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Broad vaccine protection against Neisseria meningitidis using factor H binding protein

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## **Authors**

Findlow, Jamie Bayliss, Christopher D Beernink, Peter T <u>et al.</u>

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1 1	Broad vaccine protection against Neisseria meningitidis using factor H binding protein
2 3	Jamie Findlow, PhD, <sup>1*</sup> Christopher D. Bayliss, PhD, <sup>2</sup> Peter T. Beernink, PhD, <sup>3</sup> Ray Borrow,
4	PhD, <sup>4</sup> Paul Liberator, PhD, <sup>5</sup> Paul Balmer, PhD <sup>6</sup>
5	
6	<sup>1</sup> Vaccine Medical Development, Scientific and Clinical Affairs, Pfizer Ltd, Tadworth, UK;
7	<sup>2</sup> Department of Genetics and Genome Biology, University of Leicester, Leicester, UK;
8	<sup>3</sup> Department of Pediatrics, School of Medicine, University of California, San Francisco, San
9	Francisco, CA, USA; <sup>4</sup> Public Health England, Manchester Royal Infirmary, Manchester, UK;
10	<sup>5</sup> Vaccine Research and Development, Pfizer Inc, Pearl River, NY, USA; <sup>6</sup> Vaccine Medical
11	Development, Scientific and Clinical Affairs, Pfizer Inc, Collegeville, PA, USA
12	
13 14 15 16 17 18 19 20	Author email addresses: Jamie Findlow: Jamie.Findlow@pfizer.com Christopher Bayliss: cdb12@leicester.ac.uk Peter Beernink: peter.beernink@ucsf.edu Ray Borrow: ray.borrow@phe.gov.uk Paul Liberator: Paul.Liberator@pfizer.com Paul Balmer: Paul.Balmer@pfizer.com
21	Article type: review
22 23 24 25 26	*Corresponding author: Jamie Findlow, PhD Vaccine Medical Development, Scientific and Clinical Affairs

- 26 Pfizer Ltd
- 27 Walton Oaks
- 28 Dorking Road
- 29 Tadworth
- 30 Surrey
- 31 KT20 7NS

- 32<sup>2</sup> United Kingdom
- 33 Tel: +44 (0)7766 420225
- 34
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- 45
- 46 <u>Suggested reviewers</u>
- 47

Name	Institution	Email
Paula Kriz	National Institute of Public Health	krizova@szu.cz
Lee Harrison	University of Pittsburgh	lharriso@edc.pitt.edu
Andrew Gorringe	Public Health England	Andrew.gorringe@phe.gov.uk
Georgina Tzanakaki	University of West Attica	gtzanakaki@uniwa.gr

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# <sup>3</sup>50 **Highlights**

- 51
- 52 MenB vaccines with FHbp variants can be highly immunogenic against diverse strains
- 53 Lipidated FHbp induces superior immune responses compared with nonlipidated FHbp
- 54 MenB vaccines differ in presentation and number of FHbp antigens included
- 55 Lipidated FHbps from both subfamilies A and B successfully provide broad protection
- 56 The critical role of FHbp limits the risk of vaccine escape and strain replacement

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### 58 Abstract

59 *Neisseria meningitidis*, the causative agent of invasive meningococcal disease (IMD), is 60 classified into different serogroups defined by their polysaccharide capsules. Meningococcal 61 serogroups A, B, C, W, and Y are responsible for most IMD cases, with serogroup B (MenB) 62 causing a substantial percentage of IMD cases in many regions. Vaccines using capsular 63 polysaccharides conjugated to carrier proteins have been successfully developed for serogroups 64 A, C, W, and Y. However, because the MenB capsular polysaccharide is poorly immunogenic, 65 MenB vaccine development has focused on alternative antigens. 66 The 2 currently available MenB vaccines (MenB-4C and MenB-FHbp) both include 67 factor H binding protein (FHbp), a surface-exposed protein harboured by nearly all 68 meningococcal isolates that is important for survival of the bacteria in human blood. MenB-4C 69 contains a nonlipidated FHbp from subfamily B in addition to other antigens, including 70 Neisserial Heparin Binding Antigen, Neisserial adhesin A, and outer membrane vesicles, 71 whereas MenB-FHbp contains a lipidated FHbp from each subfamily (A and B). FHbp is highly 72 immunogenic and a main target of bactericidal activity of antibodies elicited by both licensed 73 MenB vaccines. FHbp is also an important vaccine component, in contrast to some other 74 meningococcal antigens that may have limited cross-protection across strains, as FHbp-specific 75 antibodies provide broad cross-protection within each subfamily. Limited cross-protection 76 between subfamilies necessitates the inclusion of FHbp variants from both subfamilies to achieve 77 broad FHbp-based vaccine coverage. Additionally, immune responses to the lipidated form of 78 FHbp have a superior cross-reactive profile to those elicited by the nonlipidated form. Taken 79 together, the inclusion of lipidated FHbp variants from both FHbp subfamilies is expected to

- $\overset{5}{80}$ provide broad protection against the diverse disease-causing meningococcal strains expressing a
- 81 wide range of FHbp sequence variants. This review describes the development of vaccines for
- 82 MenB disease prevention, with a focus on the FHbp antigen.

- 84 Keywords: factor H binding protein, meningococcal serogroup B vaccine, Neisseria
- 85 meningitidis, immune selection

6

## 86 Introduction

87 *Neisseria meningitidis* strains are classified into different serogroups based on their 88 capsular polysaccharide structures, with most invasive meningococcal disease (IMD) cases 89 caused by serogroups A, B, C, W, and Y [1,2]. The predominant disease-causing serogroups vary 90 by location, over time, and by age-based population [1-3], with meningococcal serogroup B 91 (MenB) in particular responsible for a substantial percentage of IMD cases in diverse global 92 regions [2]. In 2017, MenB caused 38% and 51% of cases in the United States and European 93 Union, respectively [3,4]. Among older adolescents and young adults (age 16-23 years), MenB 94 strains caused 70% of US cases in 2017, compared with 38% in the overall population; incidence 95 rates were also elevated in adolescents and young adults [4]. 96 Vaccination is the preferred strategy to control IMD because of the nonspecific initial 97 presentation, rapid progression, and considerable potential for devastating or fatal sequelae [5]. 98 Purified polysaccharide and polysaccharide protein conjugate vaccines have been developed and 99 successfully used to prevent disease caused by meningococcal serogroups A, C, W, and Y [5,6]. 100 Unlike these serogroups, the MenB polysaccharide capsule is poorly immunogenic [7], likely 101 because it resembles a polysialylated protein present on human neural cells [8]. Consequently, 102 efforts to develop a broadly protective MenB vaccine have focused on surface protein antigens 103 [9]. These antigens are often extremely diverse, with some exhibiting >1000 allelic variants [10]; 104 as such, it is critical that the antigens included in a MenB vaccine induce immune responses that 105 are protective against the diversity of disease-causing strains.

