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Biological impacts of thermal extremes: mechanisms and costs of functional responses matter

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Abstract

Thermal performance curves enable physiological constraints to be incorporated in predictions of biological responses to shifts in mean temperature. But do thermal performance curves adequately capture the biological impacts of thermal extremes? Organisms incur physiological damage during exposure to extremes, and also mount active compensatory responses leading to acclimatization, both of which alter thermal performance curves and determine the impact that current and future extremes have on organismal performance and fitness. Thus, these sub-lethal responses to extreme temperatures potentially shape evolution of thermal performance curves. We applied a quantitative genetic model and found that beneficial acclimatization and cumulative damage alter the extent to which thermal performance curves evolve in response to thermal extremes. The impacts of extremes on the evolution of thermal performance curves are reduced if extremes cause substantial mortality or otherwise reduce fitness differences among individuals. Further empirical research will be required to understand how responses to extremes aggregate through time and vary across life stages and processes. Such research will enable incorporating passive and active responses to sub-lethal stress when predicting the impacts of thermal extremes.

Key words: thermal performance curve, acclimatization, plasticity, sub-lethal, damage, heat, cold

1 **Introduction**

2 Relationships describing the temperature dependence of physiological performance and,
3 ultimately, of fitness are a critical component of predicting the responses of ectotherms to
4 climate change (Deutsch and others 2008; Huey and Berrigan 2001; Vasseur and others 2014).
5 However, such thermal performance curves (TPCs) are generally constructed under constant
6 environmental conditions in the laboratory and, therefore, provide little insight into the biological
7 consequences of transient exposure to extreme temperatures. In a growing number of
8 examples, the role of episodic exposure to extreme temperatures rivals that of mean
9 temperatures in driving organismal responses (Clusella-Trullas and others 2011; Denny and
10 Dowd 2012; Garland and others 2015; Hoffmann 2010; Marshall and Sinclair 2015; Paganini
11 and others 2014). This conclusion is supported by examples from the field and the laboratory,
12 and across terrestrial, aquatic, and intertidal systems.

13 Thermal extremes clearly shape the evolution of some components of organismal
14 thermal responses, with impacts that reverberate throughout the communities and ecosystems
15 in which individual organisms operate. Organismal responses to extreme temperatures often
16 involve sub-lethal thresholds, such as constraints on aerobic metabolism and energy budget
17 (see below), the induction of heat shock protein synthesis, or acute losses of equilibrium at
18 critical thermal maxima/minima (Hochachka and Somero 2002; Pörtner 2001; Somero 2010).
19 Crossing these thresholds induces carryover effects resulting from functional constraints,
20 damage accumulation or acclimatization, and the magnitude of these carryover effects will
21 depend on exposure number, duration and intensity, and the interval time between events
22 (Somero 2010). These carryover effects include passive accumulation of damage and loss of
23 performance (e.g., resulting from oxidative stress), and also active acclimatization responses.
24 Carryover effects of sub-lethal exposure to thermal extremes impact responses to future
25 extremes, and so incorporating carryover effects into forecasts of responses to climate change
26 is likely to improve predictive power, particularly in systems where exposure to extreme
27 temperatures is driving organismal responses to climate change (Gunderson and others 2016;
28 Woodin and others 2013).

29 Incorporating carryover effects of extremes into forecasts of future biological responses
30 to climate change requires a better mechanistic understanding of underlying biochemical and
31 physiological phenomena induced by extreme events. These requirements are two-fold. First, it
32 is important to clarify the relevant sub-lethal limits that influence physiological responses to
33 extreme temperatures, including whether those limits are generalizable across taxa, habitat
34 types, and types of extremes (e.g., warm vs. cold, single warm/cold days vs. anomalously
35 warm/cool years). Second, biologists must better quantify the physiological costs of sub-lethal
36 extreme exposures (Denny and Dowd 2012; Dowd and others 2015; Paganini and others 2014),
37 by integrating functional genomic, biochemical, and physiological processes that coordinate
38 function at higher levels of organization (Stillman and Tagmount 2009). Finally, mechanistic
39 linkages between organismal and higher-order ecological and evolutionary responses are
40 needed for a predictive understanding of how ongoing climate change will reconfigure biological
41 diversity (Pörtner and others 2006).

42 The central goal of this review is to promote mechanistic exploration of sub-lethal
43 physiological consequences of exposure to temperature extremes, particularly of the nature and

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3 44 magnitude of carryover effects and their implications for predicting the impacts of climate
4 45 change. Vulnerability to climate change depends on the degree of *exposure* (set by extrinsic
5 46 factors) and physiological *sensitivity* (set by intrinsic factors) (Williams and others 2008). We
6 47 identify when and where extreme temperature exposure is likely to be particularly important. We
7 48 review the functional responses setting sensitivity to extreme temperatures, with particular
8 49 reference to active and passive processes driving carryover effects. We use an evolutionary
9 50 model to investigate how these carryover effects might drive the evolution of TPCs in response
10 51 to thermal extremes. We conclude with an analysis of the effects of thermal extremes on
11 52 ecological and evolutionary patterns.

15 53 ***Where and when are organisms exposed to extreme body temperatures?***

16 54 Statistically and meteorologically, an extreme temperature event is defined as a rare
17 55 event within the statistical reference distribution of events at a particular place (Houghton and
18 56 others 2001). This remains a useful starting place to understand large-scale patterns of potential
19 57 exposure to environmental extremes (Dillon et al. this issue), but realized exposures are
20 58 modified by the interactions among animal behavior, biophysical processes, and habitat
21 59 heterogeneity (Huey and others 2012; Kearney 2012). Some animals can behaviorally modify
22 60 their exposure to extremes through the selection of thermally favorable microclimates
23 61 (behavioral thermoregulation), by escaping in space (migration), or by being active only during
24 62 certain times of the year (hibernation and/or quiescence, e.g. dormancy). Thus, quiescence or
25 63 mobility can reduce the importance of extremes relative to means. Increased habitat
26 64 heterogeneity likewise reduces the relative importance of extremes: not all individuals will be
27 65 exposed to all extremes (Denny and others 2011). Habitat thermal heterogeneity varies
28 66 predictably with habitat type, with (for example) heterogeneity generally higher on land versus in
29 67 water, in intertidal relative to subtidal aquatic systems, or in mesic forest versus xeric scrub
30 68 (Gunderson and Leal 2012; Suggitt and others 2011; Woods and others 2015).

