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## Patterns of Cortical and Subcortical Amyloid Burden across Stages of Preclinical Alzheimer's Disease

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### Abstract

**Objectives**—We examined florbetapir positron emission tomography (PET) amyloid scans across stages of preclinical Alzheimer's disease (AD) in cortical, allocortical, and subcortical regions. Stages were characterized using empirically defined methods.

**Methods**—A total of 312 cognitively normal Alzheimer's Disease Neuroimaging Initiative participants completed a neuropsychological assessment and florbetapir PET scan. Participants were classified into stages of preclinical AD using (1) a novel approach based on the number of abnormal biomarkers/cognitive markers each individual possessed, and (2) National Institute on Aging and the Alzheimer's Association (NIA-AA) criteria. Preclinical AD groups were compared to one another and to a mild cognitive impairment (MCI) sample on florbetapir standardized uptake value ratios (SUVRs) in cortical and allocortical/subcortical regions of interest (ROIs).

**Results**—Amyloid deposition increased across stages of preclinical AD in all cortical ROIs, with SUVRs in the later stages reaching levels seen in MCI. Several subcortical areas showed a pattern

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\*Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: [http://adni.loni.usc.edu/wp-content/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf)

### Supplementary Material

For supplementary material/s referred to in this article, please visit <https://doi.org/10.1017/S1355617716000928>

of results similar to the cortical regions; however, SUVRs in the hippocampus, pallidum, and thalamus largely did not differ across stages of preclinical AD.

**Conclusions**—Substantial amyloid accumulation in cortical areas has already occurred before one meets criteria for a clinical diagnosis. Potential explanations for the unexpected pattern of results in some allocortical/subcortical ROIs include lack of correspondence between (1) cerebrospinal fluid and florbetapir PET measures of amyloid, or between (2) subcortical florbetapir PET SUVRs and underlying neuropathology. Findings support the utility of our novel method for staging preclinical AD. By combining imaging biomarkers with detailed cognitive assessment to better characterize preclinical AD, we can advance our understanding of who is at risk for future progression.

### Keywords

Dementia; Beta-amyloid peptides; Florbetapir; Positron emission tomography; Neuropsychology; Biomarkers; Alzheimer disease

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## INTRODUCTION

The ability to accurately identify individuals at risk for progression to Alzheimer's disease (AD) is dependent on detecting and characterizing its earliest manifestations. Efforts to characterize early stages of AD have focused on identifying biomarkers that become abnormal well before an individual demonstrates clinical symptoms. Beta-amyloid (A $\beta$ ) peptides are the primary component of amyloid plaques, a hallmark feature of AD. These peptides are thought to accumulate very early in the pathogenesis of AD (Jansen et al., 2015) and to drive other downstream effects, including a progressive loss of neurons and cognitive symptoms (Jack et al., 2010; Jack, Knopman, et al., 2013). More recently, it has been proposed that pathways promoting A $\beta$  and neurodegeneration may arise independently and then converge, leading to further acceleration of neurodegeneration and cognitive impairment (Sperling, Mormino, & Johnson, 2014).

Florbetapir is an amyloid imaging tracer that has been shown through *in vivo* and *ex vivo* studies to measure cortical fibrillar A $\beta$  (Clark et al., 2012). Within the Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset, we recently examined cortical amyloid burden as measured by florbetapir positron emission tomography (PET) amyloid scans in empirically derived subtypes of mild cognitive impairment (MCI) (Bangen et al., 2016). We found that 65% of MCI participants with impaired neuropsychological performance and 34% of normal controls demonstrated an abnormal scan that was positive for amyloid (Bangen et al., 2016). These findings are consistent with the literature showing a high prevalence of amyloid deposition in cognitively normal samples (Balasubramanian, Kawas, Peltz, Brookmeyer, & Corrada, 2012; Bennett, Schneider, Bienias, Evans, & Wilson, 2005; Davis, Schmitt, Wekstein, & Markesbery, 1999; Price et al., 2009; Rodrigue et al., 2012; Rowe et al., 2007), suggesting some level of amyloid burden may be nonspecific and not necessarily a sign of early AD. On the other hand, it is possible that at least some of these individuals with high amyloid levels are already in a "preclinical" stage of AD.

“Preclinical” AD is a phase in which individuals are classified as “cognitively normal” yet they demonstrate abnormalities in biomarkers or subtle cognitive markers associated with AD. The National Institute on Aging and the Alzheimer’s Association (NIA-AA) have proposed a method of staging preclinical AD based on the presence or absence of these particular markers. Stage 1 involves amyloidosis; Stage 2 involves amyloidosis plus neurodegeneration; Stage 3 involves amyloidosis, neurodegeneration, and evidence of “subtle cognitive decline” (from one’s own baseline) defined as “very subtle cognitive impairment” on sensitive cognitive measures (Sperling et al., 2011). Studies that have attempted to apply these NIA-AA criteria have also included two additional classifications: “suspected non-AD pathophysiology” (SNAP; individuals with normal amyloid levels but evidence of neurodegeneration) and “Unclassified” (individuals with subtle cognitive decline but no neurodegeneration) (Jack et al., 2012).

A limitation to this staging system, which is based on the amyloid cascade hypothesis (Jack et al., 2010; Jack, Knopman, et al. 2013), is that many individuals do not follow this proposed sequence of events, as there is growing evidence that neurodegeneration (Edmonds, Delano-Wood, Galasko et al., 2015; Jack, Wiste, et al., 2013) and/or cognitive changes (Edmonds, Delano-Wood, Galasko, et al., 2015; Jedynak et al., 2012; Landau et al., 2010) may precede amyloidosis as the first sign of prodromal AD.

