1 2 3 4	Non-enzymatic glycation increases the failure risk of annulus fibrosus by predisposing the extrafibrillar matrix to greater stresses
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26 Abstract

27 Growing clinical evidence suggests a correlation between diabetes and more frequent and severe 28 intervertebral disc failure, partially attributed to accelerated advanced glycation end-products 29 (AGE) accumulation in the annulus fibrosus (AF) through non-enzymatic glycation. However, in 30 vitro glycation (i.e., crosslinking) reportedly improved AF uniaxial tensile mechanical properties, 31 contradicting clinical observations. Thus, this study used a combined experimental-computational 32 approach to evaluate the effect of AGEs on anisotropic AF tensile mechanics, applying the finite 33 element models (FEM) to complement experimental testing and examine difficult-to-measure subtissue-level mechanics. Methylglyoxal-based treatments were applied to induce three 34 35 physiologically relevant AGE levels in vitro. Models incorporated crosslinks by adapting our 36 previously validated structure-based FEM framework. Experimental results showed that a threefold increase in AGE content resulted in a ~55% increase in AF circumferential-radial tensile 37 38 modulus and failure stress and a 40% increase in radial failure stress. Failure strain was unaffected 39 by non-enzymatic glycation. Adapted FEMs accurately predicted experimental AF mechanics with 40 glycation. Model predictions showed that glycation increased stresses in the extrafibrillar matrix 41 under physiologic deformations, which may increase tissue mechanical failure or trigger catabolic 42 remodeling, providing insight into the relationship between AGE accumulation and increased 43 tissue failure. Our findings also added to the existing literature regarding crosslinking structures, 44 indicating that AGEs had a greater effect along the fiber direction, while interlamellar radial crosslinks were improbable in the AF. In summary, the combined approach presented a powerful 45 46 tool for examining multiscale structure-function relationships with disease progression in fiber-47 reinforced soft tissues, which is essential for developing effective therapeutic measures.

- 48 Keywords: Intervertebral disc; Annulus fibrosus; Advanced glycation end-products; Diabetes;
- 49 Tissue failure

50 1. Introduction

Low back pain is a prevalent global health concern affecting 80% of the adult population 51 52 and is the leading cause of productivity loss, disability, and healthcare expenditures in many 53 regions around the world [1]. The need for improved low back pain management has amplified in 54 recent years, driven by an aging population and the global diabetes epidemic [2-4]. Over the last 55 few decades, there has been a continual increase in global diabetes prevalence, with more than 10% 56 of the world's population being affected [3, 4]. Growing clinical evidence has linked diabetes to 57 intervertebral disc degenerative disorders. Particularly, diabetic patients are $\sim 50\%$ more likely to be diagnosed with disc degenerative disorders, with a longer duration or poorer control of diabetes 58 59 correlating with a more severe level of degeneration [5-8]. Diabetes is also a significant risk factor 60 for lumbar disc herniation, reportedly increasing its risk by ~50% after correcting for other risk 61 factors such as age, body mass index, lifestyle, and disease history [9].

62 The intervertebral disc, situated between adjacent vertebrae in the spinal column, is a 63 fibrocartilaginous joint that plays a crucial biomechanical role during daily activities, including 64 supporting multiaxial spinal loads and motions, as well as dissipating energy. The disc is a highly 65 complex, heterogeneous, hierarchical structure comprising a soft gel-like nucleus pulposus center 66 surrounded by a tough, fiber-reinforced annulus fibrosus (AF) ring [10]. Particularly, the AF 67 consists of 15-25 concentric lamellae of angle-ply collagen fibers embedded in a hydrated 68 proteoglycan-rich extracellular matrix, resulting in excellent load-bearing and energy absorption capacities [10]. However, the disc is highly avascular with limited self-healing capabilities; thus, 69 70 the heavy biomechanical demand placed on the disc makes the AF highly susceptible to catabolic 71 tissue remodeling with degeneration and disease [11, 12]. Such catabolic remodeling has been shown to initiate, aggravate, or predispose the AF and surrounding structures to irreversible
mechanical damage (e.g., tears and disruption), causing debilitating low back pain [11, 12].

74 A well-documented diabetes-induced structural remodeling in the AF is the accelerated formation and accumulation of advanced glycation end-products (AGE) via non-enzymatic 75 76 glycation [13-15]. AGEs are a heterogeneous group of chemical compounds that can form 77 irreversible crosslinks with extracellular proteins [13, 15]. The highly collagenous AF is 78 particularly susceptible to AGE modification due to the minimal biological turnover and extended 79 half-life (up to ~120 years) of collagen fibers [16, 17]. Understanding the AF structure-function 80 relationship with non-enzymatic glycation can provide valuable insight into disc mechanical 81 failure mechanisms in diabetic patients, which is pivotal for developing effective therapeutic 82 interventions for tissue failure prevention.

Previous in vitro studies examining the effect of non-enzymatic crosslinks on AF uniaxial 83 tensile mechanics have reported increased tissue stiffness and energetic toughness along the 84 85 evaluated loading directions [18, 19]. Likewise, our recent work, which was the first to investigate AF uniaxial tensile mechanics at physiologically relevant AGE levels, reported that glycation 86 significantly increased AF tensile modulus, failure stress, and energetic toughness in the 87 88 circumferential-axial direction (Figure 1A – 'Circ-ax specimen') [20]. Joint-level disc mechanics 89 evaluations from studies that induced in vitro crosslinking or used diabetic rodent models fed by 90 AGEs-rich diets also reported higher joint stability and stiffness [21-25]. Therefore, while clinical 91 observations report more frequent and severe tissue failure with AGE accumulation, in vitro 92 mechanical testing results suggest improved tissue mechanical properties with non-enzymatic 93 crosslinking, leaving the relationship between AGE accumulation and tissue failure unclear.

