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Changes in the Saltation Flux Following a Step-Change in Macro-Roughness

J.A. Gillies*, V. Etyemezian, G. Nikolich, W.G. Nickling, J.F. Kok

Under sediment supply limited saltation conditions a step change in macro-roughness alters the saltation reducing the horizontal flux by an amount that scales with the roughness density. The mean diameter of the saltating particles decreases in the horizontal and vertical dimensions with increasing distance. The e-folding height as a function of particle diameter increases with increasing distance, suggesting the particles are increasing their speed, which is likely due to the removal of slower particles as they travel through the roughness.

For Peer Review

1 Changes in the Saltation Flux Following a Step-Change in Macro-Roughness

2 J.A. Gillies, V. Etyemezian, G. Nikolich, W.G. Nickling, J.F. Kok

3 **ABSTRACT**

4 The effect of a step change in macro-roughness on the saltation process under sediment supply
5 limited conditions was examined in the atmospheric boundary layer. For an array of roughness
6 elements of roughness density $\lambda=0.045$ (λ =total element frontal area/total surface area of the
7 array) the horizontal saltation flux was reduced by 90% ($\pm 7\%$) at a distance of ≈ 150 roughness
8 element heights into the array. This matches the value predicted using an empirical design
9 model and provides confidence that it can be effectively used to engineer roughness arrays to
10 meet sand flux reduction targets. Measurements of the saltation flux characteristics in the
11 vertical dimension, including: saltation layer decay (e-folding) height and particle size, revealed
12 that with increasing distance into the array, the rate of mass flux change with increasing height
13 decreased notably, and (geometric) mean particle diameter decreased. The distribution of the
14 saltation mass flux in the vertical remains exponential in form with increasing distance into the
15 roughness array, and the e-folding height increases as well increasing at a greater rate as
16 particle diameter diminishes. The increase in e-folding height suggests the height of saltating
17 particles is increasing along with their mean speed. This apparent increase in mean speed is
18 likely due to the preferential removal, or sequestration, of the slower moving particles, across
19 the size spectrum, as they travel through the roughness array.

20 **Keywords:** saltation flux, macro-roughness, e-folding height, mean particle size changes

21 **Introduction**

22 Wind blowing over a surface with loose sand that exceeds a critical shear stress on the surface
23 will entrain these particles and move them downwind as bed-load in three recognized modes of
24 transport: creep, reptation, and saltation. The characterization of the saltation flux, in which
25 particles move in a series of repeated ejections and travel in ballistic trajectories followed by

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3 26 impact on the surface has garnered considerable research effort in the earth sciences. The
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5 27 saltation process plays critical roles in aeolian bedform development across multiple scales
6
7 28 (e.g., Anderson, 1987; Claudin and Andreotti, 2006; Dúran et al., 2011; Gillies et al., 2012;
8
9 29 Parteli et al., 2014; Schmerler et al., 2016) and is a dominant mechanism driving the emission of
10
11 30 dust-sized particles, which represent the suspended load in aeolian transport (e.g., Shao et al.,
12
13 31 1993; Shao, 2001; Kok et al., 2012).

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15
16 32 It is now generally acknowledged that the horizontal mass flux of wind-driven sand decreases
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18 33 exponentially with elevation above the surface (e.g., Bagnold, 1941; Chepil, 1945; Williams,
19
20 34 1964; Sørensen, 1985; Namikas, 2003; Farrell and Sherman, 2006, 2013; Martin and Kok,
21
22 35 2017a) and the general form of the relationship is:

$$25 \quad 36 \quad Q(z) = Q_0 \exp(-z/z_q) \quad (1)$$

26
27
28 37 where $Q(z)$ is the stream-wise mass flux at elevation z above the bed, Q_0 is the scaling
29
30 38 parameter for the profile and z_q the characteristic saltation layer decay height (i.e., the e-folding
31
32 39 height). The magnitude of z_q depends on the typical hop height z_{hop} of saltating particles
33
34 40 (Bagnold, 1941; Owen, 1964; Ungar and Haff, 1987; Namikas, 2003). That is (Martin and Kok,
35
36 41 2017a),

$$37 \quad 38 \quad 39 \quad 40 \quad 41 \quad 42 \quad z_q = C_Q z_{hop} \quad (2)$$

43
44 43 where C_Q is a dimensionless constant of order 1. For ballistic trajectories, the hop height z_{hop}
45
46 44 depends on the vertical speed, v_{zo} (m s^{-1}) with which saltating particles leave the surface upon
47
48 45 rebound or ejection:

$$49 \quad 50 \quad 51 \quad 52 \quad 53 \quad 54 \quad 55 \quad 56 \quad 57 \quad 58 \quad 59 \quad 60 \quad z_{hop} = v_{zo}^2 / 2g \quad (3)$$

47
48 47 where g is the acceleration due to gravity ($\text{m}^2 \text{s}^{-1}$). Since v_{zo} is closely linked to the mean
49
50 48 particle speed (Owen, 1964; Kok, 2010), Eqs. (1) – (3) imply that the e-folding height z_q is a

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2
3 49 sensitive function of the mean particle speed. In other words, a measured increase (decrease)
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5 50 in z_q denotes an increase (decrease) in mean particle speed.
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8 51 Observations of the change in mean grain size as a function of height show complex patterns
9
10 52 including a decrease in mean grain size with height (Williams, 1964; Li et al., 2008) while other
11
12 53 available data reveal instances of an increase in mean grain size with height (Williams, 1964;
13
14 54 Xing, 2007; Speirs et al., 2008; Gillies et al., 2013). Additionally, it has often been observed
15
16 55 that at some distance above the surface the grain size trend can reverse (Williams, 1964;
17
18 56 Xing et al., 2007; Farrell et al., 2012; Zhang et al., 2017), which may be indicative of a change in
19
20 57 transport system dynamics (Andreotti et al., 2004). The available data on particle size
21
22 58 relationships in the vertical and horizontal dimensions do not present a simple or consistent
23
24 59 picture of grain motions and trajectories leading to a unified model for a bed of mixed particle
25
26 60 sizes.
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30 61 Recent research has documented the change in the mass flux of particles in saltation as the
31
32 62 saltation cloud moves from relatively flat, unobstructed flow conditions to one in which the flow
33
34 63 encounters and is perturbed by the presence of large roughness elements (e.g., Gillies et al.,
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36 64 2006, 2007, 2014, 2015; Gillies and Lancaster, 2013). Measurements of the saltation flux
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38 65 exterior and interior to a change of roughness show clearly that the mass flux decreases
39
40 66 exponentially with increasing distance into the roughness, reaching a new equilibrium flux at
41
42 67 >100 roughness element heights (Gillies et al., 2015). The rate of change of the mass flux with
43
44 68 distance and the resultant roughness adjusted saltation flux scale with the roughness density (λ)
45
46 69 (Gillies et al., 2015):
47

$$48 \quad 49 \quad 50 \quad 51 \quad 52 \quad 53 \quad 54 \quad 55 \quad 56 \quad 57 \quad 58 \quad 59 \quad 60 \quad \lambda = n b h/S \quad (4)$$

51
52 71 where n is the number of roughness elements occupying the area S (m^2), b is element breadth
53
54 72 (m), and h is element height (m). Both Gillies and Lancaster (2013) and Gillies et al. (2015)

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2
3 73 report this scaling relationship based on spatially-distributed measurements of saltation mass
4
5 74 flux at only one height above the surface, which allowed for a comparison of the spatial
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7 75 characteristics of the mass flux in response to its interaction with large roughness elements.
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9

10 76 Although this recent research has established that the change in saltation mass flux at a specific
11
12 77 height scales as a function of λ as it responds to the presence of macro-roughness, it has not
13
14 78 examined how the scaling changes over the vertical dimension. It has also not been
15
16 79 determined if the observed change in mass flux is accompanied by a change in the mean grain
17
18 80 diameter.

21 81 Understanding how large roughness elements affect sand transport is important as there are
22
23 82 many natural surfaces where sand transport occurs among large roughness elements including
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25 83 vegetation or sediment mounds covered in vegetation, for example nebkhas (Wolfe and
26
27 84 Nickling, 1994; Al-Awadhi, 2014; Gillies et al., 2014), or varying amounts of solid roughness
28
29 85 elements composed of rocks (Gillies et al., 2010, 2013; Lancaster et al., 2010). Arrays of large
30
31 86 roughness elements that have been manufactured have been tested to evaluate their
32
33 87 effectiveness to serve as a method to reduce sand transport and the associated dust emissions,
34
35 88 for example, at the Keeler Dunes, Keeler, CA (Gillies et al., 2015). Increased understanding of
36
37 89 how roughness modulates saltation, which in turn affects the dust emission process, may result
38
39 90 in improved saltation and dust flux models that account for roughness effects and also ways to
40
41 91 better use roughness to reduce dust emissions, improve air quality, and meet air quality
42
43 92 standards.

46
47 93 In this work, we present results from a field study where changes in vertical profiles of sand flux
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49 94 and size, before and after encountering regularly spaced roughness elements, were measured
50
51 95 to quantify the effect of the step change in roughness. By carrying out this study in a field
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53 96 setting there were no constraints on the saltation system, the boundary layer flow, or the size of
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55 97 the roughness elements that were used, as would be the case for wind tunnel based
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3 98 experiments (White and Mounla, 1991; Sherman and Farrell, 2008). The supply of sediment,
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5 99 however, was not unlimited upwind of the array of roughness, nor were the elements resting on
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7 100 a deformable bed of sand.
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9

10 101 **Materials and Methods**

11
12 102 A new set of time-integrated, particle size distribution- and height-resolved mass saltation flux
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14 103 profiles were collected as saltating particles travelled through an array of macro-roughness
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16 104 elements in the atmospheric inertial sublayer in 2015 and 2016. This was carried out as part of
17
18 105 an experiment to evaluate methods to reduce sand transport and dust emissions at Owens
19
20 106 Lake, CA, which is a source of dust known to contribute to local and regional air quality
21
22 107 degradation (Ono et al., 2011). Measurement of the vertical saltation flux profiles were made
23
24 108 upwind of, and at defined distances through, a large array of large roughness elements to
25
26 109 investigate how the mass flux is affected in the vertical and along-wind directions by a step-
27
28 110 change in roughness.
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30

31 111 **The Roughness Elements and the Roughness Array**

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33
34 112 The roughness elements used in this experiment were commercially manufactured, rectangular
35
36 113 plastic bins (model SNT300) with the dimensions of an individual element being: 0.725 m
37
38 114 (length) × 0.38 m (height) × 0.45 m (width). The roughness elements were placed and
39
40 115 organized into a staggered array pattern of fixed dimensions on an area of crusted playa
41
42 116 surface at Owens Lake, CA, where sand transport was also known to occur (Fig. 1). The open
43
44 117 fetch upwind of the northerly and southerly edges of the array well-exceeded 500 m. The
45
46 118 roughness array was composed of 1620 elements with the length dimension of the elements set
47
48 119 perpendicular to the predominantly bi-modal wind directions of 147° and 327°, determined from
49
50 120 a 21 year record from a nearby 10 m high meteorological tower operated by the Great Basin
51
52 121 Unified Air Pollution Control District (Bishop, CA). The center-to-center spacing between
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54 122 elements was 2.48 m as was the row-to-row distance (Figs. 1 and 2). The elements were
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3 123 weighted down by adding sediment to prevent them from being shifted by strong winds. The
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5 124 length and width of the array was 100 m, creating an area of 1 hectare with $\lambda=0.045$. The
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7 125 normalized (total) sand flux (NSF_T , the ratio of sand flux measured internal to the array to that
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9 126 measured upwind and external to the array) was expected to be 0.10 for sediment in transport
10
11 127 deep into the roughness array (i.e., horizontal distance >100 element heights) based on Gillies
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13
14 128 et al.'s (2015) Eq. 4.

