

# Tin Metal Improves Lithiation Kinetics of High-Capacity Silicon Anode

Kang Yao,<sup>1,5</sup> Na Li,<sup>2,3,5</sup> Ning Li,<sup>1</sup> Eric Sivonxay,<sup>4</sup> Yaping Du,<sup>3</sup> Kristin A. Persson,<sup>1,4</sup> Dong Su,<sup>2,\*</sup> and Wei Tong<sup>1,\*</sup>

<sup>1</sup>Energy Storage and Distributed Resources Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720 USA

<sup>2</sup>Center for Functional Nanomaterials, Brookhaven National Laboratory, Upton, NY 11973 USA

<sup>3</sup>School of Materials Science and Engineering & National Institute for Advanced Materials, Nankai University, Tianjin 300350, China

<sup>4</sup>Department of Materials Science and Engineering, University of California, Berkeley, Berkeley, CA 94720, USA

<sup>5</sup>These authors contributed equally

\*Correspondence: weitong@lbl.gov, free.sd@gmail.com

## ABSTRACT

Si-based anodes present a great promise for high energy density lithium-ion batteries. However, its commercialization is largely hindered by a grand challenge of a rapid capacity fade. Here, we demonstrate excellent cycling stability on Si-Sn thin film electrode that outperforms pure Si or Sn counterpart under the similar conditions. Combined with the first principle calculations, *in situ* transmission electron microscopy studies reveal a reduced volume expansion, increased conductivity as well as dynamic rearrangement upon lithiation of Si-Sn film. We attribute the improved lithiation kinetics to the formation of a conductive matrix that comprises a mosaic of nanostructured Sn, Li<sub>y</sub>Sn (specifically, Li<sub>7</sub>Sn<sub>2</sub> develops around the lithiation potential of Si), and Li<sub>x</sub>Si. This work provides an important advance in understanding the lithiation mechanism of Si-based anodes for next-generation lithium-ion batteries.

**KEYWORDS:** Li-ion battery, silicon anode, tin anode, lithiation kinetics, *in situ* TEM

## INTRODUCTION

Silicon is an attractive anode candidate for the next-generation lithium-ion batteries (LIBs), owing to its abundance and high theoretical capacity (4211 mAh g<sup>-1</sup> for Li<sub>4.4</sub>Si), which is more than ten times that of commercial graphite anode (372 mAh g<sup>-1</sup>). It holds a great promise to meet the demands of portable electronics and electric vehicles for high energy density.<sup>1-6</sup> However, the significant drawback that hinders the widespread application of Si anode is the rapid capacity decay upon electrochemical cycling.<sup>7-9</sup>

To improve the cycling stability of high-capacity Si anode, material strategies have been employed by incorporating other elements, including active metals (Sn, Zn),<sup>10-13</sup> inactive metals (Ni, Fe, Mn),<sup>14, 15</sup> or conductive C.<sup>16, 17</sup> The major functionality of the additional element(s) is to provide a buffering matrix to accommodate the volume change upon (de)lithiation of Si. However, introducing inactive metals and/or carbon largely reduces the specific capacity of Si anode. Of many active metal additives, Sn is of particular interest because of its electrochemical activity towards Li (theoretical capacity of 993 mAh g<sup>-1</sup> for Li<sub>4.4</sub>Sn), high electrical conductivity, and good ductility.<sup>18, 19</sup> Because of the different physical properties, they exhibit varied electrochemical behaviors, for example, Si anode typically starts with a crystalline phase and becomes amorphous upon cycling, while Sn is highly crystalline and its crystallinity remains during (de)lithiation.<sup>20, 21</sup>

Combining active Si and Sn components have led to improved cycling stability at a reasonable capacity.<sup>22-28</sup> According to the phase diagram of Si-Sn, there is no miscibility between Si and Sn at low temperatures.<sup>29</sup> The electrochemical reaction of the X-ray amorphous Si-Sn thin

film electrode was investigated by *in situ* X-ray diffraction (XRD), revealing two random local environments for Si and Sn (global amorphization) throughout the whole range of Li intercalation, with no X-ray crystalline phases as found in Sn electrode.<sup>30</sup> *In situ* microscopic studies suggest a homogeneous and reversible volume change in the amorphous electrode, as opposed to the crystalline one.<sup>31, 32</sup> The changes in atomic structure, elemental distribution, and morphology during electrochemical cycling are important factors for battery performance, especially in Si electrode, because its electrochemical reaction is rather dynamic, given its large volume change. A comprehensive understanding of these dynamic behaviors *in operando* for the tailored Si-Sn model electrode, showing consistent improvement, is essential to pursue effective directions for further advancement.

In this work, we employ *in situ* transmission electron microscopy (TEM) technique to investigate the lithiation processes of Si and Si-Sn thin film electrodes for close comparison. The thin film electrodes that have similar configurations, free of binder/conductive carbon additive, and demonstrate the comparable electrochemistry to the composite electrodes lay the foundation for the mechanistic studies on active materials themselves with no interference of other inactive components. The thicknesses and volume expansions of the electrode films are measured at different states of lithiation. We also investigate the phase evolution and elemental distribution during the lithiation of Si and Si-Sn thin film electrodes using selected area electron diffraction (SAED) and electron energy loss spectroscopy (EELS). Aided by the first principle calculations, we propose a mosaic of mixed-conductive nanostructured matrix, which continuously evolves upon lithiation, facilitates the Li<sup>+</sup>/e<sup>-</sup> transport and induces a synergistic effect, accounting for the excellent cyclability of Si-Sn electrode in comparison to Si or Sn counterpart.

## EXPERIMENTAL SECTION

**Sample Preparation.** 12 μm thick Cu foils are cleaned with acetone, isopropyl alcohol, and deionized water sequentially and then vacuum dried at 120 °C overnight. The treated Cu foils are fixed on 4-inch Si wafers for thin film deposition. Si, Si-Sn, and Sn films are deposited onto the Cu foils by direct current magnetron sputtering using a 3-inch p-type Si target (Kurt J. Lesker, 99.999% purity) and a 3-inch Sn target (Kurt J. Lesker, 99.998-99.999% purity). The base pressure was 2×10<sup>-5</sup> torr before deposition and 1.4×10<sup>-2</sup> torr during the deposition process. Films are also sputtered on Si wafers coated with 100 nm thick silicon oxide during the same run to determine the film thickness. All the films are subsequently stored under vacuum to prevent air exposure.