94 The knowledge of crosslinking structures at the subtissue scale remains limited due to 95 experimental limitations, despite considerable efforts to investigate the functional role of AGEs. 96 Previous work has developed a constitutive relationship to describe AF uniaxial tensile mechanics 97 with non-enzymatic glycation. Still, the relationship was limited to the two-dimensional space and one sample orientation [18]. Finite element models (FEMs) can predict hard-to-measure stress-98 99 strain distributions in complex fiber-reinforced tissues. Through combined experimental-FEM 100 study designs, our previous work successfully used FEMs to guide experimental studies and 101 corroborate experimental hypotheses [26, 27]. Additionally, we have developed and validated a 102 multiscale structure-based FEM framework for the AF, which is able to investigate multiscale 103 structure-function relationships in healthy and degenerated AF tissues under various loading and 104 boundary conditions [28-31]. This framework also has the potential to be adapted to describe AF 105 mechanics with non-enzymatic glycation.

106 Thus, the current study aimed to examine the effect of AGEs on anisotropic AF uniaxial 107 tensile mechanics through a combined experimental-computational approach. To achieve this, we 108 evaluated the impact of AGEs on AF uniaxial tensile mechanics on formerly unexamined 109 orientations (circumferential-radial and radial directions). We also adapted our previous multiscale 110 structure-based FEM framework to describe AF mechanics with crosslinking. The validated model 111 was applied to examine subtissue-level stress-strain distributions, aiming to provide mechanistic 112 explanations for premature tissue failure observed with AGE accumulation. Compared to past 113 AGE-oriented AF mechanics studies, the current study was the first to use a combined 114 experimental-FEM approach, which allowed assessment of subtissue-level stress-strain 115 distributions that are difficult to determine directly through bulk tissue experiments. The validated 116 models provided valuable insight into the effect of AGEs at the subtissue scale, including the likely

orientation of crosslinking structures and extrafibrillar matrix stress distributions. We hypothesized that the improved bulk AF mechanical properties with non-enzymatic glycation were achieved at the risk of exposing the extrafibrillar matrix to increased damage accumulation through mechanical failure and catabolic remodeling.

- 121 **2.** Materials and methods
- 122 2.1 Experimental testing
- 123 **2.1.1 Sample preparation**

Bovine discs were used due to their improved accessibility and comparable size, 124 mechanical properties, matrix structures, and biochemical composition to human discs [32-35]. 125 126 Fresh, skeletally mature, healthy bovine caudal spine sections were acquired from a local butcher 127 (age = 18-24 months; n = 10 spines). Musculature around the discs was removed using a scalpel 128 to prepare disc specimens from C2 to C5 (n = 30 discs). AF specimens oriented in the 129 circumferential-radial and radial directions were prepared from the freshly dissected discs (Figure 1A – 'Circ-rad specimen' and 'Radial specimen'). A freezing stage microtome was used to obtain 130 2 mm-thick rectangular specimens. A custom-built cutting tool was used to ensure a specimen 131 132 width of 5 mm, while sample length depended on the specimen orientation, with an average of 10 133 mm for circumferential-radial and 5 mm for radial samples. Both circumferential-radial and radial 134 specimens contained ~10-15 lamellae. To ensure repeatable midlength failure, a 1 mm thick, fullwidth notch was created using a custom-made cutting jig in the specimen thickness direction for 135 the circumferential-radial samples [26]. Preliminary testing showed that radial specimens 136 137 exhibited limited grip failure. Thus, the radial specimens were unnotched. Previous studies 138 reported no significant differences in stiffness or strength between notched and intact fiberreinforced soft tissue specimens and demonstrated a limited effect of stress concentrations at thenotch site [36].

141 Circumferential-radial specimens were prepared with one of the following three soaking 142 protocols to obtain physiologically relevant AGE levels in vitro. Samples in the control group 143 (CTRL) were soaked for 18 hours at 25 °C in a solution containing 5% phosphate-buffer solution 144 and 5% w/v polyethylene glycol (i.e., SPEG5 solution) to minimize excessive tissue swelling [20, 145 27]. Glycated samples were soaked in 0.3 M methylglyoxal at either 25 °C (GLY25) or 50 °C 146 (GLY50) [20]. All soaking solutions were pH-balanced to 7.4, and previous work showed that 147 incubation at 50 °C did not alter bulk AF mechanics or composition [34]. Only CTRL and GLY50 148 groups were prepared for radial specimens, which had collagen fibers oriented perpendicular to 149 the loading direction, resulting in minimal fiber engagement.



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Figure 1: (A) Schematics of specimen orientation, loading direction (red arrows), and midlength notch geometry for the experimental specimens and validation FEMs. (B) Circumferential-radial sample gripped by the custom-made serrated screw-clamp grips and soaked in an SPEG5 bath during testing. Red arrows represent the loading direction. Model schematics demonstrating (C) possible crosslink orientations and (D) simulated uniaxial and biaxial boundary conditions. The models in (D) were developed to examine multiscale AF mechanics under the uniaxial and biaxial boundary conditions and were created without a midlength notch.

158 2.1.2 Mechanical testing

159 Samples were gripped for mechanical testing using a pair of custom-made serrated screw-160 clamp grips and were placed in a SPEG5 solution water bath to maintain physiologic tissue 161 hydration throughout testing (up to ~170 min; Figure 1B). A monotonic 0.1 N preload was applied 162 to remove slack from the tissue. Scale bar photographs of each specimen were taken to measure 163 the initial sample-specific length (circumferential-radial specimen: 9.64 ± 1.46 mm; radial 164 specimen: 4.94 ± 1.48 mm). Uniaxial tension was applied monotonically along the circumferential 165 direction for the circumferential-radial samples (n = 15 per treatment group) and radial direction 166 for the radial samples (n = 8 per treatment group) at a quasistatic loading rate (0.1 mm/min, 167 0.017%/sec). Mechanical testing ended when the specimens were separated into two pieces with 168 no load-bearing capability. Rate-dependent differences were assessed for the circumferential-169 radial specimens at a high loading rate (50 mm/min, 8.33%/sec, n = 17 per treatment group). 170 Preliminary testing showed that rate-dependent mechanics variations for these specimens followed 171 a similar trend to that reported for the circumferential-axial samples [20]. Since the objective of 172 the current study was to investigate the effect of AGEs on anisotropic AF uniaxial tensile 173 mechanics, high-rate mechanics data are only presented in Supplementary igure 1. Unless 174 otherwise specified, the remainder of this article presents mechanical testing data obtained at the 175 quasistatic loading rate.

