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Title

Estimation of field-scale thermal conductivities of unsaturated rocks from in-situ temperature data

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1 **Estimation of Field-Scale Thermal Conductivities of Unsaturated Rocks From In-**
2 **Situ Temperature Data**

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5

6
7 **Abstract**
8

9 A general approach is presented here which allows estimation of field-scale thermal
10 properties of unsaturated rock using temperature data collected from *in situ* heater tests.
11 The approach developed here is used to determine the thermal conductivities of the
12 unsaturated host rock of the Drift Scale Test (DST) at Yucca Mountain, Nevada. The
13 DST was designed to obtain thermal, hydrological, mechanical, and chemical (THMC)
14 data in the unsaturated fractured rock of Yucca Mountain. Sophisticated numerical
15 models have been developed to analyze these THMC data. However, though the
16 objective of those models was to analyze “field-scale”(of the order of tens-of-meters)
17 THMC data, thermal conductivities measured from “laboratory-scale” core samples have
18 been used as input parameters. While, in the absence of a better alternative, using
19 laboratory-scale thermal conductivity values in field-scale models can be justified, such
20 applications introduce uncertainties in the outcome of the models. The temperature data
21 collected from the DST provides a unique opportunity to resolve some of these
22 uncertainties. These temperature data can be used to estimate the thermal conductivity of
23 the DST host rock and, given the large volume of rock affected by heating at the DST,
24 such an estimate will be a more reliable effective thermal conductivity value for field-
25 scale application. In this paper, thus, temperature data from the DST are used to develop
26 an estimate of the field-scale thermal conductivity values of the unsaturated host rock of
27 the DST. An analytical solution is developed for the temperature rise in the host rock of
28 the DST; and using a nonlinear fitting routine, a best-fit estimate of field-scale thermal
29 conductivity for the DST host rock is obtained. Temperature data from the DST show
30 evidence of two distinct thermal regimes: a zone below boiling (wet) and a zone above
31 boiling (dry). Estimates of thermal conductivity for both the wet and dry zones are
32 obtained in this paper. Sensitivity of these estimates to the input heating power of the
33 DST is also investigated in this paper. These estimated thermal conductivity values are
34 compared with core measurements and those estimated from geostatistical simulations.

35 Note that the approach presented here is applicable to other host rock and heater test
36 settings, provided suitable modifications are made in the analytical solution to account
37 for differences in test geometry.

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44 **1. Introduction**

45

46 The Drift Scale Test (DST), located in the Topopah Spring middle nonlithophysal
47 (Tptpmn) stratigraphic unit of Yucca Mountain, Nevada, is the largest *in situ* heater test
48 ever conducted in fractured welded tuff. The DST was designed to investigate the
49 coupled thermal-hydrological-mechanical-chemical (THMC) changes in the host rock
50 caused by long-term heating. Data collected from the DST are assisting scientists and
51 engineers to develop an understanding of the THMC changes likely to be encountered in
52 the host rock around the high-level radioactive waste repository at Yucca Mountain. A
53 more detailed discussion about the DST and analyses of the thermal-hydrological (TH)
54 changes arising out of the DST can be found elsewhere [*Birkholzer and Tsang, 2000*;
55 *Mukhopadhyay and Tsang, 2003*; *Birkholzer et al., 2005*].

56 After initiating the DST on December 3, 1997, heating was continuously provided by
57 electrical heaters for slightly more than four years. During this heating phase, a
58 substantial volume of rock experienced a significant increase in temperature, along with
59 associated THMC changes. An extensive active and passive data collection system
60 allowed monitoring of these THMC changes in the rock. The objective of this paper is to
61 utilize the temperature data from the DST for estimating, *in situ*, thermal conductivities
62 of the DST host rock. Given the large volume of rock heated during the DST, it is
63 reasonable to expect that thermal conductivities estimated in such a manner would reflect
64 appropriately the field-scale thermal conductivities of the DST host rock. This affords a
65 unique opportunity to assess how field-scale thermal conductivities correlate with those

66 derived from laboratory measurements. Using the field-scale thermal conductivities,
67 instead of those from core measurements, would also help to reduce uncertainty in the
68 prediction of long-term THMC conditions in the vicinity of the repository (a critical
69 factor affecting repository performance).

70 Estimating the thermal conductivities of unsaturated rock from measured temperature
71 data becomes non-trivial because of water saturation changes during the heating process.
72 The fractured welded tuff surrounding the DST has a matrix water saturation of
73 approximately 85-90% [Tsang *et al.*, 1999; Bechtel SAIC Company, BSC, 2004] prior to
74 commencement of heating. Hence the rock can be considered “wet” under ambient
75 conditions. During the early phases of heating in the DST, heat transfer occurred entirely
76 through this wet rock. With continued heating, as the temperature approached boiling
77 near the heat source, the water in the matrix pores was converted to vapor, which then
78 moved away from the source of heating and condensed in the cooler parts of the rock.
79 The condensate thereafter flowed through the network of fractures either under gravity
80 drainage or was absorbed in the rock matrix because of stronger capillary forces in the
81 latter. Such simultaneous flow of vapor and condensate gave rise to what could be called
82 “heat-pipe” signatures, a flat zone (at the boiling temperature) in a temperature vs. time
83 or distance plot. The temperature data collected from the DST showed pervasive
84 evidence of these heat-pipe signatures [Birkholzer and Tsang, 2000; Mukhopadhyay and
85 Tsang, 2003; Birkholzer, 2006a]. With continued heating and boiling of pore water, a
86 “dryout” zone formed in the host rock in the vicinity of the heat source, and rock
87 temperature exceeded boiling point of water in the dryout zone. Outside of the dryout
88 zone, the rock continued to be “wet,” with temperatures below the boiling point of water.

89 Thus, the temperature data of the DST are indicative of heat transfer occurring in three
90 distinct regimes. In the vicinity of the heat source (particularly, in the dryout zone), heat
91 transfer occurs through the superheated dry rock. At the same time, far away from the
92 heat sources, heat transfer takes place through the wet rock (see discussion below on
93 measured saturation data from the DST). In both of these regimes, the primary
94 mechanism of heat transfer is conduction. In between these two regimes is the two-phase
95 ‘transition’ regime, where most of the boiling occurs, and where heat transfer is by means

96 of both conduction and convection [Birkholzer, 2006a,b]. We shall discuss the DST
97 temperature data in terms of these three regimes. Although the transformation from the
98 wet- to the dry- rock scenario is dynamic and continuous, we present in this paper an
99 analysis of the temperature data that enables estimation of the wet and dry thermal
100 conductivities of the rock. The ability to arrive at the field-scale wet and dry thermal
101 conductivities from actual temperature changes in the rock is important since the host
102 rock, after emplacement of radioactive wastes, is expected to experience similar “wet”
103 and “dry” conditions

104 It needs to be emphasized here that temperature rise in the host rock of the DST is a result
105 of coupled thermal and hydrological processes including heat conduction through the
106 rock, fluid migration (movement of water and vapor as described above) including
107 convective transfer of heat, phase changes, radiative heat transport, and natural
108 convection. Since the porosity of the DST host rock is only about 10-15%, mass of the
109 solid rock is relatively large in any given volume compared to the mass of the fluids
110 involved. As a result, among the different heat transfer mechanisms, heat conduction is
111 the dominant contributor in transporting heat in the DST host rock (because a majority of
112 the rock mass is solid), and is essentially controlled by the thermal conductivity (k) and
113 thermal diffusivity (α) of the rock. State of the art numerical models have been developed
114 to analyze the temperature data from the DST [Birkholzer and Tsang, 2000; Civilian
115 Radioactive Waste Management Systems, CRWMS, 2000; Mukhopadhyay and Tsang,
116 2003; Birkholzer et al., 2005]. For these numerical models, representative values of k and
117 α of the host rock are provided as input. These input parameters are derived from
118 laboratory measurements of thermal properties of cores collected from various boreholes
119 in or around the DST test block [Brodsky et al., 1997]. Here we adopt an approach to
120 determine the thermal properties of the DST host rock using the temperature data from
121 the DST. Since such an estimation (based on actual measured temperature data) is
122 expected to represent the field-scale thermal conductivity, it will afford us the
123 opportunity to compare the laboratory-scale measurements with the field-scale estimates.
124 It will also let us decide whether the laboratory-scale measurements are appropriate input
125 parameters for the THMC models or not.

126 Estimating thermal conductivity of solids from temperature data when heat conduction is
127 the sole transport process is common practice [*Carslaw and Jaeger*, 1959]. More
128 specifically, estimation of thermal properties of rock from inversion of measured
129 temperature data has also been reported [e.g., *Gehlin*, 1998; *Lehmann et al.*, 1998;
130 *Kolditz and Clauser*, 1998; *Vosteen et al.*, 2003]. However, estimating thermal properties
131 of unsaturated, porous rock (as in the DST) from temperature data is challenging because
132 of the coupling of TH processes. As a result, determination of thermal conductivity in this
133 instance is an exercise not only of analyzing heat conduction but also of fluid flow
134 processes. Furthermore, in principle at least, the thermal properties of the host rock may
135 be derived from the DST temperature response by an inverse modeling approach, using
136 software such as the ITOUGH2 [*Finstlerle*, 1999], which accounts for the coupled TH
137 processes while calibrating properties to measured data. For example, ITOUGH2
138 [*Finstlerle*, 1999] has been successfully used [*Engelhardt et al.*, 2003] to obtain
139 hydrological (permeability and capillary strength parameters) and thermal (conductivity
140 and specific heat) properties of mixtures of sodium bentonite and crushed rock by joint
141 inversion of measured laboratory-scale pressure, drained-water volumes, and temperature
142 data. Such an ITOUGH2-based inverse modeling involves many forward simulations of
143 the TH processes associated with heating unsaturated porous rock. In practice, however,
144 the inverse approach is less than optimum for large-scale heater tests such as the DST,
145 since given the complex geometry of the DST and the complexities of the physical
146 processes, a single forward three-dimensional TH simulation of the DST itself requires
147 close to four weeks' computation time on some of the fastest machines currently
148 available.

149 We have instead adopted an alternative efficient methodology to obtain reliable field-
150 scale estimates of thermal conductivities. This alternative approach is based on an
151 analytical solution for the spatial and temporal evolution of temperature rise caused by
152 heating at the DST, assuming that the rock is homogeneous and isotropic. The
153 assumption of homogeneity implies that the small-scale variabilities in thermal
154 conductivity have been averaged over in the estimated field-scale value. The assumption
155 of isotropy is justified as thermal conductivity data from core samples [*Brodsky et al.*,
156 1997] do not provide sufficient evidence to the contrary. Finally, it is also assumed that

157 the thermal properties are independent of temperature. In other words, the estimates of
158 the thermal conductivities from the model should be construed as averaged over the
159 appropriate temperature range. Also, the estimated thermal conductivities represent
160 values over appropriate saturation ranges. Finally, it is also assumed that contribution of
161 convective heat transfer is negligible outside the transition zone (i.e. outside the two-
162 phase zone), a further discussion on which can be found in *Birkholzer* [2006a,b].

163 We first develop an analytical solution for temperature rise in the rock owing to the heat
164 delivered by the heaters in the DST. However, since substantive boiling takes place in the
165 DST rock and some of the resultant vapor leaves the DST domain through an open
166 bulkhead (see Section 2), not the entire power output of the heaters goes to raising rock
167 temperatures (i.e., some heat is lost). We account for this by following the boiling
168 isotherm in the measured temperature data, and calculating the power that goes into
169 heating and boiling of pore water. To reduce uncertainty in the estimated power that goes
170 into heating and boiling of water, we also utilize results from numerical models for the
171 DST [*Birkholzer and Tsang, 2000; Mukhopadhyay and Tsang, 2003; Birkholzer et al.,*
172 *2005*]. The difference of total heater power output and the power utilized for heating and
173 boiling of water gives the power used in heating the rock. With this latter power as input
174 to the analytical solution, and comparing the analytical solution with the measured
175 temperature rise at any given location and at any given time, we obtain the thermal
176 conductivities using a nonlinear parameter estimation technique based on Gauss-Newton
177 approach. In practice, our approach is quite general and can be used for estimating
178 thermal properties of unsaturated rock from any heater test data, provided modifications
179 are made to the analytical solution to account for the geometry of the test.

180 As stated earlier, the matrix pores of the DST host rock is about 85-90% [*Tsang et al.,*
181 *1999; BSC, 2004*] saturated with water under ambient conditions. Measured temperature
182 and saturation data from the DST show that saturation does not change significantly with
183 continuous heating until temperatures approach boiling [*BSC, 2004*]. Thereafter, within a
184 very narrow band around the boiling temperature, saturations decline sharply as boiling
185 takes place and the rock becomes dry. Once the boiling front passes, some residual water
186 remains in the rock, though the residual saturation is minimal compared to the ambient

187 saturation [BSC, 2004]. Since saturation drop with temperature rise occurs along virtually
188 a sharp front, DST temperature data can be analyzed separately for two temperature (or
189 saturation) ranges. The first, where the temperatures are below boiling, the estimated
190 thermal conductivity represents the thermal conductivity of the wet (nearly fully
191 saturated) rock. On the other hand, thermal conductivity estimated from above boiling
192 temperatures represents dry thermal conductivity of the rock because of the creation of
193 virtually dry conditions above boiling. More discussion on this is provided later in the
194 paper.

195 The rest of the paper is organized as follows. We begin with a brief description of the
196 DST. Then we present some representative temperature and saturation data collected
197 from the DST, and illustrate the various thermal regimes encountered in the DST during
198 heating. Thereafter, we provide the analytical solution for spatial and temporal evolution
199 of temperature rise in the host rock surrounding the host rock. We also discuss the
200 nonlinear fitting techniques used in this section. In the section after that, we analyze the
201 results from four numerical simulation experiments to determine the uncertainty in the
202 thermal properties that are estimated using our proposed approach. Next, we present a
203 methodology to calculate the power utilized in heating rock. The findings from our
204 analyses of the DST temperature data are presented thereafter. Providing a summary of
205 methods and results concludes the paper.

