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# Polychlorinated biphenyls influence on autism spectrum disorder risk in the MARBLES cohort

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#### **Abstract**

Background: Autism spectrum disorder (ASD) is suspected to have environmental and genetic contributions. Polychlorinated biphenyls (PCBs) are environmental risk factors of interest due to their potential as neurodevelopmental toxicants and environmental persistence despite a US production ban in the 1970s.

**Methods:** Participants were mother-child pairs from MARBLES, a high-risk pregnancy cohort that enrolls families who have one child diagnosed with ASD and are planning to have another child. PCB concentrations were measured in maternal blood at each trimester of pregnancy using gas chromatography coupled with triple quadruple mass spectrometry. Concentrations were summed into total PCB and two categories based on function/mechanisms of action: dioxin-like (DL), and ryanodine receptor (RyR)-activating PCBs. Multinomial logistic regression assessed risk of clinical diagnosis of ASD and non-typical development (Non-TD) compared to typically developing (TD) in the children at 3 years old.

**Results:** A total of 104 mother-child pairs were included. There were no significant associations for total PCB; however, there were borderline significant associations between DL-PCBs and decreased risk for Non-TD diagnosis (adjusted OR: 0.41 (95% CI 0.15 to 1.14)) and between

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RyR-activating PCBs and increased risk for ASD diagnosis (adjusted OR: 2.63 (95% CI 0.87 to 7.97)).

**Conclusion:** This study does not provide strong supporting evidence that PCBs are risk factors for ASD or Non-TD. However, these analyses suggest the need to explore more deeply into subsets of PCBs as risk factors based on their function and structure in larger cohort studies where non-monotonic dose-response patterns can be better evaluated.

#### Keywords

Polychlorinated Biphenyls; Autism; Pregnancy; Ryanodine Receptor; Prospective Study

#### 1. Introduction

Autism spectrum disorder (ASD) is a neurodevelopmental disorder (NDD) affecting approximately 1.5% of children in high-income countries (Lyall et al., 2017a; Newschaffer et al., 2007). ASD encompasses a range of symptoms and severities, with key areas of disability including difficulty communicating, interacting, learning, and behaving (Centers for Disease Control and Prevention, 2017). ASD incidence has risen since the 1970's in the United States (Nevison, 2014) and continues to increase, with a current Center for Disease Control and Prevention (CDC) estimate that 1 in 59 children has ASD (Centers for Disease Control and Prevention, 2018). This rise is only partially explained by changing diagnostic criteria, inclusion of milder cases and earlier age of diagnosis (Hertz-Picciotto and Delwiche, 2009). Despite a strong genetic component in a small percentage of ASD cases, genetic factors alone cannot account for the steady increase (Hallmayer et al., 2011; Herbert, 2010). Thus, further etiologic research is needed to identify ASD risk factors with scientific evidence pointing to environmental contaminants (Kalkbrenner et al., 2014; Kim et al., 2010).

Polychlorinated biphenyls (PCB) are a group of synthesized man-made chemicals that are generally accepted as human neurodevelopmental toxicants, with early life exposure linked to neurological deficits in children (Berghuis et al., 2015; Boucher et al., 2009; Schantz et al., 2003). There are 209 PCB congeners differing in degree and placement of chlorine atoms on a biphenyl structure. Despite the late 1970s ban of PCB production in the United States, PCBs persist in the environment due to their long half-life and are frequently detected in environmental media (Marek et al., 2017) and human tissues (Marek et al., 2014; Needham et al., 2011). PCBs leach into the environment from breakdown of old buildings and waste facilities (Herrick et al., 2007; Klosterhaus et al., 2014) and continue to be produced as unintentional byproducts of paint pigment synthesis (Guo et al., 2014). PCB exposure is widespread amongst women of child bearing age (Hopf et al., 2009; Koh et al., 2015) and levels in mothers correlate to levels in their children (Koh et al., 2015). Although the exact PCB placental transfer rate is still under investigation, studies suggest that PCBs are able to cross the placental barrier and enter the *in utero* environment (Eguchi et al., 2018; Lancz et al., 2015).

Though some studies have reported a null association between PCBs and ASD risk (Braun et al., 2014; Brown et al., 2018), other evidence suggests that PCBs may be risk factors for the

development of ASD (Eubig et al., 2010) with studies showing increased risk for NDDs with perinatal PCB exposure (Cheslack-Postava et al., 2013; Lyall et al., 2017b; Sagiv et al., 2010). One study found that PCB exposure *in utero* was associated with poorer cognitive ability at 42 months of age (Patandin et al., 1999), and several animal model studies have also identified an association between prenatal PCB exposure and poorer spatial learning ability and motor coordination (Boix et al., 2010; Widholm et al., 2004).

