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Authors

Klymko, Katherine
Mandal, Dibyendu
Mandadapu, Kranthi K

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Statistical mechanics of transport processes in active fluids: Equations of hydrodynamics

Katherine Klymko,^{1,a),b)} Dibyendu Mandal,^{2,b),c)} and Kranthi K. Mandadapu^{3,4,d)}

¹*Department of Chemistry, University of California at Berkeley, Berkeley, California 94720, USA*

²*Department of Physics, University of California at Berkeley, Berkeley, California 94720, USA*

³*Department of Chemical and Biomolecular Engineering, University of California at Berkeley, Berkeley, California 94720, USA*

⁴*Chemical Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*

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The equations of hydrodynamics including mass, linear momentum, angular momentum, and energy are derived by coarse-graining the microscopic equations of motion for systems consisting of rotary dumbbells driven by internal torques. In deriving the balance of linear momentum, we find that the symmetry of the stress tensor is broken due to the presence of non-zero torques on individual particles. The broken symmetry of the stress tensor induces internal spin in the fluid and leads us to consider the balance of internal angular momentum in addition to the usual moment of momentum. In the absence of spin, the moment of momentum is the same as the total angular momentum. In deriving the form of the balance of total angular momentum, we find the microscopic expressions for the couple stress tensor that drives the spin field. We show that the couple stress contains contributions from both intermolecular interactions and the active forces. The presence of spin leads to the idea of balance of moment of inertia due to the constant exchange of particles in a small neighborhood around a macroscopic point. We derive the associated balance of moment of inertia at the macroscale and identify the moment of inertia flux that induces its transport. Finally, we obtain the balances of total and internal energy of the active fluid and identify the sources of heat and heat fluxes in the system. *Published by AIP Publishing.* <https://doi.org/10.1063/1.4997091>

I. INTRODUCTION

In this paper, we consider the hydrodynamics of active fluids consisting of structured particles subjected to internal torques (or couples). The system under consideration consists of dumbbells immersed in a fluid and rotated by an equal and opposite force perpendicular to the axis connecting the two ends of the dumbbell. For such active fluids, we derive the associated balances of mass, linear momentum, angular momentum, moment of inertia, total energy, and internal energy by systematically coarse-graining the microscopic equations of motion governing the dynamics of the active particles. We follow the Irving-Kirkwood procedure,¹ which was originally used to derive the equations of hydrodynamics of simple fluids.

Our system falls under the general field of active matter,²⁻⁷ a term used to describe individual particles capable of self-propelled motion. Active matter systems are known to exhibit non-equilibrium phase behaviors with dynamic clustering of active particles.⁸⁻¹⁷ Suspensions of active matter also lead to anomalous thermal and mechanical properties with enhanced diffusion¹⁸⁻²⁰ and odd and vanishing viscosities^{21,22} among many others.²³⁻²⁹ Of particular recent interest is the concept of pressure in these systems,³⁰⁻³⁹ where it is argued

that pressure behaves as a state function only for the case of spherical, torque-less active particles but not for general active fluids.³¹

Pressure, being a fundamentally mechanical concept, depends on the nature of the stress tensors arising out of the balance of linear momentum. Mechanically, pressure can be defined as the negative trace of the stress tensor, and much microscopic understanding can be gained by knowing the expressions for the stress tensor in terms of the molecular interactions. Microscopic expressions for the stress tensors have been developed for passive systems starting with Clausius,⁴⁰ and finally with the theory proposed by Irving and Kirkwood.¹ The latter work, in particular, obtained the expressions for the stress tensor by deriving the equations of hydrodynamics using the principles of classical statistical mechanics. These expressions explicitly showed the role of intermolecular forces in the stress tensor. Recently, there has been an attempt to extend the theory of Irving and Kirkwood towards active systems consisting of self-propelled Brownian particles,^{41,42} where the derivations are restricted to the case of balances of mass and linear momentum. However, one may think of active systems consisting of particles with internal structure, the simplest example being dumbbells rotated by force couples or internal torques. These systems introduce an additional concept of spin resulting from the coarse-grained angular momentum of the particles. The concept of spin requires development of the balance of angular momentum with the presence of surface couples and the associated couple stress tensor. In these cases,

^{a)}kek2134@berkeley.edu

^{b)}K. Klymko and D. Mandal contributed equally to this work.

^{c)}dibyendu.mandal@berkeley.edu

^{d)}kranthi@berkeley.edu

at least for passive particles, it is well known that both angular momentum and linear momentum relations are coupled to each other.^{43–48} Analogous continuum theories have been used to model active systems made up of particles driven by internal torque.^{49–52} However, rigorous derivations for the expressions of the stress and couple stress tensors in terms of molecular variables are lacking for the case of active systems, and therefore there is an incomplete understanding of the role of active forces. Moreover, equations concerning the balance of energy have not been explored to understand the sources of heat and heat fluxes at the continuum level in such active systems. Our work extends the theory proposed by Irving and Kirkwood to derive the equations of hydrodynamics for systems consisting of active dumbbell particles with expressions for the stress tensor, couple stress tensor, and heat fluxes in terms of molecular variables, thereby providing a molecular basis for understanding the generalized hydrodynamics of active polar suspensions.

Our paper is organized as follows. In Sec. II A, we describe the microscopic dynamics of the active dumbbell system, and in Secs. II A–II G, we perform the coarse-graining procedure to derive the balance laws for the active fluid. A concise report of what has been done in this paper is presented in Ref. 53.

II. IRVING-KIRKWOOD PROCEDURE

In this section, we derive the balances of mass, linear momentum, angular momentum, moment of inertia, energy, and internal energy for systems consisting of active rotating dumbbells. As mentioned before, we follow the procedure of Irving and Kirkwood,¹ where equations of hydrodynamics were derived in the absence of any internal rotation.

A. Microscopic equations of motion

Our model is a simple active matter system consisting of underdamped dumbbell-like particles where the ‘atoms’ are tethered together harmonically⁵² (see Fig. 1). They move according to the following equations of motion:

$$\begin{aligned} \dot{\mathbf{x}}_i^\alpha &= \mathbf{p}_i^\alpha / m_i, \\ \dot{\mathbf{p}}_i^\alpha &= -\zeta \frac{\mathbf{p}_i^\alpha}{m_i} + \sum_{j,\beta} \mathbf{F}_{ij}^{\alpha\beta} + \mathbf{f}_i^\alpha - \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt}, \end{aligned} \quad (1)$$

where i refers to the molecule, α refers to the atom of a molecule with $\alpha \in \{1, 2\}$, \mathbf{x}_i^α and \mathbf{p}_i^α are the position and momentum of atom α of molecule i , ζ is the drag coefficient, k_B is Boltzmann’s constant, T is the temperature of the bath, and $\frac{d\mathbf{W}}{dt}$ is Gaussian white noise.⁵⁴ The term $u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)$ is the harmonic spring energy connecting atoms 1 and 2 of molecule i . Also, $\mathbf{F}_{ij}^{\alpha\beta}$ is the force on atom α of molecule i due to atom

β of molecule j given by $\mathbf{F}_{ij}^{\alpha\beta} = -\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha}$, where $u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)$ is a pair potential that describes the interaction between atom α of molecule i and atom β of molecule j . Finally, \mathbf{f}_i^α is an

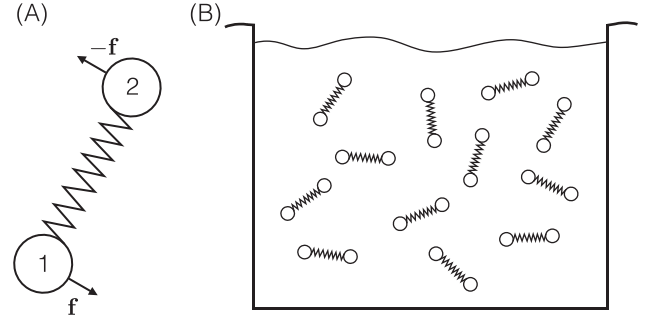


FIG. 1. Active dumbbell particles: (a) A schematic showing an active dumbbell particle with equal and opposite forces on the atoms of the dumbbell. It is assumed that the forces \mathbf{f} always act perpendicular to the bond connecting the two atoms. (b) A schematic of a fluid consisting of many active dumbbell particles.

active driving force acting on atom α of molecule i , which is assumed to act in an equal and opposite manner on atoms 1 and 2 of molecule i , i.e., $\mathbf{f}_i^1 = -\mathbf{f}_i^2 = \mathbf{f}_i$. Here and in what follows, $\overline{(\cdot)} = \frac{d}{dt}(\cdot)$ denotes the total time derivative.