For each specimen that demonstrated a clean midlength failure, engineering stress-strain response was analyzed to facilitate comparison to the existing literature. Engineering stress was calculated as the measured force divided by the initial cross-sectional area evaluated at specimen midlength. Engineering strain was calculated as the tester crosshead displacement divided by the initial gauge (specimen) length. Bulk tensile modulus was calculated at the linear region to ensure physiologic relevance and facilitate comparison with prior literature. The linear region of the

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182 circumferential-radial specimen was identified using a custom linear regression optimization 183 algorithm. The algorithm excluded the toe and yield regions of the stress-strain response and 184 calculated the linear region by determining the maximum range within the stress-strain response 185 in which the change in slope remained within a selected threshold (5%) for strain increments of 186 0.75% (Figure 2A – inset). Failure stress and strain were recorded at the maximum force during 187 testing. The 'failure energy ratio' was also calculated as the strain energy density up to the point 188 of failure divided by the total strain energy density [20].

189 **2.1.3 Biochemistry**

190 After mechanical testing, a 3 mm biopsy punch was used to extract tissue near the failure 191 sites. Tissue samples were blotted dry using a Kimwipe prior to wet weight measurements. The 192 tissue samples were then lyophilized for 48 hours for dry weight measurements. Water content 193 was calculated as the difference between the wet and dry weight divided by the wet weight. 194 Collagen content was measured using the orthohydroxyprsoline (OHP) colorimetric assay, 195 assuming a 1:7.5 OHP-to-collagen mass ratio [37]. Total fluorescence, which estimated the AGE 196 content, was assessed using a quinine sulfate standard at excitation/emission wavelengths of 370 197 nm/440 nm and was reported as equivalent nanograms of quinine normalized by tissue dry weight 198 [20]. Biochemistry assessments were conducted on the circumferential-radial specimens.

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2.1.4 Statistical methods

200 A priori power analysis was performed using data reported by Werbner et al. to calculate 201 the necessary sample size to achieve a significance level of 0.05 and a power of 0.95 [20]. Pairwise 202 permutation tests with repeated measures were conducted between treatment groups for all 203 mechanical and biochemical properties, as a few datasets did not pass the Shapiro-Wilk Normality 204 Test. All measurements were reported as mean \pm standard deviation since most datasets (> 80%)

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205 followed a normal distribution. Bivariate linear correlations were established between tensile 206 modulus and AGE content and between failure stress and AGE content for the circumferentialradial specimens. Correlation strength was determined based on the correlation coefficient R (weak: 207 208 $|\mathbf{R}| < 0.5$, moderate: $0.50 \le |\mathbf{R}| < 0.70$, strong: $|\mathbf{R}| \ge 0.70$). A two-way analysis of covariance 209 (ANCOVA) was performed to compare the regression models for the circumferential-radial 210 specimens in this study to the circumferential-axial regression models reported by Werbner et al. 211 (independent variable: AGE content; fixed factor: specimen orientation) [20]. Significance for the 212 permutation tests and ANCOVA was assumed at p < 0.05.

213 **2.2** Finite element modeling

214 2.2.1 Model development and validation

215 For this study, we adapted a previously validated multiscale structure-based FEM framework developed for the AF [28]. For brevity, only essential model development details are 216 217 provided, but the reader is encouraged to refer to the previous paper for additional information. In 218 short, the AF was modeled as a fiber-reinforced composite, where the extrafibrillar matrix and 219 collagen fiber bundles were described as distinct materials occupying separate volumes, and the 220 fiber bundles were described as full-length cylinders uniformly distributed throughout the lamellae 221 and welded to the surrounding matrix (Figure 1C) [28, 38, 39]. The geometries and dimensions 222 of the validation FEMs were developed to represent rectangular experimental specimens oriented 223 in the circumferential-axial, circumferential-radial, and radial directions (Figure 1A) [20]. 224 Individual AF lamellae were modeled as 0.4 mm thick [40], with collagen fibers orienting at $\pm 35^{\circ}$ 225 to the anatomical transverse plane to represent samples prepared from the middle-outer AF [41]. 226 Model geometries also included a midlength notch for the circumferential-axial and circumferential-radial speciments (Figure 1A). Circumferential-axial (~950k elements), 227

circumferential-radial (~850k elements), and radial models (~420k elements) contained five,
twelve, and eleven lamellae, respectively, which were consistent with the number of lamellae in
experimental specimens. Model material descriptions and boundary and loading conditions were
defined in FEBio Studio, and the fully defined FEMs were solved by the FEBio solver [42].

