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1 ***In utero* and early-life exposure to thirdhand smoke causes profound changes to the**  
2 **immune system**

3

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25

26 **Abstract**

27 Acute lymphoblastic leukemia (ALL) is the most common cancer in children.  
28 Thirdhand smoke (THS) is the residual tobacco contamination that remains after the smoke  
29 clears. We investigated the effects of THS exposure *in utero* and during early life in a  
30 transgenic *Cdkn2a* knockout mouse model that is vulnerable to the development of  
31 leukemia/lymphoma. Female mice, and their offspring, were exposed from the first day of  
32 pregnancy to weaning. Plasma cytokines, body weight and hematologic parameters were  
33 measured in the offspring. To investigate THS exposure effects on the development of  
34 leukemia/lymphoma, bone marrow was collected from control and THS-exposed mice and  
35 transplanted into bone-marrow-ablated recipient mice, which were followed for tumor  
36 development for one year. We found that *in utero* and early life THS exposure caused  
37 significant changes in plasma cytokine concentrations and in immune cell populations;  
38 changes appeared more pronounced in male mice. Spleen and bone marrow B-cell  
39 populations were significantly lower in THS-exposed mice. We furthermore observed that  
40 THS exposure increased the leukemia/lymphoma-free survival in bone marrow  
41 transplantation recipient mice, potentially caused by THS-induced B cell toxicity. A trend  
42 towards increased solid tumors in irradiated mice reconstituted with THS exposed bone  
43 marrow stimulates the hypothesis that the immunosuppressive effects of *in utero* and early-  
44 life THS exposure might contribute to carcinogenesis by lowering the host defense to other  
45 toxic exposures. Our study adds to expanding evidence that THS exposure alters the immune  
46 system and that *in utero* and early life developmental periods represent vulnerable windows  
47 of susceptibility for these effects.

48

49 **Keywords:** thirdhand smoke, leukemia, lymphoma, immune system

50

51 **Introduction**

52 Acute lymphoblastic leukemia (ALL) is the most common type of childhood  
53 malignancy, with more than 50,000 children diagnosed worldwide yearly, and 80% of these  
54 leukemias are B-lymphoblastic. In the U.S. this disease has been increasing about 1% per  
55 year for decades [1]. Although survival with childhood ALL has improved considerably in  
56 the last two decades due to more effective treatments, survivors face a life long battle with  
57 various medical conditions (e.g. hormonal, cardiovascular, and pulmonary abnormalities,  
58 osteoporosis, secondary cancer) and neuropsychological problems (e.g. neurocognitive  
59 impairment, anxiety, depression, post-traumatic distress) as a result of treatments[2, 3]. Thus,  
60 deciphering the etiology of pediatric leukemia remains an important goal.

61 The development of childhood ALL involves genetic and epigenetic processes, but  
62 the connection between specific environmental exposures and acquired tumor genetic and  
63 epigenetic changes in leukemia cells is inherently difficult to study in human populations.  
64 Incidence of childhood leukemia has steadily increased in the last half century, particularly  
65 among Latinos[1, 4]. This increase is mainly accounted for by one leukemia subtype –  
66 common CD10+, CD19+ childhood pre-B cell ALL. The causes for this increase have been  
67 hypothesized to include exposures to chemicals (e.g. tobacco smoke, pesticides), dietary  
68 factors, fetal growth rates, and patterns of infection [5, 6]. Here, for the first time, we  
69 assessed the effect of exposure to “thirdhand smoke” (THS), the residue left on surfaces after  
70 smoking, on the development of ALL.

71 Approximately 1.1 billion people are current smokers worldwide and this figure is  
72 expected to rise to over 1.6 billion by the year 2025 [7]. In many places, smoke-free laws ban  
73 smoking in public places; however, many children continue to be exposed to environmental  
74 tobacco smoke at home. Most studies on health effects of tobacco exposure in young children  
75 concentrate on passive smoking such as “secondhand smoke” (SHS), which is the aerosol

76 present while smoking is taking place. Exposure to SHS by non-smokers, primarily through  
77 inhalation, affects immune cell numbers, levels of cytokines and is associated with an  
78 increased risk of respiratory tract infections and cancer [8]. In recent years, attention has been  
79 brought to potential adverse health effects of pollutants that remain on surfaces and in dust  
80 after tobacco has been smoked (referred to as THS). These pollutants can be re-emitted into  
81 the gas-phase, or react with other compounds in the environment to form secondary  
82 pollutants [9-11]. Evidence supports the widespread presence of THS in indoor  
83 environments, including in the U.S. [12, 13]. THS poses a potential health risk for children  
84 who tend to spend more time indoors than adults and have age-specific behaviors that bring  
85 them in closer contact with surfaces and dust. Moreover, their higher respiration rate relative  
86 to body size, larger exposed surface area to volume ratio, thinner skin, less mature  
87 immunologic systems, and lower metabolic capacity could lead to increased THS exposure  
88 levels in children compared to adults. Similar to SHS, THS exposed mice showed alterations  
89 in cytokine levels and immune cell numbers [14-16]. These prior studies focused on early-life  
90 exposure windows leaving the effects of THS exposure during the perinatal period unknown.

91 Abnormalities in *Cdkn2a* are observed in approximately one third of childhood ALL  
92 [17]. In this study we used our established *Cdkn2a* mouse model of childhood ALL[18] to  
93 investigate THS exposure effects during pregnancy and early life on the immune system of  
94 these leukemia-predisposed animals.

95

## 96 **Material and Methods**

### 97 **Mice exposed to thirdhand smoke (THS)**

98 All animal experimental protocols were approved by the University of California at San  
99 Francisco, Institutional Animal Care and Use Committee (UCSF-IACUC). The animal  
100 experiments were all performed in a specific pathogen free facility at the University of

