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Authors

Khong, Ethan

Oh, Joseph J

Jimenez, Julian M

et al.

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1 **A simple solid media assay for detection of synergy**
2 **between bacteriophages and antibiotics.**

3
4 Ethan Khong^{a,*}, Joseph Oh^{a,*}, Julian M. Jimenez^b, Roland Liu^a, Sage Dunham^c,
5 Alisha Monsibais^c, Alison Rhoads^a, Pooja Ghatbale^a, Andrew Garcia^a, Ana
6 Georgina Cobián Güemes^a, Alisha N. Blanc^a, Megan Chiu^a, Peiting Kuo^a,
7 Marissa Proost^a, Ahnika Kline^a, Saima Aslam^d, Robert T. Schooley^d, Katrine
8 Whiteson^c, Stephanie I. Fraley^b, and David T. Pride^{a,d,Σ}

9
10 ^a*Department of Pathology, University of California San Diego, La Jolla, CA,*
11 *USA*

12 ^b*Department of Bioengineering, University of California San Diego, La Jolla,*
13 *CA, USA*

14 ^c*Department of Molecular Biology and Biochemistry, University of California*
15 *Irvine, Irvine, CA, USA*

16 ^d*Department of Medicine, University of California San Diego, La Jolla, CA, USA*

17
18
19 *These authors contributed equally to this work

20 Σ Corresponding author: dpride@health.ucsd.edu

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23
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26 **ABSTRACT**

27 The emergence of antibiotic resistant bacteria (ARB) has necessitated the
28 development of alternative therapies to deal with this global threat.
29 Bacteriophages (viruses that target bacteria) that kill ARB are one such
30 alternative. While phages have been used clinically for decades with
31 inconsistent results, a number of recent advances in phage selection,
32 propagation and purification have enabled a reevaluation of their utility in
33 contemporary clinical medicine. In most phage therapy cases, phages are
34 administered in combination with antibiotics to ensure that patients receive
35 the standard-of-care treatment. Some phages may work cooperatively with
36 antibiotics to eradicate ARB, as often determined using non-standardized
37 broth assays. We sought to develop a solid media-based assay to assess
38 cooperativity between antibiotics and phages to offer a standardized
39 platform for such testing. We modeled the interactions that occur between
40 antibiotics and phages on solid medium to measure additive, antagonistic,
41 and synergistic interactions. We then tested the method using different
42 bacterial isolates, and identified a number of isolates where synergistic
43 interactions were identified. These interactions were not dependent on the
44 specific organism, phage family, or antibiotic used. *A priori* susceptibility to
45 the antibiotic or the specific phage were not requirements to observe
46 synergistic interactions. Our data also confirm the potential for the
47 restoration of vancomycin to treat Vancomycin Resistant Enterococcus (VRE)
48 when used in combination with phages. Solid media assays for the detection

49 of cooperative interactions between antibiotics and phages can be an
50 accessible technique adopted by clinical laboratories to evaluate antibiotic
51 and phage choices in phage therapy.

52 INTRODUCTION

53 The rise in antibiotic resistant bacteria (ARB) has become a global public
54 health issue that threatens the lives of millions of people across the world
55 every year (1). Among ARB, the ESKAPE pathogens (*Enterococcus faecium*,
56 *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*,
57 *Pseudomonas aeruginosa*, and *Enterobacter spp.*) are often multidrug
58 resistant and are the leading cause of nosocomial infections. One potential
59 solution to the growing threat of ARB is the use of bacteriophages (viruses
60 that attack and kill bacteria) as alternative treatments to antibiotics. Thus
61 phage therapy utilizing these bacteria targeting virus far have largely been
62 reserved for treatment of bacterial infections that are highly resistant to
63 antibiotics (2) but could potentially have broader applications. There have
64 been successful outcomes in a number of recent phage therapy cases (3).

65

66 Antibiotics are the current standard-of-care for the treatment of ARB
67 infections. Since phages have not yet received regulatory approval, they are
68 usually delivered in conjunction with antibiotics to ensure that the standard-
69 of-care is met. When used in combination with antibiotics, it is difficult to
70 determine the contributions of each to the eradication of the infection. In
71 general, the field lacks randomized clinical trials to determine whether these
72 combination therapies are effective (4, 5). One of the first steps towards
73 determining whether these combination therapies can be effective is to

74 investigate whether there are cooperative or even antagonistic interactions
75 between antibiotics and phages in *in vitro* systems. The lack of a
76 standardized, accessible assay for determining cooperativity limits the field
77 significantly.

78

79 The current methodology for determining whether there may be cooperative
80 effects between antibiotics and phages is performed primarily in broth
81 medium, where the target ARB is cultivated in the presence of antibiotic and
82 phage. There are different methodologies to perform these broth assays (6-
83 8), but no single procedure is universally accepted. Additionally, these
84 assays are highly complex for clinical laboratory personnel, who need
85 extensive training, and the assays require the acquisition of expensive
86 equipment such as microplate readers (9-11). Because of the extensive
87 changes that would need to occur to bring such broth-based assays into use
88 in clinical microbiology facilities across the globe, we sought to examine
89 whether there might be alternative means for examining cooperativity
90 between phages and antibiotics without the need for the purchase of
91 complex or expensive equipment. While there have now been several
92 studies to examine the cooperative phenomena between antibiotics and
93 phages in broth (12), relatively little has been done to identify whether such
94 relationships can be demonstrated on solid medium.