206

207 **2. Description of the DST**

208

209 The DST consists of a 47.5 m long, 5 m diameter Heated Drift (HD). A bulkhead
210 separates the heated section from the unheated section of the drift; it also serves as the
211 origin of the coordinate system in our calculations. The bulkhead is not completely
212 impermeable, therefore some vapor generated within the rock may have escaped through
213 the bulkhead during the test, accounting for some heat loss. Heating in the DST started on
214 December 3, 1997, and ended on January 14, 2002. Heat was provided by 9 canister (or
215 floor) heaters placed along the floor of the HD. Heating was also supplied by 50 rod
216 heaters, each installed in 11-m-long borehole drilled orthogonal to the axis of the HD.

217 There are 25 rod heaters (hereafter referred to as wing heaters) on each side of the HD.
218 Each of the wing heaters is composed of two 4.44-m-long sections: the inner (closest to
219 the HD) wing heater and the outer wing heater. The inner wing heater starts at 1.67 m
220 from the HD. There is a gap of 0.66 m between the inner and outer wing heater.
221 Temperature data from the DST were continuously monitored by approximately 1,700
222 resistance temperature devices (RTD) placed in 26 boreholes. Each of these 26 boreholes
223 is collared at the wall of the HD and is approximately 20 m in length. They form radial
224 arrays (Boreholes 133–134, 137–144, 158–165, 168–169, 170–175) in five planes
225 perpendicular to the HD, orienting either vertically, horizontally, or at an angle of 45°
226 with the HD. Figure 1 shows a schematic picture of the HD, the bulkhead, the wing
227 heaters, and the locations of the temperature data collection boreholes. A more detailed
228 description of the DST and the data collection boreholes can be found in *CRWMS* [1998].

229 In addition to the radial arrays of the temperature measurement boreholes, Figure 1 also
230 shows two other horizontal boreholes (Boreholes 79 and 80), parallel to the axis of the
231 HD. Each approximately 60 m long, they are located at about a distance of 9.5 m from
232 the center of the HD and on either side (left and right) of it. They are also situated above
233 the wing heaters, at about an elevation of 3 m above the center of the HD, with Borehole
234 79 being closer to the wing heaters by approximately 0.5 m compared to Borehole 80
235 (Borehole 79 dipped towards the end). These two boreholes are used both for temperature
236 measurements and neutron logging (which is used to determine moisture content in the
237 DST host rock). While we present saturation measurements only from the neutron logs in
238 this paper, ground penetrating radar (GPR) surveys and electrical resistance tomography
239 (ERT) were also conducted at the DST to determine changes in rock water content with
240 heating.

241 The total design power of the nine canister heaters was 67 kW; and that of the 50 wing
242 heaters was 143 kW [*CRWMS*, 1998]. The actual heat output from these heaters varied
243 somewhat during the course of the test (which included a few power outages). Figure 2
244 shows the actual total heat output from these heaters as a function of time. Starting at 27
245 months of heating (March 2000), power was intentionally reduced, in a series of steps, to
246 keep the temperature at the wall of the HD at a targeted maximum of 200°C. In the

247 analysis that follows, we will use an average over a certain time (0–6 months, 0–12
248 months, etc.) of the actual heat output from the heaters as the total power available for
249 heating during that time period. Table 1 shows the calculated average of the actual
250 heating power at different times during heating.

251 **3. Measured Temperature and Saturation Data From The DST**

252 Figure 3a shows measured temperature data in Boreholes 137–144 (see Figure 1 for
253 borehole locations in the test block) as a function of radial distance from the borehole
254 collar at 2 months of heating. Boreholes 137–144 lie in a vertical plane crossing the HD
255 at about 11.9 m from the bulkhead [CRWMS, 1998]. The drift wall is at about 95°C after
256 2 months of heating. Temperatures fall rapidly with distance, except for Boreholes 139
257 and 143. These two boreholes are located parallel to the wing heaters (Figure 1). Since
258 the inner wing heaters start at 1.67 m from the HD, temperatures in the first 1.67 m
259 decline with distance in these two boreholes. Afterwards, temperatures begin to rise with
260 distance because of the heat from the inner wing heater. At the end of the inner wing
261 heater, temperatures drop because of the 0.66 m gap between the inner and outer wing
262 heater. Temperatures rise again with distance along the length of the outer wing heater.
263 Beyond the end of the outer wing heater, temperatures decline with distance, as in other
264 boreholes.

265 Figure 3b shows measured temperatures in the same borehole group after 48 months of
266 heating, i.e., toward the end of the heating phase (the total heating phase was 1503 days
267 or approximately 49.5 months). The temperature profiles are similar in shape to those
268 shown in Figure 3a, except that the temperatures are considerably higher because of the
269 continued heating over four years. Additionally, three distinct zones are now evident in
270 the temperature profile. Considering Boreholes 137–138, 140–142, and 144, there is a
271 zone with an almost constant gradient with temperatures above 100°C. This zone extends
272 5–8 m from the wall of the HD. At the end of the first zone, there is a flat profile with
273 temperatures around 96-97°C (heat pipe signatures), indicating the presence of TH
274 coupling. At the end of the flat profile, there is a third zone with another almost constant
275 gradient for declining temperature. The gradient of the temperature profile line in this

276 third zone is clearly different from the one for the first zone. This change in gradient can
277 be attributed to heat transfer through nearly dry rock in the first zone, and through wet
278 (nearly saturated) rock in the third zone. We will shortly show that temperature data from
279 these two zones can be used to obtain dry and wet thermal properties of the rock,
280 respectively. Notice that there are some differences in the temperature profiles between
281 even symmetrically located boreholes; such differences may have resulted from local
282 heterogeneities. Temperature profile data collected from the rest of the boreholes in the
283 other radial arrays are similar to those shown in Figures 3a and 3b, because of the
284 symmetry of their locations, but not identical because of local heterogeneities.

285 To assist readers to better understand the analyses that will follow, measured temperature
286 data in the plane of Boreholes 137-144 are shown in a different fashion in Figure 4.
287 Instead of the line plots of Figure 3, scatter diagrams of measured temperatures in
288 Boreholes 137-144 at 12 months (Figure 4a) and 48 months (Figure 4b) are shown in
289 Figure 4. The width of a point in Figures 4a and 4b represents the magnitude of measured
290 temperature at a given location, i.e., the wider a symbol in Figure 4, the larger is the
291 temperature at the location of that symbol. For convenience, a different color has also
292 been used to depict the magnitude of measured temperature. Note that the temperatures in
293 the horizontal boreholes (Borehole 139 and Borehole 143) are considerably higher than
294 those in the other boreholes because of their proximity to the wing heaters. We will use
295 these contours of measured temperatures to locate the boiling isotherm, and subsequently,
296 to develop an estimate of mass of water boiled, and power utilized in boiling that mass of
297 water.

298 Measured temperatures at selected sensors of Boreholes 79 and 80 are shown in Figure 5.
299 The selected sensors in Borehole 79 are 18 (~ -0.5 m from the bulkhead), 42 (23.5 m
300 from the bulkhead or almost at the middle of the HD), and 60 (~ 41.5 m from the
301 bulkhead), with Sensor 60 being the last RTD in Borehole 79. The selected sensors thus
302 provide a sample of recorded temperatures at different locations along the HD. Measured
303 temperatures at Sensors 14 (~ -0.4 m from the bulkhead), 38 (23.6 m from the bulkhead),
304 and 60 (47.5 m from the bulkhead) of Borehole 80 are also shown in Figure 5. Sensor 60
305 in Borehole 80 provides measured temperatures at a location farthest from the bulkhead.

306 As expected, the middle of the HD (Sensor 42 of Borehole 79 and Sensor 38 of Borehole
307 80) records the hottest temperatures with maximum values of 160-180°C. Temperature in
308 Sensor 60 of Borehole 79, located 41.5 m from the bulkhead, also exceeds boiling
309 temperature of water and records a maximum of ~140°C. The oscillating pattern of
310 temperature recorded at this location may have resulted from intermittent exposure to
311 condensate flowing through an adjacent fracture. The most important feature here is the
312 substantially lower temperature recorded by Sensor 60 of Borehole 80 (located 47.5 m
313 from the bulkhead, at the end of the HD) compared to Sensor 60 of Borehole 79. This is
314 because the former is located beyond the last pair of wing heaters (less heat was available
315 at this location and hence the lower temperatures). Also, temperature at Sensor 60 of
316 Borehole 80 remains constant at the boiling temperature of water for a long time, and
317 exhibits a very long heat-pipe signature. It is our hypothesis that water displaced by
318 boiling moves to the end of the HD (which is cooler) and condenses. This is also
319 confirmed by the long heat-pipe recorded by Sensor 18 in Borehole 79 and Sensor 14 in
320 Borehole 80, located close to the bulkhead and outside of it. This shows that most of the
321 boiling occurs in the rockmass around the HD and the wing heaters, and not much rock is
322 exposed to boiling conditions outside of it. Remember also that Boreholes 79 and 80,
323 owing to their location close to the HD and wing heaters, pass through some of the
324 hottest rock in the DST block and hence rock farther away is even less likely to encounter
325 boiling conditions. These observations will be useful in computing the volume of water
326 that was displaced by boiling in the DST (see below).

327 We next present a small subset of the DST rock saturation measurements. Figure 6 shows
328 the volumetric water content in the rock as a function of temperature in Boreholes 79 and
329 80. Rock water saturation can be calculated by dividing the volumetric water content with
330 rock porosity, which is about 11 ± 2 percent (BSC, 2004). Figure 6 shows that rock water
331 content (or saturation) remains close to ambient values until temperature reaches ~95°C.
332 Above that temperature, the rock undergoes rapid drying due to boiling till temperature
333 reaches about 105°C. Above which, the rock dries slowly until it reaches a small residual
334 saturation. The small residual saturation may be attributed to the impact of pressure on
335 the boiling isotherm. Ignoring that small residual water content, the observed pattern of
336 sharp change in saturation around the boiling temperature justifies dividing the

337 temperature data into two categories based on saturation (or water content). Temperature
 338 data from the below-boiling ($< 95^{\circ}\text{C}$) region can be used to estimate one set of thermal
 339 conductivity value, which represents the wet thermal conductivity of the rock. On the
 340 other hand, temperature data from the post-boiling period ($> 100^{\circ}\text{C}$) can be used to
 341 determine another set of thermal conductivity value, which represents the dry thermal
 342 conductivity of the rock. However, noting that drying occurs well after 100°C , we will
 343 use temperature data above 110°C to calculate the dry thermal conductivity of the rock.
 344 In the following, we discuss how these thermal conductivity values are estimated.

345 **4. Analytical Solution**

346 Figure 7 presents a schematic representation of the conceptual model developed in this
 347 section. Figure 7 also shows the coordinate system for the mathematical derivations.
 348 Assuming heat transfer by conduction only, the temperature rise $\Delta T(x, y, z, t)$ at any
 349 sensor location (x, y, z) inside the rock and at any time t can be expressed as

$$350 \quad \Delta T(x, y, z, t) = \Delta T_H(x, y, z, t) + \Delta T_W(x, y, z, t), \quad (1)$$

351 where ΔT_H and ΔT_W are the temperature rise due to heat emanating from the HD and the
 352 wing heaters, respectively (see Nomenclature for an explanation of the symbols). To
 353 obtain an expression for ΔT_H , we can show that the temperature rise at location (x, y, z)
 354 inside a solid, owing to an instantaneous point source of $Q\rho C$ units of heat [*Carslaw and*
 355 *Jaeger, 1959, pp. 256*] at location (x_o, y_o, z_o) , is

$$356 \quad \Delta T_H = \frac{Q}{(4\pi\alpha t)^{\frac{3}{2}}} e^{-\frac{(x-x_o)^2+(y-y_o)^2+(z-z_o)^2}{4\alpha t}}, \quad (2)$$

357
 358 where $\alpha=k/(\rho C)$. Since the HD is of length $H (= 47.5 \text{ m})$ along the y -axis (see Figure 7),
 359 the heat source can be treated as distributed along a line of length H instead of being a
 360 point source. We can then readily write [*Carslaw and Jaeger, 1959, pp. 258*]

361
$$\Delta T_H = \frac{Q}{H(4\pi\alpha t)^{\frac{3}{2}}} \int_0^H e^{-\frac{(x-x_0)^2+(y-y_0)^2+(z-z_0)^2}{4\alpha t}} dy_0 \quad (3)$$

362
$$= \frac{Q}{8\pi\alpha t H} e^{-\frac{(x-x_0)^2+(z-z_0)^2}{4\alpha t}} \left[\operatorname{erf}\left(\frac{y}{2\sqrt{\alpha t}}\right) + \operatorname{erf}\left(\frac{H-y}{2\sqrt{\alpha t}}\right) \right]$$

362 In Equation 3, $\operatorname{erf}(\eta)$ is the error function

363
$$\operatorname{erf}(\eta) = \frac{2}{\sqrt{\pi}} \int_0^\eta e^{-\varphi^2} d\varphi \quad (4)$$

364 Assuming that the polar coordinates of the points (x, z) and (x_0, z_0) are (r, θ) and (r_0, θ_0) ,
 365 respectively, the heat source can now be distributed over a circle with radius r_0 ($= 2.5$ m
 366 for the HD). Equation 3 can be rewritten [Carslaw and Jaeger, 1959] as

367
$$\Delta T_H = \frac{Q}{8\pi\alpha t H} \left[\operatorname{erf}\left(\frac{y}{2\sqrt{\alpha t}}\right) + \operatorname{erf}\left(\frac{H-y}{2\sqrt{\alpha t}}\right) \right] \left[\frac{1}{2\pi} \int_0^{2\pi} e^{-\frac{r^2+r_0^2-2rr_0\cos\theta_0}{4\alpha t}} d\theta_0 \right], \quad (5)$$

368
$$= \frac{Q}{8\pi\alpha t H} \left[\operatorname{erf}\left(\frac{y}{2\sqrt{\alpha t}}\right) + \operatorname{erf}\left(\frac{H-y}{2\sqrt{\alpha t}}\right) \right] I_0\left(\frac{rr_0}{2\alpha t}\right) e^{-\frac{r^2+r_0^2}{4\alpha t}}$$

369 where $r = \sqrt{(x^2 + z^2)}$ and $I_0(\eta)$ is the modified Bessel function of the first kind with
 370 order 0 and argument η . In deriving Equation 5, the following property of the modified
 371 Bessel function has been utilized [Abramowitz and Stegun, 1964]

372
$$\int_0^{2\pi} e^{\eta \cos \varphi} d\varphi = 2\pi I_0(\eta). \quad (6)$$

373 Since for the DST, the source of heat is a continuous one with respect to time, we need to
 374 evaluate one more integral [Carslaw and Jaeger, 1959, pp. 261] to obtain the final
 375 expression

$$\begin{aligned}
\Delta T_H &= \frac{1}{8\pi\alpha H} \int_0^t \left[\operatorname{erf}\left(\frac{y}{2\sqrt{\alpha(t-t_o)}}\right) + \operatorname{erf}\left(\frac{H-y}{2\sqrt{\alpha(t-t_o)}}\right) \right] I_0 \left[\frac{rr_o}{2\alpha(t-t_o)} \right] e^{-\frac{r^2+r_o^2}{4\alpha(t-t_o)}} \frac{\varphi(t_o) dt_o}{t-t_o} \\
&= \frac{J_H}{4\pi k H} \int_0^t \left[\operatorname{erf}(y\tau) + \operatorname{erf}\{(H-y)\tau\} \right]_0 \left[2rr_o\tau^2 \right] e^{-(r^2+r_o^2)\tau^2} \frac{d\tau}{\tau}
\end{aligned} \tag{7}$$

377 In Equation 7, J_H is the average of the total power up to time t provided by the canister
378 heaters. The integral in Equation 7 obviously needs to be evaluated numerically.

