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Incidence, Predictors, and Outcomes of Hospital-Acquired Anemia

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BACKGROUND: Although hypothesized to be a hazard of hospitalization, it is unclear whether hospital-acquired anemia (HAA) is associated with increased adverse outcomes following discharge.

OBJECTIVE: To examine the incidence, predictors, and postdischarge outcomes associated with HAA.

DESIGN: Observational cohort study using electronic health record data.

SUBJECTS: Consecutive medicine discharges between November 1, 2009 and October 30, 2010 from 6 Texas hospitals, including safety-net, teaching, and nonteaching sites. Patients with anemia on admission or missing hematocrit values at admission or discharge were excluded.

MEASURES: HAA was defined using the last hematocrit value prior to discharge and categorized by severity. The primary outcome was a composite of 30-day mortality and nonelective readmission.

Hospital-acquired anemia (HAA) is defined as having a normal hemoglobin value upon admission but developing anemia during the course of hospitalization. The condition is common, with an incidence ranging from approximately 25% when defined by using the hemoglobin value prior to discharge to 74% when using the nadir hemoglobin value during hospitalization.¹⁻⁵ While there are many potential etiologies for HAA, given that iatrogenic blood loss from phlebotomy may lead to its development,^{6,7} HAA has been postulated to be a hazard of hospitalization that is potentially preventable.⁸ However, it is unclear whether the development of HAA portends worse outcomes after hospital discharge.

The limited number of studies on the association between HAA and postdischarge outcomes has been restricted to patients hospitalized for acute myocardial infarction (AMI).^{3,9,10} Among this subpopulation, HAA is independently associated with greater morbidity and mortality following hospital discharge.^{3,9,10} In a more broadly representative population of hospitalized adults, Koch et al.² found that the devel-

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RESULTS: Among 11,309 patients, one-third developed HAA (21.6% with mild HAA; 10.1% with moderate HAA; and 1.4% with severe HAA). The 2 strongest potentially modifiable predictors of developing moderate or severe HAA were length of stay (adjusted odds ratio [OR], 1.26 per day; 95% confidence interval [CI], 1.23-1.29) and receipt of a major procedure (adjusted OR, 5.09; 95% CI, 3.79-6.82). Patients without HAA had a 9.7% incidence for the composite outcome versus 16.4% for those with severe HAA. Severe HAA was independently associated with a 39% increase in the odds for 30-day readmission or death (95% CI, 1.09-1.78). Most patients with severe HAA (85%) underwent a major procedure, had a discharge diagnosis of hemorrhage, and/or a discharge diagnosis of hemorrhagic disorder.

CONCLUSIONS: Severe HAA is associated with increased odds for 30-day mortality and readmission after discharge; however, it is uncertain whether severe HAA is preventable. *Journal of Hospital Medicine* 2017;12:317-322. © 2017 Society of Hospital Medicine

opment of HAA is associated with greater length of stay (LOS), hospital charges, and inpatient mortality. However, given that HAA was defined by the lowest hemoglobin level during hospitalization (and not necessarily the last value prior to discharge), it is unclear if the worse outcomes observed were the cause of the HAA, rather than its effect, since hospital LOS is a robust predictor for the development of HAA, as well as a major driver of hospital costs and a prognostic marker for inpatient mortality.^{3,9} Furthermore, this study evaluated outcomes only during the index hospitalization, so it is unclear if patients who develop HAA have worse clinical outcomes after discharge.

Therefore, in this study, we used clinically granular electronic health record (EHR) data from a diverse cohort of consecutive medicine inpatients hospitalized for any reason at 1 of 6 hospitals to: 1) describe the epidemiology of HAA; 2) identify predictors of its development; and 3) examine its association with 30-day postdischarge adverse outcomes. We hypothesized that the development of HAA would be independently associated with 30-day readmission and mortality in a dose-dependent fashion, with increasing severity of HAA associated with worse outcomes.