We proposed an alternative classification method for staging preclinical AD (Edmonds, Delano-Wood, Galasko, et al., 2015). Our staging method is based simply on a tally of the number of abnormal biomarkers (i.e., amyloidosis, neurodegeneration) or cognitive markers (i.e., subtle cognitive/functional decline) associated with preclinical AD that each individual possesses *without regard for their temporal order of occurrence*. This method does not adhere to the amyloid cascade hypothesis (Jack et al., 2010; Jack, Knopman, et al., 2013), which requires a specific temporal order of biomarker/cognitive marker abnormalities (although there is recent acknowledgement that amyloid and neurodegeneration do not have to occur in a fixed sequence; Jack, Knopman, et al., 2013; Sperling, Mormino, & Johnson, 2014). Rather, our classification method is based on the work of Braak, Zetterberg, Del Tredici, and Blennow (2013) who have proposed an alternative model to the amyloid cascade hypothesis. This model posits that AD pathologic markers (A $\beta$  deposition, tau pathology, neurodegeneration) co-occur nearly simultaneously, and the perceived differences in timing are thought to be due to varying sensitivity of the biomarkers or of our ability to detect change, rather than a true difference in the sequence of these neurobiological changes. Cognition has traditionally been viewed as the last marker to be affected in preclinical AD due to the routine use of insensitive measures (i.e., rating scales or screening measures). However, sensitive episodic memory measures (i.e., verbal list learning and memory) may be the earliest markers to become abnormal in the progression to AD (Jedynak et al., 2012). We found that our approach to classification was as predictive of progression to MCI or AD as the method proposed by the NIA-AA criteria (Edmonds, Delano-Wood, Galasko, et al., 2015).

The current study aimed to build upon our previous findings in MCI (Bangen et al., 2016) by examining A $\beta$  burden using florbetapir PET amyloid scans in individuals who are earlier in the disease process—those with preclinical AD. We hypothesized that we would observe an

increase in cortical A $\beta$  burden across stages of preclinical AD, with relatively low levels in early stages of preclinical AD and levels approaching MCI participants by the later stages. An exploratory aim was to examine amyloid burden in several allocortical and subcortical regions, including the accumbens, amygdala, caudate, hippocampus, pallidum, putamen, and thalamus. We expected that subcortical amyloid would largely follow the same pattern as cortical amyloid, with levels increasing over stages of preclinical AD.

## METHODS

Data used in the preparation of this article were obtained from the ADNI database ([adni.loni.usc.edu](http://adni.loni.usc.edu)). The ADNI was launched in 2003 as a public–private partnership. This study was approved by an ethical standards committee on human experimentation at each institution. Written informed consent was obtained from all participants or authorized representatives participating in the study. For additional information, see [www.adni-info.org](http://www.adni-info.org).

### Participants

This cross-sectional study included 312 “cognitively normal” participants and 145 MCI participants enrolled in ADNI (mean age = 72.3 years;  $SD = 7.2$ ). The sample of 312 cognitively normal individuals is a subset of 570 ADNI participants who we had previously classified by stage of pre-clinical AD (Edmonds, Delano-Wood, Galasko, et al., 2015). For the current study, we included those participants who had a florbetapir PET scan with processed data available for download as of December 1, 2015. See Figure 1 for a flowchart showing which participants from the overall ADNI database were included in the current study.

The classification of “cognitively normal” *versus* MCI for this study was determined by an actuarial neuropsychological diagnostic method (Bondi et al., 2014; Jak et al., 2009) applied to participants’ baseline neuropsychological test data. We have previously demonstrated that conventional diagnostic methods for MCI (which are based on subjective complaints, rating scales, cognitive screening measures, and a single memory test; Petersen, 2004; Petersen et al., 2010) are highly susceptible to false-positive diagnostic errors, with over one-third of MCI samples being better classified as “cognitively normal” due to normal cognitive functioning, normal AD biomarkers, and low progression rates to AD (Bangen et al., 2016; Bondi et al., 2014; Clark et al., 2013; Edmonds, Delano-Wood, Cark, et al., 2015; Edmonds et al., in press). MCI diagnosed *via* our actuarial neuropsychological method, which assigns diagnoses of MCI based on multiple objective neuropsychological tests assessing a range of cognitive domains, has been shown to produce greater diagnostic stability (Jak et al., 2009) and stronger relationships between cognition, biomarkers, and rates of progression to AD (Bondi et al., 2014; Clark et al., 2013; Edmonds et al., 2016).

## MATERIALS AND PROCEDURE

### Preclinical AD Staging Based on Number of Abnormal Biomarkers

Participants underwent neuropsychological testing and lumbar puncture for cerebrospinal fluid (CSF) collection at the same visit during their baseline assessment. We classified all cognitively normal participants as “normal” or “abnormal” for (1) cerebral amyloidosis, (2)

neurodegeneration, and (3) subtle cognitive/functional decline. Abnormal cerebral amyloid accumulation was defined as CSF A $\beta$ <sub>1-42</sub> level of < 192 pg/mL, and the presence of neurodegeneration was defined as CSF tau level of >93 pg/mL or CSF p-tau<sub>181p</sub> level of >23 pg/mL (Shaw et al., 2009).

We operationalized subtle cognitive decline based on two measures of language (Animal Fluency; Boston Naming Test), two measures of attention/executive function (Trail Making Test, Parts A & B), and two scores from a memory measure (Rey Auditory Verbal Learning Test [AVLT] 30-min delayed free recall and recognition). Each score was converted to an age-corrected standard score (Ivnik et al., 1992; Shirk et al., 2011; Weintraub et al., 2009). *Subtle cognitive or functional decline* was defined as having (1) scores > 1 *SD* below the age-corrected normative mean (i.e., “impaired”) on *two* of the six neuropsychological measures in *different* cognitive domains (patients with two impaired scores within the *same* cognitive domain were considered to have MCI and excluded from the study; see Edmonds, Delano-Wood, Galasko, et al., 2015), or (2) a Functional Activities Questionnaire (FAQ) score of 2, indicative of some decline in daily activities (patients with an FAQ score of 3 were considered to have MCI and excluded from the study). The number of abnormal biomarkers or cognitive markers that each individual possessed was tallied to determine their stage of preclinical AD. For comparison purposes, we also classified participants based on the NIA-AA criteria (Sperling et al., 2011).