379 Similarly, the contribution to the total temperature rise from the wing heaters (ΔT_W) can
380 be obtained. It is useful to consider the wing heaters as line sources of heat. Observe that
381 the wing heaters are located parallel to the x -axis at various y locations (see Figure 7).
382 With Equation 2 as the starting point again, we can write the temperature rise resulting
383 from the first pair of wing heaters as

$$\Delta T_{w1} = \frac{Q_w}{(l-l_o)(4\pi\alpha t)^{\frac{3}{2}}} e^{-\frac{(y-y_o)^2+(z-z_o)^2}{4\alpha t}} \left[\int_{-l}^{-l_o} e^{-\frac{(x-x_o)^2}{4\alpha t}} dx_o + \int_{l_o}^l e^{-\frac{(x-x_o)^2}{4\alpha t}} dx_o \right]. \tag{8}$$

385 Since there are 25 pairs of such line sources at various y -locations, the total temperature
386 rise due to these line sources is

$$\begin{aligned}
\Delta T_w &= \frac{Q_w}{M(l-l_o)(4\pi\alpha t)^{\frac{3}{2}}} \sum_{m=1}^M e^{-\frac{(y-y_m)^2+(z-z_o)^2}{4\alpha t}} \left[\int_{-l}^{-l_o} e^{-\frac{(x-x_o)^2}{4\alpha t}} dx_o + \int_{l_o}^l e^{-\frac{(x-x_o)^2}{4\alpha t}} dx_o \right] \\
&= \frac{Q_w}{8\pi\alpha M(l-l_o)} \sum_{m=1}^M e^{-\frac{(y-y_m)^2+(z-z_o)^2}{4\alpha t}} \sum_{n=1}^4 (-1)^{n+1} \operatorname{erf}\left(\frac{\xi_n}{2\sqrt{\alpha t}}\right)
\end{aligned} \tag{9}$$

388 where M is the total pairs (= 25) of wing heaters and y_m is the distance of the m -th wing
389 heater from the bulkhead along the y -axis. In Equation 9, $\xi_1 = x+l$, $\xi_2 = x+l_o$,
390 $\xi_3 = x-l_o$, and $\xi_4 = x-l$. Recognizing that the heat source is continuous, we need to
391 rewrite Equation 9 as:

$$\Delta T_w = \frac{1}{8\pi\alpha M(l-l_o)} \int_0^t \frac{\varphi(t_o) dt_o}{t-t_o} \sum_{m=1}^M e^{-\frac{(y-y_m)^2+(z-z_o)^2}{4\alpha t}} \sum_{n=1}^4 (-1)^{n+1} \operatorname{erf}\left(\frac{\xi_n}{2\sqrt{\alpha t}}\right) \tag{10}$$

393

394 After a change in variable, Equation 10 can also be written as:

$$395 \quad \Delta T_w = \frac{J_w}{4\pi kLM} \int_1^{\infty} \frac{d\tau}{\tau} \sum_{m=1}^M e^{-[(y-y_m)^2+(z-z_o)^2]\tau^2} \sum_{n=1}^4 (-1)^{n+1} \operatorname{erf}(\xi_n \tau) \quad (11)$$

396 where J_w is the average of the total power output from the wing heaters up to time t , and
 397 L is the length of a wing heater. Similar to Equation 7, the integral in Equation 11 can be
 398 evaluated numerically. Combining Equations 1, 7, and 11, we finally obtain an
 399 expression for temperature rise at any location (x, y, z) :

$$400 \quad \Delta T(x, y, z, t) = \frac{J_H}{4\pi kH} \int_1^{\infty} \frac{d\tau}{\tau} \left[\operatorname{erf}(y\tau) + \operatorname{erf}\{(H-y)\tau\} \right]_0 \left[2rr_o\tau^2 \right] e^{-(r^2+r_o^2)\tau^2} \frac{d\tau}{\tau} +$$

$$401 \quad \frac{J_w}{4\pi kLM} \int_1^{\infty} \frac{d\tau}{\tau} \sum_{m=1}^M e^{-[(y-y_m)^2+(z-z_o)^2]\tau^2} \sum_{n=1}^4 (-1)^{n+1} \operatorname{erf}(\xi_n \tau) \quad (12)$$

401 Equation 12 is the working equation for the remainder of the analysis in this paper.

402 From Equation 12, it is noted that the temperature rise at any location at a specified time
 403 has a nonlinear dependency on k and α . To obtain k and α from the temperature data at
 404 the DST, we adopt the following approach. We first take the temperature data at a
 405 particular time. We then subtract the preheat temperature data from those temperature
 406 data to obtain the temperature rise during a particular interval of time. Equation 12 was
 407 then fitted to these temperature-rise data using a nonlinear fitting routine with k and α
 408 as the fitting parameters. The nonlinear fitting routine uses the Gauss-Newton algorithm
 409 with Levenberg-Marquardt [*Levenberg, 1944; Marquardt, 1963*] modifications for global
 410 convergence. That is, it finds the parameter values for k and α that minimize the sum of
 411 the squared differences between the observed temperature rise data at any sensor
 412 location, and those calculated using Equation 12 at the same location at any specified
 413 time. With the host rock in the DST displaying two distinct states, the wet and dry states,
 414 we will now apply our methodology to estimate k and α for both these states.

415 In the following, we develop the mean (or ‘best fit’) estimate of k and α . As a measure of
416 the spread of the estimates around the mean, we also develop a 95% confidence interval.

417 For calculating a 95% confidence interval (C_i), we first find the $\frac{1}{2}(1 - \frac{C_i}{100}) = 0.025$ upper

418 *critical value* (t^*) of the t -distribution for $(n-1)$ degrees of freedom, where n is the
419 number of temperature data points in the wet or dry zone at a given time (6 months, 12
420 months, etc.). For example, there are 76 temperature data points in the dry zone
421 (temperatures larger than 110°C) at 48 months of heating in the DST. Thus, in this case,
422 we find t^* for a t -distribution with 75 degrees of freedom, which is 1.992. The 95%
423 confidence interval, based on a simple random sample (SRS), is then calculated as

424 $\bar{X} \pm t^* \frac{S}{\sqrt{n}}$, where \bar{X} and S are the sample mean and standard error, respectively.

425 **5. Estimation of Uncertainties: Numerical Simulation Experiments**

426 As stated earlier, temperature rise in the DST host rock is ultimately a function of TH
427 coupling. Since the methodology developed in Section 4 is based on a ‘conduction only’
428 model and ignores TH coupling, it is likely to introduce some uncertainty in the thermal
429 properties estimated from the temperature data. The issues that are of particular concern
430 are the following. First, temperature data from the dry and wet zones are separately fitted
431 to Equation 12 to obtain estimates of dry and wet thermal properties, respectively. It is
432 not known how the presence of one zone (the dry or the wet) influences the estimated
433 property of the other. Second, Equation 12 assumes a constant temperature boundary at
434 infinity. However, the boundary of the dry zone is not at infinity and, to make the
435 situation even more complicated, the boundary between the dry and wet zone moves
436 dynamically (i.e., not a fixed boundary problem). Further, the boundary between the dry
437 and wet zone is not a sharp one (water saturation changes from essentially zero at the hot
438 end of the heat pipe to close to, or slightly higher than, ambient saturation at the cold end
439 of the heat pipe). Third, the role of convective heat transfer is unaccounted for in
440 Equation 12. Finally, because the DST is an open field test, a substantial amount of heat
441 is lost (which cannot be estimated precisely), introducing more uncertainties.

442 To estimate the impact of these model assumptions and uncertainties on the estimated
443 thermal properties, some numerical simulation experiments were performed. The
444 numerical simulation experiments performed involve a simplified radial geometry (see
445 Figure 8), rather than the complicated test geometry of the DST. However, the underlying
446 physical problem in these numerical simulation experiments closely mimics the TH
447 processes experienced by the unsaturated host rock of the DST. The underlying physical
448 problem is also similar to those in Doughty and Pruess [1992], Pruess *et al.* [1999], and
449 Birkholzer [2006a,b] in the context of investigating mass and heat flow conditions inside
450 of and near heat pipes in geologic media.

451 In these numerical simulation experiments, a heat source with a constant-strength line
452 load of 667 W/m is placed at radius $r = 0$ m in an infinite, homogeneous porous rock.
453 Initially, the flow system is at steady state with no flow processes (heat, momentum, or
454 mass) occurring; pressure, temperature, and liquid saturation have uniform values of $P_i =$
455 1 bar, $T_i = 18^\circ\text{C}$, and $S_{li} = 0.8$. These same values are set as fixed boundary conditions at
456 an outer boundary far enough from the heat source so as not to impact the thermal-
457 hydrological (TH) processes near the heat source. The numerical simulator TOUGH2
458 [Pruess, 1991; Pruess *et al.*, 1999] was used to simulate the transient two-phase fluid and
459 heat flow processes that evolve once the system starts heating up. The physical properties
460 of the rock and the fluids involved in the numerical simulation experiments are provided
461 in Table 2.

462 The plots in orange color in Figure 9a show the simulated temperature (solid line), liquid
463 saturation (dotted line), and heat flow (dashed line) data at $t = 4$ years. Note that the heat
464 flow data are shown as a fraction of the supplied heat. Although the selected numerical
465 experiment is simplified, it shows all the main characteristics of coupled TH processes in
466 unsaturated porous rock and are similar to those observed in the DST. A heat pipe, where
467 temperature is nearly isothermal, is clearly visible between a radial distance of 2.2 m and
468 3.6 m. Liquid saturation is zero at the hot end (left side) of the heat pipe. At the other end
469 of the heat pipe, liquid saturation is slightly larger than the initial saturation of 0.8. It then
470 gradually decreases to the initial saturation as one moves farther and farther away. This
471 indicates that water boils off at one end of the heat pipe and vapor condenses at the other

472 end of it (condensation results in a larger-than-ambient saturation at the cold end of the
473 heat pipe). Note that all the vapor that is generated because of boiling in this simplified
474 problem condenses within the model. In other words, no heat loss occurs in this case.

475 Since the above problem involves a radial geometry and results in a substantial heat pipe
476 signature in the temperature data (indicating TH coupling), for the sake of brevity, it will
477 be hereafter called a radial heat pipe (RHP) scenario. In a second scenario, the RHP is
478 slightly modified (by setting the porosity of the rock to a negligible value of
479 1×10^{-8} implying that no fluid phase is present in the system) to generate temperature data
480 for a Conduction-only model. The simulated temperature (solid line) and heat flow
481 (dashed line) data from the Conduction-only scenario are shown (in color red) in Figure
482 9a. Note that the grain density of the rock in this Conduction-only model is set as 2295
483 kg/m^3 ($= 2550 \times 0.9 \text{ kg/m}^3$, since porosity is 0.1 for the other scenarios) so that the mass of
484 the rock remains the same as that in the RHP ($\rho = 2550$, $\phi = 0.1$). Note also that, since
485 this is a Conduction-only problem, there is no liquid saturation plot. Temperatures in the
486 Conduction-only problem are always larger than those of the RHP. All the heat supplied
487 in the Conduction-only problem goes into heating rock (resulting in higher temperature),
488 whereas part of the heat in the RHP is used up in heating water (hence smaller
489 temperature in the RHP). Note also that the temperatures in the wet zone (to the right of
490 the heat pipe) of the RHP are only marginally different from those of the conduction-only
491 scenario.

492 A source of considerable uncertainty is the amount of heat loss (and its impact on the
493 estimated properties) from the test domain. To analyze the impact of heat loss on
494 temperature data and estimated properties, a third scenario was simulated, which will be
495 called the RHP-Loss scenario. This scenario is identical to the RHP, except vapor
496 generated by boiling is allowed to leave the system. Vapor along with the latent heat of
497 vaporization left the system, resulting in smaller temperature at any given location
498 compared to the RHP. This is shown in Figure 9a in color blue. Observe that no heat pipe
499 is seen in this scenario. This is because loss of vapor means that there is no condensation
500 and refluxing of the condensate (which causes the heat pipe to exist). The rock is
501 completely dry for $r < 1.9$ m and temperatures are above boiling point of water. After

502 that, while the temperatures remain below boiling point of water, saturation gradually
503 increases from essentially zero to ambient saturation of the rock (not seen in the plot,
504 happens at around 30 m). This saturation pattern is different from that of the RHP. The
505 gradual increase in saturation in the RHP-Loss case is the result of unsaturated zone flow
506 (because of capillary suction) between rock at an ambient saturation of 0.8 and the dry
507 rock near the heat source.

508 The other difference between the RHP and RHP-Loss scenarios is the pattern of heat
509 flow. Because heat is removed at the boiling front, there is a sharp decline in the amount
510 of heat that is carried through the boiling zone in the later scenario. For this particular
511 problem, it has been estimated (from TOUGH2 simulations) that at $t = 4$ years about 213
512 W/m of heat (or approximately 31.95% of the input heat) has left the system (via vapor
513 leaving the system). Note that this RHP-Loss scenario represents an extreme case in that
514 vapor is allowed to leave the system completely. In the DST, however, only part of the
515 vapor leaves the system through the open bulkhead, and thus partial condensation was
516 still possible (evident from the existence of the heat pipes in Figure 3). This extreme
517 scenario however will help us to illustrate the impact of TH coupling and heat loss on
518 estimated thermal properties from temperature data.

