Lawrence Berkeley National Laboratory

LBL Publications

Title

Data-Driven Velocity Model Evaluation Using K-Means Clustering

Permalink https://escholarship.org/uc/item/4bb730jp

Journal Geophysical Research Letters, 48(23)

ISSN 0094-8276

Authors

Xiong, Neng Qiu, Hongrui Niu, Fenglin

Publication Date 2021-12-16

DOI

10.1029/2021gl096040

Peer reviewed

1 2 3	Data-driven Velocity Model Evaluation using K-means Clustering
4	Neng Xiong ¹ , Hongrui Qiu ^{1,2*} , and Fenglin Niu ¹
5 6	¹ Department of Earth, Environmental and Planetary Sciences, Rice University, Houston, TX, USA
7 8	² Earth, Atmospheric and Planetary Sciences, Massachusetts Institute of Technology, Cambridge, MA 02139, USA
9	
10	Corresponding author: Hongrui Qiu (qiuhonrui@gmail.com; hongruiq@mit.edu)
11	
12	Key Points:
13 14	• We develop a data-driven method that evaluates a velocity model using the K-means clustering and Rayleigh wave phase velocity dispersion
15 16	• The model evaluation method is applied to community velocity models, CVM-S4.26 and CVM-H15.1, in Southern California
17 18 19	 The result suggests that CVM-S4.26 gets an evaluation score ~3 times higher than that of CVM-H15.1 for structures in the top ~20 km

20 Abstract

21 We develop a data-driven clustering method to evaluate a velocity model using surface wave velocity dispersion. This is done by first computing theoretical dispersion curves for 1-D velocity 22 profiles of all the grid locations and then splitting the resulting dispersion curves into a certain 23 number of groups via the K-means clustering. The observed dispersion curves are also clustered 24 25 following the same procedure and the velocity model is assessed by comparing the spatial patterns obtained for the observed and synthetic datasets. The method is applied to evaluate two 26 community velocity models in southern California, CVM-S4.26 and CVM-H15.1, using phase 27 velocity maps derived for 3-16s Rayleigh waves. We found a good correlation in the spatial 28 distribution of clusters between the result of CVM-S4.26 and that of the observed data, 29 suggesting that the CVM-S4.26 fits the observed dispersion maps better than the CVM-H15.1 in 30 31 terms of features extracted from the clustering analysis.

32

33 Plain Language Summary

34 With increasing volume of recorded seismic data, various velocity models are often derived for the same region using different datasets and seismic networks with different spatial coverage and 35 resolution. Therefore, evaluating all the existing velocity models in the overlapping region can 36 37 provide crucial information to future development of tomographic models, such as constructing a 38 standard model by merging all the velocity models. As a machine learning technique, clustering analysis has proven its ability to extract hidden grouping features from large unlabeled datasets. 39 40 In this study, we develop a simple workflow that utilizes a specific (K-means) clustering method to evaluate velocity model. Instead of applying the clustering method directly to the velocity 41 model, we first calculate theoretical predictions for a certain measurable parameter (phase 42 velocity of Rayleigh wave) using the input model and assess the model by comparing the 43 clustering results obtained for the synthetic and observed datasets. The proposed model 44 evaluation method is applied to the well-maintained community velocity models, CVM-H15.1 45 and CVMS-4.26, in Southern California. The result suggests that CVM-S4.26 is much better 46 than CVM-H15.1 for structures in the top ~ 20 km. 47

48 **1 Introduction**

49 With the increasing volume of data recorded by regional and global seismic networks, seismic tomography has become an important and powerful tool for understanding earth interior 50 structure in the past decades. Southern California (SC; Fig. 1) is one of the most active and 51 52 imaged plate boundary regions. Velocity models that cover various depth from near surface to upper mantle and spatial ranges with different resolutions were derived for this area (e.g., Berg et 53 al., 2018; Lee et al., 2014; Lin et al., 2013; Roux et al., 2016). This is done by using different 54 55 types of datasets, such as surface waves (e.g., Zigone et al., 2015) and teleseismic body waves (e.g., Schmandt and Humphreys, 2010), and inversion schemes, for example, by fitting travel-56 57 time (e.g., Fang et al., 2016) and full-waveform (e.g., Tape et al., 2010). Among these velocity models, the community velocity models (CVMs), CVM-H15.1 (Shaw et al., 2015) and CVM-58 S4.26 (Lee et al., 2014), are well maintained and often used as the starting model in travel-time 59 based tomography studies (e.g., Qiu et al., 2019; Share et al., 2019). 60