B. Microscopic-macroscopic relations

To write the balance equations for mass, linear momentum, angular momentum, moment of inertia, and energy, the relationships between microscopic and macroscopic variables need to be defined. In doing so, we follow the Irving-Kirkwood procedure¹ by proposing relations between the extensive quantities. To this end, the mass density $\rho(\mathbf{x}, t)$ at any macroscopic spatial point \mathbf{x} and time t is defined as

$$\rho(\mathbf{x}, t) = \sum_{i,\alpha} m_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \quad (2)$$

where $\Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$ is a coarse-graining function that defines the contribution of atom α of molecule i at the spatial point \mathbf{x} . Similarly, the linear momentum density $\rho(\mathbf{x}, t)\mathbf{v}(\mathbf{x}, t)$ is defined as

$$\rho(\mathbf{x}, t)\mathbf{v}(\mathbf{x}, t) = \sum_{i,\alpha} \mathbf{p}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \quad (3)$$

where $\mathbf{v}(\mathbf{x}, t)$ is the velocity of the spatial point \mathbf{x} . The angular momentum density $\rho(\mathbf{x}, t)\mathbf{L}(\mathbf{x}, t)$ is defined to be

$$\rho(\mathbf{x}, t)\mathbf{L}(\mathbf{x}, t) = \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha). \quad (4)$$

The moment of inertia $\mathbf{I}(\mathbf{x}, t)$ is defined as

$$\begin{aligned} \mathbf{I}(\mathbf{x}, t) &= \sum_{i,\alpha} \left\{ m_i^\alpha \left[(\mathbf{x}_i^\alpha - \mathbf{x}) \cdot (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \mathbf{i} \right. \\ &\quad \left. - m_i^\alpha (\mathbf{x}_i^\alpha - \mathbf{x}) \otimes (\mathbf{x}_i^\alpha - \mathbf{x}) \right\} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &= \sum_{i,\alpha} \mathbf{I}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \end{aligned} \quad (5)$$

where

$$\mathbf{I}_i^\alpha = m_i^\alpha [(\mathbf{x}_i^\alpha - \mathbf{x}) \cdot (\mathbf{x}_i^\alpha - \mathbf{x})] \mathbf{i} - m_i^\alpha (\mathbf{x}_i^\alpha - \mathbf{x}) \otimes (\mathbf{x}_i^\alpha - \mathbf{x}) \quad (6)$$

and \mathbf{i} is the identity tensor. In (5) and (6), the symbol “ \otimes ” denotes the dyadic product or tensor outer product, where $\mathbf{a} \otimes \mathbf{b}$ for any two vectors \mathbf{a} and \mathbf{b} yields a second order tensor with elements $a_i b_j$ in Einstein’s indicial notation. Finally, the total energy density $\rho(\mathbf{x}, t)e(\mathbf{x}, t)$ is defined to be

$$\rho(\mathbf{x}, t)e(\mathbf{x}, t) = \sum_{i,\alpha} \left[\frac{\mathbf{p}_i^\alpha \cdot \mathbf{p}_i^\alpha}{2m_i^\alpha} + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{1}{2} \sum_{i,\alpha} u^s(\mathbf{x}_i^1, \mathbf{x}_i^2) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha). \quad (7)$$

The coarse-graining function $\Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$ depends on the scalar distance between the macroscopic point \mathbf{x} and \mathbf{x}_i^α and satisfies the relation,

$$\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}_i^\alpha} = -\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}}, \quad (8)$$

see Ref. 55 for properties of the coarse-graining functions.

C. Balance of mass

In this section, we derive the macroscopic balance of mass using relation (2). Taking the time derivative of (2) yields

$$\begin{aligned} \dot{\rho} \mathbf{v} &= \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \sum_{i,\alpha} \mathbf{p}_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \mathbf{v} \right] - \sum_{i,\alpha} \mathbf{p}_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right] \\ &= \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \left\{ \frac{\partial}{\partial \mathbf{x}} \left[\sum_{i,\alpha} \mathbf{p}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \right\} \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} \mathbf{p}_i^\alpha \otimes \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\ &= \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \left[\frac{\partial}{\partial \mathbf{x}} (\rho \mathbf{v}) \right] \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] - \frac{\partial}{\partial \mathbf{x}} \cdot (\rho \mathbf{v} \otimes \mathbf{v}) \\ &= \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \rho \mathbf{v} \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) + \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^K, \end{aligned} \quad (11)$$

where the third equality is obtained by using momentum relation (3) and the tensor \mathbf{T}^K in (11) is given by

$$\mathbf{T}^K = - \sum_{i,\alpha} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha). \quad (12)$$

Note that we have used the property $\frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{a} \otimes \mathbf{b})$ that yields a vector with components $\frac{\partial}{\partial x_j} (a_i b_j)$ in Einstein’s summation convention. Expanding the time derivative on the left-hand side of (11) and using the balance of mass (10) reduces (11) to

$$\rho \dot{\mathbf{v}} = \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^K. \quad (13)$$

$$\begin{aligned} \dot{\rho} &= \sum_{i,\alpha} m_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \mathbf{v} + \frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}_i^\alpha} \cdot \mathbf{v}_i^\alpha \right] \\ &= \frac{\partial}{\partial \mathbf{x}} \left[\sum_{i,\alpha} m_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} m_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \mathbf{v}_i^\alpha \right] \\ &= \frac{\partial \rho}{\partial \mathbf{x}} \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot (\rho \mathbf{v}), \end{aligned} \quad (9)$$

where the second equality in (9) is obtained by using identity (8) and the third equality is obtained by relation (3). Note that the time derivative of the continuum position yields the continuum velocity, i.e., $\dot{\mathbf{x}} = \mathbf{v}(\mathbf{x}, t)$. Also, “ $\frac{\partial}{\partial \mathbf{x}} \cdot$ ” in (9) denotes the divergence operator. In (9) and in what follows, we omit writing the explicit functional dependencies of the densities for clarity. Upon further simplification, Eq. (9) reduces to the local form of balance of mass at the macroscopic level given by

$$\dot{\rho} + \rho \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} = 0. \quad (10)$$

D. Balance of linear momentum

In this section, we derive the balance of linear momentum at the macroscopic level using the momentum relation (3). To this end, following the same procedure as for the balance of mass, taking the time derivative of both sides of Eq. (3), and using the identity (8) yields

Using the equations of motion (1), the first term on the right-hand side of (11) can be simplified as

$$\begin{aligned} \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_{i,\alpha} \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T} \zeta \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &+ \sum_{i,\alpha} \left[\sum_{j,\beta} \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} \right. \\ &\quad \left. \times \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \mathbf{f}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right]. \end{aligned} \quad (14)$$

In (14), the term $\sum_{i,\alpha,j,\beta} \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$ arises due to the forces between all the particles in the system and can be simplified

as

$$\begin{aligned} & \sum_{i,\alpha,j,\beta} \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &= -\frac{1}{2} \sum_{i,\alpha,j,\beta} \left\{ \frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \left[\Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \Delta(\mathbf{x} - \mathbf{x}_j^\beta) \right] \right\}, \end{aligned} \quad (15)$$

where use is made of the relation

$$\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_j^\beta} = -\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \quad (16)$$

since the pair potential $u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)$ depends only on the distances between the corresponding pair of atoms. Equation (15) can be simplified using Noll's identity^{56,57} given by

$$\Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \Delta(\mathbf{x} - \mathbf{x}_j^\beta) = -\frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}), \quad (17)$$

where $\mathbf{x}_{ij}^{\alpha\beta} = \mathbf{x}_i^\alpha - \mathbf{x}_j^\beta$ and $b_{ij}^{\alpha\beta}$ is the bond function defined as

$$b_{ij}^{\alpha\beta} = \int_0^1 d\lambda \Delta(\mathbf{x} - \lambda \mathbf{x}_i^\alpha + \mathbf{x}_{ij}^{\alpha\beta}). \quad (18)$$

Using (17) and (18), the right-hand side of (15) can be rewritten as

$$\begin{aligned} \sum_{i,\alpha,j,\beta} \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= -\frac{1}{2} \sum_{i,j,\alpha,\beta} \mathbf{F}_{ij}^{\alpha\beta} \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}) \\ &= -\frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_{i,j,\alpha,\beta} \mathbf{F}_{ij}^{\alpha\beta} \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right) \\ &= \frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^V, \end{aligned} \quad (19)$$

where the tensor \mathbf{T}^V is given by

$$\mathbf{T}^V = -\frac{1}{2} \sum_{i,j,\alpha,\beta} \mathbf{F}_{ij}^{\alpha\beta} \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}. \quad (20)$$

Next, the term $\sum_{i,\alpha} \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$ in (14) can be rewritten as

$$\begin{aligned} & \sum_{i,\alpha} -\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &= \sum_i \left[-\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \Delta(\mathbf{x} - \mathbf{x}_i^1) - \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \Delta(\mathbf{x} - \mathbf{x}_i^2) \right] \\ &= -\sum_i \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \left[\Delta(\mathbf{x} - \mathbf{x}_i^1) - \Delta(\mathbf{x} - \mathbf{x}_i^2) \right] \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_i \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \right] \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^S, \end{aligned} \quad (21)$$

where $\mathbf{x}_{ii}^{12} = \mathbf{x}_i^1 - \mathbf{x}_i^2$ and \mathbf{T}^S is given by

$$\mathbf{T}^S = \sum_i \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12}. \quad (22)$$

Finally, the remaining term in (14) due to the active driving force can be simplified using the equal and opposite forces \mathbf{f}_i acting on atoms 1 and 2 of molecule i to obtain

$$\begin{aligned} \sum_{i,\alpha} \mathbf{f}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_i \mathbf{f}_i \left[\Delta(\mathbf{x} - \mathbf{x}_i^1) - \Delta(\mathbf{x} - \mathbf{x}_i^2) \right] \\ &= -\frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_i \mathbf{f}_i \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \right) \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^A, \end{aligned} \quad (23)$$

where the tensor \mathbf{T}^A is defined as

$$\mathbf{T}^A = -\sum_i \mathbf{f}_i \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12}. \quad (24)$$

