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1 **Effects of LiDAR-derived, spatially distributed vegetation roughness on two-**
2 **dimensional hydraulics in a gravel-cobble river at flows of 0.2 to 20 times bankfull**

3

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5

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12

13 **Abstract**

14 The spatially distributed effects of riparian vegetation on fluvial hydrodynamics
15 during low flows to large floods are poorly documented. Drawing on a LiDAR-derived,
16 meter-scale resolution raster of vegetation canopy height as well as an existing
17 algorithm to spatially distribute stage-dependent channel roughness, this study
18 developed a meter-scale two-dimensional hydrodynamic model of ~ 28.3 km of a
19 gravel/cobble-bed river corridor for flows ranging from 0.2-20 times bankfull discharge,
20 with and without spatially distributed vegetation roughness. Results were analyzed to
21 gain insight into stage-dependent and scale-dependent effects of vegetation on
22 velocities, depths, and flow patterns. At the floodplain filling flow of 597.49 m³/s, adding
23 spatially distributed vegetation roughness parameters caused 8.0 and 7.4% increases in
24 wetted area and mean depth, respectively, while mean velocity decreased 17.5%.
25 Vegetation has a strong channelization effect on the flow, increasing the difference
26 between mid-channel and bank velocities. It also diverted flow away from densely
27 vegetated areas. On the floodplain, vegetation stands caused high velocity preferential
28 flow paths that were otherwise unaccounted for in the unvegetated model runs. For the
29 river as a whole, as discharge increases, overall roughness increases as well, contrary
30 to popular conception.

31

32 *Keywords:* hydraulic modeling; hydraulic roughness; floodplain hydraulics; river
33 vegetation; river velocity; gravel-bed rivers

34

35

36 1. Introduction

37 Two-dimensional (2D) hydrodynamic models are emerging as a standard for
38 predicting flood conditions. The preference arises from their ability to more accurately
39 predict complex out-of-bank flow patterns (Bates et al., 1992, 1997; Anderson and
40 Bates, 1994; Bates and Anderson, 1996), overbank depositional patterns (Nicholas and
41 Walling, 1997, 1998; Hardy et al., 2000), and stage-dependent thalweg position relative
42 to one-dimensional (1D) models. These models solve the 2D (depth-averaged) Navier-
43 Stokes equations to predict depth, velocity, and inundation extent for site- and reach-
44 scale floods (Bates et al., 1992; Anderson and Bates, 1994). Finite element models
45 reduce the number of nodes and allow for variable element sizes to resolve details of
46 complex topography or bed roughness (Hardy et al., 1999). Conventionally, hydraulic
47 roughness coefficients are generalized as a constant for all nodes in each delineated
48 cover class (Pasternack, 2011; Straatsma and Huthoff, 2011). The overall goal of this
49 study was to implement a distributed roughness parameterization scheme and then
50 investigate its effects on river hydraulics at three spatial scales ranging from 10^{-1} to 10^3
51 channel widths and for a wide range of flows (0.2 to 20 times bankfull discharge).

52

53 1.1. Motivation

54 Floodplain roughness parameterization is a major concern in 2D modeling.
55 Vegetation has a dynamic effect on flow by causing momentum loss or drag that is
56 dependent on vegetation structure. Flow resistance of different plant species has been

57 explored using flume studies (Kouwen and Li, 1980; Kouwen, 1988; Kouwen and Fathi-
58 Moghadam, 2000) and *in situ* analyses (Straatsma, 2009; Sukhodolov and
59 Sukhodolova, 2010). However, obtained equations require detailed, species-specific
60 inputs about vegetation structure unobtainable for large models. Many 2D models do
61 not spatially distribute roughness or use sufficient detail to accurately predict flood
62 hydrodynamics (Marks and Bates, 2000). Roughness values lumped by cover classes
63 are typically empirically estimated or calibrated within an uncertain, acceptable range
64 until results match observations (Bates and Anderson, 1996; Bates et al., 1997).
65 However, this methodology lacks a physical basis. The accuracy value of 2D over 1D
66 modeling stems from its spatially explicit representation of boundary conditions (Brown
67 and Pasternack, 2009; Pasternack and Senter, 2011) and ability to capture 2D flow
68 patterns, both of which should be sensitive to roughness distribution.

70 1.2. *Distributed roughness concepts*

71 Airborne Light Detection and Ranging (LiDAR) can map vegetation presence and
72 canopy height with ~ 4-8 observations per 1 m², enabling accurate averaging to resolve
73 1-m² features over large areas (Menenti and Ritchie, 1994; Cobby et al., 2001). Data
74 from LiDAR has yielded spatially distributed roughness maps for 2D modeling (Cobby et
75 al., 2003; Mason et al., 2003; Antonarakis, 2008) by borrowing relationships between
76 vegetation height and hydraulic roughness from flume studies (Kouwen, 1988; Kouwen
77 and Fathi-Moghadam, 2000). Multispectral remote sensing and LiDAR data can be used
78 in tree-segmentation algorithms to classify vegetation based on more detailed
79 parameters such as species, vegetation density, leaf area index, biomass, and basal

80 area (e.g., Antonarakis et al., 2008; Straatsma and Baptist, 2008; Watershed Sciences,
81 2010). Then a force balance can be applied to determine a roughness coefficient at
82 each node.

83 A roughness parameterization method using LiDAR data was developed that
84 diverges from traditional approaches. Using equations from atmospheric mixing-layer
85 theory above vegetation canopies (Raupach et al., 1996), Katul (2002) hypothesized
86 that the vertical velocity profile (including the region with roughness elements) above a
87 riverbed follows a hyperbolic tangent distribution with an inflection at the top of the
88 roughness element (Fig. 1). By integrating this velocity profile, an equation was derived
89 for hydraulic roughness as a function of vegetation height and water depth. Casas et al.
90 (2010) used Katul et al.'s (2002) results to demonstrate that spatially distributed, stage-
91 dependent roughness values consistent with accepted literature values could be
92 obtained for 2D models from LiDAR-derived canopy heights and estimated water depths
93 for an $\sim 500\text{-m}^2$ floodplain area. Most importantly, this scheme is easily scalable to
94 vastly larger areas at 1-m resolution, as demonstrated herein. This enables new
95 scientific research on the role of vegetation on river hydraulics.

96

97 1.3. Objectives

98 This study sought to statistically describe and qualitatively explain scale-
99 dependent effects of spatially distributed bank and floodplain vegetation by applying
100 Katul's (2002) methodology to a multimillion node, 2D, finite-volume model that solves
101 the depth-averaged Reynolds equations within an $\sim 1\text{-}3\text{-m}$ nodal mesh grid for a 28.3-

102 km river corridor over roughly three orders of magnitude of flow. Specifically, the two
103 objectives of this research were to (i) compare modeled inundation extents, depths, and
104 velocities using stage-dependent, spatially distributed roughness for floodplain
105 vegetation with a constant nodal roughness model excluding vegetation for flows
106 ranging from 0.2 to 20 times bankfull discharge at segment (10^3 - 10^4 channel widths
107 (W)), reach (10^2 - 10^3 W), and morphological unit (1-10 W) spatial scales; and (ii) analyze
108 the sensitivity of scale-dependent hydraulic features to the use of spatially distributed
109 roughness values versus a constant roughness scheme. The study presented herein
110 demonstrates that incorporating spatially distributed vegetated roughness has a
111 significant effect on hydrodynamic models by channelizing the thalweg velocities,
112 generating a complex pattern of velocity minima and maxima on the floodplain, and
113 creating backwater depths that increase the wetted area for a given discharge.

114

115 **2. Study area**

116 The Yuba River is a tributary of the Feather River in north-central California,
117 USA, that drains 3480 km² of the western Sierra Nevada range (Fig. 2). Historic
118 hydraulic mining yielded massive alluvial storage in the valley. Englebright Dam,
119 completed in 1940, traps nearly all sediment, promoting a downstream geomorphic
120 recovery that continues today (Carley et al., 2012). The 37.1-km river segment between
121 Englebright Dam and the Feather River confluence is defined as the lower Yuba River
122 (LYR) (Fig. 2), a single-thread channel (~ 20 emergent bars/islands at bankfull) with low
123 sinuosity, high width-to-depth ratio, mean bed slope of 0.185%, mean bed surface
124 sediment size of 97 mm (i.e., small cobble), and slight to no entrenchment. The river

125 corridor is confined in a steep-walled bedrock canyon for the upper 3.1 km, then
126 transitions first into a wider confined valley with some meandering through Timbuctoo
127 Bend, then into a wide, alluvial valley downstream to the mouth. Sediment berms train
128 the active river corridor to isolate it from the ~ 4000 ha Yuba Goldfields. Daguerre Point
129 Dam (DPD) is an 8-m-high irrigation diversion dam 17.8 km upstream of the Feather
130 that creates a slope break and partial sediment barrier. Existing literature with more
131 information about the hydrogeomorphology of the LYR include Pasternack (2008), Moir
132 and Pasternack (2008, 2010), James et al. (2009), Sawyer et al. (2010), White et al.
133 (2010), and Wyrick and Pasternack (2012).

134 This study investigated 28.3 km of the LYR in the wide, alluvial valley (starting at
135 $39^{\circ}13'13''$ N, $121^{\circ}20'7''$ W). In addition to assessing segment-averaged effects, the river
136 was segregated into five geomorphic reaches (Fig. 2) and 31 morphological units (MUs)
137 (i.e., subwidth-scale landforms). Seven MUs (i.e., chute, floodplain, lateral bar, point
138 bar, pool, riffle, and run) were used in this study to exemplify the effects of spatially
139 distributed roughness at the MU scale. Full landform descriptions and analyses at
140 segment, reach, and MU scales is available in Wyrick and Pasternack (2012).

141 Because of insufficient surficial sand and mud in the LYR as well as frequent and
142 aggressive overbank floods, woody vegetation covers 22% of the entire ~ 37.5 km of
143 LYR floodplain (i.e., inundation area for $597.49 \text{ m}^3/\text{s}$), with reach coverages in the study
144 domain varying from 16.7% for Marysville to 29.8% for DPD. The Marysville reach has
145 the tallest woody vegetation (average height of 8.6 m) compared to 5.6 m for the DPD
146 reach. Much woody vegetation aligns in patches along current or historic banks. Dense
147 vegetation stands in swales, side channels, and backwaters also exist. The riparian

148 forest is dominated by Fremont cottonwood (*Populus fremontii*), white alder (*Alnus*
149 *rhombifolia*), and willow (primarily *Salix lasiandra*, *S. hindsiana*, *S. goodingii* var.
150 *racemosa*, and *S. laevigata*). Herbaceous vegetation is a mix of native and exotic
151 species including rushes (*Juncus spp.*), sedges (*Carex spp.*), bull thistle (*Cirsium*
152 *vulgare*), mullein (*Verbascum Thapsus*), cocklebur (*Xanthium strumarium* var.
153 *canadense*), and several exotic grasses (*Bromus spp.*, *Avena spp.*) (Beak Consultants,
154 Inc., 1989).

155

156 **3. Methods**

157 **3.1. Bare earth and canopy digital surface models**

158 All data in the study were collected or generated in English units consistent with
159 regulatory requirements and then converted to SI units for this article, hence the
160 appearance of some unusual values in SI units (e.g., 0.9144 m represents a 3-foot
161 raster cell size). Airborne LiDAR data of bare earth elevation (last returns) and
162 vegetation canopy height (first returns) were collected on 2008 September 21 by Aero-
163 Metric, Inc. (Seattle, WA) during a constant low flow. Overall, terrestrial point spacing
164 and density were 0.427 m and 554 points/100 m², respectively. Compared against 8769
165 road observations, 84.7% of LIDAR points were within 0.06 m, 14.0% were within 0.12
166 m, and almost all of the rest were within 0.18 m.