Although CVM-H15.1 and CVM-S4.26 are both constructed through full-waveform inversion, differences between the two models are obvious (e.g., Figs. 2a-b and 2d-e). This

inconsistency is related to the choice of input dataset (e.g., frequency range, station coverage, 63 usage of ambient noise data), inversion parameters (e.g., regularization, smoothing), and the 64 starting model. Although some large-scale features (e.g., major geologic provinces; Fig. 1) are 65 seen in both models, it is still challenging to determine which model to use in studies that aim at 66 improving or interpreting the velocity structure in SC. For instance, Qiu et al. (2019) 67 demonstrated that the synthetic dispersion curves calculated using either CVM-H15.1 or CVM-68 S4.26 match poorly with the observed dispersion maps. However, through the 1-D Vs inversion 69 (Herrmann, 2013) at each grid location, the misfit values are significantly reduced to a level 70 comparable to the estimated uncertainties for both CVMs. This is likely due to the non-71 uniqueness of the inversion problem, which makes it difficult to evaluate which model is more 72 realistic through the analysis of data misfit. 73

74 One way to assess the quality of a velocity model is through forward 3-D waveform simulation based on the wave equation. This is usually done by comparing earthquake recordings 75 or empirical Green's functions retrieved from ambient noise data with synthetic waveforms 76 simulated using the same source-receiver configuration (Ma et al., 2008; Imperatori and 77 Gallovič, 2017). However, the application of such a model validation method is limited by two 78 main factors: (1) complicated evaluation scheme, i.e., any inaccurate information in the velocity 79 model along the ray path can contribute to the mismatch between synthetic and observed 80 81 waveforms; and (2) intensive computational costs, particularly for observations at high frequencies (e.g., > 1 Hz). 82

83 In recent years, machine learning has become more and more popular in extracting hidden features from large datasets in seismology (e.g., Bergen et al., 2019; Kong et al., 2018). 84 Clustering analysis, as an unsupervised learning method, found success in mining different types 85 of noise sources in continuous seismic recordings (Johnson et al., 2020; Snover et al., 2020). The 86 nature of dividing data into groups with similar pattern makes clustering analysis suitable in 87 dealing with large unlabeled datasets, such as seismic waveforms and velocity models. Eymold 88 89 and Jordan (2019) applied the K-means clustering algorithm to the 1-D velocity profiles of CVM-S4.26 and discovered good correlation between surface geology features in SC and the 90 91 resulting clustering pattern. However, it is important to note that, by directly clustering the 1-D 92 velocity profiles, the obtained spatial pattern highly depends on the depth range of the input 93 model (e.g., 0-50 km in Eymold and Jordan, 2019). Moreover, clustering results of the same region can also change with different input velocity models, and such difference is often hard to 94 95 interpret, as the comparison does not involve data fitting to field measurements.

In this study, we propose a data-driven evaluation scheme for velocity models based on the 96 97 K-means clustering method. This is done by first calculating synthetic surface wave velocity dispersion curves for all 1-D velocity profiles of an input velocity model, and then clustering the 98 synthetic and observed velocity dispersion curves independently into a certain number of groups 99 100 through clustering analysis. The velocity model is rated by estimating the similarity between spatial patterns obtained from the synthetic and observed dispersion data. The proposed method 101 is applied to two velocity models in SC (CVM-H15.1 and CVM-S4.26). The two velocity 102 models and the Rayleigh wave phase velocity dispersion maps measured by Qiu et al. (2019) that 103 are used to assess the models are described in section 2. The theoretical basis and workflow of 104 the K-means algorithm are reviewed and illustrated in section 3. In section 4, we show the spatial 105 patterns of the clustering analysis for CVM-H15.1 and CVM-S4.26, and the evaluation of each 106 model based on the observed phase velocity maps. 107

108 **2 Data**

The two community velocity models, CVM-H15.1 and CVM-S4.26, analyzed in this study 109 cover the SC plate boundary region (Figs. 2a-b). Both models were extracted using the same grid 110 size $(0.05^{\circ} \times 0.05^{\circ})$ as the Rayleigh wave phase velocity dispersion maps. Depth of both CVMs 111 were sampled with an interval of 500 m. Except basin regions, the CVM-H15.1 was built upon 112 an initial model derived from a local earthquake tomographic inversion (Shaw et al., 2015). The 113 model in basin areas is derived from more precise studies using borehole measurements and 114 seismic reflection data (Süss and Shaw, 2003; Tabora et al, 2016), and held fixed during the 115 wave-equation-based tomographic inversion. The CVM-H15.1 was derived utilizing data from 116 143 regional earthquakes recorded by 203 seismic stations (Shaw et al., 2015 and references 117 therein). The CVM-S4.26 was constructed based on a different starting model via a similar 118 tomographic inversion scheme (Lee et al., 2014) but using more earthquakes (160) and seismic 119 120 stations (258). It is important to note that, in addition to earthquake data, ambient noise cross 121 correlations calculated for pairs of stations are included in the inversion of CVM-S4.26.