METHODS

Study Design, Population, and Data Sources

We conducted a retrospective observational cohort study using EHR data collected from November 1, 2009 to Oc-

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tober 30, 2010 from 6 hospitals in the north Texas region. One site was a university-affiliated safety-net hospital; the remaining 5 community hospitals were a mix of teaching and nonteaching sites. All hospitals used the Epic EHR system (Epic Systems Corporation, Verona, Wisconsin). Details of this cohort have been published.^{11,12}

This study included consecutive hospitalizations among adults age 18 years or older who were discharged from a medicine inpatient service with any diagnosis. We excluded hospitalizations by individuals who were anemic within the first 24 hours of admission (hematocrit less than 36% for women and less than 40% for men), were missing a hematocrit value within the first 24 hours of hospitalization or a repeat hematocrit value prior to discharge, had a hospitalization in the preceding 30 days (ie, index hospitalization was considered a readmission), died in the hospital, were transferred to another hospital, or left against medical advice. For individuals with multiple eligible hospitalizations during the study period, we included only the first hospitalization. We also excluded those discharged to hospice, given that this population of individuals may have intentionally desired less aggressive care.

Definition of Hospital-Acquired Anemia

HAA was defined as having a normal hematocrit value (36% or greater for women and 40% or greater for men) within the first 24 hours of admission and a hematocrit value at the time of hospital discharge lower than the World Health Organization's sex-specific cut points.¹³ If there was more than 1 hematocrit value on the same day, we chose the lowest value. Based on prior studies, HAA was further categorized by severity as mild (hematocrit greater than 33% and less than 36% in women; and greater than 33% and less than 40% in men), moderate (hematocrit greater than 27% and 33% or less for all), or severe (hematocrit 27% or less for all).^{2,14}

Characteristics

We extracted information on sociodemographic characteristics, comorbidities, LOS, procedures, blood transfusions, and laboratory values from the EHR. Hospitalizations in the 12 months preceding the index hospitalization were ascertained from the EHR and from an all-payer regional hospitalization database that captures hospitalizations from 75 acute care hospitals within a 100-mile radius of Dallas-Fort Worth. International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) discharge diagnosis codes were categorized according to the Agency for Healthcare Research and Quality (AHRQ) Clinical Classifications Software (CCS).¹⁵ We defined a diagnosis for hemorrhage and coagulation, and hemorrhagic disorder as the presence of any ICD-9-CM code (primary or secondary) that mapped to the AHRQ CCS diagnoses 60 and 153, and 62, respectively. Procedures were categorized as minor diagnostic, minor therapeutic, major diagnostic, and major therapeutic using the AHRQ Healthcare Cost and Utilization Procedure Classes tool.¹⁶

The primary outcome was a composite of death or readmission within 30 days of hospital discharge. Hospital readmissions were ascertained at the index hospital and at any of 75 acute care hospitals in the region as described earlier. Death was ascertained from each of the hospitals' EHR and administrative data and the Social Security Death Index. Individuals who had both outcomes (eg, a 30-day readmission and death) were considered to have only 1 occurrence of the composite primary outcome measure. Our secondary outcomes were death and readmission within 30 days of discharge, considered as separate outcomes.

Statistical Analysis

We used logistic regression models to evaluate predictors of HAA and to estimate the association of HAA on subsequent 30-day adverse outcomes after hospital discharge. All models accounted for clustering of patients by hospital. For the outcomes analyses, models were adjusted for potential confounders based on prior literature and our group's expertise, which included age, sex, race/ethnicity, Charlson comorbidity index, prior hospitalizations, nonelective admission status, creatinine level on admission, blood urea nitrogen (BUN) to creatinine ratio of more than 20:1 on admission, LOS, receipt of a major diagnostic or therapeutic procedure during the index hospitalization, a discharge diagnosis for hemorrhage, and a discharge diagnosis for a coagulation or hemorrhagic disorder. For the mortality analyses, given the limited number of 30-day deaths after hospital discharge in our cohort, we collapsed moderate and severe HAA into a single category. In sensitivity analyses, we repeated the adjusted model, but excluded patients in our cohort who had received at least 1 blood transfusion during the index hospitalization (2.6%) given its potential for harm, and patients with a primary discharge diagnosis for AMI (3.1%).¹⁷

The functional forms of continuous variables were assessed using restricted cubic splines and locally weighted scatterplot smoothing techniques. All analyses were performed using STATA statistical software version 12.0 (StataCorp, College Station, Texas). The University of Texas Southwestern Medical Center institutional review board approved this study.