31 69 Environmental extremes also vary in predictable ways with geography. Using global
32 70 [estimates of air and ocean](#) temperatures as a reference, there are clear biogeographic patterns
33 71 in the incidence and magnitude of extremes. Latitudinal or altitudinal clines in [air temperature](#)
34 72 extremes are less smooth, and often shallower, than clines in mean temperatures (Dillon et al.
35 73 *this issue*). Minimum and maximum [air and water](#) temperatures both decrease with increasing
36 74 latitude and altitude, [potentially](#) leading to decreased exposures to extreme heat and increased
37 75 exposures to extreme cold with increasing latitude and altitude (Sunday and others 2011).
38 76 Clines in maximum and minimum temperatures have different slopes, such that exposure to
39 77 cold extremes changes far more with latitude and altitude than exposure to heat extremes.
40 78 Perhaps the most pronounced biogeographic distinction impacting the magnitude and incidence
41 79 of extremes is that between water and land. Thermal capacity of air is low relative to water, thus
42 80 temperature changes occur more rapidly in air, meaning that on average terrestrial organisms
43 81 are exposed to greater magnitudes of extreme temperatures (Sunday and others 2011).

44 82 [To determine impacts of extremes on organisms, environmental temperatures must be](#)
45 83 [mapped to body temperatures](#) (also called operative temperatures; Bakken and Angilletta
46 84 2014). [In some cases, using operative temperatures modifies or even reverses geographic](#)
47 85 [patterns in exposure to extremes: for example, small ectotherms across latitude have an equal](#)
48 86 [chance of being exposed to extreme heat when body temperatures are explicitly considered](#)

(Sunday and others 2014). Additionally, synergistic interactions among stressors mean that extreme ecological impacts can arise from a combination of individual factors that are not extreme individually (Denny and others 2009). Thus, translating physical (e.g. climate) variables to characteristics relevant to the organism, such as body temperature, is a necessary step toward evaluating the effects of interacting stressors on organisms.

Extreme thermal events can occur on a range of timescales relevant to organisms, including daily cycling, multi-day events (e.g., weather fronts on land, extreme low tide series in the intertidal zone), and seasonal, annual, and multi-annual cycles (e.g. North Atlantic Oscillation, ENSO and PDO). The relative incidence of extremes at each of these timescales changes according to biogeography; for example the magnitude of daily relative to seasonal [air temperature](#) variation declines from the tropics to the poles (Wang and Dillon 2014).

Regardless of where or when they occur, extreme temperatures cause organismal impacts because they may push organisms outside critical limits for performing vital functions. Thus, environmental extremes must be evaluated with respect to thermal tolerances of organisms to infer the functional consequences of extreme temperature exposure in the field.

Functional responses to extreme temperatures

The links between mechanistic, physiological constraints and organisms' sensitivity to extreme temperatures are generally well-established (Huey and others 2012). Beyond absolute upper and lower lethal limits, extreme temperatures rapidly induce mortality due to catastrophic cold or heat shock. This mortality results from protein denaturation, membrane phase transitions, loss of transmembrane gradients, or, in the case of extreme cold temperatures, uncontrolled freezing of intra- and extracellular water (Hochachka and Somero 2002). Within the temperature range over which an organism can survive are various thermal thresholds that delineate the onset of sub-lethal effects (Fig. 1; Huey and Kingsolver 1989).

Thermal thresholds, such as those shown in Fig. 1, are not static and can be modified by both passive and active processes occurring during and after exposure to extreme temperatures. Passive processes include cumulative damage incurred or negative energy balance induced by time spent outside critical limits. Limitation in the capacity of oxygen supply to meet demand is a primary mechanism setting responses to extreme temperatures for water-breathers, given the low solubility of oxygen in aquatic environments (Pörtner, 2010). At warmer temperatures, falling oxygen solubility in water is compensated for by increasing oxygen diffusivity (necessitating concepts such as the oxygen supply index, OSI) (Verberk and others 2011), highlighting the role of thermal constraints on ventilatory and circulatory capacity for meeting oxygen demand (Pörtner 2010). Despite increased OSI at warmer temperatures, temperatures outside critical limits for organismal function impose systemic limitation in oxygen supply relative to demand, which in turn leads to hypoxemia and imposes stress at the molecular and biochemical levels (Pörtner 2010). Thermal extremes reduce mitochondrial coupling due to changes in membrane fluidity, increasing oxidative stress. Hypoxemia leads to the onset of anaerobic metabolism lowering metabolic efficiency (Heise and others 2006; Sommer and others 1997; Zielinski and Pörtner 1996). The importance of systemic oxygen limitation in setting thermal limits is poorly established in terrestrial environments (Smith and others 2015; Verberk and others 2016). Oxygen concentrations about 30-fold higher in air than in water likely have alleviated thermal constraints on whole organism oxygen supply (Giomi and

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3 130 others 2014). At the biochemical level, extreme hot and cold temperatures can shift protein
4 131 structure to conformations that are less binding-competent, leading to a decline in the efficiency
5 132 of energy production (Hochachka and Somero 2002). Severe thermal extremes can cause
6 133 conformational shifts that expose hydrophobic core regions of proteins, leading to damaging
7 134 aggregation; such proteins are typically degraded through ubiquitin-mediated proteolytic
8 135 processes, causing a large energetic loss (Hochachka and Somero 2002).

9 136 Some functional consequences are specific to the nature of the extreme. During hot
10 137 extremes, the efficiency of mitochondrial energy production declines due to progressive
11 138 uncoupling (Leary and others 2003). A decline in mitochondrial coupling increases free radical
12 139 production and augments the oxidative stress imposed by hypoxemia (Tomanek 2015). Cold
13 140 temperature extremes may cause freezing of the body water. Freezing usually represents a
14 141 lethal limit, but some organisms, including many insects, molluscs, and amphibians, can survive
15 142 freezing of body water. For these animals, freezing represents a sub-lethal stress, as energetic
16 143 costs of freezing can induce negative energy balance (Sinclair and others 2013b). Alternatively,
17 144 freezing may yield energetic benefits by reducing metabolic costs while frozen (Irwin and Lee
18 145 2003). The relative costs and benefits of freezing depend on the number and duration of
19 146 freezing events. Fewer long events are favorable, due to reduced costs of initiating freezing and
20 147 increased metabolic savings while frozen (Marshall and Sinclair 2012). Costs are also modified
21 148 by temperatures experienced while frozen - colder is better, provided animals remain above
22 149 their lower lethal temperature (Voituron and others 2002).