167 Professional bathymetric surveys (± 0.5 feet vertical accuracy) by Environmental
168 Data Solutions (San Rafael, CA) were done during low flows in August and September
169 2008 as well as during higher flows in March and May 2009 to fill in some unwadable

170 data gaps. Remaining data gaps were filled with real-time kinematic global positioning
171 system (RTK GPS) and total station observations. Combining LiDAR and bathymetric
172 data for the exposed and submerged riverbed, respectively, the overall point spacing
173 and density were 1.28 m and 59.8 points/100 m², respectively.

174 Quality assurance and control procedures were used to produce a digital
175 elevation model (DEM). Data collected using different methods were all compared
176 where they overlapped. For example, 75, 91, and 99% of boat-based water surface
177 elevation (WSE) measurements were within 3, 6, and 15 cm of those from ground-
178 based RTK GPS at the adjacent water's edge, respectively.

179 Points were visualized as a map in ArcGIS® 9.3.1 (ESRI, Redlands, CA) and
180 further edited on a spatial basis to remove any obvious errors. In narrow backwater
181 channels and along banks that contained obvious interpolation errors, hydro-enforced
182 breaklines and regular breaklines were created to better represent landform features.
183 Additionally, some bathymetric areas that contained very few points because of
184 obstructions and other problematic features were artificially augmented to represent
185 observed channel characteristics. Using the final point cloud, triangulated irregular
186 network (TIN) and raster DEMs were produced following the textbook of Pasternack
187 (2011).

188 A vegetation canopy height surface model was developed by Watershed
189 Sciences (Portland, OR) and delivered in the form of a 0.9144-m (3-foot) resolution
190 ESRI grid file as documented in Watershed Sciences (2010). Noise points and
191 secondary returns from the vegetation class were excluded by a two-step automated

192 process classifying all first returns ≥ 0.305 m (1 foot, which is two standard deviations of
193 the expected laser noise range) above a localized corrected ground surface as
194 vegetation points. An elevation raster representing the highest LiDAR return classified
195 as vegetation in each cell was created and then filled with values from the bare earth
196 TIN in cells with no LiDAR returns. Finally, ground elevations were subtracted from
197 vegetation elevations to obtain canopy heights, with height < 0.61 m excluded.

198

199 3.2. 2D model meshes

200 The hydrodynamic model used in this study was the U.S. Bureau of Reclamation
201 finite-volume code, SRH-2D (Lai, 2008). The Surface-water Modeling System® (SMS)
202 version 10.1 graphical user interface (Aquaveo, LLC, Provo, UT) was used to produce
203 meshes. Because of the large extent (~ 28.3 km) and meter-scale resolution, the river
204 was split into three domains (Fig. 2). Mesh resolution ranged from 0.9144-m spacing for
205 low flow (in-channel) meshes (28.32 - 141.58 m³/s) to 3.05-m spacing for higher flow
206 (channel and overbank) meshes (> 141.58 m³/s). Digital elevation model elevations
207 were interpolated to mesh points using TIN-based interpolation (Pasternack, 2011).
208 Turbulence closure was achieved using the parabolic, zero equation model, with eddy
209 viscosity varying as a function of depth and shear velocity, modified by an eddy
210 viscosity coefficient set to 0.1 based on local studies and expert experience.

211 The SRH-2D algorithm requires an upstream flow and a corresponding
212 downstream WSE. In order to capture stage-dependent effects of floodplain vegetation,
213 seven flows were modeled relative to bankfull discharge (Q_{bf}): 28.32 m³/s ($0.2 Q_{bf}$),

214 141.58 m³/s (1.0 Q_{bf}), 283.17 m³/s (2.0 Q_{bf}), 597.49 m³/s (4.2 Q_{bf}), 1194.97 m³/s (8.4
215 Q_{bf}), 2389.94 m³/s (16.8 Q_{bf}), and 3126.18 m³/s (22.0 Q_{bf}). For the two highest test
216 discharges, water spills out beyond the Feather model domain so analyses requiring
217 that domain were only analyzed up to 1194.97 m³/s. Geomorphic reach- and MU-scale
218 statistics not reliant on that domain were calculated using all discharges. Downstream
219 WSEs were taken from water-level recorders and surveying observations at model flow
220 boundaries. In the few instances those were unavailable, the WSE predicted by a
221 downstream model at a shared boundary was used to condition the next upstream
222 model.

223

224 3.3. *Unvegetated gravel/cobble roughness*

225 Only 4.4 and 13.7% of the wetted area included woody vegetation at 28.32 and
226 141.58 m³/s, respectively. Therefore, estimation of the unvegetated gravel/cobble
227 surface roughness was established by comparing observed versus modeled WSEs for
228 roughness values of 0.03, 0.035, 0.04, 0.045, and 0.05 at observed low discharges in
229 the range of 14.16 to ~170 m³/s. Across all flows, the mean absolute deviation was
230 smallest and the histogram of signed deviations was closest to centered on zero for the
231 0.04 value (Barker, 2011), so this value was adopted to characterize the roughness of
232 all open ground.

233

234 3.4. *Vegetated roughness derivation*

235 Discrete roughness values were assigned to each node using the approach of
236 Casas et al. (2010). According to the derivation, hydraulic roughness parameterized
237 using Manning's n (in SI units) can be approximated for a wide, rectangular, open
238 channel with a sufficiently small streamwise slope by the equation:

239
$$\frac{U}{u_*} = \frac{h^{1/6}}{n\sqrt{g}} \quad (1)$$

240 where U is depth-averaged velocity, u_* is shear velocity, h is water depth, and g is the
241 gravitational acceleration constant. To solve Equation (1), an independent equation is
242 needed relating depth-averaged velocity to LiDAR-derived canopy height (D). For
243 shallow flow with sufficiently tall woody vegetation, the vertical velocity profile is
244 represented by a hyperbolic tangent distribution with parameters constrained by wind
245 tunnel experiments for diverse vegetation types (Raupach et al., 1996; Katul et al.,
246 1998; Katul and Albertson, 1999; Brunet and Irvine, 2000; Scanlon and Albertson,
247 2001). When the profile is integrated to obtain depth-averaged velocity and simplified
248 algebraically, the result is given by the equations:

249
$$\frac{U}{u_*} = C_u f(\xi, \alpha) \quad (2)$$

250
$$f(\xi, \alpha) = 1 + \alpha \frac{1}{\xi} \ln \left(\frac{\cosh\left(\frac{1}{\alpha} - \frac{1}{\alpha} \xi\right)}{\cosh\left(\frac{1}{\alpha}\right)} \right) \quad (3)$$

251
$$\xi = \frac{h}{D} \quad (4)$$

252 where C_u is the similarity constant (empirically estimated as 4.5), and α is the
253 characteristic eddy size coefficient (empirically estimated as 1) (Casas et al., 2010). For
254 $\xi > 7$ and $\xi < 0.2$, the velocity profile fits the log-law for a rough-wall boundary layer,
255 so Equation (5) assumes that $0.2 < \xi < 7$ (Katul et al., 2002; Casas et al., 2010). Thus,
256 any raster cell with ξ outside that range was given an n value of 0.04. Combining
257 Equation (1) and Equation (2) yields the final equation:

258
$$n = \frac{h^{1/6}}{\sqrt{g C_u f(\xi, \alpha)}} \quad (5)$$

259 Because commercial 2D modeling platforms integrate the logarithmic velocity
260 profile to solve for depth-averaged velocity, using Equation (5) to approximate
261 Manning's n is not entirely physically based unless the 2D model takes into account a
262 hyperbolic tangent velocity profile. Future 2D codes could do that. For the purposes of
263 this study, model-predicted velocity using SRH-2D was assumed to be compatible with
264 n calculated using Equation (5).

265

266 3.5. *Roughness map formulation*

267 Because of the stage-dependence of vegetated n , each model domain required a
268 unique spatially distributed roughness map for each discharge. Initial h estimates came
269 from unvegetated models. These estimates were used to make a TIN and then a 1-m
270 ESRI grid of h aligned with the D raster. Equation (5) was then implemented in each cell

271 to obtain a 1-m raster for vegetated n (Fig. 3). The software SMS and SRH-2D cannot
272 handle such a raster, so discrete cell values for n were binned with increments of 0.005
273 (e.g., 0.0525-0.0575, so that the bin is centered on 0.055). Any vegetated $n < 0.04$ was
274 substituted with 0.04; in other words, if vegetated roughness was insignificant, then
275 substrate roughness was considered the dominant effect.

276 Additional steps were needed to use the n raster in SMS. The n raster was
277 converted into spatially distributed polygons with the classified value of n as their
278 attribute. These polygons were then interpolated to the finite-volume mesh as element
279 material values using SMS. The SMS interpolation process takes the value of the
280 polygon that intersects the centroid of the finite-volume element to be the roughness
281 value of that element. As a result, some meaningful roughness variation was lost for the
282 3.05-m meshes. Models were then run with the new spatially distributed roughness
283 using unvegetated solutions as initial conditions.

284 A vegetated model run produces a different depth and wetted area, so iteration
285 was used until results were stabilized. This process involved using the h raster from the
286 first vegetated run in Equation (5) to obtain an improved n raster and then running the
287 model again. Each successive run yielded asymptotic convergence (Fig. 4), with only 1-
288 2 iterations commonly necessary.

289

290 3.6. 2D model validation

291 Extensive model validation was performed for unvegetated model simulations for
292 an order of magnitude of flow range (all flows under $\sim 170 \text{ m}^3/\text{s}$ with $\sim 4\text{-}15\%$ of wetted

293 area vegetated). Observations were generally collected away from vegetation.
294 Validation methods and results were detailed by Barker (2011). Herein, only key
295 validation findings are summarized. Mass conservation between specified input flow
296 and computed output flows was within 1%. As an example of WSE performance relative
297 to the river's mean substrate size of ~10 cm, 197 observations at 24.92 m³/s for a mean
298 signed deviation of -1.8 mm. For unsigned deviations, 27% were within 3.1 cm, 49% of
299 deviations within 7.62 cm, 70% within 15.25 cm, and 94% within 30.5 cm. From cross-
300 sectional surveys yielding 199 observations, predicted versus observed depths yielded
301 a coefficient of determination (r^2) of 0.66, which is on par with what is commonly
302 reported. Using Lagrangian tracking of an RTK GPS on a floating kayak, surface
303 velocity magnitude was measured at 5780 locations, yielding a predicted versus
304 observed r^2 of 0.79, which is significantly higher than commonly reported. Median
305 unsigned velocity magnitude error was 16%, which is less than commonly reported.
306 Also using Lagrangian tracking, velocity direction was tested at those 5780 points,
307 yielding a predicted versus observed r^2 of 0.80. Median direction error was 4%, with
308 61% of deviations within 5° and 86% of deviations within 10°. Overall, the 2D model
309 used in this study underwent intensive validation testing for feasible flows using a broad
310 suite of validation metrics, and the model met or exceeded all common standards of 2D
311 model performance.

312 In this study, 2D modeling was done for a range of floods and hazardous
313 hydraulic conditions for which no model validation by direct manual observation was
314 feasible. This is a common problem in floodplain 2D modeling. High cloud coverage
315 precluded the availability of inundated-area imagery. The available sources not

316 influenced by clouds were too coarse for meaningful comparison against model
317 predictions. However, this study presents an explanatory model conceived to
318 investigate physical processes more than a highly validated model for precise prediction
319 of large floods (Van Asselt and Rotmans, 2002; Murray, 2003, 2007). The latter is a
320 standard that no published articles of flood flows have yet met.