The Rayleigh wave phase velocity dispersion maps used to evaluate the CVMs are 122 discretized on a $0.05^{\circ} \times 0.05^{\circ}$ grid and derived via Eikonal tomography from Oiu et al. (2019). 123 The dispersion maps contain a total of 4076 phase velocity dispersion curves ranging from 3s to 124 16s. Figure 2c shows the phase velocity map at 7s period. Clear phase velocity contrast can be 125 seen across geologic provinces, such as high velocities in Peninsular Ranges and low velocities 126 in Salton Trough. In order to evaluate the CVMs via clustering analysis, the theoretical phase 127 128 velocity dispersion curves are also calculated for all 1-D velocity profiles using the CPS package developed by Herrmann (2013). Both the observed and synthetic Rayleigh wave phase velocity 129 dispersion curves are discretized into 17 data points from 3s to 16s. 130

131 **3 K-means clustering**

In this study, we utilize the K-means clustering method to group a series of 1-D curves into a predetermined number of clusters. Let *n* be the number of the input 1-D curves, and *K* be the number of clusters. First, *K* 1-D profiles are randomly chosen from the input dataset as the initial centroids { μ_1 , μ_2 , μ_3 , ..., μ_k }. The Euclidean distances between each 1-D curve to all centroids are then calculated as the L2 norm between the two vectors:

$$D_k = ||x - \mu_k||_2, \tag{1}$$

where x is the target velocity profile vector, and D is the distance vector that contains K number of values. Then, the target profile is assigned to its closest cluster, i.e., the cluster yields the smallest distance. After all the profiles are assigned to a cluster, the centroid profile of each cluster is then updated as the average of all the profiles that belong to the cluster:

$$\mu_k' = \frac{\sum_{i=1}^{N_k} x_{ik}}{N_k},\tag{2}$$

where N_k is the number of profiles in the *k*-th cluster. If $\mu'_k \neq \mu_k$ for any *k*-th cluster, a new iteration of clustering process described by equations (1) and (2) is performed, in which all data profiles are reassigned based on the updated centroids.

We note that the result of clustering analysis is sensitive to the choice of K value. The Elbow Method is often used to optimize the determination of K value (Eymold and Jordan 2019). This is done by calculating the total distance of all data profiles to the corresponding centroid (of thecluster they assigned to), which is given by:

$$J(\mathbf{K}) = \sum_{i=1}^{n} \sum_{k=1}^{K} \delta_{i}^{k} ||x_{i} - \mu_{k}||^{2}$$
(3a)

148 where,

$$\delta_i^k = \begin{cases} 1, & if \min_j (|x_i - \mu_j|)^2 = |x_i - \mu_k|^2 \\ 0, & otherwise \end{cases}$$
(3b)

The optimal K value is determined as the knee of the objective function J(K), where the gradient of the total variance flattens, indicating a diminishing return for increasing number of centroids. The clustering result may also be sensitive to the initial centroids if the objective function J(K)reaches a local minimum. Here, we run the clustering analysis 10 times using initial centroid locations generated randomly and keep the result with the lowest J(K).

154 **4 Results**

Figure 3 shows results of K-means clustering analysis performed directly on the Vs profiles 155 of the CVM-H15.1 and CVM-S4.26 with an optimized K value of 3. This is similar to Eymold 156 and Jordan (2019) but with the K-means clustering applied only to Vs in the top 50 km and grid 157 cells covered by the phase velocity maps of Qiu et al. (2019). Similar large-scale spatial patterns 158 159 can be seen for clustering results of both velocity models (Figs. 3a and 3c). Cluster #1 (colored in red) covers regions with extremely low velocities at shallow depth, including sedimentary 160 basins like Salton Trough and LA basin. For Clusters #2 (in blue) and #3 (in green), we overlay 161 the 31 km Moho depth contour resolved from Tape et al. (2012) onto the clustering maps (Figs. 162 3a and 3c) and find a good correlation between the contour lines (white dashed curves) and 163 boundaries between the two clusters. 164

