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Conflict, Competition, and Cooperation Regulate Social Interactions in Filamentous Fungi

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1	Conflict, competition and cooperation regulates social interactions in filamentous fungi
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21	

22 Abstract

23 Social cooperation impacts the development and survival of species. In higher taxa, 24 kin recognition occurs via visual, chemical or tactile cues that dictate cooperative versus 25 competitive interactions. In microbes, the outcome of cooperative versus competitive 26 interactions is conferred by identity at allorecognition loci, so called "kind recognition". In 27 syncytial filamentous fungi, the acquisition of multicellularity is associated with somatic cell 28 fusion within and between colonies. However, such intraspecific cooperation entails risks as 29 fusion can transmit deleterious genotypes or infectious components that reduce fitness, or 30 'cheaters', that can exploit communal goods without contributing to their production. 31 Allorecognition mechanisms in syncytial fungi regulate somatic cell fusion by operating pre-32 contact during chemotropic interactions, during cell adherence, and post-fusion by triggering 33 programmed cell death reactions. Alleles at fungal allorecognition loci are highly 34 polymorphic, fall into distinct haplogroups and show evolutionary signatures of balancing 35 selection, similar to allorecognition loci across the tree of life. 36 37

38

39 I. Conflict, competition and cooperation regulate social behavior

40 1. Greenbeard genes, altruism and allorecognition

41 Altruism is defined as an individual acting at a cost to themselves but benefiting, 42 directly or indirectly, another individual, without the expectation of reciprocity (self-43 sacrifice). Self-sacrifices include complex behaviors, such as in meerkats, that watch for 44 predators while other members of their family forage (14) or as in bacteria, that absorb 45 peptides that help the survival of the population (117). A gene-centered view of altruism 46 provides an explanation for self-sacrifices: a gene can be favored in a population even if it is 47 costly, if it provides benefits for other individuals carrying copies of that same gene (22). 48 Thus, altruism is evolutionarily beneficial if the relatedness of the individual that profits from 49 the altruistic act is higher than the cost/benefit ratio that this act imposes (Hamilton's rule) 50 (57). This gene-centered view, in combination with kin recognition, can explain altruism in 51 higher organisms, where genome-wide relatedness can be assessed based on a combination of 52 visual, chemical and tactile cues.

53 The concept of kin recognition is difficult to explain when considering microbes. 54 How can microbes assess the genealogy of other individuals without 'seeing' their 55 surroundings? How can a microbial 'selfish gene' (22) identify copies of itself in others? 56 Originally envisioned to explain the genetic basis of social behavior (22), organisms 57 containing "green beards" allows for easy identification by other green beard carriers. 58 Greenbeard genes promote altruism toward individuals who share a specific phenotypic trait 59 controlled by a given gene; an interaction defined as kind or allorecognition (40). Multiple 60 interaction modes between individuals using allorecognition are possible (e.g. cooperation 61 versus antagonism). Allorecognition functions in phylogenetically diverse organisms (Fig. 1): 62 in social bacteria Myxococcus xanthus (126) and Proteus mirabilis (43), and eukaryotic 63 colonial species including invertebrates Hydractinia symbiolongicarpus and Botryllus 64 schlosseri (108), the slime mold Dictyostelium discoideum (74), and fungi Cryphonectria 65 parasitica (84; 133), Podospora anserina (110), and Neurospora crassa (20; 45). 66

67 2. Evolutionary features of allorecognition systems

Three potential drivers of allorecognition evolution in social organisms have been identified: cheaters (freeloaders), inbreeding, and disease transmission. Cheater/freeloader genotypes are named by analogy to the "tragedy of the commons" (102) and participate in an organism's social phase and receive social goods without contributing to their production (5), 72 increasing the cheater's relative fitness at the expense of the social group (79). Selection for 73 cheaters is an impediment to the progression of multicellularity and a primary driver of 74 allorecognition evolution (5), which reduces the cheater/freeloader problem by permitting 75 organisms to limit social behaviors to genetically similar individuals (74). The chestnut blight 76 fungus, C. parasitica, provides examples for two potential drivers of fungal allorecognition. 77 First, C. parasitica colonies are filamentous and syncytial, a lifestyle that selects for cheaters 78 (5) (see example, Fig. 2B). Second, C. parasitica can be infected with Hypoviridae 79 mycoviruses that reduce fitness (21). Mycoviruses lack external vectors and are transmitted 80 via somatic cell fusion between an infected and an uninfected colonies (42). Isolates of C. 81 parasitica exhibit a form of allorecognition termed vegetative incompatibility that inhibits 82 successful somatic cell fusion between genetically different strains (84; 133). Thus, disease 83 transmission pressures may explain why some organisms have developed multiple 84 allorecognition checkpoints that operate at various levels of intercellular intimacy.