Combining all the terms in (19), (21), and (23) and substituting them into (14) reduces (14) to

$$\begin{aligned} \sum_{i,\alpha} \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_{i,\alpha} \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &+ \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^V + \mathbf{T}^S + \mathbf{T}^A). \end{aligned} \quad (25)$$

Using (25), Eq. (13) can be reduced to

$$\rho \dot{\mathbf{v}} = \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T} + \rho \mathbf{b}, \quad (26)$$

where, \mathbf{T} is the total stress tensor given by

$$\mathbf{T} = (\mathbf{T}^K + \mathbf{T}^V + \mathbf{T}^A + \mathbf{T}^S) \quad (27)$$

and $\rho \mathbf{b}$ is the body force density given by

$$\rho \mathbf{b} = \sum_{i,\alpha} \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha). \quad (28)$$

Equation (26) is a statement of the macroscopic balance of linear momentum with the total stress tensor \mathbf{T} given by the sum of the stresses coming from kinetic terms \mathbf{T}^K , virial terms that include the interatomic interactions from the pair potentials \mathbf{T}^V , harmonic spring terms \mathbf{T}^S , and the active forces \mathbf{T}^A . It can be seen that the kinetic stresses \mathbf{T}^K , virial stresses \mathbf{T}^V , and harmonic stresses \mathbf{T}^S are symmetric in nature. However, the active stress \mathbf{T}^A is not symmetric. This asymmetry is very particular to a system consisting of microscopic couples as in the case of active dumbbells considered here. Note that the stress tensor can contain non-symmetric parts in the case of multi-body potentials,^{58,59} for example, in the case of interactions consisting of three-body interactions,⁶⁰ which are usually used to model systems such as water^{61,62} and silicon.^{60,63} Moreover, it should be noted that our work deviates from the analysis in Ref. 64, where the stress tensor is considered for systems that contain contributions from active forces but still do not have an active rotational component that can lead to the asymmetry of the stress tensor. Specifically, in Ref. 64, it is found that the active forces contribute to the deviatoric but still symmetric part of the stress tensor. This is also the case in Ref. 49, where contributions from the active forces still contain only symmetric terms.

Given the nature of the stress tensor \mathbf{T} in (27), pressure in the system at any macroscopic point \mathbf{x} and time t can be obtained as the negative of the trace of the stress tensor. As can be seen from the expressions of the individual components of the total stress tensor given by Eqs. (12), (20), (22), and (24), pressure consists of contributions only from kinetic and intermolecular forces and not the active forces. This is due to the assumption in the microscopic dynamics (1) that the active forces act always perpendicular to the bond connecting the two atoms of the molecules thereby making \mathbf{T}^A traceless. Hence, the active forces only contribute the deviatoric components of the stress tensor. This should be contrasted with the case of active Brownian particles,^{30,65} where the active forces contribute to the definition of the pressure.

E. Balance of angular momentum

The asymmetric part of the stress tensor \mathbf{T} drives a spin angular momentum, and understanding spin requires derivation of the balance of angular momentum. The balance of angular momentum has been proposed as a law at the continuum level by⁴³ leading to the introduction of couple stress tensors based on the application of the surface couples (see Fig. 2). In

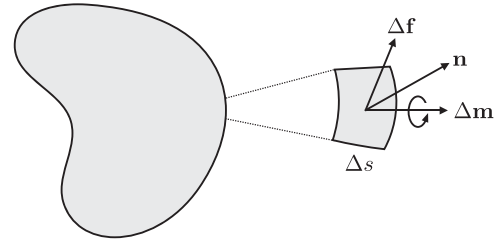


FIG. 2. Stress and couples: A schematic showing the forces and couples acting on an infinitesimal area Δs at a point on the surface of a body. Here, $\Delta \mathbf{f}$ and $\Delta \mathbf{m}$ are the surface forces and couples, related to the stress tensor and the couple stress tensor by the relations $\lim_{\Delta s \rightarrow 0} \frac{\Delta \mathbf{f}}{\Delta s} = \mathbf{T} \mathbf{n}$ and $\lim_{\Delta s \rightarrow 0} \frac{\Delta \mathbf{m}}{\Delta s} = \mathbf{C} \mathbf{n}$.

this section, we derive the balance of angular momentum from the microscopic dynamics given by (1) and identify the expressions for the couple stress tensor in terms of the microscopic variables.

The balance of angular momentum can be derived at the macroscopic level using the angular momentum relation (4) and following a procedure similar to the derivation of balance of linear momentum in Sec. II D. To this end, taking the time derivative of both sides of (4) and employing the identity (8) yields

$$\begin{aligned} \dot{\rho \mathbf{L}} &= \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \mathbf{v} \right] - \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha \left(\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \\ &= \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \left\{ \frac{\partial}{\partial \mathbf{x}} \left[\sum_{i,\alpha} \mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \right\} \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha) \otimes \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\ &= \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \left[\frac{\partial}{\partial \mathbf{x}} (\rho \mathbf{L}) \right] \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot (\rho \mathbf{L} \otimes \mathbf{v}) - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right], \quad (29) \end{aligned}$$

where the third equality is obtained using the definition of macroscopic angular momentum (4). Expanding the time derivative on the left-hand side of (29), using the balance of mass (10) and the following identity

$$\frac{\partial}{\partial \mathbf{x}} \cdot (\rho \mathbf{L} \otimes \mathbf{v}) = \left(\rho \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) \mathbf{L} + \left[\frac{\partial}{\partial \mathbf{x}} (\rho \mathbf{L}) \right] \cdot \mathbf{v}, \quad (30)$$

Equation (29) can be reduced to

$$\begin{aligned} \rho \dot{\mathbf{L}} &= \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha) \right. \\ &\quad \left. \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right]. \quad (31) \end{aligned}$$

The last term on the right-hand side of (31) can be rewritten as

$$\begin{aligned} & - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x}_i^\alpha \times \mathbf{p}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\ &= - \frac{\partial}{\partial \mathbf{x}} \cdot \left\{ \sum_{i,\alpha} [(\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{p}_i^\alpha] \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right\} \\ &\quad - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x} \times \mathbf{p}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C}^K - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x} \times \mathbf{p}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right], \quad (32) \end{aligned}$$

where the tensor \mathbf{C}^K is given by

$$\mathbf{C}^K = - \left\{ \sum_{i,\alpha} [(\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{p}_i^\alpha] \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right\}. \quad (33)$$

The second term on the right-hand side of (32) can be simplified using Einstein's indicial notation techniques as

$$\begin{aligned}
& \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x} \times \mathbf{p}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\
&= \frac{\partial}{\partial x_o} \left[\sum_{i,\alpha} \epsilon_{lmn} x_m (\mathbf{p}_i^\alpha)_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_o \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\
&= \sum_{i,\alpha} \epsilon_{lmn} \delta_{mo} (\mathbf{p}_i^\alpha)_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_o \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \epsilon_{lmn} x_m \sum_{i,\alpha} \frac{\partial}{\partial x_o} \left[(\mathbf{p}_i^\alpha)_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_o \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\
&= \sum_{i,\alpha} \epsilon_{lmn} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_m \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \sum_{i,\alpha} \epsilon_{lmn} m_i^\alpha v_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_m \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\
&\quad + \epsilon_{lmn} x_m \frac{\partial}{\partial x_o} \left[\sum_{i,\alpha} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_o \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] + \epsilon_{lmn} x_m \frac{\partial}{\partial x_o} \left[\sum_{i,\alpha} m_i^\alpha v_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_o \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right], \quad (34)
\end{aligned}$$

where ϵ_{lmn} is the permutation tensor and δ_{mn} is the Kronecker Delta. Noting that

$$\sum_{i,\alpha} m_i^\alpha v_n \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right)_o \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) = 0, \quad (35)$$

due to the linear momentum relation (3), Eq. (34) can be reduced to

$$\begin{aligned}
& \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} (\mathbf{x} \times \mathbf{p}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\
&= -\epsilon_{lmn} T_{nm}^K - \epsilon_{lmn} x_m \frac{\partial}{\partial x_o} (T_{no}^K) \\
&= -\mathbf{A}^K - \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^K, \quad (36)
\end{aligned}$$

where T_{mn}^K are the components of the kinetic part of the stress tensor \mathbf{T}^K in the indicial notation and \mathbf{A}^K denotes the vector formed from the anti-symmetric part of the kinetic stress tensor with its components $A_l^K = \epsilon_{lmn} T_{nm}^K$. Using Eqs. (32), (33), and (36), Eq. (31) can be simplified

to

$$\rho \dot{\mathbf{L}} = \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C}^K + \mathbf{A}^K + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^K. \quad (37)$$

The remaining term that can be reduced is the first term on the right-hand side of Eq. (37), which upon using the microscopic equations of motion (1) yields

$$\begin{aligned}
\sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i} + \sum_{j,\beta} \mathbf{F}_{ij}^{\alpha\beta} + \mathbf{f}_i^\alpha \right. \\
&\quad \left. - \frac{\partial u^s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} + \sqrt{2k_B T} \zeta \frac{d\mathbf{W}}{dt} \right). \quad (38)
\end{aligned}$$