15 16 129 **Sand Flux and Wind Instrumentation**

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18
19 130 The test area was instrumented to measure sand flux external and internal to the array. Table 1
20
21 131 defines the positions of the instruments relative to the roughness array. Sand flux was
22
23 132 measured using modified BSNE-style traps (Fryrear, 1986) as described by Gillies et al. (2012,
24
25 133 2013), which they used to measure sand flux in the McMurdo Dry Valleys in Antarctica. The
26
27 134 version used here did not make use of the automated opening and closing of the trap inlets.
28
29 135 Briefly, the traps have four wedge-shaped compartments that self-orient into the wind (Fig. 3).
30
31 136 Each trap had four catchers spaced logarithmically with heights between ≈ 0.17 m and ≈ 1.3 m.
32
33 137 The opening of each catcher is 0.02 m \times 0.05 m. The total collection volume per receptacle is
34
35 138 approximately 0.0025 m³. The four catchers are connected through the tail fin assembly, which
36
37 139 keeps them all facing the same direction and aligned into the wind. By convention, the lowest
38
39 140 trap compartment is 1, the highest 4. Eight traps were used in this experiment. By convention,
40
41 141 the trap furthest to the north is designated as 1, the furthest south as 8. The traps interior to the
42
43 142 array were set ≈ 2.5 m from the centerline with the offset staggered to the west and then the east
44
45 143 for successive traps through the array. In addition, 30 Cox Sand Catchers, a single
46
47 144 measurement height trap (Gillies et al., 2015), were placed into the roughness array with their
48
49 145 annular opening at 15 cm above the surface. Eight were collocated with the BSNE traps and
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51 146 the remaining were located at the same positions as the BSNE traps, with one along the center-

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2
3 147 line, and the other two half way to the edge on either side of the center-line. This allowed for a
4
5 148 more spatially diverse measurement of saltation flux through the roughness array.
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7
8 149 Wind speed at five heights above ground level (1.25 m, 2.20 m, 3.87 m, 6.82 m, and 10 m) and
9
10 150 wind direction at 10 m were measured on four 10 m towers. Their positions exterior and interior
11
12 151 to the array are provide in Table 1. To characterize the airflow among the roughness elements
13
14 152 20 anemometers and four wind vanes were placed external and internal to the array at a height
15
16 153 of 0.25 m above ground level (AGL). This is 0.13 m below the top of the roughness elements.
17
18 154 One anemometer and one wind vane were placed 3 m upwind of the center-line of the array
19
20 155 also at 0.25 m AGL on the north end of the array. The other 19 anemometers and four wind
21
22 156 vanes were placed inside the array from its northern boundary extending ≈ 50 m into the array.
23
24
25 157 The positions of the anemometers and wind vanes relative to the roughness elements are
26
27 158 shown in Fig. 2 and this pattern is repeated four times between the northern edge of the
28
29 159 roughness array and halfway through (≈ 50 m).
30

31 160 **Particle Size Distribution Measurements**

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33
34 161 Sediment samples retrieved from the trap compartments were returned to the lab for gravimetric
35
36 162 and particle size analysis. The sediment samples were weighed on an electronic balance
37
38 163 (Model MS1602S, Mettler-Toledo, Columbus OH) to a precision of 0.01 g. Following the
39
40 164 weighing, sediment samples were submitted for particle size analysis to the DRI Soils
41
42 165 Characterization Lab (Desert Research Institute, Reno NV USA) using a Malvern Mastersizer
43
44 166 3000 (Malvern Instruments Inc., Westborough MA). The particle size range covered was 0.75
45
46 167 μm to 1500 μm with 34 particle diameter bins reported.
47
48

49 168 **Results**

50 169 **Wind Conditions**

1
2
3 170 Although saltation threshold was not monitored at the field site, as reported for a nearby sand-
4
5 171 dominated surface (Gillies et al., 2015) transport conditions are likely to occur when wind speed
6
7 172 measured at 4 m AGL exceeds 5 m s^{-1} . Wind roses for the met towers exterior to the edge of
8
9 173 the roughness array for winds $\geq 6 \text{ m s}^{-1}$ measured at 10 m shows the likely sand transport
10
11 174 directions are dominated by winds from the south and south-south-east and the north-northwest
12
13 175 (Fig. 4). For the case of wind approaching $\pm 10^\circ$ from perpendicular to the rows, the near
14
15 176 surface spatially-averaged wind speed at the normalized distance $ND \approx 137$ ($ND = \text{horizontal}$
16
17 177 distance/element height) was reduced by approximately 49% ($\pm 13\%$) compared to the
18
19 178 measurement of wind at the same height upwind of the array (Fig. 5).

20
21
22
23 179 Although a small percentage of wind speeds exceeded 6 m s^{-1} at 10 m with approach angles to
24
25 180 the array between 45° and 90° from perpendicular to the front of the array during the different
26
27 181 collection periods, their effect on the sand collected in the BSNE traps is likely not significant
28
29 182 enough to alter the sand flux reduction pattern with increasing distance into the roughness that
30
31 183 the array orientation was designed to characterize. The reasons are two-fold: 1) the above
32
33 184 threshold winds for this direction range for the east and west sides of the array is between 6%
34
35 185 (east) and 12% (west) of the entire time winds were measured to be $\geq 6 \text{ m s}^{-1}$ at 10 m, and 2) as
36
37 186 wind direction approach angle to the front of the array changes between 45° and 90° , λ changes
38
39 187 from 0.052 to 0.033 due to the changing frontal area of the elements, which would reduce the
40
41 188 sand flux by 92% (at 45°) to 81% (at 90°) according to Gillies et al. (2015, Eq. 4) for BSNEs
42
43 189 along the center-line of the array.

44 45 46 47 190 **Total and Vertically Distributed Mass Saltation Flux**

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50 191 Four collections of the BSNE traps were made during the duration of the study: 2-10-2015, 29-
51
52 192 12-2015, 17-3-2016, and 16-5-2016, with each collection representing multiple transport events.
53
54 193 This results in mixing of different transport directions in this bi-modal sand transport wind
55
56 194 regime. The further downwind from the leading edge where the saltating particles enter the

1
2
3 195 array, the lesser this mixing effect occurs as it is expected that the flux will diminish with
4
5 196 increasing distance into the roughness array as established by Gillies et al. (2015). The heights
6
7 197 of the sample collection, mass of the trap compartment samples, and the corresponding
8
9 198 normalized height (NH) and normalized mass (NM) samples are provided in Table 2. The
10
11 199 height of collection is defined as the height above the surface of the geometric mean position of
12
13 200 the rectangular trap opening (Ellis et al., 2009). NH is defined as: H_n/H_1 , where H_n is the
14
15 201 distance above the ground to the position of the geometric mean of a rectangular opening in a
16
17 202 trap compartment and H_1 is the distance above the ground to the position of the geometric
18
19 203 mean of the rectangular opening of the lowest trap compartment. NM is defined as:
20
21 204 $mass_n/mass_1$, where $mass_n$ is the mass in trap compartment n divided by the mass in the lowest
22
23 205 trap compartment, i.e., $mass_1$ at H_1 .

24
25
26 206 For each collection period the total trap mass (i.e., the sum of the four compartments) divided by
27
28 207 the total mass of a trap exterior to the array defines NSF_T , which is plotted as a function of ND
29
30 208 for each collection period in Fig.6. These data show a rapid decrease in NSF_T with increasing
31
32 209 distance into the array from either side. For all sample periods the plots show that sediment
33
34 210 transport from south to north was dominant. Although as Fig. 6 shows there must have been
35
36 211 some transport events from north to south as traps 1 and 2 show higher values than the interior
37
38 212 traps.

39
40
41
42 213 The strongest signal of change in NSF_T as a function of ND is observed in the sequence of
43
44 214 traps: 8, 7, 6, 5, 4, which represent the transport condition of sand entering the array on the
45
46 215 southern edge and its subsequent movement towards the opposite edge. The relationship
47
48 216 between NSF_T for the four collection periods and ND , and two trap types, is shown in Fig. 7,
49
50 217 with the error bars representing the standard deviation of the mean. As observed in previous
51
52 218 studies NSF_T decreases exponentially with increasing ND up to a point, after which it stabilizes

219 to a constant value (Gillies et al., 2015). For this array of roughness elements NSF_T stabilizes
 220 at $ND \approx 160 - 212$, with a mean value of $0.10 (\pm 0.07)$. This relationship can be expressed as:

$$221 \quad NSF_T = (1 - NSF_\infty) e^{(-a ND)} + NSF_\infty \quad (5)$$

222 where a is a dimensional constant and NSF_∞ the flux fully adjusted to the step change in
 223 roughness.

224 Equation 5 was not derived formally; rather, it represents a plausible general form to fit the data
 225 from a step increase (possibly also step decrease) in roughness. The parameter NSF_∞ is
 226 straightforward to understand and can be estimated easily either from direct measurement or
 227 based on Gillies et al.'s (2015) Eq. 4.

228 NM plotted as a function of NH represents the form of the normalized horizontal flux relationship
 229 (NF) based on the vertical variation of mass and defines the rate of change of the particle mass
 230 flux above the surface at a measurement position. This relationship for pairs of traps with the
 231 same ND from the edges of the roughness array and the mean values of NM representing the
 232 four collection periods are shown in Fig. 8. The error bars in each case represent the standard
 233 deviation of the mean NM . For each trap the vertical flux is well described by an exponential
 234 function. The two traps external to the array (i.e., T1 and T8) show a greater rate of change in
 235 NM with NH than do the trap pairs interior to the array (Fig. 8).

236 The z_q parameter in Eq. 1 is the characteristic saltation layer decay height. The change in this
 237 variable as a function of ND for the traps combinations: 1, 2, 3, 4, and 8, 7, 6, 5, which groups
 238 them based on having the same ND values for north to south and south to north transport
 239 directions is shown in Fig. 9.