519 In the above three experiments, the unsaturated rock has been assigned the same dry and
520 wet thermal conductivity value of 2.0 W/m-K. A fourth numerical experiment was
521 performed in which the dry rock (0% saturated) is assumed to have a thermal
522 conductivity of 2.0 W/m-K. The wet rock (100% saturated) is assigned a thermal
523 conductivity of 3.0 W/m-K. It is also assumed that the thermal conductivity changes
524 linearly with saturation, i.e., $k_w = k_d + S_w(k_w - k_d)$. Since the ambient rock is assumed
525 to be 80% saturated with water (see Table 2), the thermal conductivity of the ambient
526 rock is thus 2.80 W/m-K. Simulated temperatures at $t = 4$ years from this fourth
527 experiment (hereafter called RHP-Sat) are shown in Figure 9b. As a reference, simulated
528 temperatures from the RHP are also shown in Figure 9b. At any given location, simulated
529 temperatures in the RHP-Sat experiment are always smaller than those in the RHP
530 experiment. This happens because of the larger wet rock thermal conductivity in the
531 RHP-Sat experiment.

532 Temperature data from these four numerical simulation experiments are now fitted to a
 533 model, which is developed assuming heat transfer by conduction only. The objective of
 534 the fitting exercise is to determine the thermal properties of the rock from the simulated
 535 temperature data. If heat is introduced at a rate of q (W/m) at $r = 0$ of an infinite
 536 homogeneous solid, temperature at any location r within the solid in radial geometry after
 537 time t can be written as [Carslaw and Jaeger, 1959, pp. 262]

$$538 \quad T = T_i - \frac{q}{4\pi k} Ei\left(-\frac{r^2}{4\alpha t}\right), \quad (13)$$

539 where T_i is the initial temperature and $Ei(-\xi)$, defined as

$$540 \quad -Ei(-\xi) = \int_{\xi}^{\infty} \frac{e^{-u}}{u} du, \quad (14)$$

541 is the exponential integral. Note that Equation 12 also leads to Equation 13 for $H = 0$, r_o
 542 $= 0$, and no wing heaters.

543 Before analyzing the estimated thermal properties from these numerical simulation
 544 experiments, let us first have a look at the input thermal diffusivity values. From Table 2,
 545 when the wet thermal conductivity is 2.0 W/m-K, the thermal diffusivity of the
 546 (thermally or hydrologically) unperturbed rock (α_{wet}), ignoring the contribution of air, is

$$547 \quad \alpha_w = \frac{2}{(1 - 0.1) \times 2550 \times 800 + 0.1 \times 0.8 \times 998.7 \times 4187} = 9.21 \times 10^{-7} \text{ m}^2/\text{s}.$$

548 Similarly, when the wet thermal conductivity of the rock is 3.0 W/m-K, the thermal
 549 diffusivity of the unperturbed rock is $1.29 \times 10^{-6} \text{ m}^2/\text{s}$ (for a 80% saturated rock). The
 550 thermal diffusivity of the dry rock (α_{dry}), on the other hand is (again, ignoring the
 551 contribution of air)

$$552 \quad \alpha_d = \frac{2}{(1 - 0.1) \times 2550 \times 800} = 1.09 \times 10^{-6} \text{ m}^2/\text{s}.$$

553 The estimated thermal properties from these numerical experiments have been
554 summarized in Table 3. For the Conduction-only scenario, the best fit was obtained with
555 $k = 2.008$ W/m-K and $\alpha = 1.089 \times 10^{-6}$ m²/s. The simulated and fitted temperature rise for
556 this problem is shown in Figure 10a (temperature rise greater than 87°C, corresponding to
557 temperatures above 105°C, only are shown in this figure). The estimated thermal
558 parameters are almost identical to the input parameters, which is expected for a
559 conduction only scenario. For the RHP (see Figure 10a for a comparison of simulated and
560 fitted temperature rise in the dry zone of the RHP), the estimated thermal properties are k
561 $= 2.02$ W/m-K and $\alpha = 4.83 \times 10^{-7}$ m²/s. While the estimated k for this zone of the RHP is
562 within 1% of the input parameter value ($= 2.0$ W/m-K), the thermal diffusivity is less
563 than half the dry rock thermal diffusivity. For the RHP-Loss scenario (see Figure 10a
564 again for a comparison of simulated and fitted temperatures for this scenario), the
565 estimated parameters for the dry zone is $k = 2.03$ W/m-K and $\alpha = 2.84 \times 10^{-7}$ m²/s. While
566 the estimated dry thermal conductivity is within 1.5% of the input value, the estimated
567 thermal diffusivity value for the dry zone is only about 25% of the dry rock thermal
568 diffusivity. Thus, even though the simulated temperatures include TH coupling and heat
569 loss effects, thermal conductivity of the dry zone can be estimated within a few
570 percentage points (most likely within 2%) of the true thermal conductivity value. The
571 estimated thermal diffusivity value for the dry zone possibly represents an effective
572 parameter, in which all the TH coupling effects (including moving boundaries,
573 dynamically variable saturation effects, existence of multiple zones, etc.) are lumped
574 together. Put in other words, the estimated thermal diffusivity value from the dry zone
575 can be viewed as a parameter which, when used in a conduction only model such as
576 Equations 12 or 13, will produce the same temperature data as a full TH experiment will.
577 However, this thermal diffusivity value may not be construed as a property of the rock
578 alone.

579 The simulated and fitted temperature rise in the wet zone (temperatures less than 95°C or
580 temperature rise less than 77°C) for the Conduction-only, RHP, and RHP-Loss scenarios
581 are shown in Figure 10b. For the Conduction-only scenario, of course, the estimates for
582 the wet zone are the same as those of the dry zone. For the RHP, the estimated wet

583 thermal conductivity (1.98 W/m-K) is about 1% smaller than the actual thermal
584 conductivity, whereas the estimated thermal diffusivity (9.25×10^{-7} m²/s) is virtually
585 identical to the input parameter value. For the RHP-Loss model, the estimated thermal
586 conductivity (1.91 W/m-K) is 4.5% smaller than the true thermal conductivity value for
587 the wet zone and thermal diffusivity (8.96×10^{-7} m²/s) is 2.7% smaller than the thermal
588 diffusivity of the unperturbed rock. On the other hand, if the heat loss in this experiment
589 was not accounted for and the entire heat load was used in Equation 13, the estimated wet
590 thermal conductivity is 2.78 W/m-K. Thus, it appears that the uncertainty arising from
591 our proposed approach is within acceptable limits, provided a good estimate can be
592 obtained about the actual amount of heat carried through the test domain.

593 For the fourth experiment (RHP-Sat), the dry thermal conductivity is estimated as 2.03
594 W/m-K with a dry zone thermal diffusivity of 1.73×10^{-7} m²/s. The estimated dry thermal
595 conductivity is about 1.5% more than the input value, and as before, the estimated dry
596 zone thermal diffusivity represents an effective value. The estimated wet thermal
597 conductivity is 2.80 W/m-K, which is the thermal conductivity of an 80% saturated rock.
598 The estimated wet zone thermal diffusivity is 1.30 W/m-K, which is similar to the input
599 parameter of 1.29×10^{-6} m²/s.

600 The observations from these numerical simulations can be summarized as follows:

601 (1) Dry thermal conductivity of the rock can be estimated from the temperatures in the
602 dry zone within 1-2% of the actual value. The presence of the wet zone or the finite
603 extent of the dry zone does not seem to impact the estimated properties in a
604 significant way.

605 (2) The thermal diffusivity estimated from the dry zone temperatures represents an
606 effective parameter, possibly combining all the effects of the TH coupling. This
607 estimated parameter might *not* be interpreted as the thermal diffusivity of the rock.

608 (3) Wet thermal conductivity can be estimated from the below boiling temperatures
609 within 1-2% uncertainty, if there is no heat loss, and within 5% uncertainty, if there is

610 heat loss. In other words, the uncertainty is more when there is uncertainty regarding
611 how much heat is flowing through the rock than when TH effects are ignored.

612 (4) Wet thermal conductivity determined from temperatures in the below boiling zone
613 represents the thermal conductivity of the rock at ambient saturation and *not* the
614 thermal conductivity of the fully (100%) saturated rock.

615 (5) Thermal diffusivity can be estimated from the below boiling temperatures within
616 reasonable uncertainty limits of the true value.

617 (6) To obtain reliable estimates of thermal properties from temperature data, reliable
618 estimate of heat loss is needed.

619 The above observations will be useful in properly interpreting the estimated thermal
620 properties from the DST temperature data. We now proceed to analyzing the temperature
621 data from the DST with the purpose of estimating the thermal properties of the DST host
622 rock. However, as the RHP-Loss experiment above has shown, we first need to obtain an
623 estimate of how much heat is carried through the rock in the DST in order to obtain a
624 reliable estimate of the thermal properties of the DST host rock. This is what is
625 accomplished in the next section.

626 **6. Estimation of Power Used in Heating Rock**

627 While the total power (or energy) supplied by the heaters in the DST is known, no data
628 are available as to what fraction of that total power is used in heating the rock. The way
629 to resolve this is to first calculate the power that was needed to heat and boil pore water.
630 The difference between the total supplied power and the power needed to boil water will
631 provide a first-order estimate of the power used in heating rock. This *first-order* estimate
632 may need further confirmation or refinement to account for uncertainties such as actual
633 extension of the dryout zone on either side (front and back) of the HD.

634 The best way to calculate the volume of pore water boiled by heating is to track the
635 boiling isotherm from measured temperature data of the DST. In Figures 4a and 4b, we
636 have shown the contours of measured temperatures in the plane of Boreholes 137-144. In

637 Figure 11, we show the temperature data except that they are now divided into only two
 638 zones, one above boiling (temperature $\geq 96^\circ\text{C}$, neglecting the effect of pressure on
 639 boiling isotherm) and the other below boiling. In Figures 11a (at 12 months) and 11b (48
 640 months), the sensors in red represent above-boiling temperatures, whereas those in blue
 641 are below boiling. The boiling isotherm (or 96°C temperature contour), which divides the
 642 temperature domain into above- and below-boiling regions, is shown in black. From
 643 Figures 11a and 11b, the volume of rock above boiling ($V_{R,AB}$) can be calculated as

$$644 \quad V_{R,AB} = V_{G,AB} - V_{HD}, \quad (15)$$

645 where $V_{G,AB}$ is the geometric volume above boiling, and V_{HD} is the volume of the heated
 646 drift. The geometric area ‘ABCDEFGHA’ can be split into eight triangles (triangles
 647 OAB, OBC, etc., where ‘O’ represents the origin) as shown, and their respective areas
 648 can be calculated. When these individual triangular areas are added up, we obtain the
 649 entire geometric area. Thus, the geometric volume can be approximated as the area
 650 ‘ABCDEFGHA’ multiplied by the length of the HD, i.e.,

$$651 \quad V_{G,AB} = \frac{H}{2} \sum_{k=1}^8 \text{mod}(z_{k+1}x_k - z_kx_{k+1}), \quad (16)$$

652 By definition, $z_9 = z_1$ and $x_9 = x_1$. The volume of the HD can be calculated as

$$653 \quad V_{HD} = \pi r_o^2 H. \quad (17)$$

654 Thus, the rock volume above boiling can be obtained as

$$655 \quad V_{R,AB} = \frac{H}{2} \sum_{k=1}^8 \text{mod}(z_{k+1}x_k - z_kx_{k+1}) - \pi r_o^2 H \quad (18)$$

656 In evaluating Equation 18, remember that (x_k, z_k) and (x_{k+1}, z_{k+1}) are functions of the time
 657 of observation (as illustrated in Figures 11a and 11b). The power in kW (P_{HBW}) required
 658 for heating the water to boiling temperature (T_b) from its ambient temperature (T_a) and
 659 providing the latent heat of vaporization (λ) is then calculated as

660
$$P_{HBW} = \phi V_{R,AB} S_W \rho_W \left[\frac{C_{pW}(T_b - T_a) + \lambda}{1000 t_{obs}} \right] \quad (19)$$

661 where the symbols have their usual meaning and t_{obs} is the time of observation in seconds
 662 (from the start of heating at the DST). The power in kW (P_{HR}) used to heat rock till $t =$
 663 t_{obs} is then

664
$$P_{HR} = P_T - P_{HBW} \quad (20)$$

665 Using the measured boiling point isotherm from the DST (similar to the ones shown in
 666 Figures 11a and 11b) we calculated the power utilized in heating rock in steps of six
 667 months. The results, along with the actual total heating power from the heaters (P_T), are
 668 shown in Table 4. Apart from the small uncertainty associated with estimating the above-
 669 boiling rock volume from the estimated areas in Equation 16, some uncertainty remains
 670 regarding the length of the dryout zone along the drift axis (we have used H , the length of
 671 the HD, in Equation 18 as a first estimate). Since there are no measured temperature data
 672 beyond the end of the HD (Sensor 60 of Borehole 80 is at the end of the HD), the extent
 673 of the dryout zone on either side (front or back) of the HD is not known precisely.
 674 However, temperature data shown in Figure 5 indicate that the two ends of the HD are
 675 substantially cooler than the middle of the HD, and that mostly condensation of the
 676 boiled water (displaced from the dryout zone) occurs at those locations. Thus, it can be
 677 inferred that the dryout zone may not extend much beyond the HD (on either side). Still,
 678 it is possible that some boiling (and hence drying) occurs beyond the end of the HD.