**Electrochemical Characterization.** 2032-type Hohen coin cells are assembled using the thin films deposited on Cu foils as the working electrodes (1.62 cm<sup>2</sup>), Li metal foil (99.9%, 0.75 mm thick, Thermo Scientific) as the counter electrodes, and 1 M LiPF<sub>6</sub> in ethylene carbonate (EC)-diethyl carbonate (DEC) (50: 50 w/w) as the electrolyte inside an Ar-filled glovebox. The cells are galvanostatically cycled between 1.5 and 0.01 V at C/20 based on the experimental capacity on a Maccor 4200 battery cycler at 30 °C. CV testing is carried out between 2 and 0.01 V at a scan rate of 0.1 mV/s using a VMP3 multichannel potentiostat (Bio-Logic Science Instruments). All the potential values are referenced to Li/Li<sup>+</sup>.

**Physical Characterization.** XRD patterns are collected using a Bruker D8 Discover with Co Kα radiation (λ = 1.79 Å) operated at 35 kV/40 mA. Scanning electron microscopy (SEM) is performed on a JEOL JSM-7000F equipped with a Thermo Scientific energy-dispersive X-ray spectroscopy (EDS) detector. The cycled electrodes are harvested by disassembling the coin cells, rinsing with dimethyl carbonate and natural drying inside the glovebox. Electrode samples are quickly transferred to SEM chamber to study the morphological change after electrochemical

cycling. The TEM samples are prepared by FEI Helios 600 dual beam focused ion beam (FIB) using the lift-out method. The *in situ* TEM electrochemical dry-cell is incorporated into a Nanofactory TEM-scanning tunneling microscopy (STM) specimen holder with detailed experiment set up described elsewhere.<sup>33-35</sup> The Li metal is coated onto a piezo-driven W probe as the counter electrode with a thin layer of Li<sub>2</sub>O formed on Li metal as the solid electrolyte. The Li electrode and FIB samples (Si and Si-Sn thin films) are loaded onto the holder inside an Ar-filled glovebox and then transferred to the TEM column using a sealed Ar bag to avoid the exposure to air. The lithiation processes are captured in real time in either the TEM, SAED or scanning transmission electron microscopy (STEM) mode on a JEOL 2100F TEM operated at 200 kV. The EELS mapping is conducted on a Hitachi HD2700C STEM with a probe aberration corrector.

**First Principle Calculations.** We perform computational modeling to explore the dynamics of Li diffusion in amorphous Sn and Si<sub>0.62</sub>Sn<sub>0.38</sub>. These atomistic simulations are done using density functional theory (DFT) and ab initio molecular dynamics (AIMD), as implemented in the Vienna Ab initio Simulation Package (VASP).<sup>36, 37</sup> To obtain amorphous structures, a liquid melt is generated following energy and density equilibration of a random arrangement of atoms, of at least 100 atoms following the desired stoichiometry, in a series of NVT AIMD simulations at 5000 K with a timestep of 2 fs. For diffusion calculations, 3 samples of the liquid melt are quenched to 500, 750, 1000, 1250, and 1500 K. Energy and density of each sample is equilibrated at each temperature before a final production run of 200 ps. For each temperature, diffusion coefficients are estimated as the slope of the diffusive regime within the hybrid ensemble/time-averaged mean square displacement,  $\hat{b}_{hybrid}(t)$ ,<sup>38</sup> calculated as:

$$\hat{b}_{hybrid}(t) = \frac{1}{NT} \sum_{k=1}^N \int_0^T [x^{(k)}(t' + t) - x^{(k)}(t')]^2 dt' \quad (1)$$

where,  $N$  is the number of sample trajectories,  $T$  is the simulation time of each trajectory, and  $x$  is the positions of each the Li atoms. Room temperature diffusion coefficients are extrapolated from non-linear regressions, using the Arrhenius Equation. Uncertainty on the extrapolated room temperature diffusivity ( $\sigma_D$ ) is estimated, from the variance-covariance matrix for the regression, through the propagation of error, resulting in the below equation.

$$\sigma_D = \sqrt{\left(\frac{\sigma_{D_o} * D}{D_o}\right)^2 + \left(\frac{\sigma_{E_A} * D}{T}\right)^2} \quad (2)$$

Where  $D$  is the diffusivity at temperature  $T$  and  $D_o$  is the pre-exponential factor.  $\sigma_{D_o}$  and  $\sigma_{E_A}$  are the uncertainties in the pre-exponential factor and activation energy, respectively.

## RESULTS AND DISCUSSION

### Si-Based Thin Film Electrodes

Direct current magnetron sputtering system is used to deposit the Si-based thin films on Cu foils and the as-produced films are directly used as electrodes in the coin cells for electrochemical characterization (**Figure 1a**). The composition of Si<sub>0.62</sub>Sn<sub>0.38</sub>, falling in the optimal composition range identified from previous work,<sup>30, 31</sup> is selected and in good agreement with the EDS analysis. The thickness of the Si-Sn and Si films used for the detailed characterization is 140 and 180 nm, respectively (**Figure 1b**). **Figure 1c** shows the XRD patterns of the Si-Sn and Si films along with the references of Si, Sn, and Cu foil. Besides the characteristic peaks of Cu are revealed, no additional peaks from crystalline Si (c-Si) or crystalline Sn (c-Sn) are observed in the as-deposited thin films, suggesting the films are amorphous.

The specific capacities of Si and Si-Sn thin film electrodes are presented based on the electrode area to avoid the error in film mass (**Figure 2**). The a-Si film delivers an initial lithiation

and delithiation capacity of 4065 and 3317 mAh g<sup>-1</sup>, respectively, while the Si-Sn film exhibits a corresponding capacity of 1774 and 1540 mAh g<sup>-1</sup> (**Figure 2a**). Note that the specific capacity reported here is calculated based on the mass obtained from the theoretical density, electrode thickness and area because of the difficulty in measuring the actual weights of the films. With respect to the theoretical specific capacity of Si and Si-Sn, 4211 and 1887 mAh g<sup>-1</sup>, respectively, both films achieve close to full lithiation (96.5 and 94.0% for the Si and Si-Sn, respectively) Details are presented in **Table S1**. The lithiation profile of Si film is featured by two sloping plateaus around 0.3 and 0.1 V, consistent with the two-stage lithiation process of amorphous Si (a-Si).<sup>2, 39, 40</sup> The formation of the crystalline Li<sub>15</sub>Si<sub>4</sub> (c-Li<sub>15</sub>Si<sub>4</sub>) is clearly suppressed in a-Si thin film, given the lack of a lithiation plateau around 0.45 V.<sup>6, 41</sup> In comparison, the Si-Sn thin film displays a more continuous and sloping profile, with the (de)lithiation reaction starting at a higher voltage due to the presence of Sn (**Figure S2a, b**).<sup>42</sup> Correspondingly, the cyclic voltammetry (CV) curves (**Figure 2b, c**) of Si-Sn film are more steady, with less sharp lithiation/de lithiation peaks, compared to a-Si film. The lithiation/delithiation processes of Si-Sn film are more reversible, and it displays better cycling stability than a-Si or Sn films (**Figure 2d & Figure S2c**), with all being close to full lithiation. The Si-Sn film exhibits a capacity retention of 85.7% after 100 cycles. With no carbon additive or binder, we are motivated to scrutinize the underlying mechanism for the improved cyclability in the as-produced Si-Sn model electrode.