232 The triphasic mixture theory was applied to describe tissue hydration [43]. Model fixed 233 charge density represented tissue proteoglycan content and was set to -100 mmol/L for the matrix 234 and 0 mmol/L for the fibers [34, 44, 45]. To describe the AF solid phase, the extrafibrillar matrix 235 was modeled as a Neo-Hookean material [29], where the Young's modulus (0.5 MPa) and 236 Poisson's ratio (0.3) were determined based on in vitro AF measurements [46, 47]. Collagen fibers 237 were modeled as a compressible hyperelastic ground matrix substance reinforced by a power-238 linear fiber description to describe AF nonlinearity and anisotropy. The ground matrix substance 239 was modeled using the same Neo-Hookean material described above. For the power-linear fiber 240 description (Equation [1]), β represented the power-law exponent in the toe region, E_{lin} 241 represented the fiber modulus in the linear region, λ_0 represented the transition stretch between the toe and linear regions, and B was a function of β , E_{lin} , and λ_0 $(B = \frac{E_{\text{lin}}}{2} (\frac{{\lambda_0}^2 - 1}{2(\beta - 1){\lambda_0}^3} + \frac{1}{\lambda_0})$. Fiber 242 parameters ($E_{\text{lin}} = 60$ MPa, $\beta = 4$, and $\lambda_0 = 1.09$) were determined based on our previously 243 244 validated models and reported AF fiber bundle mechanical testing data [28, 48].

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$$\psi_{n}(\lambda_{n}) = \begin{cases} 0 & \lambda_{n} < 1\\ \frac{E_{\lim}}{4\beta(\beta-1)\lambda_{0}^{3}} (\lambda_{0}^{2}-1)^{2-\beta} (\lambda_{n}-1)^{\beta} & 1 \le \lambda_{n} \le \lambda_{0} \\ E_{\lim}(\lambda_{n}-\lambda_{0}) + B(\lambda_{n}^{2}-\lambda_{0}^{2}) + \frac{E_{\lim}}{4\beta(\beta-1)\lambda_{0}^{3}} (\lambda_{0}^{2}-1)^{2} & \lambda_{n} > \lambda_{0} \end{cases}$$
[1]

To account for potential AGE-modifiable fibrous AF crosslinking structures, nonenzymatic crosslinks were modeled as a combination of three possible components oriented in mutually perpendicular directions, including (1) in-plane crosslinks parallel to the collagen fibers (in-plane shear crosslinks), (2) in-plane crosslinks perpendicular to the collagen fibers (in-plane 250 normal crosslinks), and (3) out-of-plane crosslinks oriented in the AF radial direction (out-of-plane 251 radial crosslinks; Figure 1C). All crosslink components were modeled as extrafibrillar 252 reinforcements described by the power-linear fiber description (Equation [1]). Since no previous 253 evidence has pointed towards variations in crosslink mechanics with orientation, all crosslink 254 components were assumed to share identical material parameters. Nonlinear crosslink material parameters (i.e., β and λ_0) were assigned the same values as the collagen fibers, with exception of 255 256 the crosslink modulus ($E_{\text{crosslink}}$), which was the calibrated parameter in this study. A higher AGE 257 content was assumed to generate a proportionally greater number of AGEs that could crosslink 258 collagen fibers, resulting in denser AGE compounds per specimen unit volume, and thus a higher 259 apparent crosslink modulus (i.e., $E_{\text{crosslink, GLY50}} = 2 \times E_{\text{crosslink, GLY25}}$).

260 The adapted FEM was validated to ensure its predictive power over AF uniaxial tensile 261 mechanics with non-enzymatic glycation. All validation FEMs were loaded in two steps. To 262 account for specimen hydration, free-swelling was simulated in the SPEG5 external bath [27]. The 263 post-swelling, pre-tension configuration was defined as the reference configuration for mechanics 264 calculations. A uniaxial quasistatic tensile ramp to 40% engineering strain was then applied in the 265 circumferential direction for the circumferential-axial and circumferential-radial models, while a 266 uniaxial quasistatic tensile ramp to 150% radial engineering strain was simulated for the radial 267 model. Displacement on the specimen top and bottom surfaces was constrained to the loading 268 direction throughout the simulated tension. For the circumferential-axial and circumferential-269 radial models, the tensile modulus was calculated as the slope of the linear region of the 270 engineering stress-strain curve between 25% and 35% engineering strain. The tensile modulus for 271 the radial models was calculated as the slope of the engineering stress-strain response between 272 100% and 150% engineering strain. To ensure an optimal solution for the crosslink modulus

calibration, a parametric study was conducted by developing parametric FEMs with varying
crosslink modulus (n = 16 for circumferential-axial and circumferential-radial models). Model
predictions were considered valid if the predicted tensile modulus deviated from the respective
reported experimental means by less than 50% of the reported standard deviation.

A multivariate linear regression model was used to evaluate the relationship between the increase in AF circumferential tensile modulus and crosslink modulus based on the parametric FEM models. Significance was assumed for p < 0.05. The relative contribution of crosslink modulus to the increase in AF circumferential tensile modulus was calculated using the relaimpo package in R and reported as a percentage [49].

282 2.2.2 Effect of non-enzymatic glycation on multiscale AF mechanics

283 Following model validation, another set of circumferential-axial and circumferential-radial 284 models was developed to investigate the effect of non-enzymatic glycation on multiscale AF 285 mechanics. While model dimensions still mimicked the samples for mechanical testing, the 286 midlength notch geometry was excluded (Figure 1D). Models were developed to represent the CTRL and GLY50 samples loaded under uniaxial and biaxial boundary conditions. For the 287 288 uniaxial models, the boundary and loading conditions were identical to the validation FEMs. For 289 the biaxial models, the axial boundaries were fixed throughout the simulated quasistatic tension, 290 which was applied in the circumferential direction to 40% engineering strain (Figure 1D). The 291 post-swelling, pre-tension configuration was defined as the reference configuration. The apparent 292 bulk tensile modulus was calculated as the slope of the linear region of the engineering stress-293 strain curve between 25% and 35% engineering strain. The average stress in the extrafibrillar 294 matrix and fibers were evaluated throughout the simulated tension. The percentage of failed tissue 295 elements was evaluated at 0.5 MPa circumferential stress due to physiologic relevance [40], which 296 was calculated as the applied circumferential load divided by the respective specimen cross-297 sectional area. To ensure the repeatability of our model predictions, the percentage of tissue failure 298 was also evaluated using a strain-based assumption at 15% circumferential engineering strain, 299 which corresponds to the largest internal AF strain observed in vitro in intact discs under 300 physiologically relevant loadings [50, 51]. Failure of individual tissue elements was assessed using 301 a stress-based criterion. Bulk AF radial failure stress was considered representative of matrix 302 failure stress due to the minimum fiber engagement in that orientation. The failure stress threshold 303 for the fibers was determined based on data in the literature [52]. The failure threshold was set at 304 75% of the respective mean failure stress to better represent tissue failure initiation following 305 yielding [53].