In what follows, we manipulate the terms on the right-hand side of (38) to derive the quantities in the balance of angular momentum at the coarse-grained level. To this end, the second term on the right-hand side of (38) can be manipulated to yield

$$\begin{aligned}
\sum_{i,\alpha} \mathbf{x}_i^\alpha \times \sum_{j,\beta} \mathbf{F}_{ij}^{\alpha\beta} &= \sum_{i,\alpha,j,\beta} \mathbf{x}_i^\alpha \times \frac{-\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\
&= -\frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\mathbf{x}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \mathbf{x}_j^\beta \Delta(\mathbf{x} - \mathbf{x}_j^\beta) \right] \times \frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \\
&= \frac{1}{2} \sum_{i,\alpha,j,\beta} \left[-\mathbf{x}_i^\alpha \left(\frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}) \right) + \mathbf{x}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_j^\beta) \right] \times \mathbf{F}_{ij}^{\alpha\beta} \\
&= -\frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha,j,\beta} (\mathbf{x}_i^\alpha \times \mathbf{F}_{ij}^{\alpha\beta}) \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right] + \frac{1}{2} \sum_{i,\alpha,j,\beta} \mathbf{x}_{ij}^{\alpha\beta} \times \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_j^\beta) \\
&= \frac{\partial}{\partial \mathbf{x}} \cdot \left[-\frac{1}{2} \sum_{i,\alpha,j,\beta} (\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{F}_{ij}^{\alpha\beta} \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right] \\
&\quad + \frac{\partial}{\partial \mathbf{x}} \cdot \left[-\frac{1}{2} \sum_{i,\alpha,j,\beta} (\mathbf{x} \times \mathbf{F}_{ij}^{\alpha\beta}) \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right] + \frac{1}{2} \sum_{i,\alpha,j,\beta} \mathbf{x}_{ij}^{\alpha\beta} \times \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_j^\beta) \\
&= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C}^V + \mathbf{A}^V + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^V + \frac{1}{2} \sum_{i,\alpha,j,\beta} \mathbf{x}_{ij}^{\alpha\beta} \times \mathbf{F}_{ij}^{\alpha\beta} \Delta(\mathbf{x} - \mathbf{x}_j^\beta), \quad (39)
\end{aligned}$$

where the tensor \mathbf{C}^V is given by

$$\mathbf{C}^V = -\frac{1}{2} \left(\sum_{i,\alpha,j,\beta} (\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{F}_{ij}^{\alpha\beta} \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right), \quad (40)$$

\mathbf{A}^V is the vector formed by the antisymmetric parts of the virial stress tensor \mathbf{T}^V given by $A_l^V = +\epsilon_{lmn} T_{nm}^V$, and the last equality in (39) is obtained by using the pair potential contribution to the total stress tensor given in (20). The last term on the right-hand side of (39) is identically zero for systems modeled by pair potentials due to the fact that the force $\mathbf{F}_{ij}^{\alpha\beta}$ is proportional to $\mathbf{x}_{ij}^{\alpha\beta}$.

Using a similar procedure to reduce the pair potential-mediated forces in (39) and (40), the fourth term on the right-hand side of (38) can be reduced to

$$\begin{aligned} \sum_{i,\alpha} \mathbf{x}_i^\alpha \times -\frac{\partial u^s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} &= \sum_i \left[\mathbf{x}_i^1 \times \left(-\frac{\partial u^s(x_i^{12})}{\partial \mathbf{x}_i^1} \right) \Delta(\mathbf{x} - \mathbf{x}_i^1) \right. \\ &\quad \left. + \mathbf{x}_i^2 \times \left(-\frac{\partial u^s(x_i^{12})}{\partial \mathbf{x}_i^2} \right) \Delta(\mathbf{x} - \mathbf{x}_i^2) \right] \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C}^S + \mathbf{A}^S + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^S, \quad (41) \end{aligned}$$

where the tensor \mathbf{C}^S is given by

$$\mathbf{C}^S = -\frac{1}{2} \sum_i (\mathbf{x}_i^1 - \mathbf{x}) \times \frac{-\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \quad (42)$$

and \mathbf{A}^S is the vector formed from the anti-symmetric part of the stress tensor \mathbf{T}^S .

Next, the third term on the right-hand side of (39) involving the active forces can be reduced to

$$\begin{aligned} \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \mathbf{f}_i^\alpha &= \sum_i \left[\mathbf{x}_i^1 \Delta(\mathbf{x} - \mathbf{x}_i^1) - \mathbf{x}_i^1 \Delta(\mathbf{x} - \mathbf{x}_i^2) + \mathbf{x}_i^1 \Delta(\mathbf{x} - \mathbf{x}_i^2) - \mathbf{x}_i^2 \Delta(\mathbf{x} - \mathbf{x}_i^2) \right] \times \mathbf{f}_i \\ &= \sum_i \mathbf{x}_i^1 \left[-\frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{x}_{ii}^{12} b_{ii}^{12}) \right] \times \mathbf{f}_i + \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2) \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \left[-\sum_i (\mathbf{x}_i^1 \times \mathbf{f}_i) \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \right] + \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2) \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \left[-\sum_i (\mathbf{x}_i^1 - \mathbf{x}) \times \mathbf{f}_i \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \right] - \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_i (\mathbf{x} \times \mathbf{f}_i) \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \right] + \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2) \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C}^A + \mathbf{A}^A + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}^A + \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2), \quad (43) \end{aligned}$$

where the tensor \mathbf{C}^A is defined as

$$\mathbf{C}^A = -\sum_i [(\mathbf{x}_i^1 - \mathbf{x}) \times \mathbf{f}_i] \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12} \quad (44)$$

and \mathbf{A}^A is the vector formed by the anti-symmetric components of the active part of the stress tensor \mathbf{T}^A similar to \mathbf{A}^K and \mathbf{A}^V .

Finally, combining all the terms in Eqs. (39)–(43), the resulting angular momentum balance given by (37) can be reduced to

$$\begin{aligned} \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \dot{\mathbf{p}}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_{i,\alpha} \mathbf{x}_i^\alpha \times \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) + \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2) \\ &\quad + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{C}^K + \mathbf{C}^V + \mathbf{C}^S + \mathbf{C}^S) + \mathbf{A}^K + \mathbf{A}^S + \mathbf{A}^V + \mathbf{A}^A \\ &\quad + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^K + \mathbf{T}^V + \mathbf{T}^S + \mathbf{T}^A) \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C} + \rho \mathbf{G} + \mathbf{x} \times \rho \mathbf{b} + \mathbf{A} + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}, \quad (45) \end{aligned}$$

where

$$\begin{aligned} \rho \mathbf{G} &= \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2) + \sum_{i,\alpha} (\mathbf{x}_i^\alpha - \mathbf{x}) \\ &\quad \times \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \quad (46) \end{aligned}$$

$$\mathbf{C} = \mathbf{C}^K + \mathbf{C}^V + \mathbf{C}^S + \mathbf{C}^A, \quad (47)$$

and

$$\mathbf{A} = \mathbf{A}^K + \mathbf{A}^V + \mathbf{A}^S + \mathbf{A}^A. \quad (48)$$

Substituting (45) in (37), the total balance of angular momentum at the coarse-grained level can be obtained as

$$\rho \dot{\mathbf{L}} = \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C} + \rho \mathbf{G} + \mathbf{x} \times \rho \mathbf{b} + \mathbf{A} + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}. \quad (49)$$

Equation (49) is a statement of the macroscopic balance of angular momentum with the total couple stress tensor \mathbf{C} given by the sum of a contribution of the couple stresses coming from kinetic \mathbf{C}^K , interatomic potential \mathbf{C}^V , harmonic spring \mathbf{C}^S , and active forces \mathbf{C}^A , and $\rho \mathbf{G}$ being the body torque at the coarse-grained level. As can be seen from the form in (40), the couple stress is the virial contribution from the torque created by a force between the bond connecting the atoms $\{i, \alpha\}$ and $\{j, \beta\}$

with respect to the center \mathbf{x} of the coarse-graining volume. The physical meaning of all the other terms \mathbf{C}^K , \mathbf{C}^S , and \mathbf{C}^A can be understood in a similar way to \mathbf{C}^V . We note that the couple stress tensor can also exist for liquid-crystal systems made up of rod like molecules, which are similar to the rotary active dumbbells considered in our work.^{66,67}

The microscopic derivation of balance of angular momentum has not been considered before by Irving and Kirkwood. It is of interest to see that even though the stress tensor from interaction potentials and kinetic terms lead to a symmetric form for the stress tensor, the corresponding couple stresses are not zero. Couple stresses are usually neglected or not considered in the case of mechanics of continuous media, even in the case of passive particles.⁶⁸ This assumption is satisfied when the time scales associated with the relaxation of couple stresses are small compared to that of the stress tensor in addition to no body torques.⁴⁵ In this case, the change of angular momentum and couple stresses can be ignored, and the balance of angular momentum (49) then imposes the symmetry of the stress tensor. However, should the active forces driving the dumbbell particles be non-zero, the active couple stresses \mathbf{C}^A and the asymmetric part of the stress tensor from \mathbf{T}^A need not be negligible. Moreover, the non-zero active forces also drive the body torques $\rho\mathbf{G}$ as can be seen from (46). Therefore, the case of active dumbbells or any system consisting of microscopically rotating particles presents a unique case where the spin stresses and the non-symmetric part of the stress tensor are not negligible, and the coupling between linear and angular momentum should be considered. This can be seen explicitly from the balance of spin that is considered in Sec. II E 1.