106 Currently licensed vaccines for MenB prevention include MenB-4C (Bexsero<sup>®</sup>, 4CMenB;
107 GSK Vaccines Srl, Sovicille, Italy) [11] and MenB-FHbp (Trumenba<sup>®</sup>, bivalent rLP2086; Pfizer

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108	Inc, Philadelphia, PA) [12] (Table 1). MenB-4C contains 3 main recombinant protein antigens, 2
109	of which are formulated as fusion proteins [11]. These include a nonlipidated variant of a
110	subfamily B factor H binding protein (FHbp), Neisserial Heparin Binding Antigen (NHBA), and
111	Neisserial adhesion A (NadA), in addition to outer membrane vesicles (OMVs). MenB-4C has
112	been approved in several countries and regions, including Argentina, Australia, Brazil, Canada,
113	Chile, the European Union, Israel, New Zealand, the United States, and Uruguay [11,13-21].
114	MenB-4C can be administered as early as age 2 months in all of these countries/regions except
115	the United States; most countries recommend a specific 2- or 3-dose schedule with a booster
116	dose, depending on age group. The other licensed MenB vaccine, MenB-FHbp, includes 2
117	lipidated variants of recombinant FHbp, 1 from each of the 2 FHbp phylogenetic subfamilies
118	(termed A and B [22]) [12]. MenB-FHbp is licensed in several countries and regions, including
119	Australia, Canada, Chile, the European Union, and the United States; it is generally indicated for
120	use in individuals >10 or 10–25 years of age under either a 2- or 3-dose schedule [12,23-26].
121	This review provides historical and scientific context to the development of vaccines for
122	the prevention of MenB IMD with a focus on FHbp, an important component and a main target
123	of bactericidal activity of both licensed MenB vaccines [11,12,27]. The presentation and
124	formulation of FHbp included in each vaccine differs, in turn affecting the breadth of protection
125	afforded by this protein antigen against diverse, circulating, disease-causing MenB strains.
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## 127 Early MenB Vaccines and the Quest for Broad Coverage

Meningococcal serogroups generally comprise a wide diversity of disease-causing strains
[28]. Vaccines for preventing disease caused by a particular meningococcal serogroup should

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130 ideally provide complete coverage, ie, induce a protective response against all strains within that 131 serogroup [29]. For meningococcal serogroups A, C, W, and Y, safe and effective vaccines using 132 the capsular polysaccharide as the vaccine antigen have been developed [5]; this approach has 133 been successful because the same capsular polysaccharide is present in all strains within a given 134 serogroup [29], and vaccine-induced antibodies targeting the capsular polysaccharide thus 135 provide protection against all strains of that particular serogroup. Recognition that the MenB 136 capsular polysaccharide is poorly immunogenic and has the potential to induce autoimmune 137 responses [7,8] led to proposals for using surface protein antigens in vaccines for the prevention 138 of MenB IMD [9]. The ideal MenB vaccine antigen would be similar to the capsular 139 polysaccharide for meningococcal serogroup A, C, W, and Y vaccines in that it would be 140 abundant in all circulating, disease-causing, MenB strains and would either be completely 141 conserved across strains or induce functional antibodies that are cross-protective against all 142 antigenic variants [9,29]. 143 Early MenB vaccines included monovalent OMV vaccines that contain the 144 immunodominant outer membrane protein, porin A (PorA) [6,30]; these were successfully used 145 in response to national MenB epidemics dominated by a single bacterial clone, and hence PorA 146 serosubtype, in Norway [31], Cuba [32], and New Zealand [33]. However, these monovalent 147 OMV vaccines had little utility in other geographic areas [6,30]. This is because OMV-induced 148 responses are primarily directed against the immunodominant PorA, which has a high degree of 149 sequence diversity among strains in its surface-exposed loops, and antibodies raised against one 150 PorA serosubtype have very limited cross-reactivity with other PorA subtypes. Polyvalent OMV 151 vaccines containing multiple PorA variants were subsequently developed to broaden coverage;

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these have included bivalent [34], hexavalent [35], and nonavalent [36] vaccines. However, none

153 have progressed beyond clinical development to real-world use [30].

Given the limitations of OMV vaccines in providing broad coverage of diverse MenB strains, there was considerable interest in identifying an immunogenic vaccine antigen that was surface-exposed, conserved, and widely expressed across MenB strains [9,29].

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## 158 Use of FHbp as a MenB Vaccine Antigen

159 Factor H binding protein was independently identified as a potential MenB vaccine 160 antigen in the development of both MenB-4C and MenB-FHbp [22,37]. In early MenB-4C 161 studies, FHbp was identified via genomic mining and termed Genome-derived Neisserial 162 Antigen (GNA) 1870 [37]. During initial MenB-FHbp studies, FHbp was identified using a 163 combined biochemical and immunological screening approach and referred to as lipoprotein 164 2086 (LP2086) [22,38]. FHbp is a surface-exposed protein harboured by >99% of 165 meningococcal isolates; each strain codes for a single FHbp sequence variant [22,37-39]. 166 Expressed as a precursor protein, the initial FHbp is processed prior to localization on the 167 bacterial surface [40]. In a manner that now appears to be dependent upon the FHbp signal 168 peptide sequence, the protein on the bacterial surface is lipidated in certain MenB strains and 169 nonlipidated in others [37,40].

