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Giant Outer Transiting Exoplanet Mass (GOT ‘EM) Survey. II. Discovery of a Failed Hot Jupiter on a 2.7 Year, Highly Eccentric Orbit*

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18 ABSTRACT

19 Radial velocity (RV) surveys have discovered giant exoplanets on au-scale orbits with a broad distribution
20 of eccentricities. Those with the most eccentric orbits are valuable laboratories for testing theories of high
21 eccentricity migration. However, few such exoplanets transit their host stars thus removing the ability to apply
22 constraints on formation from their bulk internal compositions. We report the discovery of KOI-375.01, a
23 transiting $4 M_J$ giant planet on a 988.88 day orbit with the extreme eccentricity of $0.921^{+0.010}_{-0.015}$. Our decade-long
24 RV baseline from the Keck I telescope allows us to measure the orbit and bulk heavy element composition
25 of KOI-375.01 and place limits on the existence of undiscovered companions. KOI-375.01 is a failed hot
26 Jupiter that was excited to high eccentricity by multiple scattering events that likely began during its gas
27 accretion phase. Its final periastron distance is too large to allow for tidal circularization, so now it orbits its
28 host from distances spanning 0.16–3.9 au. The swing in planetary equilibrium temperature resulting from
29 this extreme orbit is over 700 K. A simulation of the thermal phase curve of KOI-375.01 during periastron
30 passage demonstrates that it is a remarkable target for atmospheric characterization from the James Webb
31 Space Telescope, which could potentially also measure the planet’s rotational period as the hot spot from
32 periastron rotates in and out of view. Continued characterization of the KOI-375 system stands to refine theories
33 explaining the formation of hot Jupiters and cool giant planets like those in the solar system.

34 1. INTRODUCTION

35 Giant planet migration is typically invoked to explain the
36 present day architecture of exoplanetary systems. Theories
37 of planetary migration abound but can broadly be catego-
38 rized as disk-driven migration, caused by torques from the
39 protoplanetary disk (e.g., Goldreich & Tremaine 1980; Lin
40 & Papaloizou 1986; Ward 1997; Baruteau et al. 2014), or
41 high-eccentricity migration (HEM), whereby a giant planet
42 exchanges orbital energy and angular momentum with one
43 or more other objects in its system and subsequently expe-
44 riences tidal circularization during close periastron passages
45

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* Some of the data presented herein were obtained at the W. M. Keck Ob-
servatory, which is operated as a scientific partnership among the California
Institute of Technology, the University of California and the National Aero-
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(e.g., Rasio & Ford 1996; Wu & Murray 2003; Nagasawa et al. 2008; Wu & Lithwick 2011). The characterization of giant planets and their orbits offers a window into which mechanisms might have been at play.

The subject of HEM can itself be divided into multiple pathways including Kozai-Lidov oscillations (Kozai 1962; Lidov 1962) induced by a stellar companion (e.g., Wu & Murray 2003; Fabrycky & Tremaine 2007; Naoz et al. 2012) or planetary companion (e.g., Naoz et al. 2011; Lithwick & Naoz 2011), planet-planet scattering (e.g., Rasio & Ford 1996; Ford & Rasio 2006; Chatterjee et al. 2008; Jurić & Tremaine 2008; Raymond et al. 2010; Nagasawa & Ida 2011), and secular chaos (e.g., Wu & Lithwick 2011; Hamers et al. 2017). Each mechanism can excite the eccentricity of a giant planet and, in doing so, imprints identifying (although not necessarily unique) clues in the present-day system. Disentangling all the possible migration pathways for a single system or even determining the fraction of systems that migrated through various channels is challenging, though (e.g., Fabrycky & Winn 2009; Socrates et al. 2012; Dawson et al. 2015; Dawson & Murray-Clay 2013).

HEM theories are readily tested in systems containing hot Jupiters, giant planets on orbits shorter than ~ 10 days that are thought to have formed at greater distances from their host stars (see Dawson & Johnson 2018, for a recent review). In addressing the mysteries of giant planet HEM, it is beneficial not only to investigate these hot giant planets themselves but also *proto-* and *failed* hot Jupiters, objects in the process of becoming hot Jupiters and those that followed a similar evolutionary pathway but will not become hot Jupiters, respectively. HD 80606 b (e.g., Naef et al. 2001; Moutou et al. 2009; Winn et al. 2009) is possibly a proto-hot Jupiter caught in the act of tidal circularization (e.g., Wu & Murray 2003; Fabrycky & Tremaine 2007; Socrates et al. 2012). Motivated by this planet, Socrates et al. (2012) theorized that if HEM is the preferred pathway of hot Jupiter migration, then the *Kepler* mission (Borucki et al. 2010) should detect a population of highly eccentric ($e > 0.9$) giant planets, and their current orbital periods (P) should distinguish which are likely to be proto-hot Jupiters ($P \lesssim 2$ years) or failed hot Jupiters ($P \gtrsim 2$ years). This theory was supported by the detection of highly eccentric eclipsing binaries by *Kepler* (Dong et al. 2013). However, similar support was not offered by the *Kepler*'s planet discoveries. Based on analysis of the photoeccentric effect (Dawson & Johnson 2012), Dawson et al. (2015) reported a paucity of proto-hot Jupiters on highly eccentric orbits in the *Kepler* sample even after considering the limited sensitivity of transit surveys to planets with orbital distances of a few au. This work instead suggested that disk migration was the dominant pathway of hot Jupiter formation. Only one proto-hot Jupiter candidate was identified (Kepler-419 b; Dawson et al. 2012), which was later

refined with radial velocity (RV) observations to be a failed hot Jupiter (Dawson et al. 2014).

Although RV surveys have detected a handful of failed hot Jupiter exoplanets, Kepler-419 b stands alone owing to its transiting geometry. By definition, a failed hot Jupiter must have a sufficiently wide orbit such that its periastron distance (despite its high eccentricity) is too large for tidal forces to efficiently circularize its orbit. By the observational biases of the transit method (e.g., Beatty & Gaudi 2008), such long-period planets are unlikely to be observed in transit (e.g., Dalba et al. 2019), although eccentricity can increase this probability (e.g., Kane 2007). According to the NASA Exoplanet Archive¹, of the 16 non-controversial exoplanets with measured eccentricity above 0.8, only Kepler-419 b, HD 80606 b, and Kepler-1656 b (Brady et al. 2018) have measured radii. Increasing this threshold to $e > 0.9$ leaves only HD 80606 b.

Those rare few eccentric, long-period giant exoplanets that do transit their hosts are exceptionally valuable because their radii and bulk compositions provide new windows into their formation and migration. Metal-rich stars preferentially host eccentric hot Jupiters (Dawson & Murray-Clay 2013; Buchhave et al. 2018), lending credence theories of planet-planet scattering since host star metallicity is known to correlate with giant planet occurrence (e.g., Gonzalez 1997; Santos et al. 2004; Fischer & Valenti 2005). Furthermore, empirical trends in giant planet metal enrichment (relative to stellar) with planet mass hint at a fundamental and expected connection between the metal content of stars and their planets (Miller & Fortney 2011; Thorngren et al. 2016; Teske et al. 2019). With this in mind, giant planet bulk metallicity is likely a key piece of information when piecing together migration history (e.g., Alibert et al. 2005; Ginzburg & Chiang 2020; Shibata et al. 2020).

As the second discovery of the Giant Outer Transiting Exoplanet Mass (GOT 'EM) survey (Dalba et al. 2021), we present a new failed hot Jupiter from the *Kepler* sample: KOI-375.01 (hereafter KOI-375.01 as we will confirm its planetary nature²). In Section 2, we show the observations of this system including photometry from the *Kepler* spacecraft that detected two transits spaced by 989 days, follow-up adaptive optics (AO) imaging, and a follow-up Doppler spectroscopy campaign spanning a decade. In Section 3, we combine these data sets through a comprehensive modeling of system parameters using EXOFASTv2 (Eastman et al. 2013, 2019). In Section 4, we conduct a thorough analysis to rule out the presence of planetary or stellar companions across a wide swath of parameter space, which has important impli-

¹ Accessed 2021 February 2 (<https://exoplanetarchive.ipac.caltech.edu/>).

² We will revise this to the *Kepler* name at a later date when a number is assigned

146 cations for the migration history of KOI-375.01. We also re-
 147 trieve this planet’s bulk metallicity and simulate its reflected
 148 light phase curve, the detection of which would be an un-
 149 precedented discovery that is within the anticipated capabil-
 150 ity of the *James Webb Space Telescope (JWST)*. In Section 5,
 151 we offer our interpretation of all of the analyses of the KOI-
 152 375 system in regards to the formation history of KOI-375.01
 153 and motivate a campaign to measure the stellar obliquity dur-
 154 ing a future transit. Finally, in Section 6, we summarize our
 155 findings.

156 2. OBSERVATIONS

157 We employ photometric, spectroscopic, and imaging ob-
 158 servations in this analysis of the KOI-375 system. In the fol-
 159 lowing sections, we describe how each of these data sets was
 160 collected and processed.

161 2.1. Photometric Data from Kepler

162 The *Kepler* spacecraft observed KOI-375 at 30-minute ca-
 163 dence in all 18 quarters of its primary mission. We accessed
 164 the Pre-search Data Conditioning Simple Aperture Photome-
 165 try (PDCSAP; Jenkins et al. 2010; Smith et al. 2012; Stumpe
 166 et al. 2012) through the Mikulski Archive for Space Tele-
 167 scopes (MAST), stitching together the light curves from in-
 168 dividual quarters into one time series with a common base-
 169 line flux using *lightkurve* (Lightkurve Collaboration et al.
 170 2018). We further cleaned the photometry by removing all
 171 data points flagged for “bad quality” and dividing out the
 172 median background flux to produce a normalized light curve.
 173 We then measured a preliminary time of conjunction, dura-
 174 tion, and period for the transiting planet using a box least
 175 squares transit search (BLS; Kovács et al. 2002), identifi-
 176 ing only two transit events in Quarters 2 and 13. The time
 177 separating these two transits was 989 days, the suspected or-
 178 bital period of KOI-375.01. However, the data gap between
 179 Quarters 7 and 8 occurred precisely in between these transits,
 180 introducing a ~ 494 -day orbital period alias.

181 We used the BLS results to mask out both transits and de-
 182 trend any variability in the light curve without risk of obscur-
 183 ing the signal. Interpolating over the masked transit events,
 184 we fit a smoothed curve to systematics in the photometry us-
 185 ing a Savitzky-Golay filter (Virtanen et al. 2020) and then
 186 subtracted out this additional structure to produce our final
 187 data product. Before unmasking the transit events, we
 188 clipped any remaining individual outliers with residuals to
 189 the smoothed fit that were greater than $3\text{-}\sigma$ discrepant.

190 We present the binned, detrended *Kepler* transits of KOI-
 191 375.01 in Figure 1. Under the assumption of a circular edge-
 192 on orbit, the mean transit duration of KOI-375.01 and stellar
 193 properties reported by the NASA Exoplanet Archive suggest
 194 an orbital period of approximately 11 days. This scenario is
 195 thoroughly ruled out by the extensive *Kepler* data set. In-

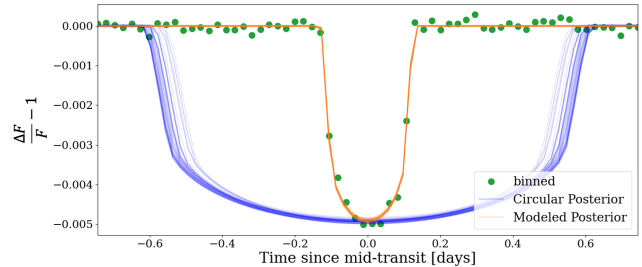


Figure 1. Phase-folded, binned *Kepler* data for KOI-375.01 (green dots). The transit duration is substantially shorter than expected for a circular orbit (blue models) and is better reproduced by models with high eccentricity (orange lines).

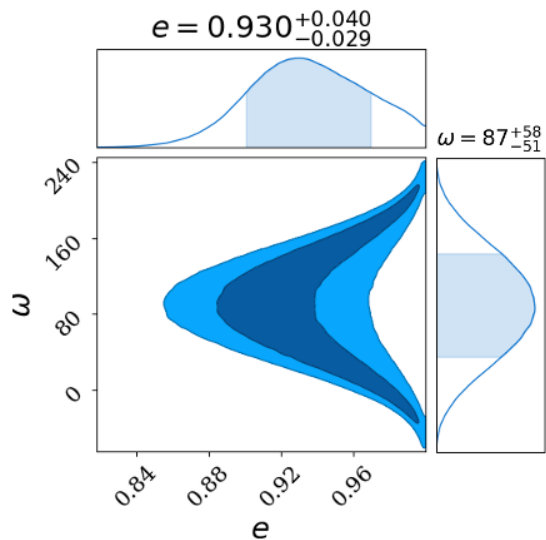


Figure 2. Posterior probabilities distributions for orbital eccentricity (e) and argument of periastron (ω , in degrees) from the photo-centric modeling. Values reported are the median and 68% credible intervals.

196 stead, we explored the possibility that orbital eccentricity af-
 197 fected the duration of the transit.

198 2.1.1. Photoeccentric Transit Modeling

199 The observed transits of KOI-375.01 have a duration of ~ 6
 200 hours, which is nearly 5 times shorter than would be expected
 201 for a Jovian-size planet at such a large separation (assuming a
 202 period of ~ 989 days). The two plausible sources of this dis-
 203 crepancy are high impact parameter (b) or high eccentricity
 204 (e), but a preliminary transit fit reveals that high b alone can-
 205 not account for the anomalously short transit duration. We
 206 instead developed a model to account for both of these prop-
 207 erties through a photometric transit fit that takes into consid-
 208 eration the photo-eccentric framework of Dawson & Johnson
 209 (2012), as shown in Figure 1.

We modeled the standard transit parameters, including orbital period (P), time of conjunction (T_C), planet-star radius ratio (R_p/R_*), and b , along with the expected stellar density assuming a circular orbit, $\rho_{*,\text{circ}}$, to obtain a model that encodes information about the true orbital eccentricity of the planet according to Kipping et al. (2012b). We derived this dynamical information from our results by comparing our modeled $\rho_{*,\text{circ}}$ to the true stellar density, ρ_* , represented by the median of our EXOFASTv2 ρ_* posterior (Section 3). A value of $\rho_{*,\text{circ}}$ greater than ρ_* would imply that the planet transited faster than expected and vice versa, given an initial assumption of $e = 0$. Breaking from this assumption, however, we calculated which values of e and the argument of periastron (ω) were necessary to account for the unusually fast transit, subsequently bringing $\rho_{*,\text{circ}}$ into closer agreement with ρ_* . For both parameters, we calculated posterior probability distributions using the log-likelihood function (Dawson & Johnson 2012)

$$\log P(e, \omega | \rho_*, \rho_{*,\text{circ}}) \propto -\frac{1}{2} [g(e, \omega)^3 \rho_* - \rho_{*,\text{circ}}]^2 \quad (1)$$

where

$$g(e, \omega) = \frac{1 + e \sin \omega}{\sqrt{1 - e^2}}, \quad (2)$$

following the notation of Kipping (2010) and Kipping et al. (2012b).