1. Balance of spin

In this section, we introduce the concept of spin and derive the balance of spin. Following the work of Dahler and Scriven,⁴³ the total angular momentum can be divided without loss of generality as

$$\rho\mathbf{L} = \rho\mathbf{x} \times \mathbf{v} + \rho\mathbf{M}, \quad (50)$$

where $\rho\mathbf{x} \times \mathbf{v}$ is moment of linear momentum (or orbital angular momentum) and \mathbf{M} is the internal spin, as shown in Fig. 3.

Taking the cross product of the local form of the balance of linear momentum with \mathbf{x} yields

$$\mathbf{x} \times \rho\dot{\mathbf{v}} = \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T} + \rho\mathbf{x} \times \mathbf{b}. \quad (51)$$

Making use of (50) and (51) in the local form of total angular momentum (49) yields the equation for balance of spin as

$$\rho\dot{\mathbf{M}} = \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C} + \rho\mathbf{G} + \mathbf{A}. \quad (52)$$

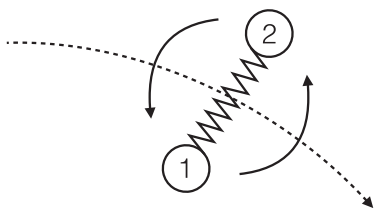


FIG. 3. A schematic showing the decomposition of the total angular momentum into orbital angular momentum and spin.

F. Balance of moment of inertia

Since the particles in a fluid are continuously exchanged in the neighborhood of the macroscopic point \mathbf{x} , the moment of inertia \mathbf{I} is transported across the system requiring the balance equation for moment of inertia. To this end, we derive the associated macroscopic balance of moment of inertia using relation (5). Taking the time derivative of both sides of (5) yields

$$\begin{aligned} \dot{\mathbf{I}} = & 2 \sum_{i,\alpha} \left[m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \cdot (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \mathbf{i} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ & - \sum_{i,\alpha} \left[\left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \otimes (\mathbf{x}_i^\alpha - \mathbf{x}) + (\mathbf{x}_i^\alpha - \mathbf{x}) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \right] \\ & \times m_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \sum_{i,\alpha} \mathbf{I}_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \mathbf{v} \right. \\ & \left. - \frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right], \quad (53) \end{aligned}$$

where we have used identity (8). The last term on the right can be simplified as

$$\begin{aligned} & \sum_{i,\alpha} \mathbf{I}_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \mathbf{v} - \frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right] \\ & = \frac{\partial}{\partial \mathbf{x}} \cdot \left[\sum_{i,\alpha} \mathbf{I}_i^\alpha \otimes \left(\mathbf{v} - \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right] \\ & - \mathbf{I} \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) - 2 \sum_{i,\alpha} \left[m_i^\alpha (\mathbf{x} - \mathbf{x}_i^\alpha) \cdot \left(\mathbf{v} - \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \right] \mathbf{i} \\ & - \sum_{i,\alpha} m_i^\alpha \left[(\mathbf{x} - \mathbf{x}_i^\alpha) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \right. \\ & \left. + \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \otimes (\mathbf{x} - \mathbf{x}_i^\alpha) \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \quad (54) \end{aligned}$$

where the dyadic product is between a tensor and a vector, which for any general tensor \mathbf{S} and vector \mathbf{d} yields a third order tensor with the elements $S_{ij}d_k$ in the indicial notation. Using (54), the rate of change of moment of inertia in (53) can be reduced to

$$\dot{\mathbf{I}} + \mathbf{I} \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) = - \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{Y}, \quad (55)$$

where \mathbf{Y} is the moment of inertia flux tensor given

$$\mathbf{Y} = - \left[\sum_{i,\alpha} \mathbf{I}_i^\alpha \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right]. \quad (56)$$

Note that the moment of inertia flux tensor \mathbf{Y} is a third order tensor owing to the second order nature of the tensor \mathbf{I} . Equation (55) is a statement of the macroscopic balance of momentum of inertia of the system.

Finally, without loss of any generality, the spin can be rewritten as

$$\rho\mathbf{M} = \mathbf{I}\boldsymbol{\omega}(\mathbf{x}, t), \quad (57)$$

where $\boldsymbol{\omega}$ is the rotational velocity of the macroscopic point. Note that the definition of the moment of inertia \mathbf{I} , and thus $\boldsymbol{\omega}$, depends on the choice of the coordinate system.

Using (57), (55), and (10), the balance of spin in (52) can be written in terms of the rate of rotation as

$$\mathbf{I}\dot{\boldsymbol{\omega}} = \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{Y} \right) \boldsymbol{\omega} + \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C} + \rho \mathbf{G} + \mathbf{A}. \quad (58)$$

G. Balance of energy

In this section, we derive the balance of total energy to identify the sources of heat and heat flux at the macroscopic

$$\begin{aligned} \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha \cdot \mathbf{p}_i^\alpha}{2m_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_{i,\alpha} \frac{1}{2} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{\rho \mathbf{v} \cdot \mathbf{v}}{2} \\ &= \sum_{i,\alpha} \frac{1}{2} m_i^\alpha \left[\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha + \boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \cdot \left[\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha + \boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{\rho \mathbf{v} \cdot \mathbf{v}}{2} \\ &= \frac{1}{2} \sum_{i,\alpha} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \sum_{i,\alpha} m_i^\alpha \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &\quad - \frac{1}{2} \sum_{i,\alpha} m_i^\alpha \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \cdot \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{\rho \mathbf{v} \cdot \mathbf{v}}{2}, \end{aligned} \quad (59)$$

where

$$\hat{\mathbf{v}}_i^\alpha = \mathbf{v} + \boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \quad (60)$$

is the rigid-body convective velocity of a particle that is translating and rotating with the continuum point \mathbf{x} . The third term on the right-hand side of the third equality in (59) can be rewritten as

$$\begin{aligned} \frac{1}{2} \sum_{i,\alpha} m_i^\alpha \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \cdot \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \frac{1}{2} \mathbf{I} : \boldsymbol{\omega} \otimes \boldsymbol{\omega} = \frac{1}{2} \mathbf{I} \boldsymbol{\omega} \cdot \boldsymbol{\omega}, \end{aligned} \quad (61)$$

where “:” denotes the double contraction between two second order tensors, which for any two general tensors, \mathbf{S} and \mathbf{H} yield a scalar $S_{ij}H_{ij}$ with Einstein’s summation convention. In obtaining (61), we have used the identity

$$\begin{aligned} \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \cdot \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \\ = \left\{ \left[(\mathbf{x}_i^\alpha - \mathbf{x}) \cdot (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \mathbf{i} - \left[(\mathbf{x}_i^\alpha - \mathbf{x}) \otimes (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \right\} : \boldsymbol{\omega} \otimes \boldsymbol{\omega}. \end{aligned} \quad (62)$$

The second term on the right-hand side of the third equality in (59) can be rewritten as

$$\begin{aligned} \sum_{i,\alpha} m_i^\alpha \left[\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right] \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \sum_{i,\alpha} m_i^\alpha \left[(\mathbf{x}_i^\alpha - \mathbf{x}) \times \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \right] \cdot \boldsymbol{\omega} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \rho \mathbf{M} \cdot \boldsymbol{\omega} \\ = \mathbf{I} \boldsymbol{\omega} \cdot \boldsymbol{\omega} \end{aligned} \quad (63)$$

using the definition of spin angular momentum (57) and an assumption that the continuum point \mathbf{x} represents the center

scale. We begin by decomposing the total energy into the internal energy and translational kinetic and rotational kinetic energies. We then derive the balance of total energy and use the decomposition of the energy to finally derive the balance of internal energy.

H. Decomposition of energy

We begin the decomposition of energy by rewriting the total kinetic energy arising from the particles as

of mass of particles in its neighborhood defined by the length scale of averaging in the coarse-graining function, i.e.,

$$\rho \mathbf{x} = \sum_{i,\alpha} m_i^\alpha \mathbf{x}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha). \quad (64)$$

Making use of (61) and (63), the kinetic energy, in Eq. (59), can be reduced to

$$\begin{aligned} \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha \cdot \mathbf{p}_i^\alpha}{2m_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \frac{1}{2} \sum_{i,\alpha} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \frac{\rho \mathbf{v} \cdot \mathbf{v}}{2} + \frac{\mathbf{I} \boldsymbol{\omega} \cdot \boldsymbol{\omega}}{2}. \end{aligned} \quad (65)$$

Using (65), the total energy at macroscale (7) can be simplified to

$$\begin{aligned} \rho e = \frac{1}{2} \sum_{i,\alpha,j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{1}{2} \sum_{i,\alpha} u^s(\mathbf{x}_i^1, \mathbf{x}_i^2) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \frac{1}{2} \sum_{i,\alpha} m_i^\alpha (\mathbf{v}_i^\alpha - \hat{\mathbf{v}}_i^\alpha) \cdot (\mathbf{v}_i^\alpha - \hat{\mathbf{v}}_i^\alpha) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \frac{\rho \mathbf{v} \cdot \mathbf{v}}{2} + \frac{\mathbf{I} \boldsymbol{\omega} \cdot \boldsymbol{\omega}}{2}. \end{aligned} \quad (66)$$