The near ubiquity of FHbp is potentially explained by its role in binding human factor H
(FH), a protein that downregulates the alternative complement pathway, in turn leading to
evasion of complement-mediated bacterial lysis [41,42]. FHbp, or an alternative protein that
binds FH, thus plays an important role in meningococcal survival during systemic spread and,

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presumably, mucosal colonization [43-45]. For FHbp, this was demonstrated in an *ex vivo* human 174 175 whole blood model of meningococcal septicemia in which deletion of the *fhbp* gene resulted in a 176 dramatic decrease in meningococcal survival; similar results were observed in serum bactericidal 177 antibody (SBA) assays [43,46]. 178 Several FHbp characteristics have implications for its use as a vaccine antigen. Despite 179 the widespread expression of FHbp across the vast majority of strains, a few invasive MenB 180 strains have been identified that either carry a frameshift mutation in the *fhbp* gene, as found in 181 some clonal complex 11 (cc11) sequence type 11 (ST-11) isolates, or have lost the gene entirely, 182 leading to deficient or nonexistent FHbp expression [47,48]. Such strains will therefore not be 183 covered by an FHbp-based vaccine. Additionally, although FHbp is extremely diverse, with 1241 184 known allelic variants as of September 2019 [10], variants are grouped into 2 subfamilies (A and 185 B; Figure 1) [22]; prevalence of subfamilies and individual strains varies by region (Table 2) 186 [39]. Importantly, FHbp sequence identity is relatively high within a subfamily (>84%) but lower 187 between subfamilies ( $\sim 60\% - 75\%$ ). The FHbp expression level can also vary substantially among 188 isolates and may therefore influence whether anti-FHbp antibodies are able to confer protection 189 in cases of low expression [37,49]. Finally, it should be noted that because FHbp is a 190 meningococcal antigen that is not exclusive to serogroup B [22], an FHbp-based vaccine could 191 potentially provide protection against non-MenB strains. These attributes will be described in 192 greater detail in the following sections.

Factor H binding protein is thus similar to the "holy grail" antigen in that it is surfaceexpressed, induces functional antibodies, and is harboured by almost all disease-causing strains
[22,39]; however, FHbp falls short in that low expression in some strains may reduce

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196 susceptibility to antibodies [49]. In rare cases, strains lacking FHbp may use alternative proteins 197 such as Porin B (PorB) or Neisserial surface protein A (NspA) to bind FH [44,45,47,50]; 198 however, one analysis indicated that both PorB and NspA were required for sufficient resistance 199 of complement-mediated bacterial lysis [50]. Additionally, FHbp protein sequences are not 200 conserved across all strains; however, this limitation can be mitigated by inclusion of variants 201 from each of the 2 subfamilies exhibiting high intrafamily sequence homology, enabling 202 potential cross-protection within subfamilies and ultimately breadth of coverage [22,49]. Breadth 203 of coverage therefore depends on immunogenicity of the FHbp antigen, which is driven by 204 antigenic presentation and overall formulation of individual vaccines. 205 206 MenB-4C 207 The FHbp antigen included in MenB-4C is a recombinant, nonlipidated version of a 208 subfamily B variant (Figure 1) [11,30]. In early MenB-4C evaluations, use of recombinant FHbp 209 alone failed to induce functional antibodies to strains expressing FHbp subfamily A variants 210 [37]. Later studies preceding the final MenB-4C formulation indicated that fusing the 211 nonlipidated FHbp to another protein (GNA2091) increased immunogenicity compared with 212 FHbp alone; however, this increase only manifested with 2 of the 3 strains evaluated, one of

which only exhibited a 2-fold titre difference compared with FHbp alone [51]. Early clinical
studies in infants indicated that immune responses to a vaccine containing the nonlipidated FHbp
fusion protein as well as NHBA (also formulated as a fusion protein) and NadA failed to induce

216 robust immune responses against certain MenB strains, particularly those with vaccine-

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217	heterologous FHbp variants that also had low or nonexistent NadA expression; thus, neither
218	FHbp nor the other vaccine antigens induced protective antibodies against these strains [52,53].
219	An alternative vaccine formulation that also included OMVs from the NZ98/254 strain
220	increased immunogenicity against most MenB strains evaluated, beyond those matched for PorA
221	(Figure 2), and was selected as the MenB-4C final formulation [52-54]. The addition of OMVs
222	to the final MenB-4C formulation does not broaden responses to FHbp because detergent
223	extraction of the OMV removes FHbp [55]; as such, immune responses to FHbp are expected to
224	remain unchanged for this formulation. Moreover, more recent studies using the meningococcal
225	antigen typing system (MATS; discussed in detail below) have predicted little to no FHbp-
226	mediated coverage of subfamily A strains by MenB-4C, although other antigens may provide
227	protection against these isolates [56,57]. This formulation was demonstrated to exhibit an
228	acceptable safety profile across many studies [58].
229	Multiple studies have focused on testing the breadth of protection by antibodies induced
230	by the FHbp component of MenB-4C. The manufacturer of MenB-4C used specific "indicator
231	strains" to evaluate MenB-4C-induced SBA in assays using human complement (hSBA), with
232	the goal of evaluating contributions of individual antigens to killing [58,59]. The FHbp indicator
233	strain used in clinical studies, 44/76-SL, includes a vaccine-matched FHbp variant that is highly
234	expressed [11,58,59]; use of this strain fails to provide data regarding breadth of coverage
235	against strains with divergent FHbp sequences. Potential coverage of diverse strains was
236	evaluated in the same MATS studies referenced previously, which do not predict 100% coverage
237	of FHbp subfamily B strains by anti-FHbp antibodies induced by MenB-4C (coverage of strains
238	expressing a particular subfamily B variant was predicted at 24% in one study) [56,57].

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239	Additionally, other studies using isogenic strains expressing different FHbp subfamily B variants
240	found decreasing titres in hSBA assays with increasing divergence from the MenB-4C variant ;
241	in humans, this was age-related and most pronounced in infants [60,61]. Similar findings
242	regarding lack of anti-FHbp subfamily A protection and lack of cross-reactivity to all FHbp
243	subfamily B-expressing strains have been demonstrated in clinical evaluations and directly
244	contrast with results obtained for strain 44/76-SL (Figure 2) [52-54]. Despite the limit in breadth
245	of protection across FHbp variants, it is important to note the potential of MenB-4C to provide
246	protection against non-serogroup B meningococci, with 1 study demonstrating substantial hSBA
247	activity against most strains comprising a serogroup X strain panel [62]; however, these
248	responses may have been directed against antigens other than FHbp.
249	The additional antigens included in MenB-4C are intended to enhance the vaccine's
250	breadth of coverage [51]. However, understanding the prevalence of these antigens within
251	disease-causing strains is important for predicting vaccine-induced protection. For example, the
252	nadA gene was present in only 22% and 39% of invasive isolates collected in the European
253	Union during 2007–2008 and the United States during 2000–2008, respectively [56,57].
254	Additionally, NadA variants segregate into 2 groups that do not induce cross-reactive functional
255	immunity [63]; thus, the presence of the <i>nadA</i> gene in a given disease-causing strain may not
256	guarantee protection against a NadA-expressing strain. Similarly, antibodies directed against
257	PorA, the immunodominant antigen in the OMV component of MenB-4C, have limited cross-
258	reactivity [30]; as such, MenB-4C strain coverage via the OMV component is restricted to strains
259	harbouring vaccine-homologous PorA subtypes, which can be limited among disease-causing
260	strains [56,57]. As with FHbp [49], expression levels of the additional MenB-4C antigens may