306 3. Results

307 3.1 Experimental testing

308 Circumferential-radial specimens demonstrated a nonlinear bulk stress-strain response, 309 while radial samples showed a pseudo-linear bulk stress-strain response prior to bulk tissue failure 310 (**Figure 2** – colored solid lines). A clear linear region was observed for all circumferential-radial 311 specimens (**Figure 2A** – inset). A maximum stress that corresponded to bulk tissue failure was 312 observed for all specimens. Mechanical testing data was only analyzed for samples that 313 demonstrated a clean midlength failure (circumferential-radial: 73% of total specimens, n = 11 per 314 treatment group; radial: 88% of total specimens, n = 7 per treatment group).



Figure 2: Representative experimental (EXP) and model-predicted engineering stress-strain curves at each glycation level for (**A**) circumferential-radial and (**B**) radial and circumferentialaxial specimens. Data reported for circumferential-axial samples were adapted from Werbner et al. for model validation [20]. Inset in (**A**) demonstrates the linear regions calculated by the custom linear regression optimization algorithm using bolded black line segments.

For circumferential-radial specimens, the GLY50 treatment increased the tensile modulus by 53% compared to the CTRL group (CTRL: 11.73 ± 2.76 MPa, GLY50: 17.96 ± 3.24 MPa, p < 0.001) and by 35% compared to the GLY25 group (GLY25: 13.33 ± 4.95 MPa, p = 0.013; **Figure 3**- Circ-rad). The GLY50 treatment also increased the failure stress by 57% compared to the CTRL group (CTRL: 2.35 ± 0.77 MPa, GLY50: 3.69 ± 0.83 MPa, p < 0.001) and by 32%

326	compared to the GLY25 group (GLY25: 2.79 ± 1.24 MPa, p = 0.012; Figure 4A – Circ-rad).
327	However, the tensile modulus and failure stress of the GLY25 samples were not different from the
328	CTRL specimens ($p > 0.35$). For radial specimens, the GLY50 treatment increased the failure
329	stress by 40% compared to the CTRL group (CTRL: 0.39 ± 0.15 MPa, GLY50: 0.54 ± 0.09 MPa,
330	p = 0.043; Figure 4A – Radial). However, the tensile modulus was not affected by the glycation
331	treatment ($p > 0.90$; Figure 3 – Radial). Non-enzymatic glycation did not affect tissue failure strain
332	in both tested orientations and all treatment groups ($p > 0.35$; Figure 4B). Additionally, a trend in
333	failure energy ratio was not observed with non-enzymatic glycation.



Figure 3: Experimental tensile modulus (circles) compared to model predictions (diamonds) at
each glycation level for all three specimen orientations. Data reported for circumferential-axial
samples are reproduced from Werbner et al. for model validation [20]. * represents p < 0.001 vs.
CTRL; ^ represents p < 0.05 vs. GLY25.



Figure 4: (A) Failure stress and (B) failure strain at each glycation level for both specimen

orientations. * represents p < 0.05 vs. CTRL; ^ represents p < 0.05 vs. GLY25.



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Figure 5: (A) AGE, (B) water, and (C) collagen content at each glycation level. The gray region
in (A) represents the range of AGE content measured in human discs [20]. * represents p < 0.001
vs. CTRL; ^ represents p < 0.001 vs. GLY25.

AGE content normalized by tissue dry weight increased by 87% with the GLY25 treatment (CTRL: 250 ± 84 ngQ/mg dry weight; GLY25: 468 ± 138 ngQ/mg dry weight, p < 0.001) and by ~210% with the GLY50 treatment (GLY50: 779 ± 87 ngQ/mg dry weight, p < 0.001). The AGE content of the GLY50 group was ~65% higher than the GLY25 group (p < 0.001; **Figure 5A**). Water and collagen content were not affected by the glycation treatment (**Figures 5B** and **5C**). In the circumferential-radial direction, there was a moderate positive correlation between AGE content and tensile modulus (R = 0.55, p < 0.001; Figure 6A – black trendline); a moderate positive correlation was also observed between AGE content and failure stress (R = 0.55, p < 0.0001; Figure 6B – black trendline). Specimen orientation had a significant effect on the relationship between AGE content and tensile modulus and between AGE content and failure stress (ANCOVA p < 0.001), with the tensile properties of circumferential-axial specimens being more sensitive to AGE accumulation (Figure 6 – gray vs. black trendlines).



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Figure 6: Bivariate linear correlation between (A) tensile modulus and (B) failure stress and AGE
content for circumferential-radial samples (black circles). Quasistatic circumferential-axial data
reported by Werbner et al. were shown for comparison (gray circles) [20]. Regression models
obtained for the two specimen orientations were significantly different (ANCOVA p < 0.001).



2 Finite element modeling

364 **3.2.1 Model validation**

With all three possible crosslink components (i.e., in-plane shear crosslinks, in-plane normal crosslinks, and out-of-plane radial crosslinks, **Figure 1C**), model predictions showed that a crosslink modulus lower than 0.1 MPa was required to predict an AF radial tensile modulus within the range of existing experimental data (**Figure 7A**). Meanwhile, FEMs with a crosslink 369 modulus of 10 MPa predicted an AF radial tensile modulus of 6.38 MPa, more than $10 \times$ higher 370 than the largest AF radial tensile modulus reported (Figure 7A). However, a crosslink modulus 371 greater than 7.5 MPa was required to generate model predictions within around one standard 372 deviation of the mean circumferential tensile modulus values (Figure 7B). Therefore, out-of-plane 373 radial crosslinks were not included in the model (Figure 1C). Model predictions also showed that 374 FEMs with only in-plane shear crosslinks or in-plane normal crosslinks greatly underestimated AF 375 circumferential tensile modulus for the GLY50 group (Figure 7C). Thus, both in-plane shear 376 crosslinks and in-plane normal crosslinks were necessary and therefore included in the model.

