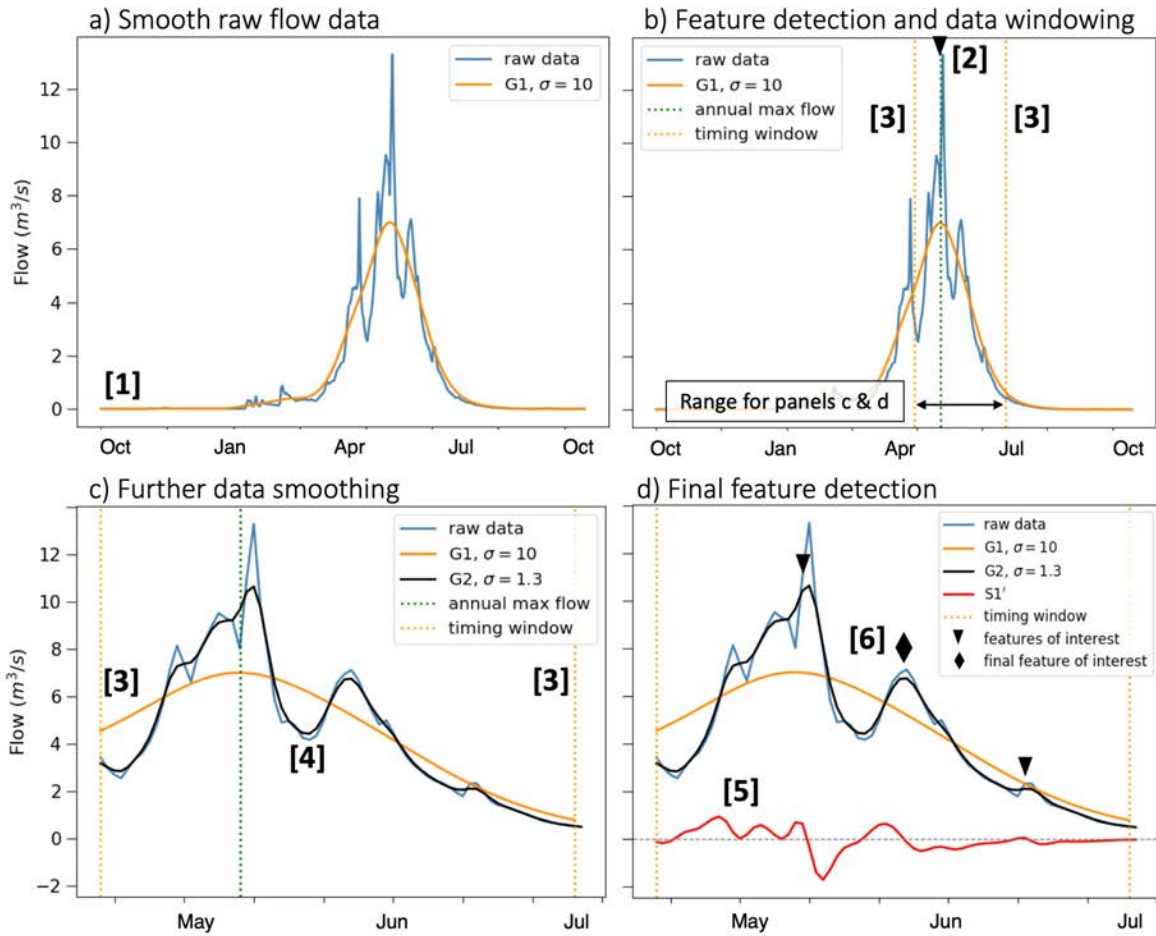
225

226 2.3.2 Splines

227 Splines are functions constructed from segments of polynomials between each time series observation
 228 that are constrained to be smooth at the junctions (Letcher et al., 2001). Splines, which are used in
 229 the SFDA for derivative estimation of smoothed streamflow, have been shown to generate nearly
 230 optimal derivative estimates of noisy data such as streamflow time series due to low interpolation
 231 error (Craven and Wahba, 1979, Ragozin, 1983, Thomas et al., 2015). The SFDA employs a cubic
 232 spline function (three degrees of freedom) for derivative estimates, which is generally considered an
 233 optimal interpolation function for large time series (Carter and Signorino, 2010, Kimball,
 234 1976, Wahba, 1978). For further explanation on spline fitting, refer to Hastie and Tibshirani (1990). In
 235 this study, derivative estimation using a cubic spline was performed on smoothed and windowed
 236 streamflow time series using the one-dimensional univariate spline fitting function available from the
 237 SciPy library in Python (Jones et al., 2001).

238 2.4. Seasonal flow detection algorithm (SFDA) general steps

239 The SFDA consists of six general steps used to detect seasonal flow transitions, although some
240 applications may require either a subset of these steps or multiple iterations (Fig. 4). Steps are
241 applied to each water year in a dataset, which in California is defined as October 1 to September 30.
242 Step 1 (Fig. 4a): A high standard deviation Gaussian filter (G1) is applied to the observed daily
243 streamflow time series to detect dominant peaks, valleys, or trends in the annual hydrograph.
244 Depending on the level of smoothing, different frequency patterns (e.g., seasonal, sub-seasonal) are
245 attenuated or left intact. Step 2 (Fig. 4b): A hydrologic feature of interest is identified from G1, such
246 as annual peak flow. Step 3 (Fig. 4b): A localized search window is set around the feature of interest
247 to constrain subsequent analysis to a hydrologically relevant period (e.g., 30 days before and after the
248 feature of interest). Step 4 (Fig. 4c): Within the search window, a low standard deviation Gaussian
249 filter (G2) is applied to the observed daily time series to extract high-resolution hydrologic patterns
250 (e.g., individual storm events). Step 5 (Fig. 4d): A spline curve is fitted to smoothed data G2, and the
251 derivative is taken to identify the slope of the hydrograph ($S1'$). Step 6 (Fig. 4d): A feature of interest
252 is characterized in one of two ways: i) directly from G2 using relevant flow characteristics (i.e.
253 magnitude), or ii) using the derivative of the spline curve ($S1'$) to detect peaks or valleys of interest
254 based on slope or sign change (triangles represent peak features of interest, and the black diamond is
255 the final selected feature).
256



258

259 Fig. 4. Six general steps of the SFDA use data smoothing, windowing, and feature detection to identify
 260 seasonal flow transitions from daily streamflow data.