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261 also affect vaccine coverage; for NadA, only a small percentage of US and EU strains harbouring 262 the *nadA* gene expressed the protein at protective levels [56,57]. Despite evidence of MenB-4C 263 effectiveness against prevalent MenB strains [64], the use of multiple different antigens within a 264 MenB vaccine thus does not necessarily afford protection against all MenB strains. 265 Although the hSBA assay is the only generally accepted surrogate measure of protection for MenB disease [65], the MenB-4C manufacturer developed an alternative assay, MATS, to 266 267 predict breadth of strain coverage [66]. MATS was developed with the aim of improving 268 understanding of the contributions of antibodies raised against individual antigens to overall 269 vaccine coverage. Specifically, MATS uses an enzyme-linked immunosorbent assay (ELISA) to 270 test individual MenB isolates and simultaneously measure the ability of MenB-4C-induced 271 antibodies to recognise each of the 3 proteins (ie, FHbp, NadA, and NHBA) harboured by each 272 isolate in conjunction with the amount of protein expressed. A particular strain is predicted to be 273 covered by MenB-4C if ELISA reactivity for any of the 3 vaccine proteins expressed by that 274 strain exceeds antigen-specific thresholds or if the PorA serosubtype or genosubtype of the strain 275 matches that of the OMV vaccine component. Using MATS, studies have shown that, in some 276 cases, protection afforded by MenB-4C against a given MenB strain is predicted to result from 277 bactericidal activity induced by as many as 4 antigens [56,57]. This observation may be 278 important because the bactericidal contributions of antibodies can vary depending on the antigen 279 specificity, with maximum killing demonstrated when antibody populations to multiple antigens 280 are able to act synergistically [67,68]; this can occur even when antibodies to individual antigens 281 are not independently bactericidal [59]. It has been suggested that MATS underestimates strain 282 coverage in comparison with hSBA, possibly as a result of such additive contributions [69].

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283	Similarly, antibodies targeting multiple OMV proteins including minor antigens have also
284	demonstrated additive bactericidal activity when tested in combination, despite having low
285	killing activity when tested alone [70]. Importantly, despite the inclusion of multiple antigens in
286	MenB-4C, MATS has predicted that 9%–22% of MenB strains in the United States and Europe
287	will not be covered by the vaccine [56,57].
288	MenB-4C effectiveness against IMD has been evaluated following its addition to the UK
289	national immunization program in September 2015. Recent data evaluating the first 3 years of
290	the programme indicated that effectiveness of a 2-dose infant schedule was 52.7% [64],
291	supporting the utility of noncapsular protein vaccines against IMD. However, effectiveness
292	against strains predicted by MATS was 64.4%, highlighting limitations of using a secondary, in
293	vitro assay rather than hSBA to predict breadth of coverage.
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296 MenB-FHbp

297 The development of FHbp as an antigen in MenB-FHbp followed a different pathway 298 than that of MenB-4C. In preclinical studies, lipidated FHbp was observed to induce bactericidal 299 antibody titers that were higher than those induced by nonlipidated FHbp antigens [22]. 300 Lipidated subfamily B FHbp variants induced SBA that was cross-reactive to other subfamily B 301 variants tested and was also associated with some cross-reactivity against subfamily A variants 302 [22,49]. However, responses were lower than those directed against subfamily B variants, indicating that a monovalent lipidated subfamily B antigen was not sufficient to provide broad 303 304 coverage against strains harbouring subfamily A FHbps. Use of lipidated subfamily A variants 305 also induced immune responses with high cross-reactivity within subfamily A and some cross-

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reactivity against subfamily B variants. Based on these data, the MenB-FHbp final formulation 306 307 includes 2 lipidated FHbp variants, 1 from each subfamily (Figure 1), along with aluminium 308 adjuvant [12]; the lipidated FHbp variants have been described as "self-adjuvanting" due to the 309 enhanced immune responses they induce compared with non-lipidated formulations [71]. Thus, 310 the MenB-FHbp formulation is expected to induce antibodies against nearly all invasive MenB 311 isolates. 312 The considerations of antigen presence and expression relate differently to MenB-FHbp 313 compared with MenB-4C. Unlike nadA, but similar to porA, the fhbp gene is harboured by nearly 314 all meningococcal isolates [39,56,57,72], consistent with its important role in bacterial survival 315 [43]. However, as mentioned previously, certain MenB strains with low or no FHbp expression 316 have been identified [47-49], which could potentially limit the breadth of protection of an FHbp-317 based vaccine. Nonetheless, a study evaluating an extensive collection of invasive MenB isolates 318 (N=1814) found that >91% were predicted to be susceptible to bactericidal killing by MenB-319 FHbp vaccine-induced antibodies [73]. 320 Immunogenicity analyses for MenB-FHbp clinical studies evaluated hSBA activity 321 against 4 primary and 10 additional vaccine-heterologous strains that were chosen to provide an 322 estimate of the vaccine's breath of coverage (Figure 1) [9,74]. Thus, in contrast to MenB-4C, 323 MenB-FHbp breadth of coverage estimates rely directly on evaluations of hSBA activity, the 324 accepted surrogate of MenB disease protection [65], using diverse strains; no secondary assay 325 (eg, MATS) is used. The primary strains were randomly selected from a pool of isolates 326 harbouring vaccine-heterologous FHbp variants that were representative of the diversity of 327 MenB isolates, having low to medium FHbp surface expression, and associated with low