377 Adapted FEMs accurately and robustly predicted experimental measurements when the 378 crosslink modulus was 12.5% of collagen fibers (i.e., 7.5 MPa) in the GLY25 model and 25% of 379 collagen fibers (i.e., 15 MPa) in the GLY50 model, which was further confirmed by the parametric 380 FEM predictions (Figure 7B). Model predictions matched well with experimental stress-strain 381 responses in all specimen orientations and all treatment groups (Figure 2 – colored dashed vs. 382 solid lines). Model-predicted bulk AF tensile modulus values were within 0.4× standard deviation 383 from the experimental means in all specimen orientations and all treatment groups (Figure 3). 384 Thus, the adapted FEMs were considered valid for describing and investigating AF tensile 385 mechanics with non-enzymatic glycation.



Figure 7: (A) Model-predicted AF radial tensile modulus with varying crosslink modulus ($E_{crosslink}$ = 0.01 MPa, 0.1 MPa, 1 MPa, and 10 MPa). Predicted values were compared to the AF radial tensile modulus range reported in the literature, highlighted by the gray region [40, 54-56]. (B) Model-predicted tensile modulus for circumferential-axial and circumferential-radial specimens with varying crosslink modulus. Horizontal solid and dashed lines represent the range of experimental data (mean ± standard deviation, std). (C) Model-predicted circumferential tensile modulus for GLY50 specimens with varying crosslinking structures vs. experimental data.

394 Multivariate linear regression models were able to explain more than 99.5% of the variance 395 in parametric FEMs. Terms associated with the out-of-plane radial crosslinks were excluded from 396 the models. The increase in AF circumferential tensile modulus increased linearly with in-plane 397 shear and in-plane normal crosslink modulus (p < 0.001 for both crosslink types and specimen 398 orientations) but did not depend on their interactions (Equations [2] and [3]). The relative 399 contribution analysis suggested that the increase in AF circumferential tensile modulus was more 400 sensitive to the in-plane shear than the in-plane normal crosslink modulus. Particularly, the in-401 plane shear crosslink modulus contributed to ~90% of the increase in circumferential tensile 402 modulus in both orientations, while the in-plane normal crosslink modulus only contributed $\sim 10\%$.

$$\Delta E_{\text{Circ-ax}} = 4.62 + 0.91 \times E_{\text{crosslink, ISC}} + 0.27 \times E_{\text{crosslink, INC}} + \varepsilon \quad [2]$$

 $\Delta E_{\text{Circ-rad}} = 0.21 + 0.41 \times E_{\text{crosslink, ISC}} + 0.13 \times E_{\text{crosslink, INC}} + \varepsilon \quad [3]$

405 **3.2.2 Effect of non-enzymatic glycation on multiscale AF mechanics**

For circumferential-axial models, the biaxial boundary condition increased the apparent
tensile modulus by 62-85% (Figure 8A – Circ-ax, diagonal vs. solid bars). Glycation had a
comparable effect, increasing the apparent tensile modulus by 66-89% (Figure 8A – Circ-ax, red
vs. blue bars). For circumferential-radial models, the biaxial boundary condition had a less
pronounced effect, only increasing the apparent tensile modulus by 17-28% (Figure 8A – Circrad, diagonal vs. solid bars); however, glycation increased the apparent tensile modulus by more
than 75% (Figure 8A – Circ-rad, red vs. blue bars).



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Figure 8: Model-predicted (A) circumferential tensile apparent modulus, (B) average matrix and
fiber stress. The light blue and red horizontal dashed lines represent the stress thresholds for failed
matrix elements in CTRL and GLY50 models, respectively. The black dashed line represents the
failure stress threshold for fibers [52]. (C) Percentage of failed matrix elements evaluated at 0.5
MPa circumferential stress and 15% engineering strain.

Model predictions indicated that glycation had a greater impact on subtissue-level
mechanics than altered boundary conditions, especially in the extrafibrillar matrix (Figures 8B,
8C, and 9). Under 0.5 MPa, the average matrix stress in the uniaxial circumferential-axial CTRL

422 model was 0.25 MPa and increased by $\sim 60\%$ to 0.40 MPa with glycation (Figure 8B – Matrix, 423 solid blue vs. red lines; Figure 9A). Simulating a biaxial boundary condition only increased the 424 average matrix stress by less than 5% (Figure 8B – Matrix, blue solid vs. dotted lines; Figure 9A). 425 Based on the failure criterion calculated using the measured AF radial failure stress (CTRL: 0.39 426 MPa \times 75% = 0.29 MPa; GLY50: 0.54 MPa \times 75% = 0.40 MPa; Figure 4A), glycation led to a 427 \sim 4× increase in the percentage of failed matrix elements from 13% to 50% under uniaxial tension 428 at 0.5 MPa (Figure 8C – red vs. blue solid bars), while biaxial loading resulted in a $\sim 1.5 \times$ increase 429 in the percentage of failed matrix elements (Figure 8C – diagonal vs. solid blue bars). In the 430 circumferential-axial biaxial models, glycation increased the average matrix stress by ~90% from 431 0.30 to 0.56 MPa (Figure 8B – Matrix, dotted blue vs. red lines; Figure 9A), resulting in over 70% 432 of the matrix elements exceeding the failure threshold (Figure 8C – at 0.5 MPa, diagonal red bar). 433 A similar trend was observed when evaluating failure using a strain-controlled assumption at 15% 434 engineering strain (Figure 8C – at 15% strain; Figure 9B).