The contour lines of the Moho interface at 31 km and the boundaries of Cluster #3 matches 165 particularly well for CVM-H15.1. In this case, the Moho depth variation dominates the clustering 166 results (Figs. 3b and 3d). This result is different from the more complicated pattern obtained in 167 Eymold and Jordan (2019), which is likely because the 1-D Vp and Vs profiles at each grid cell 168 are combined first before clustering and their study area is much larger. We note that the 169 distribution of clusters could vary significantly if the depth range of the input Vs is changed, as 170 the result would have no sensitivity to the Moho depth variation if structures only in the top 10 171 km are analyzed. 172

Although both models yield similar spatial patterns of the resulting clusters, obvious 173 differences are still observed and difficult to interpret. In this study, however, we apply the 174 clustering analysis to the synthetic phase dispersion curves calculated at all available grid cells 175 for each CVM. Different from clustering of Vs profiles, the resulting spatial pattern of clusters 176 from the synthetic phase velocity dispersion curves can be evaluated quantitatively using the 177 observed phase velocity maps. Therefore, we first present the clustering analysis for the phase 178 velocity maps derived by Qiu et al. (2019) and then evaluate each CVM by comparing the 179 corresponding clustering result with that of the observed phase velocity maps. 180

181 **4.1. Clustering of the observed phase velocity maps**

Figures 2c and 2f show a map-view and a 1-D profile of the Rayleigh wave phase velocity 182 dispersion data obtained from Qiu et al. (2019), respectively. Compared to the Vs model (e.g., 183 Figs. 2d-e), the phase velocity profile (Figure 2f) is much smoother (e.g., no sharp velocity 184 gradient due to Moho discontinuity) and sensitive to Vs values in a wide range of depth (Fig. S1). 185 Since the number of clusters K is a hyperparameter, we apply the Elbow Method and obtain the 186 optimal K value as 4 (Fig. S2). The clustering result of the observed phase velocity maps (Fig. 4a) 187 shows that Clusters #1 (in orange) and #2 (in red) mainly occupy the basin areas (e.g., LA basin 188 and Salton Trough) with a very relatively low phase velocity at short period (3-6s), Cluster #3 (in 189 blue) appears mostly in the Peninsular Ranges region, and Cluster #4 (in green) covers the 190 Mojave Desert area. 191

192 **4.2.** Clustering of synthetic phase velocity maps for CVM-H15.1

Similar to section 4.1, we use K = 4 in the clustering analysis of synthetic phase velocity 193 dispersion curves calculated for CVM-H15.1 and the result is shown in Figure 4b. We note that, 194 for a direct comparison, only dispersion curves calculated for grid cells covered by the data of 195 Qiu et al. (2019) are included in the analysis. To ensure the colors assigned to clusters obtained 196 for the CVM-H15.1 are consistent with those of the observed data, we use the centroid 197 dispersion curve to label each cluster (Figs. 4d and 4e). Although the resulting spatial pattern 198 also highlights the Salton Trough, Los Angeles basin, and Ventura basin (i.e., low velocity 199 anomalies at shallow depth; Fig. 4e) with Clusters #1 and #2, the area is much smaller compared 200 to those in Figure 4a. For each cluster label, we calculated the Jaccard index (Halkidi et al., 201 202 2002), the ratio between the sizes of intersection and union of two datasets, to estimate the similarity between two datasets and get the overall Jaccard index of 18.6% accounting for all 203 clusters. We also compute the corresponding true positive rate (TPR) that is adopted in Eymold 204 & Jordan (2020) for each cluster (Table S1). 205

4.3. Clustering of synthetic phase velocity maps for CVM-S4.26

Clustering result of CVM-S4.26 using K = 4 is shown in Figure 4c. A good spatial 207 correlation is observed between Clusters #1 and #2 and basin areas. Moreover, the size of these 208 two clusters agrees well with those in Figure 4a. Consistent with clustering pattern for the 209 observed phase velocity maps, majority of the grid cells in Cluster #3 is also well confined 210 within the Peninsular Ranges region (Fig. 4c). Both Jaccard index and TPR for all clusters 211 obtained from CVM-S4.26 are significantly higher than (~2-4 times of) those of CVM-H15.1. 212 More specifically, the overall Jaccard index of CVM-S4.26 is 57.4%, which is ~3 times than that 213 of CVM-H15.1 (Table S1). 214

215 **5 Discussion**

In this study, we develop an alternative method to rate a velocity model via the K-means clustering method. This technique is applied to Community Velocity Models (CVMs) in SC using Rayleigh wave phase velocity maps derived from Qiu et al. (2019). Here, we further investigate the results by analyzing the K value, depth sensitivity kernel, and data misfit.