85 Although kind recognition genes are not derived from common ancestors, they share 86 evolutionary characteristics. Typically, genes encoding kind recognition systems exhibit 87 evidence of balancing selection, including the long-term maintenance of multiple alleles at 88 similar frequencies in well-mixed populations (104). Alleles at kind recognition loci are 89 typically highly polymorphic with signatures of positive selection, and fall into discrete 90 allelic classes, termed haplogroups, which often show trans-species polymorphisms, a 91 phenomenon observed when alleles from different species are more closely related to each 92 other than they are to other intra-species alleles (104). Kind recognition systems are often 93 composed of multiple genes that are tightly linked, thus reducing the probability of 94 recombination between a module's components, and resulting in coevolution of the 95 components and allelic diversification (8). Allorecognition systems could also represent cases 96 of exaptation, a hypothesis developed as an explanation for allorecognition systems in fungi, 97 where anti-pathogen defense systems are potentially harnessed for the recognition of 98 conspecifics (54; 96).

99

100 3. 'Harming' and 'helping' kind recognition

Allorecognition can be divided into "harming" and "helping" types (101). For
example, bacteriocin toxins can be considered greenbeard traits of the harming type (40;
103 105). Some bacteria and archaea produce bacteriocins released at times of stress, with 'self'
cells producing an antidote to the poison, which they keep private (105). Cells lacking the

105 poison/antidote genes are killed ("spite" for individuals lacking the greenbeard genes). 106 "Helping" kind discrimination is defined by actions that provide fitness benefit to individuals 107 that share the trait, but not to those that lack it. The tumor inducing (*Ti*) plasmid of 108 Agrobacterium tumefaciens can be considered a helping greenbeard trait (40). Genes are 109 transferred from the *Ti* plasmid to plant cells, which induces tumors and production of opines 110 (food source), which is a public good. However, opine production is only beneficial for *Ti* 111 plasmid bearers, because opine catabolism is also encoded on the *Ti* plasmid. In *D*. 112 discoideum, starvation leads to the aggregation of free-living ameoboid cells to form 113 multicellular structures composed of spores supported on a stalk (119). Stalk cells perform an 114 act of self-sacrifice as they enable other cells to differentiate into spores for dispersal (82). 115 Following the genetic logic above suggests that D. discoideum strains would be willing to sacrifice themselves only if they can help other individuals of the same genetic background to 116 117 proliferate. When populations of D. discoideum contain a mixture of genetically different 118 strains, the frequency of stalk formation is based on the likelihood of whether the benefit will 119 go to members of the group that share their genes (Fig. 1); in this case, allorecognition is 120 determined by the *tiger* genes (*tgrB1* and *tgrC1*) (63).

121

122 II. Molecular mechanisms of cooperative behavior

123 *I. Examples of cooperation, with a focus on fungi*

124 In nature, cooperation occurs at all levels and across taxa. For example, bacteria 125 regulate their cooperative behavior in a process called quorum sensing: autoinducers (like 126 acylated homoserine lactones) increase in concentration depending on cell density, enabling 127 bacterial communities to behave as a multicellular organisms (85). Candida albicans also 128 secrets quorum sensing molecules such as farnesol, tyrosol and tryptophol (76). Fungal 129 quorum sensing is involved in many cellular processes, including morphogenesis (e.g. yeast to hypha transition), germination, biofilm formation, control of nutrient levels, cell death 130 131 induction, antifungal activity and pathogenicity (92).

In filamentous fungi such as *N. crassa*, mycelial growth results from tip elongation and somatic cell fusion (61) (Fig. 2). This coordinated and cooperative behavior leads to the formation of an interconnected mycelial network. In many filamentous fungi, colony establishment is characterized by somatic cell fusion between genetically identical germinated spores (germlings) and hyphae that are in close proximity. Cells deficient in somatic cell fusion show an increase in time for colony establishment (52; 67), indicating thatthe capacity to undergo somatic cell fusion contributes to fitness.

Filamentous ascomycete colonies contain septa that are often perforated and that allow movement of cytoplasm and organelles, including nuclei, throughout the colony (106). This syncytial lifestyle makes the products of each nucleus potential social goods, which is predicted to strongly select for cheaters (5). Hyphal anastomosis and the mycelium it generates enhance fitness by increasing colony growth rates and improving the production of asexual spores (3), by distributing resources throughout the colony (116), and increasing colony size when higher densities of spores are present (103).

146

147

2. Molecular pathways involved in cooperative somatic cell fusion in filamentous fungi

148 The molecular pathways required for somatic cell fusion between germlings/hyphae 149 in filamentous fungi have recently been reviewed in detail (35). Here, we highlight pathways 150 important for cooperative somatic cell fusion between genetically identical cells that are also 151 implicated in allorecognition. In N. crassa, components of a mitogen-activated protein kinase 152 (MAPK) signaling complex composed of NRC-1 (MAPKKK), MEK-2 (MAPKK) and 153 MAK-2 (MAPK) and a scaffold protein HAM-5 assembles and disassembles at fusion tips of interacting cells during chemotropic interactions, with an ~8 min regularity and opposite 154 155 dynamics in interacting cells (28; 39; 67) (Fig. 3A). A second protein, SOFT, also associates 156 and disassociates at fusion tips, but with completely opposite dynamics to the MAK-2 157 signaling complex (39) (Fig. 3A). This so-called "ping-pong" mechanism of communication 158 provided a hypothesis on how cells can avoid self-stimulation when undergoing chemotropic 159 interactions with a genetically identical partner (53).