The Markers of Autism Risk in Babies – Learning Early Signs (MARBLES) study (Hertz-Picciotto et al., In Press) includes mother-child pairs in families where at least one older sibling of the child had a diagnosis of ASD. Having one older sibling with ASD has been associated with up to an 18.7% increased risk of ASD in the younger sibling (Ozonoff et al., 2011). This study expands current literature focused on the general population by examining the unique risk in Northern California families with increased genetic susceptibility for ASD, while also analyzing a broad range of structurally/mechanistically diverse PCBs. PCBs have previously been divided into two categories, dioxin-like (DL) or non-dioxin-like (NDL), based on activity at the aryl hydrocarbon receptor (White and Birnbaum, 2009), which is an integral receptor for normal neurodevelopment (Petersen et al., 2006). Early research focused mainly on DL-PCBs, but NDL-PCBs are extremely potent sensitizers of the ryanodine receptor (RyR), a calcium channel critical for typical neurodevelopment (Pessah et al., 2010; Wong et al., 1997). To capture two main sensitive targets of PCBs, a subset of the range of congeners selected in this study are considered to be DL-PCBs and some are potent activators of the RyR. Therefore, our study assesses a uniquely susceptible population and expands on the number of PCBs analyzed by assessing the effects of developmental exposure to 17 PCB congeners in utero, while also addressing DL-PCBs and RyR-active PCBs.

## 2. Materials and methods

#### 2.1. Participants

The University of California (UC) Davis MIND Institute in Sacramento, CA facilitates research in developmental disorders and is where clinical assessments are conducted for the MARBLES cohort. This cohort was established in 2006 as a prospective, high-risk cohort, and still enrolls families. The MARBLES cohort is comprised of the younger siblings in families with at least one older child diagnosed with ASD. Mothers are recruited either before conception or during the pregnancy of the younger child. Families must reside within 2.5 hours driving distance from the MIND Institute. Questionnaire data and bio-specimens are collected throughout pregnancy and after childbirth, including blood samples. Data includes many potential confounders and effect modifiers, which were included in the assessment of PCB risk. The outcomes of ASD, non-typical development (non-TD), or typically developing (TD) in the younger child of interest, are assessed at 3 years of age at the MIND Institute by trained personnel that formally attain research reliability, and using an algorithm including clinical diagnosis and scores from two research-grade measures, Autism Diagnostic Observation Schedule (ADOS) and Mullen Scales of Early Learning (MSEL) (Ozonoff et al., 2014). ASD was diagnosed if children had scores over ADOS cutoff and were classified as ASD using the DSM-4. Children were diagnosed as Non-TD if

they did not meet criteria for ASD, but had low MSEL scores, more than one score 1.5 SD below the mean or at least one score 2 SD below the mean, or high ADOS scores. If children scored at least three points below ADOS cutoff and had all four MSEL scores within 2.0 SD of the normative mean, or had only one MSEL subscale score 1.5 SD below the mean, they were considered to be typically developing.

Inclusion criteria included confirmed diagnosis of ASD in an older sibling of the child and active status in the MARBLES cohort, meaning participants had no loss to follow-up and had not declined further participation in the study. Participant observations were excluded if the child of interest was a twin or had no final diagnosis. In the case of multiple siblings only the older sibling was included. A total of 115 mother-child pairs met these criteria and had a maternal sample analyzed for PCB concentration, out of the 143 mother-child pairs enrolled during the same period. Though some mothers had a sample analyzed from all three trimesters, many only had concentrations available from one or two trimesters. Of the 115 participants, there were 29 samples from the 1st trimester, 44 samples from the 2nd trimester, and 104 samples from the 3nd trimester. This analysis focused on exposure during the third trimester due to sparse data in the first and second trimester. The UC Davis Institutional Review Board has approved this study, and written and informed consent was obtained before collecting data and biospecimens.