261

262 The SFDA steps are iterative and can be repeated multiple times to consistently and accurately
 263 identify flow transitions across water years and stream types. For example, the calculation of spring
 264 recession requires three iterations of smoothing and feature detection, while the calculation for dry
 265 season start timing only requires one iteration. The parameter values (e.g., smoothing parameter σ ,
 266 window size, or magnitude thresholds) can be adjusted to suit the needs of particular flow regimes or
 267 hydrologic features of interest. For example, in flashy rain-driven streams the start of the dry season
 268 is generally indicated by the last significant storm event of the water year, which can be found using a
 269 low standard deviation Gaussian filter that closely fits daily streamflow data. Meanwhile, the start of

270 the dry season in a snowmelt-driven stream may be better identified by the general trend of flow
271 reduction representing catchment drainage, which is best represented with a high standard deviation
272 Gaussian filter to capture broader trends.

273
274 To contextualize the parameterization process, the algorithm for the dry season start timing may be
275 considered. The dry season start timing is identified in the receding limb of the annual hydrograph
276 through a combination of relative magnitude and slope, which are determined by parameterization.
277 The start timing will be identified later in the water year, for example, if the relative magnitude
278 threshold is reduced (requiring lower magnitude) or if the slope threshold is reduced (requiring a
279 flatter slope), essentially creating more stringent hydrologic requirements. Further, the degree of
280 smoothing applied to raw daily streamflow dampens fluctuations in flow and can allow a stabilized
281 slope to be detected earlier in the water year as the level of smoothing is increased. The combinations
282 of parameters for each algorithm were determined by expert opinion of the co-authors to best achieve
283 timing of the functional flows illustrated conceptually in Fig. 1 across a diversity of hydrologic inputs,
284 and this parameterization is available as default values in the SFDA code.

285 2.5. Application of the SFDA to functional flows in California

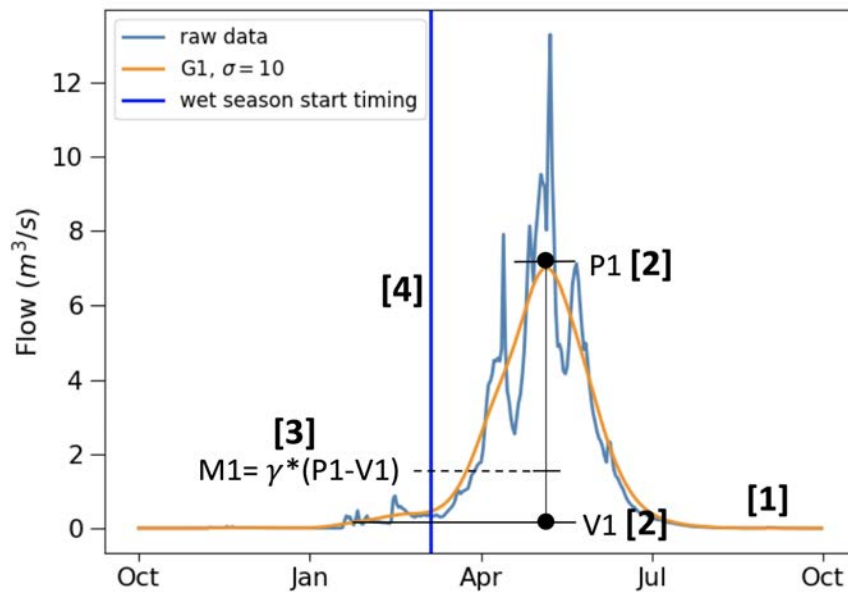
286 Four distinct applications of the SFDA were used to calculate the timing of functional flow component
287 transitions based on reference-condition California streamflow gages (Fig. 2). In these applications,
288 the SFDA steps were repeated up to three times to accurately identify functional flow transitions
289 across the variety of stream types found in California. The parameter values (e.g., smoothing
290 parameter σ or window size) were determined heuristically by the co-authors for each functional flow
291 component to achieve timing results aligning with the conceptual timing of functional flow transitions
292 illustrated in Fig. 1 and described in Yarnell et al. (2020). In the calibration process, parameters for
293 each functional flow identification algorithm were empirically and incrementally adjusted to achieve
294 hydrologically meaningful results; for example, the parameters for spring recession start timing
295 (smoothing parameter σ , window sizes, and magnitude thresholds) were adjusted so that the timing
296 would occur after wet season high flows, but before flows had receded to baseflow conditions.
297 Supplemental Materials and associated online resources provide more information about the

298 calculation of each functional flow timing metric, how to download the SFDA code, and how to modify
299 algorithm parameters to achieve desired results. To demonstrate SFDA application to a specific
300 functional flow component, the calculation of wet season start timing is described in Section 2.5.1.

301
302 The timing metrics from the SFDA can be used to calculate additional functional flow metrics
303 describing the magnitude, duration, frequency, and rate of change of flow within each functional flow
304 component (e.g., baseflow magnitude or duration of the dry season) (Yarnell et al., 2020). The full
305 suite of SFDA-based functional flow metrics can be visualized and downloaded at eFlows.ucdavis.edu,
306 a website developed to view and interact with California's natural hydrology.

