Measuring the capacity for auditory system plasticity: An examination of performance gains during initial exposure to auditory-targeted cognitive training in schizophrenia

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1. Introduction

Neurocognitive deficits represent a core feature of schizophrenia (SZ) that impinge on daily psychosocial functioning (Green, 1996; Green et al., 2000), and efforts at remediating cognitive deficits have generally shown a modest degree of efficacy at the group level (McGurk et al., 2007; Wykes et al., 2011). As is often the case for psychiatric interventions, cognitive remediation modalities are typically developed for the average patient and implemented in the absence of knowledge about individual variation in genes, brain function, pathophysiology, and environment that might influence treatment outcomes. This one-size-fits-all approach to cognitive remediation is particularly problematic given data that suggest that up to 45% of people with SZ demonstrate virtually no cognitive enhancement after undergoing a therapeutic dose (≥32 h) of computerized cognitive training (Murthy et al., 2012). For patients and clinicians, the costs associated with these time- and resource-intensive interventions can be prohibitive. Thus, cognitive training is an excellent example of a treatment that may benefit from a recently announced “precision medicine” initiative by NIH, which aims to promote the systematic investigation of individual differences that play a role in illness and health. Ultimately, the initiative aims to facilitate data-driven prediction of benefit for individual patients.

Auditory-Targeted Cognitive Training (ATCT), which aims to improve auditory information processing efficiency, has shown great promise for remediating cognitive deficits in schizophrenia (SZ). However, there is substantial heterogeneity in the degree of cognitive gains made during ATCT, and some patients show negligible benefit after completing therapeutic doses of training. Identifying individual differences that can be measured early in the course of ATCT and that predict subsequent cognitive benefits from the intervention is therefore important. The present study calculated a variety of performance metrics during the initial hour of exposure to ATCT Sound Sweeps, a frequency discrimination time-order judgment task, and investigated the relationships of these metrics to demographic, clinical, and cognitive characteristics of SZ patients. Thirty-seven SZ outpatients completed measures of auditory attention, working memory, verbal memory, and executive functioning, followed by 1 h of Sound Sweeps training. Performance metrics, calculated after the first training level, the first training stage (Levels 1–4), and the entire hour of training included baseline and best auditory processing speed (APS) scores, as well as percent improvement in APS after training. The number of training levels completed by each participant was also calculated. Baseline and best APS correlated with performance in all cognitive domains, whereas APS improvements only correlated with verbal memory. Number of training levels completed was marginally associated with auditory attention only.

Conclusions: Sound Sweeps performance correlates with a range of neurocognitive abilities. APS improvement may provide a particularly sensitive index of “plasticity potential” within the neural network underlying verbal learning and memory.

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patients from specific treatments at any point during the course of illness. Put simply, precision medicine strives to provide the “right treatment” to the “right person” at the “right time.”

Despite the substantial advances made in cognitive remediation over recent years, the ability to characterize the SZ patients for whom any form of cognitive remediation is the “right” intervention continues to elude practitioners. Accordingly, the present study aims to investigate several auditory processing measures for their ability to reflect early neural target engagement during initial exposure to Auditory Targeted Cognitive Training (ATCT), a computerized intervention that has shown particular promise for enhancing cognition in SZ (Fisher et al., 2009, 2015; Popov et al., 2011).

While conventional cognitive remediation techniques typically target cognition from the “top-down” (e.g., teaching memory encoding strategies, and problem solving approaches), ATCT focuses on “bottom-up” or feed-forward training of auditory processing fidelity and efficiency, while simultaneously harnessing attention and working memory operations. ATCT explicitly employs known mechanisms to maximize cortical neuroplasticity, for example, by delivering exercises with specifically-defined learning targets delivered at high intensity (greater than 1000 trials throughout a full course of training), and by maintaining difficulty levels that are carefully titrated in accordance with individual patient performance (Merzenich et al., 2013). Moreover, correct responses are reinforced with sounds and visual animations, consistent with literature suggesting a neuromodulatory effect of subcortical reward processing centers on cortical representations of selectively attended sensory inputs (Merzenich et al., 2014; Vinogradov et al., 2012). ATCT thus aims to efficiently modify the frontotemporal cortical dynamics subserving both basic perceptual processes and higher-order cognitive operations (Vinogradov et al., 2012).