Constraints on ω using this method tend to be broad, but they are sufficient to determine if a transit occurs closer to periastron (as is the case for KOI-375.01) or apastron. On the other hand, we were able to constrain the eccentricity of KOI-375.01 here with high certainty. We found that the 68% credible interval for eccentricity is 0.901–0.970 (Figure 2).

In a previous analysis of the photoeccentric effect in *Kepler* transit data, Dawson et al. (2015) found 0_{-0}^{+1} giant planets on highly eccentric orbits that are likely undergoing tidal circularization. This non-detection refuted the hypothesis of Socrates et al. (2012) that approximately four such planets should be detected assuming that HEM is the dominant hot Jupiter migration scenario. In the case of KOI-375.01, our photoeccentric modeling represents an update to their analysis using more recent stellar information.

Assuming tidal decay at constant angular momentum, the highest allowed values of eccentricity from our photoeccentric modeling would produce a final orbital period below 10 days, the canonical threshold for hot Jupiters. Therefore, based on just this photoeccentric effect analysis, KOI-375.01 is a candidate proto-hot Jupiter. However, additional orbital characterization via RV monitoring of the host is needed to refine the eccentricity and the nature of KOI-375.01.

2.2. Spectroscopic Data from HIRES

We acquired 15 high resolution spectra of KOI-375 with HIRES (Vogt et al. 1994) on the Keck I telescope in support

of our Doppler monitoring of the KOI-375 system. The baseline of these observations spans nearly a decade. For each observation, the starlight passed through a heated iodine cell before reaching the slit to enable the precise wavelength calibration of each RV measurement.

We did not acquire a high signal-to-noise (S/N) “template” spectrum as is typical for HIRES RV observations (e.g., Howard et al. 2010). Instead, we identified a pre-existing, best match template spectrum in the HIRES spectral library following Dalba et al. (2020a). The best match star was HD 203473, a brighter G6V star with similar spectroscopic properties to KOI-375 according to a SpecMatch-Emp³ analysis (Yee et al. 2017). The use of a best match template incurs extra uncertainty in addition to internal RV errors. Following conservative estimations by Dalba et al. (2020a, see their Table 2), we added 6.2 m s^{-1} to our internal RV errors in quadrature to account for this method. After swapping in the template of HD 203473, the RV extraction proceeded following the standard forwarding techniques employed by California Planet Search (e.g., Howard et al. 2010; Howard & Fulton 2016).

We list the full RV data set for KOI-375 in Table 1. The uncertainties listed include the additional uncertainty incurred by the matched-template method of RV extraction (Dalba et al. 2020a). We also include corresponding S_{HK} activity indicators derived from the Ca II H and K spectral lines (Wright et al. 2004; Isaacson & Fischer 2010).

We note that the first RV measurement (from BJD=2455669) is the least precise observation in the series. Its uncertainty is three standard deviations above the mean. This larger error is not surprising as the exposure time for the spectrum used to measure that RV was substantially shorter than the others. The resulting best fit velocity in each two-angstrom chunk of spectrum, which typically only contain one stellar and one iodine line, was less precise, leading to the larger error on RV. When folded on the ephemeris of KOI-375.01, this data point occupies a non-critical phase in the orbit. However, this data point extends the baseline of RVs observations by 826 days and is critical to our consideration of acceleration in the KOI-375 system (Section 4.2). Although there is no obvious reason to exclude this data point from our analysis besides its larger uncertainty, we will treat this data point with skepticism moving forward.

In Section 3, we model the RVs and transits simultaneously, confirming that the orbital period of KOI-375.01 is accurately represented by the time elapsed between the two *Kepler* transits (988.88 days) and not half of that value. Visual inspection of the RV data listed in Table 1 folded on an orbital period of 494.44 days suggests no Keplerian signal

³ <https://github.com/samuelyeww/specmatch-emp>

Table 1. RV Measurements of KOI-375

BJD _{TDB}	RV (m s ⁻¹)	S _{HK}
2455669.111196	25.3±8.5	0.0966±0.0010
2456495.013178	28.9±6.8	0.1220±0.0010
2456532.811313	31.3±6.8	0.1330±0.0010
2458383.894210	16.2±7.5	0.1609±0.0010
2458593.029972	38.6±6.8	0.1172±0.0010
2458679.811045	63.2±6.8	0.1260±0.0010
2458765.877254	68.1±6.8	0.1311±0.0010
2458815.758493	90.0±7.2	0.1267±0.0010
2459006.997818	195.5±6.8	0.1222±0.0010
2459038.992753	-118.9±6.9	0.1222±0.0010
2459041.035816	-119.9±7.1	0.1205±0.0010
2459051.874260	-93.1±6.7	0.1265±0.0010
2459070.992339	-72.1±7.2	0.0964±0.0010
2459189.758826	-31.5±7.6	0.1183±0.0010

at this periodicity. Therefore, we hereafter do not consider the possibility that another transit occurred during the gap in observations between *Kepler* quarters 7 and 8.

2.3. Archival AO Imaging

The KOI-375 system has been observed in several imaging campaigns previously (see Furlan et al. 2017, for a summary). To explore the existence of bound or background stellar neighbors, we present three data sets acquired from the Exoplanet Follow-up Observing Program⁴.

The first imaging data set comprises AO images from the PHARO instrument (Hayward et al. 2001) at the 200 inch telescope at Palomar Observatory as published by Wang et al. (2015a). This work used a 3-point dither pattern to obtain a set of images in the K_s band that were then combined and searched for stellar companions (Figure 3, left panel). Based on these observations, Wang et al. (2015a) claimed two detections: one source with $\Delta K_s = 3.3$ with a separation and position angle (PA) of $5''.47$ and 157.0° , respectively; and another source with $\Delta K_s = 4.6$ with a separation and PA of $3''.19$ and 305.5° , respectively. Both detections are visible in the left panel of Figure 3. The source with PA= 157.0° (indicated by a green, vertical arrow) is resolved by Gaia (Gaia Collaboration et al. 2016, 2020) and has the EDR3 source ID of 2136191732305041920 (hereafter *Gaia-213* for simplicity). The parallax and proper motion of KOI-375 and *Gaia-213* as measured by Gaia definitively show that these two stars are not gravitationally associated. The other source claimed by Wang et al. (2015a) as well as a brighter source

near the upper edge of the image that was not claimed by Wang et al. (2015a) (indicated by yellow, horizontal arrows) are not present in the Gaia EDR3 catalog.

The second imaging data set also comprises AO images from the PHARO instrument but in the Br- γ filter and published by Furlan et al. (2017). Surprisingly, only KOI-375 and *Gaia-213* (at PA= 157.0°) are visible despite deeper magnitude limits near $3''.19$: $\Delta K_s = 4.9$ versus $\Delta \text{Br-}\gamma = 7.0$ (Wang et al. 2015a; Furlan et al. 2017). The time elapsed between the epochs of imaging, roughly one month, is also too short to explain the discrepancy.

The solution to this conundrum lies in the relative positioning of the two sources in question relative to the positioning of KOI-375 and *Gaia-213*. The separation and PA between the two pairs are identical. Visual inspection also suggests that the contrast between the stars in each pair is also similar. Thus, our conclusion is that the two sources identified by yellow, horizontal arrows in Figure 3 are spurious duplications of KOI-375 and *Gaia-213* caused by an accidental image alignment error.

The third imaging data set comprises AO images from the NIRC2 instrument (Wizinowich et al. 2000) at the Keck II telescope at W. M. Keck Observatory as published by Furlan et al. (2017). Observations were taken in the Br- γ filter and the field of view was too small to include any of the other sources (astrophysical or spurious) mentioned previously (Figure 4). The NIRC2 data yield a non-detection of a stellar neighbor within $2''$ with delta-magnitude limits of 8.4 and 8.7 at separations of $0''.5$ and $1''.0$, respectively (Furlan et al. 2017). Since the NIRC2 observations of KOI-375 provide the strongest constraints on neighboring stars, we continue our analysis using only these data.

We used the NIRC2 contrast curve (i.e., 5σ limiting delta-magnitude as a function of separation) to derive the corresponding limiting mass for a bound companion. First, we downloaded a MESA Isochrones and Stellar Tracks (MIST) isochrone (Paxton et al. 2011, 2013, 2015; Dotter 2016; Choi et al. 2016) from the MIST web interpolator⁵. We provided values of initial stellar metallicity, extinction, and age based on the system modeling described in Section 3. This isochrone provide a numerical relationship between stellar mass and absolute K_s magnitude, which we treated interchangeably with Br- γ . After converting absolute magnitude to apparent magnitude (using the distance from Section 3), we interpolated the ΔK_s values with those measured by NIRC2 to calculate an upper limit of companion mass as a function of projected separation (Figure 4). At wider separations, the delta-magnitude values exceeded those in the MIST isochrone. For those separations we instead interpolated a

⁴ ExoFOP, accessed 2021 February 5 (<https://exofop.ipac.caltech.edu/>).

⁵ Accessed 2020 December 17 (<http://waps.cfa.harvard.edu/MIST/>).

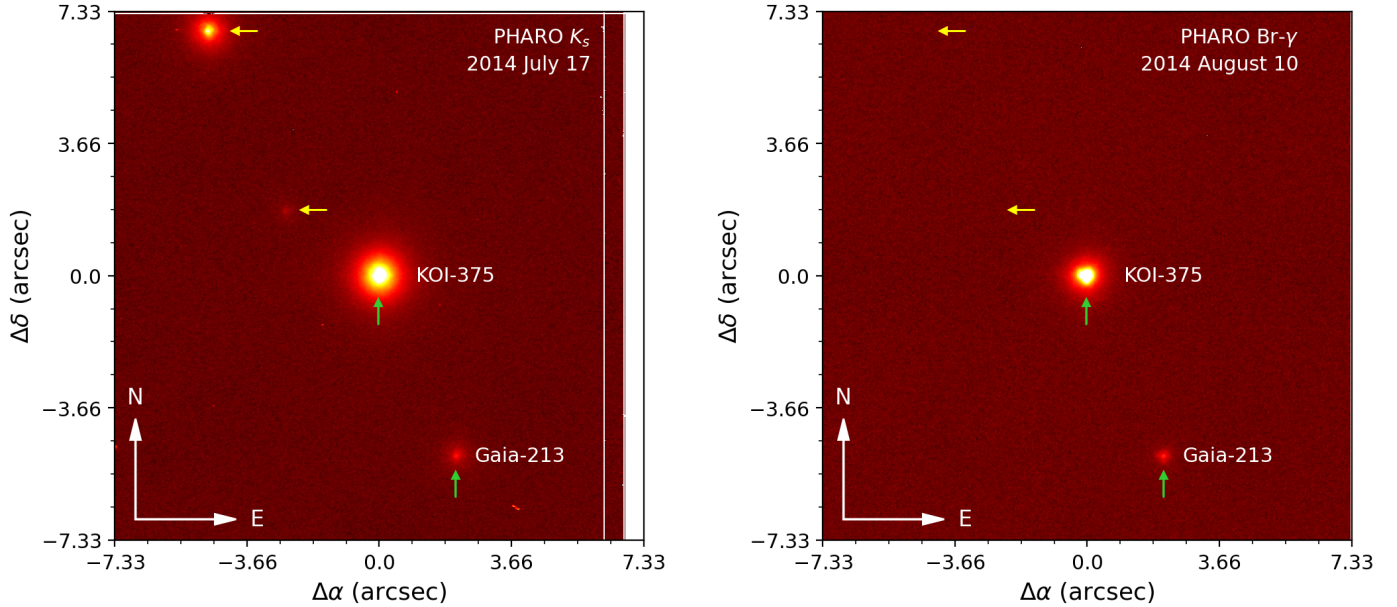


Figure 3. AO images of KOI-375 taken with the PHARO instrument on the 200 inch telescope at Palomar Observatory and acquired from ExoFOP. *Left:* Observation from Wang et al. (2015a) showing KOI-375 and three other sources. Green, vertical arrows identify KOI-375 (at center) and *Gaia*-213 (see text), as resolved by *Gaia*. Yellow, horizontal arrows identify two additional source (not resolved by *Gaia*), the fainter of which was claimed as a detection by Wang et al. (2015a). The white stripes on the eastern edge of the image are artifacts from the mosaicking. *Right:* Observation from Furlan et al. (2017) showing KOI-375 at center and *Gaia*-213. In both images, the scales and locations of the arrows are identical. The two sources present in the left panel that are absent in the right panel are a spurious duplication of KOI-375 and *Gaia*-213 caused by an alignment error. According to *Gaia* astrometry, *Gaia*-213 is not gravitationally bound to KOI-375.

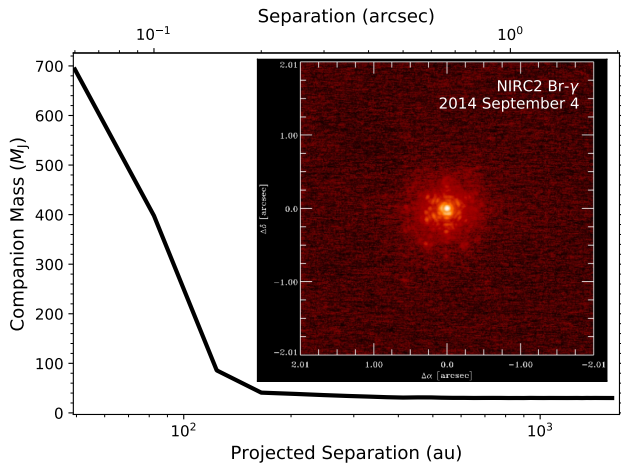


Figure 4. Upper limit on companion mass in the KOI-375 system based on the contrast curve measured from NIRC2 AO images. The masses were estimated by interpolating a MIST isochrone (in the stellar regime) and a brown dwarf isochrone (in the substellar regime). The inset is the NIRC2 image of KOI-375 published by Furlan et al. (2017).

364 5 Gyr brown dwarf isochrone from Baraffe et al. (2003). Be-
 365 yond a projected separation of ~ 200 au, we find that any
 366 companion in the KOI-375 system must have a mass below
 367 $\sim 32 M_J$.

368 3. MODELING THE STELLAR AND PLANETARY 369 PARAMETERS

370 We simultaneously fit models to the transit and RV data for
 371 KOI-375 while also modeling the stellar spectral energy dis-
 372 tribution (SED) using archival broadband photometry using
 373 the EXOFASTv2 suite. The result was a set of precise, con-
 374 sistent stellar (Table 2) and planetary (Table 3) parameters.

375 We began by defining informative priors on several stellar
 376 parameters, which are listed at the top of Table 2. We con-
 377 strained stellar effective temperature (T_{eff}) and metallicity (as
 378 described by $[\text{Fe}/\text{H}]$) based on a SpecMatch⁶ analysis (Pe-
 379 tigura 2015; Petigura et al. 2017) of a moderate S/N (~ 40)
 380 spectrum of KOI-375 acquired with Keck-HIRES without
 381 the iodine cell. This analysis produced an uncertainty on
 382 T_{eff} of 100 K, which we inflated to 115 K, in line with the
 383 systematic uncertainty floor reported by Tayar et al. (2020).
 384 The SpecMatch analysis also suggested that the stellar radi-
 385 us is $\sim 1.7 R_{\odot}$, hinting that this G2 star has evolved off of
 386 the main sequence (see Section 3.1). In addition to T_{eff} and
 387 $[\text{Fe}/\text{H}]$, we constrained the upper limit on V -band extinction
 388 using the galactic reddening maps of Schlafly & Finkbeiner
 389 (2011). Lastly, we constrained the parallax of KOI-375 as
 390 measured by *Gaia* in EDR3 (Gaia Collaboration et al. 2016,

⁶ <https://github.com/petigura/specmatch-syn>

Table 2. Median values and 68% confidence intervals for the stellar parameters for KOI-375.