101 California, San Francisco (UCSF) and carried out in accordance with the Guide for the Care  
102 and Use of Laboratory Animals of the National Institutes of Health. B-cell lymphoma  
103 development was previously described in *Cdkn2a* null mice [19], including the mice used in  
104 the present study [18]. In brief, our mice were derived from FVB/N *Cdkn2<sup>atm2Brn</sup>* mice (MGI:  
105 2384163) containing a floxed allele of *Cdkn2a* crossed with FVB/n-Tg(EIIa-  
106 cre)<sup>C5379mgd/l</sup> mice (MGI:2137691) to generate *Cdkn2a* null animals on a pure FVB/N  
107 background. Terry cloth substrates were used as surrogates for indoor surfaces, onto which  
108 fresh SHS gases could adsorb and SHS particles deposit as previously described [20]. Briefly,  
109 clean 100% cotton terrycloth samples were repeatedly exposed to SHS in a 6-m<sup>3</sup> stainless  
110 steel chamber for a total of 234 hrs over 1,019 days. A total of 2795 mg of total particulate  
111 material was introduced into the steel chamber, which is equivalent to the smoke from 200-  
112 350 cigarettes over 2 years and 9 months. If all THS mass deposited on the surfaces of the  
113 exposure chamber, the maximum loading of THS on each gram of cotton cloth would be 238  
114 µg. The THS cloth was removed from the smoke, vacuum-packed in Mylar film and stored at  
115 -20°C until use. THS compounds in terry cloth substrates were analyzed following the  
116 procedures described in our previous study and the same batch of cloth was used in this  
117 study[20]. Briefly, 0.85 g THS-laden and unexposed (control) cotton cloth samples were  
118 immersed in 10 ml Dulbecco's Modified Eagle's Medium (DMEM). Twelve targeted THS  
119 compounds were analyzed using liquid chromatography-tandem mass spectrometry (LC-  
120 MS/MS). Nicotine was detected at 30,600 ng/g in THS cloth compared to 14.9 ng/g in  
121 control cloth. Other THS constituents detected in THS cloth, but not control cloth included  
122 myosamine (2440 ng/g), N-formylnornicotine (998 ng/g) and cotinine (486 ng/g) were  
123 detected in THS cloth, but not in control cloth. The levels of polycyclic aromatic  
124 hydrocarbons were measured by gas chromatography coupled with mass spectrometry  
125 (GC/MS, Varian 4000, CA) after 2.5 x 5 cm specimens of the THS-laden and unexposed

126 (control) cotton cloth samples were extracted with dichloromethane (DCM). Naphthalene (27  
127 ng/g), 2-methyl naphthalene (27 ng/g) and pyrene (24 ng/g) were most abundant among the  
128 twelve detected PAHs in THS-laden cloth samples. PAH levels in control cloth were all  
129 below the level of quantitation.

130 The pregnant female *Cdkn2a*<sup>-/-</sup> mice (FVB/N strain) were divided into two groups:  
131 control group (30 mice) and experimental group (32 mice), one female mouse per cage. The  
132 experimental group was exposed to one THS-exposed terry cloth swatch (5x5cm<sup>2</sup>) and the  
133 control group was exposed to one sham cloth (5x5cm<sup>2</sup>) from the first day of pregnancy till  
134 the pups were weaned. THS-exposed cloth or sham cloth was added to the standard bedding  
135 in the cages and were replaced once a week. Body weight of pups was measured at age of  
136 three weeks.

#### 137 **Measurement of cytokine levels in mouse plasma samples**

138 One male and one female two-day-old pups per independent litter were selected and  
139 euthanized by CO<sub>2</sub> for 5 minutes followed by decapitation. 60-70 µL of blood was collected  
140 in a K<sub>2</sub>EDTA pediatric blood vial from the selected pup. Blood samples were centrifuged at  
141 14,000 rpm for five minutes to collect the supernatant plasma sample (about 30 µL/sample)  
142 and saved in 1.5 mL Dnase/Rnase-free Eppendorf tubes at -80°C prior to analysis. The  
143 Luminex assay of cytokines (Table S1) was performed following the protocol of the cytokine  
144 assay kits (Bio-plex Pro<sup>TM</sup> Mouse Cytokine standard 23-Plex, Group I, Cat. M600009RDPD;  
145 Bio-plex Pro<sup>TM</sup> Mouse Cytokine standard 9-Plex, Group II, Cat. MD000000EL) purchased  
146 from Bio-rad Laboratory (Hercules, CA). Every step was performed as described in the Bio-  
147 rad protocol except for a reduction in reagent volumes (10 µL of bead mixture, 10 µL of  
148 detection Antibody cocktail) and lower sample volumes (10 µL of 4-fold diluted sample  
149 plasma) with the help of the Curiox DropArray wall-less microplate and Curiox plate washer  
150 (Curiox Biosystem, San Carlos, CA). The developed samples were suspended in 55 µL of

151 sheath fluid and the fluorescent intensity (FI) of each sample was acquired by Bio-plex 200  
152 plate reader system (Bio-Rad Laboratories, Inc.). The mean values of FI were calculated by  
153 comparing to the standard curve of each cytokine to determine the cytokine levels in each  
154 sample.

### 155 **Bone marrow flow cytometry and transplantation**

156 When pups were five-weeks-old, control and THS exposed mice (details noted in Table S3)  
157 were selected as the bone marrow transplantation donors. Following inhalant isoflurane  
158 anesthesia, peripheral blood samples were collected by submandibular bleeding into EDTA-  
159 coated tubes (Becton Dickinson and Company, NJ) and the complete blood cell count (CBC)  
160 including red blood cell (RBC), white blood cell (WBC), neutrophil (NE), lymphocyte (LY),  
161 monocyte (MO), and platelet (PLT) was acquired by Hematology Analyzer (HemaVet950FS,  
162 Drew Scientific, Miami Lake, FL). Live non-erythroid cells were isolated from the peripheral  
163 blood, bone marrow and spleen from 20 donor mice (10 mice from each group) following  
164 standard laboratory protocols. Subsequently, one million of those cells were incubated with  
165 fluorescent conjugated antibodies detecting subpopulations of B cells [B220+/CD19+;  
166 mature B cells (B220+/CD19+/IgK+) and immature B cells (B220+/CD19+/IgK-)], T cells  
167 (CD3+/CD4+ or CD3+/CD8+), and myeloid cells [CD19-/CD11b+; monocytes (CD19-  
168 /CD11b+/Gr-1<sup>neg-lo</sup>) and neutrophils (CD19-/CD11b+/Gr-1<sup>mod-hi</sup>)]. Antibody details are  
169 provided in Table S4. The cells were analyzed on a SP6800 spectral analyzer (Sony  
170 Biotechnology Inc.) and the percentages of cell populations were delineated with FlowJo  
171 software.

172

173 A quantity of  $2 \times 10^6$  cells isolated from bone marrow of each donor mouse were transplanted  
174 by retro-orbital injection into one female recipient FVB/N CD45.2 congenic animal after  
175 irradiation treatment (9.5 Gy whole-body X-ray irradiation; 4.25 Gy separated by 3-6 hours).



176 Animals received isoflurane inhalant anesthesia prior to retro-orbital injections.  
177 Reconstitution was confirmed by flow cytometry detecting the ratio of CD45.1+  
178 cells/CD45.2+ cells in the blood at three months post-transplantation (blood collected as  
179 described above). Low FSC/SSC population (i.e. lymphoid cells) and increased FSC/SSC  
180 population (i.e. granulocytes) were predominantly donor cells in all animals (low FSC/SSC  
181 median 90% donor, mean 89%, range 78 to 95%; increased FSC/SSC median 99% donor,  
182 mean 97%, range 82 to 100%) Reconstitution was similar in recipients of control and THS  
183 exposed donor mice, as well as in recipients of male and female donor mice.

184 The recipient mice were followed for development of neoplasm or other illness for  
185 one year. Tissues including liver, spleen, lymph node, kidney, heart, lung, and sternum of  
186 each animal were stored in 10% formalin, embedded in paraffin (sternum following  
187 decalcification), and the pathologic findings were assessed.