Studies examining the efficacy of ATCT in SZ patients have shown large improvements ($d = 0.86–0.89$) in verbal learning and memory, verbal working memory, and global cognition after 40–50 h of training; moderate improvements have also been detected in non-trained visual processing skills (Fisher et al., 2009, 2015). Furthermore, in a recent multi-site study, significant gains in MATRICS Consensus Cognitive Battery (MCCB) composite and verbal learning scores were observed after 20 h of training. While these gains no longer achieved statistical significance after 40 h of training, perhaps due in part to subject attrition, the effect sizes ($d \approx 0.39$) remained non-trivial (Keefe et al., 2012).

Despite its apparent efficacy at the group level, individual response to ATCT is highly variable (Murthy et al., 2012). Although some patient characteristics, such as self-reported anticipatory pleasure, appear to correlate with ATCT response (Fisher et al., 2015), there are currently no established methods for identifying early in treatment (e.g., within the first hour) the individuals most likely to benefit from ATCT. Auditory perceptual improvements (i.e., auditory “tuning”) gained during treatment may be a key predictor of ATCT response, as they have been shown to correspond to overall degree of cognitive enhancement (Murthy et al., 2012; Popov et al., 2011, 2012, 2015). Notably, Fisher et al. (2015) found that auditory processing speed improvements after 20 h of training correlated with degree of cognitive enhancement after up to 40 h of training, suggesting that these early auditory processing improvements may reflect the “plasticity potential” of the frontotemporal network and thus index the likelihood of cognitive benefit from ATCT. Since the most dramatic improvements in auditory processing have been shown to occur very early in the course of training, with maximal gains evident after the first training session and incremental gains following subsequent training sessions (Menning et al., 2000), detailed examination of the auditory perceptual dynamics occurring within the initial exposure to ATCT might thus account for some variation in individual training response and subsequently inform future predictive algorithms for guiding treatment of SZ.

Challenges exist, however, in quantifying the perceptual gains made within or across training sessions or patients, given the individualized and continually adaptive nature of ATCT, an intervention that was primarily designed for clinical rather than academic purposes. As such, the aim of the present study was to examine several measures of auditory perceptual improvement during the initial hour of ATCT for their relationships to critical demographic, clinical, and cognitive characteristics of SZ patients. Several such performance metrics have been utilized in previous studies, including percentage of auditory frequency discrimination exercises completed (i.e., the percentage of training trials completed out of the total number of trials available; Fisher et al., 2009), and auditory processing speed (APS; i.e., the length of auditory stimuli for which participants are able to make accurate time-order judgments; Fisher et al., 2015; Keefe et al., 2012; Mahncke et al., 2006; Murthy et al., 2012; Smith et al., 2009). While relationships between these auditory processing measures and ATCT-related cognitive gains have been detected across therapeutic training intervals (e.g., 20–40 h; Fisher et al., 2009, 2015), no previous studies have examined whether auditory processing improvements during the initial exposure to ATCT are related to demographic, clinical, and cognitive characteristics of patients at baseline. We hypothesized that better auditory processing at baseline, as well as larger improvements in auditory processing after 1 h of ATCT, would be associated with 1) younger patient age, 2) later age of illness onset, 3) less severe clinical symptoms, and 4) better cognitive performance.

2. Methods

2.1. Participants

Participants included 37 ATCT-naïve SZ outpatients recruited from community treatment programs and via physician referral following our well-established procedures (e.g., Takahashi et al., 2013). All participants were evaluated for their capacity to provide informed consent and gave written consent via methods approved by the UCSD IRB prior to participation (UCSD Protocol #: 130453). SZ diagnoses were confirmed via the Structured Clinical Interview for DSM-IV (First et al., 1996). Participants were excluded on the basis of Axis I psychiatric and neurological disorders other than SZ, head injury with loss of consciousness longer than 15 min, and stroke. Urine toxicology screenings were conducted to rule out recent drug use (except tobacco and caffeine). Scales for the Assessment of Positive and Negative Symptoms (SAPS; SANS, Andreasen, 1984a, 1984b) were used to assess clinical symptoms. Demographic, clinical, and cognitive data are presented in Table 1. Mean participant age was 44.7 (range: 23 to 63), and 76% of participants were male. On average, participants obtained 12.6 years of education and performed 1/2 to 1 standard deviation below age- and education-matched normative control groups in all cognitive domains (Table 1).