23 150 In summary, passive effects of thermal extremes include a loss of metabolic, ionic and
24 151 osmotic homeostasis, which progressively worsen during exposure to extreme temperatures.
25 152 The damage accumulated and the energy lost during exposure to thermal extremes makes
26 153 survival beyond these sub-lethal limits dependent upon time and temperature (i.e., intensity) of
27 154 exposure (Pörtner 2010; Woodin and others 2013). Barring sufficient physiological intervention,
28 155 these passive processes might be expected to severely constrain subsequent thermal
29 156 performance, particularly if the consequences carry over between extreme events. The costs of
30 157 repairing damage and restoring homeostasis may further impinge upon energy budgets,
31 158 effectively narrowing the thermal window for higher-level functions such as growth and
32 159 reproduction (Pörtner 2010; Sokolova and others 2012).

33 160 To counter these passive consequences, organisms invoke active compensatory
34 161 responses (plasticity or acclimatization) when faced with thermal extremes. One mechanism is
35 162 metabolic dormancy, or quiescence, such as in developmental diapause when reduced
36 163 metabolic demands allow for far greater tolerance levels (Podrabsky and Hand 2015). Under
37 164 extreme environmental conditions, organisms also employ a conserved set of molecular
38 165 responses termed the Cellular Stress Response (CSR) (Kültz 2005). Many CSR mechanisms
39 166 are involved in well-described functions for maintenance of cellular homeostasis, whereas other
40 167 CSR elements require further analysis to elucidate their functional significance (Kültz 2005).
41 168 Well-understood CSR mechanisms include responses to protein damage, which is countered by
42 169 increased expression and activation of molecular chaperones, predominantly heat shock
43 170 proteins (HSPs) (Feder and Hofmann 1999; Rinehart and others 2007; Tomanek 2015).
44 171 Membrane phase transitions are countered by changing the composition of lipid membranes
45 172 (Cossins and Macdonald ; Hazel 1995), sometimes rapidly (Williams and Somero 1996).
46 173 Increases in oxidative stress are generally countered by CSR up-regulation of antioxidant

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3 174 defenses (Pörtner 2010), but this is not always sufficient to fully counter the negative impacts of
4 175 temperature extremes (Abele and others 2002; Jimenez and others in press). When cellular
5 176 damage exceeds thresholds, apoptosis programs are triggered (Yao and Somero 2012; Yi and
6 177 others 2007) and irreversibly damaged proteins are targeted for destruction via the ubiquitin-
7 178 proteasome pathway. Responses to thermal extremes with less well-characterized functions
8 179 include up-regulation of genes involved in immune responses (Stillman and Tagmount 2009;
9 180 Zhang and others 2011). It is still unclear whether up-regulation of immune response genes
10 181 results from increased probability of infection or damage, or shared regulatory mechanisms due
11 182 to a generalized cellular stress response (Kultz 2005; Sinclair and others 2013a; Todgham and
12 183 others 2005).

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16 184 The downstream effects of active responses to thermal extremes~~the CSR~~ can include
17 185 shifts in critical thermal limits, causing the TPC to change over time (Ronges and others 2012;
18 186 Stillman and Tagmount 2009) ~~and/or in levels of defense against subsequent events.~~
19 187 ~~Consequently, the position of critical and lethal limits, and hence the characteristics of the TPC,~~
20 188 ~~can change over time.~~ Plastic changes in TPCs can be induced at many points during the life
21 189 cycle of an organism, and their effects can persist for varying amounts of time. Acclimatization
22 190 (or acclimation if it occurs in the laboratory) is a reversible physiological response to
23 191 temperature change that happens on the order of minutes to days (Angilletta Jr 2009;
24 192 Brattstrom and Lawrence 1962; Maness and Hutchison 1980). In contrast, transgenerational
25 193 plasticity occurs when temperatures experienced by parents influence TPCs of offspring
26 194 (Donelson and others 2012; Salinas and Munch 2012) and developmental plasticity occurs
27 195 when temperatures experienced during development influence the TPCs of adults (Gray 2013;
28 196 Piyaphongkul and others 2014; Scott and Johnston 2012). Thus, TPCs can potentially change
29 197 on the order of minutes to years due to the various forms of plasticity~~over the course of the day~~
30 198 ~~(hardening or stress responses), over longer periods or ontogeny (acclimatization, whether~~
31 199 ~~beneficial or not), and as a result of evolution of plasticity~~ (Angilletta Jr 2009; Kingsolver and
32 200 others 2011; Schulte and others 2011). ~~In the next section we explore potential interactions~~
33 201 ~~between acclimatization, which is probably the most widely studied and best understood form of~~
34 202 ~~TPC plasticity, and the evolution of TPCs, among these effects.~~

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40 203 Both active (i.e. acclimatization) and passive (i.e. damage or loss of performance)
41 204 responses to thermal extremes can be costly (Krebs and Feder 1998; Krebs and Loeschcke
42 205 1994), producing negative carryover effects of thermal extremes. The magnitude and
43 206 persistence of those costs, however, have rarely been quantified in sufficient detail to permit
44 207 their use in evolutionary models (Somero 2002). On the other hand, active acclimatization
45 208 responses can produce beneficial carryover effects, mitigating impacts of future extremes. Here,
46 209 we examine the relative importance of costly versus beneficial carryover effects in driving the
47 210 evolution of thermal performance curves.

48 49 50 211 ***How do physiological responses to extremes drive the evolution of TPCs?***

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52 212 A quantitative genetic model [Buckley and Huey this issue] suggests that thermal
53 213 extremes drive the evolution of TPCs more when they cause mortality than when they have only
54 214 acute impacts on performance. We extend this consideration of the evolutionary impacts of
55 215 extreme events in light of the physiological mechanisms presented here. We focus on carryover
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3 216 effects in response to repeated exposure to warm extremes. We consider a TPC that directly
4 217 determines fecundity via resource acquisition.