188

## 189 **Statistical Analyses**

190 Most statistical and survival analyses were performed using SPSS version 24, with statistical  
191 tests indicated in figure legends and in tables. Competing risk analysis was performed in R as  
192 described[21]. In regard to Table S2: in order to decrease the risk of false positives as well as  
193 retain statistical power, we initially pre-selected 15 parameters for analysis by both nominal  
194 p-value and false discovery rate (FDR); these parameters are noted as “15parameters” in  
195 EXCEL worksheet labels; further analyses performed in light of the initial statistical findings  
196 are noted as “added parameters” in EXCEL worksheet labels.

197

## 198 **Results**

### 199 **Experimental approach.**

200 To investigate the effects of *in utero* and early-life exposure to THS on the immune  
201 system and on leukemia/lymphoma risk, we exposed pregnant *Cdkn2a*<sup>-/-</sup> dams to THS from  
202 the first day of pregnancy until weaning (Figure 1A). Plasma cytokine levels, body weight  
203 and hematologic parameters in bone marrow (BM), spleen (SP), and peripheral blood (PB)  
204 were measured at different time points after birth. To determine the effect of THS exposure  
205 on leukemia/lymphoma risk, bone marrow samples from THS exposed and control *Cdkn2a*<sup>-/-</sup>  
206 mice were transplanted into bone marrow ablated (irradiated) wild-type recipient mice, which  
207 were then followed for one year.

208

### 209 **THS exposure significantly decreases body weight of male pups**

210 We housed female *Cdkn2a*<sup>-/-</sup> FVB/N mice with THS exposed cotton terry cloth  
211 swatches (5 x 5 cm<sup>2</sup>) from the first day of pregnancy until the pups were weaned at three  
212 weeks of age. Mice in the control group were housed with terry cloth swatches that were not  
213 exposed to THS. All cages also contained standard bedding material. The body weight of  
214 individual pups was measured on the day of weaning and included 142 pups (19 litters) in the  
215 Control group and 105 pups (15 litters) in THS exposed group. We observed a lower mean  
216 body weight of all pups in THS group (mean±SEM: 13.27±0.16 g) compared to control  
217 group (13.63±0.12 g) and we found a statistically significant decrease in body weight of male  
218 pups in THS exposed group (13.44±0.21 g; n=55) when compared to the male pups in the  
219 Control group (14.05±0.15 g; n=87) (two-tailed T-test, p=0.017) (Figure 1B). No difference  
220 in body weight was observed in female mice between the THS-treated group (13.07±0.14 g;  
221 n=50) and the Control group (12.95±0.23 g; n=55) (T-test, p=0.66) (Figure 1B).

222

### 223 **THS exposure decreases cytokine levels in two-day-old pups**

224 To investigate the effect of THS exposure on plasma cytokine concentrations, we  
225 collected and isolated plasma from one male and one female pup at two days of age from  
226 each independent litter (n=16 for THS exposed mice and n=16 for control mice) and  
227 measured concentrations of 32 cytokines (Table S1; selected cytokines shown in Figure 2).  
228 We found that 20 out of 32 cytokines in THS exposed pups were lower than those in control  
229 mice including many interleukins (FDR <0.1). Basic fibroblast growth factor (FGF) and the  
230 B-subunit of platelet-derived growth factor (PDGF-BB) were higher in THS exposed mice  
231 compared to control. Plasma cytokine differences were observed in both male and female  
232 mice (Table S1).

233

234 **THS exposure affects the percentage of immune cell populations in bone marrow,**  
235 **spleen, and blood**

236 To elucidate the potential influence of THS exposure on bone marrow, splenic, and  
237 blood cells we collected nucleated live cells of these tissues from one male and one female  
238 five-week old mouse from independent litters and measured B cell, T cell and myeloid  
239 fractions by flow cytometry (Table S2; Control: n=19, one male and one female pup from 9  
240 litters, one male from a 10<sup>th</sup> litter; THS: n=20; one male and one female pup from 10 litters).  
241 In bone marrow, we observed a decreased percentage of B cells (FDR=0.009) and an  
242 increased percentage of myeloid cells (FDR=0.008) in THS exposed compared to control  
243 exposed mice (Figure 3A). In spleen, we found a decreased percentage of B cells  
244 (FDR=0.0005) and an increased percentage of T cells (FDR=0.0005) in THS exposed mice  
245 (Figure 3B). In blood, we found that THS exposed mice had an increase in the percentage of  
246 T cells (FDR=0.045) and a lower percentage of myeloid cells (FDR=0.0005) (Figure 3C).

247 Given our observation that THS exposure particularly decreased the weight of 3-  
248 week-old male mice, the impact of sex was examined. In addition, analyses were performed

249 to assess whether the observed differences in bone marrow, spleen, and blood were driven by  
250 changes in particular sub-populations (Table S2). The decreased percentage of bone marrow  
251 B cells and increased percentage of bone marrow myeloid cells were more pronounced in  
252 male mice (Figure 4A and B). B cell subpopulations in THS exposed as compared to control  
253 exposed mice trended lower for both immature and mature B cells (nominal p-value < 0.05  
254 for immature marrow B cells in males), whereas myeloid sub-populations trended higher  
255 (nominal p-value < 0.05 for marrow neutrophilic cells in males). In the spleen, the decreased  
256 percentage of B cells and the increased percentage of T cells were seen in both sexes, and  
257 were driven by decreased mature B cells and by increased CD4<sup>+</sup> T cells (Figure 4C and D).  
258 The blood showed T cell changes similar to but less pronounced than those seen in the spleen  
259 (Figure 4E, nominal p-value < 0.05 for blood CD4<sup>+</sup> cells in females), whereas in contrast to  
260 the increased percentage of bone marrow neutrophilic cells seen in male mice, a decreased  
261 percentage of peripheral blood myeloid cells was seen in both males and females due to a  
262 decreased percentage of neutrophilic cells (Figure 4F).