679 Since no direct data are available, we turn to modeling studies of the DST in order to
 680 resolve the above uncertainty. Elaborate three-dimensional (3-D) TH models have been
 681 previously developed to analyze the thermal and hydrological data from the DST
 682 [Birkholzer and Tsang, 2000; Mukhopadhyay and Tsang, 2003; Birkholzer et al., 2005].
 683 These 3-D DST TH models are based on the TOUGH2 finite-integral numerical
 684 simulator [Pruess, 1991; Pruess et al., 1999], and use the dual-permeability approach
 685 [Pruess, 1991] to model the flow and transport of water, vapor, air, and heat in
 686 unsaturated fractured rock. Based on these 3-D TH models, and using the average of the

687 actual heating power at the DST as input to the 3-D TH model (see Table 1), we
688 developed contours of temperature in different vertical planes around the HD at different
689 times. Figure 12 shows the contours of temperatures at 48 months (when the dryout zones
690 are supposedly near the maximum) in three vertical planes: 2.7 m before (Figure 12a),
691 0.25 m after (Figure 12b), and 4.5 m after (Figure 12c) the end of the HD. Notice the
692 large difference in the extent of the dryout zone between Figures 12a and 12b. This
693 difference is, as explained earlier, because of the absence of any heat source beyond the
694 end of the HD. Figure 12c confirms that drying virtually does not occur beyond a few
695 meters from the end of the HD. Thus, using the length of the HD as the extent of the
696 dryout zone in Equation 18 may not have introduced a large uncertainty (say, not more
697 than a few %).

698 As further confirmation, we calculated the power used in heating rock in the DST model
699 domain using the simulated temperature data from the 3-D TH model. The approach for
700 accomplishing this and partial results (up to 27 months of heating at the DST) have been
701 previously published [*Mukhopadhyay and Tsang, 2003*]. Here, we present the same
702 results through 48 months of heating (in steps of six months) in Table 4, which also
703 shows the power used in heating rock as obtained from the observed boiling isotherms
704 (Equation 20). Note that the difference between them is never more than 4.2 kW (i.e. <
705 2% of the maximum total power). This last observation leads us to conclude that either
706 estimate can be used as input for Equation 12 with an understanding that an error of 5%
707 or less may be introduced in doing so. We thus estimate thermal conductivity of the DST
708 rock using the rock heating power obtained from the DST TH model (since this accounts
709 for boiling beyond the HD), and carry out sensitivity analyses by varying the input
710 heating power in Equation 12 by $\pm 5\%$.

711 **7. Results**

712 For the purposes of our analysis, we will assume that the rock at any location is dry if the
713 temperature is equal to or more than 110°C. In other words, it is assumed that all the
714 water from the pore spaces has been boiled away by the time the temperature exceeds
715 110°C, which is supported by Figure 6 (neglecting the small residual saturation above

716 110°C). On the other hand, we will assume a rock to be in wet condition if the
717 temperature is equal to or below 95°C, which is again supported by the measured
718 saturation versus temperature data in Figure 6. This last assumption implies that large-
719 scale boiling at the DST begins only above that temperature, and the water content of the
720 rock is ambient before boiling begins.

721

722 **7.1 Dry Thermal Properties**

723 The boundaries of the dry and wet zones in the DST change dynamically with the
724 progress of heating. At initial phases of heating, there is no dry zone. As heating
725 continues, a small dry zone is formed near the HD and along the wing heaters. With
726 further heating, the dry zone continues to expand, pushing the wet zone farther and
727 farther away from the heat source. As an example, see the radial location of the boundary
728 of the dry zone as recorded by the sensors in Boreholes 137, 138, and 139 at various
729 times (Table 5). These three boreholes are representative samples of the data in the DST
730 host rock, with Borehole 137 oriented vertically upwards, Borehole 138 oriented upwards
731 at an angle of 45° with the HD, and Borehole 139 oriented horizontally. In Table 5, the
732 distances are listed as those from the center of the HD along the orientation of the
733 borehole under consideration. For example, at 12 months of heating, the boundary of the
734 dry zone is located at 3.51 m in Borehole 137, 3.92 m in Borehole 138, and 13.1 m in
735 Borehole 139 as measured from the center of the HD. This implies that, at 12 months of
736 heating, any location closer than 3.51 m along Borehole 137 is recording a temperature of
737 more than 110°C. By 24 months of heating, the dryout zone has expanded to 5.0 m in
738 Borehole 137, 6.02 m in Borehole 138, and 14.0 m in Borehole 139. Towards the end of
739 the heating phase at the DST (i.e., at 48 months), the dryout zone is located at 6.8 m, 8.12
740 m, and 14.9 m in Boreholes 137, 138, 139, respectively.

741

742 Because of this dynamically changing location of the dryout zone boundary, the
743 analytical solution in Equation 12 has to be applied over different spatial extents at
744 different times in order to obtain estimates of the dry thermal conductivities. For
745 example, at 24 months of heating, we need to consider temperature data from sensors
746 located at 5.0 m or closer from the HD in Borehole 137. For estimating the thermal

747 properties of the dry state, we consider temperature data from the DST at 30, 36, 42 and
748 48 months of heating. The extent of the dryout zone was somewhat limited earlier than 30
749 months. Temperature data earlier than 30 months were thus not considered for the
750 estimation of dry thermal properties. In Figures 13a and 13b, we show the measured
751 temperatures in Boreholes 137, 138, and 139, along with the ‘best fit’ estimates of the
752 calculated temperatures, at 36 and 48 months of heating.

753

754 In Table 6, the parameter values for k and α in the dry rock that produce the best fit with
755 measured temperatures at various times are provided. As a measure of goodness of fit, the
756 95% confidence interval for both those parameters are also given in Table 6.4. The best-fit
757 thermal conductivity values fall in the range 1.31–1.50 W/m-K. This estimate of the dry
758 thermal conductivity value is 10-21% lower than that of 1.67 W/m-K, which has been
759 used in earlier TH modeling of the DST [*Birkholzer and Tsang, 2000; Mukhopadhyay*
760 *and Tsang, 2003*]. The value of 1.67 W/m-K was obtained from laboratory-scale core
761 measurements [*Brodsky et al., 1997*]. This possibly indicates the difference between a
762 point estimate (based on a core sample) from a certain location and a mean for the entire
763 location.

764

765 In order to obtain a spatial distribution of the thermal conductivities of the repository
766 horizon at Yucca Mountain, *Ramsey et al. (2004)* performed extensive geostatistical
767 simulations. They selected a three-dimensional cubic model [*Hsu et al., 1995*] for thermal
768 conductivity of a porous medium. In this model, thermal conductivity is a function of
769 porosity, thermal conductivity of the fluid in the pore space, thermal conductivity of the
770 solid matrix, and the geometry and connectivity of the solid. *Ramsey et al. [2004]* treated
771 the thermal conductivity of the fluid as constant but the remaining model parameters
772 were treated as spatially uncertain random functions. They then employed sequential
773 Gaussian simulation to develop 50 equally likely realizations of those uncertain
774 parameters. Thermal conductivity measurements of *Brodsky et al. [1997]* and
775 petrophysical measurements (for porosity) were used to condition these uncertain
776 properties. Through these elaborate geostatistical simulations, they generated spatial
777 distribution of thermal conductivity values for various stratigraphic layers and computed

778 the mean thermal conductivity (both wet and dry) for each of those layers. Our estimate
779 of (1.31-1.50 W/m-K) the dry thermal conductivity is similar to the mean dry thermal
780 conductivity value of 1.42 W/m-K (with a standard deviation of 0.265 W/m-K) estimated
781 by *Ramsey et al.* [2004] through those geostatistical simulations

782

783 **7.2 Wet Thermal Properties**

784 Before start of heating, the entire DST host rock can be considered as wet rock. With
785 constant heating, as the dryout zone expands outwards with continuous boiling of pore
786 water, the wet zone is pushed away from the heat source. The inner boundary of the wet
787 zone, located at the HD at the beginning of heating, moves away from the HD (the outer
788 boundary of the wet zone remains at infinity, or at the end of the instrumentation
789 boreholes for our purposes). In Table 7, we show the location of the inner boundary of
790 the wet zone at various times. Recollect that we have defined the wet zone as any sensor
791 location recording 95°C or lower. The inner boundary of the wet zone is thus the contour
792 of 95°C temperatures. For example, in Borehole 137, the inner boundary of the wet zone
793 can be found at a radial location of 7.4 m and 10.39 m at 24 months and 48 months of
794 heating, respectively. At those same times, the 95°C contours were located at 15.79 m
795 and 17.59 m, respectively, in Borehole 139. In other words, the extent of the wet zone is
796 different in different boreholes at different times.

797

798 In Figure 14a, we show measured temperature increases in Boreholes 137–139 within the
799 wet zone, i.e., wherever temperature was below 95°C at 12 months of heating. In the
800 same figure, we also show the computed temperature increases in those boreholes using
801 the best-fit parameters for the wet zone. Figure 14b is similar to Figure 14a, except that
802 the results are shown at 24 months of heating. In Table 8, the estimated parameter values
803 are tabulated along with 95% confidence intervals for those parameters at various times.
804 Observe that the estimated wet thermal conductivity is mostly in the range 2.02–2.18
805 (W/m-K), except at six months when the estimated wet thermal conductivity is 1.92
806 (W/m-K). This estimate is again different (by about 1-9%) from the wet thermal
807 conductivity of 2.0 W/m-K used in earlier TH analyses [*Birkholzer and Tsang*, 2000;
808 *Mukhopadhyay and Tsang*, 2003], as obtained from *Brodsky et al.* [1997]. The

809 geostatistical simulations of *Ramsey et al.* [2004], on the other hand, yielded a mean wet
810 thermal conductivity of 2.07 W/m-K (with a standard deviation of 0.252 W/m-K).

811

812 **7.3 Sensitivity Analyses**

813 Temperature rise in the host rock of the DST is dependent upon the total heat utilized for
814 heating the rock. Since some uncertainty exists in determining what fraction of the input
815 heat is actually used for heating the rock, uncertainties exist in the estimated (dry and
816 wet) thermal conductivity values. In this section, we present results to demonstrate the
817 sensitivity of the estimated thermal conductivity values to uncertainty in the heat used for
818 heating the rock. As indicated earlier, sensitivity analyses are carried out by varying the
819 heat used for raising rock temperature by $\pm 5\%$.

820

821 Table 9 shows estimated best-fit dry thermal conductivity values at various times with
822 different heat inputs. The second column in Table 9 shows the best-fit dry thermal
823 conductivity with 95% of the heat shown in Table 4, whereas the fourth column shows
824 the same with 105% of the heat shown in Table 4. The third column is reproduced from
825 Table 6 for easy comparison. Similarly, Table 10 shows the best-fit wet thermal
826 conductivities at various times. From Tables 9 and 10, it is evident that reducing the heat
827 input by 5% results in almost a 5% reduction in the estimated thermal conductivity
828 values. Similarly, increasing the heat input by 5% results in a similar increase in the
829 estimated thermal conductivity values. This almost linear dependency is expected (see
830 Equation 12). The estimated dry and wet thermal conductivity values (after varying the
831 heat input) are not dissimilar to the 95% confidence interval around the best-fit obtained
832 using the heat listed in Table 2 as input to our model. It thus can be concluded that, even
833 after assuming a $\pm 5\%$ uncertainty (which is more than that indicated by measured data) in
834 estimating the heat used for raising the temperature in the DST host rock, the temperature
835 data produce a consistent estimate of thermal conductivity. Since these estimates have
836 been obtained using actual temperature data from the DST over a wide spatial and
837 temporal range, they possibly represent ‘upscaled’ thermal conductivities of the host rock
838 (as opposed to point observation through core measurements). The validity of our
839 estimates is further confirmed by the observation of *Ramsey et al.* [2004] through

840 geostatistical simulations. Moreover, the results, based on physical processes alone,
841 presented in this paper possibly provide the first independent verification of upscaled
842 thermal conductivities of the repository host rock at Yucca Mountain.

843

844 **8. Summary**

845 The large volume of temperature data collected from the DST provides an opportunity to
846 estimate the field-scale thermal conductivity of the host rock. In this paper, we provide an
847 efficient methodology for estimating the field-scale thermal conductivities of the host
848 rock from temperature data. This method derives the thermal conductivities from actual
849 temperature data collected over large spatial and temporal scale and does not use the core
850 measurements as input.

851

852 The thermal regime in the DST host rock can be described in terms of “wet” and “dry”
853 zones. At the beginning of heating, the entire host rock was about 85-90% saturated with
854 water and could be called “wet”. With progress of heating, as water started boiling, a
855 dryout zone appeared, and expanded with further heating. At the end of heating, although
856 the wet zone was still present, there was a considerable dryout zone near the HD.
857 Temperature data from the DST clearly established these wet and dry zones (including a
858 two-phase zone as well). Temperature data also established the fact that thermal
859 conductivities were different in the dry and wet zones.

860

861 We have developed an analytical solution for transient temperature rise in the DST host
862 rock. This analytical solution has two components: rise in temperature caused by heat
863 emanating from the canister heaters and that caused by heat coming from the wing
864 heaters. This analytical solution was then separately fitted to measured temperatures in
865 the dry and wet zones at various times of data collection. We report the best-fit estimates
866 from the exercise as the field-scale thermal conductivity for the dry and wet rock. We
867 also provide the 95% confidence level for our estimates. As expected, our field-scale
868 estimates are somewhat different from small-scale core measurement values [*Brodsky et*
869 *al.*, 1997], and are more consistent with the findings of *Ramsey et al.* [2004].

870

871 It is possible that, since the analytical solution developed in this paper is based on an
872 assumption of heat transfer by conduction only, the estimated thermal properties may
873 have uncertainties because they are derived from temperature data, which contain
874 coupled TH effects. We performed four numerical simulation experiments with
875 simplified radial geometry; however, the underlying physical processes in these
876 experiments were similar to those experienced by the host rock of the DST. From these
877 controlled experiments, we could conclude that the estimated thermal properties were
878 within reasonable limits of uncertainty. The impact of ignoring the presence of
879 convective heat transfer or the two-phase did not appear to have a significant impact on
880 the estimated thermal conductivities. The same was true for the thermal diffusivity
881 estimated from the temperatures in the wet zone. On the other hand, it turned out the
882 thermal diffusivity estimated from the temperatures in the dry zone could not be
883 construed as a property of the rock, but might be interpreted as an effective parameter
884 representing the lumping of all the TH effects into one parameter. These numerical
885 simulation experiments thus provided a useful set of guidelines while interpreting the
886 estimated thermal properties from the DST temperature data. The numerical simulation
887 experiments also illustrated the impact of uncertainty in estimating the heat loss from the
888 test domain on the estimated thermal properties.