160 A second MAPK cascade, the cell wall integrity (CWI) MAPK pathway, is also 161 required for somatic cell fusion in a number of filamentous fungi. The CWI MAPK pathway 162 is composed of MIK-1 (MAPKKK), MEK-1 (MAPKK) and MAK-1 (MAPK) kinase and 163 includes membrane-spanning sensors, such as WSC-1 and WSC-2 (35; 81). The MAK-1 164 complex does not show dynamic oscillation during chemotropic interactions, but once cells 165 adhere, MAK-1 localizes to the contact zone where it remains during fusion pore formation 166 (128). In Sordaria macrospora, the ortholog of SOFT (PRO40) functions as a scaffold of the MAK-1 signaling complex (120; 128). Both the MAK-1 and MAK-2 signaling pathways 167 168 regulate gene transcription through the activation of the transcription factors PP-1 and ADV-169 1 (37); ADV-1 is a direct activator of many of the genes required for somatic cell fusion (27;

170 37). Upstream of the two MAPK signaling cascades, the WHI-2, CSP-6 and AMPH-1

- 171 proteins putatively function to control endocytosis, which could be involved in the perception
- 172 of chemotropic signals (50). Following cell-cell contact, cell wall dissolution at the fusion
- spot and plasma membrane merger is necessary to complete somatic cell fusion. A number of
- 174 genes encoding proteins important for membrane merger have been identified in *N. crassa*
- 175 (38; 93; 94), although a fusase has not been identified.

176 Screening of the full genome deletion strain set available for N. crassa (97) revealed 177 that ~80 genes affect or are required for somatic cell fusion, including components of 178 signaling pathways, predicted membrane proteins, genes encoding proteins that affect 179 secretion and a number of hypothetical proteins (35). Importantly, genes encoding the 180 receptor or ligand involved in chemotropic interactions have not been identified or 181 characterized. These data suggest that the genes encoding the receptor and ligand required for 182 somatic cell fusion may have redundancy or that the receptor and ligand genes are members 183 of the hypothetical protein gene set that have not been biochemically characterized, but that 184 are essential for somatic cell fusion.

185

186 III. Allorecognition at distance

187 1. Determinants of fungal communication and chemotropic interactions

188 A fungal greenbeard locus that acts at distance by regulating chemotropic interactions 189 has been characterized in N. crassa (59). Germlings that share compatible alleles at the 190 Determinant Of Communication (doc) loci exhibit homing growth en route to somatic cell 191 fusion to form a cooperative colony (Fig. 2). Within N. crassa populations, five 192 communication (CGs) haplogroups have been identified and which exhibit CG-specific 193 rearrangements, duplications, and deletions. Alleles at the linked doc loci, doc-1 and doc-2 194 are ~99% identical within a CG, but only <50% identical between CG haplogroups. Strains 195 of identical CG specificity home towards each other, while strains from different CGs ignore 196 each other (Fig. 3). Alleles at the *doc-1* and *doc-2* loci also show evidence of balancing 197 selection and trans-species polymorphisms (59), supporting their role in mediating kind 198 recognition in fungal populations.

199 Communication phenotypes of $\Delta doc-1$, $\Delta doc-2$, and $\Delta doc-1 \Delta doc-2$ mutants 200 confirmed that the *doc* locus is necessary and sufficient for CG identity (59). The DOC-1 and 201 DOC-2 proteins function to negatively regulate chemotropic interactions as a $\Delta doc-1 \Delta doc-2$ 202 mutant displays a high self-communication frequency, but a complete loss of communication 203 and chemotropic interactions with its isogenic parental strain (59). The introduction of doc-1 204 and doc-2 alleles from a different CG (CG3) into the Adoc-1 Adoc-2 mutant resulted in a 205 switch to CG3 specificity. Localization studies showed that DOC-2 localizes to the periphery 206 of the cell while DOC-1 co-localizes and oscillates with components of the MAK-2 complex 207 during chemotropic interactions (59). These data suggest that DOC-1 regulates reinforcement 208 of MAK-2 complex signaling during chemotropic interactions. When cells carry different 209 alleles at *doc-1* and *doc-2*, reinforcement of MAK-2 signaling is prevented, resulting in a 210 decreased frequency of communication and fusion.