95

96 To address a growing need to understand the effects of the combination of
97 antibiotics and phages against ARB, we sought to develop a cooperativity
98 assay on solid medium. Such an assay can be performed without expensive
99 equipment and has the potential to provide results that can be interpreted in
100 a simplified fashion by comparing the observed bacteria clearance patterns
101 with the predicted patterns of cooperativity Our goals were to: 1) develop an
102 assay that can be easily performed in most clinical laboratories, 2) determine
103 whether cooperative interactions between antibiotics and phages occur on
104 solid medium for gram-positive and gram-negative bacteria, 3) decipher
105 whether susceptibility to certain antibiotics and/or phages is necessary to
106 demonstrate cooperativity, and 4) provide a template for straightforward
107 interpretation of results without the need for mathematical modeling of
108 antibiotics and phages diffusion on each assay.

109

110 **METHODS**

111 **Transient Diffusion in a Semi-Infinite Medium Approximation.** A

112 custom MATLAB (MathWorks, Inc) script was developed to model the
113 diffusion of antimicrobial agents (antibiotic drug or phage) through an
114 agarose medium. To model the perpendicular strips placed on an agarose
115 plate, the concentration profiles of two agents diffusing perpendicular to
116 each other were calculated. The semi-infinite approximation for diffusive
117 mass transfer was used as previously described (13, 14) to predict the
118 concentration of two agents: antibiotic (C_α) and phage (C_β), $C_\alpha(x,t)$ and $C_\beta(y,t)$,

119 as a function of distance and time (Eq. 1A, 1B) (**Tables S1 and S2**). The
120 error function (Eq. 2A, 2B) and non-dimensionalized distance (Eq. 3A, 3B)
121 were utilized to solve for the concentrations at each iterative distance and
122 time interval. The following simplifying assumptions were made: 1-
123 dimensional diffusion, dilute solution upon contact with agarose, transient
124 diffusion. The concentration $C_{\square,source}$ and $C_{\square,source}$ $\mu\text{g/mL}$ were defined as
125 an infinitely abundant sources $C_{\square}(0,t)$ for $x = 0$ cm and $C_{\square}(0,t)$ for $y = 0$ cm,
126 respectively. The initial concentration C_0 of all other points was defined as 0
127 $\mu\text{g/mL}$ for $C_{\square}(x,0)$ and $C_{\square}(y,0)$. By assuming that the agents diffuse a minute
128 distance during the finite time of exposure relative to the size of the plate,
129 we apply the semi-infinite medium approximation and set a boundary
130 condition such that $C_{\square}(\infty,0)$ and $C_{\square}(\infty,0) = C_0$.

131

132 **Predicting drug interactions for equal concentration and equal**
133 **diffusion coefficients.** Using the semi-infinite medium approximation,
134 contour plots of the concentration profile at different times were plotted on a
135 3 cm x 3 cm grid. Initially, agents \square and \square were modeled using equal source
136 concentrations $C_{\square,source} = C_{\square,source} = 1.0 \mu\text{g/mL}$ and diffusion coefficient $D_{\square} = D_{\square}$
137 $= 1 \times 10^{-6} \text{ cm}^2/\text{s}$ (15, 16) on the order of magnitude for an antibiotic drug
138 diffusing through agarose. Different potential interactions between agents \square
139 and \square were considered. No interaction between agents was modeled using
140 the highest-single agent (HSA) model (17) (Eq. 4) (**Table S3**). This assumes
141 that each agent acts independently, and the antibiotic effect of the combined

142 agents is dictated by the higher concentration. Additive interactions were
143 modeled following the assumption from the Loewe Additive Interaction
144 model (17), i.e. concentrations of each individual agent can be added
145 together as if they were the same agent (Eq. 5). Synergistic interactions are
146 defined as interactions that result in a higher effect than an additive
147 interaction (17). Synergistic interactions were modeled such that the
148 effective concentration is the additive concentration plus the product of the
149 concentrations, which is modulated by a coefficient k (Eq. 6). Antagonistic
150 interactions are defined as interactions that result in a lower effect than the
151 additive interaction (17). Antagonistic interactions were modeled using the
152 assumption that each antibiotic agent is mutually antagonistic, with the
153 overall effective concentration modulated by a coefficient q (Eq. 7). Minimum
154 Bactericidal Concentration (MBC) curves were plotted over the concentration
155 contours to visualize the resultant live bacterial lawn profiles.

156

157 **Predicting agent interactions for specific antibiotic drugs and phage**

158 **combinations.** Prediction for specific antibiotic (\square) and phage (\square)

159 combinations were performed using the models above. However, parameters

160 representative of the experimental conditions were used: $C_{\square,source} = 1.5$

161 \square g/mL and $D_{\square} = 1 \times 10^{-6}$ cm²/s (15, 16) (for Vancomycin) and $C_{\square,source} = 1.2 \times$

162 10^{-2} \square g/mL and $D_{\square} = 5 \times 10^{-8}$ cm²/s (18) (for phage Ben). Phage

163 concentrations were converted from plaque forming units PFU/mL to \square g/mL

164 by assuming that a PFU contains an average of 1 phage (19) and multiplying

165 by the estimated molecular weight of an individual T4 phage, e.g. myovirus
166 morphology, (20, 21) and converting to mass using Avogadro's number
167 (**Table S4**).