### Florbetapir PET Data Acquisition and Processing

All participants underwent florbetapir PET imaging within 2 weeks of their baseline neuropsychological assessment. A detailed description of ADNI's florbetapir PET imaging data acquisition and processing methods can be found online (<http://adni.loni.usc.edu/methods/pet-analysis/pre-processing/>). Briefly, florbetapir images consisting of four or six frames were acquired post-injection of florbetapir F18. Each scan was reviewed for quality control before being co-registered, averaged, reoriented into a standard 160 × 160 × 96 voxel image grid with 1.5-mm cubic voxels, and smoothed to a uniform isotropic resolution of 8 mm full width at half maximum. Structural MR images were skull-stripped, segmented, parcellated using Freesurfer (version 5.3.0; [surfer.nmr.mgh.harvard.edu](http://surfer.nmr.mgh.harvard.edu)) and then co-registered to each participant's first florbetapir image. Freesurfer was used to delineate cortical and subcortical regions.

The ADNI database provides the mean florbetapir uptake within several cortical and subcortical regions. The four cortical regions of interest (ROI) were: (1) frontal, (2) anterior/posterior cingulate, (3) lateral parietal, and (4) lateral temporal cortex. ADNI extracts florbetapir means from gray matter in each subregion within these four large ROIs (Jagust et al., 2009; Mormino et al., 2009). For the current study, standardized uptake value ratios (SUVRs) were calculated using the procedure recommended by ADNI: dividing the florbetapir mean for each of the cortical ROIs by the mean florbetapir uptake value for the reference region (i.e., whole cerebellum). ADNI provides a global cortical summary SUVR, which is calculated by creating a conventional (non-weighted) average across the four main cortical ROIs and dividing by the mean florbetapir uptake value of the whole cerebellum. Increased retention of florbetapir is thought to reflect increased cortical amyloid load.

The ADNI database also includes florbetapir uptake values for allocortical and subcortical ROIs. The following seven regions were examined: (1) acumbens, (2) amygdala, (3) caudate, (4) hippocampus, (5) pallidum, (6) putamen, and (7) thalamus. We created SUVRs by averaging the left and right values for each ROI and dividing by the mean florbetapir uptake value for the reference region (i.e., whole cerebellum).

### Statistical Analyses

Differences between stages of preclinical AD (based on number of abnormal biomarkers) in demographics, apolipoprotein E (APOE) genotype, and baseline neuropsychological performance were examined using analysis of variance (ANOVA) and chi-square analyses. To examine our hypotheses related to cortical and allocortical/subcortical A $\beta$  burden across preclinical AD stages, group differences in regional florbetapir SUVRs were examined using multivariate analysis of covariance (MANCOVA). Bonferroni-corrected *post hoc* comparisons were conducted for significant omnibus tests (four preclinical AD groups based on number of abnormal biomarkers; six comparisons;  $p = .05/6 = .008$ ). We also used MANCOVA to compare preclinical AD groups to the 145 MCI participants.

All cognitively normal participants were also classified based on the NIA-AA criteria (Sperling et al., 2011). Group differences in regional florbetapir SUVRs were examined using MANCOVA with Bonferroni-corrected *post hoc* comparisons (six NIA-AA stages; 15 comparisons;  $p = .05/15 = .003$ ). Lastly, to ensure that our results were not simply due to our method of classifying “cognitively normal,” we examined florbetapir SUVRs using only those individuals who were originally classified as cognitively normal by ADNI based on conventional diagnostic criteria (Petersen et al., 2010); see Supplemental Materials for further description of this subset ( $n = 132$ ).

## RESULTS

### Clinical Characteristics of Preclinical AD Stages

For the 312 cognitively normal participants, 46.2% of the sample ( $n = 144$ ) was positive for amyloidosis, 68.6% ( $n = 214$ ) for neurodegeneration, and 17.3% ( $n = 54$ ) for subtle cognitive/functional decline. There was no difference in age, education, gender, or APOE status between participants who demonstrated subtle cognitive decline ( $n = 30$ ) versus subtle functional decline ( $n = 24$ ;  $p$ 's  $>.05$ ).

The number of abnormal biomarkers or cognitive markers that each individual possessed was tallied to determine their stage of preclinical AD. Using this classification strategy, 55 participants (17.6%) had no abnormal biomarkers or cognitive markers (“0 Biomarkers”); 127 (40.7%) had one abnormal marker (“1 Biomarker”); 105 (33.7%) had two abnormal markers (“2 Biomarkers”); and 25 (8.0%) had abnormalities on all three markers (“3 Biomarkers”; see Table 1). Of those with one abnormal biomarker, 23 had amyloidosis, 91 had neurodegeneration, and 13 had subtle cognitive/functional decline. Of those with two abnormal biomarkers, 89 had amyloidosis and neurodegeneration, 7 had amyloidosis and subtle/functional cognitive decline, and 9 had neurodegeneration and subtle cognitive/functional decline.

Demographic and neuropsychological characteristics for each stage of preclinical AD based on number of abnormal biomarkers are presented in Table 1. Age, education, and gender did not differ significantly between groups. The prevalence of APOE-ε4 carriers increased across preclinical AD stages, with the 0 Biomarkers and 1 Biomarker groups differing significantly from the 2 Biomarkers and 3 Biomarkers groups ( $p < .001$ ;  $\phi_c = .36$ ). All analyses comparing preclinical AD stages controlled for APOE status.

Comparison of the 312 cognitively normal and the 145 MCI participants revealed no significant age difference ( $p > .05$ ). However, the MCI group had less education (mean = 15.7 years;  $SD = 2.8$ ;  $p = .001$ ), more males (60.0%;  $p = .03$ ), and a greater prevalence of APOE-ε4 carriers (62.7%;  $p < .001$ ). Analyses comparing the preclinical AD stages to MCI controlled for education, gender, and APOE status.

### Cortical Amyloid in Preclinical AD Stages

The mean florbetapir SUVRs for each cortical ROI, as well as the mean global cortical florbetapir SUVRs, are shown as a function of preclinical AD stage (based on number of abnormal biomarkers) in Table 2 and Figure 2. There were significant group differences for global cortical SUVR and for SUVRs in all cortical ROIs ( $ps < .001$ ). *Post hoc* comparisons showed the same pattern of results across all cortical ROIs: the 0 Biomarkers and 1 Biomarker groups did not differ from each other ( $p > .05$ ), but both had significantly lower SUVRs than the 2 Biomarkers and 3 Biomarkers groups ( $p < .001$ ). The 2 Biomarkers and 3 Biomarkers groups did not differ from each other ( $p > .05$ ). Comparison of the preclinical AD stages (based on number of abnormal biomarkers) to MCI revealed that the 2 Biomarkers and 3 Biomarkers groups had mean florbetapir SUVRs that were not significantly different from MCI for all cortical ROIs ( $p > .05$ ); see Figure 2.