240 **Particle Size Distribution Changes in the Vertical Saltation Flux**

241 The effect of the roughness on the particle size distribution characteristics of the saltation flux in
 242 the vertical dimension is revealed through the Malvern Mastersizer-generated data and the

1
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3 243 calculation of grain size distribution characteristics using the Gradistat particle size analysis
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5 244 software (Blott and Pye, 2001; Kenneth Pye Associates Ltd., UK). Grain size statistics
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7 245 generated by Gradistat are all based on the method of moments and reported as geometric
8
9 246 mean diameter (μm). The relationship between mean diameter and NH for the collection
10
11 247 periods: 02-10-2015, 29-12-2015, and 17-03-2016 for the sequence of traps 8, 7, 6, 5 (i.e.,
12
13 248 south to north transport) is shown in Fig. 10. In these cases, the mean diameter decreased with
14
15 249 increasing NH above the surface, and the relationship is well-described, most consistently, by a
16
17 250 best-fit logarithmic relationship. Pooling the data by trap number for the three sampling intervals
18
19 251 and normalizing the mean diameter by dividing each mean particle diameter by the mean
20
21 252 diameter for the highest receptacle (i.e., mean diameter_{H₁}/mean diameter_{H₄}) gave the result that
22
23 253 for Trap 8, exterior to the roughness, between the lowest and highest collection heights the
24
25 254 mean diameter differed by a factor of 3.0 (± 1.1). For the three interior traps (7, 6, and 5) the
26
27 255 difference in mean diameter from lowest to highest is a consistent decrease by a factor of 2.0
28
29 256 (± 0.1). The mean diameter at similar collection heights also changes systematically with ND as
30
31 257 shown in Fig. 11. The decrease in mean diameter as a function of collection height and ND is
32
33 258 observed for collection heights 1 (≈ 0.2 m), 2 (≈ 0.5 m), and 3 (≈ 0.8 m), and is most consistently
34
35 259 described by a logarithmic relationship. For collection height four (≈ 1.3 m), in two of the
36
37 260 collection periods an increase in mean diameter was observed moving from outside the array to
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39 261 $ND=48.9$, thereafter little variation of mean diameter between $ND=48.9$ and $ND=159.9$ was
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41 262 observed at this height.
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46 263 By partitioning the mass flux in each trap compartment by the mass fraction associated with the
47
48 264 eight particle size bins for the sand-sized components of the material in each trap compartment
49
50 265 (i.e., 750 μm , 375 μm , 225 μm , 175 μm , 137.5 μm , 112.5 μm , 87.5 μm , and 68.7 μm), z_q for
51
52 266 each of these particle diameters can be estimated at the four measurement positions: $ND=0$,
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54 267 16.3, 48.9, and 101.2. The regression-derived value for z_q as a function of mean diameter for
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3 268 the available data (from both transport directions), is shown in Fig. 12. As this Fig. shows, z_q
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5 269 increases for each particle diameter with increasing distance into the array, and as particle
6
7 270 diameter decreases, z_q increases at a faster rate. The rate of change of z_q with increasing ND
8
9 271 (i.e., m term in $z_q = m(ND) + b$, for each particle diameter in Fig. 11), as a function of particle
10
11 272 diameter is shown in Fig. 13 and is well-defined by a power relationship.

14 273 Discussion

17 274 Effect of Roughness on the Mass Flux

19 275 As previously observed by Gillies et al. (2006, 2015), NSF_T scales as a function of λ . Gillies et
20
21 276 al.'s (2015) Eq. 4 predicts that for $\lambda=0.045$, the equilibrium NSF_T for an array of large roughness
22
23 277 elements should be 0.10. The mean NSF_T for the interior of the array for this experiment for
24
25 278 $ND=159.9$ was 0.10 (± 0.07), which matches the model prediction very closely. The roughness
26
27 279 adjusted NSF_T value was based on using measurements at multiple heights above the surface
28
29 280 and as can be seen from Fig. 7, matches closely the saltation flux reduction pattern measured
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31 281 at the same height low to the ground and over a wider area.

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33
34
35 282 The rate of change of NSF_T with increasing ND for that portion of the curve shown in Fig. 7
36
37 283 before the fully-adjusted flux is attained (i.e., $ND=0$ to $ND=101$) for this roughness array can be
38
39 284 compared with other experiments that have measured the effect of roughness on NSF_T .
40
41 285 Defining this portion of the curve similarly to Gillies et al. (2006, 2015) and Lancaster and
42
43 286 Gillies, 2013) as $NSF_T = a e^{(-BND)}$, the value of B for this experiment for the BSNE trap data is
44
45 287 -0.17 and -0.021 for the CSC trap data. Given the stochastic and variable nature of aeolian
46
47 288 transport (e.g., Gares et al., 1996; Stout and Zobeck, 1997; Jackson et al., 2006) and the
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49 289 variability in wind direction, transport and surface conditions among the available studies, the
50
51 290 values of B for this experiment fit closely with the predicted value of $B=-0.016$ based on the
52
53 291 relationship presented by Gillies et al. (2015, their Fig. 11) that uses data from three

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2
3 292 experiments. In general, the new Owens Lake NSF_T relationships fit quite well with previous
4
5 293 measurements, which indicates that the established scaling relationship between sand flux and
6
7 294 λ (Gillies et al., 2015, Eq. 4) is very robust at least for roughness that is relatively closely spaced
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9
10 295 and of all the same height. Chappell and Webb (2016) argue that λ is less successful in
11
12 296 predicting shear stress partitioning and shelter effects for superposed roughness that covers a
13
14 297 range of element heights and widths, which may also reduce the applicability of the Gillies et al.,
15
16 298 (2015) equation for estimating the effect of non-homogeneous roughness on sand transport.
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18 299 Their equation does, however, appear very useful for designing arrays of large roughness
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20 300 elements to control saltation flux and the associated dust emissions.
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23 301 **Effect of Roughness on the Particle Size Distribution of the Saltators**

24
25 302 In general, for all trap samples the mean particle diameter decreases as a function of height
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27 303 above the surface (for $H > 0.17$ m), exterior and interior to the roughness. This matches the
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29 304 observations of Li et al. (2008) for wind tunnel tests using sand of mixed size and this pattern of
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31 305 decreasing grain diameter with height has also been observed by others in wind tunnel
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33 306 experiments (e.g., Williams, 1964) and in field measurements (Gillies et al., 2013), but as noted
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35 307 earlier this pattern is not universal (e.g., Williams, 1964; Xing, 2007; Speirs et al., 2008; Gillies
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37 308 et al., 2013). The reduction of mean particle diameter with passage of the saltators through the
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39 309 roughness array suggests that there is preferential removal of coarser grains through the height
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41 310 of the saltation layer. The removal is likely due to the preferential trapping of grains in zones of
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43 311 wind shear in the lee of the roughness elements that are below the threshold to keep particles in
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45 312 motion as well as long enough for particle inertia to be insufficient to carry them through to a
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47 313 zone of above threshold conditions. Deposits of sand were observed in the lee of roughness
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49 314 elements, most notably for the rows nearest the edges (i.e., rows 1 to 8 and 32 to 40).
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52 53 315 **Effect of Roughness on the Mass Flux in the Vertical Dimension**

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3 316 This new data set, for the first time, allowed for an examination of the effect of roughness on the
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5 317 mass flux based on integration in the vertical dimension using the multi-height BSNE traps. As
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7 318 Figs. 9, 10 and 11 show, the saltation flux is altered by its interaction with the roughness array in
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9 319 the horizontal and vertical dimensions. With increasing distance into the array the sand in
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11 320 saltation shows an increase in z_q (Fig. 9), which can, in part, be explained by the diminishing
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13 321 total mass flux. In addition, the mean particle diameter at all but the top measurement position
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15 322 decreases with increasing distance into the array (Fig. 11). The particle size distribution data
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17 323 offers some explanatory power for why these patterns occur.

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20 324 According to Martin and Kok (2017a), for saltating sand over an essentially roughness-element-
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22 325 free and relatively flat surface with no sediment supply restrictions, mean particle speed and z_q
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24 326 remain constant with changing surface shear stress (τ , N m^{-2}) above threshold. Martin and Kok
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26 327 (2017a) note that z_q is not constant for all surfaces, but is likely controlled by the particle size
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28 328 distribution of the source sand. In the case studied in our experiment, where the saltating sand
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30 329 encounters and interacts with the large scale roughness, there are profound changes in the
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32 330 mass flux as well as z_q as a function of particle diameter. As z_q increases with distance into the
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34 331 array it suggests that particle speeds are changing as the saltating particles move through the
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36 332 array at least to $ND=101.2$. An increase in z_q represents an increase in the characteristic
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38 333 saltator hop height (Owen, 1964; Ungar and Haff, 1987; Namikas, 2003; Kok, 2010). Since hop
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40 334 height is determined by the speed at which particles are launched from the surface (Eq. 3),
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42 335 which in turn depends on the mean particle speed (Owen, 1964; Ungar and Haff, 1987; Kok,
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44 336 2010), our observation of increasing z_q into the roughness array implies that the mean particle
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46 337 speed also increases for all particle sizes and with increasing distance into the roughness.

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50 338 The observed effect of z_q increasing with increasing distance into the array and with decreasing
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52 339 particle diameter in the presence of the roughness is different from that observed for saltation in
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54 340 the absence of superposed roughness. Martin and Kok (2017b) report for unconstrained

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3 341 saltation on sand sheets, z_q increases with particle diameter up to the modal diameter of the
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5 342 sand (that is of the source sand) and then decreases for particle diameters less than the modal
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7 343 diameter.

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10 344 We suggest that the effects on z_q and mean diameter in the case of the superposed roughness
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12 345 are occurring due to the reduction in saltation flux caused by the presence of the roughness
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14 346 elements. Mechanisms that are driving the loss are the change in wind shear exerted on the
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16 347 saltation layer with increasing distance into the array due to shear stress partitioning as the wind
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18 348 adjusts to this step change in roughness (Raupach et al., 1993; Gillies et al., 2007), and the
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20 349 interaction of the saltation with the roughness elements (Gillies and Lancaster, 2013).