168

169 **Bacteria, phages, and culture conditions.** Bacterial strains, including
170 isolates of VRE (Vancomycin Resistant Enterococcus), VSE (Vancomycin
171 Susceptible Enterococcus), and STM (*Stenotrophomonas maltophilia*) were
172 collected from the UCSD Center for Advanced Laboratory Medicine, under
173 IRB#160524. All specimens collected were de-identified in such a manner
174 that they could not be re-identified prior to their use in this study.
175 Information, including antibiotic susceptibilities and speciation for each
176 microbe was recorded (**Tables S5 and S6**). All isolates were identified to
177 the species level using MALDI-TOF (Brucker, Billerica, MA), and antimicrobial
178 susceptibilities using microbroth dilution on the BD Phoenix using panels
179 PMIC-107 for gram positives and NMIC-307 for gram negatives. All the strains
180 of bacteria and phages were cultivated in liquid Brain Heart Infusion (BHI)
181 medium at 37°C with shaking at 250 rpm. BHI plates were made with an
182 equal volume of 20 mL of BHI broth infused with 1.5% agar. All phages used
183 in this study were previously isolated and purified from environmental
184 sources using multiple enrichment protocols as described above (22).

185

186 **Preparation of antibiotic and phage strips.** Grade 1 Whatman filter
187 paper (VWR, Visalia, CA; CAT no. 1001-150) was used to make a 5 mm x 28
188 mm paper strip cut out using Cricut Explore Air 2™. The strips were
189 autoclaved, and then soaked in prepared antibiotic stock solutions matching
190 the antibiotic concentrations of the standard antibiotic disks. For example,
191 for vancomycin, the strips were soaked in 1.4 mg/μL concentration of a
192 vancomycin stock solution. Standard antibiotic stock concentrations used in
193 this study are listed (**Table S7**). Similarly, phage strips were prepared using
194 high titer (10^8 PFU/mL) phage stock. All the antibiotic and phage strips were
195 soaked in their corresponding solutions for 12 hours at 4°C. Strips were dried
196 in a biosafety cabinet for 1 hour without light exposure. Dried strips were
197 used within 1 hour of drying or were stored at 4°C for up to 12 hours before
198 use.

199

200 **Plating, stamping, and interpretation.** For each isolate, an overnight
201 culture was diluted to 0.2 OD₆₀₀ and incubated for 15 min with shaking at
202 37°C. Then, 100 μL of culture was combined with 3 mL of warmed 0.3% top
203 agar (BHI broth with 0.3% agar) and poured over 1.5 % BHI agar plate evenly
204 to make a bacterial lawn. The plates with bacterial lawn were dried for two
205 hours at room temperature before performing a stamping procedure with
206 both phage and antibiotic strips aligned at a 90-degree angle on a
207 predesigned L- shape stamp (**Figure S1**). Dried plates with the bacterial
208 lawns were then inverted with the cover off and gently lowered on the L-

209 shape stamps until top agar pressed minimally against the aligned strips.
210 Then, the stamped plates facing upward were incubated at 37°C with no
211 shaking for 18-20 hours. Control plates were prepared in a similar manner
212 using the same stocks of antibiotic- or phage-impregnated strips. The control
213 plates also contained blank autoclaved strips along with antibiotic disks (at
214 the concentrations specified in **Table S7**), and a 4 uL spot of liquid phage
215 stock placed directly onto the agar plate. After 18-20 hours of incubation,
216 plates were then imaged and analyzed.

217

218 **RESULTS**

219 **Development of a solid media phage/antibiotic cooperativity assay.**

220 Our solid medium cooperativity assay design is based on the principle of
221 impregnating separate filter paper strips with antibiotics and phages, placing
222 the strips at a right angle on a lawn of bacteria, and then measuring growth
223 inhibition along each strip (**Figure 1 and Figure S2**). If there is
224 cooperativity between the phage and the antibiotic, a zone of growth
225 inhibition will form at the right angle created by the antibiotic- and phage-
226 impregnated strips (**Figure S3**).

227

228 **Measuring additivity, cooperativity, and antagonism.** We developed a

229 custom model to predict the effective concentrations of antibiotics and
230 phages as they diffuse away from their source strips through the agar

231 medium and interact with the bacterial lawn. We did so using the semi-
232 infinite medium approximation for unsteady-state mass transfer (13, 14),
233 which predicts the concentration profiles of the antibiotic and phage as a
234 function of distance from the strips and time (**Table S1**). We assumed that
235 the depth of the medium was negligible compared to the width and only
236 modeled diffusion in the top plane of view of the plate, setting boundary
237 conditions and parameter values for the semi-infinite medium approximation
238 based on a combination of measured, estimated, and literature values
239 (**Table S2**).