### Allocortical and Subcortical Amyloid in Preclinical AD Stages

Mean florbetapir SUVRs for the seven allocortical and subcortical ROIs are shown as a function of preclinical AD stage (based on number of abnormal biomarkers) in Table 2 and Figure 3. There were significant group differences in the accumbens, amygdala, caudate, and putamen ( $p < .001$ ). *Post hoc* tests showed a pattern of results that was similar to the cortical regions for each of these four allocortical/subcortical areas: the 0 Biomarkers and 1 Biomarker groups did not differ ( $p > .05$ ), and the 2 Biomarkers and 3 Biomarkers groups did not differ ( $p > .05$ ). However, the 0 Biomarkers and 1 Biomarker groups had significantly lower SUVRs than the 2 Biomarkers group for all four regions ( $ps < .001$ ) and lower SUVRs than the 3 Biomarkers group for the accumbens and putamen ( $ps < .001$ ).

A different pattern emerged for the other three allocortical and subcortical regions. There were no differences among the groups in SUVRs in the hippocampus (omnibus  $p = .45$ ) or the thalamus (omnibus  $p = .29$ ). While the omnibus test for the pallidum was significant at  $p = .01$ , Bonferroni-corrected *post hoc* tests showed the only significant group difference was between the 0 Biomarkers and 2 Biomarkers group.

Comparison of the preclinical AD stages to MCI (see Figure 3) revealed that the 2 Biomarkers and 3 Biomarkers groups had mean florbetapir SUVRs that did not differ from the MCI group for the amygdala, caudate, and pallidum ( $ps = .05$ ). The 3 Biomarkers group



also did not differ from MCI for the accumbens and putamen ( $p = .04$ ). SUVRs in the hippocampus and thalamus did not differ between MCI and any of the preclinical AD stages (based on number of biomarkers) (omnibus  $p > .05$ ).

### Comparison to Preclinical AD Stages Based on NIA-AA Criteria

The NIA-AA criteria for preclinical AD (Sperling et al., 2011) was applied to stage all 312 cognitively normal participants. Comparison of the number of participants classified at each stage of preclinical based on the two staging systems is shown in Table 3. Mean florbetapir SUVRs for cortical and allocortical/subcortical regions are shown as a function of NIA-AA preclinical AD stage in Figure 4. MANCOVA with APOE status included as a covariate (as this variable differed significantly between groups based on NIA-AA criteria) showed that the SNAP and Unclassified groups did not differ from one another in global cortical SUVR or SUVRs in any cortical ROIs ( $p > .05$ ), nor did they statistically differ from Stage 0 ( $p > .05$ ) or Stage 1 ( $p > .01$ ). Similarly, for the subcortical ROIs, the SNAP and Unclassified groups did not differ significantly from each other ( $p > .02$ ), or from Stage 0 ( $p > .03$ ) or Stage 1 ( $p > .04$ ). The SNAP and Unclassified groups had significantly lower SUVRs than Stages 2 and 3 for all cortical ROIs ( $p < .001$ ); lower SUVRs than Stage 2 for the accumbens, amygdala, caudate, and putamen ( $p < .002$ ); and lower SUVRs than Stage 3 for the accumbens ( $p < .001$ ).

Comparison of the NIA-AA stages to MCI participants revealed that Stages 2 and 3 had mean florbetapir SUVRs that were not significantly different from MCI for all cortical ROIs ( $p > .05$ ); see Figure 4. Stages 2 and 3 also did not differ from the MCI group for allocortical/subcortical ROIs ( $p = .04$ ). Stage 1 and the Unclassified group did not differ from MCI for the pallidum ( $p = .03$ ). There were no group differences for the hippocampus (omnibus  $p > .05$ ) or thalamus ( $p > .01$ ).

### Comparison to Conventional Diagnostic Methods

We examined florbetapir SUVRs in only those individuals classified as “cognitively normal” by ADNI’s diagnostic criteria ( $n = 132$ ) (Petersen et al., 2010; see Supplemental Material). The pattern of results for amyloid deposition in the cortical and allocortical/subcortical ROIs across stages of preclinical AD in this subsample was remarkably similar to the results found in the full sample of 312 participants who were classified as “cognitively normal” based on actuarial neuropsychological criteria. This was the case both when stages of preclinical AD were based on the number of abnormal biomarkers (see Supplementary Figure 1) or the NIA-AA criteria (see Supplementary Figure 2).

## DISCUSSION

We examined florbetapir PET amyloid scans in preclinical AD to characterize the prevalence and pattern of cerebral amyloid burden. Preclinical AD stages were based on empirically defined methods (Edmonds, Delano-Wood, Galasko, et al., 2015). In cortical ROIs, amyloid deposition increased across stages of preclinical AD, consistent with our hypothesis. This is not surprising, given that the preclinical AD stages themselves were based on three markers, one of which was participants’ CSF  $A\beta_{1-42}$  level. The correspondence between florbetapir

PET imaging and CSF A $\beta$  has been shown to be quite high in the ADNI dataset, with 86% agreement between the two measurements (Landau, Lu, et al., 2013). Thus, it follows that cortical SUVRs would be higher in the 2 and 3 Biomarkers groups, where nearly everyone (97% of the 2 and 3 Biomarkers groups combined) had abnormal CSF A $\beta_{1-42}$ , relative to the 0 and 1 Biomarker groups where the rate of CSF A $\beta_{1-42}$  abnormality was much lower (14% of the 0 and 1 Biomarker groups combined).

Levels of florbetapir PET A $\beta$  in the later stages of pre-clinical AD were not significantly different from MCI participants, although it should be noted that this does not necessarily imply equivalence and there was a trend for the 2 and 3 Biomarkers groups to have somewhat lower amyloid levels than the MCI group (see Figures 2 and 3). These findings are consistent with the notion that cerebral amyloid pathology may often, although not invariably, occur early in the pathogenesis of AD, perhaps as many as 20–30 years before expression of clinical AD (Jansen et al., 2015), and that substantial accumulation has already occurred before one meets criteria for a clinical diagnosis for even mild forms of cognitive impairment.