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23 350 According to Gillies et al. (2007), the shear stress ratio (R , Raupach et al., 1993) for $\lambda=0.045$ at
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25 351 $ND > 127.5$, should be ≈ 0.62 , which would reduce the shear stress on the surface (τ_s , $N\ m^{-2}$) at
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27 352 that position by $\approx 38\%$. Applying the Shao (2005) scaling relationship between shear stress,
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29 353 expressed as the shear velocity u_* ($m\ s^{-1}$, where $\tau = \rho_a u_*^2$ [ρ_a is air density, $kg\ m^{-3}$]) and sand flux
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31 354 (q , $kg\ m^{-1}\ s^{-1}$):

$$32\ 355\quad q = C_{Shao} \frac{\rho_a u_*^3}{g} \left(1 - \left(\frac{u_{*t}}{u_*} \right)^2 \right) \quad (6)$$

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38 356 where C_{Shao} is a coefficient and u_{*t} is the threshold shear velocity, the reduction in q at
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40 357 $ND=127.5$ should be $\approx 76\%$ compared to the flux external to the array. At $ND < 127.5$ the
41
42 358 partitioning of shear stress between the roughness elements and the surface will be changing
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44 359 as a function of ND into the array at an approximately exponential rate to approximately $ND=73$
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46 360 to 93 (Gillies et al., 2007). Gillies and Lancaster (2013) observed first that the reduction in
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48 361 saltation flux observed in an array of large roughness elements was greater than could be
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50 362 attributed to shear stress partitioning by itself and suggested the physical interaction of the
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52 363 saltating sand with the roughness and the environment around the elements accounted for the
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54 364 additional reduction in sand flux by the elements physically impeding the movement of the
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3 365 particles. The shear stress partitioning, the physical dimensions of the roughness, and their
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5 366 distribution on the surface result in a reduction in mass flux through the roughness as defined by
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7 367 Fig. 7.
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10 368 The loss of particles from the saltating population explains why the particles appear to increase
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12 369 their mean speed as indicated by the increase in z_q (Figs. 12 and 13). A plausible mechanism
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14 370 for this is that slower particles are being preferentially removed as they have a reduced
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16 371 probability of making it deeper into the roughness. Slower moving particles are less likely to
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18 372 have the momentum necessary to carry them through zones of lower shear stress created by
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20 373 the presence of the roughness. Hence as saltators of a given size range pass through the
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22 374 array, their mean speed increases as the distribution of speed is increasingly truncated at the
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24 375 lower end of the distribution, which is supported by the observed increase in z_q with increasing
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26 376 distance into the array (Fig. 9). The wind close to the surface decreases with increasing
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28 377 distance into the array (Fig. 5), so the particles cannot be gaining speed through interaction with
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30 378 higher speed winds.
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34 379 The measurements of particle size resolved flux exterior to the roughness provide additional
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36 380 information on the scaling of sand flux with wind speed under these supply-limited conditions.
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38 381 Martin and Kok (2017a) demonstrated with saltation flux data that the saltation layer height does
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40 382 not change with u_* , implying that mean particle speed also remains constant with u_* . When the
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42 383 flux versus height relationships are binned by particle diameter, it is observed (Fig. 12 at $ND=0$)
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44 384 that z_q increases with decreasing particle diameter, implying that for this sand transport
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46 385 condition the smaller diameter particles are travelling at a faster speed than the larger diameter
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48 386 particles, suggesting in this case that particle launch velocity increased with decreasing particle
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50 387 diameter. A likely reason why z_q increases with particle diameter exterior to the array, as
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52 388 opposed to the observation of Martin and Kok (2017b) showing an increase in z_q up to the
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54 389 modal diameter, followed thereafter by a decrease, is due to the supply-limited sediment
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3 390 conditions on the playa. Under supply limited conditions, particle speed is controlled by the
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5 391 restitution coefficient, determined by the soil surface condition, and the wind speed. Smaller
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7 392 diameter particles enter into the array with greater speed than larger ones so only the fastest
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9 393 particles in each particle size bin make it deep into the roughness array, and hence z_q increases
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11 394 for decreasing particle diameter.

14 395 **Conclusions**

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17 396 The effect of a step change in macro-roughness on the saltation process was examined at the
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19 397 full scale in the atmospheric boundary layer. The saltation flux was profoundly altered in the
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21 398 horizontal and vertical dimensions as a result of its interactions with the roughness. The
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23 399 horizontal flux was reduced by 90% ($\pm 7\%$) at a distance of ≈ 150 roughness element heights into
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25 400 the array as predicted by the empirical model of Gillies et al. (2015), for an array of roughness
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27 401 with $\lambda = 0.045$. These results provide further corroboration of the robust nature of this simple
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29 402 model to estimate sand flux reduction for roughness elements of height dimension of ≈ 0.4 m
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31 403 that are evenly distributed across space, providing increased confidence that it can be
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33 404 effectively used to engineer roughness arrays to meet sand flux reduction targets.

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36 405 Measurements of the saltation flux characteristics in the vertical dimension, including particle
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38 406 size characteristics, revealed that with increasing distance into the array mean particle diameter
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40 407 became smaller, reducing in size as a function of distance as well as a logarithmic function of
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42 408 height above the surface. The distribution of the saltation mass flux in the vertical as a function
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44 409 of increasing distance into the roughness array, trended towards a more uniform distribution,
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46 410 although it remained exponential in form. This is only possible if there is a diminishment of the
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48 411 particle flux, which was observed. This diminishment of flux appears to be associated with the
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50 412 loss of the slower speed particles so that with increasing distance into the roughness, the mean
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52 413 particle speed for a given particle diameter is shifted to a higher value, but remains less than the
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54 414 maximum speed of the initial distribution of speeds. It will require additional experimentation to

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3 415 resolve the behaviour of the particle speed distribution as a function of particle diameter through
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5 416 measurement of actual particle speeds within superposed roughness for both supply and
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7 417 transport limited conditions. Further information on the particle speed distribution in the
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9 418 presence of the roughness would also be informative on how the roughness modulated saltation
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11 419 flux affects the dust emission process.

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30
31 428 Zhu.

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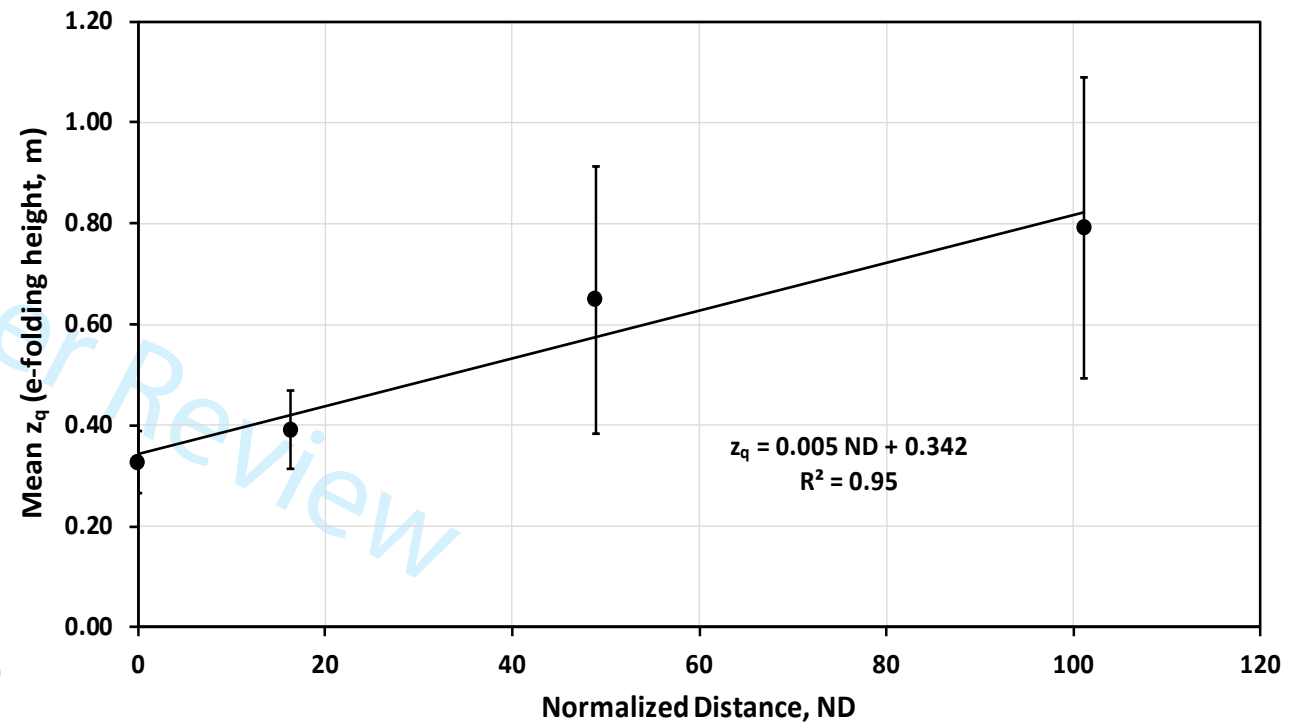
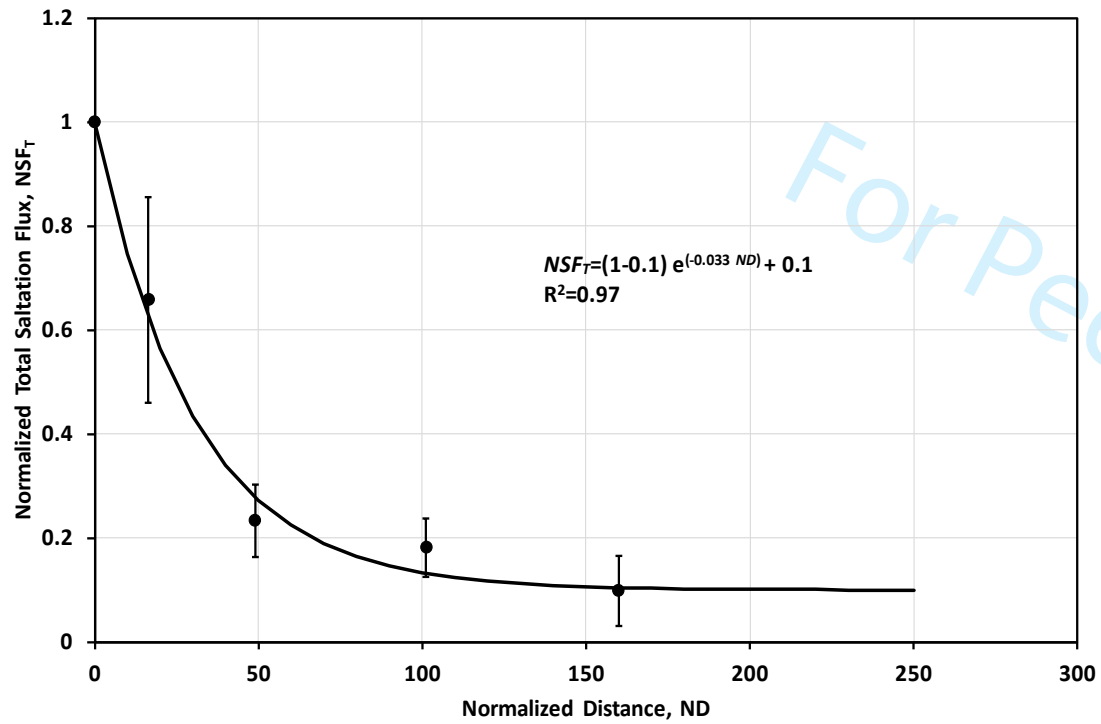


Table 1. The positions of the instruments relative to the roughness array.

Instrument	Between Row #s (from north edge)	Horizontal Distance (from north edge), m	Normalized Distance* (<i>ND</i> , from upwind [north] edge defined as zero to southern edge defined as 260.0)
Trap 1	N/A (exterior)	-10.0	0
Trap 2	3-4	6.2	16.3
Trap 3	8-9	18.6	48.9
Trap 4	16-17	38.5	101.2
Trap 5	26-27	60.8	159.9
Trap 6	33-34	80.6	212.1
Trap 7	38-39	93.0	244.7
Trap 8	N/A (exterior)	110.0	261.0
Tower 1	N/A (exterior)	-10.0	0
Tower 2	16-17	38.4	101.2
Tower 3	25-26	60.8	159.9
Tower 4	N/A (exterior)	110.0	261.0

*ND=horizontal distance/element height

Table 2. The collected mass and its height of collection for the eight BSNE traps for the four collection periods.