240

241 We developed this model based upon the concept that we could observe
242 killing of the bacterial lawn in areas distal to the antibiotic or phage
243 impregnated strips, which would reflect an effective minimum bactericidal
244 concentration (MBC) (**Figure S4**). The interface between live and dead
245 bacteria would create a profile that aligns with the MBC that is achieved by
246 the combinatory antibiotic and phage effect. We then could develop a
247 computational model to predict the concentrations of the antibiotic and
248 phages as they diffuse across the agar using contour plots that represent
249 different experimental results (**Figure 2**). Model parameters representative
250 of the experimental conditions (**Table S2**) were used to predict the bacterial
251 lawn profile under different antibiotic and phage interactions (**Table S3**)
252 after 20 hours of incubation assuming an MBC of $0.1 \mu\text{g/mL}$. k and q are
253 tunable variables that represent different extents of synergistic or

254 antagonistic interactions (**Table S2**). We performed such simulations using a
255 gram-positive model organism, *Enterococcus* spp. and several different
256 *Enterococcus* phages (**Table S4**). Initial concentration and coefficients of
257 diffusion representative of vancomycin ($C_0 = 1.5 \mu\text{g/mL}$ $D_0 = 1 \times 10^{-6} \text{ cm}^2/\text{s}$)
258 and *Enterococcus* phage Ben ($C_0 = 1.2 \times 10^{-2} \mu\text{g/mL}$ $D_0 = 5 \times 10^{-8} \text{ cm}^2/\text{s}$)
259 were used. We modeled no interaction (**Figure 2, panel A**), and additive
260 interactions between antibiotic and phage (Figure 2, **panel B**). Our models
261 displayed distinct convex curvatures that were indicative of strongly
262 synergistic interactions (Figure 2, **panels C and D**). For example, the model
263 has different convex curvatures based on the extent of synergy displayed,
264 with at least $1e6$ greater killing (Figure 2, **panel C**) or $1e12$ greater killing
265 (Figure 2, **panel D**). Synergy mentioned here refers to multiplicative
266 cooperativity where the antibiotic and phage combination kills more than
267 each individual antimicrobial would be predicted to kill when combined
268 together. All observed combinations of antibiotic and phage cooperativity
269 were simulated to generate k-values and visualized on a summary heatmap
270 (**Figure 3**). We also could model antagonistic interactions between
271 antibiotics and phage, which demonstrated concave curvatures (Figure 2,
272 **panel E**).

273

274 **Evaluation of cooperativity in Vancomycin Resistant *Enterococcus***
275 **(VRE)**. We next set up this solid media cooperativity assay to determine
276 whether we could observe patterns similar to those predicted in the model

277 **(Figure 2)**. We expected to observe additional killing at the right angle
278 where concentrations of the phage and antibiotic may be below the MBC of
279 each individual phage or antibiotic, but together show cooperativity **(Figure**
280 **S3)**. A separate stamping device/procedure was developed to allow for the
281 placement of the antibiotic and phage strips at perfect right angles on the
282 medium **(Figure S1)**. Each experiment was performed in triplicate to verify
283 the accuracy and reproducibility of the results **(Figure 4)**.

284

285 We performed solid media cooperativity experiments for isolates of both
286 *Enterococcus faecium* and *Enterococcus faecalis* **(Figure 3 and Table S5)**.
287 We chose a set of phages that were selective active against a number of
288 *Enterococcus* isolates **(Table S8;** genomes and further information about
289 phage sources are available in (23)). These isolates (for both species) may
290 become resistant to vancomycin through expression of genes for enzymes
291 that alter cell wall amino acid composition, often contained on a plasmid
292 (24). *E. faecium* strains EF98PII, EF208PII, NYU and *E. faecalis* strains V587,
293 EF116PII, and EF140PII were determined to be vancomycin resistant based
294 on antimicrobial susceptibility testing (Table S5). We first used the
295 cooperativity assay to examine a highly antibiotic resistant VRE isolate of *E.*
296 *faecium* (EF98PII). We set the assay up with vancomycin as the antibiotic and
297 Bop (myovirus) as the phage **(Figure 4)**. While EF98PII is susceptible to Bop,
298 it does not demonstrate complete lysis (Figure 4, **panel D**). There is
299 significant evidence in each of the replicates of a cooperativity zone between

300 vancomycin and the phage (Figure 4, **panels A-C**). We also identified similar
301 interactions when *E. faecalis* was used rather than *E. faecium*, indicating that
302 the cooperativity in VRE is not a species-specific phenomenon (**Figure 4,**
303 **panels E-H**).

304

305 We further examined the synergistic interactions observed for vancomycin
306 and phage Bop for the *E. faecium* and *E. faecalis* VRE isolates (**Figure 4**). By
307 measuring the extension of the zone of inhibition for *E. faecium* EF98PII, we
308 were able to estimate the synergy coefficient (“k”) for vancomycin and
309 phage Bop. Our results indicate that $k = 1e6$ (**Figure 5, panel A**), which
310 matched our model for medium level synergistic interactions between the
311 phage and antibiotic. For *E. faecalis* V587, the coefficient was $1e16$ for
312 vancomycin and Bop (Figure 5, **panel B**), indicating that high level synergy
313 was observed. These data confirm that synergistic interactions occur
314 between the antibiotic vancomycin and phage Bop for both *E. faecium* and *E.*
315 *faecalis* isolates (**Figure 3**).