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328 baseline hSBA activity [9]. The FHbp variants expressed by the 4 primary test strains 329 collectively were found in 42% of invasive MenB isolates from a 1263-strain pool of disease 330 isolates from the United States and Europe (Table 2) [74]. Selection of the 10 additional test 331 strains was subject to criteria similar to those for the primary strains; the FHbp variants included 332 in the primary and additional test strains collectively represent FHbp variants harboured by 333 80.8% of strains within the strain pool described above. 334 Clinical data have suggested broad coverage by the final MenB-FHbp formulation. Phase 335 2 and 3 clinical MenB-FHbp studies in >20,000 adolescent and adult subjects found this 336 formulation to have an acceptable safety profile [75]. Evaluation of sera collected 1 month after a 337 3-dose vaccine series in hSBA assays indicated that up to 94% of vaccinated subjects achieved 338 protective titres and  $\geq$  4-fold rises in hSBA titre against the 4 primary MenB test strains [75]. 339 High percentages (71.3%–99.3%) of immunized subjects aged 10–25 years in the pivotal MenB-340 FHbp phase 3 studies additionally achieved hSBA titres above protective levels against the 10 341 additional test strains (Figure 3) [76]. Additional studies using sera from adolescent and adult 342 subjects demonstrated robust hSBA responses following MenB-FHbp vaccination against MenB 343 strains harbouring diverse FHbp variants from both subfamilies, including a number of strains 344 associated with outbreaks from various global regions [29,77-79]. Sera from adolescent subjects 345 vaccinated with MenB-FHbp also induced substantial immune responses against strains from meningococcal serogroups C, W, X, and Y, with lower responses against a serogroup A strain 346 347 [80]. Recent smaller-scale clinical studies have supported MenB-FHbp breadth of coverage in 348 toddlers and young children using the 4 primary MenB test strains [81,82]; however, the vaccine 349 is not currently licensed for these age groups[12].

350 Clinical data thus provide evidence that MenB-FHbp is expected to provide protection 351 across disease-causing MenB strains beyond the 14 test strains used in the phase 3 clinical 352 studies. This demonstrated breadth of coverage can be attributed to the inclusion of FHbp 353 variants from both subfamilies as well as the lipidated nature of the vaccine antigens.

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**Other FHbp-Containing Vaccines** 355

356 Additional FHbp-based MenB vaccines are currently in development [83]. One approach 357 uses native OMVs from meningococcal strains genetically engineered to overexpress FHbp 358 variants from both subfamilies; this formulation elicited broad protection as measured by SBA 359 responses in nonhuman primates against MenB strains from both FHbp subfamilies [84]. 360 Another alternative FHbp-based vaccine formulation uses a mutant FHbp with decreased FH 361 binding to reduce epitope masking and increase the functional activity of anti-FHbp antibodies 362 [85]. More recently, these 2 approaches have been combined, with the resulting vaccine eliciting 363 hSBA responses in primates that were superior to those induced by MenB-4C for strains 364 expressing PorA variants heterologous to both vaccines [83]. Importantly, the strains used in the 365 latter study expressed subfamily B FHbp variants, and it was noted that a vaccine using this 366 approach should include antigens from both FHbp subfamilies to provide broad coverage. 367 A recently published murine study evaluated immunogenicity of a group of novel MenB 368 antigens that used FHbp variant B24 as a molecular scaffold, with PorA surface loop epitopes 369 integrated at different amino acid positions in FHbp [86]. SBA activity (using rabbit 370 complement) against the FHbp-homologous strain H44/76 was detected for all antigens tested, 371 with killing seemingly dominated by antibodies targeting FHbp.

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#### 373 Vaccine Pressure and Generation of Escape Mutants

374 Use of only FHbp within MenB-FHbp has led to concern about the potential generation 375 of escape mutants with low FHbp expression levels or lacking *fhbp* entirely [47]. Vaccination 376 could potentially place selective evolutionary pressures on meningococcal populations, which 377 can lead to increased prevalence of strains lacking the protein(s) covered by a given vaccine [87]. 378 When reviewing changing epidemiology, it can be difficult to separate the roles of 379 vaccine pressure and temporal trends of a given disease-causing organism [88]. Temporal trends 380 have yielded important influence on meningococcal disease epidemiology; for example, MenB 381 disease incidence has decreased worldwide in recent years despite lack of widespread 382 vaccination strategies [2], possibly because of immunologic factors and behavioural shifts in the 383 population. However, MenB disease resurgence remains a possibility, as shown by recent 384 outbreaks [79,89]. 385 Following the widespread use of monovalent capsular polysaccharide conjugate 386 meningococcal vaccines, there has not been an appreciable increase in IMD due to other 387 serogroups driven by vaccine pressure. For example, in Africa, widespread use of a 388

meningococcal serogroup A (MenA) conjugate vaccine has been associated with a dramatic

389 decrease in MenA IMD incidence [90]. IMD cases due to other serogroups, such as serogroups

390 C, W, and X, have increased in subsequent years in African countries that implemented MenA

391 vaccination; however, outbreaks associated with these serogroups also occurred before MenA

392 vaccine introduction, and overall IMD rates remain substantially lower than prevaccination rates

393 [2,90]. Similarly, the decreases in meningococcal serogroup C (MenC) disease incidence

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394 following widespread MenC vaccination in countries such as England and the Netherlands was 395 not accompanied by any significant increases in disease caused by other serogroups [91,92]. The 396 number of meningococcal serogroup W (MenW) IMD cases in England (and many other 397 countries throughout the world [93]) has dramatically increased in recent years, but overall IMD 398 incidence rates remain much lower than before widespread MenC vaccination [91]. For 399 monovalent OMV MenB vaccines, such as those used in Cuba and New Zealand, there was no 400 evidence of vaccine-induced MenB strain replacement following mass vaccination campaigns 401 [32,33]. This was despite high incidence rates before vaccination, although cross-protection 402 against nonepidemic strains, albeit to a lesser extent, may have contributed. Thus, meningococcal 403 vaccination campaigns have historically not been been associated with the generation of vaccine 404 pressure and escape mutants. 405 On the other hand, it could be argued that vaccine escape mutants are most likely 406 generated when selective vaccine pressure is placed on either a dispensable antigenic component 407 or a limited number of antigenic variants of a diverse antigenic component. As has been 408 observed for other pathogens, either situation could lead to an increased prevalence of strains 409 expressing variants not covered by the vaccine [87]. For instance, there are now Bordatella 410 pertussis strains lacking the vaccine antigen pertactin, resulting in decreased vaccine efficacy and

411 a resurgence of pertussis in many countries [94]. For this reason, it is critical that even for a

412 ubiquitous antigen, a given meningococcal vaccine should include antigens covering all variants

413 expressed by targeted disease-causing strains (eg, both subfamilies A and B in the case of MenB-

414 FHbp), including potentially emerging variants. Selective pressure could potentially be a more

415 realistic concern for MenB-4C, in which lack of coverage by the FHbp antigen against strains