RESULTS

Of 53,995 consecutive medicine hospitalizations among adults age 18 years or older during our study period, 11,309 index hospitalizations were included in our study cohort (Supplemental Figure 1). The majority of patients excluded were because of having documented anemia within the first 24 hours of admission (n=24,950). With increasing severity of HAA, patients were older, more likely to be female, non-Hispanic white, electively admitted, have fewer comorbidities, less likely to be hospitalized in the past year, more likely to have had a major procedure, receive a blood transfusion, have a longer LOS, and have a primary or secondary discharge diagnosis for a hemorrhage or a coagulation or hemorrhagic disorder (Table 1).

Epidemiology of HAA

Among this cohort of patients without anemia on admission, the median hematocrit value on admission was 40.6 g/dL and on discharge was 38.9 g/dL. One-third of patients with normal hematocrit value at admission developed HAA, with 21.6% developing mild HAA, 10.1% developing moderate HAA, and 1.4% developing severe HAA. The median discharge hematocrit value was 36 g/dL (interquartile range [IQR]), 35-38 g/dL) for the group of patients who developed mild HAA, 31 g/dL (IQR, 30-32 g/dL) for moderate HAA, and 26 g/dL (IQR, 25-27 g/dL) for severe HAA (Supplemental Figure 2). Among the severe HAA group, 135 of the 159 patients (85%) had a major procedure (n=123, accounting for 219 unique major procedures), a diagnosis for hemorrhage (n=30), and/or a diagnosis for a coagulation or hemorrhagic disorder (n=23) during the index hospitalization. Of the 219 major procedures among patients with severe HAA, most were musculoskeletal (92 procedures), cardiovascular (61 procedures), or digestive system-related (41 procedures). The most common types of procedures were coronary artery bypass graft (36 procedures), hip replacement (25 procedures), knee replacement (17 procedures), and femur fracture reduction (15 procedures). The 10 most common principal discharge diagnoses of the index hospitalization by HAA group are shown in Supplemental Table 1. For the severe HAA group, the most common diagnosis was hip fracture (20.8%).

Predictors of HAA

Compared to no or mild HAA, female sex, elective admission status, serum creatinine on admission, BUN to creatinine ratio greater than 20 to 1, hospital LOS, and undergoing a major diagnostic or therapeutic procedure were predictors for the development of moderate or severe HAA (Table 2). The model explained 23% of the variance (Mc-Fadden's pseudo R^2).

Incidence of Postdischarge Outcomes by Severity of HAA

The severity of HAA was associated with a dose-dependent increase in the incidence of 30-day adverse outcomes, such that patients with increasing severity of HAA had greater 30-day composite, mortality, and readmission outcomes (P <0.001; Figure). The 30-day postdischarge composite outcome was primarily driven by hospital readmissions given the low mortality rate in our cohort. Patients who did not develop HAA had an incidence of 9.7% for the composite outcome, whereas patients with severe HAA had an incidence of 16.4%. Among the 24 patients with severe HAA but who had not undergone a major procedure or had a discharge diagnosis for hemorrhage or for a coagulation or hemorrhagic disorder, only 3 (12.5%) had a composite postdischarge adverse outcome (2 readmissions and 1 death). The median time to readmission was similar between groups, but more patients with severe HAA had an early readmission within 7 days of hospital discharge than patients who did not develop HAA (6.9% vs. 2.9%, *P* = 0.001; Supplemental Table 2).

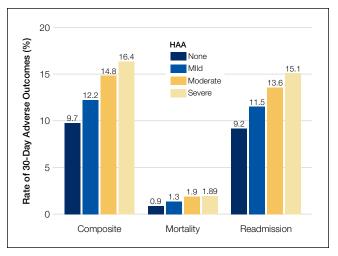


FIG. Incidence of adverse outcomes by severity of HAA. The *P* values for the differences in the 30-day composite, mortality, and readmission outcomes by HAA severity were <0.001, 0.004, and <0.001, respectively. NOTE: Abbreviation: HAA, hospital-acquired anemia.

Association of HAA and Postdischarge Outcomes

In unadjusted analyses, compared to not developing HAA, mild, moderate, and severe HAA were associated with a 29%, 61%, and 81% increase in the odds for a composite outcome, respectively (Table 3). After adjustment for confounders, the effect size for HAA attenuated and was no longer statistically significant for mild and moderate HAA. However, severe HAA was significantly associated with a 39% increase in the odds for the composite outcome and a 41% increase in the odds for 30-day readmission (P = 0.008 and P = 0.02, respectively).