Parameter	Units	Values
Informative Priors:		
T_{eff}	Effective Temperature (K)	$\mathcal{N}(5772, 115)$
[Fe/H]	Metallicity (dex)	$\mathcal{N}(0.2, 0.06)$
ϖ	Parallax (mas)	$\mathcal{N}(1.213, 0.016)$
A_V	V-band extinction (mag)	$\mathcal{U}(0, 0.2902)$
Stellar Parameters:		
M_*	Mass (M_\odot)	$1.131^{+0.040}_{-0.051}$
R_*	Radius (R_\odot)	$1.697^{+0.058}_{-0.059}$
L_*	Luminosity (L_\odot)	$2.83^{+0.17}_{-0.19}$
F_{Bol}	Bolometric Flux (cgs)	$1.333 \times 10^{-10+7.3 \times 10^{-12}}_{-8.5 \times 10^{-12}}$
ρ_*	Density (g cm^{-3})	$0.325^{+0.036}_{-0.032}$
$\log g$	Surface gravity (cgs)	4.031 ± 0.032
T_{eff}	Effective Temperature (K)	5745^{+88}_{-89}
[Fe/H]	Metallicity (dex)	0.196 ± 0.057
[Fe/H] ₀	Initial Metallicity ^a	$0.218^{+0.054}_{-0.055}$
Age	Age (Gyr)	$7.4^{+1.5}_{-1.0}$
EEP	Equal Evolutionary Phase ^b	$453.0^{+4.5}_{-5.8}$
A_V	V-band extinction (mag)	$0.187^{+0.068}_{-0.091}$
σ_{SED}	SED photometry error scaling	$1.05^{+0.42}_{-0.26}$
ϖ	Parallax (mas)	1.213 ± 0.016
d	Distance (pc)	825 ± 11

See Table 3 in Eastman et al. (2019) for a detailed description of all parameters and all default (non-informative) priors beyond those specified here. $\mathcal{N}(a, b)$ denotes a normal distribution with mean a and variance b^2 . $\mathcal{U}(a, b)$ denotes a uniform distribution over the interval $[a, b]$.

^a Initial metallicity is that of the star when it formed.

^b Corresponds to static points in a star’s evolutionary history. See Section 2 of Dotter (2016).

Table 3. Median values and 68% confidence interval of the planet parameters for KOI-375.01.

Parameter	Units	Values
P	Period (days)	$988.88113^{+0.00091}_{-0.00092}$
R_p	Radius (R_J)	$1.065^{+0.043}_{-0.041}$
M_p	Mass (M_J)	$3.96^{+0.20}_{-0.19}$
T_C	Time of conjunction (BJD _{TDB})	$2455071.68459^{+0.00062}_{-0.00064}$
a	Semi-major axis (au)	$2.026^{+0.024}_{-0.031}$
i	Inclination (degrees)	$89.01^{+0.59}_{-0.27}$
e	Eccentricity	$0.921^{+0.010}_{-0.015}$
ω_*	Argument of Periastron ^a (degrees)	$83.0^{+4.5}_{-4.9}$
T_{eq}	Equilibrium temperature ^b (K)	$253.8^{+3.7}_{-4.1}$
τ_{circ}	Tidal circularization timescale (Gyr)	$80000^{+150000}_{-46000}$
K	RV semi-amplitude (m s^{-1})	190^{+17}_{-16}
$\dot{\gamma}$	RV slope ^c ($\text{m s}^{-1} \text{ day}^{-1}$)	$0.0031^{+0.0029}_{-0.0027}$
R_p/R_*	Radius of planet in stellar radii	$0.0644^{+0.0016}_{-0.0011}$
a/R_*	Semi-major axis in stellar radii	$256.4^{+9.3}_{-8.6}$
τ	Ingress/egress transit duration (days)	$0.0172^{+0.0039}_{-0.0022}$
T_{14}	Total transit duration (days)	$0.2502^{+0.0034}_{-0.0026}$
T_{FWHM}	FWHM transit duration (days)	0.2326 ± 0.0017
b	Transit Impact parameter	$0.36^{+0.16}_{-0.24}$
b_S	Eclipse impact parameter	$7.6^{+2.4}_{-4.8}$
ρ_p	Density (g cm^{-3})	$4.06^{+0.54}_{-0.48}$
$\log g_p$	Surface gravity (cgs)	$3.937^{+0.039}_{-0.040}$
$\langle F \rangle$	Incident Flux ($10^9 \text{ erg s}^{-1} \text{ cm}^{-2}$)	$0.000465^{+0.000027}_{-0.000029}$
T_p	Time of Periastron (BJD _{TDB})	$2455071.37^{+0.20}_{-0.19}$
T_S	Time of eclipse (BJD _{TDB})	2454750 ± 110
Wavelength Parameters:		<i>Kepler</i>
u_1	linear limb-darkening coefficient	0.454 ± 0.039
u_2	quadratic limb-darkening coefficient	0.264 ± 0.049
Telescope Parameters:		<i>Keck-HIRES</i>
γ_{rel}	Relative RV Offset ^c (m/s)	$33.9^{+3.4}_{-3.6}$
σ_J	RV Jitter (m s^{-1})	$6.7^{+4.4}_{-4.2}$

See Table 3 in Eastman et al. (2019) for a detailed description of all parameters and all default (non-informative) priors.

^a ω_* is the argument of periastron of the star’s orbit due to the planet.

^b Calculated with Equation 3, which assumes no albedo and perfect redistribution. Between apastron and periastron, T_{eq} varies from 180–900 K. See the text for a discussion.

^c Reference epoch is BJD_{TDB} = 2457429.435011

2020). Following the astrometric solution of Lindegren et al. (2020)⁷, we subtracted -0.026 ± 0.013 mas from the EDR3 value.

For the SED portion of the EXOFASTv2 fit, we modeled broadband photometry from 2MASS (Cutri et al. 2003), ALLWISE (Cutri & et al. 2014), and Gaia (Gaia Collaboration et al. 2018) with inflated uncertainties as recommended by Eastman et al. (2019). In doing so, we employed the MIST stellar evolution models (Paxton et al. 2011, 2013, 2015; Dotter 2016; Choi et al. 2016) packaged within EXOFASTv2. We imposed a noise floor of 2% on the bolometric flux used in the SED modeling following Tayar et al. (2020).

The EXOFASTv2 fit progressed until the number of independent draws of the underlying posterior probability distribution of each parameter exceeded 1000 and the Gelman–Rubin statistic for each parameter decreased below 1.01 (Gelman & Rubin 1992; Ford 2006). We show the result-

ing best fit models with the transit and RV data in Figures 5 and 6, respectively.

3.1. The Bimodality of Stellar Mass and Age

The converged EXOFASTv2 fit yielded bimodal posterior probability distributions for the stellar mass (M_*) and age (Figure 7). The region of parameter space preferred by the MIST stellar evolution models, as influenced by all of the KOI-375 data, exists near the subgiant branch as we suspected based on the SpecMatch radius estimation. EXOFASTv2 found that multiple stellar ages and surface gravity values ($\log g$) correspond to the T_{eff} prior, meaning that the bimodality is astrophysical and not due to inadequate poste-

⁷ We calculated the astrometric solution using the software described at <https://www.cosmos.esa.int/web/gaia/edr3-code>.

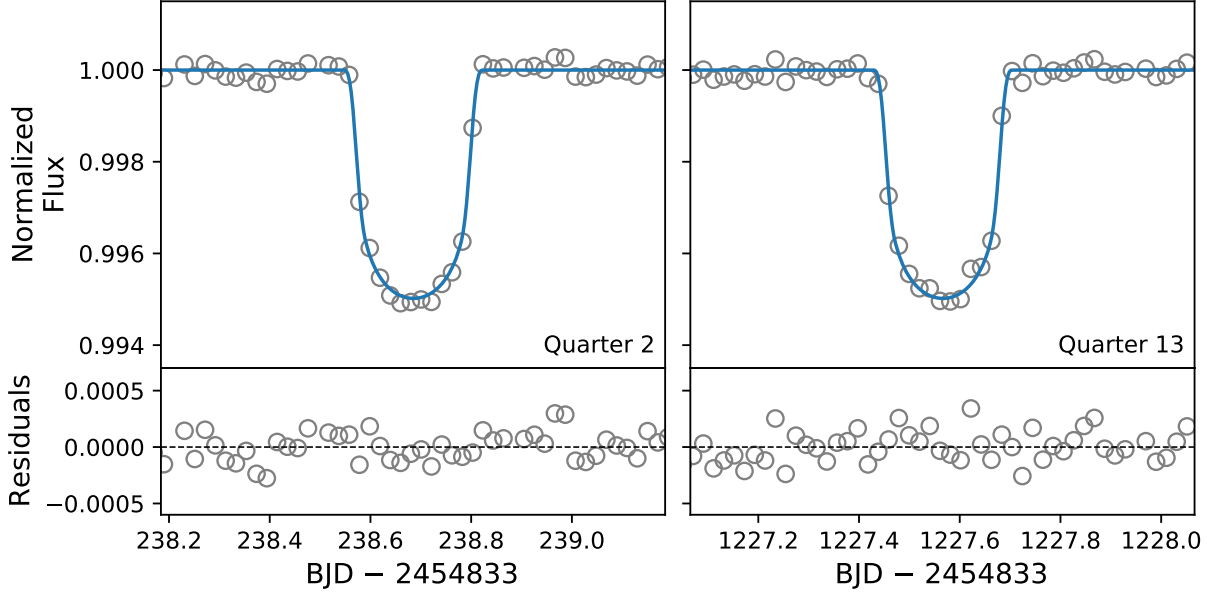


Figure 5. Detrended *Kepler* photometry of both transits (gray circles) and the best fit EXOFASTv2 model (blue line) laid over.

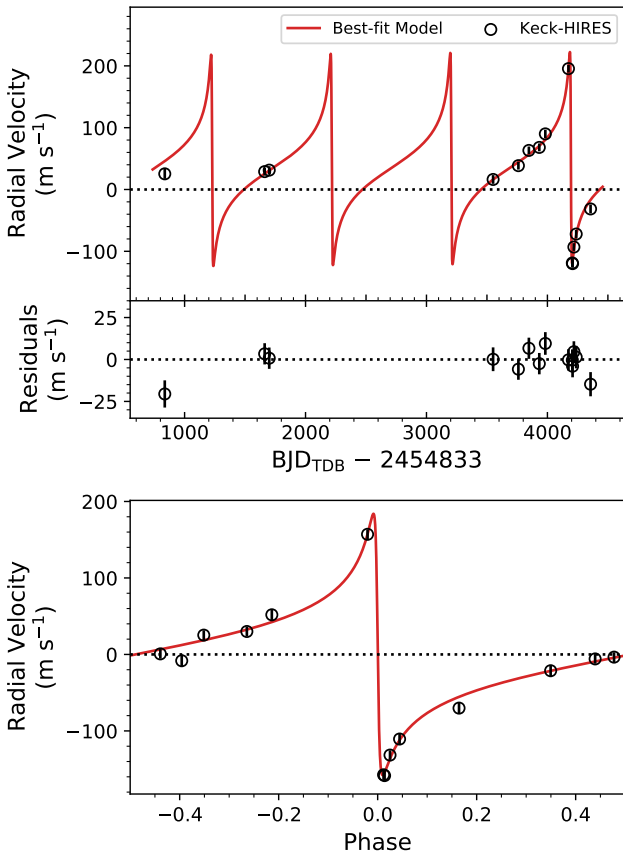


Figure 6. RV measurements of KOI-375 from Keck-HIRES with the best fit EXOFASTv2 model. The top panel shows the time series RVs and the bottom panel shows the data phase-folded on the best-fit ephemeris with $P = 988.88$ days.

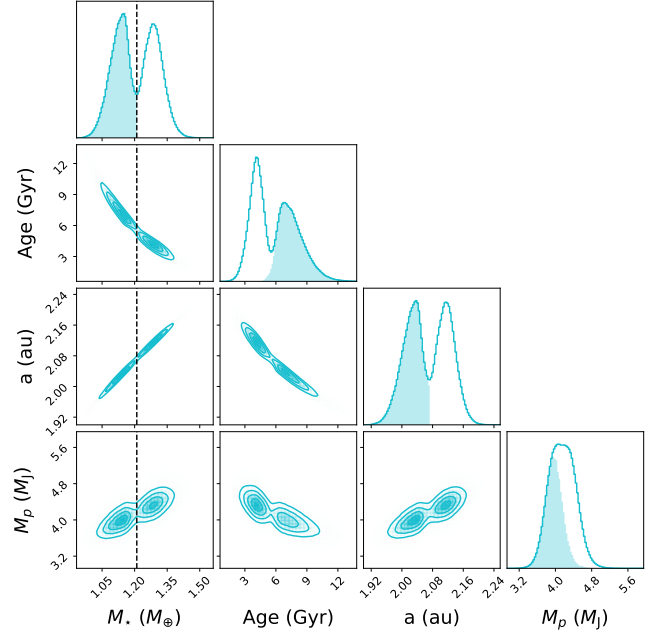


Figure 7. Posterior probability distributions demonstrating the bimodality in stellar properties and its effect on the inferred properties of KOI-375.01. The dashed vertical line at $1.21 M_{\odot}$ shows where we separated the low and high mass solutions, the former of which is slightly preferred (51.8% to 48.2%) and is shown as the shaded portion of each distribution.

rior sampling. The bimodality propagates to the semi-major axis (a) of KOI-375.01 and, to a lesser extent, its mass (M_p ; Figure 7).

Since we could not distinguish between the two families of solutions with the data of the KOI-375 system in hand,

we adopted the strategy of [Ikwut-Ukwa et al. \(2020\)](#) and divided the solutions at a fiducial M_* value of $1.21 M_\odot$, which corresponds to the trough between the posterior probability peaks in [Figure 7](#). The lower stellar mass, older age solution contains 51.8% of the posterior samples, which we treated as a slight preference over the higher stellar mass, younger solution. Therefore, in [Tables 2 and 3](#), we only publish the parameters for preferred, lower stellar mass solution. With the exception of a and M_p , which differ between the two solutions by 2.7σ and 1.4σ , respectively, no other planetary parameters show significant variation. None of the interpretations of the nature or formation history of KOI-375.01 are changed by considering the alternate solution.