220 **5.1. Selection of K value**

The K-means clustering analysis assigns similar data samples or profiles into the same cluster and is effective in extracting grouping features from large unlabeled datasets. However, the clustering result is dependent on the input number of clusters, i.e., the K value. In section 3, the optimal K is 3 for clustering of 1-D Vs profiles (Fig. 3), whereas an optimal K = 4 is used in clustering of phase velocity dispersion curves in section 4 (Fig. 4). Since the effect of K value on the clustering result of 1-D Vs profiles is well discussed in Eymold and Jordan (2019), we focus on how the choice of K value alters the clustering of phase velocity dispersion curves and illustrate the results using K = 3 in Figure S3 and K = 5 in Figures S4-S6.

For K = 3, the number of extracted features from the clustering analysis is reduced compared 229 230 to the case with K = 4. As expected, the spatial pattern shown in Fig. S3a for the observed phase velocity dispersion curves is almost identical to that shown in Fig. 4a after merging Clusters #1 231 (center of the basins) and #2 (edge of the basins) together. However, for the clustering of 232 synthetic phase velocity dispersion curves (Figs. S3b-c), Cluster #3 in Figs. 4a and 4c that 233 primarily occupies the Peninsular Ranges region is missing from the K = 3 results, indicating the 234 difference between synthetic dispersion curves in the center and at the edge of basins is much 235 larger than the difference between basin and non-basin. This may be caused by the anomalously 236 low phase velocities (< 2 km/s) in the period range of 3-5s within LA basin, Ventura basin, and 237 Salton Trough (red color areas in Fig. S3b-c), where significantly low Vs (< 1 km/s) at shallow 238 depth are observed in both CVMs (Figs. S3e-f). 239

On the other hand, for K = 5, the clustering result of the observed phase velocity is highly 240 dependent on the initialization, i.e., the choice of starting centroids (section 3). This is illustrated 241 in Figure S4, where two different clustering patterns are obtained when two different starting 242 centroids are randomly initialized. Such observed difference is greatly suppressed if we reduce 243 the number of clusters from 5 to 4 by attributing the cluster in maroon to red and blue in Fig. S4a 244 and Fig. S4c, respectively. The clustering result for the synthetic phase dispersion curves of 245 CVMH-15.1 is also dependent on the centroid initialization (Fig. S5), whereas the clustering 246 result for CVM-S4.26 is less sensitive to the choice of starting centroids (Fig. S6). 247

In conclusion, clustering results using K = 5 are less stable than those of K = 3 and K = 4, and the result of K = 3 can be easily reproduced by merging two specific clusters obtained using K = 4. This likely suggests a maximum number of four dominating groups that can be extracted from the Rayleigh wave phase velocity dispersion curves between 3-16s in the study area through clustering analysis, which justifies our choice of K = 4 based on the Elbow Method result.

254 **5.2. Depth sensitivity**

Figures 3a and 3c show the clustering results for 1-D Vs profiles in the top 50 km extracted 255 from CVM-H15.1 and CVM-S4.26, respectively. The resulting spatial pattern yields two 256 dominating structural features: basins (in red) with low velocities in the top 10 km and regions 257 (in green) with a deep (> 31 km) Moho discontinuity. The clusters obtained using the observed 258 phase velocity dispersion curves between 3s and 16s, on the other hand, exhibit a different 259 spatial pattern (Figs. 4a and 4d). While the basins still stand out from the clustering results in Fig. 260 4a, the other dominating structural feature outlined by the clustering analysis of dispersion 261 curves is the Peninsular Ranges. 262

Considering Rayleigh wave phase velocities at periods < 16s are most sensitive to structures in the top 20 km (Fig. S1), the variation in Moho depth likely has little contribution to dispersion curves between 3s and 16s. This is supported by the observation that the spatial pattern in Fig. S7 derived using 1-D Vs profiles of CVM-S4.26 only in the top 20 km is consistent with that of the observed dispersion curves (Fig. 4a). Therefore, we mainly evaluate the CVMs only in the top ~20 km via clustering analysis of dispersion curves between 3s and 16s. It is important to note that, in addition to extending the period range, we can also evaluate the velocity model at shallower depth by incorporating H/V ratio measurements from Berg et al. (2018).