Contrary to the changes in matrix mechanics, the average fiber stress was largely unaffected by glycation (**Figure 8B** – Fiber, red vs. blue lines; **Figure 9**). At 0.5 MPa circumferential stress or 15% engineering strain, increasing the boundary constraints with the biaxial boundary increased the average fiber stress by 20-70%, but the average fiber stress remained well below the failure threshold (**Figure 8B** – Fiber, solid vs. dotted lines). A similar trend was observed for models oriented in the circumferential-radial direction.



Figure 9: (A) Representative frontal and side midplane stress distributions at 0.5 MPa circumferential stress for CTRL and GLY50 models. Stress in the extrafibrillar matrix increased with glycation, changing from dark blue shading in the CTRL to green in the GLY50 models. Red shadings represent higher stresses in the fibers (vs. the matrix). (B) Representative stress distributions at 15% applied circumferential engineering strain showed a similar trend.

448 The study investigated the relationship between physiologic levels of advanced glycation 449 end-products and anisotropic AF uniaxial tensile mechanics using a combined experimental-450 computational approach. In vitro glycation increased AF tensile modulus and failure stress in the 451 circumferential-radial direction (Figures 3 and 4A), agreeing with previous observations reported 452 for circumferential-axial samples [19, 20]. However, for circumferential-radial specimens, 453 changes in tensile mechanics with AGE accumulation were not as pronounced (Figure 6) [20]. 454 Specifically, the in vitro glycation treatments led to comparable increases in AGE content in both 455 specimen orientations [20]. However, the GLY50 treatment increased the AF tensile modulus and 456 failure stress by ~100% for the circumferential-axial specimens but only by ~50% for the 457 circumferential-radial specimens. While the GLY25 treatment led to statistically significant 458 increases in circumferential-axial tensile modulus and failure stress, it did not affect the 459 circumferential-radial specimens (Figures 3 and 4A). Additionally, multivariate linear regression 460 predicted that the modulus of in-plane shear crosslinks, which are parallel to the collagen fibers, 461 contributed to approximately 90% of the increase in tensile modulus. The primary distinction 462 between circumferential-axial and circumferential-radial specimens lies in the loading direction 463 relative to the orientation and length of the collagen fibers. In particular, collagen fibers in the 464 circumferential-radial specimen extended across the 2 mm thickness, while fibers in the 465 circumferential-axial sample traversed the entire 5 mm width, resulting in a larger effective fiber 466 length and fiber engagement [29, 57]. Taken together, these findings indicated that non-enzymatic 467 crosslinks had the greatest impact along the collagen fiber direction, with this effect increasing 468 with intact fiber length and fiber engagement.

469 Non-enzymatic glycation had a smaller effect on AF radial mechanics, where fiber470 engagement was minimal during loading. Specifically, the GLY50 treatment did not affect the

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471 tissue modulus and only increased the failure stress by 40% (Figures 3 and 4A). FEM predictions 472 also suggested a negligible effect from the AGEs in the radial direction (Figure 1C), as including 473 out-of-plane radial crosslinks led to unrealistically high radial tensile modulus (Figure 7A). While 474 defining a smaller modulus for the radial crosslinks (i.e., 0.01-0.1 MPa) compared to the in-plane 475 crosslinks produced realistic AF radial tensile modulus predictions (Figure 7A), we did not find 476 previous evidence supporting stiffness variations between AGEs compounds derived from the 477 same collagen type. Previous two-dimensional constitutive models developed to describe AF 478 mechanics with glycation also suggested that in-plane crosslinks were sufficient [18]. Furthermore, 479 elastin proteins, the main constituents of interlamellar elastic fibers oriented in the AF radial 480 direction, only accounted for 2% of tissue dry weight, indicating a low likelihood of elastin-derived 481 AGEs compounds (i.e., out-of-plane radial crosslinks) [58-60]. A multiphoton autofluorescence 482 study further complemented these findings by demonstrating that collagens were more responsive 483 to AGE formation than interlamellar elastic fibers [61]. Thus, our results agree with and add to the 484 existing literature regarding the crosslinking structure by showing that interlamellar radial 485 crosslinks are unlikely to form in the AF.

Studies that evaluate mechanical properties of human disc soft tissues are known to report 486 487 large variations. While reported mechanics variations between studies can be attributed to 488 differences in specimen geometry [29], variations within studies might, in part, be due to 489 differences in tissue composition with degeneration and disease. For example, coefficients of 490 variation (i.e., the ratio of the standard deviation to the mean) for the tensile modulus of healthy 491 anterior outer circumferential-axial AF specimens, whose structure and morphology are expected 492 to be relatively uniform, still range from 0.56 to 0.82 [53, 55, 56, 62]. This study evaluated a 493 parametric group of crosslink modulus values corresponding to different AGE levels to determine

the effect of AGE accumulation on bulk tissue mechanics. The parametric models predicted a range of modulus values that spanned the experimental variations reported in both specimen orientations (**Figure 7B**). This finding suggested that large variations observed in human disc mechanical properties may be in part due to variations in AGE content, which are often not accounted for during tissue degeneration level evaluations (e.g., Thompson scale) [63].