263

#### 264 **THS exposure alters the survival time of transplanted mice**

265 To investigate if THS promotes the development of hematopoietic tumors including  
266 leukemia/lymphoma, we transplanted bone marrow isolated from five-week-old control  
267 (n=30) and THS exposed (n=32) mice (donor mice) to irradiated FVB/N congenic CD45.2  
268 mice (recipient mice) (Figure 1A). (We hoped with this approach to reduce the risk that mice  
269 would become ill with non-leukemia malignancies that can also develop in *Cdkn2a*<sup>-/-</sup> mice.)  
270 Recipient mice were followed for tumor development for one year (Table S3). We found that  
271 among the 30 recipient mice from control donor mice, 28 developed cancer within one year  
272 of transplantation. Among the 32 recipient mice from THS-exposed donor mice, 26 recipient  
273 mice developed cancer within one year. There was no significant difference in cancer-free

274 survival between control and THS-exposed groups (Figure 5A;  $p=0.123$ ). When focusing our  
275 analyses on the cause of death in the recipient animals, we observed trends towards later  
276 development of leukemia/lymphoma in THS-exposed animals and earlier development of  
277 solid tumors (Figure 5B; Control vs. THS  $p=0.02$  for leukemia/lymphoma,  $p=0.13$  for solid  
278 tumors). The significance of this observation was not entirely clear, and we considered the  
279 possibility that these differences in latencies reflected our particular experimental approach.  
280 One possibility was that THS immunosuppressive effects contributed to radiation-induced  
281 solid tumors in recipient animals. In our model, we used whole-body irradiation to ablate host  
282 bone marrow prior to bone-marrow transplantation. Bone-marrow-ablative radiation exposure  
283 significantly increases the risk of developing solid tumors (predominantly sarcomas). We  
284 therefore speculated that – if THS immunosuppressive effects accelerated the development of  
285 radiation induced solid tumors in recipient animals – we would find that mice receiving lower  
286 numbers of B cells would have developed solid tumors at younger ages. There were 8  
287 recipients of bone marrow that developed such solid tumors and for which pre-transplant  
288 immunophenotyping data were available (4=control exposed donors, 4=THS exposed  
289 donors). In these animals we indeed observed a significant correlation between the  
290 percentage of B cells in donor mouse marrow and days to solid tumor development (Figure  
291 5C; Spearman rank correlation coefficient = 0.905;  $p=0.002$ ); mice that received fewer B  
292 cells at transplant appeared to develop such non-leukemia/lymphoma cancers at earlier time  
293 points. Hence, the trends seen in Figure 5B could reflect THS immunosuppression of  
294 irradiated recipient animals leading to accelerated solid tumors, THS altering lymphopoiesis  
295 to delay leukemia/lymphoma, or a combination of these effects.

296

297 **Discussion**

298           In this study we utilized the *Cdkn2a* null mouse model of childhood ALL to address  
299 *in utero* and early-life THS exposure effects, from the first day of pregnancy through  
300 weaning, on plasma cytokines, body weight, hematologic parameters, and  
301 leukemia/lymphoma development. We found that THS exposure caused significant changes  
302 in plasma cytokine concentrations and in bone marrow, spleen, and blood immune cell  
303 populations. We furthermore observed that THS exposure increased leukemia/lymphoma-free  
304 survival in bone marrow transplantation recipient mice.

305           Since FVB/N mice that lack *Cdkn2a* are cancer prone, primarily developing  
306 leukemia/lymphoma and sarcoma, we transplanted bone marrow of THS-exposed and control  
307 *Cdkn2a* null mice into histocompatible bone marrow ablated recipient animals. As expected,  
308 we observed a high penetrance of leukemia/lymphoma in the recipient mice. Interestingly, in  
309 our model system recipient mice that received THS-exposed donor bone marrow exhibited  
310 increased lymphoma/leukemia free survival compared to recipient mice receiving bone  
311 marrow from control donor mice. This result might reflect a consequence of the  
312 immunosuppressive effect observed in THS-exposed donor mice. The immunosuppressive  
313 effect results in fewer lymphoid cells from THS treated donor mice being transplanted into  
314 recipient mice compared to control donor mice effectively reducing the number of targets for  
315 oncogenic transformation and lowering the incidence of leukemia/lymphoma after THS  
316 exposure. However, an alternative explanation involving the risk of competing events has to  
317 be considered in our model since bone marrow was transplanted after myeloablative radiation  
318 therapy of recipient mice. One side effect of this treatment is the development of solid  
319 tumors, which could prevent the observation of leukemia/lymphoma. It remains possible that  
320 the immunosuppressive effect of THS resulted in an acceleration in the development of these  
321 solid tumors, possibly due to inadequate immunosurveillance. Even though the development  
322 of solid tumors was not statistically different between THS-treated and control mice, we did

323 observe an increased number of solid tumors that occurred earlier in the THS group. The  
324 inability to definitively conclude whether THS exposure influences solid tumor development  
325 is a limitation of our work. In conclusion, THS alone was not carcinogenic in our *Cdkn2a*<sup>-/-</sup>  
326 leukemia/lymphoma model, but it may have contributed to radiation-exposure-associated  
327 tumor development through its immunosuppressive effects.

328         Interestingly, epidemiological studies have suggested a relationship between smoking  
329 and a spectrum of diseases with a significant inflammatory component; in some cases there is  
330 evidence that smoking may decrease incidence and/or severity. For example, maternal  
331 smoking during pregnancy reduces the risk of type 1 diabetes in children[22]. Also, adult  
332 smoking reduced the risk of ulcerative colitis[23], sarcoidosis[24], endometriosis[25], and  
333 Parkinson's disease[26]. A possible biological mechanism for these observations is that  
334 nicotine, which is present in cigarette smoke and THS, is known to have immunosuppressive  
335 effects[27]. Even though our results suggest that THS exposure might, in some settings,  
336 reduce the risk of leukemia/lymphoma, we observed profound potentially detrimental impacts  
337 on the immune system, the detrimental health risks associated with maternal smoking are  
338 well-documented, and any potential health benefit from the immunosuppressive effects of  
339 exposure to THS does not outweigh the harmful effects of smoking on health.

340         Future studies will have to determine whether the observed adverse effects of THS on  
341 hematologic parameters are dose and genetic background dependent. Our results show that  
342 THS exposure of FVB/n *Cdkn2a* null mice during pregnancy and early life has a profound  
343 effect on male body weight and on immune parameters in both males and females. In a  
344 related study, investigating effects of THS on body weight and hematologic parameters in  
345 C57BL/6 mice exposed during the first three weeks of life, we showed a reduction in body  
346 weight in both male and female mice [16]. Furthermore, our prior study showed that THS  
347 exposure during the first 3 weeks of life significantly increased the percentage of B-cells in

348 peripheral blood fourteen weeks after THS exposure [16]. In contrast, our current study  
349 showed no difference in the percentage of B-cells in peripheral blood and a significant  
350 decrease in spleen and bone marrow in THS-exposed mice at 5 weeks of age. These  
351 differences could be the result of differences in strain genetic background, exposure window,  
352 and/or the time between exposure and immune parameter measurements. Chen et al, showed  
353 that THS exposure of C57BL/6 mice for 2 months starting at 3 weeks of age resulted in a  
354 dose dependent increase in serum cytokine levels including IL-1a, IL-4, IL-10, TNFalpha and  
355 GM-CSF [15]. Similarly, Adhami et al, investigated exposure of male C57BL/6 mice to THS  
356 for 1, 2, 4, or 6 months starting at weaning and observed significant increases in serum levels  
357 of TNFalpha and GM-CSF when mice were exposed for as little as one month [14]. In our  
358 study, we also observed that THS exposure significantly altered plasma cytokine levels.  
359 However, in contrast to these previous studies showing a pro-inflammatory phenotype  
360 associated with THS exposure, we observed that perinatal exposure led to a significant  
361 decrease for the majority of cytokines assayed at 2 days of age, including IL-4, IL-10,  
362 TNFalpha and GM-CSF. The reason for these different observations could be due to  
363 differences in exposure window. Our cytokine measurements were conducted in mice  
364 exposed *in utero*, from the first day of pregnancy, to 2 days of age. THS exposure effects  
365 have not previously been investigated for this exposure. Development and maturation of the  
366 immune system starts early in fetal life and our results suggest that THS exposure affects this  
367 process. Differences could similarly be due to differences in mouse genetic background,  
368 experimental timing and/or exposure levels. Our study also found sex differences in the  
369 response to THS exposure emphasizing the importance of including both male and female  
370 mice in exposure studies. In general, male mice were more susceptible to THS exposure  
371 effects than female mice. Previous studies showed that male mice were found to be more  
372 sensitive than female mice to *in utero* exposure to SHS for lung development and an immune