321

322 **4. Data analysis**

323 Model results were analyzed with respect to specific questions (Table 1) based
324 on a scale-dependent approach to characterize the effects of spatially distributed
325 floodplain vegetation on 2D river hydraulics. Each scale represents a different suite of
326 potential effects of vegetation on river processes and societal values, such as flood
327 management, channel change and resilience, and spatial pattern of stage-dependent
328 physical habitat. Mean differences at the MU scale could affect processes such as
329 maintenance of riffle-pool relief or lateral channel migration by bank scour and point bar
330 deposition. For the segment and reach scales, different tests were applied to gain
331 insight into bulk statistical, reach-stratified, and spatially distributed effects of this
332 roughness parameterization scheme. Table 1 indicates which scales were relevant for
333 which questions. The research goals presented in Table 1 were reduced from a larger
334 set (Abu-Aly, 2012) that is too big for journal length limits. The additional tests required
335 to be excluded to reduce article length examined (i) the spatial pattern and statistical
336 distribution of Manning's n , (ii) the statistical significance of the observed differences
337 between model outputs for each roughness scheme, and (iii) the effects of spatially

338 distributed vegetation roughness parameters on at-a-station hydraulic geometry
339 exponents. For full analysis and results, see Abu-Aly (2012).

340 A common workflow was used to process model outputs to answer scale-
341 dependent questions (Pasternack, 2011). The SRH-2D code produces nodal outputs for
342 water depth as well as velocity magnitude and direction. Model results for the three
343 model domains were combined to yield the segment-scale point data set for each
344 variable for both the constant and spatially distributed roughness schemes at each
345 discharge. Each point data set was used to make a TIN that was then used to produce
346 a 1-m raster. All the rasters were then clipped to each geomorphic reach and each MU
347 to yield data sets for scale-dependent analyses.

348

349 4.1. *Test 1: depth and velocity effects*

350 For each simulation, the maximum, mean, and standard deviation of velocity and
351 depth for the entire segment-scale model boundary were tabulated using ArcGIS Spatial
352 Analyst. Mean statistics for the constant nodal roughness model (without vegetation
353 roughness parameterization) were subtracted from the mean statistics for the spatially
354 distributed model (with vegetation roughness parameterization) at each spatial scale. A
355 negative value corresponds to a decrease in mean depth or velocity caused by the
356 addition of vegetation roughness, while a positive value corresponds to an increase in
357 mean depth or velocity for the same reason. All deviations were tested for statistical
358 significance ($p < 0.05$) with a t test (full methods and results curtailed for brevity; see
359 Abu-Aly, 2012). Absolute (i.e., unsigned) deviations and their percent changes for mean

360 depth and velocity were then calculated for each flow, plotted as a function of discharge,
361 and interpreted for scientific significance, as almost all were statistically significant.

362 A two-way test was applied to segment-scale results that compared the two
363 roughness parameterizations for their relative bulk hydraulic statistics as a function of
364 discharge, stratifying the river by in-channel versus overbank areas as well as by
365 vegetation versus open ground. The in-channel area was defined by the model-
366 predicted wetted area at $26.33 \text{ m}^3/\text{s}$, a low autumnal flow similar to that at which the
367 LiDAR data of vegetation canopy height was taken so that few vegetated raster cells
368 exist within the boundary. The overbank area is the remainder of the model domain.
369 The vegetated area is defined as the boundary of the 1-m resolution raster of Manning's
370 n . Absolute mean differences and percent changes in depth and velocity were
371 calculated for in-channel, overbank, and vegetated areas.

372 A three-way test was also done in which data were stratified and compared by
373 reach (Fig. 2), discharge, and either in-channel versus overbank or vegetated versus
374 open ground. Absolute mean differences and percent changes in depth and velocity
375 were calculated for three-way stratified results.

376

377 4.2. *Test 2: inundation area effects*

378 To gain insight into the discharge dependence of this increase, the total wetted
379 area (m^2) for both models at the segment scale was calculated and the difference
380 between the two model parameterization schemes was calculated for each flow.
381 Differences were interpreted for scientific significance.

382

383 4.3. Test 3: process effects

384 For each flow, a visual inspection of depth and velocity subtraction rasters (i.e.,
385 cell-by-cell differencing between the constant nodal roughness model results and the
386 spatially distributed roughness model results) was carried out to find locations with large
387 changes in depth and velocity caused by the addition of vegetation roughness
388 parameters and to determine any relationships between these locations and specific
389 hydraulic processes. Particular attention was paid to how roughness parameterization
390 affects lateral velocity profile and flow patterns around vegetation stands.

391

392 5. Results

393 5.1. Vegetation roughness statistics

394 Segment-scale vegetated Manning's n was found to have a bimodal distribution
395 with a range of 0.04 to 0.343, a mean of ~ 0.182 to 0.193 and a mode of ~ 0.202 to
396 0.228 , depending on discharge (Fig. 5). The nature of Equation (5) suggests that the
397 larger ξ is, the smaller the n value. Indeed, this is the common assumption of a
398 submergence effect on roughness that is assumed true for unvegetated rivers (e.g.,
399 Smart, 1999). Even though the drowning effect of increasing the discharge in the wetted
400 area at a lower flow was present in the results, it was offset by the presence of new,
401 higher roughness in the additional wetted area at the boundary. In the end, the real
402 effect is that the Manning's n distribution shifts toward increased mean and maximum
403 roughness with increasing discharge (Fig. 5). This same effect on segment-scale

404 roughness also ought to occur for unvegetated channels wherever wetted area
405 increases with discharge and the banks/floodplain are at least as rough as the bed.

406

407 5.2. *Test 1: depth and velocity effects*

408 For the range of modeled discharges, the addition of spatially distributed
409 roughness parameters resulted in an almost universal increase in mean depth and
410 decrease in mean velocity. Differences were statistically significant for both variables for
411 all flows at the segment scale. For the two variables in five reaches at seven
412 discharges, only four out of 68 deviations were not statistically significant. For the two
413 variables in seven MUs at seven discharges, only four out of 98 deviations were not
414 statistically significant. The magnitude of these differences increased with discharge.
415 Although differences at each scale followed a similar overall pattern, significant scale-
416 dependent variability in the differences were observed at segment (Fig. 6), reach (Figs.
417 7-10), and MU scales (Fig. 11).

418

419 5.2.1. *Segment-scale results*

420 Segment-scale analysis characterized hydraulic effects of spatially distributed
421 vegetation roughness on systemic metrics as a function of discharge. Model results for
422 velocity and depth were highly sensitive to spatially distributed nodal roughness
423 parameters. This sensitivity was shown to increase with discharge, because of an
424 increase in inundated vegetated areas at higher flows. At the segment scale, the
425 addition of spatially distributed vegetation roughness resulted in an overall decrease in

426 mean velocity (Fig. 6A,C), up to an ~ 0.305 m/s reduction at 1194.97 m³/s. Although the
427 absolute difference in mean velocity increased with discharge for lower flows, the
428 percent change in mean velocity leveled out above roughly $4 Q_{bf}$ (597.49 m³/s),
429 approaching 15%, indicating a loss of discharge independence. The in-channel area
430 was found to be the least affected by the addition of vegetation roughness, with a 5%
431 decrease in mean velocity at 1194.97 m³/s. Larger differences in mean velocity
432 occurred overbank, with over a 20% decrease in mean velocity at flood flows relative to
433 the constant nodal roughness model. The greatest effect of spatially distributed
434 roughness parameters was within the vegetated areas, with mean velocity decreases of
435 $\sim 40\%$ for flows > 283.17 m³/s.

436 The corresponding mean depth increased universally across all flows with the
437 addition of vegetation roughness (Fig. 6B,D). The in-channel area experienced the
438 greatest overall increase in mean depth, 0.365 m increase over the constant nodal
439 roughness model. However, because of a smaller mean depth, the overbank area
440 experienced a larger percent increase in mean depth driven by vegetation, with the area
441 20% deeper than in the constant roughness scheme. Mean depth increase within the
442 vegetated area was the most significant, up to 0.579 m at 1194.97 m³/s. The percent
443 increase as well as the absolute increase of mean depth showed strong discharge
444 dependence.

445

446 5.2.2. *Reach-scale results*

447 Reach-scale analysis of model results accounted for systematic spatial variability
448 in sediment transport capacity and sediment supply that is controlled by valley wall
449 undulations, major slope breaks, base level impacts of dams, and tributary junctions.
450 Reach-scale analyses revealed variability in the effects of vegetation roughness
451 parameters based on individual reach characteristics, but the magnitude of the
452 differences in model results and trends associated with discharge remained similar to
453 segment-scale differences. Mean velocity decreased ~ 0.305 m/s at 1194.97 m³/s for
454 most reaches. With the upper and middle reaches of the LYR (i.e., Parks Bar, Dry
455 Creek, and DPD) successfully modeled up to 3126.18 m³/s, the reach-scale results
456 showed an inflection point in the mean velocity difference and the mean depth
457 difference (Fig. 7A,C) that was otherwise unaccounted for in segment-scale results
458 constrained to 1194.97 m³/s. Mean velocity changes continued to grow with discharge
459 up to 0.45 to 0.60 m/s at 3126.18 m³/s. Mean depth increases up to 0.762 m over the
460 constant nodal roughness model were observed at Dry Creek and DPD (Fig. 7B,D).
461 Percent change in mean velocity and mean depth seem to level out after 597.49 m³/s,
462 with a slightly increasing trend in the reaches where 2389.94 m³/s and 3126.18 m³/s
463 were modeled. Flows smaller than Q_{bf} showed changes in depth and velocity of $< 5\%$,
464 consistent with the lower percent coverage of vegetation. Vegetation roughness
465 appeared to have the greatest effect on flows $> 2 Q_{bf}$.

466 Flow in the channel showed a much smaller decrease in mean velocity than that
467 flowing beyond the channel (Fig. 7A,C), but the addition of spatially distributed
468 vegetation roughness still had a noticeable effect, decreasing the mean velocity there

469 by 0.15 to 0.25 m/s at 1194.97 m³/s in all but one reach. The Dry Creek reach, an
470 anastomosing section bounded upstream by a tributary junction, actually experienced
471 an increase in mean velocity in the primary channel at 283.17 and 597.49 m³/s (Fig.
472 8A,C). Mean velocity changes in this reach at the two highest flows were noticeably
473 smaller than the other reaches. Mean depth in the main channel increases ubiquitously
474 at all flows (Fig. 7B,D). At the highest flows, mean depth increases from 0.45 to 0.91 m
475 over a model with constant nodal roughness. Above 1194.97 m³/s, percent changes in
476 depth and velocity leveled out, showing that differences between the two models were
477 scaling with discharge.

478 Changes in overbank hydraulics at the reach scale were greater than those in the
479 channel, as the overbank area is much larger, shallower, and more vegetated than the
480 main channel (Fig. 9). Mean velocity decreases were observed of 0.45 to 0.61 m/s at
481 higher flows. Mean depth increases were observed from 0.45 to 0.91 m. Although these
482 absolute differences were similar in magnitude to reach statistics, overbank areas had
483 lower mean velocity and depth than the channel. This resulted in generally higher
484 percent changes in mean velocity and depth in the floodplain. Mean velocity showed a
485 20-25% decrease at the highest flow for most reaches. The DPD reach experienced a
486 35% decrease in mean velocity at 3126.18 m³/s. The DPD reach is unique in the LYR
487 because (as a result of the pattern of historical aggregate extraction) it contains a
488 parallel floodway separated by a long, isolated training berm, including an inset channel
489 that is activated between 283.17 and 424.75 m³/s. This could account for the large
490 differences in velocity at higher flows, where the percent of flow contained in each
491 branch of the channel becomes shared nearly equally at the highest discharges. Mean

492 depth percent differences in the overbank area varied significantly depending on the
493 reach. Above 1194.97 m³/s, Parks Bar reach held a steady ~15% increase in mean
494 depth over the bare model. In this same range, Dry Creek and DPD showed a 25-30%
495 increase in mean depth.