889

890 As illustrated by the numerical simulation experiments, a likely source of uncertainty in
891 our estimates of the DST thermal properties is the amount of energy actually used in
892 heating the rock. By tracking the boiling isotherm in the DST temperature data, we
893 showed that no more than a 5% uncertainty exists in this regard. Thus, as a sensitivity
894 analysis, we obtained the range of thermal conductivity (both dry and wet) that would
895 result from a $\pm 5\%$ uncertainty in input heat. The range is mostly within the 95%
896 confidence limit of the best-fit estimates.

897

898 **Nomenclature**

899 C Specific heat capacity of the rock, J/kg-K.

900 C_i Confidence interval.

901 C_{pw} Specific heat capacity of water, J/kg-K.

902	H	Length of the HD, m.
903	J_H	Average of total power from the HD, W.
904	J_W	Average of total power from the wing heaters, W.
905	k	Thermal conductivity, W/m-K.
906	k_d	Dry thermal conductivity, W/m-K.
907	k_w	Wet thermal conductivity, W/m-K.
908	l	x -coordinate of the end of the wing heater, m.
909	l_o	x -coordinate of the start of the wing heater, m.
910	L	Length of a wing heater, m.
911	M	Pairs of wing heaters.
912	n	Number of measurements.
913	P_{HBW}	Power required for heating and boiling of water, kW.
914	P_{HR}	Power required for heating rock, kW.
915	P_T	Total power, kW.
916	q	Linear heat load in Equation 13, W/m.
917	Q	Strength of point source of heat, m^3 -K.
918	r	Radial location, m.
919	r_o	Radius of the HD, m.
920	S	Sample standard error, W/m-K for thermal conductivity or m^2/s for thermal
921		diffusivity.
922	S_W	Water saturation.
923	T	Temperature, °C.
924	T_a	Ambient temperature, °C.
925	T_b	Boiling temperature, °C.
926	ΔT	Total temperature rise, °C.
927	ΔT_H	Temperature rise due to heat coming from the HD, °C.
928	ΔT_W	Temperature rise due to heat coming from the wing heaters, °C.
929	t	Time, s.
930	t^*	Upper critical value of a t -distribution with $(n-1)$ degrees of freedom.
931	t_{obs}	Observation time, s.
932	u	Dummy variable in Equation 14.

- 933 V_{HD} Volume of the HD, m³.
- 934 $V_{G,AB}$ Geometric volume of the rock above boiling, m³.
- 935 $V_{R,AB}$ Volume of the rock above boiling, m³.
- 936 \bar{X} Sample mean, W/m-K or m²/s.
- 937 x x -coordinate, m.
- 938 x_k x -coordinate of point ' k ', m.
- 939 x_{k+1} x -coordinate of point ' $k+1$ ', m.
- 940 x_o x -coordinate of point source of heat, m.
- 941 y y -coordinate, m.
- 942 y_m y -coordinate of the m -th wing heater, m.
- 943 y_o y -coordinate of point source of heat, m.
- 944 z z -coordinate, m.
- 945 z_k z -coordinate of point ' k ', m.
- 946 z_{k+1} z -coordinate of point ' $k+1$ ', m.
- 947 z_o z -coordinate of point source of heat, m.
- 948 α Thermal diffusivity of the rock, m²/s.
- 949 α_d Thermal diffusivity of dry rock, m²/s.
- 950 α_w Thermal diffusivity of wet rock, m²/s.
- 951 ϕ Porosity.
- 952 λ Latent heat of vaporization for water, J/kg.
- 953 ρ Density of the rock, kg/m³.
- 954 ρ_w Density of water, kg/m³.
- 955 τ Dimensionless time.
- 956 ζ Dummy variable in Equation 13.

957

958

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969

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1057

1058

1059 **Figure Captions**

1060

- 1061 Figure 1. Schematic diagram of the HD, the wing heaters, and the RTD temperature
1062 holes in the DST
1063
- 1064 Figure 2. History of total input power in the DST
1065
- 1066 Figure 3a. Measured temperatures in Boreholes 137-144 at two months of heating
1067
- 1068 Figure 3b. Measured temperatures in Boreholes 137-144 at 48 months of heating.
1069 The spike in temperature in Borehole 144 at ~10 m is because of a
1070 malfunctioning RTD
1071
- 1072 Figure 4a. Scatter and contour diagram of measured temperatures in Boreholes 137-
1073 144 at 12 months of heating
1074
- 1075 Figure 4b. Scatter and contour diagram of measured temperatures in Boreholes 137-
1076 144 at 48 months of heating
1077
- 1078 Figure 5. Measured temperatures as a function of time in selected sensors of
1079 Boreholes 79 and 80
1080
- 1081 Figure 6. Rock moisture content versus temperature as measured from neutron
1082 logging of Boreholes 79 and 80 (with permission from Richard Carlson,
1083 Lawrence Livermore National Laboratory)
1084
- 1085 Figure 7. Schematic diagram of the coordinate system used in developing
1086 conceptual model of conductive heat transfer at the DST
1087
- 1088 Figure 8. Schematic diagram of the one-dimensional radial geometry employed in
1089 the numerical experiments of Section 5. This figure shows the TH
1090 conditions in the unsaturated porous rock at some unspecified time after
1091 the start of heating
1092
- 1093 Figure 9a. TOUGH2-simulated temperatures, liquid saturation, and heat flow rate
1094 from the numerical experiments in Section 5 as a function of radial
1095 distance from the heat source. The red lines correspond to the Conduction-
1096 only experiment, the orange lines correspond to the RHP model, and the

1097 blue lines correspond to the RHP-Loss model. In each of these plots,
1098 temperatures are represented by solid lines, liquid saturation by dash-
1099 dotted lines, and heat flow by dashed lines
1100
1101 Figure 9b. TOUGH2-simulated temperatures for the RHP and RHP-Sat numerical
1102 experiments
1103
1104 Figure 10a. Simulated and fitted temperatures in the dry zone of the Conduction-only,
1105 RHP, and RHP-Loss numerical experiments in Section 5
1106
1107 Figure 10b. Simulated and fitted temperatures in the wet zone of the Conduction-only,
1108 RHP, and RHP-Loss numerical experiments in Section 5
1109
1110 Figure 11a. Construction of the boiling isotherm from measured temperatures at 12
1111 months in the plane of Boreholes 137-144
1112
1113 Figure 11b. Construction of the boiling isotherm from measured temperatures at 48
1114 months in the plane of Boreholes 137-144
1115
1116 Figure 12a. Contours of simulated temperatures at 48 months in a vertical plane 0.5 m
1117 before the end of the HD (47 m from the bulkhead)
1118
1119 Figure 12b. Contours of simulated temperatures at 48 months in a vertical plane 0.5 m
1120 after the end of the HD (48 m from the bulkhead)
1121
1122 Figure 12c. Contours of simulated temperatures at 48 months in a vertical plane 1.5 m
1123 after the end of the HD (49 m from the bulkhead)
1124
1125 Figure 13a. Measured and estimated temperature rise in the dry zone in Boreholes
1126 137-139 at 36 months of heating
1127
1128 Figure 13b. Same as Figure 13a but at 48 months of heating
1129
1130 Figure 14a. Measured and estimated temperature rise in the wet zone in Boreholes
1131 137-139 at 12 months of heating
1132
1133 Figure 14b. Same as Figure 14a but at 24 months of heating
1134

1135 **Table Captions**

1136 Table 1. Average input power at the DST at various times
1137
1138 Table 2. Parameters and properties used in the numerical experiments in Section 4.
1139
1140 Table 3. Summary of estimated thermal parameters from the numerical simulation
1141 experiments in Section 4. Note that the input wet thermal conductivity for

1142 the unperturbed rock (80% saturated) in the RHP-Sat experiment is 2.80
 1143 W/m-K. The wet thermal conductivity of 3.0 W/m-K is for a 100%
 1144 saturated rock.

1145 Table 4. Total power, and comparison of power used for heating rock as obtained
 1146 from Equation 20 and *Mukhopadhyay and Tsang* [2003] at various times
 1147

1148 Table 5. Radial location of measured 100°C temperature contours in Boreholes
 1149 137, 138, and 139
 1150

1151 Table 6. Estimated dry thermal properties of the fractured welded tuff of Tptpmn at
 1152 Yucca Mountain, Nevada
 1153

1154 Table 7. Radial location of measured 95°C temperature contours in Boreholes 137,
 1155 138, and 139
 1156

1157 Table 8. Estimated wet thermal properties of the fractured welded tuff of Tptpmn at
 1158 Yucca Mountain
 1159

1160 Table 9. Estimated dry thermal properties with 95%, 100%, and 105% of the input
 1161 heat shown in Table 4
 1162

1163 Table 10. Estimated wet thermal properties with 95%, 100%, and 105% of the input
 1164 heat shown in Table 4
 1165

1166

1167

1168

1169

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1171 Table 1

Time (months)	Average of Total Canister Heater Power (kW)	Average of Total Wing Heater Power (kW)	Average of Total Heating Power (kW)
0–6	51.67	134.70	186.37
0–12	52.09	133.26	185.35

0–18	52.07	132.15	184.22
0–24	51.43	129.86	181.29
0–30	50.96	128.65	179.61
0–36	49.86	125.62	175.48
0–42	48.76	122.36	171.12
0–48	47.70	119.43	167.13

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1174 Table 2.

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Parameter	Value
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1177 *Applied Heat load*

1178 $q (r \rightarrow 0)$ 667 W/m

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1180 *Initial Conditions and Boundary Conditions ($r \rightarrow \infty$)*

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1182 Pressure P_i 1 bar

1183 Temperature T_i 18°C

1184 Saturation S_{li} 0.8

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1186 *Properties of the Porous Medium*

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1188 Permeability K $2 \times 10^{-14} \text{ m}^2$

1189 Porosity ϕ 0.1

1190 Grain density ρ 2550 kg/m³

1191 Grain heat capacity C 800 J/kg-K

1192 Wet thermal conductivity k_w 2.0 W/m-K

1193 Wet thermal conductivity k_w for RHP-Sat (100% saturated) 3.0 W/m-K

1194 Dry thermal conductivity k_d 2.0 W/m-K

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1196 *Characteristic curves of the porous medium*

1197 Capillary pressure P_C $-\frac{1}{\theta} \left[(S^*)^{1/\nu} - 1 \right]^\nu$

1198 Relative permeability of liquid K_{rl} $\sqrt{S^*} \left[1 - \left(1 - (S^*)^{1/\nu} \right)^2 \right]$

1199 Relative permeability of gas, K_{rg} $1 - K_{rl}$

1201	van Genuchten parameter S^*	$\frac{S_l - S_{lr}}{1 - S_{lr}}$
1202	Residual liquid saturation S_{lr}	9.6×10^{-4}
1203	van Genuchten parameter ($1/\theta$)	0.125 bar
1204	van Genuchten parameter ν	0.45
1205	Maximum capillary pressure $P_{C, max}$	5000 bars
1206		
1207	<i>Thermodynamic properties of water and vapor</i>	
1208		
1209	Density of water ρ_w (at 1 bar and 18°C)	998.7 kg/m ³
1210	Specific heat capacity of water C_{pW}	4187 J/kg-K
1211	Specific latent heat of vaporization λ	2.268×10^3 J/kg

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Table 3

Numerical Experiment	Actual k (W/m-K)		Estimated k (W/m-K)		Actual α ($\times 10^7$) (m ² /s)		Estimated α ($\times 10^7$) (m ² /s)	
	Dry	Wet	Dry	Wet	Dry	Wet	Dry	Wet
Conduction-only	2.0	2.0	2.008	2.008	10.9	10.9	10.89	10.89
RHP	2.0	2.0	2.02	1.98	10.9	9.21	4.83	9.25
RHP-Loss	2.0	2.0	2.03	1.91	10.9	9.21	2.84	8.96
RHP-Sat	2.0	3.0	2.03	2.80*	10.9	12.9	1.73	13.0

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1228 Table 4

Time (months)	Average of Total Heating Power (kW)	Estimated Power for Heating Rock (from Equation 18) (a) (kW)	Estimated Power for Heating Rock ¹ (b) (kW)	Difference (b-a) (kW)
0 – 6	186.37	143.2	144.7	1.5
0 – 12	185.35	138.1	139.0	0.9
0 – 18	184.22	133.6	137.8	4.2
0 – 24	181.29	132.3	135.4	3.1
0 – 30	179.61	130.1	133.3	3.2
0 – 36	175.48	129.3	129.6	0.3
0 – 42	171.12	128.0	126.5	1.5
0 – 48	167.13	122.3	123.1	0.8

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1236 Table 5

Time (months)	Distance of 110°C Contours from the Center of the HD (m) Along		
	Borehole 137	Borehole 138	Borehole 139
6	2.61	2.72	8.6
12	3.51	3.92	13.1
18	4.11	5.12	13.7
24	5.00	6.02	14.0
30	5.60	6.92	14.6
36	5.90	7.52	14.9
42	6.20	7.82	14.9
48	6.80	8.12	14.9

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¹ From TOUGH2 simulations of the DST [*Mukhopadhyay and Tsang, 2003*]

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Table 6

Time (months)	Estimated Parameter Values for dry conditions			
	Dry Thermal Conductivity, k_d (W/m-K)		Thermal Diffusivity, α (m ² /s)	
	Best Fit	95% Confidence Interval	Best Fit	95% Confidence Interval
30	1.31	1.26-1.36	0.36×10^{-6}	$0.33 \times 10^{-6} - 0.39 \times 10^{-6}$
36	1.41	1.37-1.48	0.38×10^{-6}	$0.35 \times 10^{-6} - 0.42 \times 10^{-6}$
42	1.44	1.38-1.51	0.37×10^{-6}	$0.33 \times 10^{-6} - 0.41 \times 10^{-6}$
48	1.50	1.43-1.56	0.39×10^{-6}	$0.35 \times 10^{-6} - 0.434 \times 10^{-6}$

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Table 7

Time (months)	Radial Distance of 95°C Contours from the Center of the HD (m)		
	Borehole 137	Borehole 138	Borehole 139
6	3.51	3.92	13.40
12	4.71	5.41	14.60
18	5.60	7.22	15.20
24	7.40	9.01	15.79
30	8.30	9.62	16.39
36	8.90	10.83	16.99
42	9.79	12.03	16.99
48	10.39	12.34	17.59