	Height (m)	NH	2/10/2015		29/12/2015		17/03/2016		16/05/2016		Mean NM	Std. D. NM
			mass (g)	NM	mass (g)	NM	mass (g)	NM	mass (g)	NM		
T1H1 ¹	0.21	1	11.5	1	101.17	1.00	2.79	1	178.78	1.00	1	0.00
T1H2	0.50	2.38	13.43	1.17	30.03	0.30	0.82	0.29	46.47	0.26	0.50	0.44
T1H3	0.83	3.95	NS ²		15.70	0.16	0.46	0.16	16.93	0.09	0.14	0.04
T1H4	1.28	6.10	NS		7.72	0.08	0.04	0.01	3.93	0.02	0.04	0.03
T2H1	0.18	1	11.07	1	174.89	1.00	1.34	1.00	167.81	1.00	1	0.00
T2H2	0.48	2.62	8.95	0.81	56.17	0.32	0.70	0.52	51.03	0.30	0.49	0.23
T2H3	0.79	4.30	9.42	0.85	22.21	0.13	0.42	0.31	17.73	0.11	0.35	0.35
T2H4	1.26	6.90	9.6	0.87	7.61	0.04	0.13	0.10	4.06	0.02	0.26	0.41
T3H1	0.17	1	8.92	1	73.52	1.00	1.18	1.00	56.98	1.00	1	0.00
T3H2	0.48	2.79	8.34	0.93	25.18	0.34	0.36	0.31	20.28	0.36	0.48	0.30
T3H3	0.78	4.61	7.43	0.83	15.98	0.22	0.68	0.57	9.76	0.17	0.45	0.31
T3H4	1.26	7.39	NS		6.57	0.09	0.49	0.41	3.90	0.07	0.19	0.19
T4H1	0.17	1.00	7.86	1	35.95	1.00	2.05	1.00	5.10	1.00	1	0.00
T4H2	0.47	2.76	7.72	0.98	18.05	0.50	1.52	0.74	5.48	1.07	0.82	0.26
T4H3	0.77	4.55	NS		14.28	0.40	1.36	0.66	1.19	0.23	0.43	0.22
T4H4	1.26	7.41	NS		10.13	0.28	0.78	0.38	3.30	0.65	0.44	0.19
T5H1	0.18	1.00	21.49	1	69.52	1.00	2.35	1.00	67.44	1.00	1	0.00
T5H2	0.48	2.67	9.65	0.45	19.42	0.28	2.03	0.86	14.85	0.22	0.45	0.29
T5H3	0.78	4.34	8.43	0.39	13.44	0.19	0.97	0.41	6.82	0.10	0.27	0.15
T5H4	1.26	7.01	7.41	0.34	8.95	0.13	NS		2.42	0.04	0.17	0.16
T6H1	0.20	1.00	40.02	1	70.30	1.00	3.64	1.00	38.16	1.00	1	0.00
T6H2	0.54	2.70	19.37	0.48	29.98	0.43	3.15	0.87	15.05	0.39	0.54	0.22
T6H3	0.81	4.05	7.57	0.19	21.26	0.30	2.49	0.68	7.44	0.20	0.34	0.23
T6H4	1.29	6.43	9.97	0.25	9.34	0.13	1.34	0.37	2.64	0.07	0.20	0.13
T7H1	0.19	1.00	118.01	1	179.80	1.00	23.01	1.00	150.75	1.00	1	0.00
T7H2	0.49	2.58	31.4	0.27	68.81	0.38	9.13	0.40	42.41	0.28	0.33	0.07
T7H3	0.78	4.16	11.78	0.10	38.57	0.21	5.75	0.25	20.02	0.13	0.17	0.07
T7H4	1.27	6.73	8.76	0.07	11.60	0.06	2.41	0.10	4.27	0.03	0.07	0.03
T8H1	0.20	1.00	230.6	1	331.94	1.00	33.53	1.00	412.79	1.00	1	0.00
T8H2	0.46	2.32	44.86	0.19	72.88	0.22	6.69	0.20	44.07	0.11	0.18	0.05
T8H3	0.80	4.02	14.97	0.06	26.50	0.08	2.02	0.06	12.15	0.03	0.06	0.02
T8H4	1.28	6.44	7.82	0.03	7.76	0.02	1.41	0.04	3.35	0.01	0.03	0.01
¹ T1H1: trap 1, height 1												
² NS: no sample, trap compartment was empty of sand												



Figure 1. The roughness array on Owens Lake, CA. The view into the frame is towards the south and the dimensions of the roughness array are $\approx 100 \text{ m} \times \approx 100 \text{ m}$.

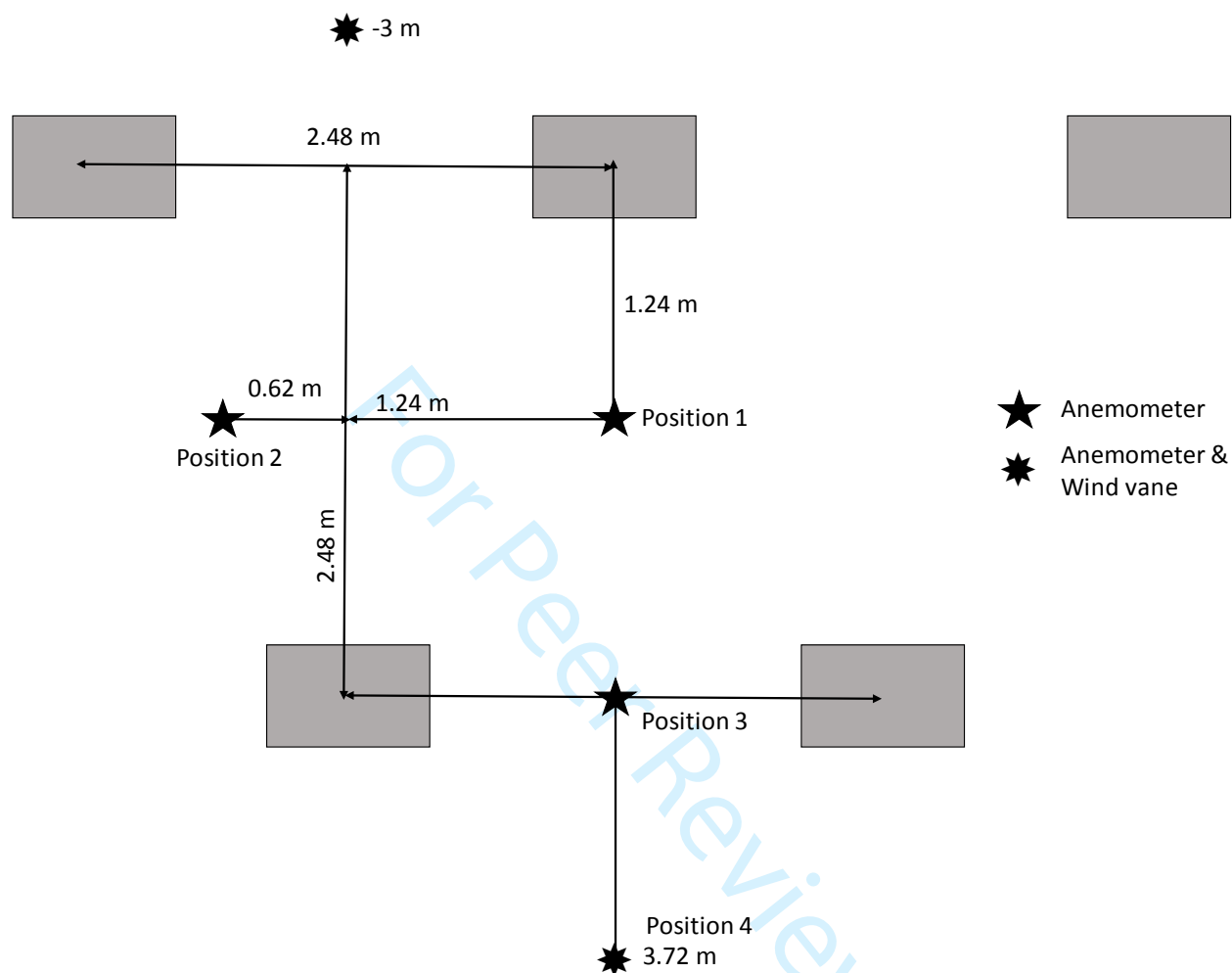


Figure 2. The spacing of the elements and the positions of the near surface anemometers and wind vane with respect to the roughness elements (gray rectangles). The pattern of near surface anemometers and wind vanes is repeated at four locations through to 50 m into the roughness array.



Figure 3. The modified BSNE style trap (left panel) and the Cox Sand Catcher (right panel) used to collect saltating particles.

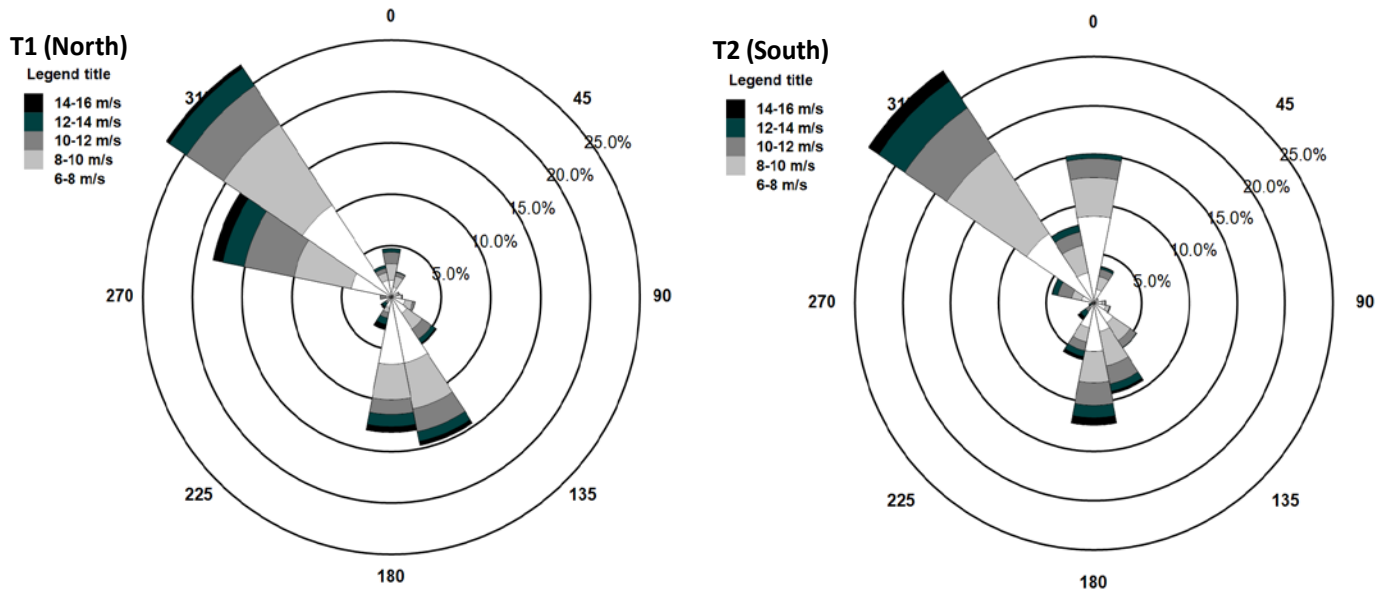


Figure 4. Wind roses for the site based on 10 m AGL wind speed and direction measurements at the northern (T1) and southern (T2) edges of the roughness array.