A link between somatic cell fusion between genetically identical cells and allorecognition by the *doc* system was recently revealed (36). The *N. crassa \Delta ham-11* mutant fails to undergo self-fusion, but will undergo chemotropic interactions and fusion with its wild type parent. A $\Delta doc-1$ mutant undergoes self-fusion and fusion with its wild type parental strain. However, when a $\Delta ham-11 \Delta doc-1$ double mutant was constructed, somatic cell fusion was completely abolished (36). These data implicate DOC-1 in regulating somatic cell fusion between genetically identical cells in a parallel pathway to HAM-11.

218

219 IV. Allorecognition upon contact

220 1. Contact-induced allorecognition

221 While greenbeard genes that function at a distance offer an advantageous mechanism 222 to recognize non-self partners, allorecognition also operates after physical contact between 223 conspecific individuals/colonies. For example, in P. mirabilis, boundaries form between 224 swarming colonies of different strains, but not between colonies of a single strain (Fig. 1) 225 (43). Strains of *P. mirabilis* that carry incompatible alleles at identification of self, or ids 226 genes induce growth arrest in interaction areas between colonies (44)(12). Growth arrest is 227 correlated with formation of a heterotypic IdsD and IdsE complex (12). In the aggregative 228 bacterium *M. xanthus*, contact-dependent exchange of factors that promote group motility 229 and transition to sporulation are controlled by an allorecognition checkpoint regulated by 230 homotypic interactions between a TraA/TraB complex (10). The traA gene is highly 231 polymorphic in wild isolates of myxobacteria (11; 129).

In animal systems, the molecular basis of contact-induced allorecognition has been studied in the protochordate, *B. schlosseri*, and the hydroid, *H. symbiolongicarpus* (Fig. 1). In *B. schlosseri*, isogenic colonies fuse to form larger colonial chimeras, resulting in sharing of public goods (108). However, fusion between *B. schlosseri* colonies only occurs if both have 236 allelic identity at the fusion/histocompatibility (*fuhc*) locus; if incompatibility is perceived, an 237 inflammatory response resulting in blockage of vascular interactions followed by allograft rejection is triggered (112) (Fig. 1). The *fuhc* locus contains two adjacent genes (*fuhc^{sec}* and 238 239 *fuhctm*) that show evidence of balancing selection (91); the extracellular region of the FuHC 240 protein is highly polymorphic (23). In the cnidarian H. symbiolongicarpus, an analogous 241 mechanism determines fusion of tissue projections known as stolons, that arise from asexual 242 polyps and adhere between conspecific colonies (90) (Fig. 1). In this case, allorecognition is 243 defined by Alr1 and Alr2, two highly polymorphic genes that encode transmembrane proteins 244 (69; 90; 107).

245

246 2. Contact-dependent allorecognition in filamentous fungi

247 N. crassa cells/hyphae of identical CG specificity undergo chemotropic growth and 248 reach a cell adherence stage. However, two phenotypes were revealed after adherence in 249 otherwise genetically different strains: 1) those that completed cell fusion and exchanged 250 cytoplasmic contents; 2) strains unable to undergo cell wall dissolution (52) (Fig 1; Fig. 3). In 251 cells blocked in fusion, the oscillation of MAK-2 and SOFT at fusion tips was extended, 252 suggesting that arrested cells fail to transit from chemotropic interactions to cell wall 253 dissolution and membrane merger. Two linked loci, Cell Wall Remodeling (cwr)-1 and cwr-2 254 are necessary and sufficient to regulate cell wall dissolution. Consistent with their role in kind 255 recognition, alleles at cwr-1 and cwr-2 are highly polymorphic, fall into six discrete 256 haplogroups within N. crassa populations and show evidence of trans-species polymorphisms 257 (52). The cwr-1/cwr-2 loci segregate independently from the doc-1/doc-2 loci. As with doc-1 and *doc-2*, allelic differences at *cwr-1* and *cwr-2* negatively regulate somatic cell fusion, as 258 259 $\Delta cwr-1$ and $\Delta cwr-2$ mutants are capable of undergoing both self-fusion and fusion with 260 formerly incompatible partners. 261 Sequence analyses of orthologs of *cwr-1* and *cwr-2* alleles in population samples from

filamentous fungal species where the two loci are linked revealed high sequence diversity in
 species of *Neurospora*, *Fusarium*, *Trichoderma* and *Zymoseptoria*. Allele-specific

- haplogroups that show trans-species polymorphism at *cwr-1* and *cwr-2* were identified
- among isolates of different species of Fusarium (F. tricinctum, F. oxysporum, F. fujikuroi, F.
- 266 graminearum, F. proliferatum and F. verticillioides) (52). However, the cwr-1/cwr-2
- 267 haplogroups identified in *N. crassa* were not conserved in the *Fusarium cwr-1* and *cwr-2*
- haplogroups, indicating convergent evolution and that polymorphisms at these loci can be

repeatedly lost and gained. Genomic pairs of *cwr-1* and *cwr-2* are only present in sublineages of the Pezizomycotina, one of the only two groups where complex multicellularity has arisen in fungi (72). These observations suggest that diversification of *cwr* alleles alongside with the appearance of multicellularity could be linked to formation of the syncytial fungal colonies.