496 Changes within vegetated areas were the most significant (Fig. 10). Mean
497 velocity decreases of 0.75 to 0.88 m/s and mean depth increases of 0.74 to 1.11 m
498 were observed throughout all the reaches at the highest flows when compared with the
499 model with an *n* of 0.04. Interestingly, mean velocity percent changes for all reaches
500 above 283.17 m³/s (Dry Creek above 1194.97 m³/s) were clustered in a tight band
501 between 35 and 40%. The 35 to 40% change takes into account a wide range of
502 roughness coefficients, spatially distributed according to vegetation presence
503 throughout each reach. This implies that above a certain flow threshold, the localized
504 effects on mean velocity of changing the roughness coefficient of an element are a
505 constant function of discharge. Mean depth percent increase in the vegetated areas
506 was much more sensitive to reach characteristics. Adding vegetation roughness to the
507 bare model caused a 15-30% increase in mean depth above 1194.97 m³/s, depending
508 on the reach. Again, Parks Bar was the least affected within ~ 15% increase in mean
509 depth, while DPD and Dry Creek exhibited changes in mean depth of ~ 30% above
510 1194.97 m³/s.

511

512 5.2.3. *MU-scale results*

513 Results at the MU scale showed the effects of spatially distributed roughness
514 parameters on hydraulics over discrete landforms. Mean depth and mean velocity
515 differences were shown to increase with discharge. However, because of relatively
516 different depths and velocities associated with each landform, percent changes were
517 shown to vary by unit (Fig. 11). In-channel bed units were least affected by spatially
518 distributed vegetation roughness parameters, with mean velocity changes of < 3%
519 across all flows. Mean depth changes were slightly more noticeable, but still < 11% in
520 riffles and pools across all flows. Nevertheless, these MUs were not immune to
521 roughness changes off-channel.

522 Bank and floodway units exhibited much greater sensitivity to spatially distributed
523 roughness, because of the large presence and influence of vegetation on those
524 landforms. Floodplains experienced a mean velocity decrease of 0.036 m/s at 283.17
525 m³/s, a 9.5% decrease, up to a mean velocity decrease of 0.256 m/s at 1194.97 m³/s, a
526 20% decrease. At 1194.97 m³/s, the floodplain unit experienced a 32% increase in
527 mean depth. Lateral bars experienced an ~ 20% decrease in mean velocity at all flows
528 above 28.32 m³/s. Point bars were also largely affected above 28.32 m³/s with mean
529 velocity decreasing 13.5 to 16.0% in this unit. While velocities on the floodplain
530 experienced a mean decrease, instances of flow acceleration through vegetation
531 patches in flood runners (i.e., ephemeral channels on the floodplain) occurred with an
532 increase in the maximum velocity by 0.116 m/s, even though the MU-averaged velocity
533 decreased.

534

535 5.3. *Test 2: inundation area effects*

536 Differences in model-predicted inundation extents (Table 2; Fig. 12) showed that
537 mean depth and total wetted area increased across all flows when spatially distributed
538 vegetation roughness was used. The absolute difference and percent change in
539 inundated area increased with discharge up to 597.49 m³/s. At this flow, the addition of
540 vegetated roughness increased the total wetted area of the flow by 616,224 m², an
541 11.7% increase. A slight drop off in total wetted area increase occurred at the highest
542 flow, 1194.97 m³/s, with only a 7.3% increase. Inundation extent was not as sensitive to
543 the roughness parameterization scheme as mean depth and mean velocity; however,
544 an 11.7% increase in the total wetted area can represent a significant difference for
545 flood risk managers.

546

547 5.4. *Test 3: process effects*

548 The addition of spatially distributed vegetated roughness had a significant effect
549 on the predicted occurrence and distribution of specific hydraulic processes. Floodplain
550 hydraulic complexity and cross-channel parabolic velocity profile are two key processes
551 impacted by choice of roughness scheme. At $\sim 8 Q_{bf}$, model-predicted velocities with
552 vegetated roughness showed a significant increase in overbank flow complexity when
553 compared to a model of the same flow with a constant nodal roughness (Fig. 13). A
554 cross section of the lateral velocity profile shows significantly more variability in velocity,
555 with clearly defined concentrations of faster flow along unvegetated pathways and
556 significantly slower flow within the vegetation itself (Fig. 14). Differences in the velocity

557 profile of ~ 1 m/s were observed within the vegetated areas. Mid-channel flow velocities
558 were also shown to be sensitive to vegetation roughness parameters, even in the
559 thalweg far from vegetation.

560 Changes in velocity in the spatially distributed roughness model at Q_{bf} were lower
561 than at higher flows (Fig. 15) but still showed significant spatial patterns. Velocity
562 decreases of 0.5 m/s occurred within vegetated areas, while slight increases in mid-
563 channel velocities occurred at the riffle cross section (Fig. 16). This comparison shows
564 that bank-lining vegetation acts as a proxy for bank roughness by channelizing thalweg
565 velocities, focusing higher velocities away from the bank slopes. However, much of the
566 main channel is not significantly affected by vegetation roughness at this flow, except in
567 channel constrictions and riffle crests where bank-lining vegetation causes an increase
568 in velocity.

569

570 **6. Discussion**

571 *6.1. Composition of roughness from vegetation*

572 Lower Yuba River substrates include heterogeneous gravel/cobble, but a key
573 finding of this study was that the range of roughness associated with substrate is
574 significantly smaller than that associated with the range of vegetation. Manning's n
575 values for substrate patches with different mixtures of gravel and cobble could range
576 from ~ 0.03 to 0.05 , and considering boulders and bedrock in some locations perhaps
577 up to ~ 0.06 to 0.075 . Some studies have found that bed roughness decreases with
578 increasing stage because of relative roughness, but where the incrementally new

579 wetted areas add more roughness to the bed or emergent in-channel features become
580 submerged that effect is not evident. For example, in the site-scale 2D model studies of
581 the LYR by Fulton (2008) and Sawyer et al. (2010), unvegetated riverbed roughness
582 was calibrated using observed WSE for a wide range of discharges above and below
583 Q_{bf} . No systematic variation in bed roughness was found in those studies, with stage-
584 dependent fluctuations limited to a narrow range of ~ 0.03 - 0.05 .

585 In contrast, this study found that Manning's n values for woody vegetation
586 patches ranged from 0.04 to 0.343, which is much wider than observed for unvegetated
587 substrate. Sawyer et al. (2010) conducted a detailed allometric analysis of the
588 vegetated riverbank along a pool-riffle-run complex upstream of the segment in this
589 study on the LYR to carefully estimate a single roughness value of 0.057. That value is
590 within the range observed in this study, but this study found that patches of that size
591 include an order-of-magnitude range of values and that range is dynamic over an order-
592 of-magnitude when flow changes over roughly three orders of magnitude. This
593 qualitative sensitivity analysis leads to the conclusion that model accuracy benefit more
594 from investing in spatially distributed woody vegetation roughness parameterization
595 than spatially distributed substrate roughness parameterization in vegetated areas.
596 Further, a simpler, spatially distributed approach is more important to 2D modeling than
597 a detailed analysis of local vegetated structure, such as may be done using terrestrial
598 LiDAR, allometric characterization, or other plant-scale manual measurements.

599 However, metrics evaluated across such a large number of elements begin to
600 call into question the roughness parameterization method itself and whether or not it is
601 indeed physically based. Manning's roughness in 2D models would ideally be

602 representative of the structural characteristics of the ground cover and the momentum
603 loss associated with it. A degree of unquantified uncertainty in 2D modeling already
604 exists and roughness parameterization using calibration techniques turns the Manning's
605 roughness coefficient into a sink of that uncertainty. The roughness parameterization
606 method proposed by Casas et al. (2010) has merit in the fact that the two input
607 variables are physically based and can be estimated with a large degree of certainty.
608 But, for multimillion element models across an ~ 40-km-long river, similar results could
609 perhaps be obtained with any reasonable woody vegetation roughness
610 parameterization method such as classifying the floodplain and main channel only, or
611 using ostensibly uniform roughness values to account for all of the vegetated areas, or
612 any other method in the current literature. However, such alternate methods tend to be
613 highly subjective and legally disputable compared to the objective algorithm used in this
614 study. Without unfeasibly detailed validation data sets to compare with, the accuracy of
615 roughness parameterization methods will always come into question. Even though the
616 exact calculated values of each nodal roughness coefficient can come under scrutiny,
617 riparian vegetation undeniably causes a varying degree of momentum loss on the flow,
618 as momentum is dependent on the height and density of ground cover. With remote
619 sensing techniques to map the spatial distribution and structural characteristics of
620 vegetation becoming easily obtainable and widely implemented at very large scales, the
621 next generation of 2D models will have to consider, in some sense, the significant
622 effects that floodplain vegetation can have on model outputs.

623

624 6.2. *Coherent differences*

625 The differences between the two roughness schemes for mean depth and mean
626 velocity were shown to be statistically significant and highly ordered for a wide range of
627 flows. Differences at the segment scale were shown to be significant at all modeled flow
628 rates. Differences at the reach scale were shown to be significant at Q_{bf} and above.
629 Differences at the MU scale were MU-dependent; those with little to no vegetation had
630 less significant deviations than those containing it. Overbank units such as floodplain
631 show much greater sensitivity at flows above Q_{bf} than in-channel units such as riffles
632 and pools. These results suggest that the usefulness of a high resolution, spatial-
633 distributed, vegetation roughness parameterization scheme is limited by the size of the
634 inundated vegetated area. Modeling applications that focus on aquatic microhabitat (i.e.,
635 ~ 1-m point scale) in lightly vegetated gravel-bed streams do not need to apply a
636 spatially distributed roughness scheme in order to achieve what would end up as
637 statistically indistinguishable results. However, spatially distributed roughness
638 parameters have a large impact on reach- and segment-scale, multimillion element,
639 hydrodynamic models that include diverse vegetated settings and important floodplain
640 hydraulics questions.

641

642 6.3. *Stage-dependent river hydraulics*

643 The effects of spatially distributed vegetation roughness increase with discharge
644 for mean depth and velocity across all scales and was ubiquitous in the river above Q_{bf} .
645 Segment- and reach-scale assessments showed that the largest differences between

646 the two roughness schemes occurred overbank within vegetated areas. Percent
647 changes in mean velocity level out above approximately two times Q_{bf} , but absolute
648 differences in mean velocity and depth continue to grow with discharge. The MU-scale
649 results show that the effect of vegetation is greatest in bar and overbank units (where
650 wetted area increases are focused) and that mean velocity and depth differences
651 between the two roughness parameterization schemes increase with discharge in these
652 units. Mid-channel units such as riffles and pools were affected, but less so, because
653 they were not receiving additional local roughness, just experiencing the distal effects of
654 roughness increases elsewhere.

655 The addition of spatially distributed roughness significantly changed predicted
656 hydraulics. Mean depth increases effectively increased the inundation extents for each
657 flow and raised model-predicted WSEs. Likewise the spatially distributed roughness
658 scheme resulted in significant changes to the lateral velocity profile and decreased
659 mean velocity. Overbank areas experienced significant changes in predicted velocity
660 patterns with complex interactions between flow and vegetation.

661 For reach- and segment-scale 2D models, a significant difference exists between
662 using a spatially distributed vegetated roughness scheme versus a constant roughness
663 scheme, especially at flows above Q_{bf} . Meter to decimeter resolution hydrodynamic
664 models concerned with flood flows would almost certainly have to apply some sort of
665 spatially distributed roughness parameterization scheme in order to accurately capture
666 overbank flow patterns. While the accuracy of the exact roughness values applied to
667 each node can come under scrutiny depending on the method, high resolution models
668 at all scales clearly are sensitive to small changes in nodal roughness.

669

670 6.4. *Flood inundation*

671 The addition of spatially distributed roughness parameters to the 2D model
672 increased mean depth universally across all flows, causing a significant increase in area
673 of inundation. The magnitude of this difference varied with discharge, dependent on
674 channel geometry and vegetation patterns. Rivers with broad, vegetated active
675 floodplains or braidplains would experience a larger increase in model-predicted
676 inundation extent than rivers with steep valley walls. This particular metric has a
677 significant effect on flood risk modeling where accurate prediction of flood boundaries
678 can mean the difference between flood waters being contained within or overtopping
679 bounding levees. Inundation extent also affects physical habitat modeling where shallow
680 depths in vegetated channel margins account for juvenile salmonid rearing habitat.