316

317 We also evaluated whether a 2nd class of antibiotics against VRE isolates
318 demonstrated cooperativity with phages. We used *E. faecium* NYU in
319 combination with linezolid and phage Bob (myovirus). In each of the
320 replicates, we identified interactions that matched the synergy model
321 (**Figure 6, panels A-D**). We identified similar results for *E. faecalis* B3286

322 with phage PL (siphovirus), indicating that multiple different *Enterococcus*
323 species can demonstrate similar results even with different phages (**Figure**
324 **3**).

325

326 We also performed the same cooperativity assay with a beta lactam
327 antibiotic. Because *E. faecium* is intrinsically resistant to most beta-lactam
328 antibiotics, we performed this assay using ampicillin along with phage Bob
329 (myovirus). We also observed a significant interaction at the intersection of
330 the antibiotic and phage indicating the presence of synergy (**Figure 7,**
331 **panels A-D**). These data suggest that while *E. faecium* isolates are resistant
332 to certain antibiotics, the combination of these antibiotics with phages can
333 lead to much greater killing. *E. faecalis* often is not resistant to beta lactam
334 antibiotics such as ampicillin. We also noted significant synergistic
335 interactions when phage Bop (myovirus) was used in combination with
336 ampicillin (**Panels E-H**). These data suggest that there may be common
337 mechanisms that lead to antibiotic/phage synergistic interactions for VRE
338 isolates regardless of the antibiotic class used. A more detailed study will be
339 necessary to uncover the basis by which the synergy occurs between these
340 separate antibiotics and phages.

341

342 We performed cooperativity assays for a number of different VRE and VSE
343 isolates of *E. faecium* and *E. faecalis*. These assays were performed using

344 antibiotics ampicillin, vancomycin, and linezolid, but also were performed
345 with different myovirus and siphovirus phages infectious for *Enterococcus*
346 spp. We identified a number of isolates in which no evidence of cooperativity
347 could be identified (**Figure S5**). For example, no interactions could be
348 identified for *E. faecium* strain EF208PII nor *E. faecalis* EF140PII. However,
349 there were significant interactions identified for *E. faecium* isolates, including
350 EF98PII, and NYU (**Table S9**), but also for *E. faecalis* strains V587, EF116PII,
351 Yi-6, and B3286. In all our analyses of the patterns of interactions between
352 antibiotics and phages, we did not observe any that matched the models of
353 additivity nor antagonism.

354

355 **Evaluation of cooperativity in gram-negative *Stenotrophomonas***
356 ***maltophilia* (STM).** We also analyzed a gram-negative bacterium to identify
357 whether we could observe the same type of synergy that we observed in
358 *Enterococcus* between antibiotics and phages. We chose the gram-negative
359 bacterium STM because of its profiles of antibiotic resistance, where
360 treatment is often limited to a few antibiotics, including ceftazidime,
361 levofloxacin, and trimethoprim/sulfamethoxazole (**Table S6**) (25). We first
362 tested ceftazidime along with phage KB824 in our cooperativity assay
363 (**Figure 8, panels A-D**). We identified substantial evidence of synergistic
364 interactions in all replicates tested. We also noted this type of synergistic
365 interaction extended to additional STM strains B28S (**Figure 8, panels E-H**)
366 and K279a (**Figure 3 and Table S9**). We also tested several different

367 phages which were active against our group of STM isolates (**Table S10**).
368 The synergy results were not phage specific, as we identified synergistic
369 interactions for a podovirus (KB824) and a siphovirus (ANB28). However, in
370 experiments using the antibiotic levofloxacin, none of the STM isolates
371 demonstrated evidence of cooperativity with phages (**Figure S6** and **Table**
372 **S11**). In summary, while we identified some instances of synergistic
373 interactions between ceftazidime and different phages, most of our STM
374 isolates did not show any evidence of cooperativity between antibiotic and
375 phage.

376

377 **DISCUSSION**

378 Cooperativity between antibiotics and phages can be difficult to measure and
379 has only recently started to garner greater attention (26-28). In its current
380 state, phages are most often administered concurrently with standard-of-
381 care antibiotics to patients with ARB infections under single patient
382 Investigational New Drug Applications. Because of concurrent antibiotic use,
383 it often is difficult to discern whether the antibiotics, the phage, or the
384 combination of both resulted in improvement. There have been anecdotal
385 cases that demonstrate the potential for cooperative interactions between
386 antibiotics and phages (12, 29), and sophisticated laboratory methods for
387 synergy testing in broth (9), but there are no standardized techniques by
388 which cooperativity is measured. Furthermore, synergy for antimicrobials is

389 generally performed in clinical microbiology facilities (30). Liquid media
390 synergy assays are too complicated to be performed routinely in most
391 clinical laboratories. We developed this solid media cooperativity assay
392 because its simplicity may allow for it to be used broadly across clinical
393 microbiology facilities. While there may be more precise methods, we could
394 develop for characterizing cooperative interactions between antibiotics and
395 phages, the simplicity of the assay we have developed could allow for its
396 adoption across laboratories without the need for expensive equipment.
397 Cooperativity could include multiplicative synergy but could also include
398 additive cooperativity. In this manuscript, we were careful to use the term
399 cooperativity generically, until we could provide evidence that the
400 relationships we were observing actually represented synergy.