### Table 1

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Max. score Mean (SD)</th>
<th>T-score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>– 44.7 (9.7)</td>
<td>–</td>
</tr>
<tr>
<td>Sex (% male)</td>
<td>– 75.7</td>
<td>–</td>
</tr>
<tr>
<td>Education (years)</td>
<td>– 12.6 (2.4)</td>
<td>–</td>
</tr>
<tr>
<td>Age of illness onset</td>
<td>– 21.1 (7.2)</td>
<td>–</td>
</tr>
<tr>
<td>No. of hospitalizations</td>
<td>– 1.3 (3.8)</td>
<td>–</td>
</tr>
<tr>
<td>Global positive symptom rating</td>
<td>15.0 4.3 (2.4)</td>
<td>–</td>
</tr>
<tr>
<td>Global negative symptom rating</td>
<td>25.0 16.2 (5.1)</td>
<td>–</td>
</tr>
<tr>
<td>Auditory attention</td>
<td>21 11.2 (2.3)</td>
<td>44.0a</td>
</tr>
<tr>
<td>Auditory working memory</td>
<td>21 8.2 (2.0)</td>
<td>42.0b</td>
</tr>
<tr>
<td>Verbal memory</td>
<td>75.0 39.4 (9.0)</td>
<td>41.0b</td>
</tr>
<tr>
<td>Executive functioning</td>
<td>– 16.1 (13.2)</td>
<td>41.2b</td>
</tr>
</tbody>
</table>

a Calculated from archival age-matched nonpsychiatric control sample.
b Calculated from published age- and education-matched nonpsychiatric norms (Kongs et al., 2000; Wechsler, 1997).
2.2. Cognitive measures

Auditory attention and working memory were assessed with Letter–Number Sequencing Forward and Reorder conditions, respectively (LNS-F/LNS-R; Lee et al., 2015; Wechsler, 1997). Total recall score from the California Verbal Learning Test, 2nd Ed. (CVLT-II, Delis et al., 2000) indexed verbal memory, and executive functions were measured with the number of perseverative responses obtained on the Wisconsin Card Sorting Test-64 (WCST; Heaton et al., 1993), with higher score indicating worse performance.

2.3. Auditory targeted cognitive training

ATCT (PositScience; brainhq.com) is a computerized cognitive training program that targets both low-level auditory perceptual processes and higher order attention and working memory operations. The program includes a variety of exercises aimed at training a range of auditory-dependent abilities, including auditory tone discrimination, speech syllable discrimination, and memory for verbal instructional sequences. The present study utilized one of the most basic training exercises, “Sound Sweeps”, an auditory frequency discrimination time-order judgment task. In this exercise, participants were presented with pairs of frequency-modulated sound “sweeps” and indicated whether they perceived each sweep as becoming higher or lower in pitch. The training is continuously adaptive (Adcock et al., 2009) — sweep duration, frequency range, and interstimulus interval (ISI) become shorter after correct responses, but longer after incorrect responses. Correct responses are rewarded with reinforcing visual and auditory stimuli. Training is divided into stages, with each stage comprised of levels that differ by stimulus frequency and ISI (Fig. 1). Within each level, participants are presented with 20 trials in which to discriminate the shortest/fastest sweep pairs possible. Baseline auditory processing speed (APS) is calculated for each level based on the shortest duration of stimuli that participants are able to correctly discriminate upon initial exposure to that level. To progress to the next training level, participants must either match their baseline APS score (i.e. discriminate stimulus pairs of equal duration) or surpass their baseline APS score (i.e. discriminate stimulus pairs that are shorter in duration) and maintain that level of performance throughout the remainder of 20 trials. If, however, participants reach a predetermined “goal” threshold by discriminating sweeps substantially shorter than their baseline score, they automatically progress to the next training level without completing the remainder of the 20 trials. Baseline and best APS scores are calculated for each level, with possible scores ranging from 13–1000 ms and lower scores indicating better APS.