Denoting the first three terms on the right-hand side of (66) as the total internal energy $\rho(\mathbf{x}, t)\epsilon(\mathbf{x}, t)$ at the macroscopic point \mathbf{x} given by

$$\begin{aligned} \rho \epsilon = \frac{1}{2} \sum_{i,\alpha,j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{1}{2} \sum_{i,\alpha} u^s(\mathbf{x}_i^1, \mathbf{x}_i^2) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \frac{1}{2} \sum_{i,\alpha} m_i^\alpha (\mathbf{v}_i^\alpha - \hat{\mathbf{v}}_i^\alpha) \cdot (\mathbf{v}_i^\alpha - \hat{\mathbf{v}}_i^\alpha) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \end{aligned} \quad (67)$$

the total energy (7) can be decomposed into internal energy and translational and rotational kinetic energies as

$$\rho e = \rho \epsilon + \frac{\rho \mathbf{v} \cdot \mathbf{v}}{2} + \frac{\mathbf{I} \boldsymbol{\omega} \cdot \boldsymbol{\omega}}{2}. \quad (68)$$

1. Balance of total energy

Taking the time derivative of both sides of (7), we have

$$\begin{aligned} \dot{\rho} e + \rho \dot{e} = & \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha \cdot \dot{\mathbf{p}}_i^\alpha}{m_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \sum_{i,\alpha} E_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \left(\mathbf{v} - \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \right] + \frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_j^\beta} \cdot \frac{\mathbf{p}_j^\beta}{m_j^\beta} \right] \\ & \times \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{1}{2} \sum_{i,\alpha} \left[\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \frac{\mathbf{p}_i^1}{m_i^1} + \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \frac{\mathbf{p}_i^2}{m_i^2} \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \end{aligned} \quad (69)$$

where E_i^α is the total energy of each atom given by

$$E_i^\alpha = \frac{\mathbf{p}_i^\alpha \cdot \mathbf{p}_i^\alpha}{2m_i^\alpha} + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2). \quad (70)$$

Note that the interaction energy from the pair potential and the harmonic spring energy are equally divided between two interacting particles. Using Eqs. (10) and (1), we can rewrite (69) as

$$\begin{aligned} \rho \dot{e} - \rho \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) e = & \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \cdot \left[-\zeta \frac{\mathbf{p}_i^\alpha}{m_i} + \sum_{j,\beta} \mathbf{F}_{ij}^{\alpha\beta} + \mathbf{f}_i^\alpha - \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ & + \sum_{i,\alpha} E_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \left(\mathbf{v} - \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \right] + \frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_j^\beta} \cdot \frac{\mathbf{p}_j^\beta}{m_j^\beta} \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ & + \frac{1}{2} \sum_{i,\alpha} \left[\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \frac{\mathbf{p}_i^1}{m_i^1} + \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \frac{\mathbf{p}_i^2}{m_i^2} \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha). \end{aligned} \quad (71)$$

We now simplify each term in (71). To this end, the second term on the right-hand side of (71) can be rewritten as

$$\begin{aligned} \sum_{i,\alpha} E_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \left(\mathbf{v} - \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \right] &= \frac{\partial}{\partial \mathbf{x}} \left(\sum_{i,\alpha} E_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right) \cdot \mathbf{v} - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_{i,\alpha} E_i^\alpha \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right) \\ &= -\rho \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) e - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_{i,\alpha} E_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right), \end{aligned} \quad (72)$$

where use is made of relation (7). Equation (72) can be further simplified by manipulating the kinetic energy part of the atomic energy E_i^α in (70) to yield

$$\begin{aligned} \sum_{i,\alpha} E_i^\alpha \left[\frac{\partial \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)}{\partial \mathbf{x}} \cdot \left(\mathbf{v} - \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \right] &= -\rho \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) e - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_{i,\alpha} \left[\frac{(\mathbf{p}_i^\alpha - m_i^\alpha \mathbf{v}) \cdot (\mathbf{p}_i^\alpha - m_i^\alpha \mathbf{v})}{2m_i^\alpha} + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) \right. \right. \\ & \quad \left. \left. + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2) \right] \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right) + \frac{\partial}{\partial \mathbf{x}} \cdot \left((\mathbf{T}^K)^T \mathbf{v} \right), \end{aligned} \quad (73)$$

where the last term represents the contribution from the kinetic part of stress tensor (12).

Next, the third term on the right-hand side of (71) can be rewritten as

$$\begin{aligned} \frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_j^\beta} \cdot \frac{\mathbf{p}_j^\beta}{m_j^\beta} \right] \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &= -\frac{1}{2} \sum_{i,\alpha,j,\beta} \left(\mathbf{F}_{ij}^{\alpha\beta} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{1}{2} \sum_{i,\alpha,j,\beta} \left(\frac{\partial u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta)}{\partial \mathbf{x}_i^\alpha} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) (\Delta(\mathbf{x} - \mathbf{x}_j^\beta) + \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)) \\ &= -\sum_{i,\alpha,j,\beta} \left(\mathbf{F}_{ij}^{\alpha\beta} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \frac{1}{2} \sum_{i,\alpha,j,\beta} \left(\mathbf{F}_{ij}^{\alpha\beta} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \left(\frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}) \right) \\ &= -\sum_{i,\alpha,j,\beta} \left(\mathbf{F}_{ij}^{\alpha\beta} \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i} - \mathbf{v} \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right) + \frac{\partial}{\partial \mathbf{x}} \cdot \left((\mathbf{T}^V)^T \mathbf{v} \right), \end{aligned} \quad (74)$$

where \mathbf{T}^V is the virial stress in (20).

Employing similar procedures in deriving (74), the fourth term on the right-hand side of (71) can be modified to yield

$$\begin{aligned} \frac{1}{2} \sum_{i,\alpha} \left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \frac{\mathbf{p}_i^1}{m_i^1} + \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \frac{\mathbf{p}_i^2}{m_i^2} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) &= \sum_i \left[\left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \frac{\mathbf{p}_i^1}{m_i^1} \right) \Delta(\mathbf{x} - \mathbf{x}_i^1) + \left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \frac{\mathbf{p}_i^2}{m_i^2} \right) \Delta(\mathbf{x} - \mathbf{x}_i^2) \right] \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot \left(\frac{1}{2} \sum_i \left[\left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \left(\frac{\mathbf{p}_i^1}{m_i^1} - \mathbf{v} \right) \right) \mathbf{x}_{ii}^{12} b_{ii}^{12} \right. \right. \\ &\quad \left. \left. + \left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \mathbf{v} \right) \right) \mathbf{x}_{ii}^{21} b_{ii}^{21} \right] \right) + \frac{\partial}{\partial \mathbf{x}} \cdot \left((\mathbf{T}^S)^T \mathbf{v} \right), \end{aligned} \quad (75)$$

where \mathbf{T}^S is the stress due to the harmonic spring terms given by (22).

The active forces term in (71) can be simplified as

$$\sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \cdot \mathbf{f}_i^\alpha \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) = \sum_i \left(\frac{\mathbf{p}_i^1}{m_i^1} - \frac{\mathbf{p}_i^2}{m_i^2} \right) \cdot \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^1) - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_i \left[\mathbf{f}_i \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \mathbf{v} \right) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12} \right) + \frac{\partial}{\partial \mathbf{x}} \cdot \left((\mathbf{T}^A)^T \mathbf{v} \right), \quad (76)$$

where \mathbf{T}^A is the active stress in (24).

Combining the results from (72) to (76) and rearranging the terms, the total energy balance in (71) reduces to

$$\begin{aligned} \rho \dot{e} &= \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \cdot \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^T \mathbf{v}) + \sum_i \left(\frac{\mathbf{p}_i^1}{m_i^1} - \frac{\mathbf{p}_i^2}{m_i^2} \right) \cdot \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ &\quad - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_{i,\alpha} \left[\frac{(\mathbf{p}_i^\alpha - m_i^\alpha \mathbf{v}) \cdot (\mathbf{p}_i^\alpha - m_i^\alpha \mathbf{v})}{2m_i^\alpha} + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2) \right] \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \right) \\ &\quad - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\frac{1}{2} \sum_{i,\alpha,j,\beta} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \right) - \frac{\partial}{\partial \mathbf{x}} \cdot \left(\sum_i \left[\mathbf{f}_i \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \mathbf{v} \right) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12} \right) \\ &\quad + \frac{\partial}{\partial \mathbf{x}} \cdot \left(\frac{1}{2} \sum_i \left[\left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \left(\frac{\mathbf{p}_i^1}{m_i^1} - \mathbf{v} \right) \right) \mathbf{x}_{ii}^{12} b_{ii}^{12} + \left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \mathbf{v} \right) \right) \mathbf{x}_{ii}^{21} b_{ii}^{21} \right] \right), \end{aligned} \quad (77)$$

where the last four terms contain divergence terms that are the fluxes of energy in the system.