In sensitivity analyses, the exclusion of individuals who received at least 1 blood transfusion during the index hospitalization (n=298) and individuals who had a primary discharge diagnosis for AMI (n=353) did not substantively change the estimates of the association between severe HAA and postdischarge outcomes (Supplemental Tables 3 and 4). However, because of the fewer number of adverse events for each analysis, the confidence intervals were wider and the association of severe HAA and the composite outcome and readmission were no longer statistically significant in these subcohorts.

DISCUSSION

In this large and diverse sample of medical inpatients, we found that HAA occurs in one-third of adults with normal hematocrit value at admission, where 10.1% of the cohort developed moderately severe HAA and 1.4% developed severe HAA by the time of discharge. Length of stay and undergoing a major diagnostic or therapeutic procedure were the 2 strongest potentially modifiable predictors of developing moderate or severe HAA. Severe HAA was independently associated with a 39% increase in the odds of being readmitted or dying within 30 days after hospital discharge compared to not developing HAA. However, the

TABLE 1. Baseline Characteristics of Hospitalized Medicine Patients (N=11,309)

	HAA Severity ^a				
	None	Mild	Moderate	Severe	Р
N	7563	2445	1142	159	
Age, mean (SD), y	59.6 (17.1)	59.7 (17.5)	63.3 (16.9)	65.3 (16.0)	<0.001
Female, n (%)	4487 (59.3)	1188 (48.6)	948 (83.0)	114 (71.7)	<0.001
Race/ethnicity, n (%)					0.002
White	5109 (67.6)	1570 (64.2)	808 (70.8)	122 (76.7)	
Black	1261 (16.7)	448 (18.3)	174 (15.2)	13 (8.2)	
Hispanic	949 (12.5)	344 (14.1)	128 (11.2)	18 (11.3)	
Other	244 (3.2)	83 (3.4)	32 (2.8)	6 (3.8)	
Primary insurance, n (%)					< 0.001
Private	3186 (42.1)	924 (37.8)	446 (39.1)	58 (36.5)	
Medicare	2574 (34.0)	875 (35.8)	485 (42.5)	79 (49.7)	
Other	1803 (23.8)	646 (26.4)	211 (18.5)	22 (13.8)	
Ionelective admission, n (%)	6884 (91.0)	2172 (88.8)	916 (80.2)	122 (76.7)	<0.001
Charlson Comorbidity Index, n (%)					< 0.001
0	6030 (79.7)	1842 (75.3)	862 (75.5)	131 (82.4)	
1+	1533 (20.3)	603 (24.7)	280 (24.5)	28 (17.6)	
≥1 hospitalizations in past y, n (%)	1885 (24.9)	736 (30.1)	329 (28.8)	32 (20.1)	<0.001
lematocrit on admission, median (IQR)	41 (39-43)	41 (38-42)	38 (37-41)	39 (37-42)	<0.001
Prothrombin time >35 on admission, n (%)	73 (0.97)	25 (1.02)	9 (0.79)	1 (0.63)	0.887
Platelets <100 x 10 ³ /µL on admission, n (%)	155 (2.05)	56 (2.29)	31 (2.71)	2 (1.26)	0.406
Creatinine, mean (SD), mg/dL	1.15 (1.01)	1.34 (1.26)	1.38 (1.30)	1.34 (1.16)	<0.001
BUN to creatinine >20:1 on admission, n (%)	2168 (28.7)	711 (29.1)	410 (35.9)	70 (44.0)	<0.001
Procedures, n (%) ^b					< 0.001
None	4021 (53.2)	929 (38.0)	257 (22.5)	4 (2.5)	
Minor diagnostic	1277 (16.9)	293 (12.0)	61 (5.4)	3 (1.9)	
Minor therapeutic	1505 (19.9)	639 (26.1)	272 (23.8)	29 (18.2)	
Major diagnostic	57 (0.7)	33 (1.4)	16 (1.4)	0 (0)	
Major therapeutic	703 (9.3)	551 (22.5)	536 (46.9)	123 (77.4)	
Receipt of blood transfusion, n (%)	44 (0.6)	75 (3.1)	136 (11.9)	43 (27.0)	<0.001
LOS, median (IQR), d	3 (2-5)	4 (3-7)	6 (4-10)	8 (5-12)	<0.001
Any discharge diagnoses, n (%)°					
Hemorrhage	64 (0.9)	48 (1.96)	83 (7.3)	30 (18.9)	< 0.001
Coagulation or hemorrhagic disorder	264 (3.5)	146 (6.0)	84 (7.4)	23 (14.5)	< 0.001

*HAA was categorized by severity as mild (hematocrit >33% and <36% in women; and >33% and <40% in men), moderate (hematocrit >27% and ≤33% for all), or severe (hematocrit ≤27% for all).