5.3. Comparison with data misfit

We compute the data misfit of Rayleigh wave phase velocity for each CVM as the L2 norm 272 between the observed and synthetic dispersion curves (Fig. S8). The resulting misfit maps show 273 similar patterns for both CVM-H15.1 and CVM-S4.26 with median values of ~0.5 km/s. In 274 general, basin regions yield large misfit values (> 0.6 km/s), while smaller values (< 0.3 km/s) 275 276 are observed in Mojave Desert and Peninsular Ranges. This suggests both models are similar in terms of fitting the phase velocity dispersion data. In contrast, our clustering-analysis-based 277 evaluation method aims at comparing spatial patterns of the dominating structural features 278 extracted independently from the observed and synthetic datasets, rather than focusing directly 279 on the difference between them that is predominated by basin areas, and clearly shows that 280 CVM-S4.26 is a better choice for structures in the top ~20 km. 281

282 6 Conclusions

We develop, for the first time, a simple workflow to evaluate velocity model via the K-means 283 clustering method using observed surface wave phase velocity dispersion maps. This is done by 284 first applying the K-means clustering analysis to synthetic phase velocity dispersion curves 285 calculated for CVM-H15.1 and CVM-S4.26, and then validating each synthetic dataset against 286 the observed phase velocity maps obtained by Qiu et al. (2019). The resulting clustering pattern 287 of both models is dominated by the distribution of sedimentary basins and major geologic 288 provinces (e.g., Mojave Desert and Peninsular Ranges). Based on the comparison between 289 clustering results of synthetic and observed dispersion curves, the Jaccard similarity coefficient 290 291 averaged over all clusters is 57.4% for CVM-S4.26, which is more than 3 times higher than that of CVM-H15.1 (18.6%), suggesting the spatial pattern of clusters obtained from CVM-S4.26 292 matches much better with that of the observed data than CVM-H15.1. This is consistent with the 293 fact that ambient noise cross correlation data is included in the inversion of CVM-S4.26 but not 294 incorporated in the construction of CVM-H15.1. 295

Since the observed phase velocity maps between 3s and 16s are likely only sensitive to 296 velocity structures in the top 20 km, other types of seismic data (e.g., H/V ratio, receiver function) 297 that have higher sensitivity to a different depth range could be incorporated into the evaluation 298 299 scheme to assess the part of velocity model at shallower or greater depth. The proposed clustering-based model evaluation method provides a simple and first-order rating system for any 300 existing velocity models that complements the more sophisticated model validation studies based 301 on 3-D full-waveform simulations and can provide crucial information to future development of 302 tomographic models, such as merging velocity models (e.g., determine the weighting of each 303 velocity model in overlapping regions). 304

305 Data Availability Statement

The Rayleigh wave phase velocity maps are obtained from Qiu et al. (2019) and accessible at https://doi.org/10.17632/dt9x54dtrr.1. The community velocity models were extracted using UCVMC (https://github.com/SCECcode/UCVMC). The Python module Scikit-Learn version 1.01 (Pedregosa et al., 2011) is used to perform the K-means clustering.

310

311 Acknowledgements

- The authors thank W. K. Eymold for useful discussions and are grateful to all members of the
- Seismology and Tectonics at Rice University for comments and discussions. We thank the Editor
- Dr. Daoyuan Sun, an anonymous reviewer and Dr. Weisen Shen for their constructive comments
- that help improve this paper. This study was supported by Rice University.

