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## Cyanobacteria from marine oxygen-deficient zones encode both form I and form II Rubiscos

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Cyanobacteria are highly abundant in the marine photic zone and primary drivers of the conversion of inorganic carbon into biomass. To date, all studied cyanobacterial lineages encode carbon fixation machinery relying upon form I Rubiscos within a  $CO_2$ -concentrating carboxysome. Here, we report that the uncultivated anoxic marine zone (AMZ) IB lineage of *Prochlorococcus* from pelagic oxygen-deficient zones (ODZs) harbors both form I and form II Rubiscos, the latter of which are typically noncarboxysomal and possess biochemical properties tuned toward low-oxygen environments. We demonstrate that these cyanobacterial form II enzymes are functional in vitro and were likely acquired from proteobacteria. Metagenomic analysis reveals that AMZ IB are essentially restricted to ODZs in the Eastern Pacific, suggesting that form II acquisition may confer an advantage under low- $O_2$  conditions. AMZ IB populations express both forms of Rubisco in situ, with the highest form II expression at depths where oxygen and light are low, possibly as a mechanism to increase the efficiency of photoautotrophy under energy limitation. Our findings expand the diversity of carbon fixation configurations in the microbial world and may have implications for carbon sequestration in natural and engineered systems.

cyanobacteria | photoautotrophy | Rubisco | carbon fixation | metagenomics

The Calvin–Benson–Bassham (CBB) cycle is the dominant mechanism by which inorganic carbon is fixed biologically on Earth (1). All members of the phylum Cyanobacteria perform the CBB cycle using form I ribulose 1,5-bisphosphate carboxylase/oxygenase (Rubisco) ensconced in a carboxysome, a bacterial microcompartment serving as a CO<sub>2</sub>-concentrating mechanism. In the ocean, the picocyanobacterial lineages *Prochlorococcus* and *Synechococcus* are thought to exclusively employ form IA Rubisco and  $\alpha$ -carboxysomes, both of which may have been laterally transferred from proteobacteria (2). Among these groups, little variation within the genetic architecture of the carbon fixation machinery has been observed, despite the existence of numerous ecotypes differentiated by their spatial and metabolic niches (3). Here, we characterize the first known form II Rubisco in Cyanobacteria, found within an abundant *Prochlorococcus* ecotype from oxygen-deficient zones (ODZs) (4, 5). We also explore the potential ramifications of this gene inventory for the marine carbon cycle.

### Results

While conducting a metagenomic survey of autotrophic organisms in the marine environment (6), we detected genomic bins from cyanobacteria encoding both form I and form II Rubiscos. Initial phylogenetic reconstructions indicate that these bins fall within the AMZ (anoxic marine zone) lineages of *Prochlorococcus*, ecotypes recently characterized from single-cell genomes (SAGs) from anoxic seawater (4). To increase genomic sampling of these lineages, we reconstructed 54 new medium-to-high quality metagenome-assembled genomes (MAGs) from public data. Analysis of the total genome set indicated that only the AMZ IB subclade encoded both the form I and form II Rubiscos in the same MAG and/or SAG (4) (Fig. 1*A* and Dataset S1). Although a few AMZ IB bins possess only form I or form II, this is likely due to genome incompleteness.

We next clustered cyanobacterial form II Rubiscos and placed representative sequences into a tree. Sequences formed a monophyletic clade within a larger group mostly derived from marine gammaproteobacteria (Fig. 1*B*). We inferred that the cyanobacterial sequences were likely functional based on proximity to other biochemically characterized proteins (Fig. 1*B*) and the presence of all active site residues coordinating substrate binding and catalysis (9, 10) (Dataset S3). To confirm functionality, we heterologously expressed the most common *Prochlorococcus* form II sequence (#367), demonstrated carboxylation coupled to NADH oxidation (11), and quantified active site concentration using CABP inhibition (*SI Appendix, Extended Methods*). The maximal carboxylation rate ( $k_{cat}$ ) was 2.3  $\pm 0.1 \text{ s}^{-1}$ , within the known range for form II Rubiscos (Fig. 1*C*) (8). Author affiliations: <sup>a</sup>Department of Earth System Science, Stanford University, Stanford, CA 94305; <sup>b</sup>School of Oceanography, University of Washington, Seattle, WA 98195; <sup>c</sup>Department of Plant and Microbial Biology, University of California, Berkeley, CA 94720; <sup>a</sup>Department of Earth and Planetary Science, University of California, Berkeley, CA 94720; <sup>a</sup>Biomedical Data Science Graduate Program, Stanford University, Stanford, CA 94305; <sup>f</sup>Innovative Genomics Institute, University of California, Berkeley, CA 94720; <sup>B</sup>HHMI, University of California, Berkeley, CA 94720; <sup>B</sup>HHMI, University of California, Berkeley, CA 94720; <sup>B</sup>Hedotscks Division, Joint BioEnergy Institute, Emeryville, CA 94608; and <sup>f</sup>Environmental Genomics and Systems Biology Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720

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The authors declare no competing interest.

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**Fig. 1.** Characteristics of cyanobacteria with multiple Rubisco forms. (*A*) Ribosomal protein tree depicting ODZ *Prochlorococcus* lineages and their Rubiscos. (*B*) Form II Rubisco gene tree showing representative AMZ IB sequences and their phylogenetic context. Tree tips are labeled with sequence numbers (Dataset S2). (*C*) Maximal carboxylation rates (*k<sub>cat</sub>*) for form II rubiscos reported in refs. 7 and 8 and the AMZ IB sequence #367 measured in this study. (*D*) Genomic context of form II Rubisco within an AMZ IB MAG. Blue regions represent metagenomic sequencing coverage.