A practice block of Sound Sweep exercises was administered prior to training to ensure familiarity with computers and comprehension of task instructions. All participants successfully completed the practice

![Fig. 1. ATCT performance metrics examined in the present study. Scores represent the duration of sounds in ms that participants were able to discriminate direction of frequency modulation. Panel A represents the “initial” improvement metric, in which baseline (green circle) and best (blue circle) scores from the first level of the first training stage were compared. Panel B illustrates the “composite” improvement metrics, for which baseline and best scores were average across levels that differed by stimulus frequency and ISI and then compared. Two composite metrics were calculated – one from the first stage of training only, and one from all levels completed by participants. Panel C represents the “levels completed” metric, which consisted of the number of levels participants were able to complete during 1 h of ATCT.](image-url)
block and demonstrated an understanding of the task before beginning the training. Thus, the practice block served to minimize early variability in performance due to factors other than APS. A research assistant monitored the session, which lasted 1 h.

Ten investigational ATCT performance metrics were calculated to characterize baseline APS, best APS, and within-session APS improvements accrued throughout the 1 h of training. APS improvement was calculated as the percentage of change in stimulus duration that participants were able to discriminate within a given level or group of levels. As described below, APS and APS improvement were calculated over varying time frames corresponding to the first level, stage, and hour of ATCT.

**Level 1 metrics:** Baseline APS, best APS, and percent improvement in APS were calculated for the first level within the first stage of training. Level 1 baseline APS score was thought to provide a measure of auditory perception prior to engaging in any training, whereas Level 1 best APS score was thought to provide a measure of capacity for improvement in APS pursuant to the initial training exposure.

**Stage 1 metrics:** The first “stage” of training is comprised of the first four training levels, Levels 1–4. A range of stimulus frequencies, durations, and ISIs are trained across these four levels; averaging performance across these levels is thought to yield “composite” indicators of baseline APS, best APS, and APS improvement.

**Hour 1 metrics:** Similar to Stage 1, the Hour 1 performance metrics consisted of the average baseline APS, best APS, and APS improvement across all levels completed by participants during the entire hour of training. These metrics were expected to provide a more comprehensive composite measure of auditory processing speed than the Stage 1 metrics, as most participants progressed beyond the first stage of training during the hour, and the one-hour scores were therefore derived from a broader range of auditory stimuli than were the Stage 1 metrics.

Number of levels completed: Given that participants with a greater propensity for neuroplasticity may be better able to surpass their baseline scores and progress through the training more quickly, the total number of levels completed by each participant within the hour of training was calculated and considered a proxy for auditory learning.

2.4. Statistical analyses

Means and standard deviations were calculated for demographic, clinical, and cognitive variables (Table 1). Pearson’s bivariate correlations assessed relationships between the ten ATCT performance metrics and demographic variables, clinical characteristics, and baseline cognitive performance, in order to both validate the metrics and identify predictors of early APS improvement. A significance threshold of \( \alpha = 0.05 \) was used for all analyses.

3. Results

Due to the individually adaptive nature of the training, all participants exhibited some APS improvements, as shown in Fig. 2. In Level 1, average baseline APS was 204 ms (range = 32–750 ms), and average best APS was 132 ms (range = 25–398 ms), resulting in an average of 33% improvement in APS score across that level (range = 0–58%). In Stage 1, average baseline APS was 211 ms (range = 39–673 ms), average best APS was 144 ms (range = 30–538 ms), and average APS improvement was 30% (range = 13–63%). Finally, across Hour 1, average baseline APS was 192 ms (range = 41–602 ms), and average best APS was 133 ms (range = 33–480), resulting in an average of 30% improvement (range = 16–53%). Participants completed an average of 12 training levels (range = 2–23).

In contrast to our hypotheses, no significant relationships were detected between any of the ATCT performance metrics and demographic or clinical variables (\( r's \leq 0.31, p's \geq 0.06 \)). There were, however, several medium or large correlations between ATCT performance metrics and baseline cognitive performance (Fig. 3). Level 1 baseline and best APS scores were significantly and negatively correlated with auditory attention and working memory (i.e. ability to discriminate shorter sounds was associated with better auditory attention and working memory; \( r's \leq -0.36, p's < 0.03 \)). In addition to attention and working memory, Stage 1 average baseline and best APS scores were also significantly associated with executive functioning (\( r's \geq 0.48, p's \leq 0.02 \)), whereas Hour 1 average baseline and best APS scores were significantly correlated with performance across all cognitive domains (\( r's \geq 0.37, p's \leq 0.03 \)).
Fig. 3. "Medium" and "large" correlations among ATCT performance metrics and cognitive domains.
Percentage improvement in APS at Level 1 and Hour 1 was significantly and positively associated with baseline verbal memory (r’s > 0.34, p’s < 0.05); however, Stage 1 percentage improvement in APS was not significantly associated with baseline performance in any cognitive domain. Number of levels completed was marginally associated with auditory attention (r = 0.33, p = 0.051) only.