307 308 **2.5.1. Functional flow calculation for wet season start timing**

309 Wet season start timing delineates the portion of the water year during which streams receive the
310 greatest inputs from storm runoff or snowmelt, and flows are elevated above dry season baseflow
311 levels (Yarnell et al., 2020). The calculation for wet season start timing is presented as an example of
312 the SFDA application to California functional flows. This calculation uses one iteration of the SFDA
313 steps (Fig. 5). Within each water year, a high standard deviation Gaussian filter ($G1$, $\sigma = 10$) is
314 applied (Fig. 5, Step 1) to detect the water year's global peak ($P1$) and preceding global valley ($V1$)
315 (Fig. 5, Step 2). A relative magnitude threshold $M1$ is then set based on the magnitude of $P1$ and $V1$
316 as an upper limit ($M1 = \gamma \cdot (P1 - V1)$, where $\gamma = 0.2$), to ensure that the wet season start timing is not
317 set after flows have already increased during the water year (Fig. 5, Step 3). A spline curve is fit to $G1$
318 so that its derivative can be used as a hydrologic requirement in the final feature detection step.
319 Finally, searching backwards in time from $P1$, the date that discharge first falls below $M1$ and below a
320 rate of change equaling ($\delta \cdot P1$, where $\delta = 0.002$) is selected as the wet season start timing (Fig. 5,
321 Step 4). The values for γ and δ were adjusted for California reference streamflow based on the co-
322 authors' expert opinions to achieve identification of the functional flows described conceptually in Fig.
323 1 and Yarnell et al. (2020).



324
 325 Fig. 5. SFDA steps to calculate the wet season start timing metric using data smoothing and feature
 326 detection based on magnitude and rate of change requirements.

327 2.6. Performance assessment

328 The calibrated SFDA was evaluated based on its ability to accurately determine the timing of functional
 329 flow transitions across all years in the California unimpaired streamflow dataset. The analyzed results
 330 consist of four flow timing metrics calculated annually for each gage (6–65 years per gage).
 331 Performance assessment included: 1) a comparison of results across stream types, 2) visual
 332 inspection of results, and 3) calculation of assessment indices to quantify issues in algorithm
 333 performance.

335 2.6.1. Comparison of functional flow timing results across stream types

336 Results were grouped by stream type (rain-, snowmelt-, or mixed rain and snowmelt-sourced) and
 337 visualized with violin plots, which use a rotated kernel density plot to depict the distribution of results.
 338 Distinct letters above the violin plots denote groups with statistically distinct mean values based on
 339 Tukey's Honestly Significant Difference statistical test with a confidence level of 95% (Abdi and
 340 Williams 2010). Groups with no statistical difference share the same letter above the violin plot.

341 Results were interpreted according to the co-authors' expert knowledge of California streamflow
342 hydrology and supported where possible with relevant region-specific literature.

343

344 **2.6.2. Visual performance assessment**

345 Visual inspection of functional flow timing results was performed as a preliminary step to inform
346 quantitative inspection (Section 2.6.3). The four annual flow timing metrics were reviewed for each
347 water year in the dataset (n = 7475 years), yielding 29,900 visual inspections. Accuracy was visually
348 assessed based on the authors' knowledge of California seasonal flow components and when they
349 were expected to occur across a range of water year types. Results that appeared incorrect were
350 tabulated, grouped according to functional flow component and stream type, and reviewed by multiple
351 experts in California hydrology from the co-author team to ensure consistency. After performing the
352 29,900 visual inspections of the four timing metrics, issues were characterized based on the bias in
353 timing (e.g., early or late timing) and the stream type in which it occurred.

354

355 **2.6.3. Quantitative analysis with assessment indices**

356 The purpose of this analysis was to quantify issues in algorithm performance observed during visual
357 assessment. The issues characterized during visual assessment were quantified using programmed
358 rules defined to identify occurrence of each issue across the dataset. For example, one rule identified
359 years in rain-sourced streams in which dry season start timing was set after August 1. This was based
360 on repeated observation that flow magnitude and slope generally decrease to baseflow levels in this
361 stream type before August 1, and dry season start timing set after August 1 was usually inaccurate.
362 The developed rules were quantified across relevant stream types and resulting values were termed
363 assessment indices. Many of the assessment indices attempt to quantify cases in which functional flow
364 timing was either earlier or later than expected for a given water year, and these issues with timing
365 were often stream type-specific. For example, seasonal timing metrics tend to occur later in the water
366 year for snowmelt-sourced streams than rain-sourced streams, so a dry season timing metric of March
367 1 could be considered anomalously early in snowmelt streams but normal in rain streams. Early or late
368 occurrence was defined either through an empirical, evidence-based cut-off point (such as Aug. 1) or
369 if possible through a relative hydrologic relationship, such as the number of high-flow events that
370 occur before or after a particular timing metric is set. Other assessment indices quantify water year