373 challenge later in life [28, 29]. These findings suggest that sex differences during fetal  
374 development play an important role in determining health risks associated with THS  
375 exposure. Collectively, these studies emphasize the need to define the window-of-  
376 susceptibility of THS-induced health outcomes. These studies can be initiated in mouse  
377 population-based model systems, which mimic the genetic and phenotypic diversity observed  
378 in the human population while allowing precise control of exposures and the ability to  
379 analyze multiple phenotypic endpoints [30].

380         In conclusion, our results using the *Cdkn2a*<sup>-/-</sup> mouse model of leukemia/lymphoma  
381 showed that THS exposure during pregnancy and early life caused substantial biological  
382 effects, including decreased regulators of the immune system at birth (cytokines) and  
383 persistent alterations of blood cells. These findings further support the growing evidence that  
384 THS exposure may have significant persistent health effects for human mothers and infants.  
385 Although our results did not demonstrate that THS exposure increased risk for  
386 leukemia/lymphoma, its immunosuppressive effects may have contributed to the  
387 carcinogenic effects of ionizing radiation. These data contribute to our understanding of the  
388 potential health risks of THS exposures, and should be useful for framing and advocating for  
389 policies against indoor smoking in the U.S.A. and worldwide.

390

### 391 **Data Availability Statement**

392 Datasets related to the article are included as supplementary materials, Tables S1-S4.

393

### 394 **Clinical Perspectives**

- 395         • We investigated the effects of *in utero* and early-life THS exposure on plasma  
396 cytokines, body weight, hematologic parameters and leukemia/lymphoma  
397 development using the *Cdkn2a* null mouse model of childhood ALL.

- 398           • Our study demonstrates that *in utero* and early-life THS exposure is broadly  
399           immunosuppressive and increased leukemia/lymphoma-free survival in bone  
400           marrow transplantation recipient mice.
- 401           • Our study adds to expanding evidence that THS exposure has profound effects on  
402           the immune system and that *in utero* and early life developmental periods  
403           represent vulnerable windows of susceptibility for these effects.

404

405

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410

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416

417 **Competing interests:** The authors declare no competing financial interests.

418

#### 419 **Abbreviations**

420 **ALL:** acute lymphoblastic leukemia; **BM:** bone marrow; **FDR:** false discovery rate; **PB:**  
421 peripheral blood; **SHS:** secondhand smoke; **SP:** spleen; **THS:** thirdhand smoke.

422



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- 495

496

497 **Figure legends**

498

499 **Figure 1. THS exposure altered body weight of three-week-old male pups compared to**  
500 **control.**

501 A. Study design. Mice were exposed to THS starting from the first day of pregnancy (post  
502 coital, p.c.) until the pups were weaned at 3-weeks of age. Plasma cytokine levels were  
503 measured at 2 days of age. Body weight was assessed at weaning. Bone marrow (BM), spleen  
504 (SP) and peripheral blood (PB) were collected at 5 weeks of age for immunophenotyping and  
505 bone marrow was transplanted into irradiated recipients. Tumor development was monitored  
506 for one year.

507 B. Bars represent body weight (gram) at weaning for control and THS exposed male and  
508 female mice [n=142 pups (19 litters) in the Control group and 105 pups (15 litters) in THS-  
509 treated group]. Data are presented as the mean and error bars indicate standard error. P-values  
510 were obtained using the two-tailed t-test.

511

512 **Figure 2. THS exposure affects plasma cytokine levels.**

513 Boxplots of cytokine levels in 2-day old mice exposed *in utero* to control (blue) or THS  
514 (green) (Control: n=16, one male and one female pup from 8 litters; THS: n=16; one male  
515 and one female pup from 8 litters). Box and whisker plots indicate median, 25<sup>th</sup> and 75<sup>th</sup>  
516 percentiles, 5<sup>th</sup> and 95<sup>th</sup> percentiles, and individual samples beyond these limits. Nominal P-  
517 values shown were obtained using the Mann-Whitney test. See also Table S1 for FDR values.

518

519 **Figure 3. Comparison of immune cell populations by flow cytometry in different tissues**  
520 **of five-week-old donor mice.**

521 The percentage of B-cells (CD19+), T-cells (CD3+) and myeloid cells (CD19-/CD11b+)  
522 were measured by flow cytometry at 5 weeks of age in control (blue) and THS (green)  
523 exposed mice (Control: n=19, one male and one female pup from 9 litters, one male from a  
524 10<sup>th</sup> litter; THS: n=20; one male and one female pup from 10 litters).

525 A. Bone marrow.

526 B. Spleen.

527 C. Blood.

528 Box and whisker plots indicate median, 25<sup>th</sup> and 75<sup>th</sup> percentiles, 5<sup>th</sup> and 95<sup>th</sup> percentiles, and  
529 individual samples beyond these limits. Nominal P-values shown were obtained using the  
530 Mann-Whitney test. See also Table S2 for FDR values.

531

532 **Figure 4. Sex specific effects of THS on immune cell populations.**

533 For immune cell populations identified in Figure 3 as divergent between control and THS  
534 exposed mice, males and females, as well as immune subsets, were compared as described in  
535 the legend to Figure 3.

536 A. Bone marrow (BM) B-cells (B220+/CD19+), mature B-cells (B220+/CD19+/IgK+) and  
537 immature B-cells (B220+/CD19+/IgK-).

538 B. Bone marrow myeloid cells (CD19-/CD11b+), monocytes (CD19-/CD11b+/Gr-1<sup>neg-lo</sup>) and  
539 neutrophils (CD19-/CD11b+/Gr-1<sup>mod-hi</sup>).

540 C. Spleen (Sp) B-cells (B220+/CD19+), mature B-cells (B220+/CD19+/IgK+) and immature  
541 B-cells (B220+/CD19+/IgK-).