The most intriguing finding from this study was the pattern of results seen in the allocortical and subcortical gray-matter regions. Several of these regions followed the same general pattern as the cortical areas, including the accumbens, amygdala, caudate, and putamen. However, the SUVRs observed in the hippocampus, pallidum, and thalamus largely did not differ across stages of preclinical AD. This finding indicates that neither our staging system nor the NIA-AA staging system adequately captures the progression of amyloid in these allocortical and subcortical regions. One possible explanation for the discrepancy is that CSF A $\beta_{1-42}$  may not correspond well to florbetapir PET SUVRs in these particular subcortical structures. Previous research has shown that CSF and PET markers of amyloid are indeed associated with one another, but in a nonlinear way. Specifically, the relationship between CSF A $\beta_{1-42}$  and florbetapir PET in the ADNI sample was found to be strong only when values were in the midrange on both measures; they did not closely correlate in the low and high range of values (Toledo et al., 2015). This suggests that CSF and florbetapir PET biomarkers are measuring different aspects of AD amyloid pathology (Toledo et al., 2015), which may account for our unexpected findings in some of the allocortical/subcortical regions.

An SUVR of 1.11 has been suggested as a cutoff value for “amyloid positivity” in cortical regions (Joshi et al., 2012; Landau, Breault, et al., 2013). However, it is unclear what cutoff value would be most appropriate for determining “positivity” in subcortical regions. One consideration in establishing such a cutoff is that the cortical uptake measure samples from a larger number of voxels relative to the smaller subcortical ROIs, which may make subcortical SUVRs less reliable and more sensitive to variation. Thus, a single cutoff value for regions of different sizes may not be ideal, perhaps necessitating different normative values for each region. On the other hand, perhaps abnormality should be determined by a range of SUVRs values rather than a particular cutoff, given the drawbacks of dichotomizing a continuous predictor in biomarker research (Royston, Altman, & Sauerbrei, 2006).

Despite not having a clear cutoff for “positivity,” the pallidum, putamen, and thalamus all appear to have high SUVRs across preclinical AD stages. The accumbens and caudate are also quite high in the later stages. Older neuropathologic studies in AD patients have shown that the striatum is particularly vulnerable to amyloid deposition and diffuse plaques (Braak & Braak, 1990; Suenaga, Hirano, Llena, Yen, & Dickson, 1990), especially the caudate, rostral putamen, and accumbens (Brilliant, Elble, Ghobrial, & Struble, 1997). The hippocampus, on the other hand, is an area that shows a low level of amyloid deposition until late in the disease (Arriagada, Growdon, Hedley-Whyte, & Hyman, 1992; Giannakopoulos, Hof, Michel, Guimon, & Bouras, 1997; Price, Davis, Morris, & White, 1991), consistent with our finding that SUVR levels in the hippocampus did not increase across stages of preclinical AD.

The pattern of observed SUVRs raises questions regarding the timing and/or sequence of amyloid accumulation between cortical and some allocortical and subcortical regions. At face value, our results appear to suggest that subcortical amyloid deposition occurs early in the disease process, and that the buildup of amyloid may be more complete in subcortical areas relative to cortical areas, even by the earliest phases of preclinical AD. However, such a sequence of amyloid accumulation would contradict the cascade of events that has been described in the literature which is a downward progression of A $\beta$  from neocortex to subcortical regions (e.g., thalamus and striatum) (Braak & Del Tredici, 2015; Thal, Rüb, Orantes, & Braak, 2002).

Although studies have shown early subcortical A $\beta$  deposition in the basal ganglia and thalamus in autosomal dominant forms of AD (Klunk et al., 2007; Bateman et al., 2012; Cho et al., 2013), this has not been described in late-onset sporadic AD. Therefore, rather than being indicative of an alternate sequence of amyloid accumulation in late-onset sporadic AD, the current findings may point to a lack of correspondence between subcortical florbetapir PET SUVRs and the underlying neuropathology. Hatsuta et al. (2015) found that <sup>11</sup>C-Pittsburgh compound B (PiB) uptake in cortical regions was highly correlated with amyloid deposition and neuritic plaques at autopsy in patients with dementia; however, PiB uptake in subcortical grey matter (i.e., basal ganglia, thalamus, amygdala) did not show these associations. In a previous study, subcortical PiB uptake in the putamen and thalamus were found to be high regardless of whether a patient had amyloid aggregates at biopsy (Leinonen et al., 2008). The current findings dovetail nicely with this work and suggest that the discrepancy between subcortical SUVRs and histopathological measures of amyloid deposition/neuritic plaques could extend to a preclinical AD group, although clearly more work is needed to explore this hypothesis.

An alternative interpretation of our findings is that amyloid deposition in certain subcortical regions is non-specific and unrelated to risk for future development of AD. Previous studies have reported amyloid positivity in up to one-third of cognitively normal older adults (Chételat et al., 2013; Sperling et al., 2014); however, the clinical implications of these elevations in asymptomatic individuals remain uncertain (Leuzy, Zimmer, Heurling, Rosa-Neto, & Gauthier, 2014; Sperling et al., 2014). It is also possible that subcortical disease may be contributing to our findings of high SUVRs in some subcortical regions. Although participants with significant vascular burden (Hachinski Ischemic Score of > 4) were

excluded from the ADNI sample, previous research has shown the presence of vascular pathology in ADNI's cognitively normal participants (Nettiksimmons et al., 2013) and MCI participants (Toledo et al., 2013). Future studies examining vascular risk factors and vascular biomarkers in stages of preclinical AD are needed to address this possibility.

In sum, our analysis of the florbetapir PET imaging data in the ADNI cohort demonstrates unique patterns of amyloid burden in cortical and subcortical regions before a clinical diagnosis. Longitudinal research will be important to further understand how biomarkers of subcortical amyloid are related to the mechanistic pathways underlying AD, and whether the presence of amyloid in striatal or other subcortical regions may add predictive power in determining who is most likely to progress to AD. Beach and colleagues (2016) have recently suggested that amyloid imaging of the cerebral cortex and striatum *together* may increase accuracy in making a clinicopathological diagnosis of AD and in the pathology-based clinical staging of AD. Perhaps this type of clinical staging could be applied even earlier in the disease process if longitudinal findings ultimately show that “cognitively normal” individuals with both cortical and striatal amyloid burden have an increased risk of progressing to MCI or AD.