At this stage, it can be seen that the second term on the right-hand side of the energy balance in (77) contains the rate of work done due to the applied forces in terms of the stress tensor. What remains to be seen is the form for the rate of work performed by the surface couples in the system. To this end, we modify each of the last four terms of (77) by subtracting the rotational parts of the velocity from the individual atomic velocities. Beginning with the fifth term on the right-hand side of (77), it can be seen that

$$\begin{aligned} \sum_{i,\alpha,j,\beta} \frac{1}{2} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} &= \sum_{i,\alpha,j,\beta} \frac{1}{2} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} + \sum_{i,\alpha,j,\beta} \frac{1}{2} \left(\mathbf{F}_{ij}^{\alpha\beta} \cdot [\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x})] \right) \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \\ &= \sum_{i,\alpha,j,\beta} \frac{1}{2} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} + \sum_{i,\alpha,j,\beta} \left[\frac{1}{2} \mathbf{x}_{ij}^{\alpha\beta} \otimes ((\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{F}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}) \right] \boldsymbol{\omega} \\ &= \mathbf{J}_q^V - (\mathbf{C}^V)^T \boldsymbol{\omega}, \end{aligned} \quad (78)$$

where

$$\mathbf{J}_q^V = \sum_{i,\alpha,j,\beta} \frac{1}{2} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta} \quad (79)$$

and \mathbf{C}^V is the virial part of the couple stress given by (40). Following similar procedures used to obtain Eqs. (78) and (79), the last term on the right-hand side of (77) is reduced to

$$\begin{aligned} \frac{1}{2} \sum_i \left[\left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \left(\frac{\mathbf{p}_i^1}{m_i^1} - \mathbf{v} \right) \right) \mathbf{x}_{ii}^{12} b_{ii}^{12} \right. \\ \left. + \left(\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \mathbf{v} \right) \right) \mathbf{x}_{ii}^{21} b_{ii}^{21} \right] = -\mathbf{J}_q^S - (\mathbf{C}^S)^T \boldsymbol{\omega}, \end{aligned} \quad (80)$$

where

$$\mathbf{J}_q^S = - \sum_i \left[\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \left(\frac{\mathbf{p}_i^1}{m_i^1} - \hat{\mathbf{v}}_i^1 \right) \mathbf{x}_{ii}^{12} b_{ii}^{12} + \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \hat{\mathbf{v}}_i^2 \right) \mathbf{x}_{ii}^{21} b_{ii}^{21} \right] \quad (81)$$

and \mathbf{C}^S is the spring part of the couple stress given by (42).

Next, the active terms contained in the sixth term on the right-hand side of (77) can be reduced to

$$\begin{aligned} \sum_i \left[\mathbf{f}_i \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \mathbf{v} \right) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12} &= \sum_i \left[\mathbf{f}_i \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \hat{\mathbf{v}}_i^2 \right) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12} + \sum_i \left[\mathbf{f}_i \cdot (\boldsymbol{\omega} \times (\mathbf{x}_i^2 - \mathbf{x})) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12} \\ &= \mathbf{J}_q^A - (\mathbf{C}^A)^T \boldsymbol{\omega}, \end{aligned} \quad (82)$$

where

$$\mathbf{J}_q^A = \sum_i \left[\mathbf{f}_i \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \hat{\mathbf{v}}_i^2 \right) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12} \quad (83)$$

and \mathbf{C}^A is the active part of the couple stress given by (44).

The fourth term on the right-hand side of (77) can be manipulated by subtracting and adding the rigid rotational components of velocity $\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x})$ from the relative kinetic energy term to yield

$$\begin{aligned} \sum_{i,\alpha} \left[\frac{(\mathbf{p}_i^\alpha - m_i^\alpha \mathbf{v}) \cdot (\mathbf{p}_i^\alpha - m_i^\alpha \mathbf{v})}{2m_i^\alpha} + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2) \right] \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \sum_{i,\alpha} \left[\hat{\mathbf{K}}_i^\alpha + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2) - m_i^\alpha \mathbf{v} \cdot [\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x})] \right] \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \sum_{i,\alpha} m_i^\alpha \left([\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x})] \cdot \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \right) \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) - \frac{1}{2} \sum_{i,\alpha} (\mathbf{I}_i^\alpha \boldsymbol{\omega} \cdot \boldsymbol{\omega}) \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \mathbf{J}_q^K - (\mathbf{C}^K)^T \boldsymbol{\omega} + \frac{1}{2} (\mathbf{Y} : \boldsymbol{\omega} \otimes \boldsymbol{\omega}), \end{aligned} \quad (84)$$

where

$$\hat{\mathbf{K}}_i^\alpha = \frac{1}{2} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \quad (85)$$

is the kinetic energy of the particle relative to the translational and the rotational motion of the continuum point,

$$\begin{aligned} \mathbf{J}_q^K = \sum_{i,\alpha} \left(\hat{\mathbf{K}}_i^\alpha + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2) \right. \\ \left. - m_i^\alpha \mathbf{v} \cdot \boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right) \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha), \end{aligned} \quad (86)$$

and \mathbf{C}^K is the kinetic part of the couple stress given by (33).

With the manipulations from (78)–(86), the balance of total energy at the macroscopic point in (77) is reduced to

$$\begin{aligned} \rho \dot{e} = \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \cdot \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \sum_i \left(\frac{\mathbf{p}_i^1}{m_i^1} - \frac{\mathbf{p}_i^2}{m_i^2} \right) \cdot \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ - \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{J}_q) + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^T \mathbf{v}) + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{C}^T \boldsymbol{\omega}) \\ - \frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{Y} : \boldsymbol{\omega} \otimes \boldsymbol{\omega}), \end{aligned} \quad (87)$$

where

$$\mathbf{J}_q = \mathbf{J}_q^K + \mathbf{J}_q^V + \mathbf{J}_q^S + \mathbf{J}_q^A. \quad (88)$$

We can further reduce the first term on the right-hand side of (87) by adding and subtracting the terms corresponding to the rotational velocity of the particle moving with the continuum point $\boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x})$ to obtain

$$\begin{aligned} \sum_{i,\alpha} \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} \cdot \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \sum_i \left(\frac{\mathbf{p}_i^1}{m_i^1} - \frac{\mathbf{p}_i^2}{m_i^2} \right) \cdot \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ = \sum_{i,\alpha} \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \cdot \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \sum_i (\hat{\mathbf{v}}_i^1 - \hat{\mathbf{v}}_i^2) \cdot \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) + \rho \mathbf{b} \cdot \mathbf{v} + \rho \mathbf{G} \cdot \boldsymbol{\omega} \\ = \Lambda + \rho \mathbf{b} \cdot \mathbf{v} + \rho \mathbf{G} \cdot \boldsymbol{\omega}, \end{aligned} \quad (89)$$

where

$$\begin{aligned} \Lambda = \sum_{i,\alpha} \left[\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right] \cdot \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \\ + \sum_{i,\alpha} (\hat{\mathbf{v}}_i^1 - \hat{\mathbf{v}}_i^2) \cdot \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^\alpha) \end{aligned} \quad (90)$$

and $\rho \mathbf{b}$ and $\rho \mathbf{G}$ are the body forces and the body torques given by (28) and (46), respectively.

Using (89) and (90), the total energy balance at macroscale (87) can be obtained as

$$\rho\dot{e} = -\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{J}_q + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^T \mathbf{v}) + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{C}^T \boldsymbol{\omega}) - \frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{Y} : \boldsymbol{\omega} \otimes \boldsymbol{\omega}) + \Lambda + \rho \mathbf{b} \cdot \mathbf{v} + \rho \mathbf{G} \cdot \boldsymbol{\omega}. \quad (91)$$

Equation (91) can be considered as a generalization of the balance of energy from microscopic dynamics as originally conceived by Irving and Kirkwood,¹ where only the effects of linear momentum were considered. It can be seen from (91) that the extension to include internal spin effects leads to additional terms corresponding to the rate of work or power from spin stresses \mathbf{C} and body torques \mathbf{G} . Moreover, the effect of transport of moment of inertia due to the existence of a moment of inertia flux is explicit in the balance of energy, in addition to being implicitly part of the definition of total energy ρe . Importantly, the existence of spin affects the contributions to the heat flux in comparison to the original expression for heat flux derived by Irving and Kirkwood.¹ One direct difference is the way in which the convection of energy by interaction forces and active forces occurs mainly by the momentum of the particles relative to the convective translational and rotational velocities of the continuum point \mathbf{x} . However, it is interesting to see that the convection of energy through kinetic and potential energies is still affected by means of the momentum relative to the translational velocity of the continuum point. It is unclear to us at this moment physically why there exist two distinct modes of convection for interaction and energetic terms.

Lastly, the balance of energy (91) includes a term Λ that can be interpreted as the source of heat with contributions from both the friction from the bath and associated thermal forces and the active torques. This term may be understood as an extension of the concept of heat in the stochastic energetics framework^{69,70} to extended continuous media and active matter. This shows how the bath and the active rotations can appear as internal sources of energy changes when viewed from a coarse-grained perspective.

2. Balance of internal energy

In what follows, we use the decomposition of the total energy given in (68) and derive the balance of internal energy. We start by taking the time derivative of (68) which can be written as

$$\rho\dot{e} = \rho\dot{e} + \rho \mathbf{v} \cdot \dot{\mathbf{v}} + \frac{1}{2} \left(\dot{\mathbf{I}} + \mathbf{I} \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) \boldsymbol{\omega} \cdot \boldsymbol{\omega} + \mathbf{I} \boldsymbol{\omega} \cdot \dot{\boldsymbol{\omega}}. \quad (92)$$

Taking the dot product of the balance of linear momentum with the velocity vector \mathbf{v} , Eq. (26) yields

$$\rho \mathbf{v} \cdot \dot{\mathbf{v}} = \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^T \mathbf{v}) - \mathbf{T} : \nabla \mathbf{v} + \rho \mathbf{b} \cdot \mathbf{v}, \quad (93)$$

where use is made of the identity

$$\left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{A} \right) \cdot \mathbf{b} = \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{A}^T \mathbf{b}) - \mathbf{A} : \nabla \mathbf{b}, \quad (94)$$

with \mathbf{A} and \mathbf{b} being any arbitrary tensor and vector, respectively.