### Volume Expansion Visualized by *in situ* TEM

A significant challenge for the high-capacity anodes (i.e., Si and Sn) is poor capacity retention, which is strongly correlated with the massive volume change upon (de)lithiation. To understand the distinct electrochemical behaviors between Si-Sn and Si, we first carried out *in situ* TEM study to visualize the morphological/structural change during the lithiation process. We note that there are no obvious pores revealed by TEM, though their presence is possible below the resolution that can be achieved. A large thickness increase with reaction time is clearly visible for both Si-Sn (**Figure 3a** and **Movie S1**) and Si (**Figure 3c** and **Movie S2**), but the increase for Si-Sn film is less pronounced. We plot the volume expansion with reaction time for each electrode (**Figure 3b, d**). Despite the expansion upon lithiation for both films, the magnitude of thickness change and the kinetics of the lithiation reaction are clearly different. For instance, Si film shows an expansion of ~400% of its original volume, much larger than that (266%) for Si-Sn after the full lithiation, assuming an equal expansion in all dimensions. The lower volume expansion for Si-Sn film can be attributed to the flexible matrix and larger interstitial space of Sn that facilitates the accommodation of Li.<sup>43</sup>

With the smaller volume expansion upon lithiation of Si-Sn film, we expect less cracking in Si-Sn film. As revealed by SEM studies (**Figure S3**), the Si film cracks after the 1<sup>st</sup> cycle (**Figure S3a, b**), while the Si-Sn film remains intact after 2 cycles (**Figure S3c, d**). Accordingly, a gradual capacity fade is revealed for Si, but Si-Sn exhibits excellent cycling stability even after 100 cycles (**Figure 2d**), despite the noticeable cracking after the 5<sup>th</sup> cycle. Based on the observation of early film cracking and good cyclability of the Si-Sn film, it is inferred that cracking is not the only cause of the capacity decay for Si anode.<sup>1</sup> Other factors (e.g., electrode conductivity), which could be induced by the electrode cracking, also contribute to the capacity fade.

Moreover, the lithiation process of Si is slow at the beginning (before ~750 s), followed by faster lithiation later on (**Figure 3b**). In contrast, a fast reaction process is revealed for Si-Sn during the initial lithiation process (**Figure 3d**). It takes about half the time for Si-Sn to reach a similar level of expansion as Si, i.e., for a volume expansion of 240%, it takes 893 s for Si compared to 408 s for Si-Sn. a-Si lacks the long-range ordering and consists of a continuous random network

of Si atoms. This can be explained by the different lithiation kinetics. At the beginning, the lithiation process of Si is limited by the Si–Si bond breaking. Upon further lithiation, the rate of Si–Si bond breakage gradually increases because the Li atoms nearby collectively weaken the Si–Si bond by electron transfer, thus, the Li diffusivity increases. With the presence of Sn, the lithiation reaction initially starts with the alloying of Sn at a higher potential that occurs faster (**Figure 3d**) because of the greater Li diffusivity in Sn, about two orders of magnitude higher than Si (**Figure 4**). The presence of Sn, though immiscible, suppresses the volume expansion and modifies the kinetics of the lithiation process.

### Phase Evolution Tracked by *in situ* SAED

The phase evolution of Si and Si-Sn films during lithiation is probed via *in situ* SAED (**Figure 5** and **Movie S3, 4**) from a selected area of 150 nm. Before lithiation, the SAED pattern of Si (**Figure 5a**) consists of the broad diffuse rings with no bright spots related to c-Si phase, confirming its amorphous feature, while the Si-Sn film displays nanocrystallinity (**Figure 5e**), though it is not detectable by XRD due to the lack of long-range ordering (**Figure S4a, c**). After full lithiation, a-Si phase transforms to amorphous  $\text{Li}_{22}\text{Si}_5$  (**Figure 5b & Figure S4b**), in comparison to amorphous  $\text{Li}_{22}\text{Si}_5$  and crystalline  $\text{Li}_{22}\text{Sn}_5$  phases after lithiation of Si-Sn (**Figure 5f, Fig. S4d & SAED pattern (2093 s) in Figure S5**). A trace amount of crystalline  $\text{Li}_2\text{O}$  is revealed in both cases due to the presence of surface oxide (**Figure 5d, h and Figure S5**).

To track the phase evolution, the electron diffraction intensity profiles as a function of reaction time during *in situ* lithiation are plotted in **Figure 5c** (Si) and **Figure 5g** (Si-Sn), and the integrated intensity profiles from a series of time-sequenced SAED patterns are shown in **Figure 5d** (Si) and **Figure 5h** (Si-Sn). For Si, the two-phase change starts around 550 s and becomes clearly visible around 1000 s, as evidenced by the diminishing Si (111) peak and the emerging  $\text{Li}_{22}\text{Si}_5$  (115) and (066) peaks as the reaction proceeds. The lithiation reaction of Si-Sn is featured by the evolution of a series of intermediate  $\text{Li}_y\text{Sn}$  and  $\text{Li}_x\text{Si}$  phases (SAED patterns (549 - 580 s) in **Figure S5**). The intensity profiles suggest a major phase change occurring around 550 s, consistent with the reaction-rate-changing step in **Figure 5d**. Before  $\sim 550$  s, the Sn phase readily reacts, and the intensity of the Sn (031) peak declines with reaction time. Along with  $\text{Li}_7\text{Sn}_2$  phase,  $\text{Li}_x\text{Si}$  phase is captured in sequence within a few tens of seconds (**Figure S5**). By 580 s, the Li-rich  $\text{Li}_{13}\text{Si}_4$  phase forms. Starting at 607 s (**Figure 5h**), the significant peak shifts to  $\text{Li}_{22}\text{Sn}_5$  and  $\text{Li}_{22}\text{Si}_5$  in the intensity profiles correspond to the slow-reaction-rate region in **Figure 3d**.

These results indicate that the two immiscible components in Si-Sn thin film electrode undergo consecutive lithiation, with conductive Sn reacting first. From the *in situ* SAED analysis of Si-Sn film, we observe the formation of  $\text{Li}_7\text{Sn}_2$  phase around the initiation of Si lithiation (SAED pattern (554 s) in **Figure S5**), which is supposed to develop around the electrochemical potential of Si lithiation.<sup>18, 20</sup> The formation of  $\text{Li}_7\text{Sn}_2$  phase may substantially accelerate the Si-Si bond breaking and enhance the Li diffusivity, therefore, modifying the lithiation reaction kinetics.