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6 218 Our model follows the methods outlined in Buckley and Huey [this issue]. [We use a beta](#)
7 219 [curve to model the evolution of TPC minima and breadth \(Supplementary methods\). We](#)
8 220 [assume genetic variances \(heritabilities\) of 0.7 and covariances of -0.1.](#) We assumed the area
9 221 under the TPC is fixed and, thus, we omit “hotter is better” (Angilletta and others 2010). We
10 222 derived our temperature data from the Melbourne, Australia station (#086071) of the Australian
11 223 Climate Observations Reference Network (<http://www.bom.gov.au/climate/change/acorn-sat/>).
12 224 We estimated a kernel density function for daily maximum temperatures spanning the years
13 225 1910 to 2014 and generated a time series of 300 [daily](#) temperatures from the distribution for
14 226 each generation (functions kde and rkde from the R library ks). We omitted seasonality and
15 227 examined 200 individuals with traits generated from a normal distribution with a fixed variance
16 228 and evolving mean for each of 200 generations [\(a sufficient number of generations to reach](#)
17 229 [equilibrium\). We used daily maximum temperature because we were particularly interested in](#)
18 230 [evolution in response to extremes, but note that finer resolution temperature data would more](#)
19 231 [realistically model the magnitude of selection.](#) We introduced microclimate heterogeneity and
20 232 assumed the organism was able to behaviorally thermoregulate ~~as described in Buckley and~~
21 233 ~~Huey [this issue] (Supplementary methods).~~

22 234 We examined two primary scenarios in which thermal extremes result in either (1)
23 235 permanent loss of performance (e.g., damage to metabolic machinery) or (2) death. For each
24 236 scenario [and generation](#), we considered three plausible physiological responses: (a) the impact
25 237 of each extreme was independent of incidence (i.e., no carryover effects); (b) the impact
26 238 declined with each subsequent extreme (i.e., beneficial acclimatization); and (c) the impact
27 239 intensified with each subsequent extreme (i.e., cumulative damage). As heuristic examples, and
28 240 in light of the scarcity of data quantifying the costs and benefits of cumulative damage and
29 241 beneficial acclimatization, respectively, we made some simplifying assumptions regarding these
30 242 parameters. For the first scenario, under permanent loss of performance, we assumed that
31 243 performance was permanently reduced in an additive fashion by 2% with each extreme
32 244 temperature (warmer than CT_{max}) encountered. For the remaining physiological responses, we
33 245 assumed that the percent performance lost was increased (cumulative damage) or decreased
34 246 (beneficial acclimatization) by 2% with each subsequent extreme temperature (i.e., we multiply
35 247 performance lost by a factor describing carryover effects). For the second scenario, in which
36 248 extremes influence only survival, we assumed that survival declines exponentially from 1 at
37 249 CT_{max} to 0 at 60°C and that there is no effect of exposure time on survival. We assumed that
38 250 survival [rate](#) increased (beneficial acclimatization) or decreased (cumulative damage) by 2%
39 251 with each subsequent extreme temperature.

40 252 Beneficial acclimatization, or cumulative damage in response to thermal extremes,
41 253 dramatically alters selection on TPCs (Fig. 2). We find that selection can be relaxed if
42 254 acclimatization reduces differences in relative fitness between individuals with differing critical
43 255 thermal limits. For the first scenario of permanent loss of performance, cumulative damage
44 256 selects for greater critical thermal limits than impacts that are non-cumulative (no carryover
45 257 effects). In contrast, beneficial acclimatization leads to a decrease in critical thermal limits by
46 258 decreasing selection. For the second scenario, where extremes cause mortality, beneficial
47 259 acclimatization reduces selection for elevated thermal limits only slightly relative to the case of
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3 260 no carryover effects. This occurs because dead individuals do not acclimatize. Interestingly,
4 261 evolution assuming cumulative damage results in lesser thermal tolerance than no carryover
5 262 effects or beneficial acclimatization, because the performance loss is sufficiently severe to
6 263 minimize fitness differences and reduce the efficiency of selection. Thermal extremes that kill off
7 264 most individuals have little impact on the evolution of TPCs, and the TPC largely reflects
8 265 selection to perform in more average conditions.

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11 266 Our analyses highlight that carryover effects such as cumulative damage and beneficial
12 267 acclimatization can alter TPC evolution. The magnitude of carryover effects influences TPC
13 268 evolution (Fig. S1), indicating that both cumulative damage and beneficial acclimatization are
14 269 ripe for more detailed physiological investigation. The onset of cumulative damage is likely to be
15 270 more complex than we assume. For example, cumulative damage should reflect the duration
16 271 and intensity of extremes, and beneficial acclimatization likely ceases and cumulative damage
17 272 initiates once the incidence of stress crosses some threshold. An extension of the model to
18 273 increase realism would be to include mortality and acclimatization / damage simultaneously,
19 274 since there will always be some hard limits to absolute tolerance that causes mortality (Denny
20 275 and Dowd 2012).

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23 276 Selection on the physiological mechanisms outlined above will also depend on factors
24 277 including genetic correlations and constraints. Trade-offs between basal and inducible tolerance
25 278 may cause acclimatization capacity to decline as organisms evolve heat tolerance (Stillman
26 279 2003). Organisms adapted to variable environments may have high baseline resistance to
27 280 extremes, but may be less able to mount responses to rare, exceptional extremes. For example,
28 281 organisms from variable environments that constitutively express high levels of heat shock
29 282 proteins can have less capacity to induce expression of additional proteins (Stillman and
30 283 Tagmount 2009), but this tradeoff is far from universal (Calosi and others 2008; Gunderson and
31 284 Stillman 2015). In addition, the degree to which thermal exposure effects carry over across
32 285 different life stages is an open question. Some studies suggest that carry over effects may be
33 286 minimal, and that thermal performance across life stages may be relatively decoupled
34 287 (Kingsolver and others 2011; Potter and others 2011). We do not yet know enough about cross-
35 288 life stage correlations in TPCs to make any general predictions on how such processes will
36 289 modify evolutionary responses to thermal extremes, but this is an interesting area for future
37 290 research.

38 291 ***How do extremes impact ecology and evolution?***

39 292 Evolutionary tradeoffs related to TPCs can govern responses to extreme temperatures
40 293 (Kingsolver 2009). The first evolutionary pattern - "hotter is better" - results from higher
41 294 performance at warmer temperatures due to release from biochemical and physiological
42 295 constraints (Angilletta and others 2010). "Hotter is better" could shift thermal tolerance to
43 296 warmer temperatures and make organisms better able to cope with extremes. Additionally,
44 297 more energetically costly life cycles are possible at high temperatures, which may enable
45 298 organisms to cope with the energetic costs of warm (but not cold) extremes. Genetic
46 299 correlations may, however, result in selection for higher thermal optima, thus reducing thermal
47 300 tolerance breadth.