A limitation of our study was ADNI's use of Freesurfer to delineate the ROIs. Although scans underwent a quality control process by ADNI, previous research has shown that Freesurfer's segmentation accuracy is decreased in subcortical structures (e.g., thalamus; Eggert, Sommer, Jansen, Kircher, & Konrad, 2012). An additional limitation is that neuropsychological measures were corrected for age only, as normative data correcting for age, education, and sex were not available for all measures. A strength of our study was our ability to compare the two classification systems for preclinical AD. We demonstrated that the NIA-AA method essentially produced the same pattern of results as our novel staging method. The “SNAP” and “Unclassified” groups were largely comparable to Stages 0 and 1; therefore, separating these two groups based on their sequence of biomarker abnormalities neither improved nor informed the characterization of preclinical AD at baseline. Our previous work has also shown that these additional categories did not improve the prediction of who progressed to MCI/AD, since most participants who progressed did not follow the temporal order proposed by NIA-AA criteria (Edmonds, Delano-Wood, Galasko, et al., 2015). Similarly, other studies have shown that both “amyloid-first” and “neurodegeneration-first” (i.e., SNAP) biomarker profile pathways to preclinical AD exist (Jack, Wiste, et al., 2013), and that individuals with subtle cognitive decline but no neurodegeneration (i.e., Unclassified) progress to MCI/AD at a relatively high rate (Toledo et al., 2014). By combining sophisticated imaging biomarkers with detailed cognitive assessment to better characterize stages of preclinical AD, we can advance our understanding of which individuals are at risk for future progression, with the hope that eventually disease-modifying interventions can be provided early in the course of the disease.

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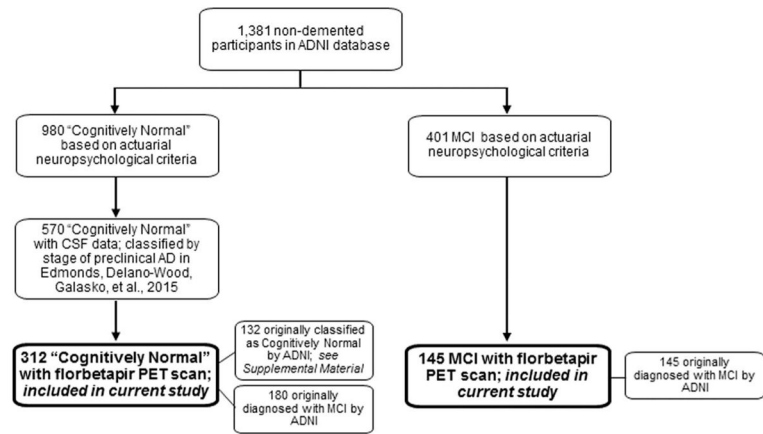
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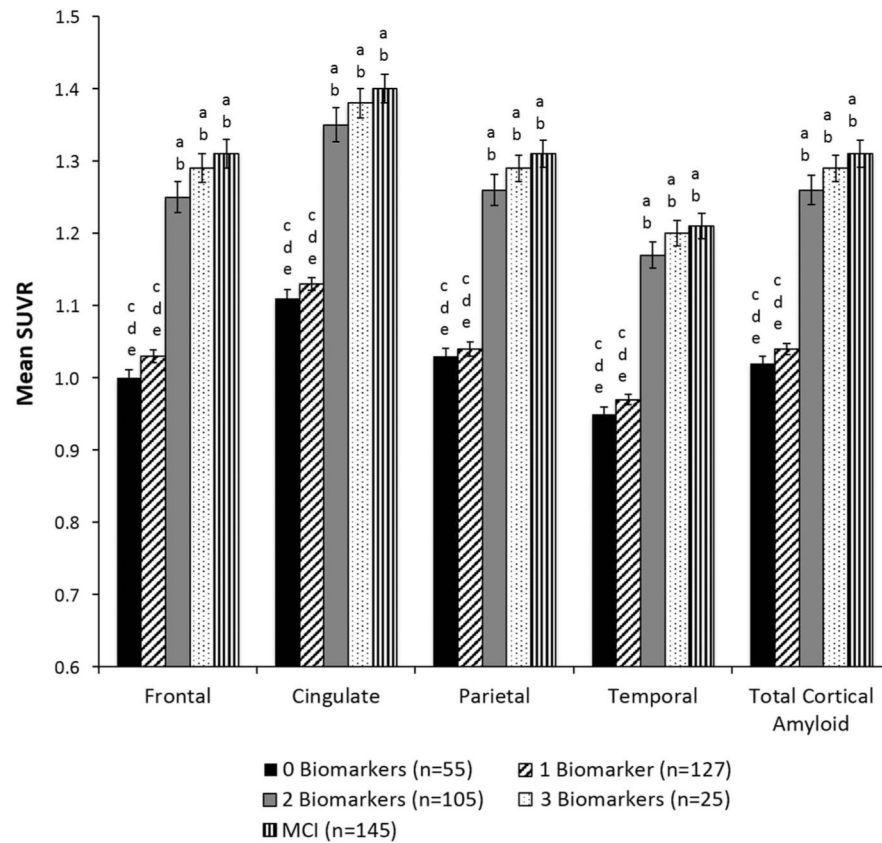
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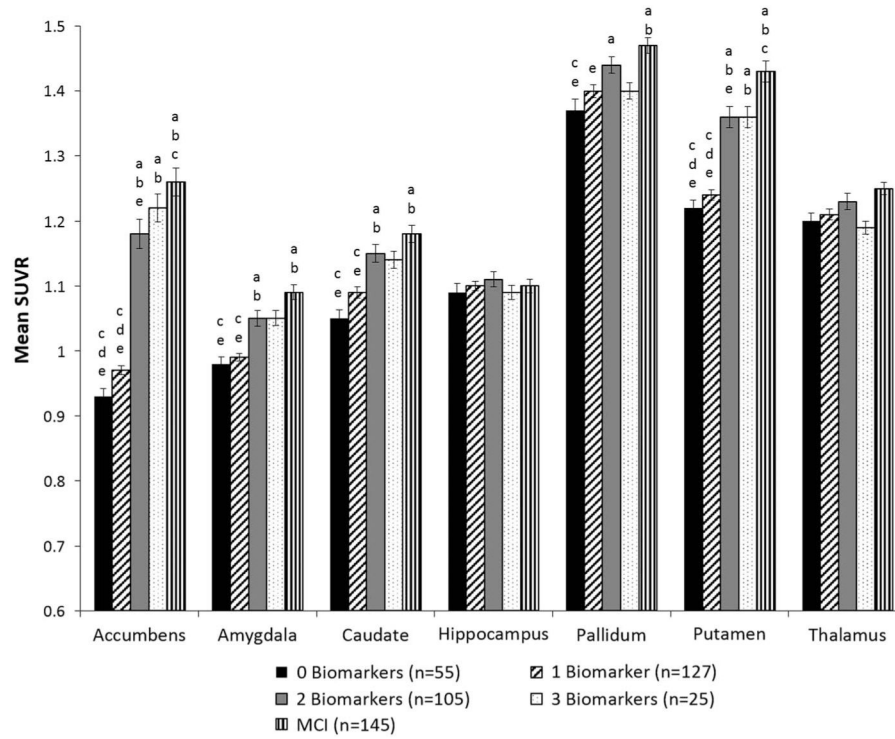