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Table 8

	Estimated Parameter Values for wet conditions
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Time (months)	Estimated Parameter Values for wet conditions			
	Best Fit	95% Confidence Interval	Best Fit	95% Confidence Interval
(months)	1.92	1.85-1.99	1.055×10^{-6}	1.017×10^{-6} — 1.094×10^{-6}
12	2.18	1.99-2.38	1.073×10^{-6}	0.979×10^{-6} — 1.169×10^{-6}
18	2.15	2.00-2.31	1.099×10^{-6}	1.007×10^{-6} — 1.191×10^{-6}
24	2.02	1.88-2.16	0.969×10^{-6}	0.902×10^{-6} — 1.036×10^{-6}
30	2.16	1.99-2.33	1.039×10^{-6}	0.951×10^{-6} — 1.127×10^{-6}
36	2.13	1.98-2.29	1.050×10^{-6}	0.967×10^{-6} — 1.132×10^{-6}
42	2.03	1.91-2.15	1.007×10^{-6}	0.941×10^{-6} — 1.073×10^{-6}
48	2.09	1.93-2.24	1.049×10^{-6}	0.958×10^{-6} — 1.141×10^{-6}

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1299 Table 9
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Time (months)	Best-fit Dry Thermal Conductivity (W/m-K)		
	0.95*Heat in Table 2	Heat in Table 2	1.05*Heat in Table 2
30	1.26	1.31	1.36
36	1.35	1.41	1.48
42	1.36	1.44	1.51
48	1.42	1.49	1.57

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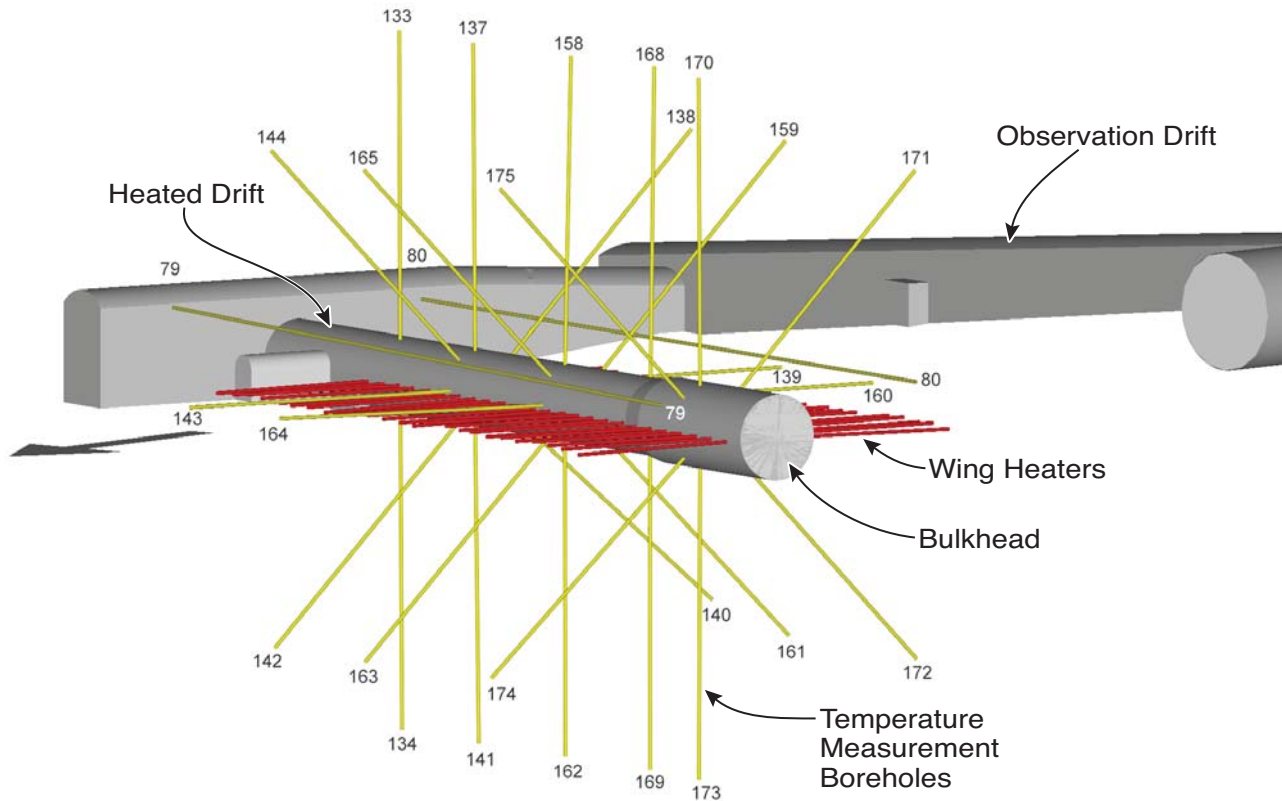
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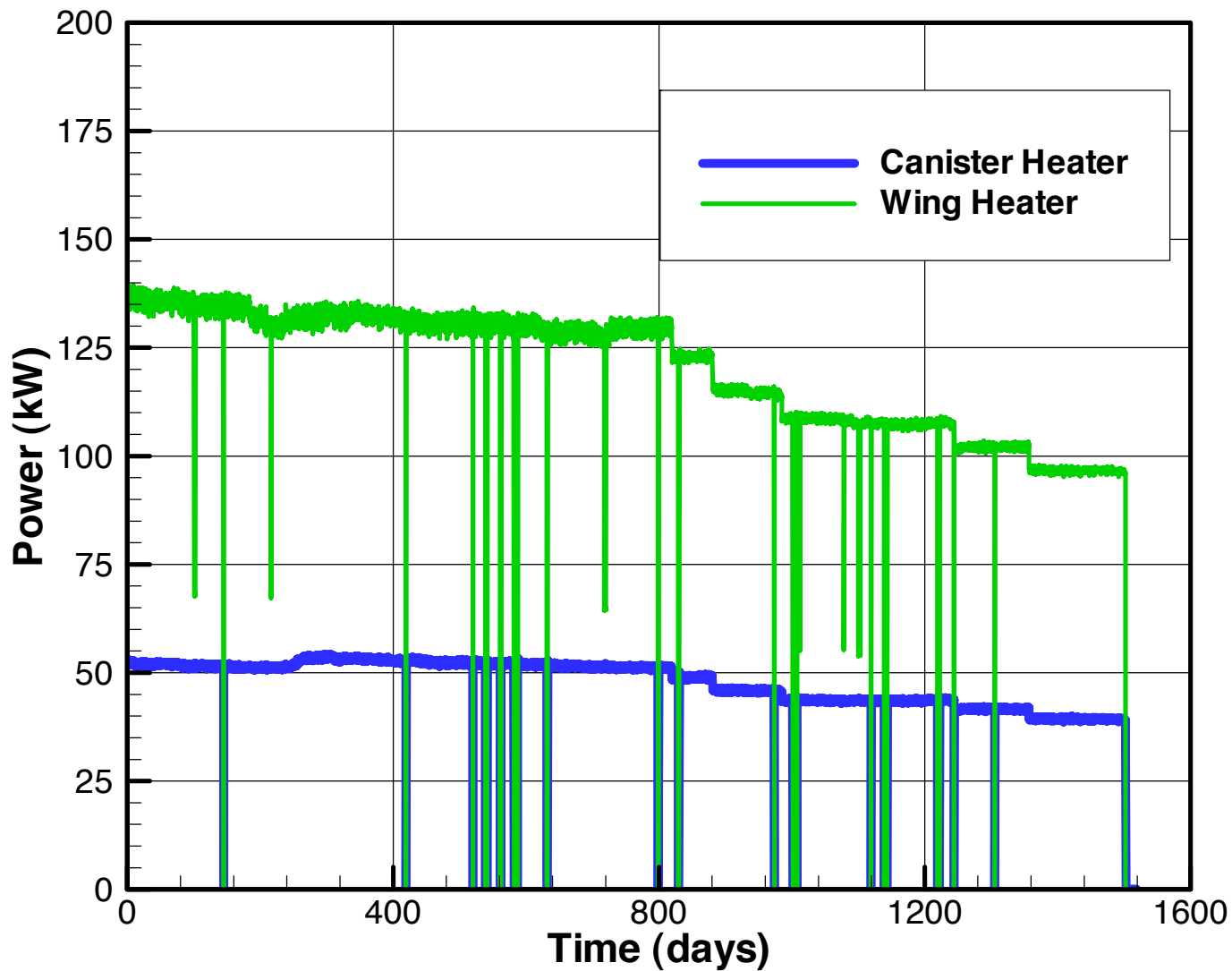
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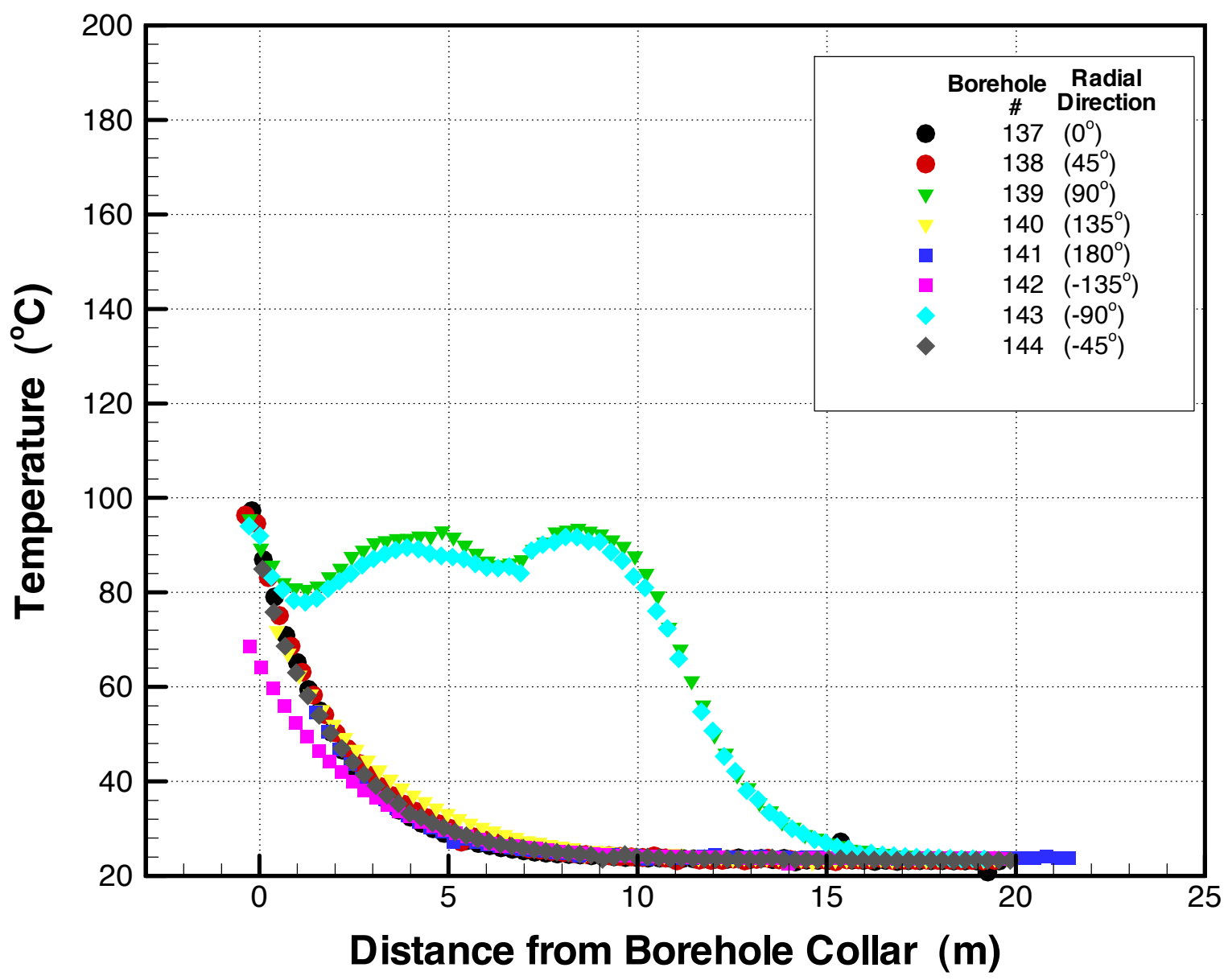
Time (months)	Best-fit Wet Thermal Conductivity (W/m-K)		
	0.95*Heat in Table 2	Heat in Table 2	1.05*Heat in Table 2
6	1.82	1.92	2.02
12	2.07	2.18	2.29
18	2.04	2.15	2.25
24	1.92	2.02	2.12
30	2.05	2.16	2.27
36	2.02	2.13	2.24
42	1.93	2.03	2.12
48	1.99	2.09	2.19