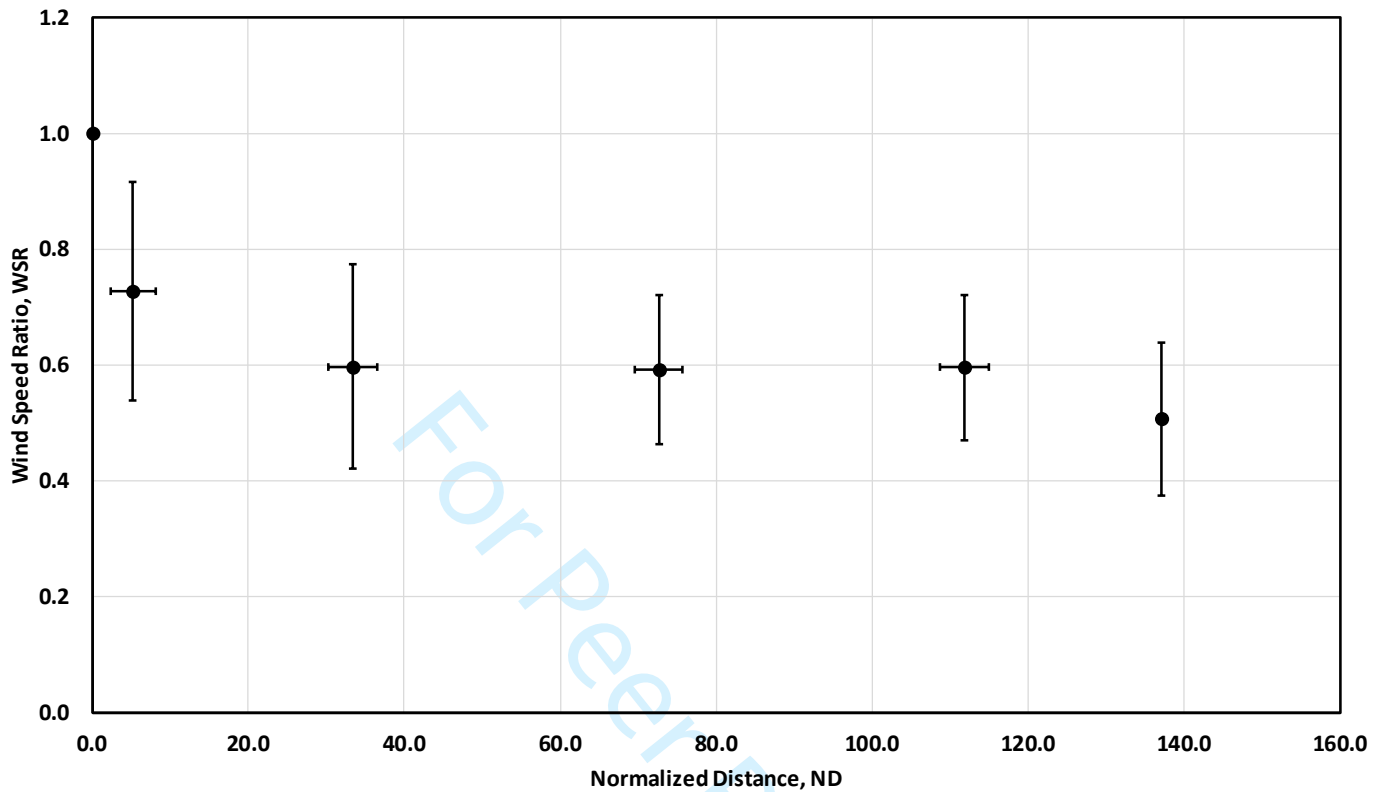


Figure 5. The change in near-surface wind speed ratio, *WSR*, as a function of normalized distance, *ND*. The x-error bars represent the standard deviation of *ND* based on the *ND* for each individual instrument (Positions 1 through 4) in the clusters of instruments from $ND=5.2 (\pm 2.9)$ through to $ND=137 (\pm 0)$ (see Fig. 2). The y-error bars represent the standard deviation of the mean *WSR* based on the 5-minute mean *WSR* values.

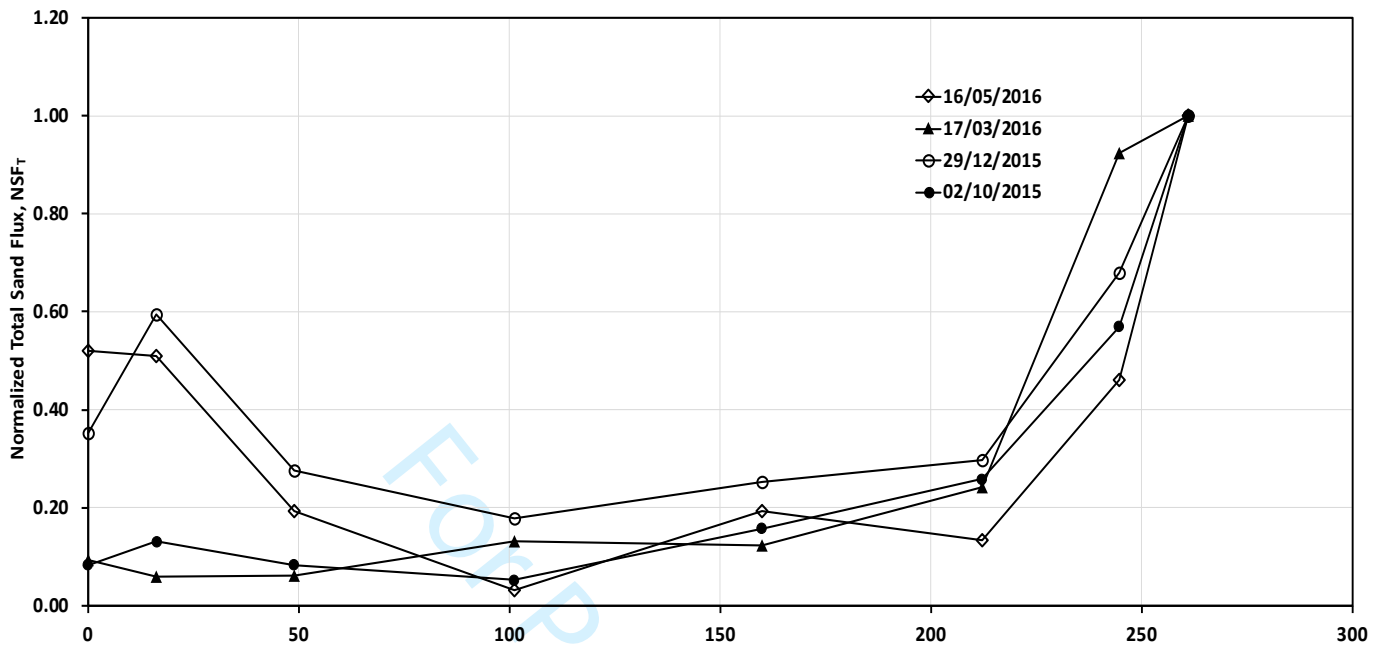


Figure 6. Mean normalized total sand flux, NSF_T (normalized to trap 8) for the four collection periods. The lines serve only to emphasize the groupings of the data points by collection period

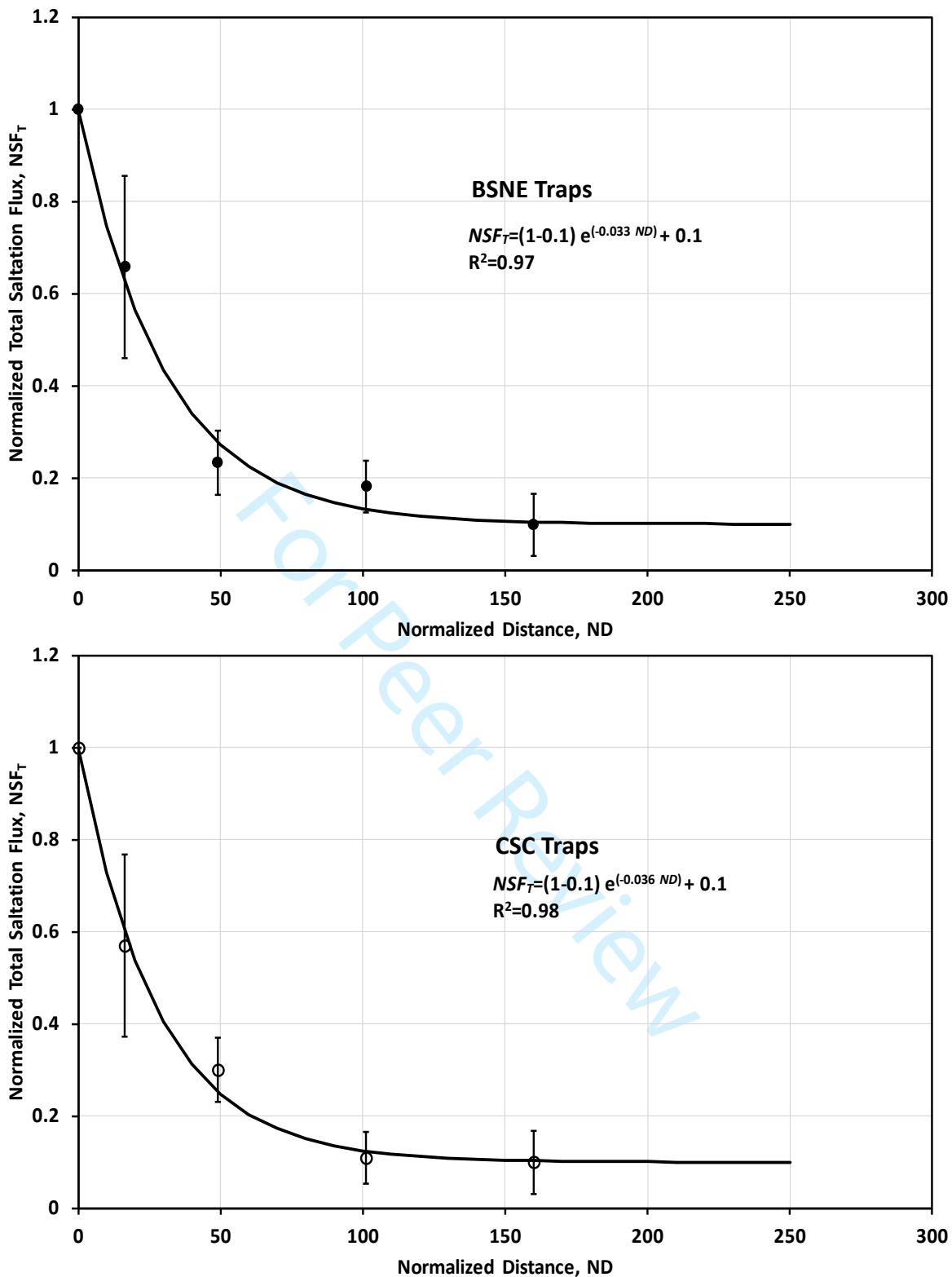


Figure 7. Mean normalized total sand flux, NSF_T , as a function of normalized distance, ND , for traps 4 ($ND=160$), 5 ($ND=101.2$), 6 ($ND=48.9$), 7 ($ND=16.3$), and 8 ($ND=0$) representing the dominant transport of sand from south to north during the observation period for the BSNE traps (black circles, top panel) and the CSC traps (open circles, bottom panel). Error bars represent the standard deviation of the mean value for the multiple measurement periods. NSF_∞ was set to the calculated NSF_T value (0.10) for fitting the relationship defined by Eq. (5).