4. RESULTS

4.1. Confirming KOI-375.01 as a Genuine Planet

A photometric dimming event with a depth corresponding to a giant planet transit can easily be created by substellar or stellar objects or various systematic signals (e.g., [Brown 2003](#); [Torres et al. 2005](#); [Cameron 2012](#); [Foreman-Mackey et al. 2016](#); [Dalba et al. 2020a](#)). These false positive signals can be harder to identify for longer (compared to shorter) periods owing to the difficulty in quantifying the reliability of genuine transit events from similarly long-period exoplanets (e.g., [Thompson et al. 2018](#)). Indeed, [Santerne et al. \(2016\)](#) measured a 55% false-positive rate for *Kepler* giant planets within 400 days of orbital period. For these reasons, long-period giant planet candidates like KOI-375.01 must be vetted with Doppler spectroscopy before any weight is placed upon their standing as a genuine planet.

Our 10-year baseline of RV measurements for KOI-375, although modest in size, confirmed the genuine planetary nature of KOI-375.01. It also confirmed the 988.88 day orbital period, placing KOI-375.01 among the top five longest-period (non-controversial) transiting exoplanets with precisely measured periods known to date⁸. With a semi-major axis of 2.03 au and an orbital eccentricity of 0.92, its elongated orbit brings it within 0.16 au of its host star and then slingshots it out to 3.9 au—the longest apastron distance of any transiting exoplanet with known orbital period and eccentricity. [Figure 8](#) is a diagram showing the orbit of KOI-375.01 relative to those of Jupiter, the Solar System terrestrial planets, and HD 80606 b. The RV data also contain a slight, although tentative, acceleration ($0.0031^{+0.0029}_{-0.0027} \text{ m s}^{-1} \text{ day}^{-1}$) that possibly indicates the existence of an outer companion.

The equilibrium temperature (T_{eq}) for KOI-375.01 as shown in [Table 3](#) is calculated following

$$T_{\text{eq}} = T_{\text{eff}} \sqrt{\frac{R_*}{2a}}, \quad (3)$$

⁸ According to the NASA Exoplanet Archive, accessed 2021 February 4.

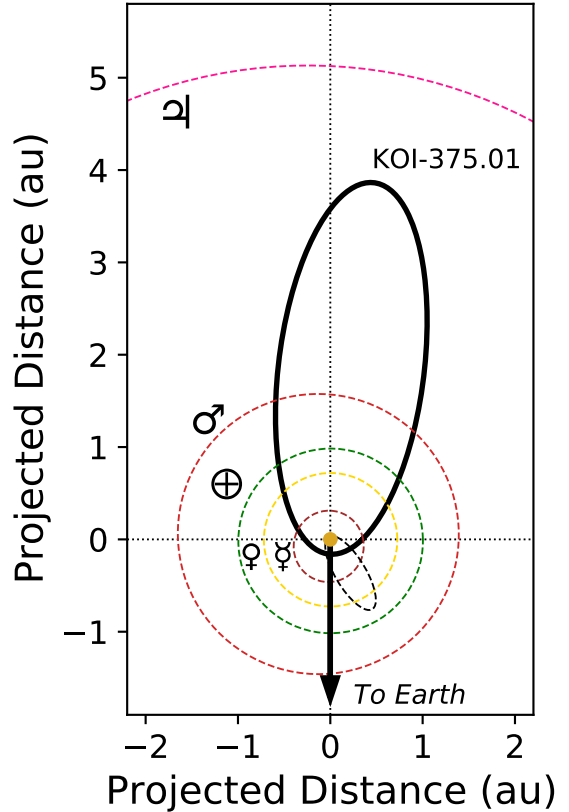


Figure 8. Face-on view of the orbit of KOI-375.01. The orbits of five Solar System planets and HD 80606 b (dashed black line) are included for reference. All orbits are drawn to scale, although the size of KOI-375 is not.

which assumes no albedo and perfect heat redistribution ([Hansen & Barman 2007](#)). However, including a factor of $1/(\sqrt{1 \pm e})$ in this equation suggests that T_{eq} varies from $\sim 180 \text{ K}$ at apastron to $\sim 900 \text{ K}$ at periastron. This substantial $\sim 700 \text{ K}$ swing in temperature likely affects the atmosphere on KOI-375.01.

In the following sections, we will investigate the possibility of companions, migration history, interior composition, and atmospheric characterization prospects for KOI-375.01. We take advantage of the fact that this planet’s orbital period, eccentricity, and radius are known precisely, which is remarkable for an exoplanet with its orbital properties.

4.2. Outer Companions in the KOI-375 System

As described in [Section 1](#) and extensively in the broader orbital dynamics literature (e.g., [Naoz 2016](#)), the presence of an outer planetary or stellar companion may have direct consequences on the migration history of a giant planet. For KOI-375, archival AO imaging data yield a non-detection of stellar companions beyond $\sim 100 \text{ au}$ and upper mass limits on such a companion down to $\sim 50 \text{ au}$ (see [Figure 4](#) and [Section 2.3](#)). In the following sections, we exploit our long-

490 baseline of RV observations to improve upon these limits
 491 with an injection-recovery test (Section 4.2.1), a RV trend
 492 analysis (Section 4.2.2), and a chaos indicator analysis (Sec-
 493 tion 4.2.3).

4.2.1. RV Injection-Recovery Test

494
 495 We characterized the sensitivity of our RV data set to addi-
 496 tional bound companions by running injection-recovery tests,
 497 in which we added synthetic signals to our RV data and con-
 498 verted the signal recovery rate into a map of search complete-
 499 ness. We used RVSearch (Rosenthal et al. 2021, accepted),
 500 an iterative periodogram search algorithm, to search for evi-
 501 dence of additional companions to KOI-375.01 in the RV
 502 data and perform these tests. We initialized RVSearch with
 503 the best-fit Keplerian model for KOI-375.01 and searched
 504 for additional companions with orbital periods spanning 2–
 505 10000 days. We found no evidence for additional compan-
 506 ions in this period range. Once the search was com-
 507 pleted, RVSearch injected synthetic planets into the data
 508 and repeated the additional iteration to determine whether
 509 it recovered these synthetic planets. We ran 3000 injection
 510 tests for KOI-375. We drew the injected planet semi-major
 511 axis and $M_p \sin i$ from log-uniform distributions, and drew
 512 eccentricity from the Beta distribution with shape paramet-
 513 ers $\alpha = 0.867$ and $\beta = 3.03$, which Kipping (2013a) found
 514 represented the sample of RV-observed exoplanets. After
 515 RVSearch performed the injection-recovery tests, we mea-
 516 sured search completeness across a wide range of semi-major
 517 axis and $M_p \sin i$ by determining the fraction of recovered
 518 synthetic signals in localized regions of a and $M_p \sin i$.

519 Figure 9 shows a pair of search completeness results, one
 520 of which includes the first low-S/N RV data point (left panel)
 521 and one of which neglects it (right panel). In both cases, our
 522 RV sensitivity to companions beyond the orbital separation
 523 of KOI-375.01 is limited, dropping below 50% completeness
 524 at $4 M_J$ beyond 4 au. The sparsity and high RMS of the RV
 525 data set drive the high lower limit on detectability in $M_p \sin i$,
 526 and the nearly 10-year observational baseline sets the sharp
 527 change in completeness around 3 au.

4.2.2. RV Trend Analysis

528
 529 To build upon the injection-recovery test, we conducted
 530 a complementary test of the Keck-HIRES RVs specifically
 531 focused on evidence of acceleration (i.e., a long-term RV
 532 trend). This analysis focused specifically on partially sam-
 533 pled signals from giant planets, substellar object, or stars that
 534 could be lurking undetected in the outer reaches of the KOI-
 535 375 system. When combined with a nondetection from high-
 536 contrast imaging, RV trends have been shown to greatly re-
 537 duce the parameter space that a possible undetected compan-
 538 ion could occupy (e.g., Crepp et al. 2012; Kane et al. 2019;
 539 Dalba et al. 2020b)

540 The EXOFASTv2 fit (Section 3) to the transit, RV, and
 541 SED included a parameter for “RV slope” ($\dot{\gamma}$), which quan-
 542 tifies any acceleration measured from the RVs. As shown in
 543 Table 3, we made a low significance detection of accelera-
 544 tion as $\dot{\gamma} = 0.0031^{+0.0029}_{-0.0027} \text{ m s}^{-1} \text{ day}^{-1}$. To refine the mass (M_c)
 545 and orbital distance (a_c) of the companion that could have
 546 caused this RV drift, we simulated RVs over a grid of scenar-
 547 ios broadly following the procedure of Montet et al. (2014).

548 First, we subtracted the maximum likelihood EXO-
 549 FASTv2 solution for KOI-375.01 from the Keck-HIRES RV
 550 data but without including the acceleration (i.e., we set $\dot{\gamma} = 0$).
 551 In doing so, we also inflated the RV uncertainties ($\sigma_{v_r}(t)$) to
 552 account for the fitted RV jitter (Table 3). The resulting RV
 553 time series ($v_r(t)$) then only contained the long-term trend.

554 Next, we defined a logarithmically spaced 30x30 grid in
 555 companion mass ($1 M_J < M_c < 1 M_\odot$) and semi-major axis
 556 ($4 < a/\text{au} < 200$). The mass boundaries were chosen to
 557 complement the constraints from the injection-recovery tests
 558 (Section 4.2.1) and the AO imaging (Section 2.3). The or-
 559 bital distance boundaries were chosen to span the gap be-
 560 tween the apastron distance of KOI-375.01 and the stringent
 561 upper boundary from the AO imaging.

562 At each point along the M_c - a_c grid, we drew 500 sets of
 563 the orbital elements [ω , e , i], which are the argument of peri-
 564 astron, the eccentricity, and the inclination, respectively. We
 565 drew ω randomly from a uniform distribution over the inter-
 566 val $[0, 2\pi]$, and we drew i randomly from a uniform distri-
 567 bution in $\cos i$ over the interval $[0, 1]$. For e , we drew values
 568 from the Beta distribution from Kipping (2013b) mentioned
 569 previously (Section 4.2.1). These random draws were meant
 570 to account for the variety of orbital configurations a massive
 571 companion could have.

572 Then, for each of the individual orbits, we simulated 50
 573 sets of RV time series ($\hat{v}_r(t)$) with a cadence matching v_r .
 574 Each of the 50 sets started at a different orbital phase spaced
 575 evenly across the entire orbit. This accounted for the fact
 576 that the Keck-HIRES observations could have sampled any
 577 portion of the companion’s orbit.

578 Finally, we used a least-squares regression routine to mini-
 579 mize the familiar statistic $\chi^2 = \sum_i [v_r(t) - \hat{v}_r(t)]^2 / \sigma_{v_r}(t)^2$. This
 580 minimization was necessary because the Keck-HIRES RVs
 581 are relative, not absolute. Assuming uncorrelated errors, we
 582 converted the 50 χ^2 values for each individual orbit to rela-
 583 tive probabilities following $P \propto \exp(-\chi^2/2)$, and we summed
 584 the probabilities to effectively marginalize over the portion of
 585 the orbit captured by the data. We also summed the probabil-
 586 ities of the 500 sets of orbits at each grid point to effectively
 587 marginalize over all orbital properties other than M_c and a_c .
 588 Lastly, we normalized the map of probabilities such that 22.5
 589 million probability calculations summed to unity. Figure 10
 590 (left panel) shows the resulting map.

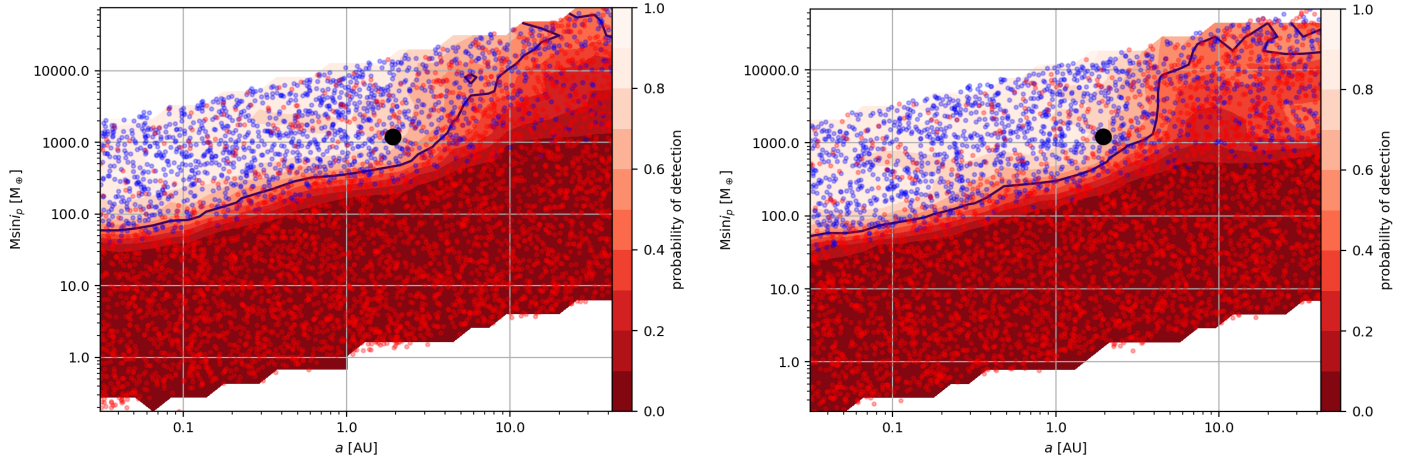


Figure 9. RVSearch injection and recovery to search for other signals in the RV data set. The left panel shows completeness contours for all RV data, while the right panel shows contours with the earliest RV data point removed (see Section 2.2). Red dots represent injected signals that were not recovered as opposed to blue dots that show recovered signals. The black dot is KOI-375.01, and the black line shows the 50% recovery contour.

591 The slight acceleration detected in the full set of RVs
 592 prefers companions within roughly 30 au and less massive
 593 than a few hundred Jupiter masses. However, only the most
 594 massive companions considered ($M_c \gtrsim 700 M_J$) are confi-
 595 dently ruled out between 4 and 200 au in the left panel of
 596 Figure 10. Also, incorporating the upper mass limit from the
 597 AO imaging (Section 2.3) trims a small portion of parameter
 598 space, notably for a correlated region at the highest masses
 599 and largest orbital separations.

600 We also repeated this entire analysis but after removing
 601 the first Keck-HIRES RV data point, as its timing and qual-
 602 ity may have inaccurately affected the measured RV trend
 603 (Section 2.2). The resulting map of probabilities calculated
 604 without the first RV data point is shown in the right panel
 605 of Figure 10. For context supporting the second probability
 606 map, we conducted a second EXOFASTv2 fit without the
 607 first Keck-HIRES data point that was otherwise identical to
 608 the fit described in Section 3. The only appreciable difference
 609 between the two EXOFASTv2 fits was value of $\dot{\gamma}$, which de-
 610 creased in significance to $-0.0002 \pm 0.0029 \text{ m s}^{-1} \text{ day}^{-1}$
 611 in the latter case. This difference manifests in the probability
 612 map as a much stronger constraint on M_c for $a_c < 20$ au.
 613 Although the RV baseline was shorter, and therefore less
 614 sensitive to subtle trends, the ~ 7 -year baseline of RVs was
 615 remarkably flat and confidently ($\geq 3\sigma$) excludes most com-
 616 panions interior to 20 au and more massive than a few hun-
 617 dred Jupiter masses. This limit is complemented by the AO
 618 imaging upper limit, which excludes an otherwise viable
 619 region of moderate-to-high-mass, wide separation compan-
 620 ions. Trends in probability in Figure 10 broadly follow those
 621 in the injection-recovery analysis (Figure 9, right panel), de-
 622 spite extending to much higher masses than were sampled in
 623 the latter case.