401 The development of a simplistic assay that can be performed in clinical
402 microbiology laboratories across the globe is important for the future of
403 phage therapy and in particular the use of phage/antibiotic combination
404 therapy. Right now, in most cases, it is required that standard of care
405 antibiotic therapy is delivered along with phages when phages are given to
406 patients who are treated with phages (29) , yet very little is known about
407 whether the phages and antibiotics work together to eliminate the causative
408 pathogens of the illness. Assays such as the one developed here offer the
409 ability to make rational choices about antibiotic and phage combinations
410 because those combinations can be tested *in vitro* in a rather simple manner
411 prior to delivery to the patient. By not requiring the acquisition of expensive

412 equipment, this assay is instantly more assessable for clinical facilities than
413 the more complex broth-based assays. The next step in the development of
414 these assays will be to determine k-values potentially that correlate with
415 treatment successes and use that data to better inform treatment choices in
416 the future.

417

418 It was important in the development of this solid media cooperativity assay
419 that we formulate a process that can work for a wide variety of microbes,
420 including gram-positive and gram-negative organisms. There is already a
421 body of literature that suggests such cooperativity, at least in liquid media,
422 may occur (9). In the validation of this assay, we chose to focus on VRE
423 isolates because prior studies have suggested that cooperative interactions
424 can be observed (31). Our data extends those findings to solid medium. The
425 antibiotic resistant nature of VRE makes it an ideal candidate for our analysis
426 because it can cause deep and long-lasting infections that require alternative
427 therapies such as phages (32). We also evaluated STM as an example of a
428 gram-negative organism, as its antibiotic resistant nature significantly limits
429 antibiotic treatment options (33). STM also is capable of causing long-lasting
430 infections due to its ability to infect those in the Cystic Fibrosis population,
431 where the organism can be incredibly difficult to eradicate (34). Our finding
432 of synergistic interactions between phages and the antibiotic ceftazidime
433 may restore the ability to use this antibiotic for these STM infections, where
434 we observed synergy largely in STM isolates that showed intermediate MICs

435 to ceftazidime alone. Future work will be necessary to determine how the
436 phage may restore the susceptibility to ceftazidime, and it may be through
437 reduced expression or efficacy of the L1 and L2 beta-lactamases, or via
438 changes in cell wall composition in response to the phage. We hypothesize
439 that synergistic interactions between antibiotics and phages are not limited
440 to the Enterococcus and STM isolates used in this study but can likely be
441 extended to further ARB such as the ESKAPE pathogens that are often the
442 target of phage therapies.

443

444 Identifying synergistic interactions in an *in vitro* study such as this does not
445 necessarily predict what may occur when such treatments are utilized *in*
446 *vivo*. However, prior studies have indicated that *in vitro* responses may
447 predict the utility of such treatments in humans (35). Even though antibiotics
448 and phages are used together in the majority of phage therapy clinical cases,
449 the combination has been understudied to date (36). We hope to alter this
450 standard approach by implementing an easy to perform assay for identifying
451 phage-antibiotic synergy. Thus, as an increasing number of phage therapy
452 cases take place, physicians can be provided with data to better inform their
453 decisions on whether antibiotics and phages may have cooperative effects.

454

455 Anecdotal studies indicate that the administration of both vancomycin and
456 phages may have synergistic activity against VRE (31). While the

457 mechanisms behind such interactions have not been well studied, our data
458 help to confirm those findings and extend them to an easy to perform solid
459 media assay. The currently used broth-based assays are cumbersome and
460 require specific equipment which makes widespread adoption in clinical
461 laboratories difficult.

462

463 We show that there are synergistic interactions between vancomycin and
464 phages with myovirus and siphovirus morphologies (37) for both *E. faecalis*
465 and *E. faecium*. While we are not aware of specific instances where clinical
466 treatments have taken place for VRE isolates using vancomycin and phages,
467 the *in vitro* data shown here suggests that there is the potential for clinical
468 efficacy. One of the simplest clinical rules available for the treatment of VRE
469 has been to avoid the use of vancomycin (38). Our confirmation of the
470 finding that vancomycin in combination with phages may restore the utility
471 of vancomycin in the treatment of VRE could be of significant benefit in the
472 treatment of this life-threatening pathogen. We identified synergistic
473 interactions for other antibiotics, including ampicillin and linezolid (**Figures 6**
474 **and 7**), which suggests that a broad array of antibiotics may be available for
475 treatment of VRE when phages are involved, even in cases where the VRE
476 isolates are initially resistant to the antibiotics.

477

478 There is a lack of standardization of techniques by which to deliver phage
479 therapy and to choose which antibiotic/phage combinations may be the most
480 efficacious (39). We developed the solid media cooperativity assay presented
481 here with the goal to help standardize techniques for decision-making in
482 phage therapy cases and to allow for a much wider adoption of techniques
483 for identifying cooperativity between antibiotics and phages. Our results
484 indicate that this assay is robust and reproducible, can be extended to both
485 gram-positive and gram-negative bacteria, can be applicable across different
486 phage morphologies, applies to multiple antibiotics, and does not necessarily
487 require pre-existing antibiotic nor phage susceptibility in the target bacteria
488 for cooperativity to be observed. We believe solid media assays for the
489 detection of phage/antibiotic cooperativity should serve as standard
490 adjunctive testing to help guide the use of antibiotics and phages in phage
491 therapy cases.