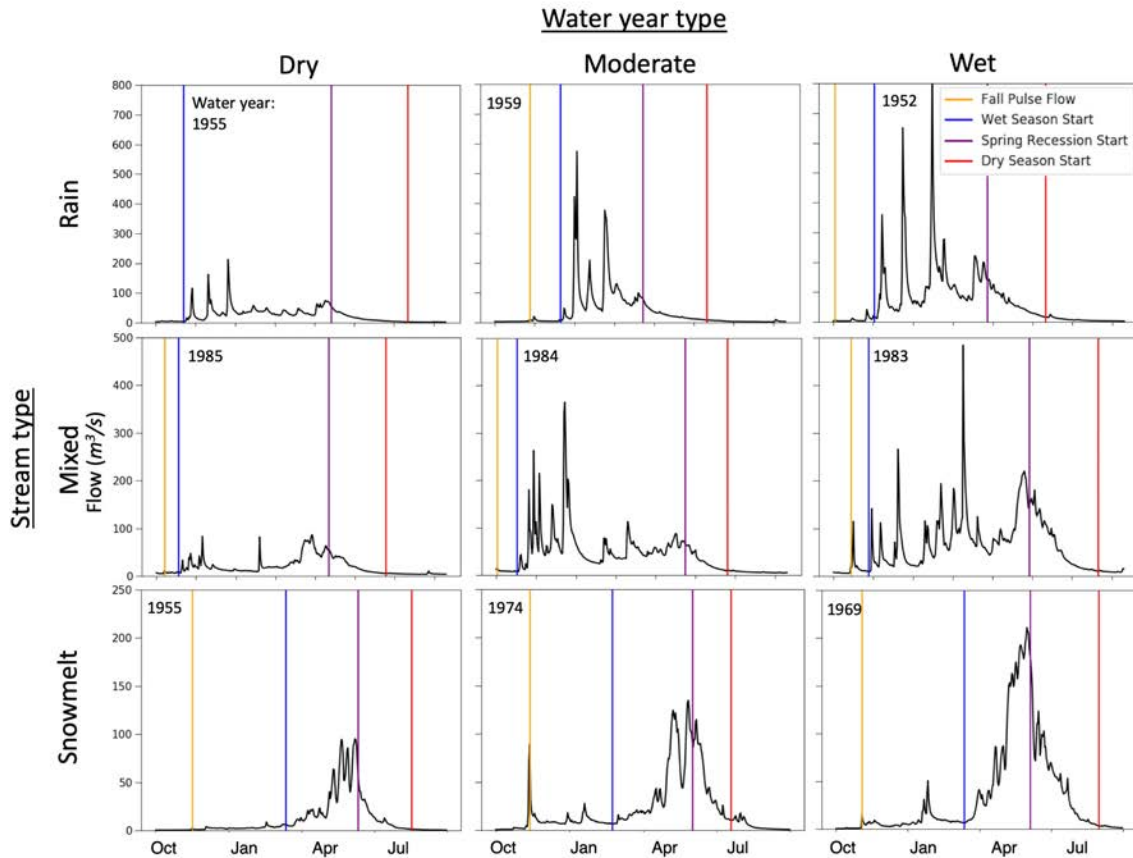
371 features that make characterization with the SFDA difficult, such as dry water years in which only one
372 or two peak flow events occur. Table 1 lists performance assessment indices used to quantify issues in
373 algorithm timing calculations, based on final results from the SFDA.

374 3. Results and discussion

375 The SFDA was found to consistently identify functional flow components across a wide range of
376 hydrologic input data, enabling quantitative differentiation across stream types based on the timing of
377 seasonal functional flows. Example SFDA timing results are presented in Fig. 6 for individual water
378 years spanning a range of stream types (rain-, mixed-, and snowmelt-sourced streams) and water
379 year types (dry, moderate, and wet years) across a variety of watersheds, illustrating the ability of the
380 SFDA to capture the timing of functional flow transitions in California across a diversity of hydrologic
381 regimes.

382

Accepted, corrected



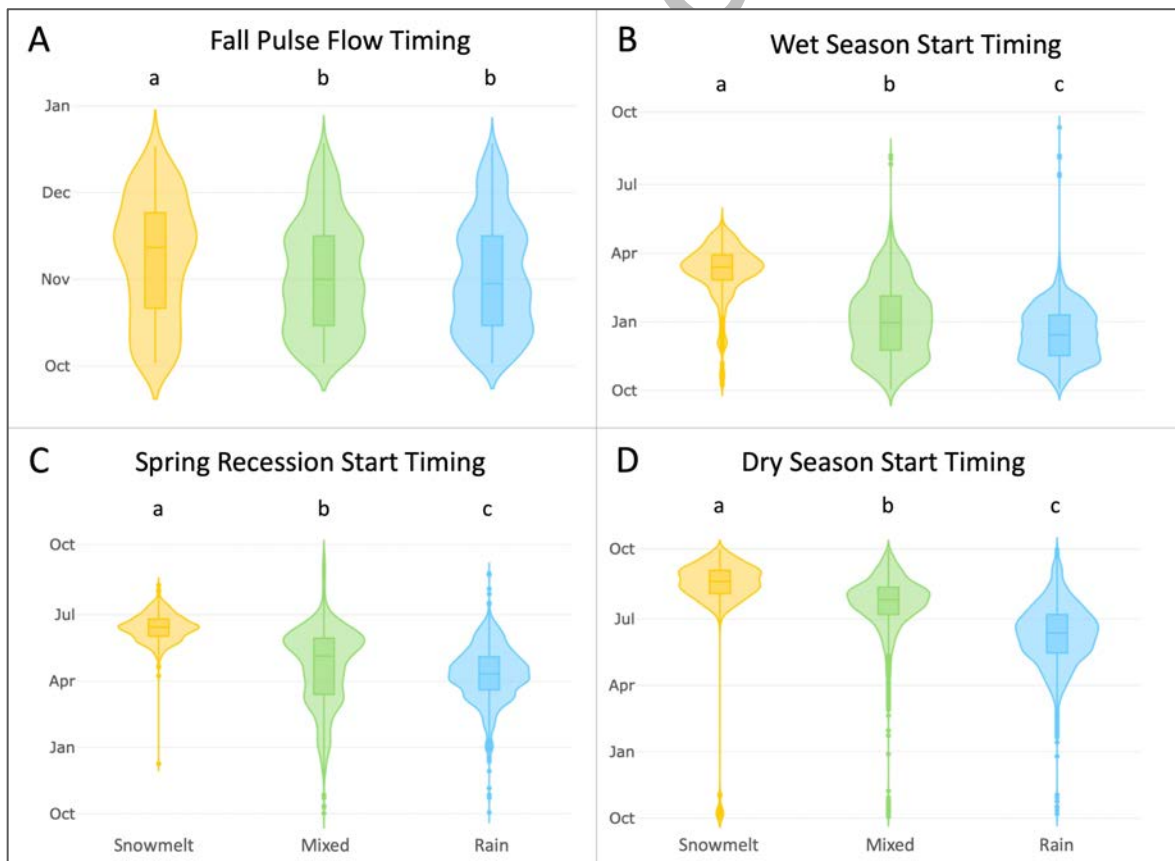
383
 384 Fig. 6. Select SFDA results for the timing of functional flow transitions across three stream types (rain,
 385 mixed rain and snow, and snowmelt) and three water year types in California (dry, moderate, and
 386 wet). Individual hydrographs are from USGS gages 11529000 (rain), 11413100 (mixed rain and
 387 snow), and 11266500 (snowmelt).