681

682 6.5. *Key hydraulic processes*

683 Observation of the local effects of vegetation roughness parameters on
684 hydraulics illustrated the spatial structure of the statistical changes characterized in the
685 previous tests. Spatially distributed vegetation roughness parameters have a significant
686 effect on in-channel and overbank hydraulic patterns. Complex interactions between
687 modeled depths, velocities, and vegetation are revealed that would seem to be
688 physically based. This has broad-reaching implications for the design and application of
689 hydrodynamic models across a range of scientific disciplines. Several fish habitat
690 metrics (e.g., extent of shallow water, habitat heterogeneity in floodplain refugia, and

691 covered habitat conditions along banks) rely on accurately modeled depths and
692 velocities at the microhabitat scale. Predicting erosional patterns based on modeled
693 shear stresses requires accurate representation of the 2D velocity field. River
694 rehabilitation projects may rely on MU- and reach-scale models of overbank flow
695 patterns to characterize high flow channels that can harbor riparian vegetation and fish-
696 rearing habitat. Flood risk management relies on accurate inundation extent maps taken
697 from hydraulic model results at the segment scale. The results presented in this study
698 have shown that parameterization of floodplain vegetation roughness greatly affected
699 predicted model output at all scales investigated.

700

701 **7. Conclusions**

702 Spatially distributed roughness parameters in 2D models were found to yield a
703 significant effect on 2D hydraulic model results. The extent of the sensitivity of model
704 results is both stage- and scale-dependent. With the spatially distributed roughness
705 model, mean water depth increased up to 0.8 m (25%) and mean depth-averaged
706 velocity decreased by up to 0.6 m/s (30%) at the maximum modeled discharge of
707 $3126.18 \text{ m}^3/\text{s}$ ($22 Q_{bf}$) when compared to the constant roughness model. At $141.58 \text{ m}^3/\text{s}$
708 (Q_{bf}), these differences were on the order of a 5% decrease in mean depth-averaged
709 velocity and a 1% increase in mean water depth. These results show the range and
710 magnitude of differences that roughness parameters can have on 2D model output and
711 reflect the importance of accurately mapping, characterizing, and accounting for riparian
712 vegetation in 2D hydraulic river models. Remote sensing techniques to map the spatial
713 distribution and structural characteristics of vegetation are now easily obtainable and

714 widely implemented at very large scales. As the spatial discretization of hydraulic
715 models gets smaller with increases in computing power, model results will represent
716 ever smaller spatial scales and in more detail than current 2D models. Vegetation
717 presence mapping is already at the level of spatial resolution of digital elevation models,
718 and in the efforts to achieve predictive hydrodynamic modeling, roughness
719 parameterization must take on this same level of detail.

720

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729

730 **9. References**

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Uncorrected Final Manuscript

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883 **Figure Captions:**

884 Fig. 1. Schematic of the mixing layer in shallow streams.

885 Fig. 2. Lower Yuba River study area, including the location of the watershed in the
886 United States and California, 2D model reach domains, and geomorphic reaches.

887 Fig. 3. Sample of one Manning's n raster (1-m resolution; 3126.18 m³/s).

888 Fig. 4. Convergence of WSE through model iterations with refined water depth inputs to
889 Equation (5) (Hammon reach at 597.49 m³/s).

890 Fig. 5. Manning's n histograms for (A) 28.32 m³/s, (B) 141.58 m³/s, (C) 283.17 m³/s, (D)
891 597.49 m³/s, and (E) 1194.97 m³/s.

892 Fig. 6. Mean differences for velocity (A) and depth (B); mean percent difference for
893 velocity (C) and depth (D) for the segment scale.

894 Fig. 7. Mean differences for velocity (A) and depth (B); mean percent difference for
895 velocity (C) and depth (D) stratified by reach and using the entire wetted area at
896 each flow.

897 Fig. 8. Mean differences for velocity (A) and depth (B); mean percent difference for
898 velocity (C) and depth (D) stratified by reach but only within the channel.

899 Fig. 9. Mean differences for velocity (A) and depth (B); mean percent difference for
900 velocity (C) and depth (D) stratified by reach, but only out of the channel.

901 Fig. 10. Mean differences for velocity (A) and depth (B); mean percent difference for
902 velocity (C) and depth (D) stratified by reach and within vegetated areas.

903 Fig. 11. Mean differences for velocity (A) and depth (B); and mean percent difference
904 for velocity (C) and depth (D) stratified by morphological unit.

905 Fig. 12. Wetted area comparison between the spatially distributed vegetated roughness
906 model and the model with constant unvegetated roughness.

907 Fig. 13. Overbank velocity differences between the two roughness schemes at 1194.97
908 m³/s.

909 Fig. 14. Lateral velocity profile cross section at 1194.97 m³/s.

910 Fig. 15. Mid-channel velocity differences between the two roughness schemes at Q_{bf} .

911 Fig. 16. Lateral velocity profile cross section at Q_{bf} .

Table 1

Research questions and testing approach

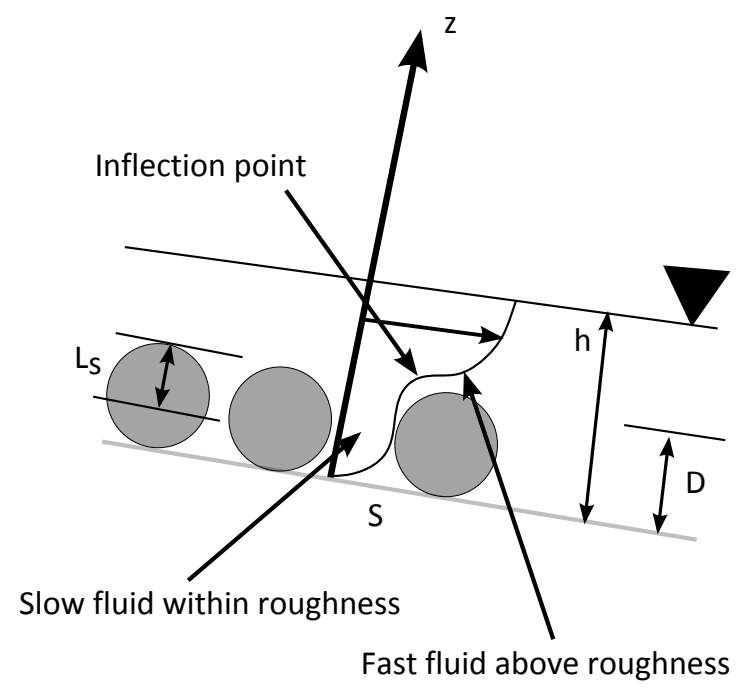
Research goals	Tests applied to evaluate questions ^a
Goal 1: Characterize stage-dependent role of vegetation-induced roughness on river hydraulics.	
1a. What are the statistical differences at each scale between roughness schemes with respect to mean velocity and depth as a function of discharge?	1a. Plot and describe the differences in mean velocity and mean depth versus discharge for each scale. Test statistical significance of differences using <i>t</i> test.
1b. Are the most significant effects localized in any specific river-corridor zone at segment and reach scales?	1b. Stratify model results into specific river-corridor zones for comparison, such as channel versus overbank area and unvegetated versus vegetated area.
Goal 2: Characterize the role of vegetation-induced roughness on flood inundation.	
2. How does the addition of spatially distributed roughness affect model predicted inundation extent?	2. Calculate the wetted area for both the uniform roughness model and the spatially distributed roughness model in ArcGIS and compare for each flow (segment scale only).
Goal 3: Analyze response of hydraulic processes to spatial patterns in vegetation-induced roughness.	
3. What are the effects of spatially distributed roughness parameters on specific hydraulic processes, such as in channel lateral velocity profile and overbank flooding?	3. Visual inspection of the spatial distribution of model-predicted velocity and depth difference at individual sites that illustrate the process differences depending on the roughness scheme.

^aTest applies to all three spatial scales unless otherwise indicated.