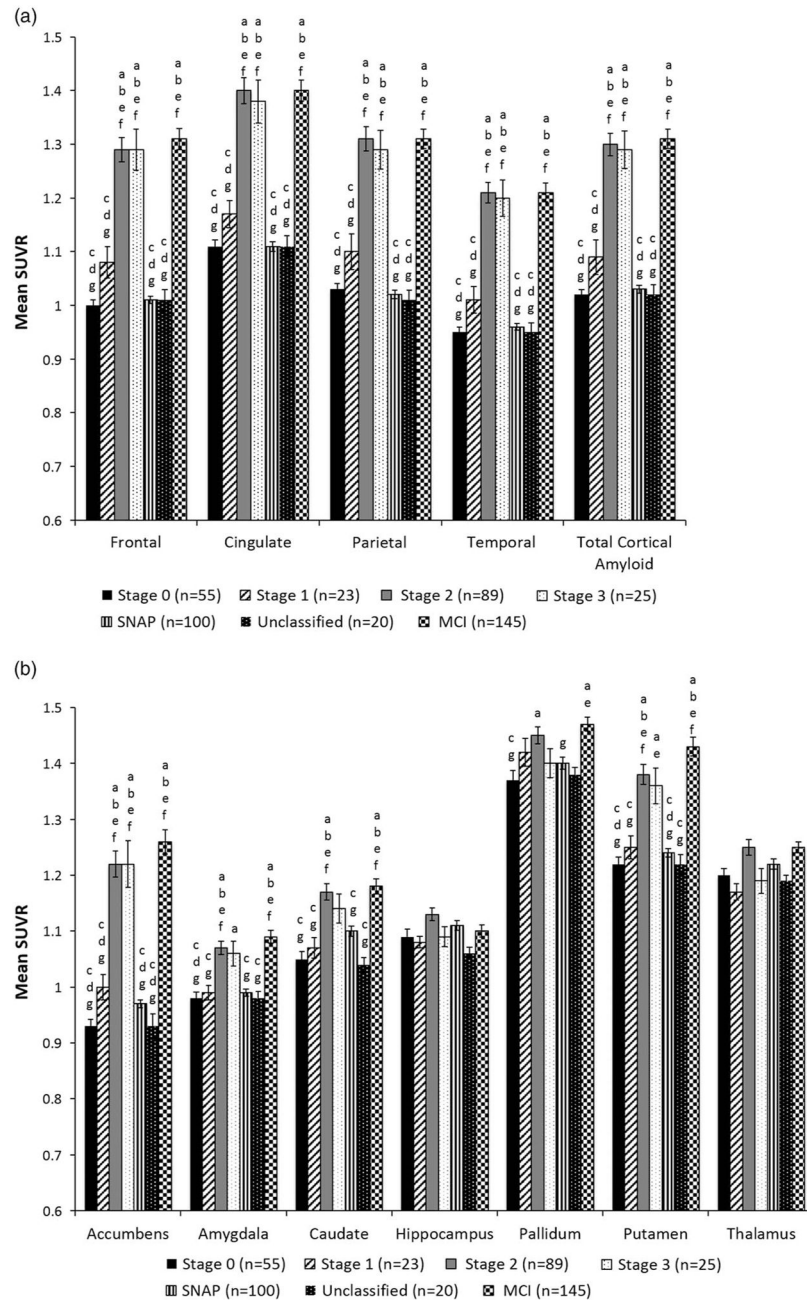
**Fig. 1.** Flowchart showing which participants from the overall ADNI database were included in the current study.



**Fig. 2.** Mean regional and global florbetapir standard uptake ratio (SUVR) for cortical regions in preclinical AD stages (based on number of abnormal biomarkers) and MCI. Error bars denote standard error of the mean. Letters denote significant group differences: a = different than 0 Biomarkers; b = different than 1 Biomarker; c = different than 2 Biomarkers; d = different than 3 Biomarkers; e = different than MCI.



**Fig. 3.** Mean standard uptake ratio (SUVR) for allocortical/subcortical regions in preclinical AD stages (based on number of abnormal biomarkers) and MCI. Error bars denote standard error of the mean. Letters denote significant group differences: a = different than 0 Biomarkers; b = different than 1 Biomarker; c = different than 2 Biomarkers; d = different than 3 Biomarkers; e = different than MCI.



**Fig. 4.** Mean standard uptake ratio (SUVR) for (a) cortical and (b) subcortical regions when participants were classified based on NIA-AA criteria for preclinical AD. Error bars denote standard error of the mean. Letters denote significant group differences: a = different than Stage 0; b = different than Stage 1; c = different than Stage 2; d = different than Stage 3; e = different than SNAP; f = different than Unclassified; g = different than MCI.