388 3.1. Comparison of results across stream types

389 3.1.1. Fall pulse flow timing

390 The timing of the fall pulse flow marks the first peak flow of the water year when magnitude surpasses
 391 baseflow in a distinct pulse. Unlike the other functional flow components, the fall pulse flow is
 392 constrained to only occur during a subset time of the water year (Oct. 1-Dec. 15) when hydrologic
 393 requirements for relative magnitude and duration are met, and it does not necessarily occur in each
 394 water year. A fall pulse flow was identified in 60–65% of water years across all stream types. Although
 395 there were significant differences in event timing ($p < 0.05$) between snowmelt streams and other

396 stream types, wide overlap exists across all stream types (Fig. 7A). This is due in part to large-scale
 397 temperature and precipitation patterns that affect California streamflow. Early in the water year (Oct.-
 398 Nov.), temperatures across the state including the Sierra Nevada mountains are often above freezing,
 399 causing precipitation to fall as rain or rapidly melting snow (Lundquist et al., 2008, Serreze et al.,
 400 1999). Additionally, atmospheric river events can cause correlated streamflow patterns across much of
 401 the state (Cayan and Peterson, 1989), which are most pronounced when all precipitation is falling as
 402 rain. Therefore, a high degree of similarity is expected in the timing of fall pulse flows across all
 403 stream types. Further reason for the limited distinction among stream classes stems from the
 404 algorithm itself, which detects events over a narrow search window of 75 days (Oct.1-Dec. 15)
 405 considered ecologically significant for California streams (Yarnell et al., 2015). The upper and lower
 406 bounds of the violin plots span nearly the entire available time window of 75 days (Fig. 7A), indicating
 407 that fall pulse flow varies widely across all stream types. These results broadly align with Ahearn et al.
 408 (2004), who state that the season of flushing flows in California typically begins in November.
 409



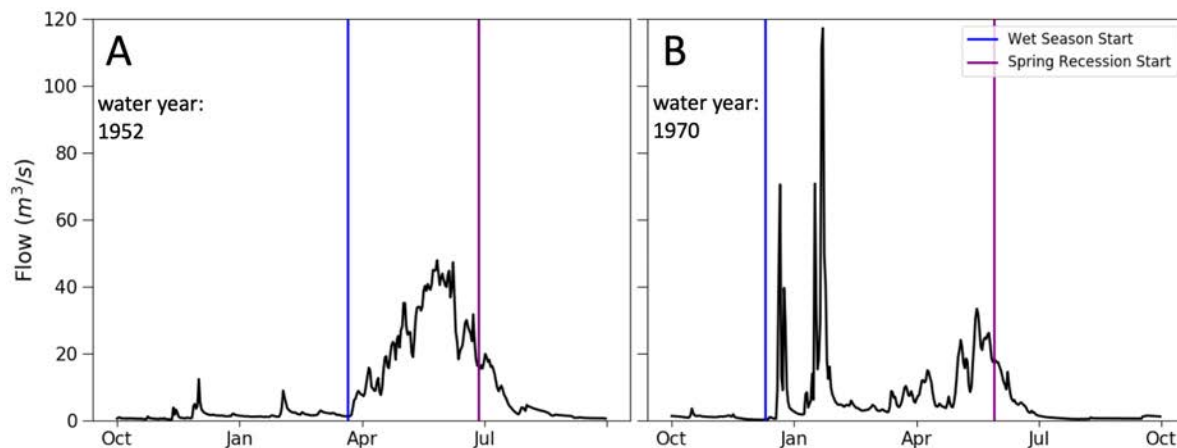
410

411 Fig. 7. Functional flow timing distributions across all stream types of California unimpaired streamflow.
412 Letters above violin plots indicate statistical significance. The y-axis spans the California water year
413 (Oct.-Sept. 31) for all components except the fall pulse flow, which is constrained from October 1-
414 December 15.

415

416 **3.1.2. Wet season start timing**

417 Wet season start timing is the date that the water year begins to experience consistently elevated
418 flows from either rainfall or snowmelt (Yarnell et al., 2020). The differences in these values were
419 statistically significant ($p < 0.05$) across the three stream types (Fig. 7B). The timing occurred three
420 to four months later in snowmelt-sourced streams (average Mar. 4) than rain-sourced streams
421 (average Dec. 12), and timing from mixed-source streams occurred across a wide range of values
422 whose mean (Dec. 30) closely resembles rain-sourced streams. These differences were expected due
423 to differing geographic and climatic drivers of wet season flow across California. In rain-sourced
424 streams, the timing of wet season flow closely reflects patterns of winter precipitation, which occurs
425 primarily during the winter months (Dec.-Feb.), although these peak flows also experience high
426 interannual variability in timing (Cayan and Peterson, 1989, Dettinger, 2011). In high elevation
427 snowmelt-sourced streams, peak flows are initiated by the snowmelt pulse as air temperatures warm
428 enough to melt snowpack in the spring. In mixed-source streams, wet season start timing may be
429 cued by either winter storms or a snowmelt pulse, resulting in a wide range of possible values driven
430 either by precipitation timing or temperature-driven snowmelt (Fig. 8). The proportion of streamflow
431 driven by rain versus snow is an important consideration in mid- and high-elevation basins, as runoff
432 is expected to shift towards more rain-driven flow with warming climate in the western United States
433 (Hamlet et al., 2005, Stewart et al., 2015, Sultana and Choi, 2018).