*Categorized according to the Agency for Healthcare Research and Quality Healthcare Cost and Utilization Project's Procedure Classes. If >1 procedure during the hospitalization, it was classified according to the most invasive one. *Defined by a primary or secondary discharge ICD-9-CM code categorized by the Agency for Healthcare Research and Quality Clinical Classifications software.

NOTE: Abbreviations: BUN, blood urea nitrogen; HAA, hospital-acquired anemia; IQR, interquartile range; LOS, length of stay; SD, standard deviation.

associations between mild or moderate HAA with adverse outcomes were attenuated after adjusting for confounders and were no longer statistically significant.

To our knowledge, this is the first study on the postdischarge adverse outcomes of HAA among a diverse cohort of medical inpatients hospitalized for any reason. In a more restricted population, Salisbury et al.³ found that patients hospitalized for AMI who developed moderate to severe HAA (hemoglobin value at discharge of 11 g/dL or less) had greater 1-year mortality than those without HAA (8.4% vs. 2.6%, P < 0.001), and had an 82% increase in the hazard for mortality (95% confidence interval, hazard ratio 1.11-2.98). Others have similarly shown that HAA is common among patients hospitalized with AMI and is associated with greater mortality.^{5,9,18} Our study extends upon this prior research

320 An Official Publication of the Society of Hospital Medicine

by showing that severe HAA increases the risk for adverse outcomes for all adult inpatients, not only those hospitalized for AMI or among those receiving blood transfusions.

Despite the increased harm associated with severe HAA, it is unclear whether HAA is a preventable hazard of hospitalization, as suggested by others.^{6,8} Most patients in our cohort who developed severe HAA underwent a major procedure, had a discharge diagnosis for hemorrhage, and/or had a discharge diagnosis for a coagulation or hemorrhagic disorder. Thus, blood loss due to phlebotomy, 1 of the more modifiable etiologies of HAA, was unlikely to have been the primary driver for most patients who developed severe HAA. Since it has been estimated to take 15 days of daily phlebotomy of 53 mL of whole blood in females of average body weight (and 20 days for average weight males) with

no bone marrow synthesis for severe anemia to develop, it is even less likely that phlebotomy was the principal etiology given an 8-day median LOS among patients with severe HAA.^{19,20} However, since the etiology of HAA can be multifactorial, limiting blood loss due to phlebotomy by using smaller volume tubes, blood conservation devices, or reducing unnecessary testing may mitigate the development of severe HAA.^{21,22} Additionally, since more than three-quarters of patients who developed severe HAA underwent a major procedure, more care and attention to minimizing operative blood loss could lessen the severity of HAA and facilitate better recovery. If minimizing blood loss is not feasible, in the absence of symptoms related to anemia or ongoing blood loss, randomized controlled trials overwhelmingly support a restrictive transfusion strategy using a hemoglobin value threshold of 7 mg/dL, even in the postoperative setting.²³⁻²⁵

The implications of mild to moderate HAA are less clear. The odds ratios for mild and moderate HAA, while not statistically significant, suggest a small increase in harm compared to not developing HAA. Furthermore, the upper boundary of the confidence intervals for mild and moderate HAA cannot exclude a possible 30% and 56% increase in the odds for the 30-day composite outcome, respectively. Thus, a better powered study, including more patients and extending the time interval for ascertaining postdischarge adverse events beyond 30 days, may reveal a harmful association. Lastly, our study assessed only the association of HAA with 30-day readmission and mortality. Examining the association between HAA and other patient-centered outcomes such as fatigue, functional impairment, and prolonged posthospitalization recovery time may uncover other important adverse effects of mild and moderate HAA, both of which occur far more frequently than severe HAA.