Table 1

Demographic and neuropsychological characteristics for participants in each stage of preclinical AD (based on number of abnormal biomarkers)

	0 Biomarkers (n = 55)	1 Biomarker (n = 127)	2 Biomarkers (n = 105)	3 Biomarkers (n = 25)	F or $\chi^2$	Sig.	Effect size
<b>Demographics</b>							
Age (years)	70.7 (6.7)	71.3 (7.0)	73.6 (7.3)	72.1 (6.0)	F = 2.86	p = .04	$\eta_p^2 = .03$
Education (years)	16.6 (2.4)	16.9 (2.5)	16.4 (2.5)	16.0 (2.8)	F = 1.35	p = .26	$\eta_p^2 = .01$
Gender (% female)	50.9%	52.0%	46.7%	64.0%	$\chi^2 = 2.53$	p = .47	$\eta_c = .09$
APOE (% $\epsilon 4$ positive)	14.5%	22.8%	49.5%	68.0%	$\chi^2 = 40.50$	p < .001	$\eta_c = .36$
<b>Neuropsychological (raw)</b>							
Animal Fluency	21.3 (4.2)	21.4 (4.9)	20.5 (4.8)	16.5 (3.6)	F = 9.16	p < .001	$\eta_p^2 = .07$
BNT	28.2 (1.5)	28.5 (1.6)	27.9 (2.2)	27.0 (2.2)	F = 6.05	p = .001	$\eta_p^2 = .05$
TMT, Part A (s)	30.5 (11.1)	30.7 (8.1)	33.3 (10.3)	44.7 (14.7)	F = 15.10	p < .001	$\eta_p^2 = .12$
TMT, Part B (s)	73.2 (23.7)	74.4 (27.8)	87.9 (47.6)	104.9 (52.1)	F = 8.37	p < .001	$\eta_p^2 = .06$
AVLT Recall	8.4 (4.1)	8.1 (4.0)	6.0 (3.4)	3.7 (3.4)	F = 14.80	p < .001	$\eta_p^2 = .12$
AVLT Recognition	12.7 (2.0)	13.0 (1.9)	12.7 (2.2)	11.7 (2.0)	F = 3.36	p = .06	$\eta_p^2 = .02$

Note. Data are summarized as mean (SD) unless otherwise noted.

APOE = apolipoprotein E; BNT = Boston Naming Test; TMT = Trail Making Test; AVLT = Rey Auditory Verbal Learning Test.

Table 2

Mean florbetapir SUVR for cortical and subcortical regions for participants in each stage of preclinical AD (based on number of abnormal biomarkers)

	0 Biomarkers (n = 55)	1 Biomarker (n = 127)	2 Biomarkers (n = 105)	3 Biomarkers (n = 25)	F or $\chi^2$	Sig.	Effect size
<b>Cortical regions</b>							
Frontal	1.00 (.08)	1.03 (.10)	1.25 (.22)	1.29 (.19)	F = 45.75	p < .001	$\eta_p^2 = .31$
Cingulate	1.11 (.09)	1.13 (.10)	1.35 (.24)	1.38 (.20)	F = 37.32	p < .001	$\eta_p^2 = .27$
Parietal	1.03 (.08)	1.04 (.11)	1.26 (.22)	1.29 (.18)	F = 42.89	p < .001	$\eta_p^2 = .30$
Temporal	0.95 (.07)	0.97 (.08)	1.17 (.19)	1.20 (.17)	F = 50.81	p < .001	$\eta_p^2 = .33$
Total Cortical Amyloid	1.02 (.07)	1.04 (.09)	1.26 (.21)	1.29 (.18)	F = 46.74	p < .001	$\eta_p^2 = .31$
<b>Allocortical/subcortical regions</b>							
Accumbens	0.93 (.09)	0.97 (.08)	1.18 (.23)	1.22 (.21)	F = 39.31	p < .001	$\eta_p^2 = .28$
Amygdala	0.98 (.08)	0.99 (.07)	1.05 (.12)	1.06 (.11)	F = 9.24	p < .001	$\eta_p^2 = .08$
Caudate	1.05 (.10)	1.09 (.10)	1.15 (.14)	1.14 (.13)	F = 6.52	p < .001	$\eta_p^2 = .06$
Hippocampus	1.09 (.10)	1.10 (.08)	1.11 (.12)	1.09 (.09)	F = 0.89	p = .45	$\eta_p^2 = .01$
Pallidum	1.37 (.13)	1.40 (.11)	1.44 (.13)	1.39 (.13)	F = 3.78	p = .01	$\eta_p^2 = .04$
Putamen	1.22 (.09)	1.24 (.09)	1.36 (.17)	1.36 (.16)	F = 19.46	p < .001	$\eta_p^2 = .16$
Thalamus	1.20 (.09)	1.21 (.09)	1.23 (.13)	1.19 (.11)	F = 1.25	p = .29	$\eta_p^2 = .01$

Note. Data are summarized as mean (SD). All SUVRs use whole cerebellum as reference region.

**Table 3**  
Number of participants classified at each stage of preclinical AD based on the two staging systems

NIA-AA Staging System <sup>a</sup>	Staging system based on number of abnormal biomarkers <sup>b</sup>			
	0 Biomarkers	1 Biomarker	2 Biomarkers	3 Biomarkers
Stage 0	55	–	–	–
Stage 1	–	23	–	–
Stage 2	–	–	89	–
Stage 3	–	–	–	25
SNAP <sup>c</sup>	–	91	9	–
Unclassified <sup>d</sup>	–	13	7	–

*Note.* NIA-AA = National Institute on Aging–Alzheimer’s Association; SNAP = suspected non-AD pathophysiology.

<sup>a</sup> Staging system based on the biomarkers/cognitive markers an individual possesses and requires a specific temporal order (i.e., amyloidosis first, then neurodegeneration, then subtle cognitive decline).

<sup>b</sup> Staging system based on the number of biomarkers/cognitive markers an individual possesses without regard for their temporal order of occurrence.

<sup>c</sup> SNAP participants had neurodegeneration with normal amyloid levels.

<sup>d</sup> Unclassified participants had subtle cognitive/functional decline with no neurodegeneration.