### Dynamic Elemental Rearrangement upon Lithiation of Si-Sn Mapped by EELS

The EELS maps are also collected to investigate the elemental distribution before and after the lithiation reaction (**Figure 6**). The EELS maps were collected on the exactly same area at the nanoscale before and after lithiation. Before lithiation, the Si-Sn film displays a morphology of branch-like networks (**Figure 6a**). The separation between Si and Sn occurs at the nanometer scale, which is ascribed to the strong propensity of ductile Sn to segregate and crystallize. This is commensurate with the phase diagram of Si-Sn, showing no miscibility between Si and Sn at low temperatures.<sup>29</sup> Interestingly, such branch-like morphology disappears, instead, a uniform distribution of Si and Sn is observed after lithiation (**Figure 6b**). This dynamic elemental

rearrangement should be correlated with the bond breaking.<sup>44, 45</sup> Consistent with the nanosecond-scale simulations on a-Si, stating the host is not static but undergoes a rearrangement from isolated atoms to chains and clusters,<sup>46</sup> we reveal a similar dynamic behavior upon lithiation of Si-Sn. More importantly, this dynamic process ultimately leads to a uniform elemental distribution between the two components that are prone to separate at pristine state. This finding implies that the elemental homogeneity at pristine state is not an indispensable physical property towards the development of high-capacity Si anode because it can be self-healed upon lithiation at the nanometer scale. Instead, other properties such as the mobility of the incorporated elements and Li diffusivity in the lithiated phases are of critical importance because the intermediate lithiation products can manipulate the lithiation process and favorably drive the electrochemical reaction.

We have shown that a Si-Sn thin film electrode with no conductive carbon, binder or electrolyte additive (i.e., fluoroethylene carbonate) exhibits stable cycling, which outperforms its Si or Sn counterpart and lays the foundation to investigate the underlying lithiation mechanism. From the *in situ* TEM/STEM imaging, we visualize a reduced volume expansion of Si-Sn compared to Si. At the early stage of lithiation, the Sn phase in the composite reacts with Li and expands in the presence of the unreacted Si phase, serving as a matrix to buffer the expansion of the reacted Sn phases. In this regard, the mutual buffering effect is expected to preserve the dimensional integrity and microstructure of Si-Sn electrode.

On the other hand, Si-Sn exhibits a faster reaction kinetics than Si. The excellent cycling behavior of Si-Sn is attributed to the *in situ* formation of mixed ( $e^-$  and  $Li^+$ ) conducting matrix, in which the reactants are finely dispersed. Sn has a high electrical conductivity of  $9.2 \times 10^4 \text{ S cm}^{-1}$  at 20 °C, 9 orders of magnitude higher than Si. Electrically conductive Sn along with ionically conductive lithiated Sn/Si ( $Li_ySn$  and  $Li_xSi$ ) phases constitute the solid mixed-conductive matrix for Si. Such electrical and ionic integrity in Si-Sn electrode, despite the microcracking, is the key to achieve excellent cycling stability. Based on the experimental observations, we propose the reaction mechanism for Si-Sn electrode (**Figure 7**). The microstructure of the Si-Sn thin film electrode changes from a phase-separated branch-like morphology (seemingly unfavorable physical character) to the homogeneous elemental distribution after lithiation (**Figure 7a**). Such elemental redistribution builds a mosaic of nanostructured Si, Sn,  $Li_ySn$  and  $Li_xSi$  phases that continuously evolves and redistributes so that Si is surrounded by electrically conductive Sn and ionically conductive  $Li_ySn/Li_xSi$  (**Figure 7b**). Although the electrochemistry of thin film electrodes could be quite different from that of the composite ones, the knowledge gained here should provide additional insights into the fundamental understanding of silicon anode behavior, particularly, the role of Sn in improving electrical and ionic conductivity as well as electrode integrity. In principle, such a beneficial effect can be harnessed if a homogeneous mixing between Si and Sn is achieved at the nanometer scale.

## CONCLUSIONS

In summary, the *in situ* characterization at the nanoscale reveals the incorporation of Sn essentially modifies the lithiation mechanism. A smaller volume expansion upon lithiation of Si-Sn than Si is revealed, which delays but does not eliminate the electrode cracking, suggesting electrode cracking is a secondary factor for capacity fade. Instead, the presence of Sn essentially maintains the overall electrical and ionic conductivity integrity in the electrode owing to its intrinsically high electrical conductivity and ionic conductivity of the *in situ* formed lithiated phases, especially which forms around the electrochemical potential for the lithiation reaction of Si, ultimately resulting in a synergy between the immiscible Si and Sn. These electrochemically

avored behaviors are further promoted when the elemental redistribution occurs during lithiation process. This work provides an in-depth understanding of the improved performance through the integration of additional elements for the development of high-energy Si-based anodes.

### Supporting Information

SEM and HR-TEM images of Si and Si-Sn films at different states of charge, physical and electrochemical properties of Si and Si-Sn films during the first cycle, electrochemistry of the Sn film, SAED analysis of the Si-Sn film during lithiation. Movies of the *in situ* STEM, TEM, and SAED.

### ACKNOWLEDGEMENTS

This work is supported by the Assistant Secretary for Energy Efficiency and Renewable Energy, Office of Vehicle Technologies of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231 through the Si Consortium Program. Work at the Molecular Foundry was supported by the Office of Science, Office of Basic Energy Sciences, of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231. Electron microscopy work was performed at the Center for Functional Nanomaterials, Brookhaven National Laboratory, which is supported by the U.S. Department of Energy (DOE), Office of Basic Energy Science, under contract DE-SC0012704. We thank Ryan Rivers for support of thin film deposition at the UC Berkeley Marvell Nanofabrication Laboratory.