Finally, we examined the genomic context of cyanobacterial form II sequences, finding that they are encoded alongside a superoxide dismutase also of putative gammaproteobacterial origin (Fig. 1*D* and Dataset S4) and were recovered on distinct scaffolds from form I sequences. No chaperones or regulators were identified in the immediate context. Importantly, the overwhelming cyanobacterial affiliation of genes near the form II—most notably, the ribosomal protein S2—indicate that the presence of form II Rubisco is likely not the result of misbinning; similarly, consistent read coverage indicates that misassembly in this region is unlikely.

In addition to  $CO_2$ , Rubisco also reacts promiscuously with oxygen, leading to the loss of up to 25% of fixed carbon through



**Fig. 2.** Distribution and expression patterns of AMZ IB *Prochlorococcus*. (*A*) Location of metagenomic and metatranscriptomic samples where AMZ IB were detected, overlaid with oxygen concentrations at 125 m. (*B*) Relative abundance of cyanobacterial ecotypes in metagenomes ( $\leq$ 200 m) containing AMZ IB, based on sequencing coverage of representative genomes. (*C*) Gene expression patterns at station P1. PAR, photosynthetically active radiation; SCM, secondary chlorophyll max.

a process termed photorespiration (12). Because form IIs generally possess a lower specificity for  $CO_2$  over  $O_2$  ( $S_{C/O}$ ) and are not associated with carboxysomes, they are thought to be preferentially employed in low-oxygen environments (13). Accordingly, we examined the distribution of AMZ IB with form II Rubiscos using a global metagenomic survey. Our analysis detected AMZ IB within nearly 80 samples, essentially restricted to ODZs of the Eastern Pacific (Fig. 2*A* and Dataset S5). When detected, this lineage typically comprised the majority of the cyanobacterial community (Fig. 2*B*), consistent with previous results (4, 5).

Within ODZs, oxygen concentrations, light, and nutrients vary significantly with water depth (5). To examine the impact of these gradients upon the utilization of Rubisco forms, we leveraged a metatranscriptomic transect from an offshore ODZ near Mexico (P1, Fig. 2A) (14). Alignment of transcripts to a representative genome suggested that AMZ IB was only active in the lower euphotic zone of the ODZ (Fig. 2C). Form I Rubisco was highly expressed at 40 and 77 m, whereas form II was essentially only expressed at 77 m (anoxic SCM, ~0.01% surface PAR), where it attained ~4% of form I levels. Trends were similar at another site (P2) with a more gradual oxycline and deeper SCM, though relative expression of the form II was higher (~16% of form I at the SCM) (Fig. 2A and Dataset S6). Additionally, we detected 10 peptides identical to the predicted AMZ IB form II sequence in a metaproteome previously collected at P2 (5) (Dataset S7). These peptides were only recovered at 100 m, near the top of the SCM.

#### Discussion

Here, we demonstrate that an abundant lineage of Cyanobacteria from ODZs uncharacteristically encodes both form I and form II Rubiscos. While known within marine proteobacteria, this genetic repertoire has not before been observed in Cyanobacteria, Earth's most numerous bacterial primary producers. In proteobacteria, form II Rubiscos are noncarboxysomal and can be preferentially transcribed under low-oxygen conditions that theoretically favor their biochemical attributes (15). Thus, we propose that the acquisition of the form II Rubisco by AMZ IB—likely from co-occurring gammaproteobacteria—represents an adaptation to low oxygen (and often, elevated CO<sub>2</sub>) niches within ODZs. This gene, alongside others related to anaerobic stress tolerance and signaling (4), may permit AMZ

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IB to flourish in the measurably anoxic waters of the euphotic zone.

At this stage, the physiological impact of simultaneous Rubisco expression in AMZ IB is unclear. One possibility is that tandem expression permits cells to fix additional  $CO_2$ , including that leaked from the carboxysome associated with their form IA Rubisco. We speculate that the energetic cost associated with carboxysome production may be particularly burdensome at depths where light/energy is limited and thus that a noncarboxysomal form might be particularly advantageous there. Tandem expression of both forms could also result from a dependence of the form II Rubisco upon form I-associated chaperones or regulators.

Together, our results demonstrate a unique metabolic configuration with the potential to impact carbon cycling in ODZs, significant and expanding portions of the world's oceans. Additionally, our findings raise the intriguing possibilities that carbon fixation genes may be more mobile and modular than previously recognized and that harboring multiple forms of Rubisco might be a viable strategy toward increasing carbon fixation efficiency in both natural and engineered systems.

### **Materials and Methods**

We created a genomic database to examine the distribution of Rubisco genes within AMZ cyanobacteria. To expand sampling of these lineages, a targeted metagenomics effort was undertaken using publicly available data. All phylogenetic trees were reconstructed using appropriate references and maximumlikelihood methods. The distribution and gene expression patterns of AMZ IB were generated through read-mapping of public metagenomic and metatranscriptomic datasets to a representative genome followed by normalization for sequencing effort and gene length. Measurement of Rubisco kinetics and modeling was performed using published methodology. Details are available in *SI Appendix*.

**Data, Materials, and Software Availability.** Underlying data are available in *SI Appendix* and NCBI (PRJNA1136951). Custom code is available at github.com/ alexanderjaffe/odz-pros. Previously published data were used for this work (16–21).

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