624 4.2.3. MEGNO Simulations

625 To test whether additional constraints can be put on the or-
 626 bital configurations of the potential outer companion, we ran
 627 a dynamical simulation using the Mean Exponential Growth
 628 of Nearby Orbits (MEGNO) chaos indicator (Cincotta &
 629 Simó 2000). The MEGNO indicator demonstrates whether
 630 a specific system configuration would lead to chaos after
 631 a certain integration time by distinguishing between quasi-
 632 periodic and chaotic evolution of the bodies within the sys-
 633 tem (e.g., Hinse et al. 2010). The final MEGNO value re-
 634 turned for a specific orbital configuration is useful for de-
 635 termining the stochasticity of the configuration, where chaos
 636 is more likely to result in unstable orbits for planetary bod-
 637 ies. With a grid of orbital parameters, a MEGNO simulation
 638 can provide valuable information on the orbital configura-
 639 tions that are favored by dynamical simulations, and reject
 640 configurations that return chaos results.

641 The MEGNO simulation to explore the dynamically vi-
 642 able locations for various outer companions was carried out
 643 within the N-body package REBOUND (Rein & Liu 2012)
 644 with the symplectic integrator WHFast (Rein & Tamayo
 645 2015). We used the stellar and planetary parameters from Ta-
 646 ble 2 and Table 3, respectively. We provided a linear-uniform
 647 grid in semi-major axis (20–60 au) and companion mass (1–
 648 100 M_J) that aligned with the higher probability region in
 649 Figure 10 (right panel). The eccentricity of the outer com-
 650 panion was set to zero. The simulation was integrated for
 651 20 million years with a time step of 0.034 years (~ 12.4 days).
 652 This time step was chosen to be 1/80 of the orbital period
 653 of KOI-375.01, a fourth of the recommended value (Duncan
 654 et al. 1998), to increase the sampling near the periastron pas-
 655 sage of this highly eccentric planet. The integration was set

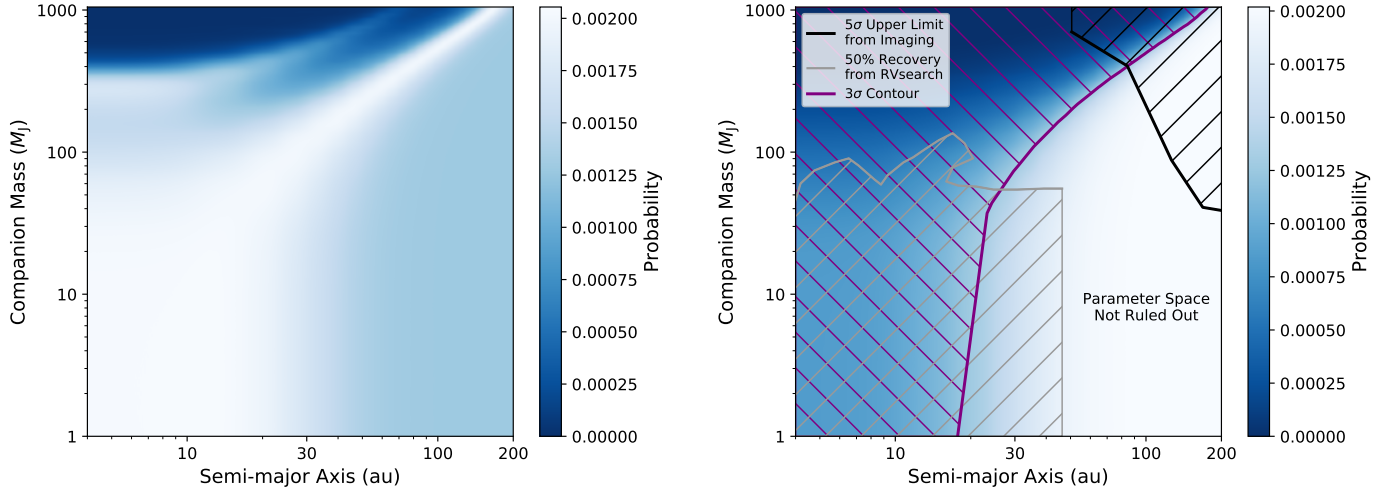


Figure 10. Probability of a companion in mass and semi-major axis space based on the expected acceleration relative to the residuals in the Keck-HIRES RVs after the signal from KOI-375.01 was subtracted. *Left:* Probabilities were calculated using all Keck-HIRES RV data points. *Right:* Probabilities were calculated after removing the first Keck-HIRES RV data point (Section 2.2). The black hatched region is ruled out to 5σ by the AO imaging (Figure 4). The purple line is drawn at $P = 0.0013$ such that the hatched area is ruled out to greater than 3σ . Any potential candidates in the gray hatched region have greater than a 50% recovery rate (Figure 9, right panel).

656 to stop and return chaos results if any of the planetary orbits
657 started extending beyond 100 au.

658 Figure 11 shows the grid of results of the MEGNO sim-
659 ulation. Each grid point is color coded according to the fi-
660 nal MEGNO value for the orbital configuration of that outer
661 companion. A MEGNO value around 2 (green) is considered
662 non-chaotic (Hinse et al. 2010) and are thus dynamically vi-
663 able regions where the outer companion could exist without
664 making the system chaotic. Grid points in red indicate sim-
665 ulations that returned chaotic results, and those in white indi-
666 cate irregular events such as close encounters and collisions,
667 all of which are unfavorable configurations for an outer com-
668 panion.

669 Only a few small pockets of parameter space (lower semi-
670 major axes, higher masses) contain orbital configurations that
671 lead to chaos. Otherwise, this analysis fails to rule out any
672 extra substantial area of parameter space where a massive
673 companion could exist.

674 4.3. Bulk Metallicity Retrieval for KOI-375.01

675 Continuing our discussion of results, we now shift the at-
676 tention from the outer reaches of the KOI-375 system back
677 to KOI-375.01 itself.

678 With the measured mass and radius of KOI-375.01, along
679 with other system properties, we retrieved the mass of its
680 heavy elements or its bulk metals (M_z) and calculated its
681 bulk metallicity ($Z_p \equiv M_z/M_p$) following Thorngren & Fort-
682 ney (2019). Briefly, we modeled the thermal evolution of
683 KOI-375.01 using one-dimensional structure models with a
684 core composed of a rock–ice mixture at equal amounts, a ho-
685 mogeneous convective envelope made of a H/He–rock–ice

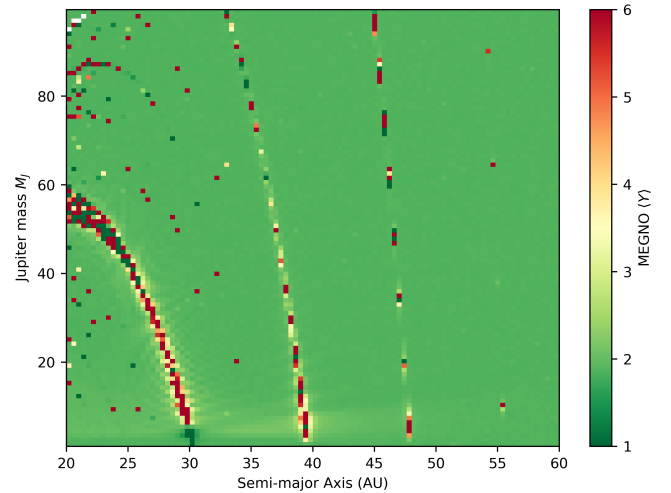


Figure 11. MEGNO simulation result with a grid of orbital configurations for the outer companion. Green regions (low values) are stable against chaos.

686 mixture, and a radiative atmosphere. The atmosphere mod-
687 els were interpolated from the grid of Fortney et al. (2007).
688 Samples were drawn from the posterior probability distribu-
689 tions for planet mass, radius, and age (Section 3), and the
690 heavy element mass was adjusted in the structure models to
691 recover the planet radius.

692 This analysis relied on two assumptions. First, we as-
693 summed that the planet radius is not inflated (e.g., Laugh-
694 lin 2018) because the average irradiation flux received by
695 KOI-375.01 (see Table 3), which is well below the canon-
696 ical $2 \times 10^8 \text{ erg s}^{-1} \text{ cm}^{-2}$ empirical threshold for giant planets
697 (Miller & Fortney 2011; Demory & Seager 2011; Sestovic

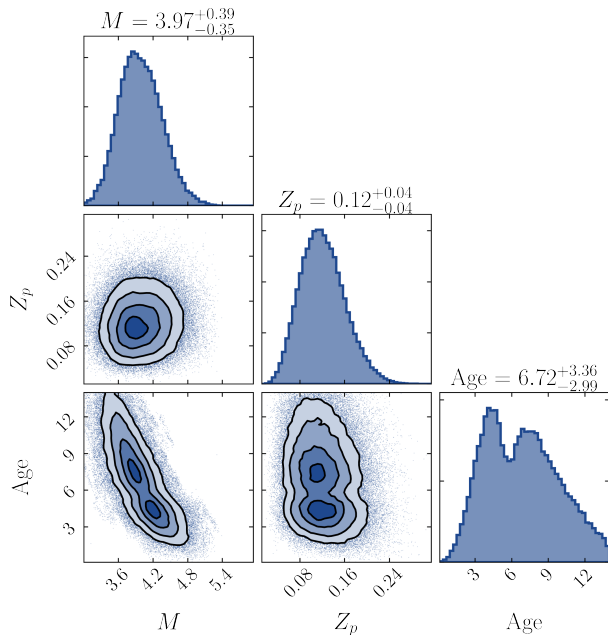


Figure 12. Posterior probability distributions from the heavy element mass retrieval for KOI-375.01. The symbols M and Z_p represent planet mass and bulk metallicity, respectively. Despite the bimodality in age (see Section 3), Z_p is normal. The inferred bulk metallicity of KOI-375.01 corresponds to a heavy element mass of $\sim 150 M_{\oplus}$ and an enrichment (relative to stellar) of ~ 5 .

et al. 2018). Second, we neglected any internal heating from circularization tides. We assumed that tides are an inefficient means of heating KOI-375.01 as evidenced by the 80000 Gyr tidal circularization timescale (Table 3).

The metallicity retrieval was complicated slightly by the bimodal probability distribution for age we inferred from the comprehensive system modeling (Figure 7). Instead of using separate normal priors for stellar mass and age, we used a bivariate Gaussian kernel-density estimate as the prior for these parameters. Then, we sampled the posterior with a Markov chain Monte Carlo technique.

The results of the bulk metal mass retrieval are shown in Figure 12. Despite the bimodality in age, the marginalized posterior probability distribution for bulk metallicity is a near-normal distribution at $Z_p = 0.12 \pm 0.04$, corresponding to $M_z \approx 150 M_{\oplus}$. To calculate the stellar metallicity (Z_*), we assumed that the iron abundance ($[\text{Fe}/\text{H}]$) scales with total heavy metal content such that $Z_* \equiv 0.0142 \times 10^{[\text{Fe}/\text{H}]}$ (Asplund et al. 2009; Miller & Fortney 2011), which yields $Z_* = 0.0229 \pm 0.0031$. Finally, we calculated the bulk metallicity enrichment relative to stellar for KOI-375.01 as $Z_p/Z_* = 5.2 \pm 1.9$.

We place the bulk metal mass and metallicity enrichment in context of other cool ($T_{\text{eq}} \lesssim 1000$ K), weakly irradiated ($\langle F \rangle < 2 \times 10^8 \text{ erg s}^{-1} \text{ cm}^{-2}$) giant exoplanets from the

Thorngren et al. (2016) sample⁹ in Figure 13. By metal mass and enrichment, KOI-375.01 is entirely consistent with the known trends. KOI-375.01 contains more metal mass than its lower-mass counterparts, but it is broadly less enriched in metals relative to its host star. These findings support the theory of core accretion as its formation scenario, followed by a period of late-stage heavy element accretion (e.g., Mousis et al. 2009; Mordasini et al. 2014). KOI-375.01 is similar to the other high-mass ($M_p \gtrsim 2 M_J$) giant planets in that it orbits a metal-rich star, something that has been predicted by population synthesis models (e.g., Mordasini et al. 2012) and likely relates to the correlation between host star metallicity and giant planet occurrence (e.g., Gonzalez 1997; Santos et al. 2004; Fischer & Valenti 2005).

In Figure 13 (right panel), we include a prediction from Ginzburg & Chiang (2020), who model concurrent gas accretion and mergers in giant planet formation. The scatter in the data enclosed by the dotted black lines can be explained by the intrinsically chaotic nature of mergers, even if all systems evolve from nearly identical conditions as quantified by an average critical core mass of $10 M_{\oplus}$. The majority of the credible interval for the metallicity enrichment of KOI-375.01 falls outside of this theoretical range. However, Ginzburg & Chiang (2020) demonstrated that loosening the constraint on the average core mass needed to begin runaway gas accretion to $3\text{--}30 M_{\oplus}$ can account for all of the scatter measured by Thorngren et al. (2016) as well as KOI-375.01.

It is interesting to consider how trends in heavy element mass, metal enrichment, and total planet mass relate to other orbital and stellar properties. In Figure 14, we show the relative residuals (calculated/best fit) of heavy element mass and metallicity enrichment (relative to stellar) as a function of eccentricity for the Thorngren et al. (2016) sample of weakly irradiated giant exoplanets and KOI-375.01. As noted by Thorngren et al. (2016), there is no discernible trend in either quantity. However, given how sparsely populated the high-eccentricity region is, it is worthwhile to consider the (now) five individual systems with $e > 0.6$. The residual heavy element mass and metallicity enrichment of KOI-375.01 and HD 80606 b are nearly identical, as are their orbital eccentricity and planet mass. However, as we will discuss in Section 5, HD 80606 b likely migrated via secular perturbations with HD 80607 (e.g., Wu & Murray 2003; Fabrycky & Tremaine 2007; Winn et al. 2009) whereas we have largely ruled out a stellar companion for KOI-375. If KOI-375.01 and HD 80606 b followed different migration pathways, there is no evidence in their bulk metallicity to distinguish them. Oddly, the residual heavy element mass and the

⁹ We exclude Kepler-75 b in all related figures and analyses since Thorngren et al. (2016) only derived an upper limit on its metal mass.