### REFERENCES

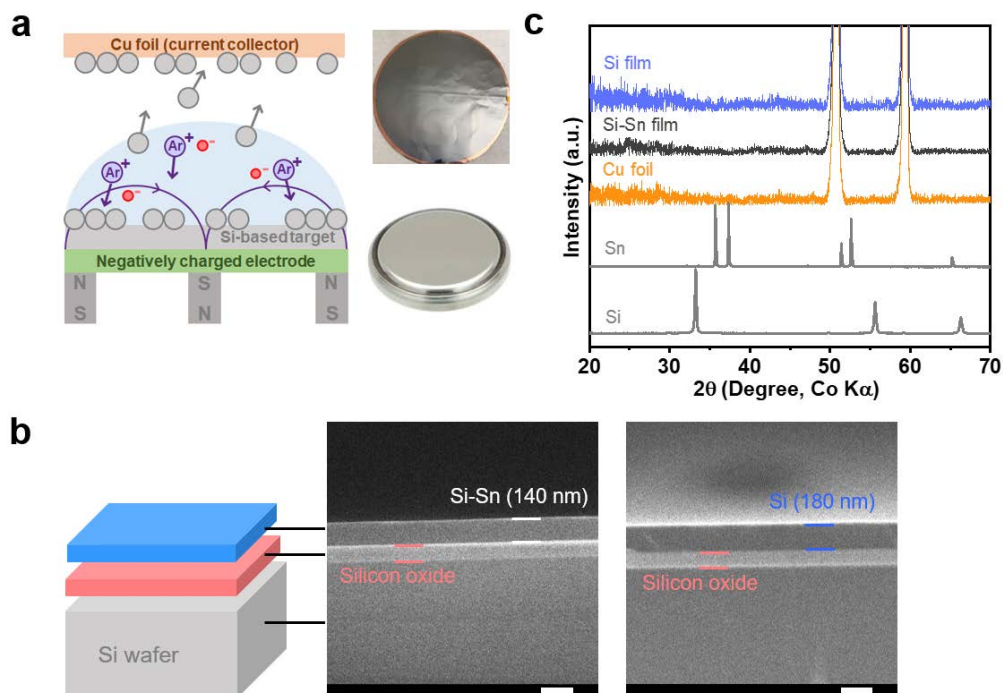
1. Maranchi, J. P.; Hepp, A. F.; Kumta, P. N., High Capacity, Reversible Silicon Thin-Film Anodes for Lithium-Ion Batteries. *Electrochem. Solid-State Lett.* **2003**, *6*, A198.
2. Obrovac, M. N.; Krause, L. J., Reversible Cycling of Crystalline Silicon Powder. *J. Electrochem. Soc.* **2007**, *154*, A103–A108.
3. Chan, C. K.; Peng, H. L.; Liu, G.; McIlwrath, K.; Zhang, X. F.; Huggins, R. A.; Cui, Y., High-Performance Lithium Battery Anodes Using Silicon Nanowires. *Nat. Nanotechnol.* **2008**, *3*, 31–35.
4. Chan, C. K.; Patel, R. N.; O'Connell, M. J.; Korgel, B. A.; Cui, Y., Solution-Grown Silicon Nanowires for Lithium-Ion Battery Anodes. *ACS Nano* **2010**, *4*, 1443–1450.
5. Wu, H.; Chan, G.; Choi, J. W.; Ryu, I.; Yao, Y.; McDowell, M. T.; Lee, S. W.; Jackson, A.; Yang, Y.; Hu, L. B.; Cui, Y., Stable Cycling of Double-Walled Silicon Nanotube Battery Anodes Through Solid-Electrolyte Interphase Control. *Nat. Nanotechnol.* **2012**, *7*, 310–315.
6. Obrovac, M.; Chevrier, V., Alloy Negative Electrodes for Li-Ion Batteries. *Chem. Rev.* **2014**, *114*, 11444–11502.
7. Kasavajjula, U.; Wang, C.; Appleby, A. J., Nano- and Bulk-Silicon-Based Insertion Anodes for Lithium-Ion Secondary Cells. *J. Power Sources* **2007**, *163*, 1003–1039.
8. Szczech, J. R.; Jin, S., Nanostructured Silicon for High Capacity Lithium Battery Anodes. *Energy Environ. Sci.* **2011**, *4*, 56–72.
9. Liu, X. H.; Zhong, L.; Huang, S.; Mao, S. X.; Zhu, T.; Huang, J. Y., Size-Dependent Fracture of Silicon Nanoparticles During Lithiation. *ACS Nano* **2012**, *6*, 1522–1531.
10. Hatchard, T.; Dahn, J., Study of the Electrochemical Performance of Sputtered Si<sub>1-x</sub>Sn<sub>x</sub> Films. *J. Electrochem. Soc.* **2004**, *151*, A1628–A1635.
11. Hatchard, T.; Obrovac, M.; Dahn, J., Electrochemical Reaction of the Si<sub>1-x</sub>Zn<sub>x</sub> Binary System with Li. *J. Electrochem. Soc.* **2005**, *152*, A2335–A2344.

12. Al-Maghrabi, M.; Thorne, J.; Sanderson, R.; Byers, J.; Dahn, J.; Dunlap, R., A Combinatorial Study of the Sn-Si-C System for Li-Ion Battery Applications. *J. Electrochem. Soc.* **2012**, *159*, A711–A719.
13. Xiao, X.; Wang, J. S.; Liu, P.; Sachdev, A. K.; Verbrugge, M. W.; Haddad, D.; Balogh, M. P., Phase-Separated Silicon–Tin Nanocomposites for High Capacity Negative Electrodes in Lithium Ion Batteries. *J. Power Sources* **2012**, *214*, 258–265.
14. Fleischauer, M.; Topple, J.; Dahn, J., Combinatorial Investigations of Si-M (M = Cr + Ni, Fe, Mn) Thin Film Negative Electrode Materials. *Electrochem. Solid-State Lett.* **2005**, *8*, A137–A140.
15. Cao, Y.; Bennett, J. C.; Dunlap, R. A.; Obrovac, M. N., Li Insertion in Ball Milled Si-Mn Alloys. *J. Electrochem. Soc.* **2018**, *165*, A1734–A1740.
16. Magasinski, A.; Dixon, P.; Hertzberg, B.; Kvit, A.; Ayala, J.; Yushin, G., High-Performance Lithium-Ion Anodes Using a Hierarchical Bottom-Up Approach. *Nat. Mater.* **2010**, *9*, 353–358.
17. Lee, J. K.; Smith, K. B.; Hayner, C. M.; Kung, H. H., Silicon Nanoparticles–Graphene Paper Composites for Li Ion Battery Anodes. *Chem. Commun.* **2010**, *46*, 2025–2027.
18. Yang, S.; Zavalij, P. Y.; Whittingham, M. S., Anodes for Lithium Batteries: Tin Revisited. *Electrochem. Commun.* **2003**, *5*, 587–590.
19. Ying, H.; Han, W.-Q., Metallic Sn-Based Anode Materials: Application in High-Performance Lithium-Ion and Sodium-Ion Batteries. *Adv. Sci.* **2017**, *4*, 1700298.
20. Wang, J.; Fan, F.; Liu, Y.; Jungjohann, K. L.; Lee, S. W.; Mao, S. X.; Liu, X.; Zhu, T., Structural Evolution and Pulverization of Tin Nanoparticles during Lithiation-Delithiation Cycling. *J. Electrochem. Soc.* **2014**, *161*, F3019–F3024.
21. Li, Q.; Wang, P.; Feng, Q.; Mao, M.; Liu, J.; Mao, S. X.; Wang, H., *In Situ* TEM on the Reversibility of Nanosized Sn Anodes during the Electrochemical Reaction. *Chem. Mater.* **2014**, *26*, 4102–4108.
22. Chockla, A. M.; Klavetter, K. C.; Mullins, C. B.; Korgel, B. A., Tin-Seeded Silicon Nanowires for High Capacity Li-Ion Batteries. *Chem. Mater.* **2012**, *24*, 3738–3745.
23. Wang, H.; Huang, H.; Chen, L.; Wang, C.; Yan, B.; Yu, Y.; Yang, Y.; Yang, G., Preparation of Si/Sn-Based Nanoparticles Compositing with Carbon Fibers and Improved Electrochemical Performance as Anode Materials. *ACS Sustain. Chem. Eng.* **2014**, *2*, 2310–2317.
24. Kawasaki, M.; Laokawee, V.; Sarakonsri, T.; Hashizume, T.; Shiojiri, M., Structural Investigation Of Si/Sn/(Reduced Graphene Oxide) Nanocomposite Powder for Li-Ion Battery Anode Applications. *J. Appl. Phys.* **2016**, *120*, 204302.
25. Whiteley, J. M.; Kim, J. W.; Piper, D. M.; Lee, S.-H., High-Capacity and Highly Reversible Silicon-Tin Hybrid Anode for Solid-State Lithium-Ion Batteries. *J. Electrochem. Soc.* **2016**, *163*, A251–A254.
26. Jin, Y.; Tan, Y.; Hu, X.; Zhu, B.; Zheng, Q.; Zhang, Z.; Zhu, G.; Yu, Q.; Jin, Z.; Zhu, J., Scalable Production of the Silicon–Tin Yin-Yang Hybrid Structure with Graphene Coating for High Performance Lithium-Ion Battery Anodes. *ACS Appl. Mater. Interfaces* **2017**, *9*, 15388–15393.
27. Xu, J.; Ling, M.; Terborg, L.; Zhao, H.; Qiu, F.; Urban, J. J.; Kostecki, R.; Liu, G.; Tong, W., Facile Synthesis and Electrochemistry of Si-Sn-C Nanocomposites for High-Energy Li-Ion Batteries. *J. Electrochem. Soc.* **2017**, *164*, A1378–A1383.
28. Yao, K.; Ling, M.; Liu, G.; Tong, W., Chemical Reduction Synthesis and Electrochemistry of Si–Sn Nanocomposites as High-Capacity Anodes for Li-Ion Batteries. *J. Phys. Chem. Lett.* **2018**, *9*, 5130–5134.