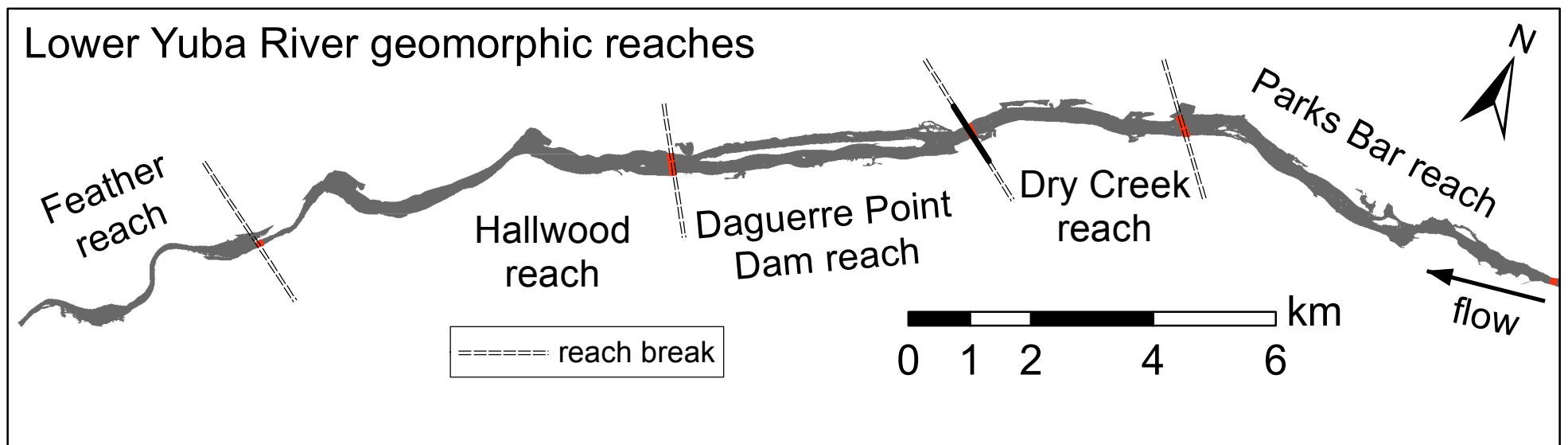
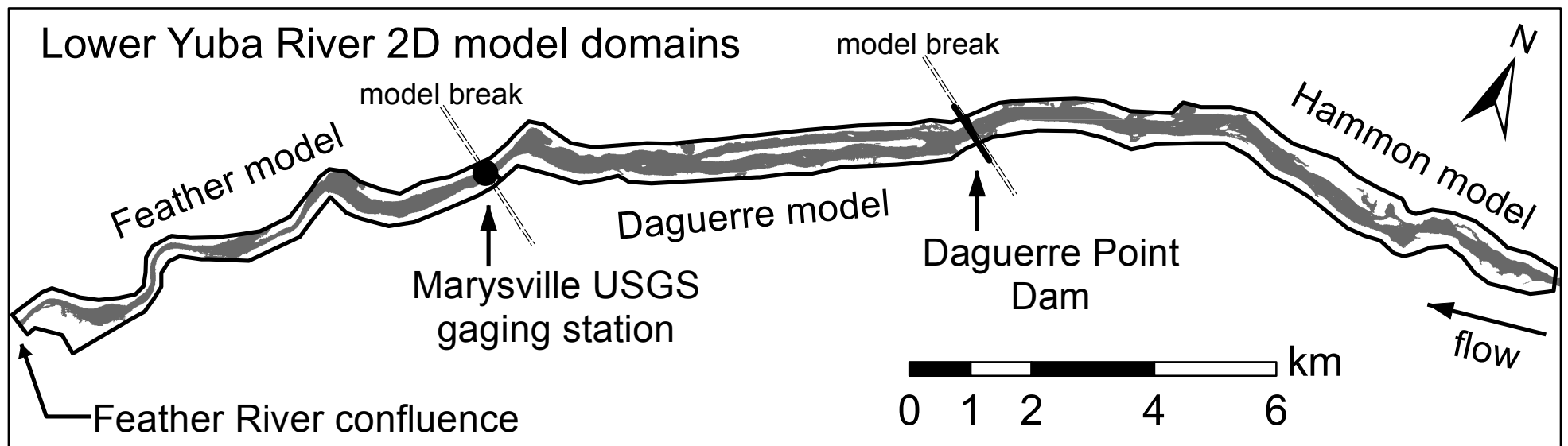
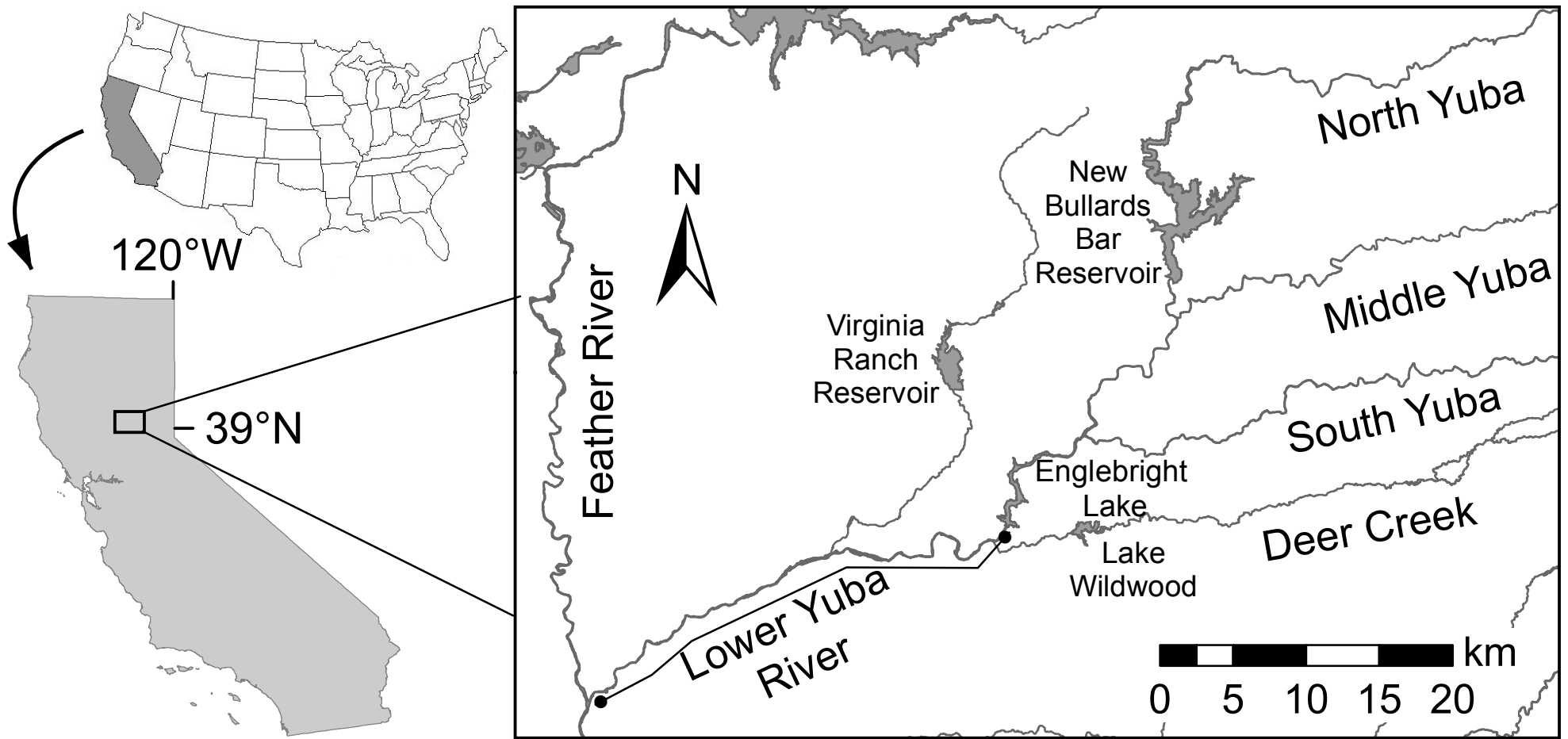
Table 2

Model predicted wetted area and inundated vegetated area

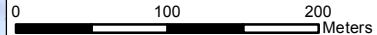
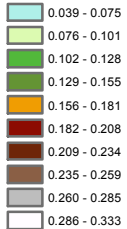
Discharge (m ³ /s)	Bankfull factor	Model-predicted wetted area (m ²)				Area increase	Increase (%)	Inundated vegetated area (m ²)	Total wetted area (%)
		With constant nodal roughness	With spatially distributed roughness						
28.32	0.20	1,700,125	1,702,960	2,835	0.17%	14,641	0.86%		
141.58	1.00	2,655,223	2,716,310	61,086	2.30%	150,281	5.53%		
283.17	2.00	3,415,892	3,759,209	343,316	10.05%	321,106	8.54%		
597.49	4.20	5,268,466	5,884,690	616,224	11.70%	906,561	15.41%		
1194.97	8.40	7,007,945	7,521,049	513,104	7.32%	1,493,975	19.86%		

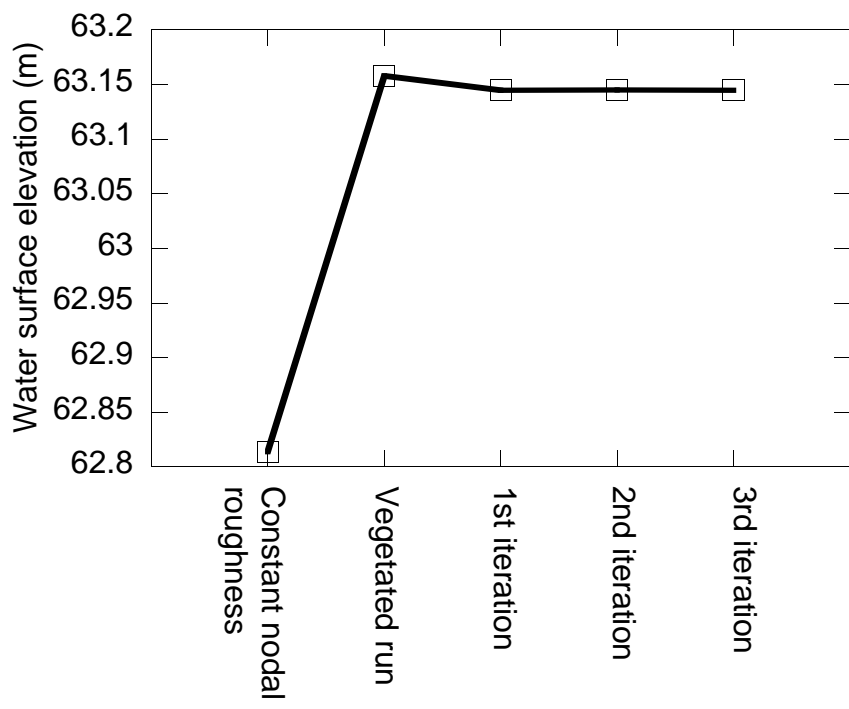


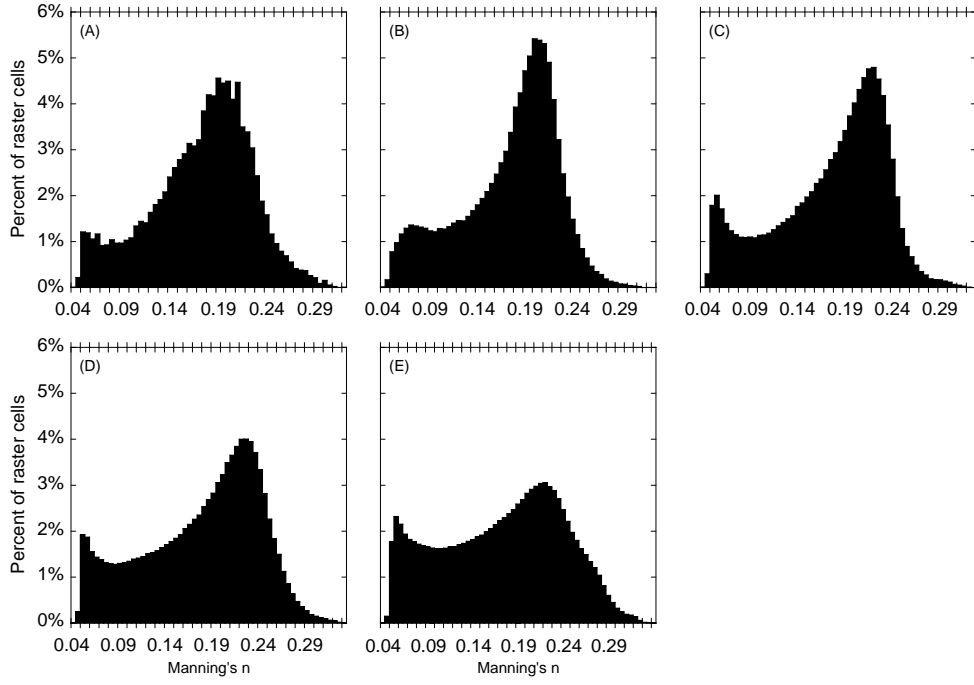
Modified after Casas et al. (2010)

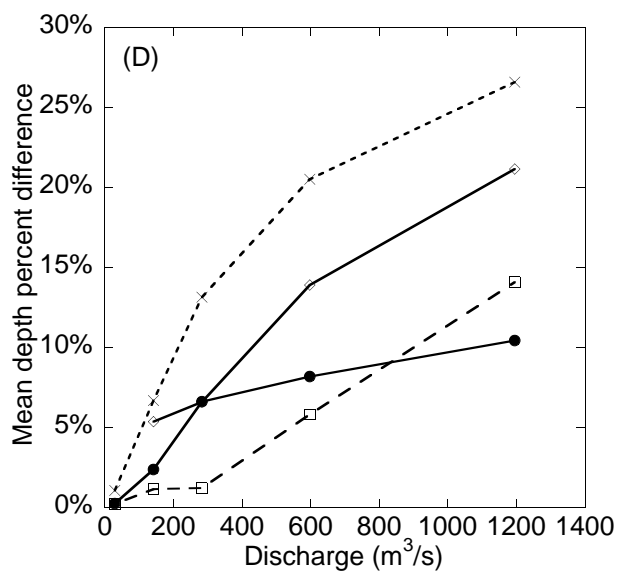
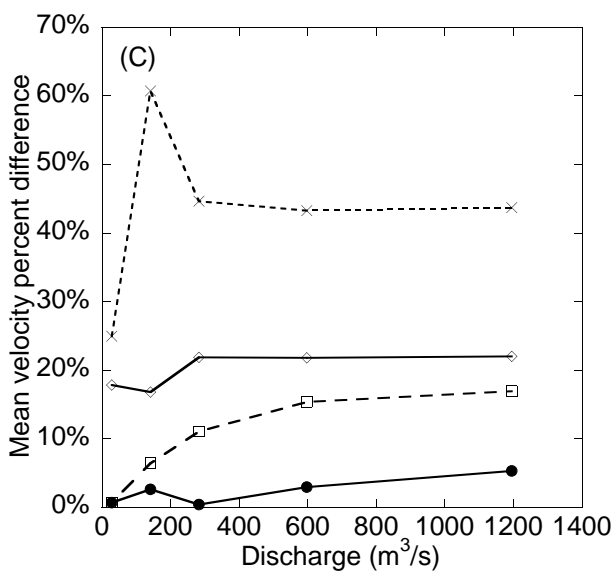
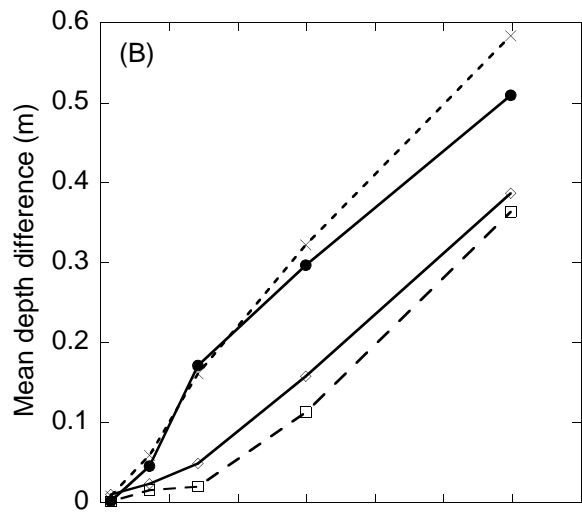
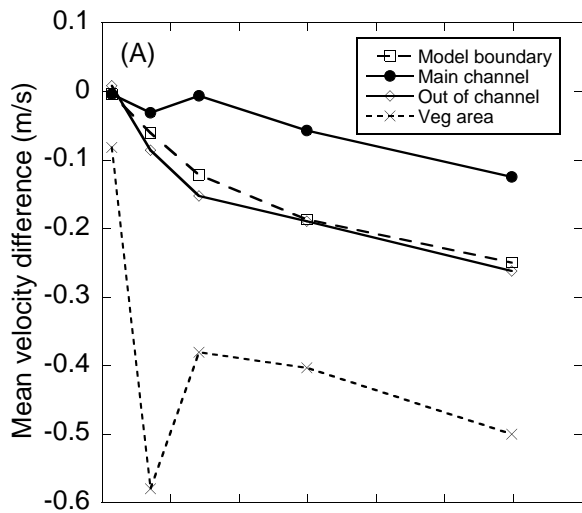