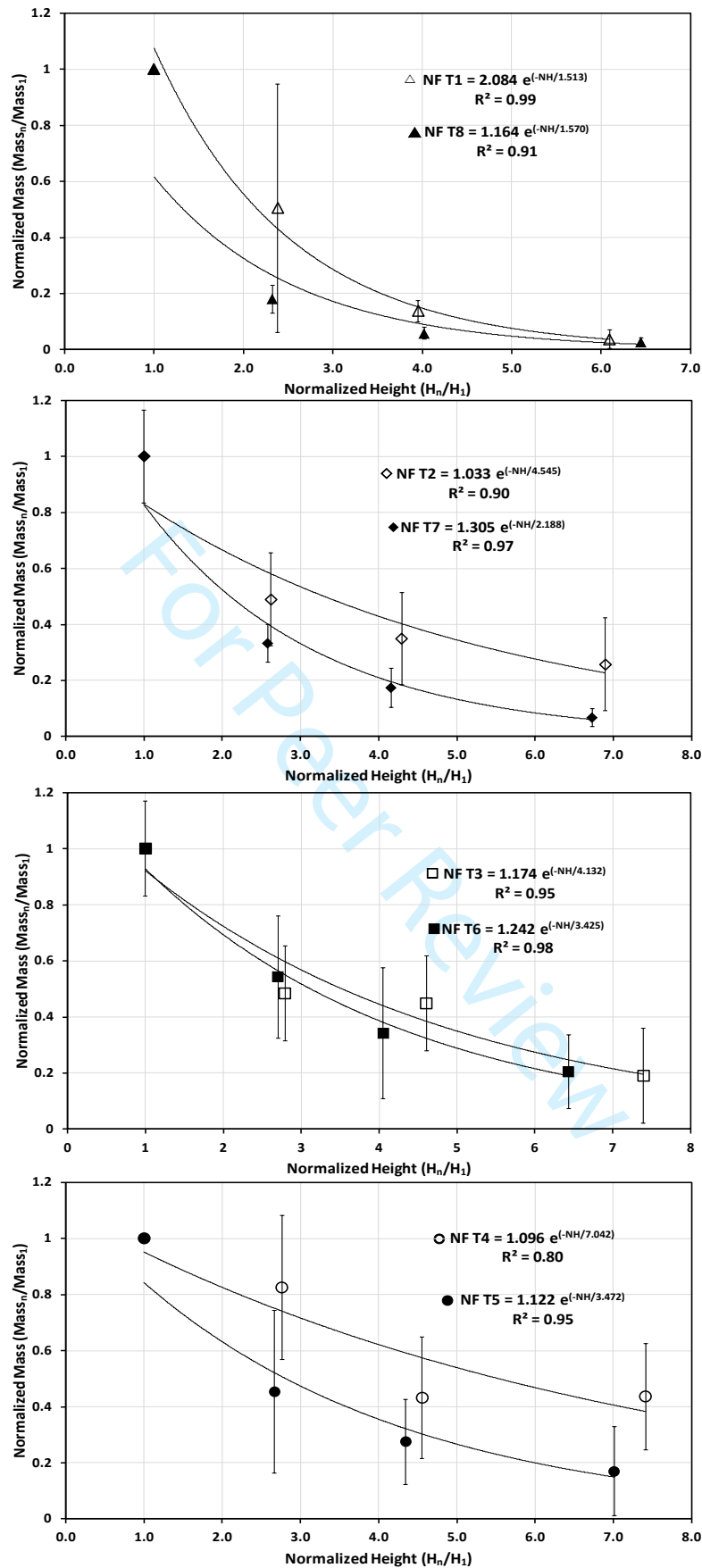


Figure 8. The relationship between normalized mass, NM , and normalized height, NH , as a function of shared trap positions at $ND=0$ (Traps 1 and 8, top panel), $ND=16.3$ (Traps 2 and 7, middle panel), $ND=48.9$ (Traps 3 and 6), and $ND=101.2$ (Traps 4 and 5, bottom panel).

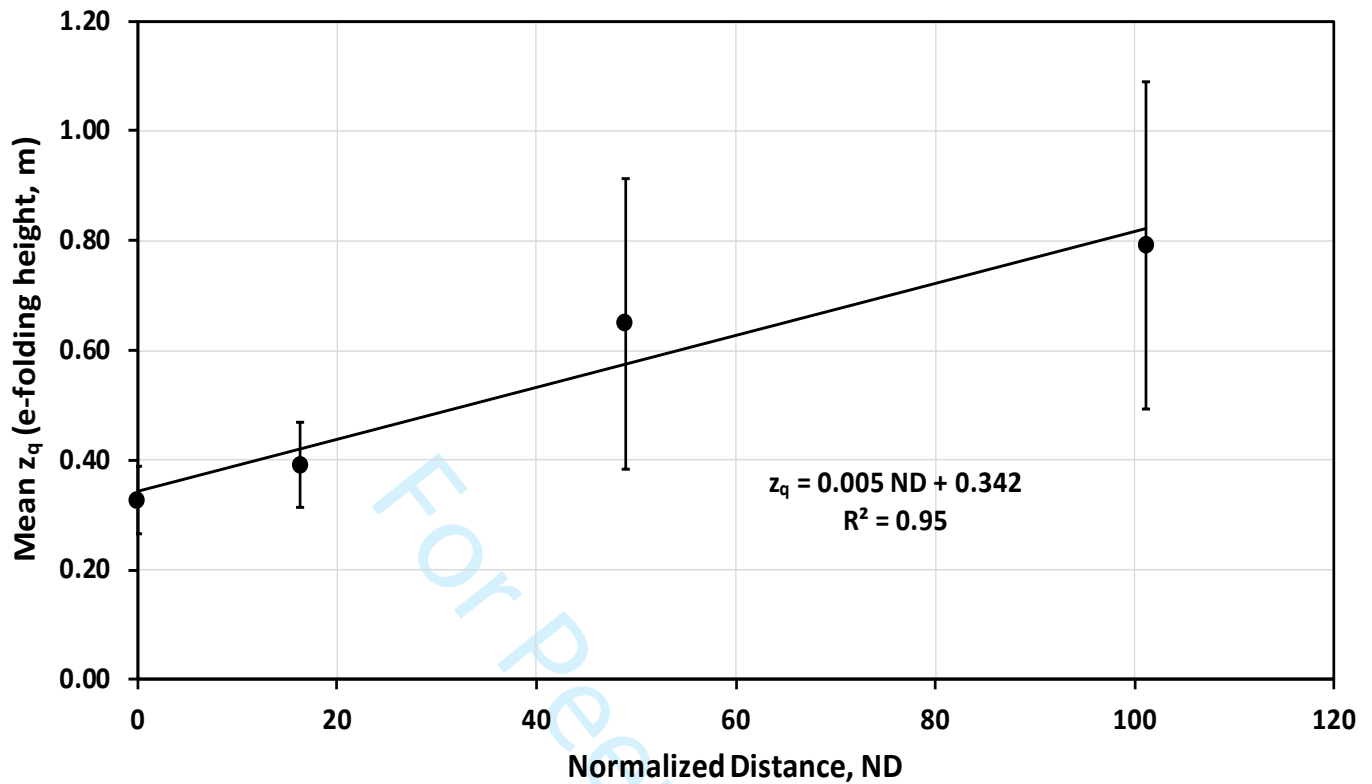


Figure 9. The mean e-folding height (i.e., z_q in Eq. 1) for the sequence of four traps for sand transport direction from north to the south and south to north at equivalent ND positions. Error bars represent the standard deviation of the mean.

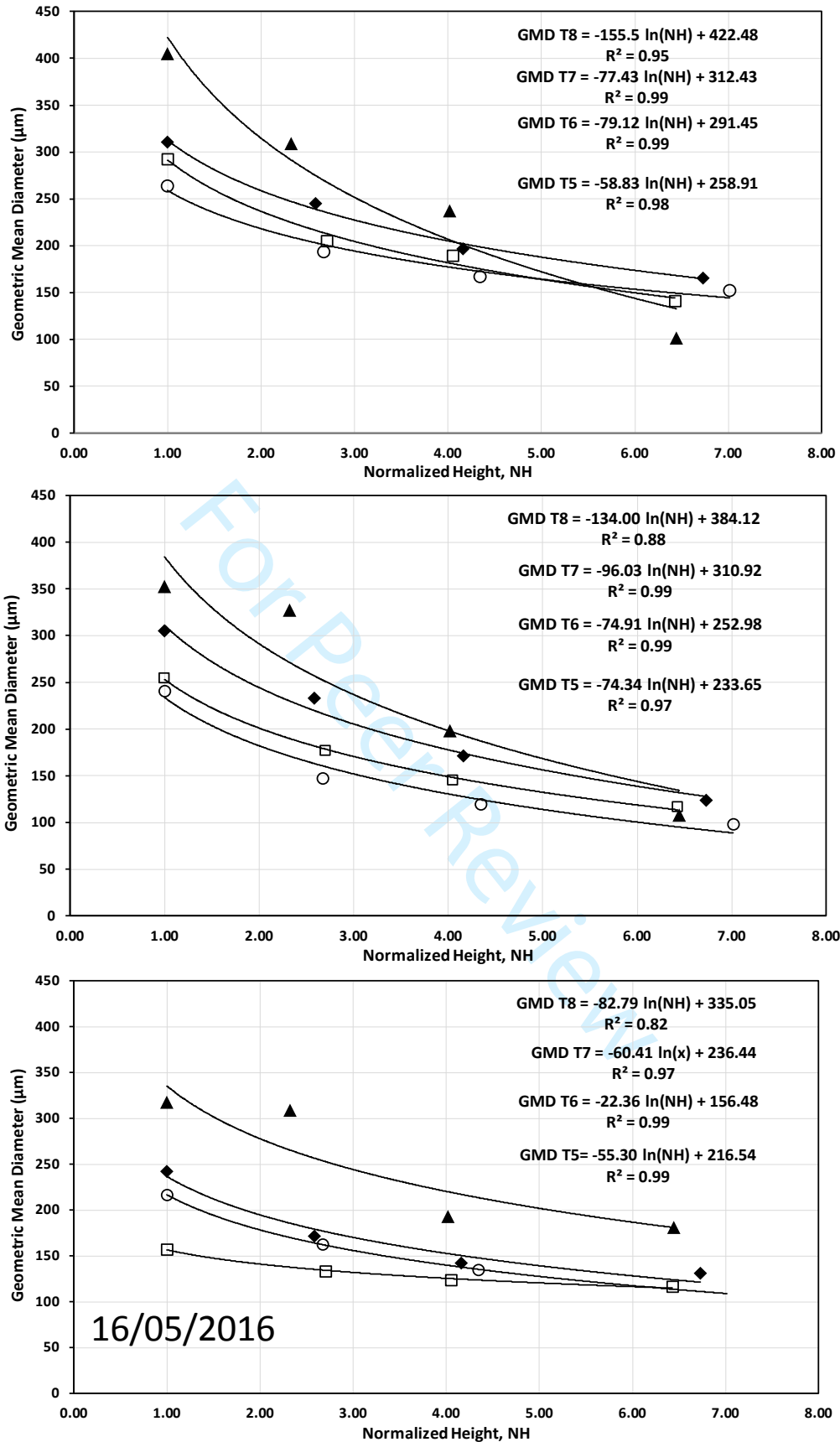


Figure 10. The relationship between mean particle diameter and NH for three collection periods for the trap grouping 8, 7, 6, 5, representing the south to north sand transport direction.