273 The *cwr-1* locus encodes a secreted polysaccharide monooxygenase (PMOs) (52). 274 PMOs catalyze the oxidative cleavage of glycosidic bonds in recalcitrant substrates, such as 275 cellulose, hemicellulose and chitin (118). CWR-1 is a member of the auxiliary activity (AA) 276 11 family homologous to a chitin-active copper-dependent PMO from Aspergillus oryzae 277 (60). Genetic analyses showed that cell fusion arrest is mediated by interactions between 278 CWR-1 in one cell and CWR-2 from a different haplotype in the partner cell. CWR-2 279 contains two conserved 'domains of unknown function' and eight predicted transmembrane 280 regions (52). These data suggest that CWR-2 may function as a membrane receptor that could 281 interact with a haplotype-specific cell wall product produced by the activity of CWR-1. A 282 somewhat analogous situation is observed during neural self-avoidance in *Drosophila*, where 283 alternative splicing of *Dscam* results in thousands of distinct ectodomains with self-binding 284 specificity (130; 131).

285

286 V. Allorecognition after somatic cell fusion

287 *I. Allorecognition and germling-regulated death*

288 In crosses between wild isolates, progeny that are capable of undergoing chemotropic 289 interactions and cell wall dissolution often display rapid cell death upon fusion. At least two 290 loci in N. crassa mediate germling-regulated death (GRD) (Fig. 3). GRD is controlled by 291 allelic interactions between rcd-1-1 and rcd-1-2 (18) or non-allelic interactions between the 292 antagonistic and closely linked *plp-1* and *sec-9* (58). In germling pairs, GRD occurs rapidly 293 (~20-25 minutes) after fusion of rcd-1 or sec-9/plp-1 incompatible cells and is associated 294 with massive vacuolization and cell lysis (58). Genetic differences at rcd-1 or sec-9/plp-1 295 also induce death upon hyphal fusion between incompatible colonies.

The allorecognition determinant *rcd-1* encodes a 257 amino acid protein of unknown biochemical function (18). Alleles of *rcd-1* fall into two haplogroups and are one of the most polymorphic genes in the genomes of wild *N. crassa* isolates; alleles of the two *rcd-1* haplogroups also show trans-species polymorphisms (18). Strains carrying a deletion of *rcd-1* form viable heterokaryons with formerly incompatible cells, while the co-expression of two antagonistic *rcd-1-1* and *rcd-1-2* alleles is sufficient to trigger cell death in fused germlings and hyphae (18). *rcd-1* belongs to a large gene family in fungi, with some species, like *N*. *crassa*, having only one *rcd-1* locus, while other species have multiple *rcd-1* paralogs within
their genomes. These observations suggest that the function of this allelic allorecognition
system might be conserved throughout the fungal kingdom.

- 306 The second allorecognition system that induces GRD upon cell fusion involves the 307 linked loci sec-9 and plp-1 (58). sec-9 encodes a t-SNARE protein, which is orthologous to a 308 protein required for secretory vesicle/plasma membrane fusion in Saccharomyces cerevisiae 309 (9); sec-9 is an essential gene in S. cerevisiae, P. anserina and N. crassa. The plp-1 locus 310 encodes a protein with an N-terminal patatin-like phospholipase domain, a central NB-ARC 311 domain and C-terminal tetratricopeptide repeats. Incompatible genetic interactions between 312 sec-9 and *plp-1* from different haplogroups are necessary and sufficient to induce GRD. In N. 313 crassa, gene genealogies revealed four long-diverged haplogroups for sec-9 and plp-1, which 314 show no recombination and are in the top 0.1% for the number of polymorphic sites and 315 nucleotide diversity in population samples (58). As with other allorecognition loci, sec-316 9 and *plp-1* alleles show signatures of balancing selection and trans-species polymorphism 317 (58; 84). In *P. anserina* and *C. parasitica, sec-9/plp-1* also functions in hyphal 318 incompatibility (13; 58); evolutionary analyses indicates that convergent evolution is the 319 most strongly supported scenario for the common use of the *plp-1/sec-9* system in 320 allorecognition in these three fungal genera (58).
- 321 In N. crassa and P. anserina, the C-terminal region of SEC-9, which includes the 322 SNARE domains essential for protein function, is highly polymorphic between different 323 haplogroups (58). These polymorphic SNARE domains mediates allelic specificity via 324 interactions with incompatible PLP-1 proteins. In N. crassa, co-immunoprecipitation 325 experiments showed that incompatible SEC-9 and PLP-1 from different haplogroups induces 326 PLP-1 complex formation (58). Both the phospholipase catalytic activity of the patatin-like 327 domain and a functional NB-ARC domain are necessary for full GRD induction. 328 Allorecognition and cell death are dependent upon physical interaction between incompatible 329 SNARE domains of SEC-9 and tetratricopeptide repeats of PLP-1. The tripartite architecture 330 of PLP-1 is reminiscent of NOD (nucleotide-binding and oligomerization domain)-like 331 receptors in plants and animals (30). NLRs are intracellular multi-domain modular sensors in 332 plants and animals involved in innate immunity (66) and detect pathogen-associated 333 molecular cues or danger signals to induce downstream signaling, resulting in cell death.