434
 435 Fig. 8. Hydrographs of two different water years from a mixed-source stream (USGS gage 11414000)
 436 show varying contributions of snowmelt and winter rain storms, resulting in a wide range of results for
 437 spring recession start timing and wet season start timing.

438
 439 **3.1.3. Spring recession start timing**

440 The spring recession represents the seasonal transition from wet season high flows to dry season low
 441 flows. The spring recession start timing is statistically distinct ($p < 0.05$) across the three California
 442 stream types, with timing occurring progressively later in the water year from rain-sourced to
 443 snowmelt-sourced streams (Fig. 7C). This distinction in timing is expected due to climatic influences
 444 on hydrology that shift as streams progress from lower to higher elevations and snowpack provides
 445 increasing amounts of storage that delay streamflow response to precipitation (Aguado et al., 1992).
 446 In California's highest elevations (above 2300 m), the spring recession is cued by a distinct
 447 temperature-driven snowmelt pulse. As the snowmelt influence diminishes and warming occurs earlier
 448 in lower elevation mixed-source streams (Fig. 2), the snowmelt pulse may arrive earlier or may not
 449 occur at all in dry years with very little snowpack relative to rainfall. In rain-sourced streams the
 450 spring recession is expected to occur after the last rain storm of the wet season, which tends to occur
 451 several months earlier in the year than the snowmelt pulse on average. The distribution of spring
 452 recession start timings in snowmelt-sourced streams is relatively narrow, with the majority of start
 453 dates occurring between May 23 and July 6 (average June 6), indicating predictable recession timing
 454 in snowmelt streams regardless of water year type (Yarnell et al., 2010).

455

456 The most variability in spring recession start timing occurs in mixed-source streams, which due to
457 their occurrence at mid-elevation regions are highly sensitive to changes in temperature and
458 snowpack (Lundquist et al., 2004, Stewart, 2008). Fig. 8 demonstrates how a greater snowmelt pulse
459 is associated with later spring recession timing, occurring 31 days later in water year 1952 than in
460 1970. This finding aligns with other research on streamflow in the western US, that has indicated both
461 temperature and annual flow volume are significant drivers of spring snowmelt runoff timing (Aguado
462 et al., 1992, Kormos et al., 2016). Adding to this variability, snowmelt-receiving streams in mid-
463 elevation regions of California have been subject to significant changes in the timing of snowmelt
464 recession peaks due to climate warming (Stewart, 2008). Hamlet et al. (2005) for example estimated
465 peak accumulation of snowmelt runoff in mid-elevation areas of California as occurring 15–45 days
466 earlier throughout the last century, which adds additional variation to the spring recession start timing
467 results in mixed snowmelt and rain regimes. Although rain-sourced streams also exhibit high
468 variability in spring recession timing, the average spring recession start timing across rain-sourced
469 streams (April 7) broadly aligns with the generally accepted end of the rainy season for California (Liu
470 et al., 2018).

471

472 **3.1.4. Dry season baseflow start timing**

473 The start timing of the dry season marks the beginning of the low flow, low variability portion of the
474 water year, in which the rate of recession flows has stabilized and magnitudes reach baseflow level.
475 Similar to spring recession start timing, dry season start timing is statistically distinct among the three
476 stream types ($p < 0.05$) and occurs gradually later on average from rain-sourced (June 6), to mixed-
477 source (July 16), to snowmelt-sourced streams (August 7) (Fig. 7D). The timing distribution ranges
478 more than 100 days in rain-sourced streams, which is consistent with the high inter-annual variability
479 of precipitation magnitude and timing (and consequently streamflow) exhibited in California (Dettinger
480 et al., 2011).

481

482 Despite high variability across rain-sourced streams, the average dry season start timing in these
483 streams is surprisingly consistent from small to large streams. For instance, the average dry season

484 start timing is June 8 in larger north coast streams (average annual flow 23 cms), and is similar in
 485 flashy ephemeral streams (average annual flow 0.5 cms), with an average start timing of May 27
 486 (from Lane et al., 2017). However, interannual variability in dry season start timing within a single
 487 stream can be high, suggesting that central tendencies do not represent dry season timing conditions
 488 well in rain-sourced streams.

489

490 3.2. Performance assessment indices

491 Assessment indices were created to quantify the accuracy of the SFDA for identifying the timing of
 492 functional flow transitions in California reference streamflow. Assessment indices are presented
 493 in Table 1, and the following section highlights key issues and limitations for each functional flow. The
 494 frequency of most identified issues was less than 10%, except for Snow-early-wet and Mixed-early-
 495 spring, which are explained in Table 1 and below.