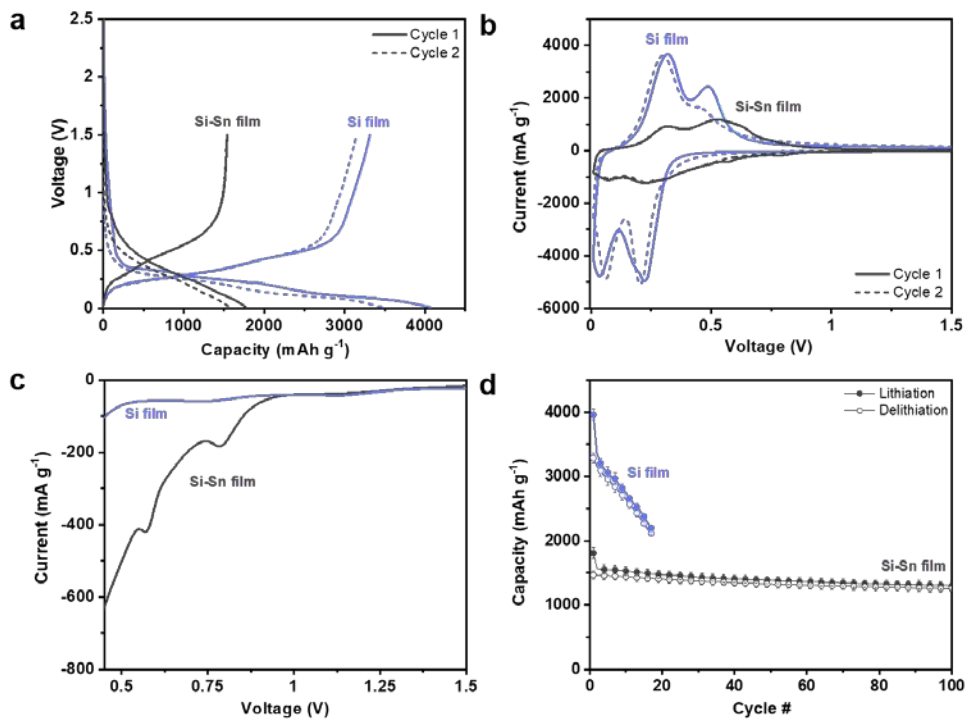


29. Olesinski, R. W.; Abbaschian, G. J., The Si–Sn (Silicon–Tin) System. *Bull. Alloy Phase Diagr.* **1984**, *5*, 273–276.
30. Beaulieu, L.; Hewitt, K.; Turner, R.; Bonakdarpour, A.; Abdo, A.; Christensen, L.; Eberman, K.; Krause, L.; Dahn, J., The Electrochemical Reaction of Li with Amorphous Si–Sn Alloys. *J. Electrochem. Soc.* **2003**, *150*, A149–A156.
31. Beaulieu, L.; Hatchard, T.; Bonakdarpour, A.; Fleischauer, M.; Dahn, J., Reaction of Li with Alloy Thin Films Studied by *In Situ* AFM. *J. Electrochem. Soc.* **2003**, *150*, A1457–A1464.
32. Timmons, A.; Dahn, J. R., *In Situ* Optical Observations of Particle Motion in Alloy Negative Electrodes for Li-Ion Batteries. *J. Electrochem. Soc.* **2006**, *153*, A1206–A1210.
33. Hwang, S.; Meng, Q.; Chen, P. F.; Kisslinger, K.; Cen, J.; Orlov, A.; Zhu, Y.; Stach, E. A.; Chu, Y. H.; Su, D., Strain Coupling of Conversion–Type Fe<sub>3</sub>O<sub>4</sub> Thin Films for Lithium Ion Batteries. *Angew. Chem. Int. Ed.* **2017**, *56*, 7813–7816.
34. He, K.; Zhang, S.; Li, J.; Yu, X.; Meng, Q.; Zhu, Y.; Hu, E.; Sun, K.; Yun, H.; Yang, X.-Q., Visualizing Non-Equilibrium Lithiation of Spinel Oxide *via In Situ* Transmission Electron Microscopy. *Nat. Commun.* **2016**, *7*, 11441.
35. Huang, J. Y.; Zhong, L.; Wang, C. M.; Sullivan, J. P.; Xu, W.; Zhang, L. Q.; Mao, S. X.; Hudak, N. S.; Liu, X. H.; Subramanian, A., *In Situ* Observation of The Electrochemical Lithiation of a Single SnO<sub>2</sub> Nanowire Electrode. *Science* **2010**, *330*, 1515–1520.
36. Kresse, G.; Furthmüller, J., Efficiency of *Ab-Initio* Total Energy Calculations for Metals and Semiconductors Using a Plane-Wave Basis Set. *Comput. Mater. Sci.* **1996**, *6*, 15–50.
37. Kresse, G.; Furthmüller, J., Efficient Iterative Schemes for *Ab Initio* Total-Energy Calculations Using a Plane-Wave Basis Set. *Phys. Rev. B - Condens. Matter Mater. Phys.* **1996**, *54*, 11169–11186.
38. Kim, C.; Borodin, O.; Karniadakis, G. E., Quantification of Sampling Uncertainty for Molecular Dynamics Simulation: Time-Dependent Diffusion Coefficient in Simple Fluids. *J. Comput. Phys.* **2015**, *302*, 485–508.