 $\overset{21}{416}$ harbouring subfamily A variants [56,57], and even some subfamily B variants [52,53], may lead 417 to increases in strains with these non-covered FHbp variants. Increases in proportions of 418 subfamily A strains have naturally occurred in some countries, such as Spain and the 419 Netherlands [95-97]. 420 For MenB-FHbp, however, this progression may be less likely to occur because of broad protection conferred by targeting FHbp, which is nearly ubiquitous across MenB strains 421 422 [22,38,39,49] and due to the important role it plays in bacterial survival [43,46]. Of note, 423 however, is that some strains have low or no FHbp expression and instead appear to rely on other 424 FH ligands that permit survival in immunocompetent hosts in the presence of FH [44,45,47,50]. 425 The near ubiquity of FHbp in MenB disease-causing strains contrasts with the frequent absence 426 of some other antigens used in MenB vaccines [56,57]. 427 Vaccine strategies should also consider the age group in which the vaccine is 428 predominantly used and how this use contributes to herd protection. Limiting vaccination to 429 infants may reduce vaccine pressure because infants and young children rarely carry 430 meningococci [98] and are therefore unlikely to drive strain evolution [99]. By contrast, the 431 MenC conjugate vaccine program in the United Kingdom offered vaccination to all individuals up to age 24 years and resulted in remarkable herd protection, with a reduction in MenC carriage 432 433 without serogroup replacement [100,101]. Additionally, there was a greater effect against strains 434 from the ST-11 clonal complex, which was the predominant disease-causing lineage when the 435 vaccine was introduced, compared with other sequence types, with these strains exhibiting high 436 capsule expression rates [101]. This raises the possibility that immune escape could happen with 437 other antigens and, in contrast to the loss of capsule, which is essential for virulence, that these

22

438	strains might still cause disease. Recent data have shown that MenB-4C does not reduce
439	acquisition of meningococcal carriage or affect carriage density [102,103] and will therefore be
440	unlikely to induce herd protection or result in vaccine pressure during asymptomatic carriage. As
441	of yet, no large-scale studies have evaluated MenB-FHbp effects on carriage, although two
442	smaller studies suggested that MenB-FHbp did not affect carriage at the population level
443	[104,105]. However, the use of 2 different FHbp variants and different presentation (ie, as
444	lipidated nonfusion proteins) may affect carriage differently compared with the FHbp, or other
445	antigens, included in MenB-4C. An ongoing study in the United Kingdom evaluating the impacts
446	of both MenB-4C and MenB-FHbp on meningococcal carriage [106] will provide further insight
447	on this topic.
448	The importance of FHbp is supported by its presence in meningococcal strains
449	irrespective of serogroup [22,107], and both MenB-4C and MenB-FHbp studies have indicated
450	the potential for these vaccines to provide protection against non-serogroup B strains [62,80].
451	However, capsular polysaccharide vaccines for serogroups A, C, W, and Y are still ideal for
452	preventing disease caused by each of these serogroups because the capsular polysaccharide is
453	highly immunogenic and conserved across all strains within a given serogroup [6,29].
454	

## 455 Conclusions

456 Several attributes distinguish FHbp as a potentially broadly protective vaccine antigen,
457 including expression at the bacterial surface, role as a virulence factor for bacterial survival,
458 ability to elicit a bactericidal response, and, although sequences are diverse, segregation of
459 variants into 2 well-defined subfamilies.

 $\overset{23}{460}$ The 2 currently licensed MenB vaccines, both of which have acceptable safety profiles 461 and are currently administered in widespread global regions, use different strategies to induce humoral immune responses and to protect against IMD. MenB-4C includes a single nonlipidated 462 463 subfamily B FHbp variant, which lacks protection against strains that express subfamily A variants and even limited cross-protection within subfamily B; the vaccine formulation includes 464 465 other antigens for this reason. The dynamic nature of FHbp epidemiology, as demonstrated in 466 countries such as Spain and the Netherlands, can render this strategy potentially subject to 467 vaccine pressure, which may lead to increased prevalence of strains not covered by the MenB-4C 468 FHbp component. For MenB-FHbp, early evaluations demonstrated that FHbp lipidation was 469 critical for increased immunogenicity and led to the possibility of a broadly protective vaccine 470 based on only 2 FHbp antigens (ie, a representative each from subfamily A and subfamily B). 471 Due to the cross protection afforded by MenB-FHbp, vaccine pressure induced by this strategy is 472 placed on FHbp as a whole rather than a specific subfamily sequence variant, and is not predicted 473 to be affected by changing proportions of FHbp subfamilies among disease-causing variants. 474 Furthermore, the important role of FHbp in evasion of complement-mediated bacterial lysis 475 suggests that loss of FHbp expression among strains in response to use of either vaccine is 476 unlikely.

477 Although vaccine pressure and the generation of escape mutants continue to be potential 478 concerns, there have been few observations of strain replacement following mass meningococcal 479 vaccinations. Nevertheless, the possibility remains for strong selective pressures to lead to 480 increases in the prevalence of strains with vaccine antigen variants not covered by a particular 481 vaccine. The expected broad protection provided by MenB-FHbp against the highly diverse

- 24 482
- range of disease-causing meningococci across both FHbp subfamilies stems concerns regarding
- 483 strain replacement, particularly in comparison with limited cross-protection afforded by the
- 484 MenB-4C FHbp component. Continued experience with both vaccines will further inform the
- 485 practical implications of using FHbp as a vaccine antigen. Additional insights may also be
- 486 provided by potential use of alternative FHbp-based vaccines currently under development.
- 487

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495	(http://www.meningitis.org/research/genome) developed by Public Health England, the
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498

## 499 **Conflicts of Interest**

500 JF, PL, and PB are employees of Pfizer and may hold Pfizer stock or stock options. CDB has or

501 has had contract or collaborative interactions with GSK, Pfizer, Roche and Sanofi Pasteur. PTB

502 is named as an inventor on patents relating to FHbp mutants with decreased binding of factor H,

503 which have been assigned to the Children's Hospital & Research Center at Oakland. RB

504 performs contract research on behalf of Public Health England for GSK, Pfizer, and Sanofi

505 Pasteur.

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## 894 Table 1. Currently Available Vaccines for the Prevention of Serogroup B IMD