496

497 Table 1. Assessment indices for SFDA timing results.

Index name	Stream type	Issue	Assessment index calculation	Frequency
Fall-day1	All types	Fall pulse flow timing can occur on the very first day of the water year (Oct. 1), when it is difficult to determine from an annual hydrograph if the set date represents an actual peak or if it is capturing a recessing flow carried over from the previous water year.	Percentage of years in which the fall pulse timing is on day one of the water year (Oct. 1).	1%
Wet-season	All types	Occasionally the requirements for wet season start timing are not met so the metrics are not calculated.	Percentage of years in which spring recession or dry season start timing are calculated, but wet season start timing is not calculated.	2%
Spring-dry-gap	All types	A lag between spring recession and dry season start timing of more than five months indicates an anomaly within the water year, such as early spring recession or late dry season start timing, or a year in which the component timings were based off of a very limited number of storms.	Percentage of years in which the number of days between spring recession and dry season start timing is greater than 150 days (five months).	5%
Snow-late-spring	Snowmelt	Spring recession start timing can be calculated late into the recession period such that it occurs at the end of the snowmelt pulse instead of the beginning. Dry season start timing consequently occurs very soon after the spring recession timing.	Percentage of years in which spring recession start timing and dry season start timing occur within 21 days of each other.	1%
Snow-early-wet	Snowmelt	Wet season start timing in snowmelt streams can be triggered by large rainstorm	Percentage of years in which wet season start	25%

		flows early in the climatic wet season (Nov.-Jan.), and other years it is triggered by the snowmelt pulse (Apr.-May). This results in a wide range of start timing in the snowmelt stream type, triggered by differing hydrologic cues. Identification of timing before February 1 approximates how often wet season start timing is triggered by rainstorms instead of snowmelt.	timing occurs before February 1.	
Mixed-spring-wet/Rain-spring-wet	Mixed-source and Rain	In especially dry years, the annual hydrograph can be defined by a single large, brief storm event. This may cause wet season and spring recession start timing to be set based on a single storm such that they occur in close proximity.	Percentage of years in which wet season and spring recession start timing occur within 30 days of each other.	Mixed-spring-wet: 4%/Rain-spring-wet: 4%
Mixed-early-spring/Rain-early-spring	Mixed-source and Rain	Spring recession start timing can occur before the end of wet season occurrence. This most commonly occurs in hydrographs without a strong snowmelt presence.	Percentage of years in which any high flows (>5th percentile) occur after that year's spring recession start date.	Mixed-early-spring: 21%/Rain-early-spring: 5%
Mixed-late-spring	Mixed-source	Dry season start timing can occur immediately after spring recession start timing, with a small gap of time between. This often occurs when the spring recession is identified too late into the period of receding high flows.	Percentage of years in which spring recession and dry season start timing occur within 21 days of each other.	1%
Rain-late-wet	Rain	Wet season start timing can occur late after the first high flows of the wet season.	Percentage of years in which any high flows (>5th percentile) occur before that year's wet season start date.	8%
Rain-late-dry	Rain	Dry season start timing can occur late into the dry season in rain-sourced streams, well after flows have already receded. This is usually the case when dry season start timing is set in August or later, based on repeated visual inspection.	Percentage of years in which dry season start timing occurs later than August 1.	10%

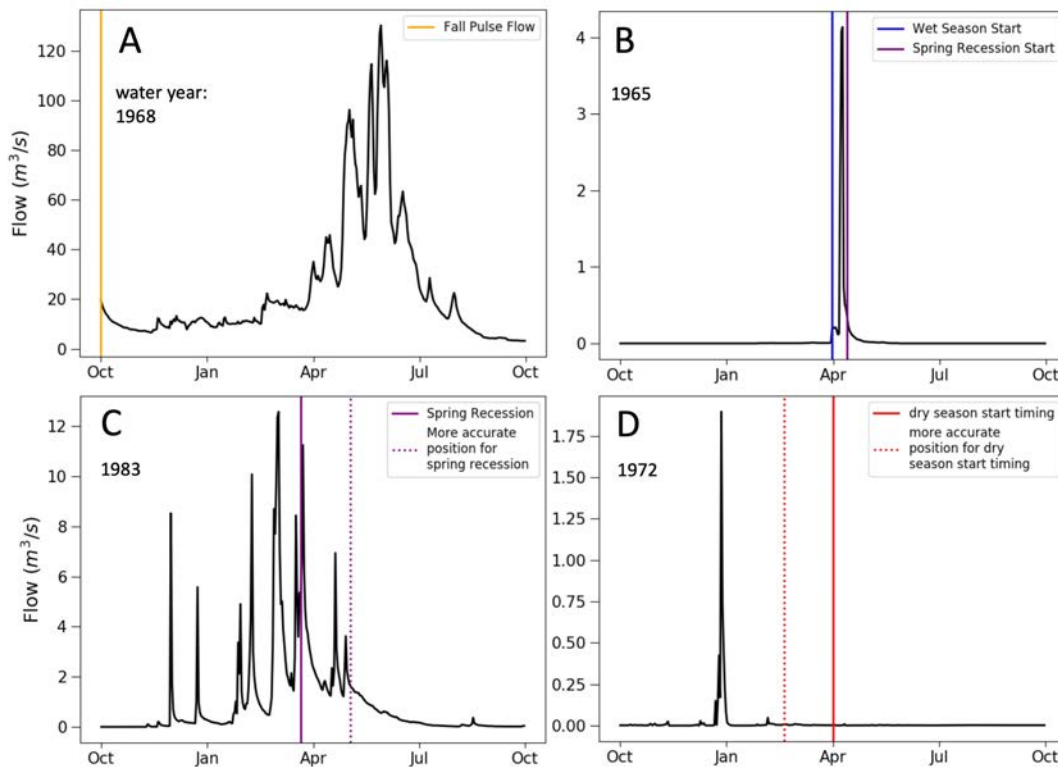
498

499 The methods presented here to identify hydrologic features and determine error differ from previous
500 hydrologic studies, which can often take advantage of validated training sets to determine accuracy
501 (Cannas et al., 2006, Letcher et al., 2001, Smith and Schwartz, 2017). The heuristic methods used in
502 this research are similar to other approaches that require some subjectivity for parameterization of
503 peak detection (Palshikar, 2009), and qualitative visual assessment methods are similar to approaches
504 used to validate climate patterns in climate modeling studies that pair qualitative and quantitative
505 model assessment (Gyalistras et al., 1994, Paul and Hsu, 2012). Performance assessment based on
506 validation of known hydrologic conditions employed in this study is similar to the approach of Déry et
507 al. (2009), who assessed a new method of spring recession identification across different river types in
508 their study region. The proposed methods, although subjective in the choice of parametrization,
509 present a consistent and repeatable way to identify functional flow components, advancing previous
510 methods of quantifying seasonal streamflow patterns.