4. Discussion

The present study aimed to evaluate a variety of potential ATCT performance metrics for their ability to index early auditory “target engagement” as well as their possible utility in future ATCT studies. In so doing, we faced the challenges of extracting information from a cognitive training paradigm that was designed for therapeutic rather than experimental purposes. Consistent with previous meta-analytic findings (McGurk et al., 2007; Wykes et al., 2011), none of the ATCT performance metrics examined in the present study were associated with any demographic or clinical variables. Nevertheless, several noteworthy relationships between these metrics and baseline cognitive performance were observed.

Both baseline and best APS scores derived from Level 1 of the training demonstrated moderate-to-strong correlations with auditory attention and working memory. Stage 1 APS scores that were averaged across a range of auditory stimuli were further associated with executive functioning, whereas APS scores averaged across the entire hour of training (Hour 1 metrics) were also moderately associated with baseline verbal memory. These findings are consistent with previous research demonstrating relationships between auditory perception and performance in complex cognitive domains (Javitt, 2009; Kawakubo et al., 2006; Leitman et al., 2005; Light et al., 2007; Rissling et al., 2014). As evidenced by their moderate-to-strong associations with all cognitive domains, the composite metrics calculated after a full hour of training appear to provide a more robust indicator of auditory perceptual efficiency than do metrics derived from Level 1 or Stage 1.

Interestingly, a different pattern emerged for the APS improvement metrics, which were only associated with verbal memory performance at baseline. Although the small and nonsignificant correlations between APS improvement and attention, working memory, and executive functioning partially contradicted our predictions, our findings nevertheless suggest that the Sound Sweeps auditory frequency discrimination exercise may indeed engage components of the frontotemporal verbal learning network targeted by the broader ATCT intervention (Fisher et al., 2009, 2010, 2015; Vinogradov et al., 2012). Specifically, the degree of APS improvement occurring after 1 h of exposure to auditory frequency discrimination training may indicate the degree to which adaptive tuning has taken place within temporal cortex; the positive association we observed between baseline verbal memory scores and APS improvement suggests that this tuning may be greater (or may occur more rapidly) in patients with relatively strong verbal memory abilities prior to training. Taken in context with previous research showing a positive relationship between APS improvements and cognitive enhancement (Fisher et al., 2015; Murthy et al., 2012; Popov et al., 2011, 2012, 2015), our results further suggest that patients with relatively intact verbal memory performance at baseline may ultimately be shown to respond better to ATCT than might patients with relative verbal memory impairments. This assertion makes intuitive sense, given that the learning and memory capabilities indexed by measures like the CVLT-II presumably engage similar neuroplastic mechanisms to those engaged by ATCT (e.g. brain-derived neurotrophic factor signaling; Gorski et al., 2003; Huang et al., 1999; Vinogradov et al., 2009). Conversely, successful performance on behavioral measures of attention, working memory, and executive functioning does not rely heavily on short-term learning-induced neuroplasticity and these measures at baseline may thus not provide a sensitive index of “plasticity potential.” Consistent with our proposed use of “biomarkers of health” to guide personalized intervention strategies (Light and Swerdlow, 2014), this evidence of spared, rather than deficient, frontotemporal plasticity on measures of learning and memory may ultimately prove predictive of ATCT benefit.

In contrast to the APS improvement metrics, the number of levels completed metric was marginally associated with baseline auditory attention only, suggesting that this metric is less sensitive than the APS improvement metrics to frontotemporal plasticity, and instead may reflect participants’ capacity for task engagement and ability to selectively attend to training exercises. Given that matching or surpassing one’s baseline score is the only requirement for progressing through the training levels, the total number of levels completed does not account for the extent to which auditory learning has occurred — it merely indicates that it has occurred. In addition, the number of levels completed may be susceptible to influence from various non-cognitive factors, such as participant motivation, fatigue, need for breaks, etc., and it therefore appears to lack the richness of the APS improvement metrics for quantifying auditory learning.