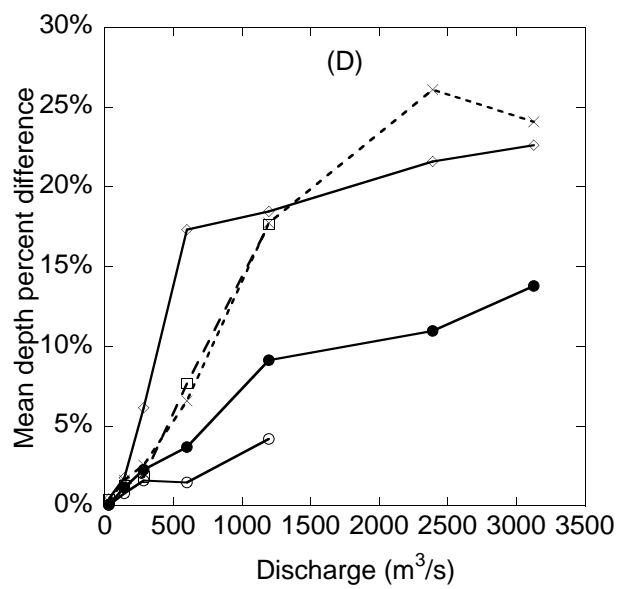
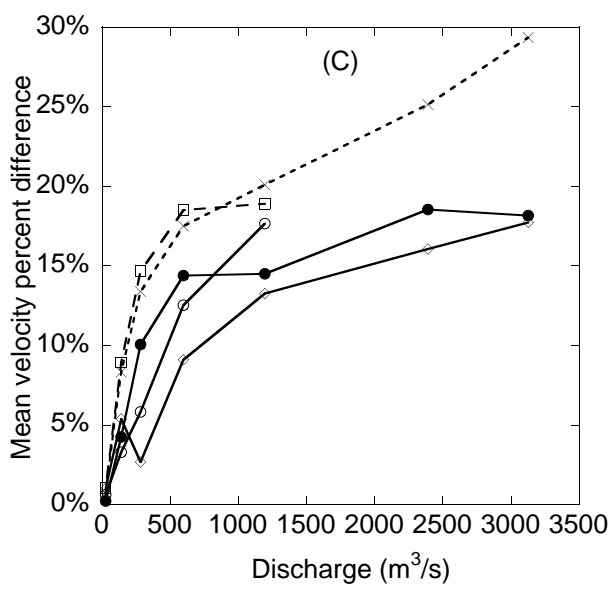
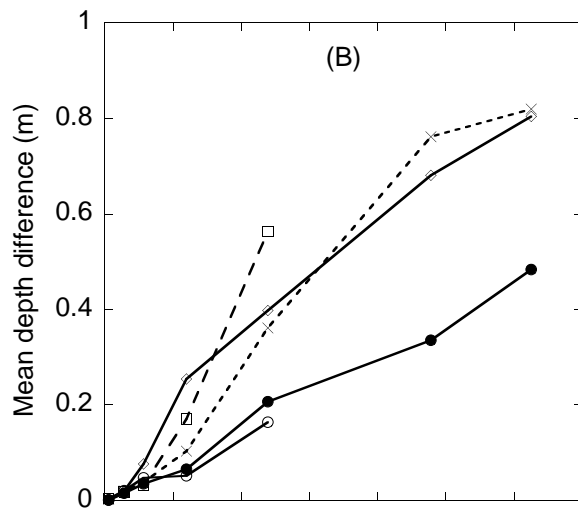
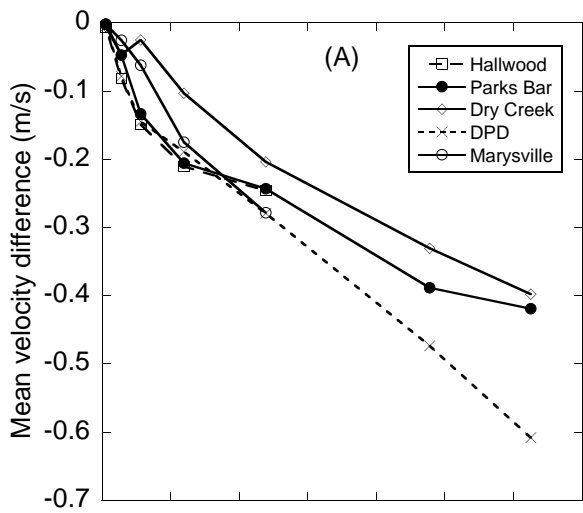
Manning's n
(3,126.18 m³/s)

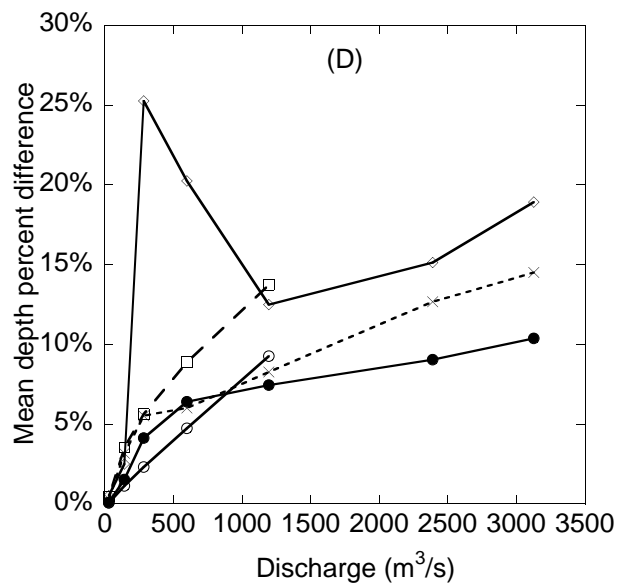
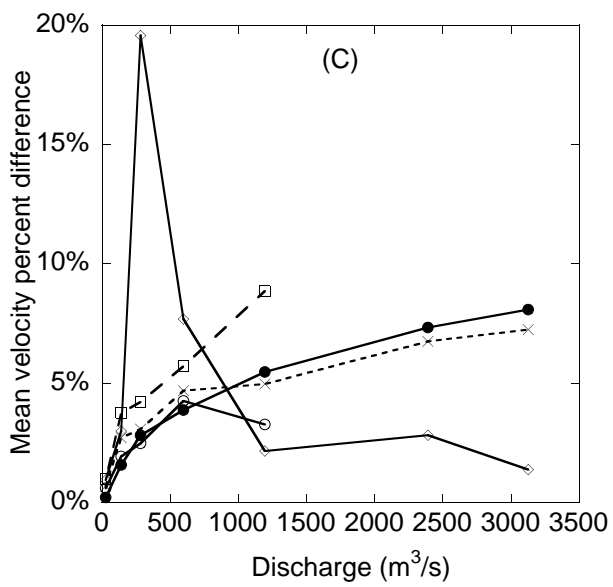
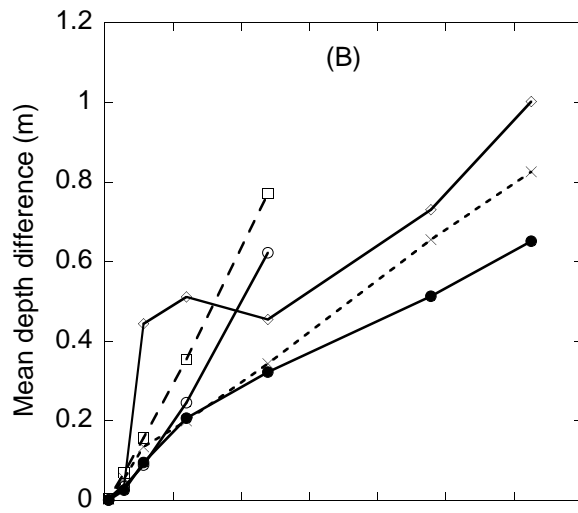
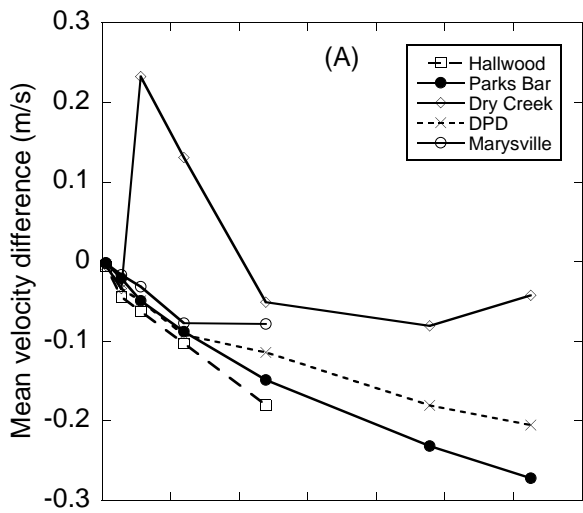