542 D. Spleen T-cells (CD3+), T-helper cells (CD3+/CD4+) and T-suppressor cells  
543 (CD3+/CD8+).

544 E. Peripheral blood (PB) T-cells (CD3+), T-helper cells (CD3+/CD4+) and T-suppressor  
545 cells (CD3+/CD8+).

546 F. Peripheral blood myeloid cells (CD19<sup>-</sup>/CD11b<sup>+</sup>), monocytes (CD19<sup>-</sup>/CD11b<sup>+</sup>/Gr-1<sup>neg-lo</sup>)  
547 and neutrophils (CD19<sup>-</sup>/CD11b<sup>+</sup>/Gr-1<sup>mod-hi</sup>).

548

549

550 **Figure 5. THS exposure significantly affects leukemia and lymphoma development.**

551 A. Cancer-free survival curves of bone marrow recipient mice which received bone marrow  
552 from control (blue; n=30) and THS (green; n=32) exposed donor mice. P value was obtained  
553 by Log-Rank Mantel-Cox test.

554 B. Cumulative incidence functions for competing risk of solid tumor development (dashed  
555 lines; n=5 for control, n=9 for THS) with leukemia/lymphoma (solid lines; n=24 for control,  
556 n=17 for THS) as first observed event (control mice indicated in blue and THS mice in  
557 green). Competing risk analysis: Control vs. THS p=0.02 for leukemia/lymphoma, p=0.13 for  
558 solid tumors.

559 C. Correlation between the percentage of transplanted B cells and tumor latency of  
560 non-leukemia-lymphoma cancers in the combined control and THS cohorts. Control mice  
561 indicated in blue and THS mice in green. P-value was obtained using Spearman Correlation.

562

563 **Supplementary Materials**

564 **Table S1. Plasma cytokine concentrations in control and THS exposed mice.**

565 **Table S2. Hematologic parameters in control and THS exposed mice.**

566 **Table S3. Cancer incidence in mice reconstituted with bone marrow of control and THS**  
567 **exposed donor mice.**