Our findings should be interpreted in the context of several limitations. First, although we included a diverse group of patients from a multihospital cohort, generalizability to other settings is uncertain. Second, as this was a retrospective study using EHR data, we had limited information to infer the precise mechanism of HAA for each patient. How-

TABLE 2. Predictors	of Developing Moderate
or Severe HAA	

	Adjusted OR (95% CI)		
Age, per 10 years	1.05 (0.95-1.17)		
Female	3.84 (2.65-5.57)		
Race/ethnicity			
White	[Reference]		
Black	1.01 (0.90-1.14)		
Hispanic	1.12 (0.89-1.41)		
Other	0.97 (0.75-1.27)		
Charlson Comorbidity Index	0.99 (0.87-1.12)		
Prior hospitalizations, per admission	1.01 (0.97-1.06)		
Elective admission status	1.52 (1.36-1.70)		
Creatinine, per 0.5 mg/dL	1.08 (1.04-1.13)		
BUN to creatinine ratio >20:1	1.23 (1.03-1.46)		
Length of stay, per day	1.26 (1.23-1.29)		
Major diagnostic or therapeutic procedure	5.09 (3.79-6.82)		
NOTE: Abbreviations: BUN, blood urea nitrogen; CI, confidence	e interval; HAA, hospital-acquired anemia;		

VOTE: ADDREVIATIONES, BOIN, BIODU LIEA INTROGEN, CI, CONTIDENCE INTERVAI, FIAA, Nospital-acquireu anemia, OR, odds ratio.

ever, procedure codes and discharge diagnoses enabled us to assess which patients underwent a major procedure or had a hemorrhage or hemorrhagic disorder during the hospitalization. Third, given the relatively few number of patients with severe HAA in our cohort, we were unable to assess if the association of severe HAA differed by suspected etiology. Lastly, because we were unable to ascertain the timing of the hematocrit values within the first 24 hours of admission, we excluded both patients with preexisting anemia on admission and those who developed HAA within the first 24 hours of admission, which is not uncommon.²⁶ Thus, we were unable to assess the effect of acute on chronic anemia arising during hospitalization and HAA that develops within the first 24 hours, both of which may also be harmful.^{18,27,28}

In conclusion, severe HAA occurs in 1.4% of all medical hospitalizations and is associated with increased odds of death or readmission within 30 days. Since most patients

Outcome and model	HAA Severity					
	None	Mild	Moderate	Severe		
Composite						
Unadjusted	[Reference]	1.29 (1.11-1.48)	1.61 (1.23-2.10)	1.81 (1.28-2.56)		
Adjusted ^b	[Reference]	1.08 (0.90-1.30)	1.17 (0.87-1.56)	1.39 (1.09-1.78)		
Readmission						
Unadjusted	[Reference]	1.28 (1.11-1.48)	1.55 (1.16-2.08)	1.76 (1.17-2.65)		
Adjusted ^b	[Reference]	1.11 (0.94-1.31)	1.16 (0.85-1.57)	1.41 (1.05-1.91)		
Viortality		Mild	Moderate/Severe			
Unadjusted	[Reference]	1.53 (0.99-2.37)	2.26 (1.75-2.92)			
Adjusted ^b	[Reference]	0.97 (0.57-1.65)	1.34 (0.88-2.04)			

*All models accounted for clustering of patients by hospital. Values shown are odds ratios (95% confidence interval)

^bAdjusted for age, sex, race/ethnicity, Charlson Comorbidity Index, prior hospitalizations in past year, nonelective admission status, LOS, creatinine on admission, blood urea nitrogen to creatinine ratio >20:1 on admission, receipt of a major diagnostic and/or therapeutic procedure during the index hospitalization, a discharge diagnosis for hemorrhage, and a discharge diagnosis for a coagulation or hemorrhagic disorder.

NOTE: Abbreviations: HAA, hospital-acquired anemia; LOS, length of stay.

with severe HAA had undergone a major procedure or had a discharge diagnosis of hemorrhage or a coagulation or hemorrhagic disorder, it is unclear if severe HAA is potentially preventable through preventing blood loss from phlebotomy or by reducing iatrogenic injury during procedures. Future research should assess the potential preventability of severe HAA, and examine other patient-centered outcomes potentially related to anemia, including fatigue, functional impairment, and trajectory of posthospital recovery.

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