48 301 A second evolutionary tradeoff related to TPCs is between specialists and generalists.
49 302 Whether temperature variation will select for broader thermal tolerances depends on the

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3 303 timescale of variation relative to generation time. High within-generation variation can slow
4 304 selection, but can ultimately result in thermal specialization; high between-generation variation
5 305 maintains performance breadth (Gilchrist 1995). Diurnally and seasonally constant tropical
6 306 climates select for specialized thermal tolerances such that even small temperature anomalies
7 307 can be stressful (Deutsch and others 2008; Janzen 1967; Sheldon and Tewksbury 2014).

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9 308 A third evolutionary tradeoff related to TPCs is between faster and slower life cycles.
10 309 Intermittent extremes may favor the evolution of a rapid life cycle to avoid extremes (Stearns
11 310 1976). This would allow many generations with high population growth to buffer occasional
12 311 generations facing reduced population growth due to extremes. Alternatively, physiological
13 312 mechanisms of coping with extremes (e.g., hardening response or expression of HSPs) may be
14 313 energetically costly and thus slow life cycles. Thermal extremes may also determine the
15 314 evolution of voltinism (Nilsson-Örtman and others 2012). Organisms may synchronize their life
16 315 cycle with seasonal or otherwise periodic extreme events (e.g., summer dormancy to avoid
17 316 desiccation or winter diapause). This synchronization requires the evolution of a phenological
18 317 response and can slow the life cycle. Overall, life cycles will evolve to correspond to timescales
19 318 of variation.

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21 319 Gene flow among populations distributed along climatic gradients also influences
22 320 sensitivity to thermal extremes. Selection to tolerate extremes can be distinct from selection on
23 321 mean thermal tolerance such that gene swamping from the center to edge of a distribution may
24 322 keep edge populations vulnerable to extremes (Kirkpatrick and Barton 1997; Paul and others
25 323 2011). Stressful, extreme temperatures at a species' range edge reduce demographic fitness
26 324 parameters (Crozier 2004; Descamps and others 2015; Hassall and others 2006; Sanz 1997;
27 325 Sexton and others 1992), and in some cases set and maintain range edges. Consequently,
28 326 ranges often shift in punctuated steps coincident with extremes rather than gradually in
29 327 response to mean climate changes (Harley and Paine 2009; Wetthey and others 2011).
30 328 Thresholds, where sub-lethal constraints take effect, correlate with biogeographical limits
31 329 (Deutsch and others 2015; Frederich and Portner 2000; Root 1988).

32 330 The impacts of extremes can be intensified by shifts in species interactions. Warm or
33 331 cold spells can lead to phenological mismatches with strong, negative impacts on fitness when
34 332 key food resources or primary pollinators are missing (Miller-Rushing and others 2010; Reed
35 333 and others 2013). Extreme temperatures can also alter species' interactions through shifts in
36 334 physiological performance due, for instance, to inducing energetically costly protection against
37 335 extremes (Urban and others 2012). Increased incidences of extreme temperatures with resulting
38 336 strong selection on thermal tolerances can reduce species diversity and impact community
39 337 functioning (McClanahan and Maina 2003; Pincebourde and others 2012). Performance shifts
40 338 associated with increases in temperature variability have also been shown to alter host-parasite
41 339 interactions, including sensitivity to disease and host immunity (Murdock and others 2012).
42 340 Extreme temperatures can also alter ecosystem scale processes. For example, increased
43 341 exposure to extreme low temperatures can alter physiological functioning and increase mortality
44 342 of insect pests with consequences for forest health (Marshall and Sinclair 2015).

53 343 **Conclusions and future directions**

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55 344 The potential for thermal extremes to drive the evolution of organismal physiology by
56 345 causing mortality is well documented (Gilchrist 1995; Levins 1968). Less appreciated are the

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3 346 many sub-lethal stress responses that are the focus of our review. Organismal response to sub-
4 347 lethal stresses that differentiate individual fitness and determine survival can drive the evolution
5 348 of TPCs, as we see from our model. Sub-lethal thermal stress affects fitness via mechanisms
6 349 including reduced fertility or reproductive output, a reduction in offspring performance or
7 350 development, and energetic costs of hardening or repair. Do these responses aggregate in a
8 351 manner such that a TPC (usually quantified based on a single performance metric) is a
9 352 reasonable approximation of the temperature dependence of organismal performance and
10 353 fitness (Kingsolver and Woods 2016)? Or do thresholds and other non-linear responses
11 354 aggregate in a manner such that standard empirical measures of TPCs are inadequate to
12 355 capture the performance and fitness implications of thermal extremes? To address these
13 356 questions, we must consider the underlying physiological mechanisms in operation outside the
14 357 range of optimal temperatures of the TPC, where systemic and biochemical constraints dictate
15 358 the precipitous fall in performance, and resources must be reallocated to damage control
16 359 through the production of HSPs or other mechanisms. Our analysis suggests that the manner in
17 360 which repeated extremes aggregate have important implications for evolution of TPCs in
18 361 response to extremes. Beneficial acclimatization is only able to lessen thermal stress and
19 362 reduce fitness differences if individuals are able to survive the initial stress. The accumulation of
20 363 stress or damage across events can result in mass mortality events, which can weaken
21 364 directional selection associated with thermal extremes and increase the relative importance of
22 365 selection to maximize performance at average temperatures. Despite the simplifying
23 366 assumptions of our model, we illustrate how carry-over effects will complicate predictions of how
24 367 TPCs will evolve in response to future climates given increases in duration, intensity, or
25 368 frequency of extreme events (Difffenbaugh and Field 2013).

26 369 These findings relate to ongoing discussions of whether plasticity will facilitate or hinder
27 370 evolution in response to climate change (Hendry 2016; Merilä and Hendry 2014). Beneficial
28 371 acclimatization lessens selection for elevated thermal tolerance in response to moderate
29 372 thermal stress. However, when thermal stress becomes sufficiently severe, beneficial
30 373 acclimatization can enable sufficient levels of survival to allow selection to act on differences
31 374 among individuals in the ability to survive thermal extremes. However, our analyses vastly
32 375 simplify the diverse mechanisms of acclimatization. It will thus be difficult to predict whether
33 376 acclimatization, and plasticity more generally, will facilitate or hinder evolution for particular
34 377 organisms. Two recent macrophysiological studies that focused on different aspects of TPCs
35 378 concluded that plasticity cannot fully compensate for rising environmental temperatures
36 379 (Gunderson and Stillman 2015; Seebacher and others 2015). Thus, the extent of acclimatization
37 380 may fall in a middle ground where it enables survival and allows selection to act.
38 381 Coordinated research initiatives will be required to understand how biochemical and
39 382 physiological mechanisms aggregate to shape TPCs and the extent to which TPCs are shaped
40 383 by thermal means versus extremes. Documenting the onset and costs of numerous
41 384 mechanisms of sub-lethal stress and comparing populations from different environments and
42 385 individuals from different ontogenetic stages in the same species will be central to this work
43 386 (Kingsolver and others 2011). TPCs should also characterize multiple aspects of performance
44 387 (e.g., locomotion, feeding and assimilation, development, reproduction) (Kingsolver and others
45 388 2011). Ideally, measures of physiological and biological consequences will be assessed in
46 389 response to the same thermal stress. Discrepancies in experimental protocols such as exposure