499 AGE accumulation occurs naturally with aging and has been linked to various soft tissue 500 diseases besides disc degenerative disorders, such as osteoarthritis and tendinopathy [15, 64, 65]. 501 Studies using diabetic rodent models also reported significantly reduced tendon modulus and 502 failure stress [66, 67]. However, conflicting results have been reported in vitro. In addition to the 503 increased AF energetic toughness reported in the current and previous AF studies [18-20], non-504 enzymatic glycation in vitro has been found to increase tissue stiffness and failure stress in other 505 soft fiber-reinforced soft tissues, such as tendons, cartilages, and corneas [68-72]. In vitro 506 crosslinking also enhanced tissue resistance to collagen degradation and mechanical wear [73]. 507 Researchers have partially attributed this discrepancy to the uncoupling of exogenous AGE 508 accumulation from natural cellular and tissue remodeling in response to a high AGE extracellular environment, which is known to induce cellular inflammatory responses [24, 74-77]. Alternatively, 509 510 tendon studies reported that AGE treatment significantly reduced tissue viscoelastic properties (i.e., 511 energy dissipation capabilities) [69, 70], suggesting that diabetic crosslinking might induce 512 premature tissue failure by compromising tissue performance under fatigue loading. Additionally, 513 tendon studies have shown that non-enzymatic glycation may result in tissue stiffening due to 514 diminished interfibrillar sliding [69, 70, 72, 78]. Similar mechanisms may be at play in the AF, 515 despite the differences in tissue composition and fiber orientation; however, interfibrillar sliding 516 was beyond the scope of this study.

517 In this study, subtissue-level FEM predictions provided another probable explanation for 518 this discrepancy between in vivo and in vitro tissue failure behavior with AGE accumulation. 519 Under a physiologically relevant strain (i.e., $\leq 15\%$ strain) or stress range (i.e., ~0.5 MPa), 520 glycation greatly increased the stress in the extrafibrillar matrix, predisposing a larger portion of 521 the tissue to a greater risk of mechanical failure (Figures 8B and C). The current study evaluated 522 matrix failure under both stress- and strain-controlled methods, as both approaches have been used 523 frequently and interchangeably in experimental and computational studies [79]. As such, the 524 improved bulk AF tensile mechanical properties with AGE accumulation were achieved at the risk 525 of exposing the extrafibrillar matrix to increased mechanical damage accumulation. Additionally, 526 previous cellular biology studies showed that higher stresses applied to AF cells caused 527 inflammatory responses, which may also trigger premature tissue failure through catabolic 528 remodeling [80-82]. Lastly, future experimental work is needed to confirm that findings from this 529 study are translatable to human disc tissues.

530 The biaxial boundary condition was simulated in the current study due to its physiologic 531 relevance and difficulties in conducting repeatable soft tissue biaxial tensile testing in vitro. Model 532 predictions highlighted that glycation had a greater effect on AF bulk and subtissue-level 533 mechanics than the evaluated biaxial boundary condition (i.e., an axial-fixed condition), especially 534 on the stresses in the extrafibrillar matrix (Figure 8B). However, the more constrained biaxial 535 boundary amplified the effect of glycation, disposing nearly all the matrix elements in the GLY50 536 biaxial model to failure under large physiologic deformations (Figure 8C). This amplifying effect 537 may be more pronounced in vivo, as the heavily glycated tissues would be more restricted by the 538 surrounding structures (e.g., the nucleus and endplates), further increasing the risk of tissue failure.

539 One limitation of the current study was that tissue proteoglycan content was not 540 characterized. However, previous in vitro studies consistently reported that AGE treatment did not 541 affect proteoglycan content, regardless of the crosslinking agent used [83-85]. Tissue water content, 542 a benchmark for proteoglycan content, also remained at the fresh tissue level for all treatment 543 groups (Figure 5B), suggesting that proteoglycan was unaffected by the methylglyoxal-based 544 treatment. While AGE content in discs from diabetic patients has not been characterized, the AGE 545 content induced in GLY25 specimens aligned well with the range measured from human cadaveric 546 disc tissues, while CTRL and GLY50 specimens covered the lower and higher end of that range 547 (Figure 5A) [20], making our specimens justifiable candidate tissue models to examine the differences between healthy and diabetic tissues. Another limitation was that the current study did 548 549 not characterize the elastin-derived AGE content, which could have a considerable effect on AF 550 mechanics, especially with degeneration [59]. Computationally, crosslinks were described as 551 extrafibrillar reinforcements. Future models may need to explicitly describe crosslinks to 552 differentiate failure originating from the extrafibrillar matrix from the crosslinks themselves, as 553 well as to understand the relative contribution of fiber stiffening and reduced interfibrillar sliding 554 to bulk tissue strengthening with glycation, which remains a debate in the field [69, 70, 72, 78].

555 **4.** Conclusions

The current study evaluated the effects of AGEs on anisotropic AF uniaxial tensile mechanics using a combined experimental-computational approach. Experimentally, AF uniaxial tensile mechanical properties were reported in circumferential-radial and radial directions at three physiologically relevant AGE levels. Computationally, multiscale structure-based FEMs were developed and validated to describe crosslinks within the extrafibrillar matrix. The validated models were used to examine the effect of glycation on multiscale AF mechanics under uniaxial

562 and biaxial boundary conditions. Mechanical testing results showed that in vitro glycation did not 563 lead to compromised AF mechanical properties under monotonic quasistatic uniaxial tension in 564 both tested orientations, agreeing with previous literature. The proposed FEM framework 565 accurately predicted AF bulk tensile mechanics with glycation and provided insight into the 566 relationship between AGE accumulation and more frequent and severe tissue failure observed with 567 diabetes. Specifically, glycation exposed the extrafibrillar matrix to greater stresses under 568 physiologically relevant deformations, which may lead to increased tissue failure through greater 569 accumulated mechanical damage or catabolic tissue remodeling. Our findings also suggested 570 probable crosslinking structures at the subtissue level, indicating that AGEs had a more 571 pronounced effect along the fiber direction, while interlamellar radial crosslinks were less likely 572 to form in the AF. In conclusion, the improved bulk mechanical properties of fiber-reinforced 573 biological tissues with AGE accumulation may be achieved at the risk of exposing the extrafibrillar 574 matrix to larger stresses under physiologic deformations, leading to premature tissue failure. The 575 presented combined approach provides a powerful tool for examining multiscale AF structure-576 function relationships with disease progression, which is crucial for developing effective preventive measures and therapeutic interventions for low back pain. 577

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