316 **References**

- Barak, S., Klemperer, S. L., & Lawrence, J. F. (2015). San Andreas Fault dip, Peninsular Ranges
 mafic lower crust and partial melt in the Salton Trough, Southern California, from ambientnoise tomography. *Geochemistry, Geophysics, Geosystems*, 16, 3946–3972.
 doi:10.1002/2015GC005970.
- Berg, E. M., Lin, F.-C., Allam, A., Qiu, H., Shen, W., & Ben-Zion, Y. (2018). Tomography of
 Southern California via Bayesian joint inversion of Rayleigh wave ellipticity and phase
 velocity from ambient noise cross-correlations. *Journal of Geophysical Research: Solid Earth* 123, 9933–9949. https://doi.org/10.1029/2018JB016269
- Bergen, K. J., Johnson, P. A., de Hoop, M. V., & Beroza, G. C. (2019). Machine learning for
 data-driven discovery in solid Earth geoscience. *Science*, 363(6433), eaau0323.
 https://doi.org/10.1126/science.aau0323
- Eymold, W. K., & Jordan, T. H. (2019). Tectonic regionalization of the Southern California crust
 from tomographic cluster analysis. *Journal of Geophysical Research: Solid Earth*, 124,
 11,840–11,865. https://doi.org/10.1029/2019JB018423
- Fang, H., Zhang, H., Yao, H., Allam, A., Zigone, D., Ben-Zion, Y, et al. (2016). A new algorithm for three-dimensional joint inversion of body wave and surface wave data and its application to the Southern California plate boundary region. *Journal of Geophysical Research: Solid Earth*, 121, 3557–3569. doi:10.1002/2015JB012702
- Halkidi, M., Batistakis, Y., & Vazirgiannis, M. (2002). Cluster validity methods: part I. ACM
 SIGMOD Record, 31(2). https://doi.org/10.1145/565117.565124
- Herrmann, R. B. (2013). Computer programs in seismology: An evolving tool for instruction and
 research. Seismological Research Letters, 84(6), 1081–1088.
 https://doi.org/10.1785/0220110096
- Imperatori, W., & Gallovič, F. (2017). Validation of 3D Velocity Models Using Earthquakes 340 with Shallow Slip: Case Study of the 2014 Mw 6.0 South Napa, California, Event. Bulletin of 341 Seismological the Society of America 107 1019–1026. doi: 342 (2): https://doi.org/10.1785/0120160041 343
- Johnson, C. W., Ben-Zion, Y., Meng, H., & Vernon, F. (2020). Identifying different classes of
 seismic noise signals using unsupervised learning. *Geophysical Research Letters*, 47,
 e2020GL088353. https://doi.org/10.1029/2020GL088353
- Kong, Q., Trugman, D. T., Ross, Z. E., Bianco, M. J., Meade, B. J., & Gerstoft, P. (2018).
 Machine learning in seismology: Turning data into insights. *Seismological Research Letters*, 90(1), 3–14. https://doi.org/10.1785/0220180259

- Lee, E. J., Chen, P., Jordan, T. H., Maechling, P. B., Denolle, M. A., & Beroza, G. C. (2014).
 Full-3-D tomography for crustal structure in southern California based on the scattering integral and the adjoint-waveform methods. *Journal of Geophysical Research: Solid Earth*, 119, 6421–6451. https://doi.org/10.1002/2014JB011346
- Lin, F.-C., Moschetti, M. P., & Ritzwoller, M. H. (2008). Surface wave tomography of the
 western United States from ambient seismic noise: Rayleigh and Love wave phase velocity
 Geophysical Journal International, 173(1), 281–298. https://doi.org/10.1111/j.1365 246X.2008.03720.x
- Lin, F.-C., Li, D., Clayton, R. W., & Hollis, D. (2013). High-resolution 3D shallow crustal structure in Long Beach, California: Application of ambient noise tomography on a dense seismic array. *Geophysics*, 78: Q45-Q56. https://doi.org/10.1190/geo2012-0453.1
- Ma, S., Prieto, G. A., & Beroza, G. C. (2008). Testing Community Velocity Models for Southern
 California Using the Ambient Seismic Field. *Bulletin of the Seismological Society of America*,
 98 (6): 2694–2714. doi: https://doi.org/10.1785/0120080947
- Magistrale, H., McLaughlin, K., & Day, S. (1996). A geology-based 3D velocity model of the
 Los Angeles basin sediments. *Bulletin of the Seismological Society of America*, 86(4): 1161–
 1166.
- Magistrale, H., Day, S., Clayton, R.W., & Graves, R. (2000). The SCEC southern California
 reference three-dimensional seismic velocity model version 2. *Bulletin of the Seismological Society of America*, 90(6B), S65–S76. https://doi.org/10.1785/0120000510
- Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., et al. (2011).
 Scikit-learn: Machine Learning in Python. Journal of Machine Learning Research, 12(85),
 2825–2830. Retrieved from http://jmlr.org/papers/v12/pedregosa11a.html
- Qiu, H., Lin, F.-C., & Ben-Zion, Y. (2019). Eikonal Tomography of the Southern California
 Plate Boundary Region. *Journal of Geophysical Research: Solid Earth*, 124, 9755–9779.
 https://doi.org/10.1029/2019JB017806
- Roux, P., Moreau, L., Lecointre, A., Hillers, G., Campillo, M., Ben-Zion, Y., Zigone, D., &
 Vernon, F. (2016). A methodological approach toward high-resolution seismic imaging of
 the San Jacinto Fault Zone using ambient noise recordings at a spatially-dense array. *Geophysical Journal International*, 206, 980–992.
- Schmandt, B., & Humphreys, E. (2010). Seismic heterogeneity and small-scale convection in the
 southern California upper mantle. *Geochemistry, Geophysics, Geosystems*, 11, Q05004.
 https://doi.org/10.1029/2010GC003042
- Share, PE., Guo, H., Thurber, C. H., Zhang, H., & Ben-Zion, Y. (2019). Seismic Imaging of the
 Southern California Plate Boundary around the South-Central Transverse Ranges Using
 Double-Difference Tomography. *Pure and Applied Geophysics*, 176, 1117–1143.
 https://doi.org/10.1007/s00024-018-2042-3
- Shaw, J. H., Plesch, A., Tape, C., Süss, M. P., Jordan, T. H., Ely, G., et al. (2015). Unified
 structural representation of the southern California crust and upper mantle. *Earth and Planetary Science Letters*, 415, 1. https://doi.org/10.1016/j.epsl.2015.01.016