These observations suggest that the NLR-like protein PLP-1 monitors the essential SNAREprotein SEC-9.

336

337 2. Allorecognition-induced death during hyphal fusion

In contrast to GRD, hyphal fusion incompatibilities have been assessed in a large number of fungal species (20; 51; 110) and is termed vegetative (or heterokaryon) incompatibility (HI). Despite limiting cooperation (*i.e.* resource sharing) between fungal colonies, HI prevents genome exploitation, the spread of deleterious mycoviruses and horizontal transfer of mitochondrial plasmids (25; 26; 125). Experimental evolution studies indicate that HI evolution and maintenance is probably driven by the need to counteract selection for freeloaders (3; 17).

345 HI-associated PCD is spatially restricted to heterokaryotic fusion cells in which 346 cytoplasmic mixing has occurred. In many species, HI results in a barrage line that separates 347 genetically averse strains (110). At the cellular level, HI initiates septal plugging of fusion 348 cells, isolating them from the rest of the colony (48; 65). Heterokaryotic cells undergo 349 extensive vacuolization, reactive oxygen species production, lipid droplet formation, cell wall 350 thickening and hyper-septation, culminating in cell lysis and release of cellular contents to 351 the extracellular medium (110). In fungal plant pathogens, vegetative compatibility groups 352 (VCGs) have been used as a proxy of genetic relatedness (77), as isolates within a common 353 VCG often share similar virulence and host-specificity functions (33; 70).

354 The molecular basis of HI has been investigated in three ascomycete species: N. crassa, 355 P. anserina and C. parasitica (15; 87; 110). The genes controlling HI in P. anserina and N. 356 crassa have been named het (heterokaryon), while in C. parasitica they are known as vic 357 (vegetative incompatibility). In N. crassa, the characterized het loci interactions are restricted 358 to the hyphal stage and do not cause GRD. The number of identified het loci in the three 359 species varies between twelve (N. crassa) and six (C. parasitica) (15; 48). In C. parasitica, 360 disruption of vic genes allowed the spread of virulence-attenuating mycoviruses between 361 formerly incompatible colonies (134).

The inability to form viable heterokaryons using auxotrophic markers correlates perfectly with induction of cell death upon fusion of incompatible hyphae (6; 41). This 'forced heterokaryon' methodology has been used to identify *het* genes in other fungal species, including *Aspergillus oryzae* (86) and *Fusarium* (71). The use of strains carrying genomic rearrangements enabled the identification and genetic mapping of *N. crassa het* loci 367 (87; 98; 99), while more recent approaches have taken advantage of population genomics368 (86; 135).

369 As in the other allorecognition systems, genes controlling HI are highly polymorphic 370 and multiallelic (95). The number of alleles in wild populations varies from two (e.g., het-s in 371 *P. anserina* (122)) to more than ten (e.g., het-c in *P. anserina* (4)). Highly polymorphic het 372 loci are frequently found in hypervariable genomic regions (135). Consistent with balancing 373 selection, alleles with different HI specificity are found in nearly equal frequency in wild 374 populations (4; 24; 56; 84; 132). Using a comparative population genomics approach, loci 375 with highly polymorphic alleles that displayed trans-species polymorphism and balancing 376 selection were used to identify candidate het genes in Neurospora populations (135).

Genetic interactions triggering HI involve two or more antagonistic alleles of the
same gene (allelic HI systems) or alleles belonging to different genes (non-allelic HI systems)
(20; 110). At present, three incompatibility systems are strictly allelic – the *het-S/het-s* system

in *P. anserina* (111) and the *het-e1/het-e2/het-e3* and *rcd-1-1/rcd-1-2* recognition systems in

N. crassa (18; 135). Most of the characterized non-allelic HI systems involve interactions

382 between alleles of closely linked genes; examples are the *het-c/pin-c*, *het-6/un-24* and *sec-*

383 9/plp-1 systems in N. crassa (56; 58; 68; 75) and vic-6/pix-6 non-allelic incompatibility in C.

384 *parasitica* (13). In *P. anserina het-c/het-e* or *het-c/het-d* non-allelic HI systems, the genes are

385 located on different chromosomes (31; 109).