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3 390 time or ramping rate make comparisons such as those in Figure 1 difficult, even for well-studied
4 391 species. Further, estimates of performance and fitness are generally based on constant
5 392 environments. Incorporating fluctuations and realistic temperature variability will enable an
6 393 understanding of the relative contributions of thermal means and extremes to the evolution of
7 394 organismal physiology. High levels of temperature variation can expose organisms to heat and
8 395 cold stress, but conversely can extend the duration of exposure to optimal temperatures before
9 396 and after the stressful temperatures (Kingsolver *et al.*, 2015; Ma *et al.*, 2015).

10 397 Using TPCs to understand organismal responses to thermal extremes requires careful
11 398 consideration of how physiological responses aggregate over time. The timescales of exposure
12 399 to temperature may shift what would be considered an "extreme" in so far as physiological
13 400 responses are concerned. At short timescales (e.g., one solar day), an extreme weather event
14 401 could result in an extremely hot or cold exposure beyond critical thermal thresholds, with large
15 402 consequences for physiology and fitness. However, at longer time scales, repeated exposure to
16 403 lower temperatures could have the same operative effect. One week of exposure to
17 404 temperatures below the critical threshold could be just as damaging to fitness. Those
18 405 temperatures, which would have nearly no discernible impact on a daily or weekly time frame,
19 406 could have damaging fitness consequences if continuous exposure to those temperatures
20 407 results in a chronic energy imbalance. One possible way to account for the aggregation of
21 408 stress over time would be to construct performance curves where accumulated exposure to
22 409 extremes replaces temperature on the x-axis.

23 410 Given the challenges of assessing the impacts of extremes, can we identify those cases
24 411 where predicting climate change responses will require considering thermal extremes?
25 412 Comparing the magnitude of environmental variation to the temperature range between
26 413 physiological stress and mortality could provide information about whether organisms are more
27 414 constrained by means or extremes (Woodin *et al.*, 2013). Cases where organisms are
28 415 constrained by extremes may require moving beyond TPCs to consider the physiological factors
29 416 limiting responses to the extreme events (cf. Pörtner 2010).

30 417 Even simple models based on TPCs for single performance metrics reveal that extreme
31 418 temperatures can have dramatic ramifications for the physiology, ecology, and evolution of
32 419 organisms. Understanding the impacts of thermal extremes on organisms will require
33 420 quantifying the mechanisms by which organisms respond to sub-lethal thermal stress and
34 421 sustain passive tolerance over limited time periods (Pörtner 2010). These mechanisms
35 422 determine how stress accumulates over time for individuals and how the stress responses of
36 423 individuals aggregate across populations, species, and communities to determine biodiversity
37 424 and ecosystem-level responses to climate change.

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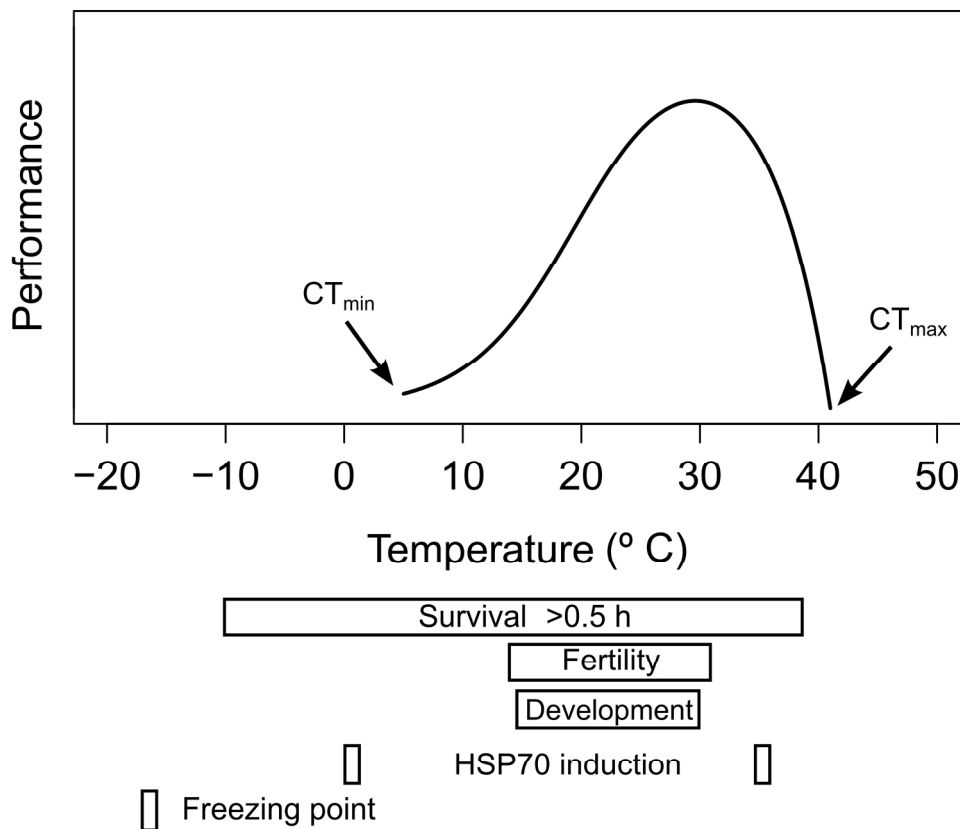
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For Peer Review