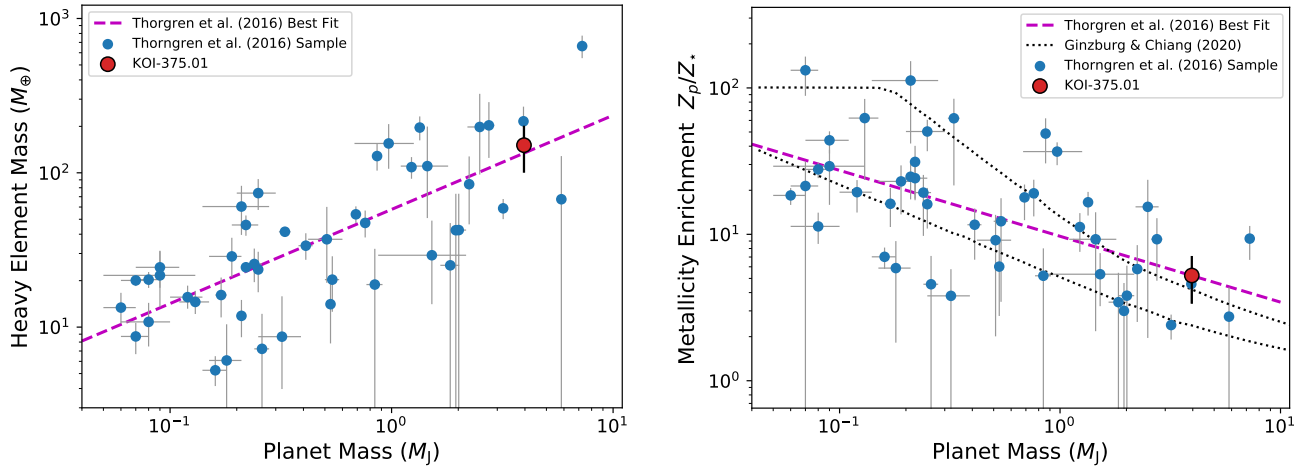


Figure 13. *Left:* Heavy element mass of the weakly irradiated giant exoplanets from Thorngren et al. (2016) as well as KOI-375.01. *Right:* Metallicity enrichment of the weakly irradiated giant exoplanets from Thorngren et al. (2016) as well as KOI-375.01. The dotted black lines show the scatter that can be accounted for by concurrent gas accretion and mergers assuming an average core mass of $10 M_{\oplus}$ at the onset of runaway gas accretion (Ginzburg & Chiang 2020). The position of KOI-375.01 in these panels suggests a formation by core accretion with substantial late stage accretion of heavy elements.

771 metallicity enrichment of these two planets are significantly
 772 different than those of HD 17156 b, which has $e \approx 0.67$
 773 (Fischer et al. 2007; Bonomo et al. 2017). However, unlike
 774 HD 80606 b, HD 17156 b has no stellar companion and its
 775 orbit is nearly aligned with its host star (Cochran et al. 2008;
 776 Narita et al. 2008; Barbieri et al. 2009). Also, HD 17156 b’s
 777 orbital period is almost two orders of magnitude shorter than
 778 that of KOI-375.01. Therefore, it is perhaps not surprising
 779 that these planets experienced different formation histories
 780 that could account for the metallicity differences. The fi-
 781 nal two high-eccentricity planets in Figure 14 (KOI-1257 b
 782 and Kepler-419 b) have relatively imprecise residual heavy
 783 element masses and metallicity enrichments. KOI-1257 b is
 784 thought to be in a binary star system, possibly pointing to
 785 Kozai migration (Santerne et al. 2014). On the other hand,
 786 Kepler-419 b is joined by a massive outer giant planet that
 787 has a low mutual inclination, such that Kozai migration is
 788 likely not a viable migration theory (Dawson et al. 2014).
 789 The overall lack of a clear trend between heavy element mass
 790 or metallicity enrichment and the presence of a companion
 791 and/or high stellar obliquity is likely in part a result of the
 792 small number of data points. However, it could also suggest
 793 that the heavy element accretion occurs before or independ-
 794 ently from the various HEM channels.

4.4. Atmospheric Characterization Prospects for KOI-375.01

797 The bulk heavy element mass retrieval suggested that
 798 ~ 150 Earth-masses of metals should exist within KOI-
 799 375.01. Assuming a core mass of $\sim 10 M_{\oplus}$, or even up
 800 to $30 M_{\oplus}$ as may be suggested by Figure 13, this yields a
 801 prediction of a metal-enriched gaseous envelope like Jupiter

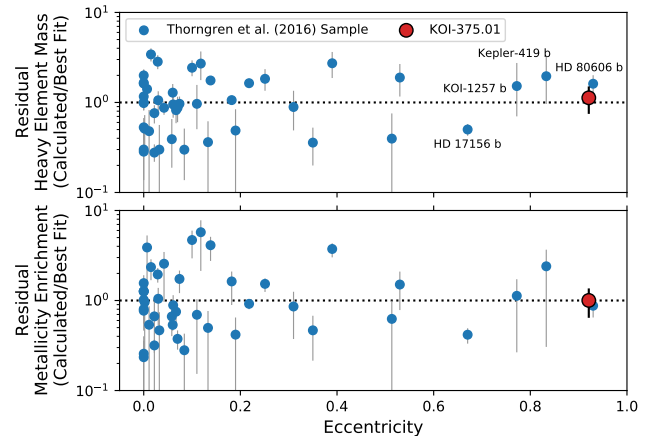


Figure 14. Relative residuals (calculated/best fit) of heavy element mass (top) and metallicity enrichment relative to stellar (bottom) as a function of eccentricity for the Thorngren et al. (2016) sample of weakly irradiated giant exoplanets and KOI-375.01.

802 (e.g., Wong et al. 2004) or Saturn (Fletcher et al. 2009b)
 803 that could possibly be explored via atmospheric character-
 804 ization. Specifically, Thorngren & Fortney (2019) showed
 805 that the bulk metallicity places an upper limit on the atmo-
 806 spheric metallicity. For KOI-375.01, the core-free 2σ upper
 807 limit ($Z_p = 0.2$) for atmospheric metallicity is $35.7\times$ solar.

808 Considering only orbital period or semi-major axis, KOI-
 809 375.01 is a rare opportunity for transmission spectroscopy
 810 (Seager & Sasselov 2000). Although, long-period exoplanets
 811 pose specific challenges to this kind of technique. Not
 812 only are transits of such planets geometrically rare, but their
 813 timing is often uncertain. Since only two transits of KOI-
 814 375.01 have been observed, the presence of extreme trans-
 815 sit timing variations (TTVs; Wang et al. 2015b) cannot be

ruled out (e.g., Dalba & Muirhead 2016; Dalba & Tamburo 2019). Furthermore, atmospheric temperature will (to first order) decrease with increasing orbital distance. As a result, atmospheres will be cooler and scale heights and transmission spectrum features will be smaller. Surprisingly, this can be balanced by low surface gravity, as would be the case if Saturn was subject to transmission spectroscopy (Dalba et al. 2015). The transiting geometry of the long-period KOI-375.01 also makes it a unique candidate for testing theories of atmospheric refraction (e.g., Sidis & Sari 2010; Dalba 2017; Alp & Demory 2018) that have not yet been observationally tested (Sheets et al. 2018).

However, considering the large radius of the subgiant KOI-375 and the high mass of KOI-375.01, this system is a challenging target for transmission spectroscopy. With a surface gravity of 86 m s^{-2} and the average equilibrium temperature of 254 K from Table 3, the atmospheric scale height is only $\sim 12 \text{ km}$, which corresponds to 1 part-per-million (ppm) in the transmission spectrum. The out-of-transit stellar mirage caused by refraction also scales with the atmospheric scale height (e.g., Dalba 2017), making such a detection similarly difficult.

On the other hand, we used Equation 3 to estimate that T_{eq} at periastron, which is within several days of transit, is $\sim 900 \text{ K}$. This suggests a $3.6\times$ increase in the atmospheric scale height and transmission spectrum feature size. Although 4 ppm is still beyond the reach of current and future facilities, we caution that our intuition for predicting favorable transmission spectroscopy targets is largely based on our current understanding of hot, close-in exoplanet atmospheres. This possibly warrants skepticism. After all, Saturn—as a transiting exoplanet—would be surprisingly amenable to transmission spectroscopy (Dalba et al. 2015). Other long-period giant exoplanets may prove surprising as well.

Even if transmission spectroscopy is not a viable atmospheric characterization technique, the 0.16 au periastron distance of KOI-375.01 caused by its extreme eccentricity possible qualifies it for an IR phase curve analysis.

4.4.1. IR Phase Curve Analysis

To predict the expected thermal signature of the planet during periastron passage, we calculated the IR phase curve for KOI-375.01 during one complete orbital period. These calculations followed the methodology of Kane & Gelino (2011) using the stellar and planetary parameters provided in Tables 2 and 3, respectively. We assumed a passband of $4.5 \mu\text{m}$, a Bond albedo of zero, and we calculated the flux ratio of planet to star using the “hot dayside” and “well mixed” models.

These models represent the extremes of heat redistributions as they assume re-radiated energy over 2π and $4\pi \text{ sr}$,

respectively. The full IR phase curve for both models are shown in Figure 15, along with a zoomed panel that shows the location of the periastron passage.

There are several caveats to this calculation. We assumed an instantaneous response of the planetary absorption and IR emission, whereas the radiative and advective time scales will determine the nature of phase lags in thermal emission profiles (Langton & Laughlin 2008; Cowan & Agol 2011a). This, combined with the blackbody emission and zero albedo assumptions, means that the calculations presented in Figure 15 may be considered as an upper limit on the expected IR emission. Furthermore, the variation in temperature would also alter the atmospheric composition. Some of the energy would be converted into latent heat to dissociate larger molecules or particulates. There would also be an interconversion between CO and CH_4 (e.g., Visscher 2012). The timescale of this reaction, and also the vertical mixing timescale, should be considered to produce a more accurate model of the phase curve. We leave these considerations for a future work and instead derive a first-order, upper limit on the phase curve emission.

Despite the various assumptions that apply to this phase curve modeling, the order of magnitude ($\mathcal{O}(10^2)$ ppm) of the thermal flux increase is likely accurate. Several instruments on board the *JWST* will have sensitivity in the near-to thermal-IR and, based on preliminary noise floor expectations (Greene et al. 2016), should be capable of detecting the KOI-375.01 phase variation. Borrowing from solar system intuition, $4\text{--}6 \mu\text{m}$ is likely a promising wavelength for such an observation. Ignoring clouds, Jupiter and Saturn’s atmospheres have low opacity in this wavelength region that exists between bands of methane and phosphine where radiation from 5–8 bars can escape (Irwin et al. 2014). Jupiter’s radiance near $5 \mu\text{m}$ even exceeds that at mid-IR wavelengths (e.g., Irwin et al. 1998; Fletcher et al. 2009a; Irwin et al. 2014).

The periastron passage of KOI-375.01 is spread over ~ 10 days and includes the transit. Low cadence time series observations from the F444W filter of NIRCcam, for example, could detect the peak flux ratio and the width of the feature assuming that the visit-to-visit photometric stability does not overwhelm the astrophysical signal. Including at least one high cadence, longer visit at or following periastron would also be valuable because the phase curve may exhibit a “ringing” as the hot spot from periastron rotates in and out of view (e.g., Cowan & Agol 2011b). This effect is not featured in our simulation of KOI-375.01, which assumed pseudo-synchronous rotation. However, given the inefficiency of tides at the periastron distance of KOI-375.01, this assumption may be an oversimplification. The detection of a ringing oscillation in the phase would test this assump-

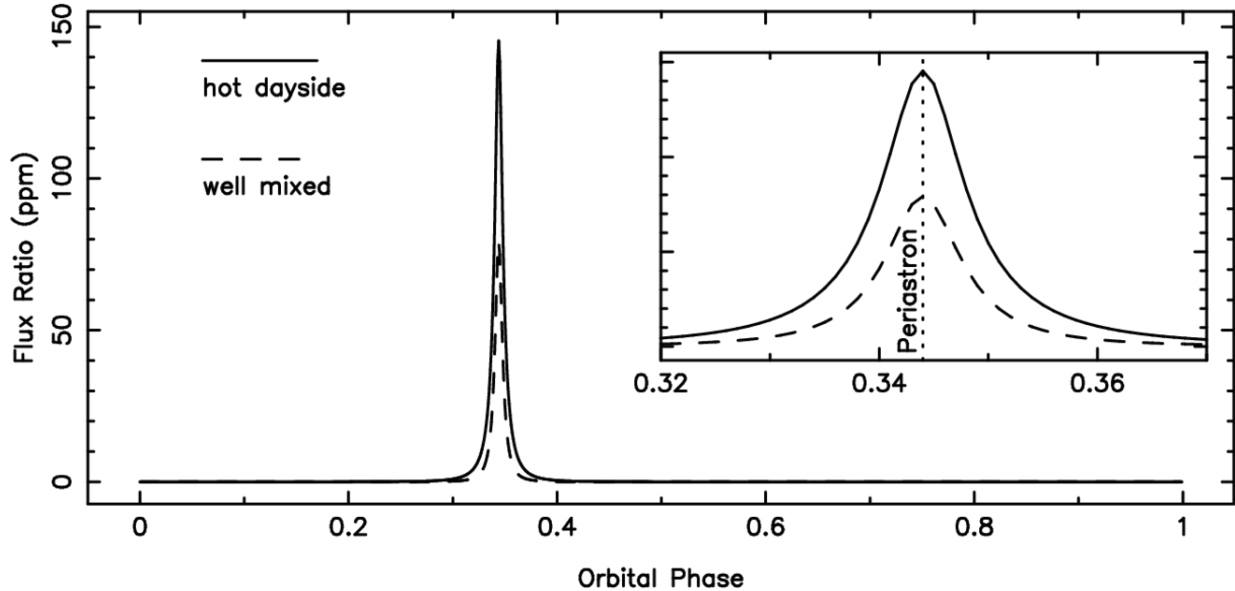


Figure 15. Simulated $4.5 \mu\text{m}$ phase curve of KOI-375.01 following Kane & Gelino (2011). The “hot dayside” and “well mixed” models correspond to atmospheric heat redistribution efficiencies of 0 and 1, respectively. The ~ 100 ppm amplitude of this variation is favorable for *JWST* observation. This simulation assumed a pseudo-synchronous rotation of KOI-375.01. If the planet’s rotation is not synchronized, an oscillation in flux at the frequency of the planet’s effective rotation rate may also be detectable.

918 tion and possibly directly yield the effective planetary rota-
919 tion rate.

920 A full simulation of the detectability of the thermal phase
921 curve for KOI-375.01 is beyond the scope of this paper and
922 should likely wait until *JWST* is launched and commissioned.
923 In addition to a broad band detection of phase variability,
924 the prospects for spectroscopic detection should also be in-
925 vestigated. It seems unlikely that transmission spectroscopy
926 will be an effective tool to measure atmospheric composition
927 (e.g., metallicity), so any other possible method would
928 be extremely useful. Atmospheric metal enrichment (rela-
929 tive to stellar) is a specifically valuable property to measure
930 because it can be compared to the planet’s bulk metallicity
931 enhancement (Section 4.3). One prediction would be an at-
932 mospheric metallicity less than the bulk metallicity if some
933 heavy elements comprise a planetary core or there is other-
934 wise an increasing gradient in metals with depth. Even a
935 rough estimation of core properties would be useful for our
936 prediction of a core mass up to $\sim 30 M_{\oplus}$ based on Ginzburg &
937 Chiang (2020) as described in Section 4.3. However, recent
938 high-precision gravity data and well established atmospheric
939 composition results suggest a more complicated picture for
940 Jupiter and Saturn (Niemann et al. 1998; Wong et al. 2004;
941 Fletcher et al. 2009b; Wahl et al. 2017; Guillot et al. 2018;
942 Iess et al. 2019; Müller et al. 2020b). More elaborate theo-
943 ries include inverse compositional gradients (e.g., Debras &
944 Chabrier 2019) are needed to explain Jupiter and Saturn and
945 could possibly be refined through atmospheric characteriza-
946 tion of exoplanets like KOI-375.01.