386 In a number of filamentous ascomycete species, including *N. crassa*, *Sordaria*

387 *brevicollis, Ascobolus stercorarius, A. heterothallicus* and perhaps the black truffle, *Tuber*

388 melanosporum, the MAT locus functions as a het locus (47; 113). As with allelic differences

389 at *het* loci, somatic cell fusion between opposite mating type hyphae results in

390 compartmentation of the fusion cell and rapid cell death (41; 46). However, unlike most

allorecognition loci, the two *mat* haplotypes in filamentous ascomycete fungi are composed

392 of evolutionarily unrelated genes, termed "idiomorphs" (83). The mating type idiomorphs do

393 not show variability within populations and are highly conserved between different

394 filamentous ascomycete species (7). In *N. crassa*, mating type incompatibility only occurs in

the hyphal stage and is dependent on an unlinked locus, called "tolerant" or *tol* (115). TOL,

396 similar to predicted proteins from other *het* loci, contains a HET domain (see below).

397 Mutations in *tol* block mating type incompatibility, but do not affect sexual fertility (88). In

398 *C. parasitica*, the *vic-4-1/vic-4-2* system is also composed of idiomorphic genes, although

they do not play a role in mating (13).

400

401 *3. Molecular mechanisms of programmed cell death in allorecognition.*

402 Despite the shared evolutionary signatures of *het* genes, they show little conservation 403 between species (95; 124). Nevertheless, molecular characterization of various HI systems 404 shows that het genes encode proteins with shared domains and that belong to large protein 405 families (20; 51). A particular protein domain of unknown biochemical function, named 406 'HET', is encoded by more than half of HI genes (135). In silico analyses established a 407 potential evolutionary relation between the HET domain and the Toll/interleukin-1 408 receptor/resistance (TIR) domain that plays key roles in plant and metazoan innate immune 409 systems (30). TIR domains are involved in homotypic interactions in signaling complexes, 410 which trigger cell death by NAD⁺ depletion (64; 127). NAD⁺ cleavage by TIR domains has an ancient origin (32) and represents a tempting hypothesis for the function of HET domains. 411 412 The products of multiple het genes (het-e, het-d, het-r, PaPlp1) from P. anserina (31), 413 plp-1 from N. crassa (58), vic2 and vic4-2 from C. parasitica (13) belong to the family of 414 fungal NLR-like proteins (30). Remarkably, the HET and TIR domains are found similarly 415 situated in the domain architectures of fungal NLR-like proteins and plant/metazoan NLRs 416 (30). Thus, fungal NLR-like proteins may function similarly to NLR immune receptors in 417 plants and animals, suggesting that proteins of this architecture are major contributors to 418 innate immunity in all three kingdoms. 419 In *P. anserina*, the *het-S/het-s* system is unique in that it functions as a prion. The

420 HET-S protein is a pore-forming toxin, targeting the plasma membrane when co-expressed 421 with an alternate allelic variant termed HET-s (55; 114). HET-S and HET-s consist of two 422 domains: an N-terminal globular α -helical HeLo domain (55) and a C-terminal prion-forming 423 domain (PFD) (1). The inactivation of the HeLo domain allows the HET-s variant to 424 propagate as a prion [Het-s] (16; 80). The transconformation of the PFD of the cytotoxic 425 HET-S variant by [Het-s] aggregates activates the HeLo domain of HET-S, leading to the 426 release of an N-terminal α-helix that targets the plasma membrane to induce rapid cell death 427 (114). Evolutionary analyses linked the HeLo domain to domains controlling cell death in 428 plants and animals, notably the 4HB domain (4-helix bundle) of the MLKL (Mixed Lineage 429 Kinase Domain-Like) protein, which controls necroptosis (19; 62).

430

431 VI. Why do fungi have so many allorecognition mechanisms?

432 The relationship between protein architectures of GRD and HI determinants and 433 proteins involved in innate immunity systems in plants and metazoans have led to the 434 hypothesis that fungal allorecognition genes may be recruited from molecular circuits 435 mediating broader biotic interactions in fungi, akin to a fungal immune system (123). 436 Importantly, higher genetic relatedness appears to correlate with cooperative behaviors to 437 avoid parasitism and to prevent the exploitation of public goods (for example, access to 438 nutrients in a fungal colony) by cheaters (5; 29; 74). Hence, fusion between conspecific but 439 genetically distant individuals and consequent somatic chimerization poses a dilemma. On 440 the one hand, fusion could prove beneficial due to an enhanced ability to withstand 441 environmental variations and eventual increase in organismal size, in turn favoring 442 reproductive output. Moreover, heterokaryon formation in fungi can result in 443 functional diploidy and mitotic recombination during the parasexual cycle (100). On the other 444 hand, fusion can result in the transmission of infectious elements and in the incorporation of 445 deleterious mitochondrial or nuclear genotypes that negatively impact fitness (2; 3; 26; 34; 446 133). Fungi, in particular, appear to favor the latter option, having evolved a very large 447 number of allorecognition systems to limit genome exploitation. However, a recent study 448 demonstrated that fusion in *N. crassa* is mutually beneficial compared to fusion blockage by 449 allorecognition (3), suggesting a dynamic relationship between beneficial aspects of cell 450 fusion versus the risks associated with it. Importantly, mechanisms regulating somatic 451 allorecognition are suppressed during sexual reproduction. Indeed, wild isolates with allelic 452 specificity differences at *doc, cwr, sec-9/plp-1, rcd-1* and *het* loci are able to productively 453 mate and produce meiotic progeny, suggesting that these allorecognition systems have 454 evolved to specifically avoid somatic cell fusion, but allowing at the same time 455 diversification to occur through outbreeding, potentially improving adaption to new 456 ecological niches, as shown to occur during sexual reproduction (49).