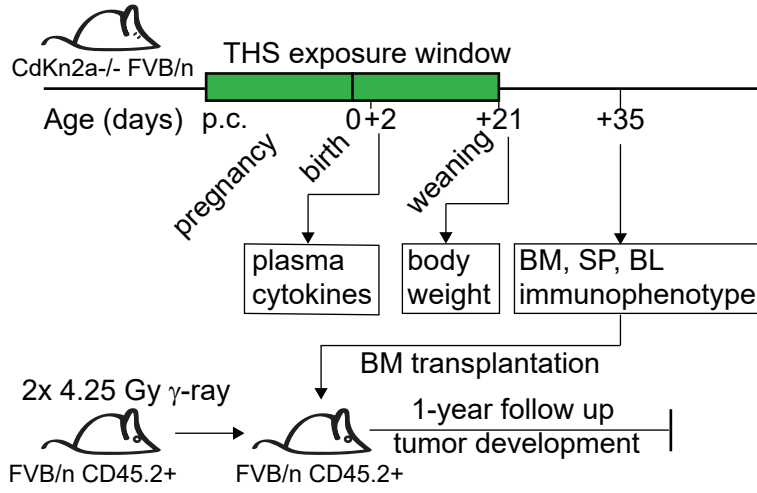
568 **Table S4. Reagents: Antibodies.**

569



Figure 1

A



B

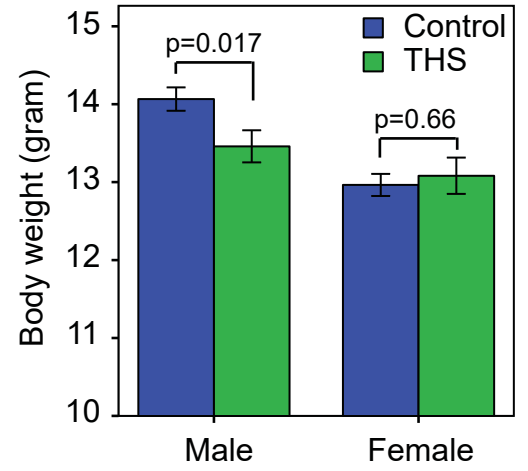


Figure 2

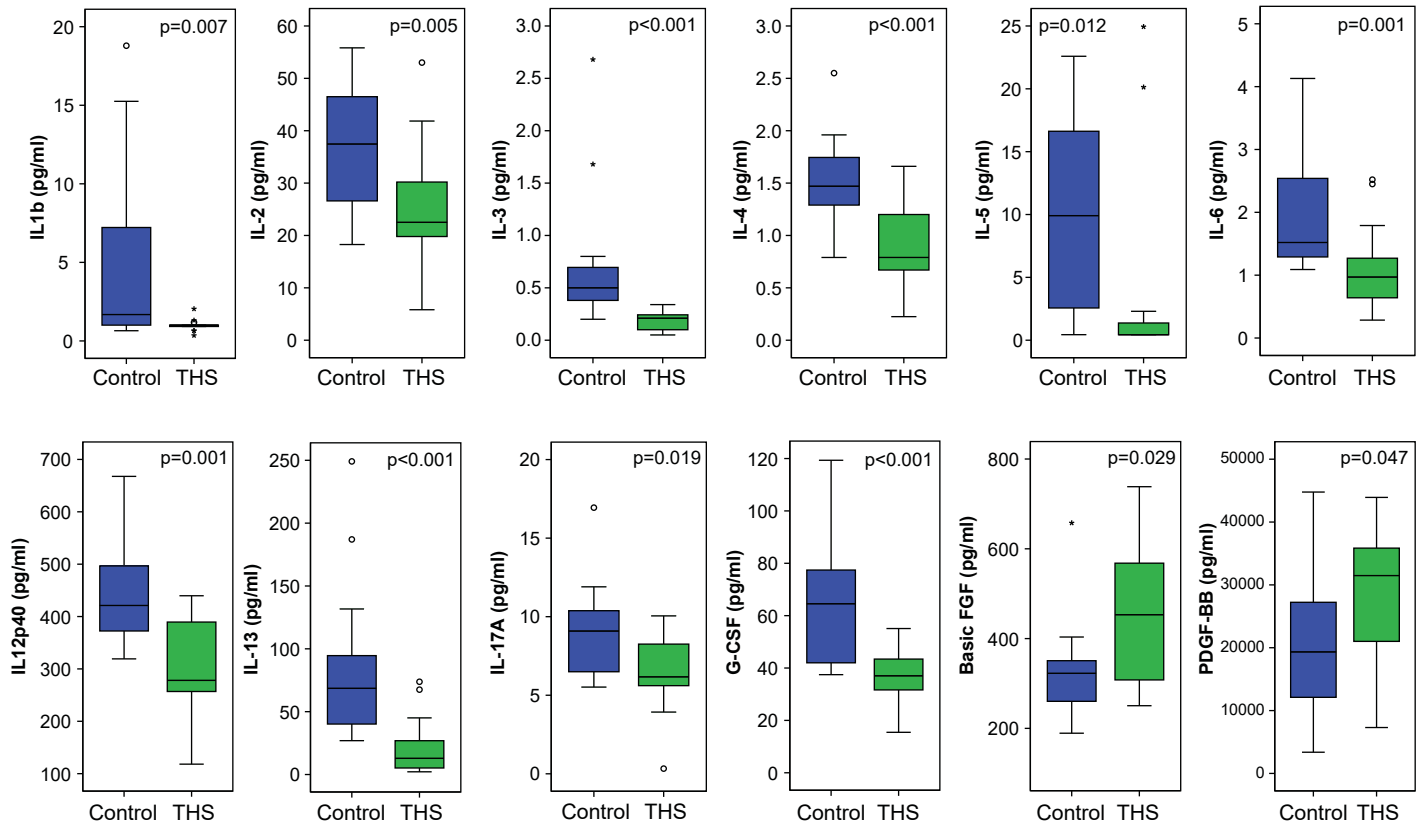


Figure 3

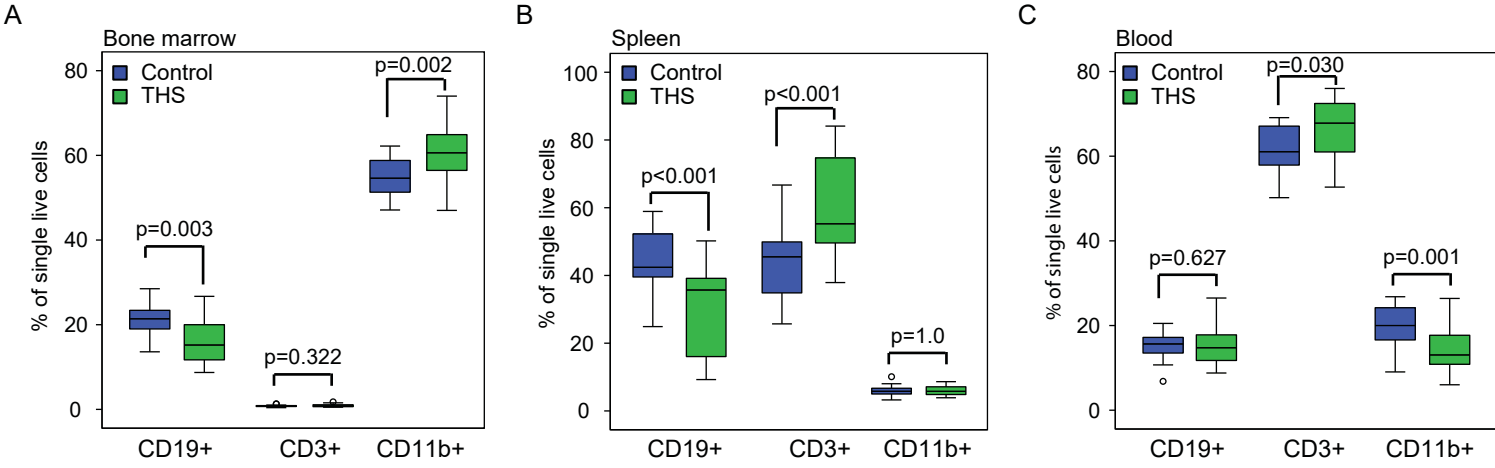


Figure 4

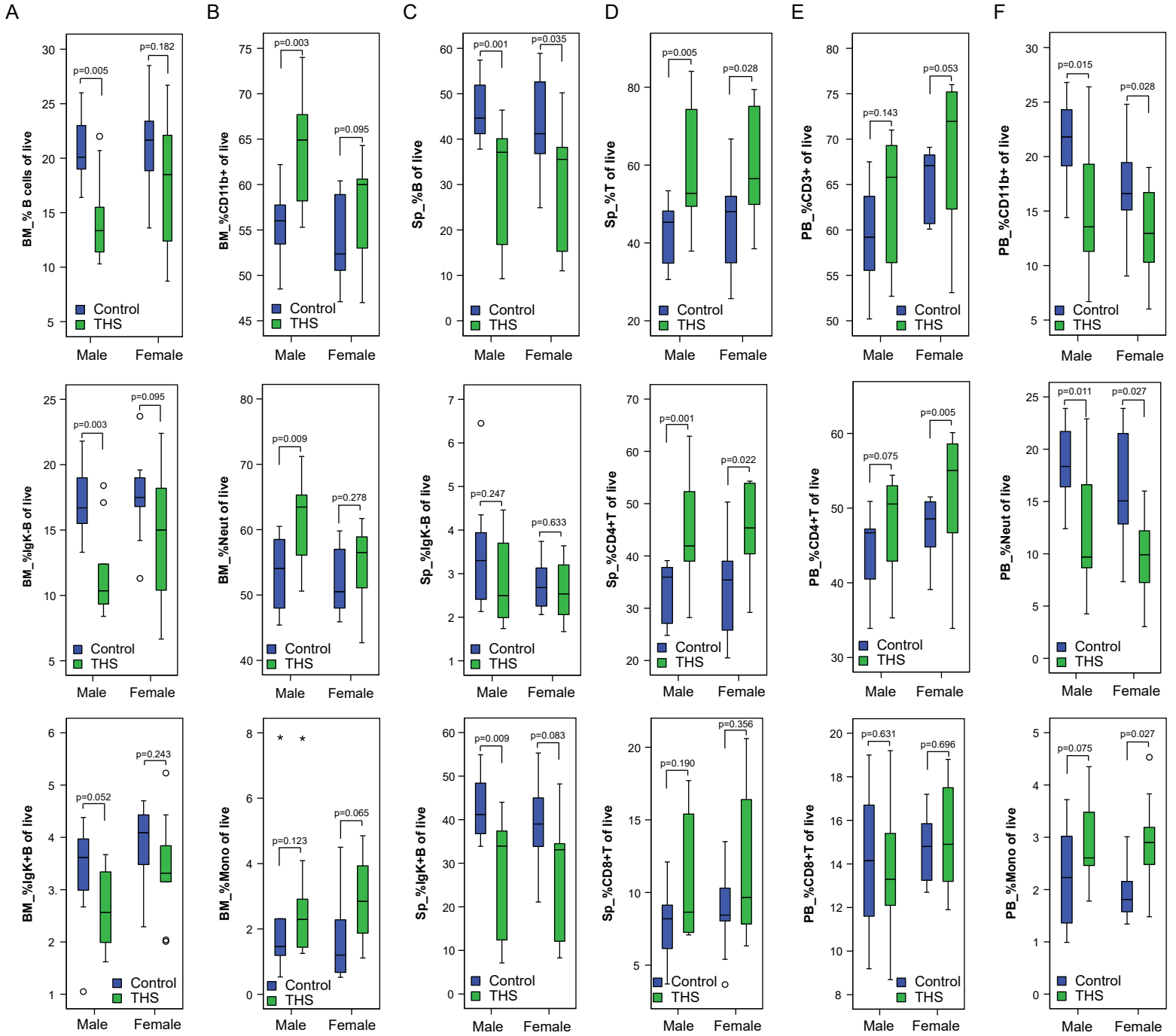
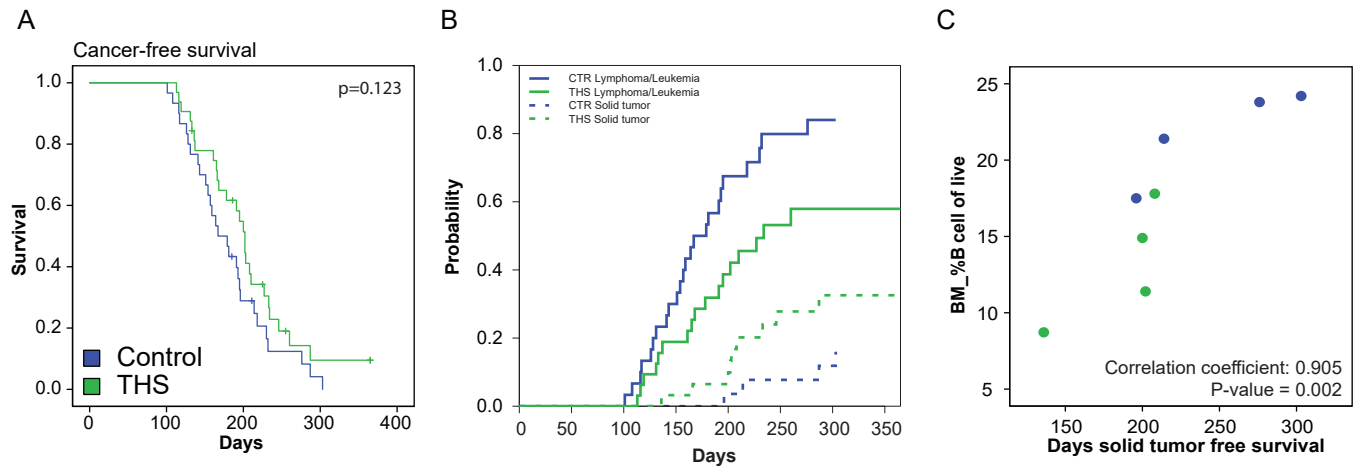