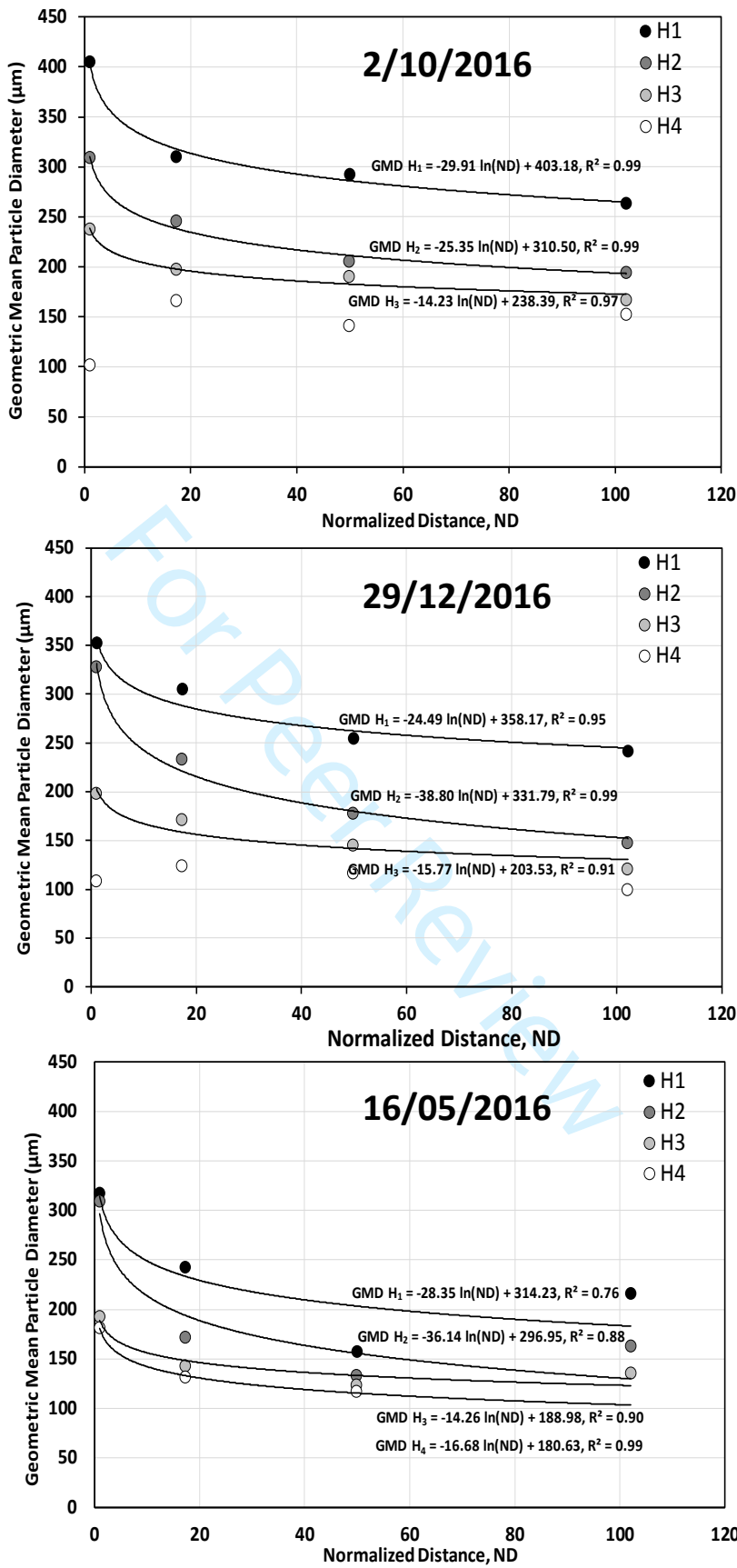


Figure 11. Observed change in the mean particle diameter as a function of normalized distance (ND) for trap grouping: 8, 7, 6, 5, characterizing the south to north transport direction for three monitoring periods.

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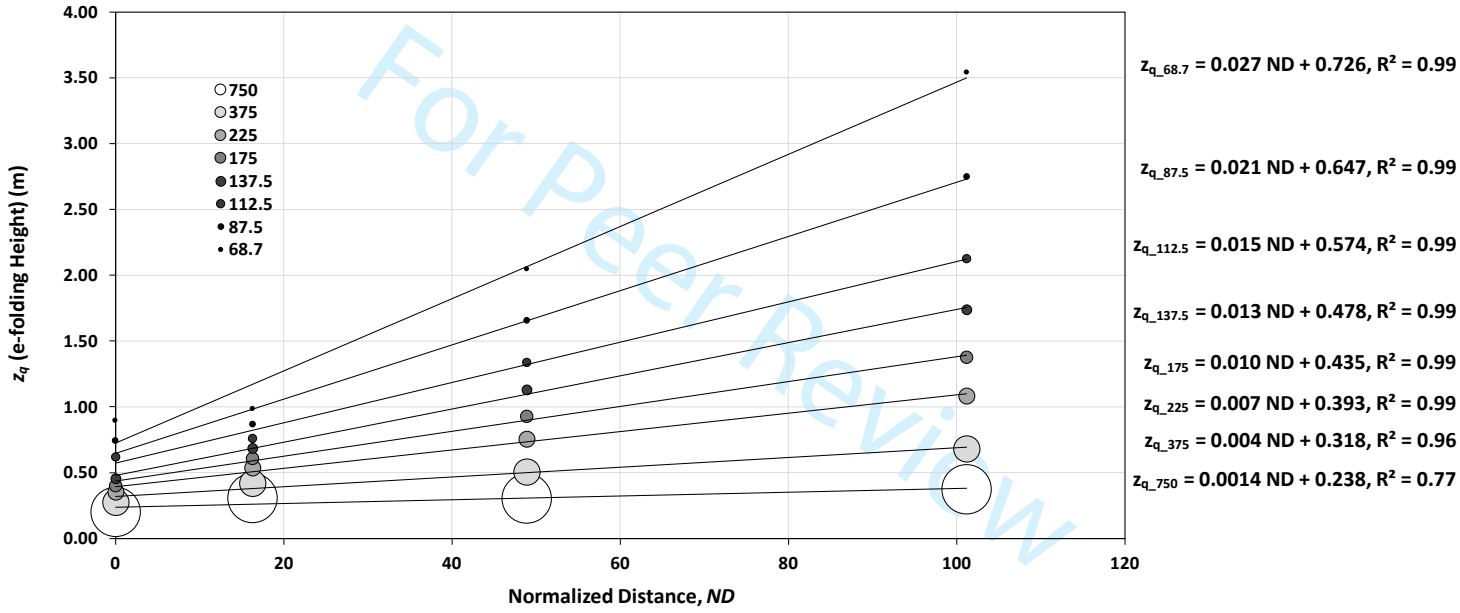


Figure 12. z_q as a function of ND and particle diameter.

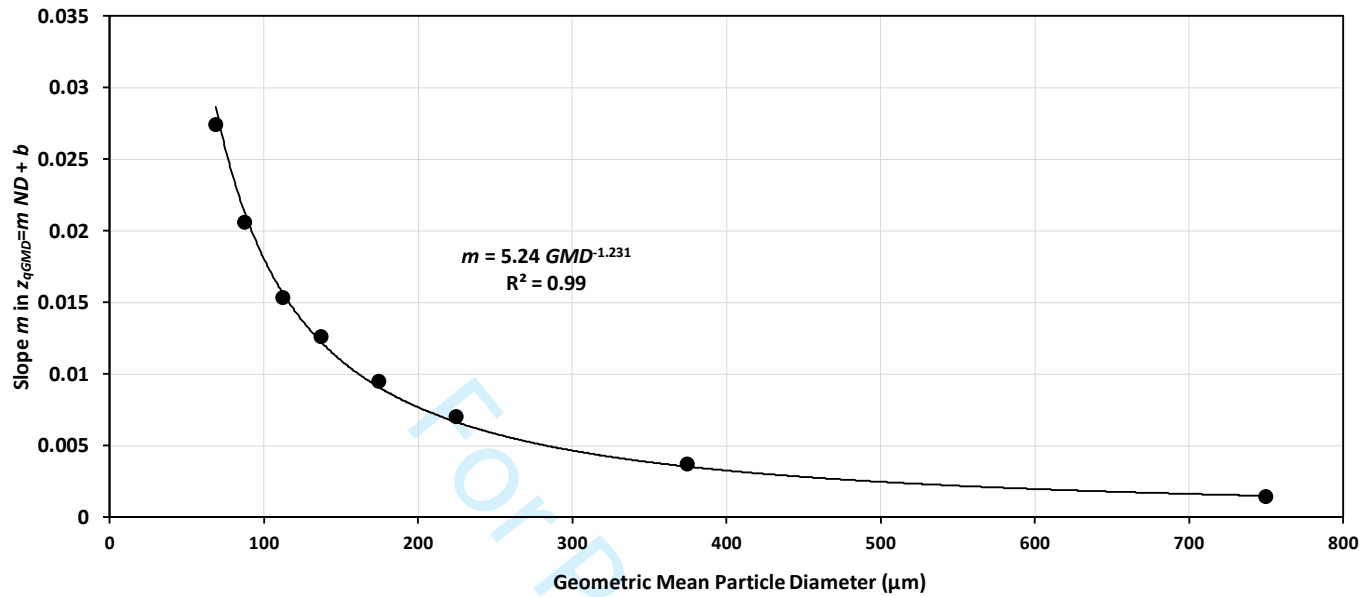


Figure 13. The rate of change in z_q as a function of particle diameter as defined by the m term in the relationship $z_q = m ND + b$ for each particle size bin.