Taking the total time derivative of Eq. (57) corresponding to the definition of the rotational velocity of the continuum point yields

$$\rho \dot{\mathbf{M}} - \rho \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) \mathbf{M} = \dot{\mathbf{I}} \boldsymbol{\omega} + \mathbf{I} \dot{\boldsymbol{\omega}}, \quad (95)$$

where use is made of (9). Taking the dot product of (95) with $\boldsymbol{\omega}$ and rearranging the terms yields

$$\rho \dot{\mathbf{M}} \cdot \boldsymbol{\omega} = \left(\dot{\mathbf{I}} + \mathbf{I} \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) \boldsymbol{\omega} \cdot \boldsymbol{\omega} + \mathbf{I} \boldsymbol{\omega} \cdot \dot{\boldsymbol{\omega}} \quad (96)$$

using (57). Substituting (52) for the left-hand side of (96) yields

$$\begin{aligned} & \left(\dot{\mathbf{I}} + \mathbf{I} \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) \boldsymbol{\omega} \cdot \boldsymbol{\omega} + \mathbf{I} \boldsymbol{\omega} \cdot \dot{\boldsymbol{\omega}} \\ &= \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{C}^T \boldsymbol{\omega}) - \mathbf{C} : \nabla \boldsymbol{\omega} + \rho \mathbf{G} \cdot \boldsymbol{\omega} + \mathbf{A} \boldsymbol{\omega}. \end{aligned} \quad (97)$$

Combining (97), (55), and (91), yields the balance of internal energy as

$$\begin{aligned} \rho\dot{e} = & -\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{J}_q + \mathbf{T} : \nabla \mathbf{v} + \mathbf{C} : \nabla \boldsymbol{\omega} - \mathbf{A} \cdot \boldsymbol{\omega} + \Lambda \\ & - \frac{\mathbf{Y} : \nabla(\boldsymbol{\omega} \otimes \boldsymbol{\omega})}{2}, \end{aligned} \quad (98)$$

where we have used the following tensor calculus identity:

$$\frac{\mathbf{Y} : \nabla(\boldsymbol{\omega} \otimes \boldsymbol{\omega})}{2} \equiv \frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{Y} : \boldsymbol{\omega} \otimes \boldsymbol{\omega}) + \frac{1}{2} \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{Y} \right) \boldsymbol{\omega} \cdot \boldsymbol{\omega}. \quad (99)$$

III. CONCLUSION

The coarse-graining procedure presented in this paper can be considered as a generalization of the Irving and Kirkwood procedure to systems with internal rotational degrees of freedom. A summary of the balance equations is presented in Table I. The expressions for the stress tensor, couple stress tensor, and the heat flux vector, summarized in Table II, and their dependence on the active forces (or torques) may lead to a better understanding of the novel mechanical and rheological properties found in active matter systems. Specifically, it is of interest to understand the effects of the active forces on the resulting effective transport coefficients such as viscosities and thermal conductivities. Having the expressions for the stress, couple stress, and heat flux vectors in terms

TABLE I. Summary of balance equations.

Mass	$\dot{\rho} + \rho \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} = 0$
Linear momentum	$\rho \dot{\mathbf{v}} = \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T} + \rho \mathbf{b}$
Angular momentum	$\rho \dot{\mathbf{L}} = \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C} + \rho \mathbf{G} + \mathbf{x} \times \rho \mathbf{b} + \mathbf{A} + \mathbf{x} \times \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{T}$
Spin	$\rho \dot{\mathbf{M}} = \frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{C} + \rho \mathbf{G} + \mathbf{A}$
Moment of inertia	$\dot{\mathbf{I}} + \mathbf{I} \left(\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{v} \right) = -\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{Y}$
Total energy	$\rho\dot{e} = -\frac{\partial}{\partial \mathbf{x}} \cdot \mathbf{J}_q + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{T}^T \mathbf{v}) + \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{C}^T \boldsymbol{\omega}) - \frac{1}{2} \frac{\partial}{\partial \mathbf{x}} \cdot (\mathbf{Y} : \boldsymbol{\omega} \otimes \boldsymbol{\omega}) + \Lambda + \rho \mathbf{b} \cdot \mathbf{v} + \rho \mathbf{G} \cdot \boldsymbol{\omega}$

TABLE II. Microscopic expressions for stress and couple stress tensors and heat flux vectors.

Linear momentum	
Stress tensor	$\mathbf{T} = \mathbf{T}^K + \mathbf{T}^V + \mathbf{T}^S + \mathbf{T}^A$
(i) Kinetic	$\mathbf{T}^K = - \sum_{i,\alpha} m_i^\alpha \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$
(ii) Virial	$\mathbf{T}^V = - \frac{1}{2} \sum_{i,j,\alpha,\beta} \mathbf{F}_{ij}^{\alpha\beta} \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}$
(iii) Spring	$\mathbf{T}^S = \sum_i \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12}$
(iv) Active	$\mathbf{T}^A = - \sum_i \mathbf{f}_i \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12}$
Body force	$\rho \mathbf{b} = \sum_{i,\alpha} \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$
Angular momentum	
Couple stress tensor	$\mathbf{C} = \mathbf{C}^K + \mathbf{C}^V + \mathbf{C}^S + \mathbf{C}^A$
(i) Kinetic	$\mathbf{C}^K = - \sum_{i,\alpha} [(\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{p}_i^\alpha] \otimes \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$
(ii) Virial	$\mathbf{C}^V = - \frac{1}{2} \sum_{i,\alpha,j,\beta} (\mathbf{x}_i^\alpha - \mathbf{x}) \times \mathbf{F}_{ij}^{\alpha\beta} \otimes \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}$
(iii) Spring	$\mathbf{C}^S = - \frac{1}{2} \sum_i (\mathbf{x}_i^1 - \mathbf{x}) \times \frac{-\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12}$
(iv) Active	$\mathbf{C}^A = - \sum_i [(\mathbf{x}_i^1 - \mathbf{x}) \times \mathbf{f}_i] \otimes \mathbf{x}_{ii}^{12} b_{ii}^{12}$
Body torque	$\rho \mathbf{G} = \sum_i \mathbf{x}_{ii}^{12} \times \mathbf{f}_i \Delta(\mathbf{x} - \mathbf{x}_i^2) + \sum_{i,\alpha} (\mathbf{x}_i^\alpha - \mathbf{x}) \times \left(-\zeta \frac{\mathbf{p}_i^\alpha}{m_i^\alpha} + \sqrt{2k_B T \zeta} \frac{d\mathbf{W}}{dt} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$
Energy	
Heat flux vector	$\mathbf{J}_q = \mathbf{J}_q^K + \mathbf{J}_q^V + \mathbf{J}_q^S + \mathbf{J}_q^A$
(i) Kinetic	$\mathbf{J}_q^K = \sum_{i,\alpha} \left(\hat{\mathbf{K}}_i^\alpha + \frac{1}{2} \sum_{j,\beta} u_2(\mathbf{x}_i^\alpha, \mathbf{x}_j^\beta) + \frac{1}{2} u_s(\mathbf{x}_i^1, \mathbf{x}_i^2) - m_i^\alpha \mathbf{v} \cdot \boldsymbol{\omega} \times (\mathbf{x}_i^\alpha - \mathbf{x}) \right) \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \mathbf{v} \right) \Delta(\mathbf{x} - \mathbf{x}_i^\alpha)$
(ii) Virial	$\mathbf{J}_q^V = \sum_{i,\alpha,j,\beta} \frac{1}{2} \left[\mathbf{F}_{ij}^{\alpha\beta} \cdot \left(\frac{\mathbf{p}_i^\alpha}{m_i^\alpha} - \hat{\mathbf{v}}_i^\alpha \right) \right] \mathbf{x}_{ij}^{\alpha\beta} b_{ij}^{\alpha\beta}$
(iii) Spring	$\mathbf{J}_q^S = - \sum_i \left[\frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^1} \cdot \left(\frac{\mathbf{p}_i^1}{m_i^1} - \hat{\mathbf{v}}_i^1 \right) \mathbf{x}_{ii}^{12} b_{ii}^{12} + \frac{\partial u_s(\mathbf{x}_i^1, \mathbf{x}_i^2)}{\partial \mathbf{x}_i^2} \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \hat{\mathbf{v}}_i^2 \right) \mathbf{x}_{ii}^{21} b_{ii}^{21} \right]$
(iv) Active	$\mathbf{J}_q^A = \sum_i \left[\mathbf{f}_i \cdot \left(\frac{\mathbf{p}_i^2}{m_i^2} - \hat{\mathbf{v}}_i^2 \right) \right] \mathbf{x}_{ii}^{12} b_{ii}^{12}$

of the molecular variables may facilitate calculations of the transport coefficients provided there exist Green-Kubo relations⁷¹ for these out of equilibrium systems. Finally, we note that our coarse-graining procedure and equations are generalizable to other active models such as self-propelled active Brownian particles.

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