#### 2.2. Exposure data

Maternal samples were collected during prenatal visits at the participant's home. Plasma was isolated after centrifugation at 1200xg for 15 minutes at 4°C, after which samples were stored at -80°C. PCB analysis was performed at UC Davis using a validated standard operating procedure to extract, separate, and detect PCBs by gas chromatography coupled with triple quadruple mass spectrometry (GC/MS/MS, Scion TQ triple quadruple mass spectrometer, Bruker, Fremont, CA, USA). Sample preparation details and GC-MS/MS parameters were reported elsewhere (Lin et al., 2013). In brief, 500µl of plasma were extracted by solid phase extraction (SPE), further purified by silica cartridges and analyzed for PCB congeners 11, 28, 52, 66, 77, 84, 91, 95, 101, 118, 131, 132, 135, 136, 138, 149, 153, 174, 175, 176, 180, and 196.  $^{13}\mathrm{C}_{12}$  labeled PCB 97 was used as surrogate internal standard throughout the procedures (Cambridge Isotope Laboratories, Inc, Tewksbury, MA, USA), and Mirex, was added after extraction to monitor any shifts in instrument performance. Standard reference material (SRM1957) was purchased from the National Institute of Standards and Technology (NIST, Gaithersburg, MD, USA). Human control serum (DDC Mass Spect Gold®, MSG 3000, Golden West Biologicals, InC. Temecula, CA, USA) was fortified with all PCB standard solutions (Accustandard, Inc., New Haven, CT, USA) at concentrations of 0.1, 0.8 and 4 ng/ml for quality control purposes. The analytical laboratory participates in the Artic Monitoring and Assessment Program (also known as AMAP Ring Test of Persistent Organic Pollutants in Human Serum) (The Centre de toxicology du Québec, 2018) for PCB analyses and consistently showed excellent performance for PCB congener analysis with a -2 < Z'-score < 2.

Congeners were selected based on prior knowledge of PCB use in manufacturing and potential for neurotoxicity (Eckhardt et al., 2007; Erickson and Kaley, 2010; Herrick et al.,

2016). For statistical analysis, a congener could have no more than 30% of the observations be below the level of detection (LOD) (Dorgan et al., 1999; Needham et al., 2005). PCB congeners 28, 66, 131, 135, and 149 were excluded due to the aforementioned criteria. The remaining congeners' values below the LOD were imputed with LOD/ $\sqrt{2}$  (Nichols et al., 2007; Sonneborn et al., 2008). Because PCB analysis was performed in three separate batches and LODs differed between batches for all congeners except PCB 196, values below the LOD were imputed using batch- and PCB-specific LODs.

PCB values were lipid-standardized using plasma total lipid (TL) values collected for each mother at the same time point as the PCB. Plasma TL content was calculated from total cholesterol (TC) and total triglycerides (TG) using the formula:  $TL = 2.27 \times TC + TG + 0.623$  in units of g/l (Bernert et al., 2007; Phillips et al., 1989). TC and TG were determined using standard clinical chemistry enzymatic methods in the UC Davis Health System Department of Pathology and Laboratory Medicine (Davis, CA, USA) (Allain et al., 1974; Bucolo and David, 1973; Pinter et al., 1967; Trinder, 1969). We converted raw serum concentrations to lipid-standardized concentrations using PCB Lipid-Standardized = (PCB Raw Serum Concentration  $\times$  1000) / TL.

To reduce multiple hypothesis testing, we analyzed 3 groupings of PCBs, by calculating congener sums: total PCB sum (PCB congeners 11, 52, 77, 84, 91, 95, 101, 118, 132, 136, 138, 153, 174, 175, 176, 180, and 196), DL-PCB congeners (PCB congeners 77 and 118), and RyR activating congeners (PCB congeners 52, 84, 95, 136, and 176) (Fig. 1). DL-PCBs were chosen based on their agonistic activity at the aryl hydrocarbon receptor, and RyR activating congeners by having an EC $_{2x}$ , a 200% activation at the receptor, greater than 1  $\mu$ M (Pessah et al., 2010). In light of other possible PCB groupings (Ritchie et al., 2005; Wolff et al., 1982), these functionally specific groupings were chosen due to the emerging interest in calcium channel signaling (Ebert and Greenberg, 2013), as regulated by RyR-activation (Wayman et al., 2012a), and its association with ASD, and research in DL-toxins and their association with neurodevelopment and ASD (Guo et al., 2018; White and Birnbaum, 2009).

#### 2.3. Statistical analyses

In exploratory analysis, we examined PCB concentrations for linearity using empirical logit plots and smoothing splines. The analyses suggested a non-linear relationship between PCB concentrations and diagnostic outcome. We then categorized PCBs using the quartiles of concentration to examine dose-trends. The first quartile, Q1, contained the values from the 26 mothers with the lowest concentrations of PCBs, and was used as the reference group. Quartiles were made using the full cohort, regardless of child outcome, with 26 mothers in each quartile.

Given evidence of a non-linear dose response and because of limited sample sizes for quartiles, for the main analysis PCB concentration levels were dichotomized using a median split that placed mothers with the 50% lowest concentration of PCBs into the lower median/reference group (n = 52), and mothers with the 50% highest concentration of PCBs into the higher median group (n = 52). This was done separately for each grouping for both non-standardized and lipid-standardized sums. Descriptive analysis was also conducted on individual congeners using the median split.

We used multinomial logistic regression to assess the risk of ASD or Non-TD compared to TD. *P*-values 0.05 were considered statistically significant.