- Snover, D., Johnson, C. W., Bianco, M. J., & Gerstoft, P. (2020). Deep Clustering to Identify
 Sources of Urban Seismic Noise in Long Beach, California. *Seismological Research Letters*,
 92, 1011–1022. https://doi.org/10.1785/0220200164.
- Süss, M. P., & Shaw, J. H. (2003). P wave seismic velocity structure derived from sonic logs and
 industry reflection data in the Los Angeles basin, California. *Journal of Geophysical Research*, 108(B3), 2170. https://doi.org/10.1029/2001JB001628
- Taborda, R., Azizzadeh-Roodpish, S., Khoshnevis, N., & Cheng, K. (2016). Evaluation of the
 southern California seismic velocity models through simulation of recorded events.
 Geophysical Journal International, 205(3), 1342–1364. https://doi.org/10.1093/gji/ggw085
- Tape, C., Liu, Q., Maggi, A., & Tromp, J. (2010). Seismic tomography of the southern California
 crust based on spectral-element and adjoint methods. *Geophysical Journal International*,
 180(1): 433–462. https://doi.org/10.1111/j.1365-246X.2009.04429.x
- Tape, C., Plesch, A., Shaw, J. H., & Gilbert, H. (2012). Estimating a Continuous Moho Surface
 for the California Unified Velocity Model. *Seismological Research Letters*, 83(4): 728–735.
 doi: https://doi.org/10.1785/0220110118
- Yang, Y., & Forsyth, D. W. (2006). Rayleigh wave phase velocities, small-scale convection, and
 azimuthal anisotropy beneath southern California. *Journal of Geophysical Research*, 111,
 B07306. https://doi.org/10.1029/2005JB004180
- Zigone, D., Ben-Zion, Y., Campillo, M., & Roux, P. (2015). Seismic tomography of the
 Southern California plate boundary region from noise-based Rayleigh and Love waves. *Pure and Applied Geophysics*, 172(5), 1007–1032. https://doi.org/10.1007/s00024-014-0872-1
- 411 412

413 **Figure caption**

Figure 1. Map of Southern California plate boundary region. Background color indicates the Moho depth (Tape et al., 2012). Grey dashed line depicts the 31 km Moho depth contour. Grey triangles are the stations used in Qiu et al. (2019). White solid line outlines the boundaries of major geological provinces. MD: Mojave Desert, PR: Peninsular Ranges, LAB: LA Basin, VB: Ventura Basin, SN: Sierra Nevada, ST: Salton Trough.

Figure 2. Vs maps at 8 km extracted from (a) CVM-H15.1 and (b) CVM-S4.26. (c) Phase velocity map at 7 s from Qiu et al. (2019). Grey square in (a)-(c) indicates the location of the vertical velocity profile shown in (d)-(f). Grey dashed line in (d)-(f) is the average profile of the entire study region.

Figure 3. K = 3 clustering results of (a) CVM-H15.1 and (c) CVM-S4.26. White dashed line is

the 31 km Moho depth contour. Average Vs profile of each cluster of (b) CVM-H15.1 and (d)

- 425 CVM-S4.26.
- Figure 4. K = 4 clustering result computed for (a) observed phase velocity and synthetic phase

velocity of (b) CVM-H15.1 and (c) CVM-S4.26. Corresponding average phase velocity profile

428 for each cluster (d)-(f). Dashed lines in (e) and (f) are average phase velocity profiles of each

429 cluster shown in (d).

Figure 1.



Figure 2.





1.0

1.0



Figure 3.









Figure 4.



36

34°