With regard to identifying the SZ patients for whom ATCT is the “right” intervention, data from the present study hold some interesting implications that should be explored further in future research. Importantly, the lack of relationships between APS improvement, patient demographics, and clinical characteristics suggests that even older and more symptomatic patients may indeed benefit from the intervention. Although relatively strong verbal memory at baseline may signal the presence of frontotemporal plasticity necessary for cognitive enhancement, it is imperative to note that our preliminary findings do not suggest that patients with verbal memory impairments will not benefit from ATCT. In fact, patients in our sample who performed below the median score on the CVLT-II still demonstrated APS improvements that differed significantly from zero (t(16) = 16.73, p < .001). It simply remains unclear whether, after a prolonged course of ATCT, these comparatively small APS improvements would translate into the same degree of cognitive enhancement as might be expected in less impaired subjects. A longer course of treatment may ultimately be required for patients with lower baseline “plasticity potential.” Alternatively, pharmacologic augmentation of ATCT (e.g. Light and Swerdlow, 2014; Swerdlow, 2011) may be beneficial for maximizing neuroplastic improvements in these patients. Furthermore, the notion that patients with relatively intact verbal memory may respond best to ATCT introduces the possible issue of cognitive ceiling effects. That is, if patients already perform relatively well on verbal memory measures at baseline, is there a need for training? Data from our sample show that a majority of patients (62%) performed at least one standard deviation below age- and education-matched nonpsychiatric controls in the domain of verbal memory (range: z = −3.12 to 0.71); thus, even patients with relatively high memory performance at baseline would still likely have “room” for improvement.

Despite the interesting implications of the present findings, it should be kept in mind that the relationship between APS improvement and verbal memory was only a medium-sized effect, and as previously noted, our study included the administration of only 1 h of ATCT and one ATCT task (Sound Sweeps). Future research should examine these early indicators of auditory learning in the context of a therapeutic “dose” of ATCT in a larger sample to determine whether they can truly distinguish individuals who vary by degree of cognitive enhancement. Other limitations of the present study include the restricted range of perceptual improvements permitted within the Sound Sweeps exercise (i.e. participants automatically progressed to the next training level after reaching a predetermined perceptual threshold beyond their baseline score — the best scores obtained by participants may therefore underestimate their “true” auditory learning capacity). The present study also lacked experimental control of medications and nicotine use. Medication-related exclusion criteria were omitted in order to improve generalizability of findings to real-world community-dwelling patients. Patients in the study were prescribed heterogeneous medication regimens, including agents that may enhance or blunt ATCT’s effects (e.g.
anticholinergic medications; Vinogradov et al., 2009). Randomized controlled trials are needed to experimentally evaluate the potential effects of medication or other pharmacologic agents on ATCT response. Finally, multiple analyses of highly intercorrelated performance metrics were conducted in the present study. Given the small sample size, these analyses are considered exploratory, and it is possible that—in a larger sample—more conservative tests controlling for multiple comparisons might yield different results. Again, additional research is needed to verify the preliminary findings presented here. Nevertheless, as shown in Fig. 3, the strong associations among the Hour 1 baseline and best APS metrics, attention, and working memory can be interpreted with a high degree of confidence and, at minimum, our findings suggest that the ATCT exercises target functionally-relevant cognitive domains in SZ.

This study is the first to demonstrate that the auditory system plasticity requisite for cognitive enhancement from ATCT in SZ may be evident as early as the initial training session. Our data provide tentative support for use of the APS improvement metrics, especially those averaged across Hour 1 of training, for their ability to “probe” the plasticity potential of the frontotemporal verbal learning network. Our data also suggest that relatively high verbal memory performance at baseline might yield different results. Again, additional research is needed to verify the preliminary findings presented here. Nevertheless, as shown in Fig. 3, the strong associations among the Hour 1 baseline and best APS metrics, attention, and working memory can be interpreted with a high degree of confidence and, at minimum, our findings suggest that the ATCT exercises target functionally-relevant cognitive domains in SZ.