511

512 3.2.1. Issues in SFDA performance

513 Fig. 9 presents common issues in the SFDA for each functional flow component, which were often
514 attributed to uncommon hydrologic patterns or effects from smoothing filters that occasionally have
515 the undesired effect of over-dampening storm peaks while detecting broad hydrologic trends. In some
516 water years, the first day of the water year (Oct.1) was identified as the date of the fall pulse flow,
517 which presents ambiguity as to whether the first day of the water year is an actual peak event or is
518 instead part of a continual decline from a peak in the previous water year (Fig. 9A). This situation
519 occurs most often in naturalized gage data, with a 3.5% occurrence rate across all naturalized water
520 years and an average occurrence rate of 1% across the entire dataset (Table 1, index WSI-day1).
521



522

523 Fig. 9. Examples in which timing metrics are affected by uncommon hydrologic patterns (A and B) or

524 are identified earlier or later than expected given expert understanding (C and D). Panels C and D

525 illustrate the algorithm results compared to proposed improvements based on the co-authors'

526 understanding of California hydrology. Hydrographs from USGS gages 11213500 (A), 11046300 (B),
527 11033000 (C), and 11120520 (D).

528

529 Both mixed- and rain-sourced streams experienced some water years in which a single large high flow
530 event dominated the annual hydrograph such that start timings of wet season and spring recession
531 were based on the same peak flow (Fig. 9B). This occurred in 4% of mixed-source streams and 4% of
532 rain-sourced streams (Table 1, indices Mixed-spring-wet/Rain-spring-wet) and could result in
533 anomalous functional flow metrics based on these rare hydrologic conditions. In mixed-source
534 streams, early identification of spring recession start timing was found with a frequency of 21% (Table
535 1, index Mixed-early-spring), sometimes due to the effect of over-dampening rainstorm peaks with
536 smoothing filters when attempting to detect broad hydrologic trends (Fig. 9C). Conversely, spring
537 recession start timing occurred late in 10% of snowmelt stream water years, when the algorithm was
538 triggered by small peaks along the recession limb instead of the main snowmelt pulse (Table 1, index
539 Snow-early-spring). The algorithm for dry season start timing assesses the change in magnitude and
540 slope along the recession limb, so dry water years with very little change in these features are more
541 likely to have issues with component detection. This was often the case when dry season start timing
542 was identified late in the water year (Fig. 9D), which occurred in 10% of rain-sourced water years
543 (Table 1, index Rain-late-dry). These issues are expected to improve when SFDA parameters are
544 calibrated for smaller regions of streamflow data, instead of applying the same set of parameters
545 across a wide array of input data, as was done in this statewide case study.

546

547 4. Conclusions

548 This study developed an objective signal processing algorithm to address the need for a robust
549 method to characterize the timing of seasonal flow transitions from daily streamflow time series. The
550 Seasonal Flow Detection Algorithm (SFDA) improved on existing methods that rely on fixed time steps
551 through the novel application of established signal processing techniques to identify the timing of
552 seasonal flow transitions. The application to California streams demonstrated the ability of this
553 approach to identify the timing of functional flow components from unimpaired daily streamflow time
554 series across a wide range of climatic and geographic settings and extreme seasonal and interannual
555 hydrologic variability. Results highlight hydrologic distinctions among varying drivers of streamflow,
556 such as progressively later timing of spring recession flow as streams shift from rainfall-sourced to
557 snowmelt-sourced flow regimes. Limitations of the approach were determined through a combination
558 of visual expert-based assessment and quantitative performance assessment. In general, the
559 percentage error in timing calculations did not exceed 10% across relevant water years for any
560 assessment index, with infrequent exceptions. In a parallel effort, functional flow metrics produced by
561 the SFDA for California reference gages are being extrapolated to ungaged streams to inform
562 statewide environmental flow recommendations. Likewise, the SFDA has potential to be applied to
563 other regions or countries sharing highly seasonal climates similar to California, by adjusting algorithm
564 parameters to suit local hydrology. For instance, the SFDA metrics could be applied to assess shifts in
565 streamflow due to climate change, with particular focus on potential changes in timing of seasonal
566 flows. The proposed approach supports improved understanding of high-resolution spatial and
567 temporal trends in hydrologic processes and climate conditions across complex landscapes and can
568 inform environmental water management efforts.

569

570 CRedit authorship contribution statement

571 **Noelle K. Patterson:** Conceptualization, Software, Formal analysis, Methodology, Validation,
572 Visualization, Writing - original draft, Writing - review & editing. **Belize A. Lane:** Conceptualization,
573 Methodology, Supervision, Writing - original draft, Writing - review & editing. **Samuel Sandoval-**
574 **Solis:** Conceptualization, Methodology, Supervision, Writing - review & editing. **Gregory B.**

575 **Pasternack:** Conceptualization, Writing - review & editing. **Sarah M. Yarnell:** Conceptualization,
576 Writing - review & editing. **Yexuan Qiu:** Software.

577 Declaration of Competing Interest

578 The authors declare that they have no known competing financial interests or personal relationships
579 that could have appeared to influence the work reported in this paper.

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