947 Based on the optimistic prospect of *JWST* observations, we
948 determined the timing of transits and periastron passages of
949 KOI-375.01 occurring in the next 10 years (Table 4). For
950 each event, we checked for visibility from *JWST* using the
951 General Target Visibility Tool¹⁰ This tool only predicts visi-
952 bility through the end of 2023, but we assumed the same
953 visibility of KOI-375 in all later years. The 2023 transit of
954 KOI-375.01 will not be visible to *JWST* while the 2028 tran-
955 sit will be. The 2025 transit will occur within 24 hr after
956 the visibility window closes and the 2031 transit will occur
957 roughly six days after the visibility window opens. If the so-
958 lar avoidance restrictions change after launch, these transits
959 may or may not be visible to *JWST*. The periastron passage
960 of KOI-375.01 occurs several hours before transit, so its visi-
961 bility is similar. However, as shown in Figure 15, the peak
962 of the thermal flux ratio spans ~ 10 days. Even if the exact
963 moment of periastron is (or is not visible), some portion of
964 the event is expected to be visible to *JWST*.

965 4.4.2. Radio Emission

Unlike for transmission spectroscopy, the relatively high
mass of KOI-375.01 is beneficial to attempts to measure
planetary radio emission. Lazio et al. (2010) searched for
radio emission from HD 80606 b during a periastron passage
but measured only an upper limit. That experiment was based
on the expectation that the variation in planet–star distance
over an eccentric orbit would lead to dramatic increase in

¹⁰ Accessed 2021 February 11 (https://github.com/spacetelescope/jwst_gtvt).

Table 4. Future Transit and Periastron Timing Predictions

Epoch ^a	Conjunction (Transit) Time		Periastron Time		JWST Visibility ^b
	BJD _{TDB}	UTC	BJD _{TDB}	UTC	
5	2460016.0902 ± 0.0021	2023-03-12 14:10	2460015.78 ± 0.20	2023-03-12 06:37	None
6	2461004.9714 ± 0.0023	2025-11-25 11:19	2461004.66 ± 0.20	2025-11-25 03:46	Partial
7	2461993.8525 ± 0.0025	2028-08-10 08:28	2461993.54 ± 0.20	2028-08-10 00:55	Full
8	2462982.7336 ± 0.0027	2031-04-26 05:36	2462982.42 ± 0.20	2031-04-25 22:03	Partial

^a Epoch=0 is defined as the first transit observed by the *Kepler* spacecraft.

^b JWST visibility after 2023 December 31 is based on previous years’ visibility. Epochs for which the full periastron passage of KOI-375.01 partially falls outside of the predicted visibility windows are labeled as “Partial” (see the text).

magnetospheric emission. Assuming that luminosity scales with the planet–star distance as $L \propto d^{-1.6}$ (e.g., Farrell et al. 1999), then the factor of 24.3 change in distance would produce a 165x increase in luminosity. While this is slightly smaller than the 200x increase expected for HD 80606 b, a future radio search may be aided by the fact that KOI-375.01 can possibly emit at higher frequencies. We estimate that the upper limit emission frequency as determined by the local plasma frequency in the emission region for KOI-375.01 is

$$\nu = 24 \text{ MHz} \left(\frac{\omega}{\omega_J} \right) \left(\frac{M_p}{M_J} \right)^{5/3} \left(\frac{R_p}{R_J} \right)^3 \quad (4)$$

where ω is the angular rotation rate (Farrell et al. 1999; Lazio et al. 2004, 2010). In this Equation, all values are scaled to those of Jupiter. For HD 80606 b, tidal forces are expected to force the planet into pseudo-synchronous rotation (Hut 1981; Lazio et al. 2010), with a rotation period of 39.9 hr. It is unlikely that this would also apply to KOI-375.01, for which the larger periastron distance renders tides inefficient. Therefore, the assumption of a Jupiter-like rotation period (~ 9.9 hr) is reasonable. In that case, evaluating Equation 4 gives 287 MHz. Lazio et al. (2010) argued that this equation may actually under-predict the cutoff frequency of exoplanets, as it does for Jupiter, and suggested that the upper limit may be 60% larger. In that case, the cutoff frequency for KOI-375.01 would be 406 MHz, which is more accessible to existing radio observatories than HD 80606 b’s 55–90 MHz.

A full simulation of the potential for radio emission from KOI-375.01 is beyond the scope of this paper, and the ability to make such a detection, at least relative to previous attempts for HD 80606 b, will likely be hindered somewhat by the greater distance to the KOI-375 system. However, even the admittedly coarse calculation here suggests that KOI-375.01 is one of the best systems to investigate magnetospheric response to a rapidly changing planet–star distance. Such an observation stands to extend the study of giant exoplanet magnetic fields beyond the inner most hot Jupiters (e.g., Cauley et al. 2019) and explore magnetic field generation in planets akin to Jupiter and Saturn.

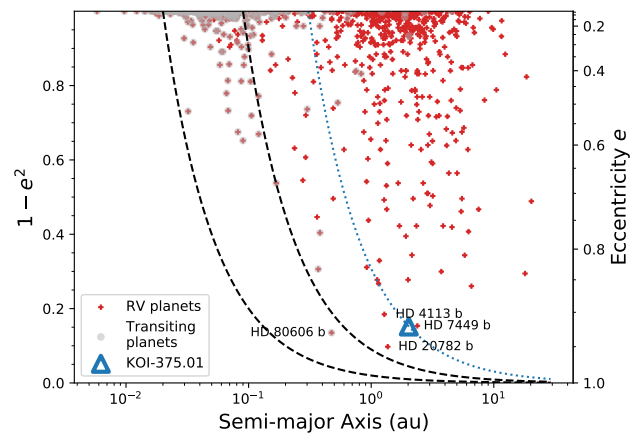


Figure 16. The eccentricity for all non-controversial exoplanets with known a (or with the necessary parameters to calculate a) and with (minimum) mass greater than $0.3 M_J$ as listed in the NASA Exoplanet Archive (accessed 2021 February 17). The marker indicates whether a planet has been detected by transits and/or RVs. The dashed black lines indicate tracks of constant angular momentum with final orbital periods of 1 and 10 days. The dotted blue line indicates the track for KOI-375.01.

5. DISCUSSION

Much of the previous analysis has focused on key pieces of information that inform the formation and migration history of KOI-375.01. Orbital period and eccentricity are two of the most notable properties in this respect. As shown in Figure 16, these properties place KOI-375.01 among a small group of known exoplanets on long-period, highly eccentric orbits that are useful for testing the extremes of planetary formation theories. More remarkable, though, is the transiting geometry of the orbit of KOI-375.01. Relative to other transiting exoplanets, the position of KOI-375.01 in a – e space is unrivaled (Figure 16). KOI-375.01 thereby offers its radius and bulk composition, as well as its orbital properties, a clues to its formation and migration history.

Here, we attempt to assemble all of these pieces of information into a coherent narrative describing the history of this interesting planet.

5.1. KOI-375.01: The Failed Hot Jupiter

Based solely on the measured orbital eccentricity, we discard disk-migration as the explanation for the orbital properties of KOI-375.01. Papaloizou et al. (2001) showed that eccentricities up to ~ 0.25 could be achieved through disk interactions for a variety of planet masses. Although, eccentricity is generally damped by the disk for giant planets with $M_p < 5 M_J$ (Bitsch et al. 2013). Recent work revisiting disk cavity migration argued for eccentricities up to 0.4 for giant planets (Debras et al. 2021), which is possibly a viable theory for other outer giant planets like Kepler-1514 b ($e = 0.401$), which also harbors an inner Earth-sized companion (Dalba et al. 2021). Explaining the eccentricity of KOI-375.01, however, requires HEM.

Through multiple analyses, we ruled out planetary, substellar, and stellar companions in the KOI-375 system across a broad parameter space. Unknown stellar companions (with masses in the range 50–400 M_\odot) are limited to a narrow range of semi-major axis between 20 and 150 au (Figure 10, right panel). Unknown substellar and planetary companions are limited to semi-major axes above ~ 45 au. Companions within these parameter spaces (and the otherwise ruled out spaces) could have driven KOI-375.01 to its high eccentricity through secular Kozai–Lidov perturbations (e.g., Wu & Murray 2003; Naoz et al. 2011). Also, star-planet Kozai migration from a stellar companion that was present when KOI-375.01 formed but subsequently lost due three-body interactions also remains a possible explanation. However, motivated by our non-detection of a companion and only a tentative detection of acceleration in ~ 10 years of RV measurements, we discard secular perturbations as being the most likely explanation for the high eccentricity of KOI-375.01 given the information in hand.

We recommend future that dynamical simulations explore the specific area of parameter space to see if an additional hidden companion could theoretically be responsible for the properties of KOI-375.01 (c.f., Jackson et al. 2019).

This brings us to HEM theories involving close, fast dynamical interactions. Specifically, could planet-planet scattering (e.g., Rasio & Ford 1996) provide an explanation for the eccentricity of KOI-375.01? Many aspects of the observed eccentricity distribution of giant exoplanets can be explained by planet-planet scattering (e.g., Moorhead & Adams 2005; Chatterjee et al. 2008; Raymond et al. 2010; Bitsch et al. 2020), including planets with eccentricities above 0.99 (Carrera et al. 2019). For KOI-375.01, we find planet-planet scattering is consistent with its orbital properties, its host stars, and its bulk interior properties. KOI-375 is a metal-

rich star ($[Fe/H] = 0.196 \pm 0.057$ from Table 2). Dawson & Murray-Clay (2013) demonstrated that metal-rich stars tend to host high-eccentricity hot Jupiters, which they interpreted as evidence support HEM by planet-planet scattering owing to the well know correlation between stellar metallicity and giant planet occurrence (e.g., Santos et al. 2004; Fischer & Valenti 2005). Even though KOI-375.01 is not a hot Jupiter it is reasonable that it could have formed alongside other giant planets that were subsequently scattered. After many close encounters, possibly even tens of thousands (Carrera et al. 2019), KOI-375.01 could have been driven to its current eccentricity. It is at this point that its path may deviated from that of hot Jupiter. Hot Jupiters tend to have some type of companion that might explain their migration history (e.g., Bryan et al. 2016), whereas we have not detected a companion for KOI-375.01. We speculate that KOI-375.01 either merged with or ejected the companions that would have continued driving its eccentricity. At that point, its final periastron distance was too far for tides to efficiently circularize the orbit (the tidal circularization timescale is far longer than the age of the universe). Even given that time, tidal migration (at constant angular momentum) would only reduce the orbital period to ~ 59 days (Figure 16). This leaves KOI-375.01 as the failed hot Jupiter that we have characterized here. This hypothesis yields a prediction that highly eccentric *failed* hot Jupiter planets like KOI-375.01 will be alone in their systems. Kepler-419 b is perhaps a noteworthy exception to this prediction, although disk migration may have been in play for that system (Petrovich et al. 2019).

The bulk heavy element mass of KOI-375.01 ($\sim 150 M_\oplus$) is consistent with theories suggesting that the accretion of metals occurred concurrently with migration via scattering. Shibata et al. (2020) found that migration during gas accretion allows giant planets to capture tens of Earths masses worth of planetesimals that would not be available *in situ*. The amount of heavy elements scales with increasing migration distance and decreasing migration timescale, both of which are expected for HEM through planet-planet scattering. Furthermore, the simulations of Ginzburg & Chiang (2020) offer some evidence that the critical core mass of KOI-375.01 might be greater than the $10 M_\oplus$ required to efficiently accrete gas (e.g., Pollack et al. 1996). One way of overcoming this is through the coagulation of protoplanetary embryos undergoing type-I migration prior to the dispersal of the protostellar disk (Liu et al. 2015). These protoplanetary embryos themselves may even scatter each other to higher eccentricities. Bitsch et al. (2020) found that scattering events are common and more embryos lead to giant planets with higher eccentricities so long as the damping rates for inclination and eccentricity are slow. Indeed slow rates are required to reproduce the eccentricity distribution of the known giant planets (Bitsch et al. 2020).

1110 A subtle but important point in this discussion is that planet
1111 mergers (or collisions) are less efficient at producing high ec-
1112 centricities than scattering events (e.g., Ford & Rasio 2008;
1113 Jurić & Tremaine 2008; Anderson et al. 2020). As a result,
1114 we suspect that mergers and scattering events, possibly oc-
1115 ccurring at similar times, both played important roles in the
1116 formation of KOI-375.01.

1117 All of these theories and the lack of an outer companion
1118 point to a consistent picture of the migration that began be-
1119 fore the dispersal of the disk whereby mergers, close encoun-
1120 ters, and scattering events delivered KOI-375.01 to its current
1121 orbit with its current bulk composition. Moving forward, it
1122 would be useful to compare this outcome to other well char-
1123 acterized outer transiting giant planets. It will be particularly
1124 interesting to compare the bulk interior properties of giant
1125 planets in systems with and without outer companions that
1126 could have induced Kozai migration. If bulk heavy element
1127 composition and migration mechanisms are linked, as seems
1128 to be the case for KOI-375.01, we might expect to find a cor-
1129 relation between interior properties and the existence of com-
1130 panions.

1131 A critical missing piece in our discussion of the migra-
1132 tion of KOI-375.01 is the stellar obliquity. A substantially
1133 misaligned orbit of KOI-375.01 would warrant a reexamina-
1134 tion of Kozai migration, although planet-planet scattering can
1135 also cause misaligned orbits (e.g., Naoz et al. 2012). More-
1136 over, the effective temperature of KOI-375 (~ 5750 K) makes
1137 this system a perfect laboratory for testing the theory that
1138 hot Jupiters preferentially realign cool stars (e.g., Winn et al.
1139 2010; Schlaufman 2010). The effective temperature of KOI-
1140 375 is 5745_{-89}^{+88} K, which is well below the ~ 6200 K Kraft
1141 break (Kraft 1967) that has been implicated by hot Jupiter
1142 obliquity observations. Since tidal forces are inefficient for
1143 failed hot Jupiters like KOI-375.01, we would expect that
1144 these planets would show a variety of obliquities and would
1145 not be preferentially aligned like hot Jupiters orbiting simi-
1146 larly cool stars.