Figure Captions

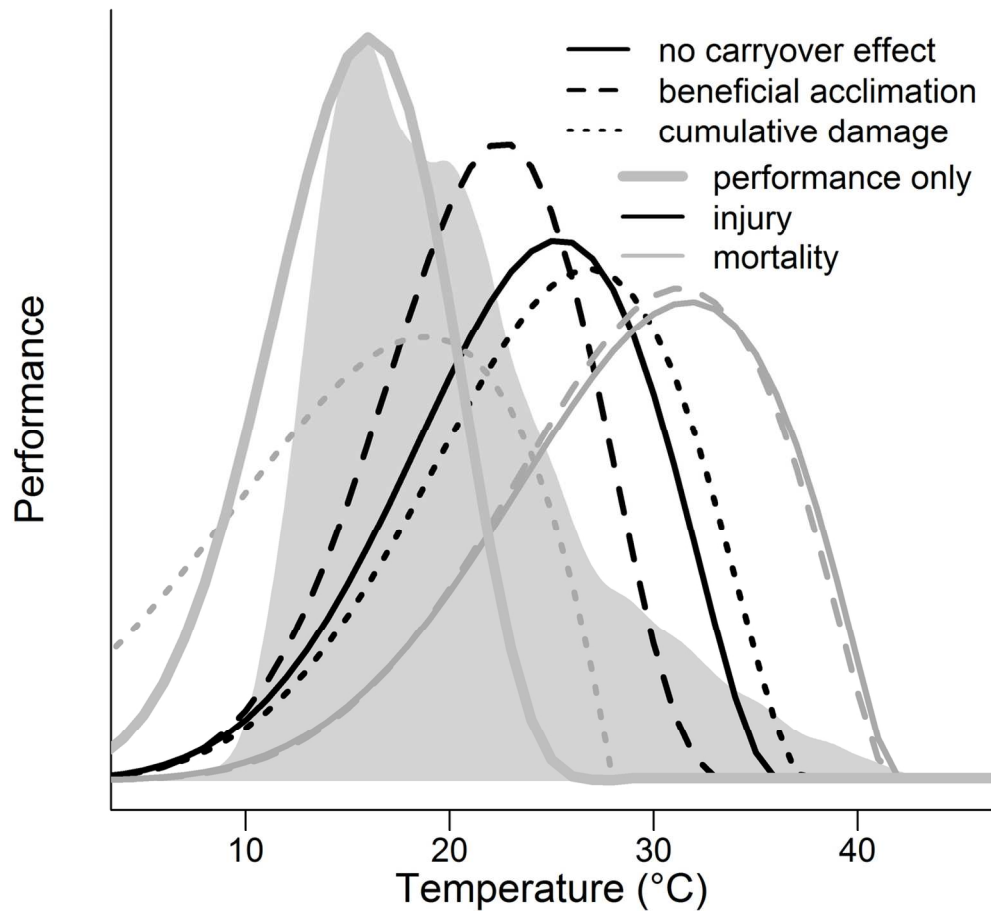
Figure 1. Thermal performance curve (TPC) for walking speed of *Drosophila melanogaster*, with critical limits for other organismal functions indicated by bars below. The TPC is asymmetric and bounded at the extremes by critical limits, in this case delineating the acute loss of walking ability. Performance generally decreases on either side of a thermal optimum (T_{opt}), with a shallow decrease towards the lower critical limit (CT_{min}) and a steep decline to the upper critical limit (CT_{max}). Outside these critical limits, survival is time and temperature dependent. Between CT_{max} and CT_{min} lie progressively narrower limits for higher-level organismal functions such as development and fertility. At temperatures near CT_{min} and CT_{max} , molecular chaperones such as HSP₇₀ are induced to offset temperature effects on macromolecular structure. Our discussion focuses on body temperatures near and beyond these critical limits, at both ends of the thermal window. Note that discrepancies in experimental protocols, such as the time-scale of exposure for measures of motor performance versus those for development, make direct comparisons difficult but still conceptually useful. Data from Gilchrist and others (1997); Czajka and Lee (1990); Stetina and others (2015); Sinclair and others (2007); Kelty and Lee (2001); Siddiqui and Barlow (1972); Klepsatel and others (2013).

Figure 2. Carryover effects such as beneficial acclimatization to thermal stress (dashed lines) and cumulative damage (dotted lines) impact the evolution of thermal performance curves (TPCs). In most cases, thermal extremes drive the evolution of TPCs more strongly when they cause mortality (gray lines) than when they cause sub-lethal performance reductions (i.e., injury; black lines). If cumulative damage intensifies with each incidence of an extreme, evolution selects for less thermal tolerance when extremes cause mortality and greater thermal tolerance when extremes only impact performance. The thick, light grey line depicts the case when the impacts of extremes are restricted to short term performance (i.e., no mortality or lasting performance reductions). The temperature distribution (shown as shaded gray silhouette) is derived from daily maximum temperatures in Melbourne, Australia.



Thermal performance curve (TPC) for walking speed of *Drosophila melanogaster*, with critical limits for other organismal functions indicated by bars below. The TPC is asymmetric and bounded at the extremes by critical limits, in this case delineating the acute loss of walking ability. Performance generally decreases on either side of a thermal optimum (T_{opt}), with a shallow decrease towards the lower critical limit (CT_{min}) and a steep decline to the upper critical limit (CT_{max}). Outside these critical limits, survival is time and temperature dependent. Between CT_{max} and CT_{min} lie progressively narrower limits for higher-level organismal functions such as development and fertility. At temperatures near CT_{min} and CT_{max} , molecular chaperones such as HSP₇₀ are induced to offset temperature effects on macromolecular structure. Our discussion focuses on body temperatures near and beyond these critical limits, at both ends of the thermal window. Note that discrepancies in experimental protocols, such as the time-scale of exposure for measures of motor performance versus those for development, make direct comparisons difficult but still conceptually useful. Data from Gilchrist and others (1997); Czajka and Lee (1990); Stetina and others (2015); Sinclair and others (2007); Kelty and Lee (2001); Siddiqui and Barlow (1972); Klepsatel and others (2013).

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Carryover effects such as beneficial acclimatization to thermal stress (dashed lines) and cumulative damage (dotted lines) impact the evolution of thermal performance curves (TPCs). In most cases, thermal extremes drive the evolution of TPCs more strongly when they cause mortality (gray lines) than when they cause sub-lethal performance reductions (i.e., injury; black lines). If cumulative damage intensifies with each incidence of an extreme, evolution selects for less thermal tolerance when extremes cause mortality and greater thermal tolerance when extremes only impact performance. The thick, light grey line depicts the case when the impacts of extremes are restricted to short term performance (i.e., no mortality or lasting performance reductions). The temperature distribution (shown as shaded gray silhouette) is derived from daily maximum temperatures in Melbourne, Australia.