			Global Licensed Usage		
		-		Licensed	
Name	Manufacturer	Antigens Included	Country/Region	Age Group	Recommended Posology
MenB-4C	GSK Vaccines	Nonlipidated FHbp	Argentina [17]	≥ 2 mo	Children aged 2–5 mo: 3-dose schedule $\geq$ 1 mo apart
	Srl; Sovicille,	subfamily B			with booster at age 12-23 mo; children aged 6-11
	Italy	(fusion protein),			mo: 2-dose schedule $\geq 2$ mo apart with booster $\geq 2$
		NHBA (fusion			mo from last primary dose at 12-24 mo; children
		protein), NadA,			aged 1–10 y: 2-dose schedule $\geq$ 2 mo apart with no
		and OMVs [11]			booster; children aged $\geq$ 11 y and adults: 2-dose
					schedule $\geq$ 1 mo apart with no booster
			Australia [19]	≥ 2 mo	Children aged 2–5 mo: 2-dose schedule $\geq$ 2 mo apart
					or 3-dose $\geq$ 1 mo apart with booster at $\geq$ 12 mo;
					children aged 6–11 mo: 2-dose schedule $\geq$ 2 mo
					apart with booster at $\geq 12$ mo; children aged 12–23
					mo: 2-dose schedule $\geq$ 2 mo apart with no booster;
					children aged $\geq 2$ y and adults: 2-dose schedule $\geq 1$
					mo apart with no booster
			Brazil [13]	≥ 2 mo	Children aged 2–5 mo: 3-dose schedule $\geq$ 1 mo apart
					with booster $\geq 6$ mo from last primary dose at 12–15

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			mo; children aged 3–5 mo: 2-dose schedule $\geq$ 2 mo
			apart with booster $\geq 6$ mo from last primary dose at
			12-15 mo; children aged 6-11 mo: 2-dose schedule
			$\geq$ 2 mo apart with booster $\geq$ 2 mo from last primary
			dose at 12-24 mo; children aged 12-23 mo: 2-dose
			schedule $\geq 2$ mo apart with booster at 12–23 mo
	Canada [20]	2 mo-25 y	from last primary dose; children aged $\geq 2$ y and
			adults: 2-dose schedule $\geq 1$ mo apart with no booster
			Children aged 2–5 mo: 2-dose schedule $\geq$ 2 mo apart
			or 3-dose $\geq$ 1 mo apart with booster at $\geq$ 12 mo;
			children aged 6–11 mo: 2-dose schedule $\geq$ 2 mo
			apart with booster at $\geq$ 12 mo; children aged 12–23
			mo: 2-dose schedule $\geq$ 2 mo apart with no booster;
			children aged $\geq 2$ y and adults: 2-dose schedule $\geq 1$
			mo apart with no booster
	Chile [18]	≥ 2 mo	Children aged 2–5 mo: 3-dose schedule $\geq$ 1 mo apart
			with booster at 12-23 mo; children aged 6-11 mo: 2-
			dose schedule $\geq 2$ mo apart with booster $\geq 2$ mo
			from last primary dose at 12-24 mo; children aged

-		12–23 mo: 2-dose schedule $\geq$ 2 mo apart with
		booster 12-23 mo after primary dose; children aged
		2–10 y: 2-dose schedule $\geq$ 2 mo apart with no
		booster; children aged $\geq 11$ y and adults: 2-dose
		schedule $\geq$ 1 mo apart with no booster
European Union [14]	≥ 2 mo	Children aged 2–5 mo: 3-dose schedule $\geq$ 1 mo apart
		with booster $\geq 6$ mo from last primary dose at 12–15
		mo; children aged 3–5 mo: 2-dose schedule $\geq$ 2 mo
		apart with booster $\geq 6$ mo from last primary dose at
		12-15 mo; children aged 6-11 mo: 2-dose schedule
		$\geq$ 2 mo apart with booster $\geq$ 2 mo from last primary
		dose at 12-24 mo; children aged 12-23 mo: 2-dose
		schedule $\geq 2$ mo apart with booster at 12–23 mo
		from last primary dose; children aged $\geq 2$ y and
		adults: 2-dose schedule $\geq$ 1 mo apart with potential
		booster
Israel [16]	≥ 2 mo	Children aged 2–5 mo: 3-dose schedule $\geq$ 1 mo apart
		with booster at 12-15 mo; children aged 6-11 mo: 2-
_		dose schedule $\geq 2$ mo apart with booster $\geq 2$ mo

_		from last primary dose at 12–24 mo; children aged
		12–23 mo: 2-dose schedule ≥ 2 mo apart with
		booster 12-23 mo after last primary dose; children
		aged 2–10 y: 2-dose schedule $\geq$ 2 mo apart with no
		booster; children aged $\geq 11$ y and adults: 2-dose
		schedule $\geq$ 1 mo apart with no booster
New Zealand [21]	≥ 2 mo	Children aged 2–5 mo: 2-dose schedule $\geq$ 2 mo apart
		or 3-dose $\geq$ 1 mo apart with booster $\geq$ 12 mo;
		children aged 6–11 mo: 2-dose schedule $\geq$ 2 mo
		apart with booster $\geq$ 12 mo; children aged 12–23
		mo: 2-dose schedule $\geq 2$ mo apart with no booster;
		children aged $\geq 2$ y and adults: 2-dose schedule $\geq 1$
		mo apart with no booster
United States [11]	10–25 y	2-dose schedule $\geq$ 1 mo apart
Uruguay [15]	≥ 2 mo	Children aged 2–5 mo: 3-dose schedule $\geq$ 1 mo apart
		with booster at 12-15 mo; children aged 6-11 mo: 2-
		dose schedule $\geq 2$ mo apart with booster at 12–24
		mo; children aged 12–23 mo: 2-dose schedule $\geq 2$
		mo apart with booster 12-23 mo after primary dose;
_		children aged 2–10 y: 2-dose schedule $\geq$ 2 mo apart

with no booster; children aged  $\geq 11$  y and adults: 2-

					dose schedule $\geq 1$ mo apart with no booster
MenB-	Pfizer Inc,	Lipidated FHbp	Australia [25]	≥ 10 y	2-dose schedule administered at 0 and 6 mo; for
FHbp	Philadelphia,	subfamily A and			higher risk individuals, 3-dose schedule with 2 doses
	PA, USA	lipidated FHbp			administered $\geq$ 1 mo apart, and third dose $\geq$ 4 mo
		subfamily B [12]			after second dose
			Canada [24]	10–25 y	2-dose schedule at 0 and 6 mo
			Chile [26]	10–25 y	2-dose schedule administered at 0 and 6 mo; for
					higher risk individuals, 3-dose schedule with 2 doses
					administered $\geq$ 1 mo apart, and third dose $\geq$ 4 mo
					after second dose
			European Union [23]	≥ 10 y	2-dose schedule at 6-mo intervals or 3-dose schedule
					with 2 doses administered $\geq$ 1 mo apart, and third
					dose $\geq$ 4 mo after second dose
			United States [12]	10–25 y	2-dose schedule at 0 and 6 mo or 3-dose schedule at
					0, 1–2, and 6 mo

895 FHbp=factor H binding protein; IMD=invasive meningococcal disease; MenB-4C=Bexsero<sup>®</sup>, 4CMenB; MenB-FHbp=Trumenba<sup>®</sup>,

896 bivalent rLP2086; NadA=Neisserial adhesion A; NHBA=Neisserial Heparin Binding Antigen; OMV=outer membrane vesicle.