Each PCB grouping was examined separately for confounding and effect modification. A directed acyclic graph (DAG) was developed during the preliminary analysis plan to identify possible confounders (Hernán et al., 2004). The following covariates were evaluated as potential confounders: child gender, child ethnicity (Hispanic, non-Hispanic), maternal age, maternal pre-pregnancy BMI (obese with BMI 30, not obese with BMI <30), prenatal vitamin use in month 1 of pregnancy, pre-pregnancy smoking (smoking prior to pregnancy at any time point, no history of smoking), maternal birth place (US, non-US), insurance delivery payer (private, public), home ownership, and maternal education (less than bachelor degree, bachelor degree or higher). Child gender, child ethnicity, maternal age, maternal pre-pregnancy BMI, prenatal vitamin use, and pre-pregnancy smoking were also evaluated as potential effect modifiers (Casas et al., 2015; Hertz-Picciotto et al., 2005; Schmidt et al., 2011; Verhulst et al., 2009; Vreugdenhil et al., 2002; Ward et al., 2009).

We considered as confounder candidates, covariates broadly associated (*p*-value 0.3) with both outcome and exposure. Covariates were then added to the univariate multinomial logistic regression model individually. If the addition of the covariate changed the exposure of interest's beta coefficient or odds ratio (OR) value by 10% it was considered for model building. For effect modification, a *p*-value 0.2 was needed for the product term between exposure and outcome. If a covariate met this criterion a stratified model was completed. Due to the unequal male to female ratio of ASD prevalence (Centers for Disease Control and Prevention, 2017), and biologic plausibility for sex effects in ASD and Non-TD etiology, stratification for child gender was examined.

Model building was completed using step-wise selection based on changes in the effect estimate for the PCB grouping of interest. When observations were missing a covariate, specifically prenatal vitamin use, the adjusted model was compared to a crude model including only participants with the covariate. Separate models were created for non-standardized and lipid-standardized PCB sums.

#### 3. Results

We included 104 mothers in our analysis, 60 of whose child was TD at 3 years, 21 with ASD, and 23 with Non-TD. Three mothers were missing prenatal vitamin use data, 1 with a child with TD, and 2 with Non-TD. Table 1 displays descriptive statistics of the population by child diagnosis. There was a significant difference in child gender across child diagnosis. As reflected in Table 1, maternal age, prenatal vitamin use, and maternal education displayed different averages and proportions across diagnostic groups, although differences were not statistically significant.

Table 2 provides the distribution of PCB concentration in the third trimester by individual congener. Concentrations had a skewed distribution and unequal mean and median values. The most abundant congener was PCB 11, followed by PCB 118.

The first PCB grouping examined was the summed total of all PCBs detected (Table 3). There were no significant findings in either crude or adjusted models.

No significant results were found for DL-PCBs; however, the direction of association between DL-PCBs and ASD differed from the association between DL-PCBs and Non-TD (Table 4). There were elevated ORs for ASD in the mothers with the highest amount of lipid-standardized DL-PCB concentrations (adjusted OR: 1.87 (95% CI 0.66 to 5.29)), but decreased ORs for Non-TD diagnosis in the children of mothers within the 50% highest amount of DL-PCB category (adjusted OR: 0.41 (95% CI 0.15 to 1.14)).

RyR-active PCBs models showed the same differences in direction of effect estimates between ASD and Non-TD with lipid-standardized concentrations, but were not statistically significant (Table 5). There was an elevated OR (adjusted OR: 2.63 (95% CI 0.87 to 7.97)) for ASD diagnosis in children of mothers with the highest amount of RyR-active PCBs and decreased OR (adjusted OR: 0.77 (95% CI 0.27 to 2.25)) for Non-TD diagnosis.

Associations between individual congeners and ASD and Non-TD risk were examined in exploratory analysis, and corrected for multiple hypotheses testing using false discovery rate adjustment (Supplement Table 1).

None of the models using non-standardized PCB concentrations had significant or borderline significant results. For comparison to other studies, these tables have been placed in the supplemental material (Supplement Tables 2–4).

Stratification by sex produced cell sizes <5 and unstable effect estimates for females (Supplement Tables 5–7). With a borderline significance of the interaction term, the stratified analyses by child gender are provided in the supplemental material.