457

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- 466



467 468 Figure 1. Allorecognition in distinct domains of life. (A) Allorecognition upon cell-cell 469 contact in N. crassa. Germlings expressing cytoplasmic GFP (green) were paired with 470 germlings stained with FM4-64 (magenta). Note fusion and cytoplasmic mixing on the left 471 (compatible interaction) versus a cell fusion block upon cell-cell contact on the right 472 (incompatible interaction) mediated by genetic differences at *cwr-1/cwr-2* (52). (B) 473 Allorecognition during starvation-induced development in D. discoideum. Strain pairings in 474 which 5% of the cells are labeled with GFP (green) and 5% with RFP (red). Panels represent 475 time points after mixing (300 min and 480 min, as indicated). Red and green cells are 476 intermixed regardless of their allotypes (compatible and incompatible genetic backgrounds) 477 at 300 min due to cAMP signaling for aggregation; however, at 480 min, the red and green 478 cells segregate from each other due to expression of allorecognition determinants TgrB1 and 479 TgrC1 (73). Credits: Shigenori Hirose and Gad Shaulsky, Baylor College of Medicine. (C) 480 Allorecognition during polyp fusion in *H. symbiolongicarpus* mediated by genetic differences 481 at Alr1 and Alr2 (69; 89). Left and right panels show compatible and incompatible (rejection 482 reaction) at early stages of fusion, respectively. Rejection causes extensive damage to 483 adjacent colonies and may lead to the formation of hyperplastic stolons. Credits: Matthew L. 484 Nicotra, University of Pittsburgh. (D) Allorecognition mediated by genetic differences in 485 fuhc(sec) and fuhc(tm) (91) during colonial chimerization in B. schlosseri. Images show 486 extracorporeal vasculature of two colonies (top and bottom, respectively), showing 487 interaction between via the ampullae (ends of the vasculature). On the left, a compatible 488 pairing results in fusion. In incompatible pairings, a rejection response and fusion blockage 489 occurs, as shown by the dark regions where the ampullae touch (right panel). Credits: 490 Anthony De Tomaso, University of California, Santa Barbara. (E) Allorecognition mediated 491 by genetic differences at *ids* genes during swarming behavior of *P. mirabilis* (121). Petri dish 492 shows boundaries and merging colonies of *P. mirabilis*; arrows indicate boundaries between 493 incompatible swarm colonies, whereas an asterisk marks the merging of two compatible 494 populations. Strains A, B and C are independent wild type strains, while D lacks the *ids* self-495 recognition genes (44). Figure adapted from (78) with permission. Right panels show 496 compatible (top) and incompatible (bottom) co-swarmed populations of P. mirabilis. The two 497 populations have been labeled with GFP (green) or RFP (magenta), with unlabeled parental 498 strain in the mixture. Images show the leading edge of the second swarm ring; note that fewer 499 cells of the non-self strain (green) are present after diverting into a swarm-incompatible state 500 (bottom). Credits: Kristin Little, Murray Tipping and Karine A. Gibbs, Harvard University. 501 Arrows indicate the zone of interaction between incompatible pairs.

502



503

Figure 2. The syncytial lifestyle of filamentous fungi. Two genetically compatible strains of
 N. crassa, whose nuclei have been labeled with either histone H1-GFP (green) or histone H1-

506 DsRed (magenta) were paired and allowed to fuse at different developmental stages. Germlings 507 and hyphae that have fused to form a single colony sharing a mixture of nuclei are shown on

- 508 A and B, respectively.
- 509



511 Figure 3. Somatic cell fusion and allorecognition checkpoints in N. crassa. (A) During 512 chemotropic growth that precedes cell fusion, MAK-2 and SOFT are recruited to the plasma 513 membrane of CATs (39); both cells send and receive signals generating an appropriate cellular 514 response that culminates in cell fusion. (B) Three cellular allorecognition checkpoints (pre-515 contact, post-contact and post-fusion) identified during germling fusion controlled by the doc-516 1/doc-2, cwr-1/cwr-2, rcd-1 and plp-1/sec-9 loci, as indicated (52; 58; 59). (C) Allorecognition 517 due to heterokaryon incompatibility occurs during hyphal fusion and is controlled by het genes 518 (20; 110). Refer to the main text for details on the different phenotypes depicted in this scheme. 519

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