- 898 Table 2. FHbp Variants Expressed by Primary and Additional MenB-FHbp hSBA Test Strains and Prevalence Among MenB
- 899 Disease-Causing Isolates from the United States and European Union

						Identity to Vaccine
FHbp	FHbp Variant	US Variant	FHbp Variant	EU Variant	FHbp	Component from
Variant <sup>a</sup>	Rank in US <sup>b</sup>	Prevalence, % <sup>b</sup>	Rank in Europe <sup>c</sup>	Prevalence, % <sup>c</sup>	Subgroup	Same Subfamily, %
B24	1	42.6	1	16.7	N6	86.2
A22	2	10.4	5	10.0	N2C2	88.9
A12	3	6.3	13	1.5	N2C1	85.4
B16	4	5.1	2	12.2	N6	86.2
B09	5	3.9	6	6.4	N6	88.1
A19	6	3.5	7	3.1	N2C2	88.1
B03	7	3.2	3	11.1	N6	90.8
A07	8	3.0	12	1.6	N2C1	85.4
B15	9	2.3	49 (tie)	0.1	N6	86.5
A15	10	1.9	16 (tie)	0.5	N2C1	85.1
A29	13 (tie)	0.7	22 (tie)	0.3	N1C1	93.1
B44	27 (tie)	0.2	4	10.8	N4/N5	91.6
A06	27 (tie)	0.2	9 (tie)	2.5	N1C2	96.2
A56	N/A	0.0	49 (tie)	0.1	N1C2	98.1

900 FHbp=factor H binding protein; MenB=meningococcal serogroup B; MenB-FHbp=Trumenba®, bivalent rLP2086; hSBA=serum

901 bactericidal antibody assay using human complement.

902 <sup>a</sup>Primary strain variants are in bold font; additional strain variants are in unbolded font.

903 <sup>b</sup>US strain data based on N=1263 MenB SBA strain pool that included US isolates collected during 2000–2005.

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904 <sup>c</sup>European strain data based on N=1814 extended MenB SBA strain pool that included EU isolates collected during 2001–2006.

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## 907 Figure Legends

908 Figure 1. Phylogenetic tree for FHbp, adapted with permission from Ostergaard et al. N Engl J 909 Med. 2017;377:2349–2362 [76]. The grouping of variants into subfamilies A and B [22] is 910 indicated; an alternative classification scheme involving 3 variant groups [37] is also depicted. 911 Coloured circles indicate FHbp antigens included in MenB-4C [11] and MenB-FHbp [12] as 912 well as strains used to evaluate immune responses to both vaccines in clinical studies [58,75]. 913 For MenB-4C, the indicator strain used to evaluate FHbp-mediated bactericidal immune 914 responses expresses FHbp variant B24 and is thus homologous to the vaccine antigen for FHbp 915 [58]. The 4 primary and 10 additional strains used to measure the immune response to MenB-916 FHbp express sequence-diverse FHbp variants that are different from the vaccine antigens [9,74]. 917 The scale bar indicates phylogenetic distance using protein sequence. FHbp=factor H binding 918 proteins; hSBA=serum bactericidal antibody assay using human complement; MenB-919 4C=Bexsero<sup>®</sup>, 4CMenB; MenB-FHbp=Trumenba<sup>®</sup>, bivalent rLP2086. 920 **Figure 2.** Percentages of subjects with hSBA titres  $\geq$  1:4 before and after MenB-4C vaccination 921 in infants and toddlers [52] (A) and adults (B) [54] across MenB indicator and diverse strains. In 922 the infant/toddler study presented in panel A, infants received MenB-4C at 2, 4, 6, and 12 923 months of age; serum samples were taken at 2, 5, 7, 12, and 13 months of age. In the adult study 924 presented in panel B, participants received 3 doses of MenB-4C, each spaced 1 month apart. 925 Indicator strains 44/76-SL, NZ98/254, and 5/99 are intended to highlight responses against 926 FHbp, PorA, and NadA, respectively [58]. Classification of the strains for each study in terms of 927 the MenB-4C antigens is provided below the x-axis of each graph using data from the original 928 studies, with - indicating low expression, +/- indicating medium expression, and +,++, and +++

15

45 929	indicating increasingly high expression. FHbp variants are indicated using the subfamily A/B
930	classification scheme [22] as well as an alternative classification scheme involving 3 variant
931	groups [37]. FHbp=factor H binding protein; hSBA=serum bactericidal antibody assay using
932	human complement; MenB-4C= Bexsero <sup>®</sup> , 4CMenB; NadA=Neisserial adhesin A; ND=not
933	determined; NHBA=Neisserial Heparin Binding Antigen; PorA=porin A.
934	Figure 3. Percentages of adolescents (A) and young adults (B) with hSBA titres ≥ LLOQ
935	against the 4 primary and 10 additional MenB test strains following vaccination with MenB-
936	FHbp, adapted with permission from Ostergaard et al. N Engl J Med. 2017;377:2349–2362 [76].
937	Strains are indicated by their corresponding FHbp sequence variants using Pfizer nomenclature
938	(http://pubmlst.org/neisseria/fHbp). The LLOQ was 1:8 or 1:16 depending on test strain.
939	FHbp=factor H binding protein; hSBA=serum bactericidal antibody assay using human
940	complement; LLOQ=lower limit of quantitation; MenB=meningococcal serogroup B; MenB-

FHbp= Trumenba<sup>®</sup>, bivalent rLP2086. 941

46

## 943 Figure 1.



## 47

#### Figure 2. 946





- 48 950

951 Figure 3.

952



Before vaccination

1 month after dose 3