We examined risk of ASD and Non-TD using quartiles to evaluate dose-response. Due to small frequencies these were treated as exploratory analysis. The models displayed non-monotonic trends in risk estimates as quartiles increased (Supplement Table 8–10). Lipid-standardized DL-PCBs had the highest risk of ASD in children of mothers in the 4<sup>th</sup> quartile (adjusted OR: 4.50 (95% CI 0.83 to 24.38)) (Supplement Table 9), though highest risk of ASD was found in the 3<sup>rd</sup> quartile for both lipid-standardized total PCBs (adjusted OR: 4.93 (95% CI 0.81 30.21)) (Supplement Table 8) and lipid-standardized RyR-active PCBs (adjusted OR: 4.64 (95% CI 1.01 to 21.41)) (Supplement Table 10).

#### 4. Discussion

The present study does not provide substantial evidence of an association between PCB concentration and ASD, or PCB concentration and Non-TD in high-risk siblings; however, there were interesting patterns that emerged to add to the weight of evidence suggesting PCBs as risk factors for ASD.

Previous literature has shown developmental exposure to PCBs generally has detrimental effects on neurodevelopment (Berghuis et al., 2015; Boucher et al., 2009; Schantz et al., 2003). Some studies that found no effect of PCBs may have been limited by how the PCBs

were grouped, small sample sizes, or outcome measurements focused on symptomology rather than clinically-confirmed diagnosis (Boucher et al., 2009; Braun et al., 2014; Ribas-Fitó et al., 2001). Additionally, there are 209 distinct PCB congeners that possess a wide range of toxicological activities, and previous studies included different selections of congeners from this study. It should be noted that when the congeners that were measures matched, the PCB congener concentrations in the MARBLES participants were comparable to those previously reported, particularly when compared to studies conducted in the US (Braun et al., 2014; Lyall et al., 2017b). Studies vary in how they group PCB congener sums, which makes practical comparison difficult across studies. As mechanistic and functional groupings increase, it would be advantageous to develop a standardized approach to function-specific groupings to increase comparability.

When examining the lipid-standardized models, we observed differences between directions of associations between ASD and Non-TD in relation to the DL-PCB and RyR-active PCB sum exposures above the median. Although results were not statistically significant, this highlights the potential for elevated risk specific to ASD, and the usefulness of focusing on PCB groupings based on function/structure. This was comparable to other studies that also found differences in associations for ASD compared to associations for a separate non-ASD NDDs group (Lyall et al., 2017b). However, non-elevated ORs for Non-TD could be an effect of all other non-ASD developmental disorders being combined into a single Non-TD group, which could attenuate individual associations between PCBs and specific disorders. The increased risk for both ASD and Non-TD in the child for the total PCB sum may indicate the possibility of potentially unexamined PCB groupings that may increase risk for NDDs other than ASD. Focusing on ASD risk, Cheslack-Postava et al. also found increased risk of ASD among those above the 90<sup>th</sup> percentile of PCB concentration. Brown et al. found decreased ASD risk among those above the 75<sup>th</sup> percentile of PCB concentration, though neither study reported statistically significant results.

This study's limitations include a small sample size, restriction to one trimester during pregnancy, the potential for missing important congeners and interactions with other exposures, and residual confounding. Though the analysis focused on one time point, the third trimester, PCB concentrations were relatively stable throughout the pregnancy for the participants with multiple observations. There may be additional mechanisms through which PCBs have the potential to increase risk for NDDs, but in order to minimized multiple hypothesis testing, this study remained focused on three priority PCB groupings. Though observational studies have opportunities for residual confounding, the multitude of potential confounders that the MARBLES study collected allowed control for multiple confounding factors.

This study was novel in the high-risk population examined, and adds to the literature regarding functional groupings of PCBs. A unique aspect in this study is the statistical analysis of non-lipid-standardized concentrations. Many previous studies have solely included results for lipid-standardized values due to the lipophilic property of PCBs. Over the course of a pregnancy lipids are highly variable based on a number of factors, including pre-pregnancy BMI and potential metabolic dysregulation before and during pregnancy (Lain and Catalano, 2007; Vahratian et al., 2010). PCB exposure may remain relatively

steady throughout the pregnancy period and normalizing PCB levels to total lipids could alter the final values used in statistical analyses from actual levels present in the serum. Here we examined the third trimester, when lipids tend to increase significantly, therefore including both allows a more comprehensive interpretation due to lipid normalization. Additionally, animal models are not consistently able to standardize by lipids (Boix et al., 2010; Widholm et al., 2004), and providing both sets of results can start to bridge gaps between animal and human studies.

The non-monotonic dose response in the exploratory quartile analysis supports results from developmental PCB exposures in animal models, which highlight possible threshold effects. It should be noted that due to cell sizes <5 in the quartile and stratified analyses, caution should be used when interpreting the results. Rats developmentally exposed to an industrial mixture of PCBs showed learning and memory deficits at the lower PCB dose and the high PCB dose group performed similarly to the vehicle controls in the Morris water maze task (Yang et al., 2009). Similar non-monotonic effects were also seen both *in vivo* and *in vitro* with RyR-active PCBs (Wayman et al., 2012b; Yang et al., 2014; Yang et al., 2009). A study following women in Southern California also saw a slightly non-monotonic response, although non-significant, in their total PCB and ASD outcome measurement (Lyall et al., 2017b).