492

493 **Abbreviations**

494 STM – *Stenotrophomonas maltophilia*

495 VRE – Vancomycin Resistant Enterococcus

496 VSE – Vancomycin Susceptible Enterococcus

497 ARB – Antibiotic Resistant Bacteria

498 ESKAPE – *Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella*

499 *pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and

500 *Enterobacter sp.*

501 MBC – Minimum Bactericidal Concentration

502

503 **Competing Interests**

504 S.I.F. is a scientific cofounder, director, and advisor of MelioLabs, Inc., and
505 has an equity interest in the company. NIAID award number R01AI134982
506 has been identified for conflict-of-interest management based on the overall
507 scope of the project and its potential benefit to MelioLabs, Inc.; however, the
508 research findings included in this particular publication may not necessarily
509 relate to the interests of MelioLabs, Inc. The terms of this arrangement have
510 been reviewed and approved by the University of California, San Diego, in
511 accordance with its conflict-of-interest policies.

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515 **Author Contributions**

516 Conceived and designed project: DTP, KW, RL, SIF, AM, SD, and AR.

517 Performed experiments: EK, JO, JMJ, ANB, and AR.

518 Analyzed the data: EK, JO, JMJ, AG, SD, AM, PG, KW, DTP, and SIF.

519 Wrote and/or edited the manuscript: DTP, KW, AGCG, EK, JO, AK, RS, SA and
520 SIF.

521 Provided materials for the study: MC, PK, and MP.

522 All authors have reviewed the manuscript.

523

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528

529 **Figure Legends**

530 **Figure 1:** Workflow for phage-antibiotic cooperativity assays.

531

532 **Figure 2.** Model with parameters predictive of experimental results.

533 Prediction of antibiotic (e.g. vancomycin) and phage (e.g. Ben) profiles based
534 on different potential interactions. Concentration contour plots for

535 representative antibiotic ($C_0 = 1.5 \mu\text{g/mL}$ $D_0 = 1 \times 10^{-6} \text{ cm}^2/\text{s}$) and phage (C_0

536 $= 1.2 \times 10^{-2} \mu\text{g/mL}$ $D_0 = 5 \times 10^{-8} \text{ cm}^2/\text{s}$). (A) No interaction (B) Additive (C)

537 Synergistic “medium” $k = 1\text{e}6$ (D) Synergistic “high” $k = 1\text{e}12$. (E)

538 Antagonistic $q = 1$. Assuming $\text{MBC} = 0.1 \mu\text{g/mL}$ (red). Panels A'-E' and A''-E''

539 represent magnifications of portions of the panels shown in panels A-E,

540 respectively.

541

542 **Figure 3.** Summary heatmap of all used combinations of bacteria, phage

543 and antibiotics evaluated for cooperativity. K values were calculated for each

544 experiment based on data of three biological replicates. A) *Enterococcus*

545 *faecium* and *Enterococcus faecalis* strains using phages Ben, Bop, Bob or PL

546 with antibiotics ampicillin, vancomycin or linezolid. B) *Stenotrophomonas*

547 *maltophilia* strains using phages KB824, 2 ϕ 2 or ANB2 with antibiotics

548 ceftazidime or levofloxacin

549

550 **Figure 4.** Solid media cooperativity assays for Vancomycin Resistant
551 Enterococcus (VRE). Each specimen was tested with vancomycin (vertical
552 strip) and a phage (horizontal strip). *E. faecium* EF98PII (VRE) with phage
553 Bop is demonstrated in Panels A-D, where A-C represent 3 separate
554 replicates of the cooperativity assay, and panel D represents the control
555 plate with a vertical vancomycin strip (left), blank strip (middle), and phage
556 strip (right), antibiotic disk (bottom), and phage spot (top). *E. faecalis* V587
557 (VRE) with phage Bop is demonstrated in Panels E-H, where Panels E-G
558 represent separate replicates and Panel H represents the control plate.
559

560 **Figure 5.** Comparison of experimental results and model predictions. (A) *E.*
561 *faecium* EF98PII (VRE) treated with vancomycin (vertical strip) and phage
562 Bop (horizontal strip). This resulted in a synergistic profile that extended 1.0
563 cm from the leading edge of the vertical zone of inhibition. (B) *E. faecalis*
564 V587 (VRE) treated with vancomycin (vertical strip) and phage Bop
565 (horizontal strip). This resulted in a synergistic profile that extended 2.5 cm
566 from the leading edge of the vertical zone of inhibition. Model predictions for
567 *E. faecium* EF98PII (A') and *E. faecalis* V587 (B') showed similar synergistic
568 profile extensions and dimensions when the synergy coefficient was adjusted
569 from medium synergy ($k=1e6$) to high synergy ($k=1e16$).
570

571 **Figure 6.** Solid media cooperativity assays for Vancomycin Resistant
572 Enterococcus (VRE). Each specimen was tested with linezolid (vertical strip)
573 and a phage (horizontal strip). *E. faecium* NYU with phage Bob is
574 demonstrated in Panels A-D, where A-C represent 3 separate replicates of
575 the cooperativity assay, and panel D represents the control plate with a
576 vertical linezolid strip (left), blank strip (middle), and phage strip (right),
577 antibiotic disk (bottom), and phage spot (top). *E. faecalis* B3286 with phage
578 PL is demonstrated in Panels E-H, where Panels E-G represent separate
579 replicates and Panel H represents the control plate.