39. Wang, J. W.; He, Y.; Fan, F.; Liu, X. H.; Xia, S.; Liu, Y.; Harris, C. T.; Li, H.; Huang, J. Y.; Mao, S. X., Two-Phase Electrochemical Lithiation in Amorphous Silicon. *Nano Lett.* **2013**, *13*, 709–715.
40. McDowell, M. T.; Lee, S. W.; Harris, J. T.; Korgel, B. A.; Wang, C.; Nix, W. D.; Cui, Y., *In Situ* TEM of Two-Phase Lithiation of Amorphous Silicon Nanospheres. *Nano Lett.* **2013**, *13*, 758–764.
41. Iwamura, S.; Nishihara, H.; Kyotani, T., Fast and Reversible Lithium Storage in a Wrinkled Structure Formed from Si Nanoparticles During Lithiation/Delithiation Cycling. *J. Power Sources* **2013**, *222*, 400–409.
42. Boukamp, B.; Lesh, G.; Huggins, R., All-Solid Lithium Electrodes with Mixed-Conductor Matrix. *J. Electrochem. Soc.* **1981**, *128*, 725–729.
43. Chou, C.-Y.; Kim, H.; Hwang, G. S., A Comparative First-Principles Study of the Structure, Energetics, and Properties Of Li–M (M= Si, Ge, Sn) Alloys. *J. Phys. Chem. C* **2011**, *115*, 20018–20026.
44. Key, B.; Bhattacharyya, R.; Morcrette, M.; Seznec, V.; Tarascon, J.-M.; Grey, C. P., Real-Time NMR Investigations of Structural Changes in Silicon Electrodes for Lithium-Ion Batteries. *J. Am. Chem. Soc.* **2009**, *131*, 9239–9249.
45. Key, B.; Morcrette, M.; Tarascon, J.-M.; Grey, C. P., Pair Distribution Function Analysis and Solid State NMR Studies of Silicon Electrodes for Lithium Ion Batteries: Understanding the (De)Lithiation Mechanisms. *J. Am. Chem. Soc.* **2011**, *133*, 503–512.

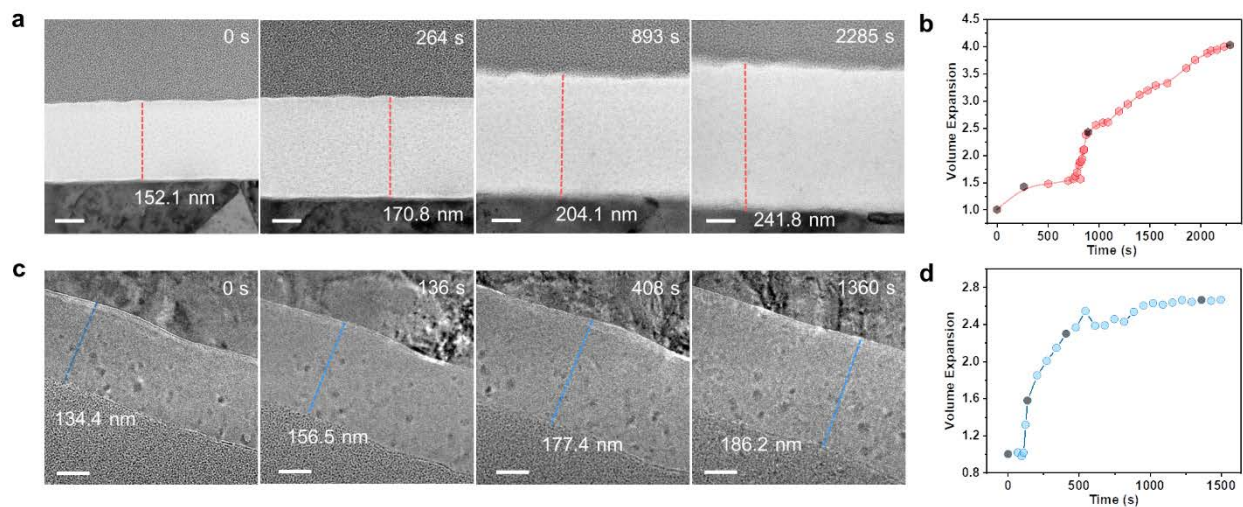
46. Artrith, N.; Urban, A.; Wang, Y.; Ceder, G., Atomic-Scale Factors That Control the Rate Capability of Nanostructured Amorphous Si for High-Energy-Density Batteries. *arXiv:1901.09272v1 [cond-mat.dis-nn]* **2019**.



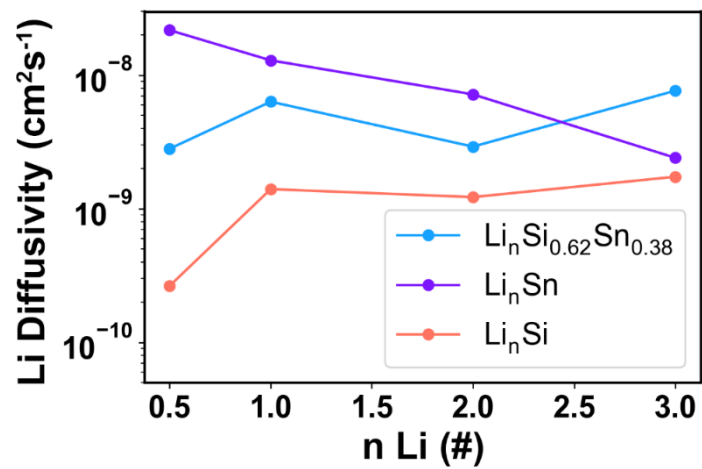
**Figure 1.** Synthesis and physical characterization of Si-based thin film electrodes. (a) Integrated Si-based thin films deposited on Cu foils directly used as the electrodes in the coin cells. (b) Cross-sectional SEM images of Si-Sn and Si films along with a schematic, showing the films deposited on the Si wafer coated with 100 nm silicon oxide during the same run for thickness characterization. Scale bar is 200 nm. (c) XRD patterns of Si and Si-Sn films along with Si, Sn, and Cu reference.