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Supplementary material

Supplementary methods.

We describe the quantitative genetic model that we use to model the evolution of thermal performance curves (TPCs, modified from Buckley and Huey this issue). Our analysis extends previous work on the evolution of TPCs (Lynch and Gabriel 1987; Huey and Kingsolver 1993; Gilchrist 1995; Angilletta 2009; Asbury and Angilletta 2010). First, we estimate the time series of environmental conditions experienced by individuals across their lifespans within a population. We then use the shape of each individual's TPC to integrate performance over time. The individual performances determine fitness and selection on TPC shape.

We use a beta curve to model performance, Z , as a non-linear function of body temperature, T_b (°C):

$$Z(T_b) = \frac{[(T_b - \alpha)/b]^{\gamma/\beta - 1} [1 - (T_b - \alpha)/b]^{(1-\gamma)/\beta - 1} \Gamma(1/\beta)}{\Gamma(\gamma/\beta) \Gamma[(1-\gamma)/\beta]}$$

where α , β , and γ determine the minima, breadth, and skewness of the performance curve, respectively (Asbury and Angilletta 2010). The parameter b determines the maximal breadth. We constrain the parameters to those that generate realistic curves ($-10 < \alpha < 4$, $0.05 < \beta < 0.15$, $\gamma = 0.7$, and $b = 43$), given the large diversity of curves observed among ectothermic animals (see Sunday *et al.* 2014). The area under the curve is fixed [thus excluding 'hotter is better' (Asbury and Angilletta 2010)].

We estimate fitness as the product of fecundity and survival. Fecundity is quantified as the sum of performance across time steps within a generation, and we assume low but non-zero performance outside the critical thermal limits. For those models that include mortality, thermal

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3 stress is the sole source of mortality. We assumed that the probability of survival through a
4 thermal stress event declined exponentially to zero between CT_{max} and $60^{\circ}C$. We confirmed that
5 results were similar if survival declined linearly. The probability of an individual surviving to the
6 end of its potential lifespan is assumed to be the product of survival across sequential time
7 periods. We define a generation as 300 time steps. We ran the model for 200 generations
8 (sufficient to reach equilibrium).
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20 We use a simple quantitative genetic model to predict selection and the evolution of TPCs. We
21 consider how two phenotypes (parameters α : minima and β : breadth) of the performance curve
22 evolve. We use a genetic variance covariance matrix (G matrix) to account for the genetic
23 correlation of the two parameters (vector z), and we model phenotypic evolution as $\Delta z = Gs$,
24 where s is a vector describing selection on each of the traits (Lande and Arnold 1983). We
25 assume genetic variances (heritabilities) of 0.7 and covariances of -0.1. The negative covariance
26 accounts for the observation that organisms with higher thermal tolerances tend to have smaller
27 breadths, but our results are robust to the sign of the covariance. Our estimates of the variances
28 and covariances in the G matrix for TPCs are high and similar, respectively, relative to the
29 limited empirical data available (Kingsolver, Ragland and Shlichta 2004), but we selected these
30 values to speed evolution in our analysis. We used a sensitivity analysis to confirm that our
31 results are robust to our parameterization of the G matrix.
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50 We initialized our model with TPC minima (α) and breadth (β) that optimized performance in the
51 initial time period in the absence of thermal extremes. We simulated 200 individuals with TPC
52 minima (α) and breadth (β) drawn from a normal distribution with the given phenotypic mean
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3 and variance (standard deviations= 1 and 0.02 for α and β , respectively) for each generation. We
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5 use relative fitness estimates for each individual to estimate the (unstandardized) directional
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7 selection gradients and to predict the evolutionary response to selection (change in mean
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9 phenotype) in the next generation (Lande and Arnold 1983).
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15 Whether thermal stress occurs depends not only on microclimate variation, but also on whether
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17 individuals are able to select their preferred microclimate from the available distribution
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19 (Kearney, Shine and Porter 2009; Sears, Raskin and Angilletta 2011). We incorporated
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21 microclimate variation by drawing a value for thermal heterogeneity from a normal distribution
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23 with a standard deviation of 2°C at each time step for each individual. We incorporated
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25 behavioral thermoregulation by assuming that individuals would select the microclimate (from
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27 the distribution of available microclimates, specified by the amount of heterogeneity) at each
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29 time step that was closest to their thermal optima.
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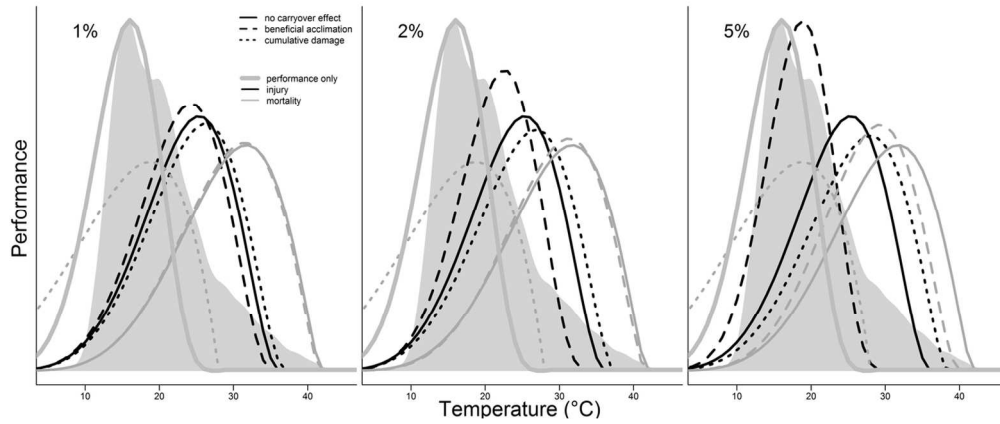
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18 Supplementary figure
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21 Figure S1. We examine sensitivity to the percent by which hardening or cumulative damage with each
22 subsequent extreme impacts performance (left to right: 1% to 5%). Beneficial acclimatization (dashed
23 lines) and cumulative damage (dotted lines) impact the evolution of thermal performance curves. We
24 consider cases when thermal extremes cause mortality (gray lines) or permanent performance reductions
25 (i.e., injury; black lines). The thick, light grey line depicts the case when the impacts of extremes are
26 restricted to short term performance. The temperature distribution is derived from daily maximum
27 temperatures in Melbourne, Australia and is shown as the shaded silhouette in grey.
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