Figure 5



**Table S3. Cancer incidence in bone marrow recipient mice of THS and control treated donor mice.**

Donor Sample ID	Dam's cage#	DonorSex	Group	Days to Cancer disease event	Leukemia/Lymph oma event	Solid tumor event		
	1	3259008	M	THS	161	1	1	0
	2	3259008	F	THS	137	1	1	0
	3	3259009	M	THS	200	1	0	1
	4	3259009	F	THS	195	1	1	0
	5	3259010	M	THS	168	1	1	0
	6	3259010	F	THS	133	0	0	0
	7	3259031	M	THS	191	1	1	0
	8	3259031	F	THS	255	0	0	0
	9	3266091	M	Control	196	1	0	1
	10	3266091	F	Control	116	1	1	0
	11	3266109	M	Control	181	1	1	0
	11	3266109	M	Control	287	1	0	1
	13	3266110	M	Control	195	1	1	0
	14	3266110	F	Control	126	1	1	0
	15	3266117	M	THS	210	1	1	0
	16	3266117	F	THS	178	1	1	0
	17	3266122	M	Control	232	1	1	0
	18	3266122	F	Control	108	1	1	0
	19	3266124	M	THS	227	1	1	0
	20	3266124	F	THS	136	1	0	1
	21	3266129	M	THS	202	1	1	0
	22	3266129	F	THS	208	1	0	1
	23	3266132	M	THS	113	1	1	0
	24	3266132	F	THS	186	0	0	0
	25	3259032	M	THS	202	1	0	1
	26	3259032	F	THS	225	0	0	0
	27	3259055	M	Control	101	1	1	0
	28	3259055	F	Control	179	1	1	0
	29	3318077	M	Control	157	1	1	0
	30	3318077	F	Control	159	1	1	0
	31	3318279	M	Control	191	1	1	0
	32	3318280	F	Control	143	1	1	0
	33	3318280	M	Control	154	1	1	0
	34	3318280	F	Control	141	1	1	0
	35	3318281	M	THS	165	1	1	0
	36	3318281	F	THS	260	1	1	0
	37	3318289	M	Control	117	1	1	0
	38	3318289	F	Control	164	1	1	0
	39	3318302	M	THS	234	1	1	0
	40	3318302	F	THS	365	0	0	0
	41	3318301	M	Control	128	1	1	0
	42	3318301	F	Control	276	1	1	1
	43	3318318	M	THS	203	1	0	1
	44	3318318	F	THS	365	0	0	0
	45	3347715	M	Control	303	1	0	1
	46	3347715	F	Control	214	1	0	1
	48	3347716	F	THS	233	1	0	1

49	3347718	M	THS	246	1	0	1
50	3347718	F	THS	116	1	1	0
51	3347720	M	Control	218	1	1	0
52	3347720	F	Control	193	1	1	0
53	3347717	M	Control	131	1	1	0
54	3347717	M	Control	230	1	1	0
55	3347714	M	THS	166	1	0	1
56	3347714	F	THS	119	1	1	0
58	3347719	F	THS	131	1	1	0
59	3347721	M	THS	287	1	0	1
60	3347721	F	THS	133	1	1	0
61	3347741	M	Control	167	1	1	0
62	3347741	F	Control	151	1	1	0
63	3347743	M	Control	185	0	0	0
64	3347743	F	Control	211	0	0	0

Note: 1 control animal was found to have both leukemia/lymphoma and a solid tumor.

**Events Total                    54                    41                    14**

Note: 2 control animals were euthanised with no cancer identified.

**Events Control                    28                    24                    5**

Note: 6 THS animals were euthanised with no cancer identified.

**Events THS                    26                    17                    9**

**Table S4. Reagents: Antibodies**

<b>Marker</b>	<b>Fluorophore</b>	<b>Dilution</b>	<b>Clone</b>	<b>Vendor</b>	<b>Catalog Number</b>
B220	PerCp-Cy5.5	1/100	RA3-6B2	Invitrogen	45-0452-82
IgK	AF700	1/400	187.1	BD Biosciences	561351
CD19	PE-CF594	1/100	1D3	BioLegend	562291
CD3	PE	1/100	17A2	BD Biosciences	100206
CD4	PacBlue	1/100	RM4-5	Invitrogen	558107
CD8	PE-Cy5	1/333	53-6.7	BD Biosciences	15-0081-81
CD11b	PE-Cy7	1/100	M1/70	BD Biosciences	552850
Gr-1	APC-Cy7	1/400	RM6-8C5	BD Biosciences	557661
CD45.1	FITC	1/100	A20	BioLegend	110706
CD45.2	APC	1/100	104	TONBO Bioscience	2004534-0100