Further research in PCBs and ASD risk would also benefit from gene-environment interaction analysis. Although the MARBLES cohort is comprised of families with increased genetic susceptibility, the sample size in this study subset did not allow sufficient power for interaction analysis. A call has been placed to examine ASD risk from exposure to environmental toxins by varying genotypes (Stamou et al., 2013) based on evidence from animal studies (Lesiak et al., 2014; Wayman et al., 2012b). Additional studies, with adequate power, could provide insight to the level of risk that functionally grouped PCBs have in combination with different genetic variants.

## 5. Conclusions

Altogether, these findings build on the hypothesis that PCBs are plausible risk factors for ASD if specific function and mechanism of PCB congeners are considered, which aligns with previous literature also reporting elevated ORs for developmental PCB exposure and ASD outcome (Cheslack-Postava et al., 2013; Lyall et al., 2017b). In particular, this study implicates that these environmental contaminants could influence recurrence risk for ASD in younger siblings of children with ASD who are genetically pre-disposed. Further research using larger sample sizes and general or normal-risk populations are needed to add confidence to the findings presented here and their generalizability; however, by examining PCBs that are detectable in this Northern California high-risk population we are adding to current knowledge of this environmentally persistent toxin in genetically susceptible families which has the potential for informing public health intervention and education strategies.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### Declaration of interest

Dr. Schmidt has received lodging for The Baby Siblings Research Consortium Meeting; travel and lodging for invited talks at the University of Sherbrooke, Sherbrooke, Québec, Canada; the University of California Santa Cruz. Santa Cruz, California (Lodging); Epigenomics 2016, Puerto Rico (Lodging); Neurotoxicity Society & International Neurotoxicology Association, Florianópolis, Brazil; RISE 2017 Second International Meeting on Environmental Health in Strasbourg. Strasbourg, France. Dr. Schmidt also received Autism Speaks grant funding to develop an online autism environmental questionnaire.

Dr. Iosif is a Department of Defense Peer Reviewer (honoraria), NIH Ad Hoc Study Section Member/Service (honoraria), and Lancet Psychiatry Reviewer (honorarium).

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The University of California, Davis Institutional Review Board has approved this study, and informed consent was obtained prior to data and sample collection.

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# Highlights:

- PCBs may disrupt neurodevelopment in children if mothers are exposed prenatally
- Grouping PCBs by function/structure may provide more specific risk insight
- No evidence was found for associations between total PCBs and either Non-TD or ASD
- Evidence for associations with RyR and dioxin-like PCBs needs further investigation

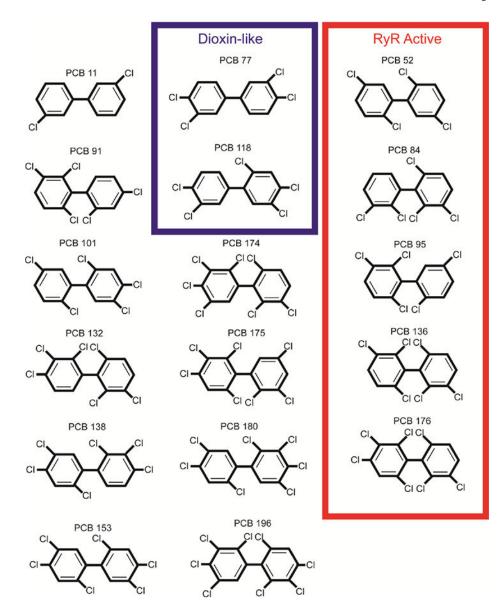


Fig. 1.

The unique configurations of polychlorinated biphenyls (PCB) included in the analysis shown by functional/structural groupings, dioxin-like congeners and ryanodine receptor (RyR) active congeners. All congeners shown were included in the total PCB sum.