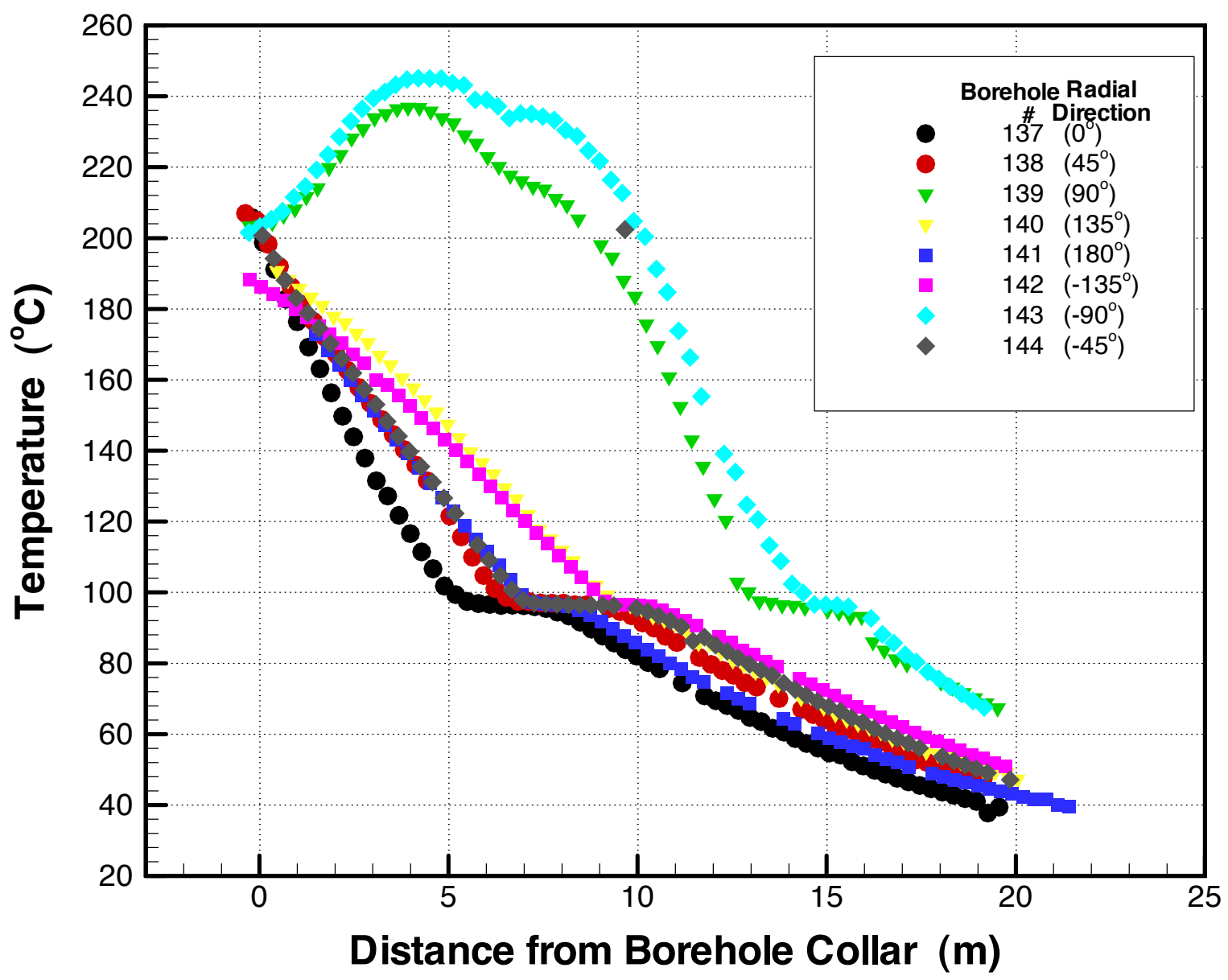
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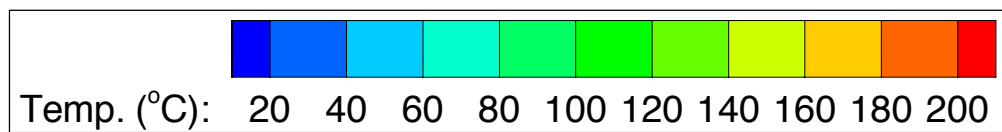
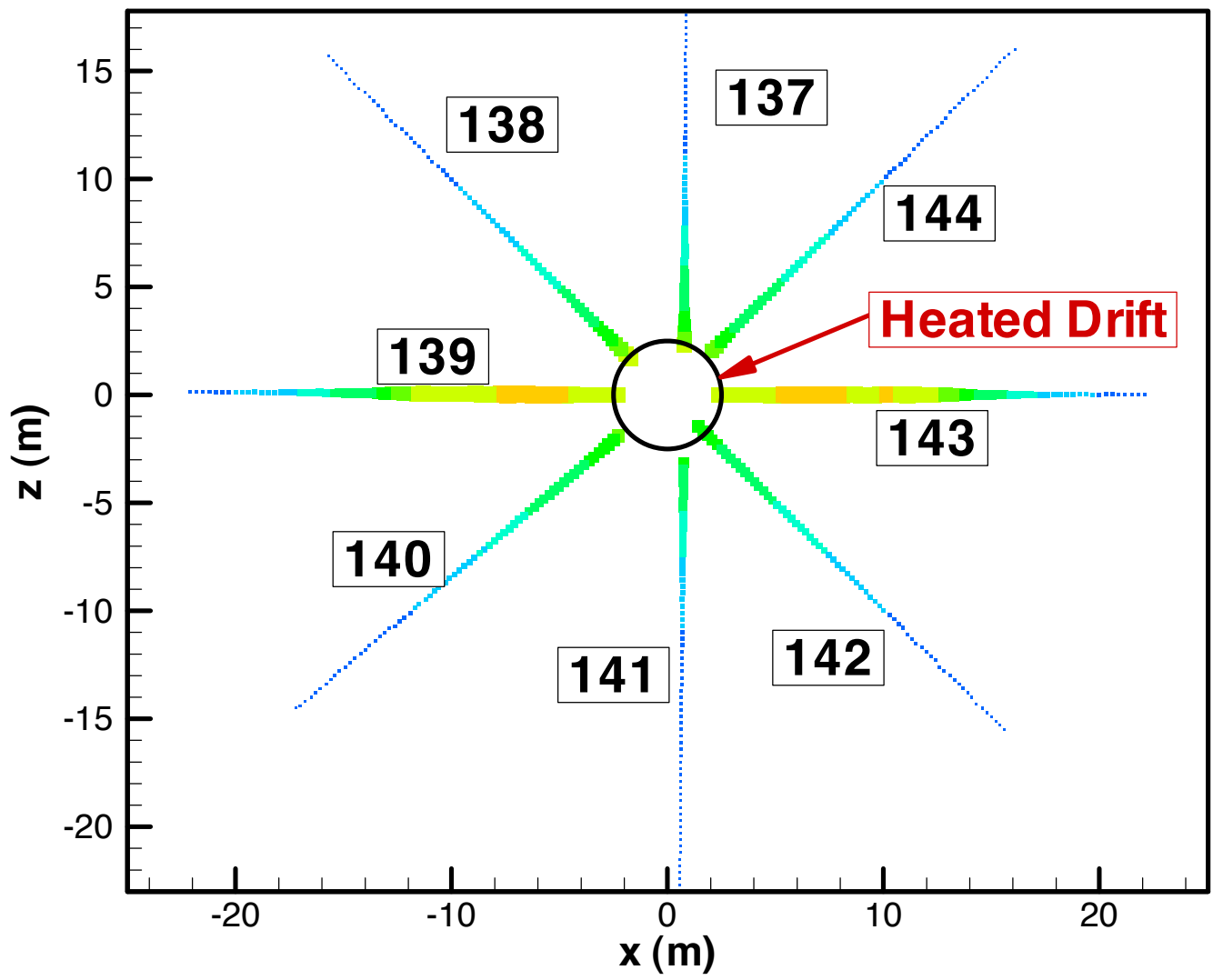
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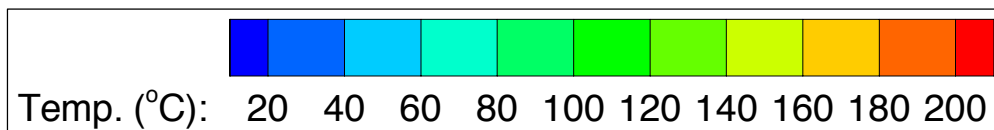
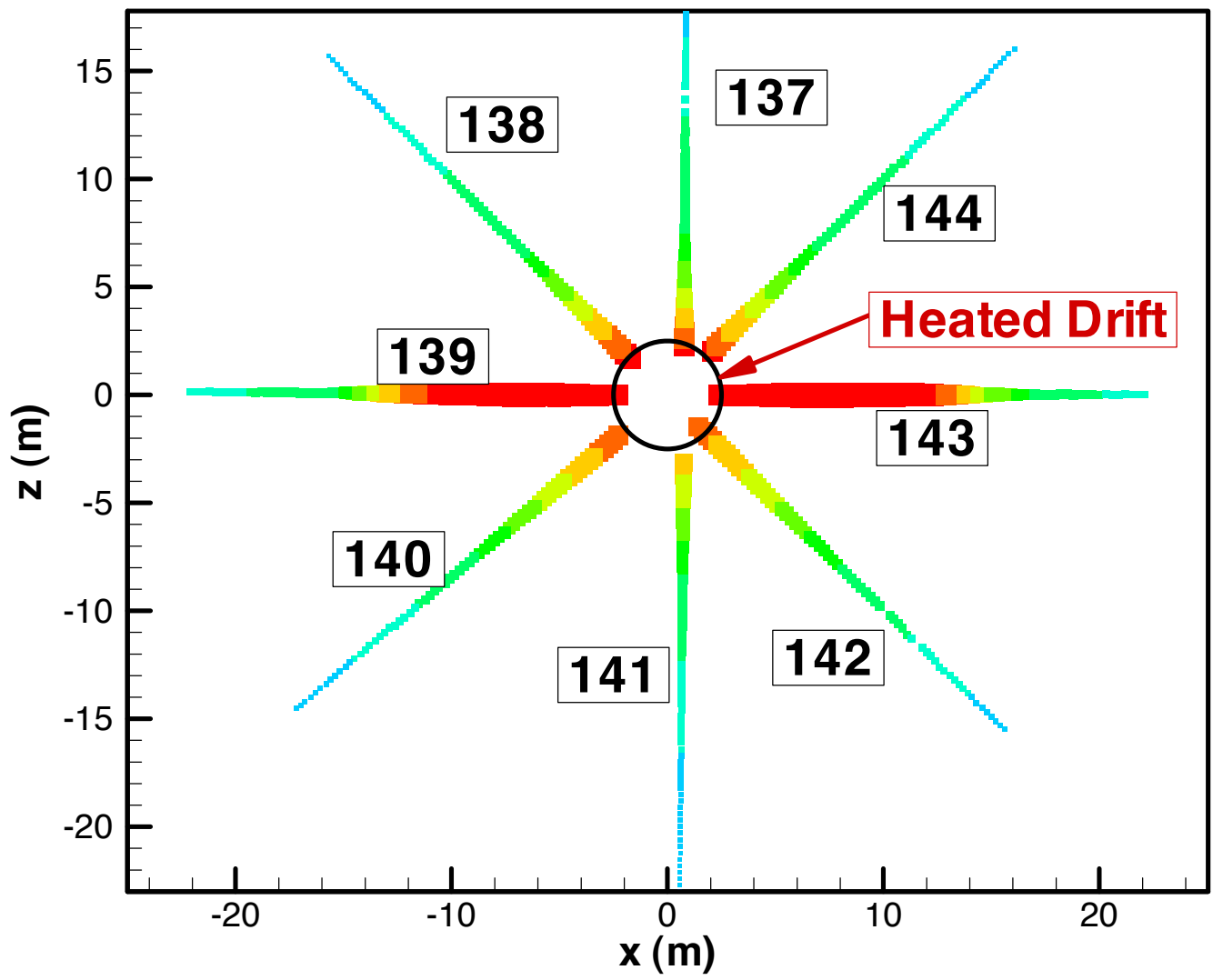


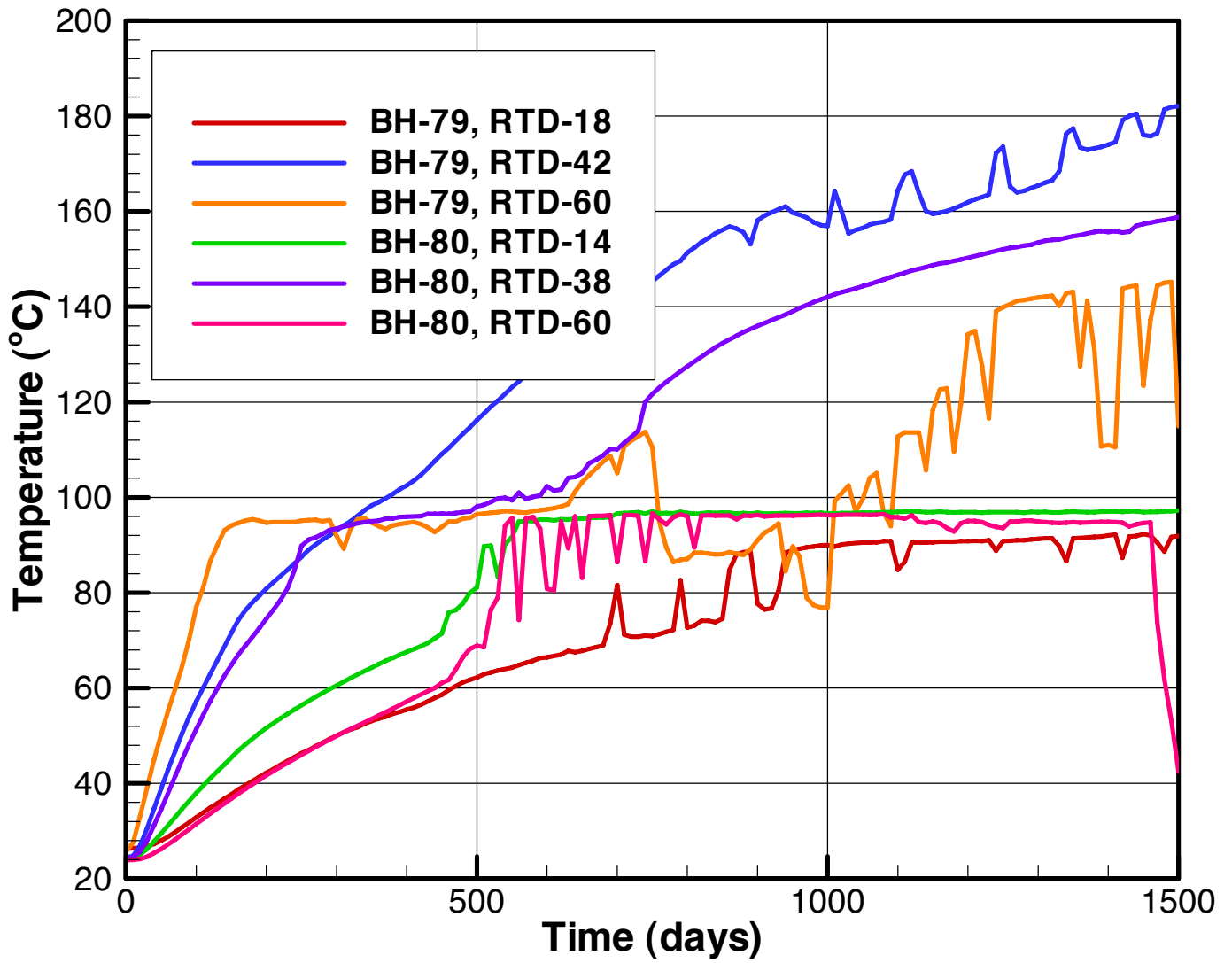












DST Neutron Logging and Temperature Measurements From Boreholes 79 and 80