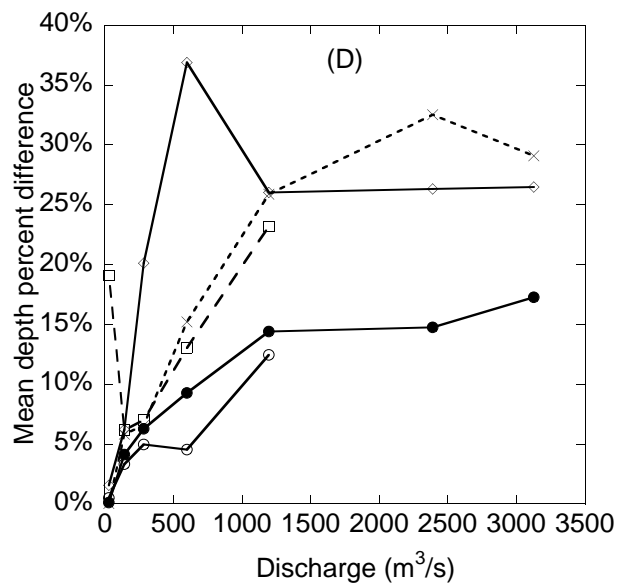
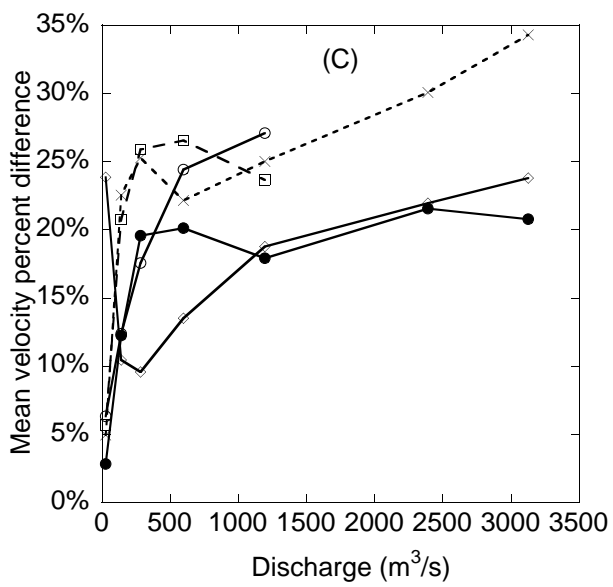
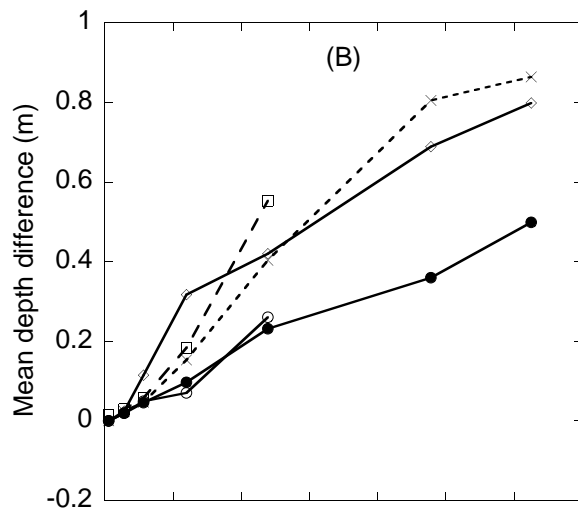
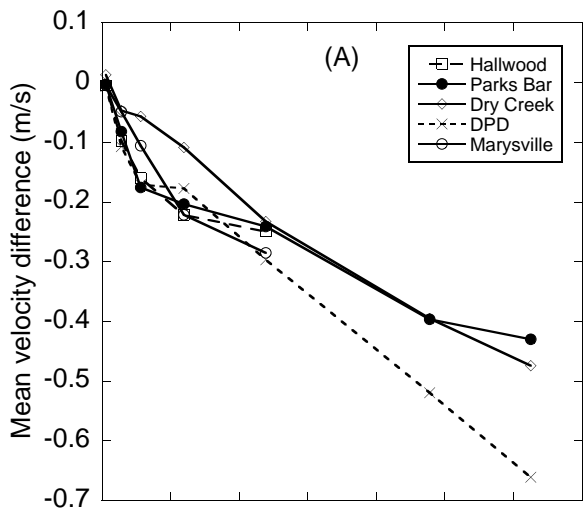


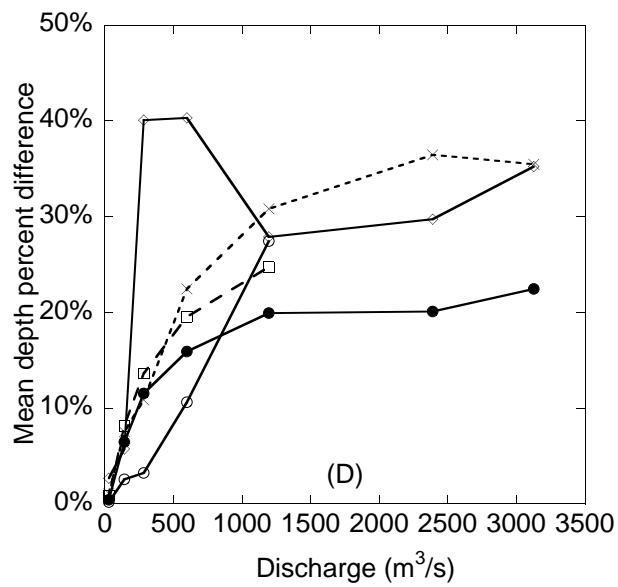
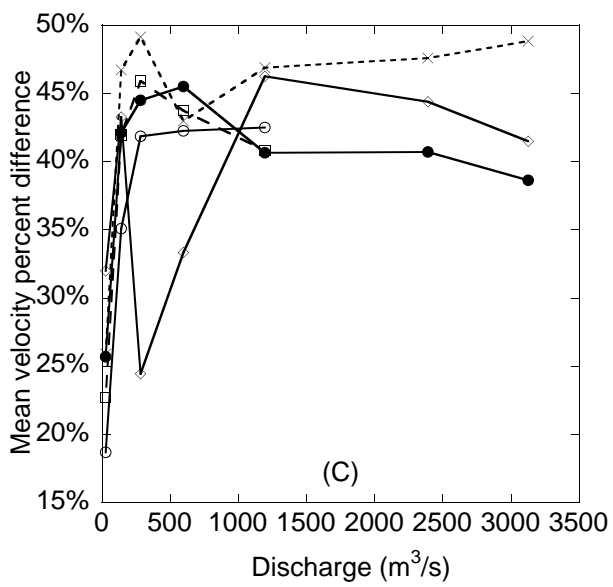
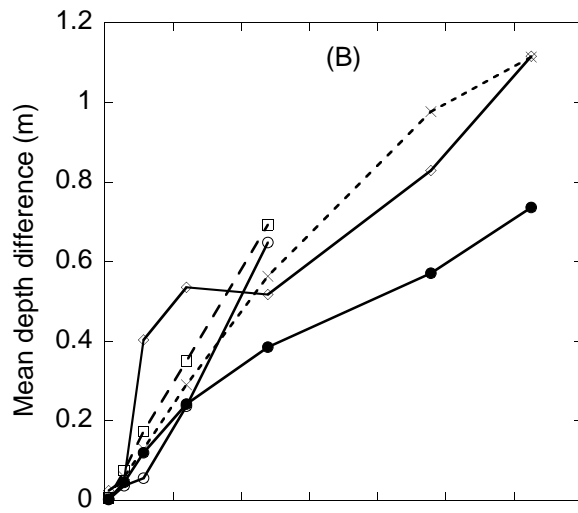
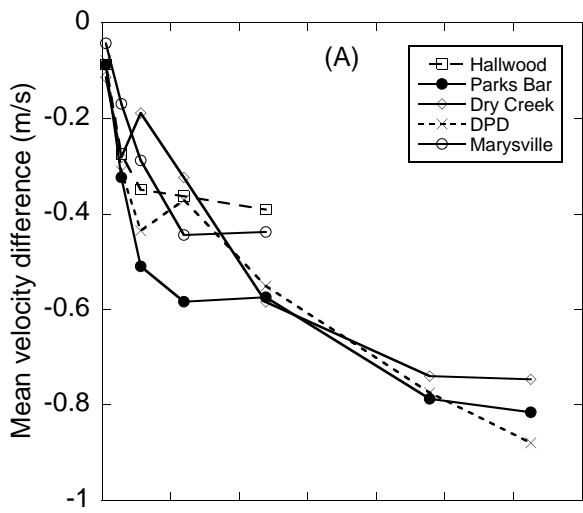


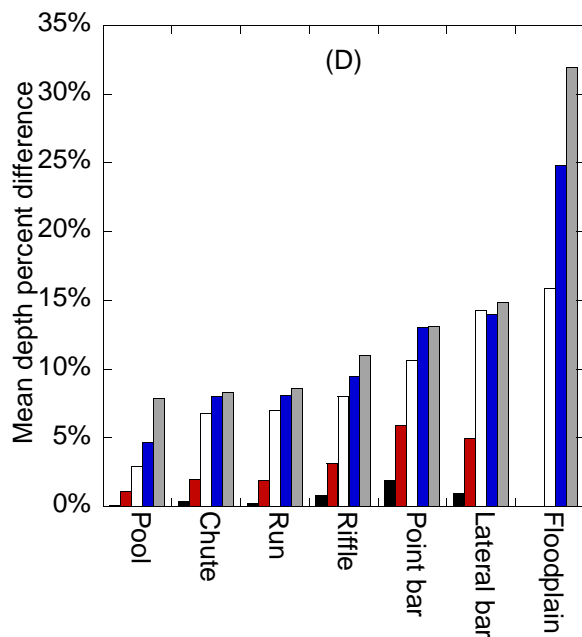
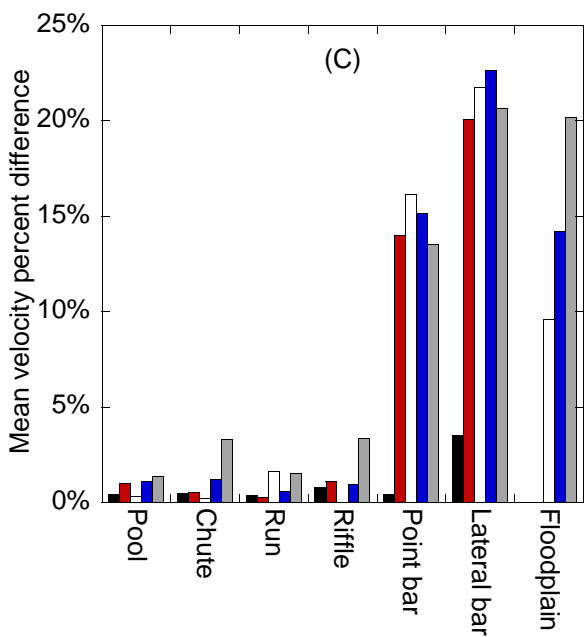
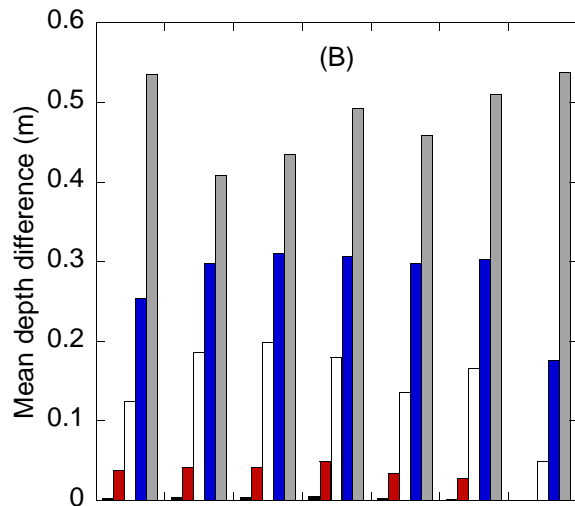
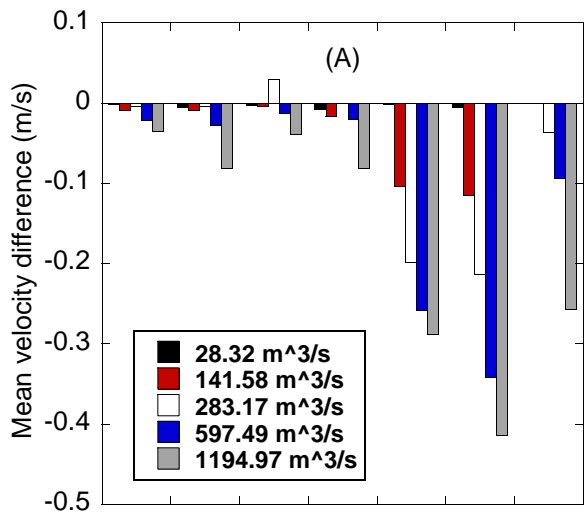












Modeled inundation extents (597.49 m³/s)

- Wetted area without vegetation
- Wetted area with vegetation