1147 In theory, the obliquity between KOI-375.01 and its host
1148 star could be measured through the Rossiter–McLaughlin
1149 (RM) effect (Rossiter 1924; McLaughlin 1924). If success-
1150 ful, it would stand as the longest-period planet, by far, to have
1151 an obliquity measurement. In practice, an RM experiment
1152 will be challenging. Our SpecMatch analysis (Section 2.2)
1153 inferred a low stellar rotational velocity of 2.74 ± 1.0 km s $^{-1}$.
1154 By Equation 40 of Winn (2010), the maximum expected
1155 amplitude of the RM effect is only 11 m s $^{-1}$. Assuming
1156 30 minute exposure times (as used for the current RV data),
1157 this would only allow 12 data points across the entire transit.
1158 With the ~ 7 m s $^{-1}$ RV precision achieved using the best-
1159 match template (see Table 1 and Section 2.2), any detection
1160 of obliquity would likely be marginal. We recommend that
1161 any future effort to observe the spectroscopic transit of KOI-

1162 375.01 should first acquire a high-S/N spectral template of
1163 KOI-375 to reduce the internal RV precision by several m s $^{-1}$.
1164 Owing to the extreme eccentricity and the argument of peri-
1165 astron, the transit duration is short enough that a fortunately
1166 timed transit could be observed from a single site. For the
1167 Keck I telescope, only the second half of the 2023 transit (Ta-
1168 ble 4) is visible. KOI-375 will rise above the Nasmyth deck at
1169 Keck I at a favorable airmass of ~ 1.5 around 14:30 UTC on
1170 2023 March 12. Again assuming 30 minute exposure times,
1171 that would place roughly 6 data points across the second half
1172 of RM signal. Even with the actual template and improved
1173 internal precision, a detection of obliquity would likely be
1174 moderate at best. It is not until 2028 that the Keck I telescope
1175 has the optimal position for an RM detection. The mid-transit
1176 time of the August 2028 transit is almost perfect timed with
1177 KOI-375 crossing the meridian, and the full transit (plus post-
1178 transit baseline) is observable. However, in the coming years,
1179 new precise RV facilities with the capability of achieving few
1180 m s $^{-1}$ precision on faint ($V = 13.4$) stars such as MAROON-
1181 X (Seifahrt et al. 2018) or the Keck Planet Finder (Gibson
1182 et al. 2016) should consider conducting RM measurements
1183 of long-period *Kepler* planets like KOI-375.01.

1184 KOI-375 represents an interesting comparison for the
1185 Kepler-167 system, in which an early K dwarf star hosts
1186 three inner super-Earth-sized planets and an outer transiting
1187 Jupiter-analog on a $P = 1071$ day orbit (Kipping et al.
1188 2016). Although the mass of Kepler-167 e—the outer giant
1189 planet—has not been measured yet, its orbital eccentricity
1190 has been constrained to ~ 0.06 by the transit shape and du-
1191 ration. This low eccentricity combined with the presence of
1192 multiple inner super-Earth planets suggests that the migration
1193 mechanism for Kepler-167 e was likely gentle and driven by
1194 interactions with the disk. Kepler-167 is solar metallicity, if
1195 not slightly metal poor, so it is possible that Kepler-167 e
1196 was only giant planet formed in the outer disk, so scatter-
1197 ing events never occurred. Dalba & Tamburo (2019) ruled
1198 out the existence of TTVs in the ephemeris of Kepler-167 e,
1199 which further implies a lack of an outer massive companion.
1200 A mass and bulk metallicity measurement for Kepler-167 e
1201 would provide an interesting comparison with KOI-375.01,
1202 which likely experienced dynamical interactions with other
1203 bodies during and/or after its formation.

1204 5.2. Could KOI-375.01 Host Exomoons?

Giant transiting exoplanets with multi-year orbital periods
are possibly exciting targets for dedicated exomoons searches
(e.g., Kipping et al. 2012a; Heller et al. 2014; Teachey &
Kipping 2018). Now that we have measured the mass and or-
bital properties of KOI-375.01, the plausibility of this planet
hosting a system of exomoons should be investigated in more
detail. Given the suspected active dynamical formation his-
tory of KOI-375.01, its ability to have maintained a system

of exomoons is perhaps questionable. Indeed, the investigation of exomoon stability under tidal forces (e.g., Barnes & O’Brien 2002; Adams & Bloch 2016; Sucerquia et al. 2020), planet-planet scattering (e.g., Nesvorný et al. 2007; Gong et al. 2013; Hong et al. 2018), disk torques (e.g., Namouni 2010; Spalding et al. 2016), and secular migration owing to a stellar companion (e.g., Martinez et al. 2019; Trani et al. 2020) are active areas of theoretical research. Although any such studies is beyond the scope of this work, we can approximate the Hill radius of KOI-375.01 at periastron (where it is smallest):

$$r_{\text{H,peri}} \approx a(1-e) \left(\frac{M_p}{3M_\star} \right)^{1/3}. \quad (5)$$

For KOI-375.01, we find that $r_{\text{H,peri}} \approx 2.5 \times 10^6$ km. This calculation suggests that any exomoon orbiting KOI-375.01 would need a semi-major axis less than this value to survive the close periastron passages. For perspective, the semi-major axis of Callisto, Jupiter’s most distant Galilean moon, is roughly 1.9×10^6 km. Of course, this calculation neglects all of the processes that led to KOI-375.01 reaching its current orbital configuration, which is likely imprudent. We offer KOI-375.01 as a potentially interesting case study for more detailed investigations of exomoon formation and stability in the future.

The fact that KOI-375.01 swings through its host star’s habitable zone on its eccentric orbit is also potentially interesting from an exomoon standpoint (e.g., Heller 2012; Heller & Barnes 2013; Hill et al. 2018). However, the plausibility of life developing on an exomoon that experiences such intense variation in stellar irradiation ought to be thoroughly scrutinized.

5.3. One Path Forward for Giant Outer Transiting Exoplanets

The vast majority of known giant planets on au-scale orbits have unknown radii because they either do not transit or they are not known to transit. Without a radius, subsequent investigations of atmospheres and interiors are uncertain if not altogether impossible. Measuring the masses of the modest sample of known transiting giant planets with au-scale orbits and discovering more such planets will be important to advancing our understanding of giant planet formation and migration. These discoveries will also drive new theoretical advances in giant planet interiors, which are needed given that changing model assumptions can substantially alter our conclusions about the interior structures of giant exoplanets (e.g., Müller et al. 2020a).

Only a handful of outer giant exoplanets like KOI-375.01 exist within the *Kepler* sample and they all orbit relatively faint stars. This creates two problems. Firstly, their limited number means that unfortunate transit timing (see Table 4 and also Dalba & Tamburo (2019)) can drastically slow

progress to obtain new observations and advance our theoretical understanding. Secondly, their faintness must be overcome (if at all possible) by larger investments of highly competitive telescope time.

The Transiting Exoplanet Survey Satellite (TESS; Ricker et al. 2015), which is actively searching for transits of bright stars around the entire sky, presents solutions to both problems. The only drawback is the tendency of TESS’s observing strategy to yield single transit events for most planets with orbital periods greater than a couple dozen days (e.g., Gill et al. 2020; Dalba et al. 2020c; Díaz et al. 2020; Lendl et al. 2020). If the *Kepler* mission had adopted the TESS mission’s observing strategy, not only would KOI-375.01 have been identified through a single transit, but its 6 hr transit duration could have easily been misconstrued as corresponding to a relatively short (~ 15 days) orbital period. This suggests (and more quantitative efforts have shown; Cooke et al. 2018; Villanueva et al. 2019) that given enough time and targets, TESS will identify transits from a unprecedented sample of long-period giant planets. Yet, the advancement of giant planet theory and understanding will rely on continued challenging follow-up efforts to characterize these planets masses, orbits, interiors, and atmospheres.

6. SUMMARY

We obtained nearly 10 years of RV observations of the ~ 5750 K subgiant star KOI-375, which was found to host a transiting giant planet candidate (KOI-375.01) by the primary *Kepler* mission. Our observations and analyses confirmed the genuine nature of this exoplanet, now known as KOI-375.01, which is a 4.0-Jupiter-mass planet on a 988.88 day orbit with an extreme $0.921_{-0.015}^{+0.010}$ eccentricity. We included AO imaging analysis, interior and atmosphere modeling, and dynamical simulations to characterize this system and make predictions for future observations. The primary results of this work are as follows.

1. We collected 14 RV measurements (Table 1) of KOI-375 from Keck-HIRES spanning 9.6 years that confirm the 988.88 day orbital period for KOI-375.01, thereby ruling out the possibility of a third transit occurring in a *Kepler* data gap (Section 2.1). The RVs also confirmed the extremely high orbital eccentricity ($e = 0.921_{-0.015}^{+0.010}$) that was suspected from the photoeccentric effect modeling (Section 2.1.1) and measured the planet mass to be $3.96_{-0.19}^{+0.20} M_J$. KOI-375.01 has the longest apastron distance (3.9 au) of any confirmed transiting exoplanet with a precisely known orbital period. Moreover, we found that between periastron and apastron, the equilibrium temperature of KOI-375.01 varies from ~ 180 K to ~ 900 K.

- 1292 2. Archival AO imaging of KOI-375 from the PHARO 1342
 1293 instrument identified three possible stellar companions 1343
 1294 within $\sim 10''$, two of which were previously published 1344
 1295 (Wang et al. 2015a). We found that two of the com- 1345
 1296 panions are spurious sources and the third is not gravi- 1346
 1297 tationally associated (Section 2.3). Additional archival 1347
 1298 AO imaging from the NIRC2 instrument (Furlan et al. 1348
 1299 2017) yielded a non-detection of stellar companions 1349
 1300 within $2''$ and placed upper limits on the mass of 1350
 1301 any undetected companion within 1000 au of KOI-375 1351
 1302 (Figure 4). 1352
- 1303 3. The joint analysis of transit, RV, and broadband pho- 1353
 1304 tometry (Section 3) identified a bimodality in stellar 1354
 1305 properties due to the evolutionary state of KOI-375 1355
 1306 (Figure 7). We split the solutions based on stellar mass 1356
 1307 and publish the favored set of stellar and planetary pa- 1357
 1308 rameters in Tables 2 and 3, respectively. 1358
- 1309 4. We conducted three investigations of companions 1359
 1310 to KOI-375.01 (Section 4.2). Firstly, an injection- 1360
 1311 recovery analysis demonstrated that the RVs of KOI- 1361
 1312 375 are sensitive enough to have detected planetary 1362
 1313 companions within the orbit of KOI-375.01 down to 1363
 1314 $\sim 100 M_{\oplus}$ and companions out to a few au with a 1364
 1315 few Jupiter masses (Figure 9). Secondly, we syn- 1365
 1316 thesized RV time series to determine the region of 1366
 1317 mass–semimajor-axis parameter space that is consis- 1367
 1318 tent with the subtle acceleration of KOI-375, leaving 1368
 1319 only the possibility of companions less than a few hun- 1369
 1320 dred Jupiter-masses and beyond ~ 45 au (Figure 10, 1370
 1321 right panel). Thirdly, we conducted a dynamical sim- 1371
 1322 ulation using the MEGNO chaos indicator that failed 1372
 1323 to substantially rule out any other regions of parameter 1373
 1324 space for additional companions (Figure 11). Based 1374
 1325 on these three analyses, we disfavor, although fail to 1375
 1326 entirely rule out, Kozai migration and secular chaos as 1376
 1327 the likely scenario to explain the orbital properties of 1377
 1328 KOI-375.01. 1378
- 1329 5. Using the mass and radius of KOI-375.01 and the bi- 1379
 1330 modal age of KOI-375, we retrieved the bulk heavy 1380
 1331 element mass (and metal enrichment relative to stellar) 1381
 1332 for KOI-375.01 (Figure 12). This planet likely con- 1382
 1333 tains $\sim 150 M_{\oplus}$ of heavy elements, making it enriched 1383
 1334 relative to KOI-375 by a factor of ~ 5 . These finding 1384
 1335 suggest that KOI-375.01 is consistent with the mass– 1385
 1336 metallicity trends of Thorngren et al. (2016) and theo- 1386
 1337 ries of core accretion with late-stage heavy element 1387
 1338 accretion (Figure 13). However, the metal enrichment 1388
 1339 also suggests that KOI-375.01 may have experienced 1389
 1340 planetesimal mergers during formation that increased 1390
 1341 its critical core mass above $10 M_{\oplus}$ (Section 4.3). 1391
6. Based on the aforementioned analyses, we hypoth- 1392
 esized that KOI-375.01 is a failed hot Jupiter (e.g., 1393
 Dawson et al. 2014) that reached its high eccentricity 1394
 through planet-planet scattering events, but its perias- 1395
 tron distance was too large for efficient tidal circular- 1396
 ization (Section 5.1). We speculate that it may have 1397
 ejected the companions needed to continue driving up 1398
 its eccentricity, yielding the prediction that most failed 1399
 hot Jupiters will be alone. The stellar metallicity of 1400
 KOI-375 and the bulk composition of KOI-375.01 are 1401
 consistent with theories of mergers, scattering events, 1402
 and migration during the gas accretion phase of its for- 1403
 mation. 1404
7. A critical missing piece of the discussion on the mi- 1405
 gration of KOI-375.01, however, is the stellar obliquity 1406
 (Section 5.1). Given the 5750 K effective temperature 1407
 of KOI-375, this system can provide a rare and valu- 1408
 able test of the hot Jupiter formation theory that hot 1409
 Jupiter preferentially align the spins of cool stars (e.g., 1410
 Winn et al. 2010). A detection of the RM effect for 1411
 this system is feasible, however the timing of the fu- 1412
 ture transits of KOI-375.01 (Table 4) will make this a 1413
 challenging endeavour. 1414
8. Finally, we consider prospects for characterizing the 1415
 atmosphere of KOI-375.01 (Section 4.4.1). While the 1416
 large stellar radius and high planet mass will largely 1417
 impede transmission spectroscopy, the IR phase curve 1418
 of KOI-375.01 near periastron is expected to be de- 1419
 tectable from *JWST* (Figure 15). Such a detection 1420
 would reveal the heat redistribution properties of this 1421
 cold ($T_{\text{eq}} = 254$ K) Jovian planet. Furthermore, since 1422
 tidal forces are inefficient, the rotation of KOI-375.01 1423
 is likely not pseudo-synchronized with its orbit, and its 1424
 rotation period is possibly detectable as a “ringing” in 1425
 thermal phase curve (e.g., Cowan & Agol 2011b). 1426
- The GOT ‘EM survey aims to characterize systems of 1427
 long-period transiting giant planets, which serve as stepping 1428
 stones between many exoplanet systems and the solar system 1429
 (Dalba et al. 2021). KOI-375.01 is an extraordinary system 1430
 owing to its high eccentricity and transiting geometry. Much 1431
 like HD 80606 b, KOI-375.01 provides a laboratory for test- 1432
 ing the extremes of planetary migration scenarios. Continued 1433
 observation and characterization of this system stands to re- 1434
 fine the theories underlying the formation and evolution of 1435
 all planetary systems. 1436

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Facilities: Keck:I (HIRES), Keck:II (NIRC2), Kepler, Hale (PHARO)

Software: astropy (Astropy Collaboration et al. 2013, 2018), corner (Foreman-Mackey 2016), EXOFASTv2 (Eastman et al. 2013; Eastman 2017; Eastman et al. 2019), lightkurve (Lightkurve Collaboration et al. 2018), SpecMatch (Petigura 2015; Petigura et al. 2017), SpecMatch-Emp (Yee et al. 2017), exoplanet (Foreman-Mackey et al. 2020), pymc3 (Salvatier et al. 2016), theano (Theano Development Team 2016), REBOUND (Rein & Liu 2012), RVSearch (Rosenthal et al. 2021, accepted)

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