**Table 1.**Demographic and clinical characteristics of children included in the study, stratified by child diagnosis

	CIL:	H 26 M d. Di		
	Chi	ld 36 Month Diagno	SIS	
	ASD (n = 21)	Non-TD $(n = 23)$	TD (n = 60)	<i>P</i> -value
Child male gender, n (%)	18 (85.7)	13 (56.5)	32 (53.3)	0.03
Child race white, $n(\%)$	7 (33.3)	8 (34.8)	44 (73.3)	0.71
Child Hispanic ethnicity	8 (38.1)	7 (30.4)	18 (30.0)	0.78
Mother age (years), mean (SD)	35.2 (5.2)	34.8 (4.6)	33.4 (4.8)	0.13
Father age (years), mean (SD)	38.5 (5.9)	37.2 (6.1)	36.4 (6.2)	0.38
Mother Pre-pregnancy BMI, $n(\%)$				0.28
Normal	6 (28.6)	12 (52.2)	33 (55.0)	
Overweight	10 (47.6)	7 (30.4)	15 (25.0)	
Obese	5 (23.8)	4 (17.4)	12 (20.0)	
Mother born outside US, $n(\%)$	5 (23.8)	3 (13.0)	10 (16.7)	0.63
Prenatal Vitamin Use in Month 1, $n(\%)^{I}$	7 (33.3)	9 (42.9)	33 (55.9)	0.17
Maternal cigarette smoking before pregnancy, $n(\%)^2$	2 (10.0)	3 (14.3)	3 (5.1)	0.38
Insurance delivery type, $n(\%)^3$				0.41
Private	16 (76.2)	16 (69.6)	48 (82.8)	
Public	5 (24.8)	7 (30.4)	10 (17.2)	
Own Home, $n(\%)^I$	13 (61.9)	14 (66.7)	36 (61.0)	0.90
Maternal Education, $n(\%)$				0.08
Less than a bachelor degree	13 (61.9)	15 (65.2)	25 (41.7)	
Bachelor, master's, professional, or doctorate degree	8 (38.1)	8 (34.8)	35 (58.3)	

Note: Only MARBLES children whose mothers had PCB measurements during 3<sup>rd</sup> Trimester were included in the current study.

ASD = Autism Spectrum Disorder; Non-TD = Non-Typical Development; TD = Typical Development;

P-values obtained from chi-square test;

 $<sup>^{</sup>I}$ Frequency missing = 1 in TD group, 2 in Non-TD group;

 $<sup>^2</sup>$ Frequency missing = 1 in TD group, 1 in ASD group, 2 in Non-TD group;

 $<sup>^{3}</sup>$ Frequency missing = 2 in TD group.

Congener	Minimum (ng/g)	Mean (ng/g)	SD (ng/g)	Geometric Mean (ng/g)	Median (ng/g)	Maximum (ng/g)
PCB 11	1.24	53.74	45.43	32.01	42.68	157.78
PCB 52	1.33	9.82	6.36	7.53	8.87	28.88
PCB 77	0.36	3.06	1.40	2.76	2.90	9.79
PCB 84	0.55	3.40	3.85	2.55	2.41	27.23
PCB 91	0.57	2.04	2.06	1.64	1.73	18.10
PCB 95	0.54	3.29	2.31	2.89	3.00	20.56
PCB 101	0.95	9.98	15.31	6.78	6.85	145.75
PCB 118	0.51	11.53	6.78	8.78	11.87	43.85
PCB 132	0.65	3.27	2.24	2.67	2.71	14.68
PCB 136	0.14	2.48	2.52	1.79	1.95	20.70
PCB 138	0.77	4.84	3.90	3.96	4.24	32.71
PCB 153	0.81	9.94	7.72	6.80	8.54	45.67
PCB 174	0.54	3.04	5.92	1.79	1.72	46.79
PCB 175	0.38	3.39	2.98	2.68	2.78	25.70
PCB 176	0.12	3.43	12.72	1.46	1.58	126.12
PCB 180	0.57	9.53	14.84	6.44	5.92	131.69
PCB 196	0.12	2.09	2.53	1.31	1.56	17.71

 $PCB = Polychlorinated \ Biphenyl; \ SD = Standard \ Deviation$ 

 $<sup>^{</sup>I}\mathrm{Distribution}$  only includes mothers that met all eligibility for inclusion in the analysis,

 $<sup>^2\!</sup>$  Distribution includes imputed values using LOD/ $\sqrt{2}$  to replace missing observations

Table 3. Association between Total PCB concentration sum  $^{I}$  (dichotomized using a median split) and ASD and Non-TD

				ASD vs. TD		Non-TD vs. TD	
Exposure	TD (n = 60)	ASD (n = 21)	Non-TD ( <i>n</i> = 23)	OR (95% CI)	P-Value	OR (95% CI)	P-Value
			Frequency (%	)			
Total PCB Lipid-Standardi	zed Crude Mode	el					
M1 (33.63 – 117.97 ng/g of lipid)	33 (55)	9 (43)	10(43)	Reference		Reference	
M2 (119.35 – 662.34 ng/g of lipid)	27 (45)	12 (57)	13 (57)	1.63 (0.60 to 4.44)	0.34	1.59 (0.60 to 4.19)	0.35
Total PCB Lipid-Standardi	zed Adjusted M	odel <sup>2,3</sup>					
M1 (33.63 – 117.97 ng/g of lipid)	33 (56)	9 (43)	8 (38)	Reference		Reference	
M2 (119.35 – 662.34 ng/g of lipid)	26 (44)	12 (57)	13 (62)	1.50 (0.53 to 4.23)	0.44	1.87 (0.65 to 5.37)	0.25