580

581 **Figure 7.** Solid media cooperativity assays for Vancomycin Resistant
582 Enterococcus (VRE). Each specimen was tested with ampicillin (vertical strip)
583 and a phage (horizontal strip). *E. faecium* NYU with phage Bob is
584 demonstrated in Panels A-D, where A-C represent 3 separate replicates of
585 the cooperativity assay, and panel D represents the control plate with a
586 vertical ampicillin strip (left), blank strip (middle), and phage strip (right),
587 antibiotic disk (bottom), and phage spot (top). *E. faecalis* Yi-6 with phage Bop
588 is demonstrated in Panels E-H, where Panels E-G represent separate
589 replicates and Panel H represents the control plate.

590

591 **Figure 8.** Solid media cooperativity assays for *Stenotrophomonas*
592 *maltophilia* (STM). Each specimen was tested with ceftazidime (vertical strip)

593 and a phage (horizontal strip). STM B28B with phage KB824 is demonstrated
594 in Panels A-C, which represents 3 separate replicates of the cooperativity
595 assay. Panel D represents the control plate with a vertical ceftazidime strip
596 (left), blank strip (middle), and phage strip (right), antibiotic disk (bottom),
597 and phage KB824 spot (top). STM B28S with phage KB824 is demonstrated in
598 Panels E-G, which represents separate replicates. Panel H represents the
599 control plate with a vertical ceftazidime strip (left), blank strip (middle), and
600 phage strip (right), antibiotic disk (bottom), and phage KB824 spot (top).

601 **Figure S1:** Stamping Procedure. 1. Dried phage and antibiotic strips are
602 aligned at 90° using the right-angle edge of the stamp column. The square
603 region marked by arrow indicates that strips are not overlapping and aligned
604 at 90°. 2. The solidified plate is inverted and gently stamped onto the
605 aligned strips. 3. Top view of the stamping process. L-shape should be
606 stamped so that there is plenty of room for phage and antibiotic strips to
607 demonstrate proper clearing.

608

609 **Figure S2:** Plate configurations for screening of antibiotic-phage
610 cooperativity. The test plate (Panel A) and control plate (Panel B)
611 configurations are shown.

612

613 **Figure S3.** Possible patterns to be observed for phage-antibiotic
614 cooperativity assays. Cooperativity (Panel A), and no cooperativity (Panel B)
615 are shown.

616

617 **Figure S4:** Whatman filter strips loaded with antibiotic or phage solutions
618 are placed perpendicularly on an agar plate that has an *E. faecium* bacterial
619 lawn. As the antibiotic and phage solutions diffuse through the agar, they
620 interact with bacteria, killing the bacteria in regions where an effective
621 minimum bactericidal concentration (MBC) is reached. The interface between
622 live and dead bacteria creates a profile that aligns with the MBC for the
623 antibiotic, phage, and bacteria combination. A computational model can be
624 used to predict the concentrations of the antibiotic and phage solutions as
625 they diffuse through the agar. The effective combinatory concentration can
626 be calculated by making assumptions about the antibiotic and phage
627 interactions (*i.e.* no interaction, additive, synergistic, antagonistic).

628

629 **Figure S5.** Solid media cooperativity assays for Vancomycin Resistant
630 Enterococcus (VRE). *E. faecium* EF208PII with antibiotic vancomycin and
631 phage PL is represented in Panels A-D. *E. faecium* EF98PII with phage Ben
632 and antibiotic ampicillin is represented in Panels E-H. *E. faecium* EF208PII
633 with phage PL and antibiotic linezolid is represented in Panels I-L. Each
634 specimen was tested with an antibiotic (vertical strip) and a phage

635 (horizontal strip). Panels D, H, and L represent the control plate with a
636 vertical antibiotic strip (left), blank strip (middle), phage strip (right),
637 antibiotic disk (bottom), and phage spot (top).

638

639 **Figure S6:** Solid media cooperativity assays for *Stenotrophomonas*
640 *maltophilia* (STM). Each specimen was tested with levofloxacin (vertical strip)
641 and a phage (horizontal strip). STM SM17 with phage 2φ2 is demonstrated in
642 Panels A-D, where A-C represent 3 separate replicates of the cooperativity
643 assay, and panel D represents the control plate with a vertical levofloxacin
644 strip (left), blank strip (middle), phage strip (right), antibiotic disk (bottom),
645 and phage spot (top). STM SM26 with phage KB824 is demonstrated in
646 Panels E-H, where Panels E-G represent separate replicates and Panel H
647 represents the control plate. STM SM27 with phage ANB28 is represented in
648 Panels I-L.

649

650

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