PCB = Polychlorinated Biphenyl, TD = Typical Development, ASD = Autism Spectrum Disorder, Non-TD = Non-Typical Development, M1 = Lowest 50% PCB concentration, M2 = Highest 50% PCB concentration

<sup>&</sup>lt;sup>1</sup>Sum included concentration from: PCB 11, PCB 52, PCB 77, PCB 84, PCB 91, PCB 95, PCB 101, PCB 118, PCB 132, PCB 136, PCB 138, PCB 153, PCB 174, PCB 175, PCB 176, PCB 180 and PCB 196 collected during the 3<sup>rd</sup> trimester of pregnancy,

Loss of 3 observations due to missing prenatal vitamin use,

 $<sup>^{\</sup>it 3}{\rm Adjusted}$  for maternal education and prenatal vitamin use in month 1 of pregnancy

Table 4.

 $\mbox{Association between Dioxin-Like PCB concentration sum}^{I} \mbox{(dichotomized using a median split) and ASD and Non-TD}$ 

				ASD vs. T	ASD vs. TD		TD
Exposure	<b>TD</b> $(n = 60)$	$\mathbf{ASD}\ (n=21)$	Non-TD ( <i>n</i> = 23)	OR (95% CI)	P-Value	OR (95% CI)	P-Value
			Frequency (9	%)			
Dioxin-Like PCB Lipid-S	Standardized Cru	ıde/Final Model <sup>2</sup>					
M1 (2.47 – 14.78 ng/g of lipid)	29 (48)	7 (33)	16 (70)	Reference		Reference	
M2 (14.83 – 46.18 ng/g of lipid)	31 (52)	14 (67)	7 (30)	1.87 (0.66 to 5.29)	0.24	0.41 (0.15 to 1.14)	0.09

PCB = Polychlorinated Biphenyl, TD = Typical Development, ASD = Autism Spectrum Disorder, Non-TD = Non-Typical Development, M1 = Lowest 50% PCB concentration, M2 = Highest 50% PCB concentration

 $<sup>^{</sup>I}$ Sum included concentration from: PCB 77 and PCB 118 collected during the  $3^{\mathrm{rd}}$  trimester of pregnancy,

 $<sup>^2</sup>$ No covariates met criteria as confounders

Table 5. Association between RyR Active PCB concentration sum  $^{I}$  (dichotomized using a median split) and ASD and Non-TD

_				ASD vs. TD		Non-TD vs. TD	
Exposure	<b>TD</b> $(n = 60)$	ASD (n = 21)	<b>Non-TD</b> ( <i>n</i> = 23)	OR (95% CI)	P-Value	OR (95% CI)	P-Value
			Frequency (%	5)			
RyR Active PCB Lipid-Sta	andardized Crud	e Model					
M1 (4.68 – 16.31 ng/g of lipid)	33 (55)	6 (29)	13 (57)	Reference		Reference	
M2 (16.74 – 170.03 ng/g of lipid)	27 (45)	15 (71)	10 (43)	3.06 (1.04 to 8.95)	0.04	0.94 (0.36 to 2.48)	0.90
RyR Active PCB Lipid-Sta	ındardized Adju	sted Model <sup>2,3</sup>					
M1 (4.68 – 16.31 ng/g of lipid)	33 (56)	6 (29)	12 (57)	Reference		Reference	
M2 (16.74 – 170.03 ng/g of lipid)	26 (44)	15 (71)	9 (43)	2.63 (0.87 to 7.97)	0.09	0.77 (0.27 to 2.25)	0.64

 $PCB = Polychlorinated \ Biphenyl, \ TD = Typical \ Development, \ ASD = Autism \ Spectrum \ Disorder, \ Non-TD = Non-Typical \ Development, \ RyR = Ryanodine \ receptor, \ M1 = Lowest \ 50\% \ PCB \ concentration, \ M2 = Highest \ 50\% \ PCB \ concentration$ 

<sup>&</sup>lt;sup>1</sup>Sum included concentration from: PCB 52, PCB 84, PCB 95, PCB 136, and PCB 176 collected during the 3<sup>rd</sup> trimester of pregnancy

 $<sup>^3\!\</sup>mathrm{Adjusted}$  for maternal education and prenatal vitamin use in month 1 of pregnancy