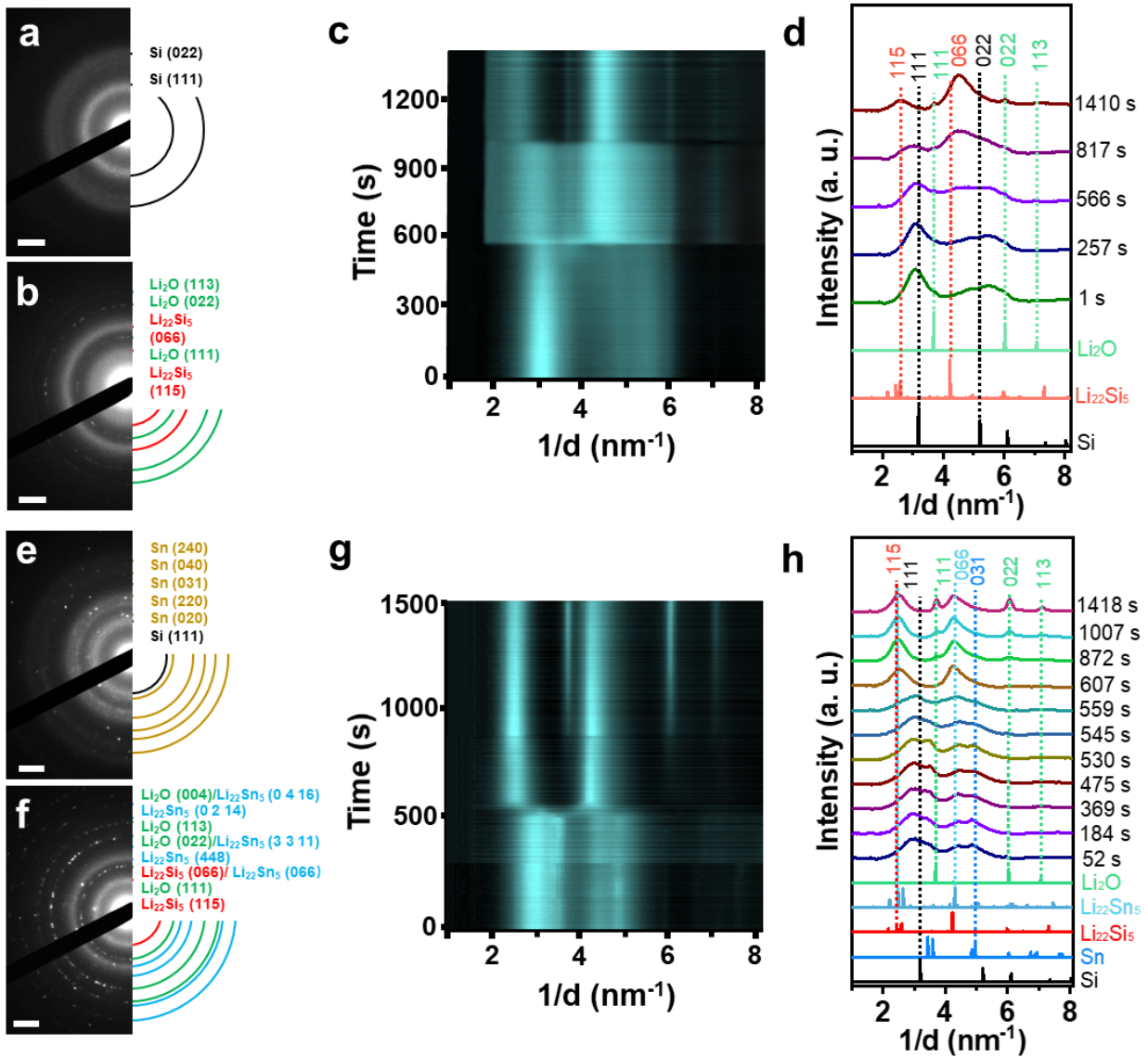
**Figure 2.** Electrochemistry of Si-based thin film electrodes. (a) Charge-discharge profiles and (b) CV plots during the first two cycles; (c) CV plots enlarged in the range of 1.5 – 0.45 V during the first cycle, showing the lithiation of Sn occurs at a voltage higher than 0.45 V; and (d) cycling performance of Si-Sn film. Error bar shows the standard deviation calculated based on the capacity from three duplicate cells.



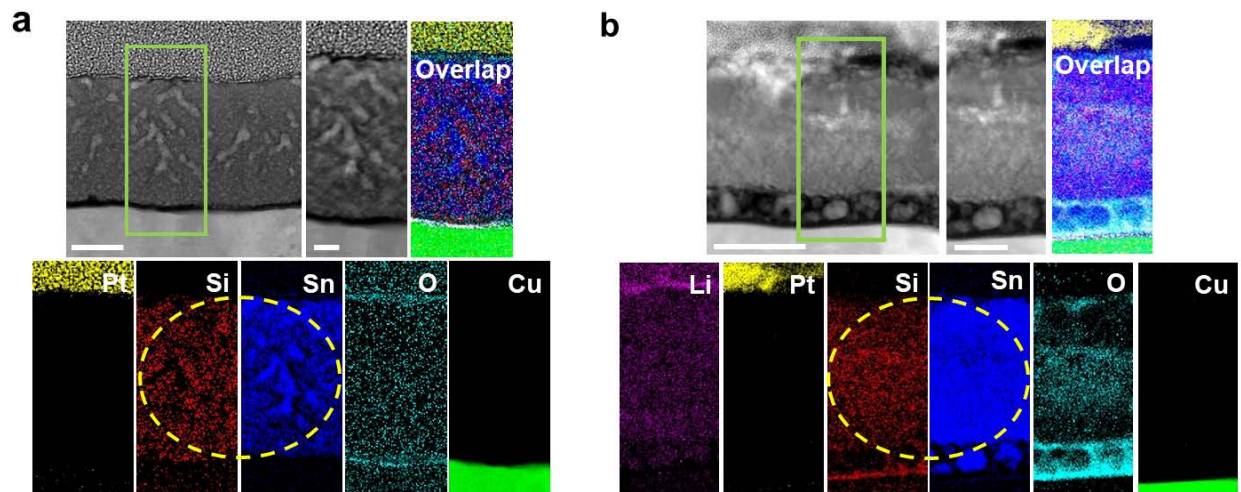
**Figure 3.** Volume expansion visualized by *in situ* TEM. (a) Cross-sectional *in situ* STEM images of Si film and (c) cross-sectional *in situ* TEM images of Si-Sn film captured at different time series, scale bar is 50 nm; volume expansion of (b) Si film and (d) Si-Sn film calculated from corresponding *in situ* images upon lithiation. Black symbols in (b, d) mark the images captured in (a, c), respectively.



**Figure 4.** Li ion diffusivity derived from the first principle calculations. Calculated Li<sup>+</sup> diffusivity in a-Sn and a-Si<sub>0.62</sub>Sn<sub>0.38</sub> is much higher than that in a-Si.

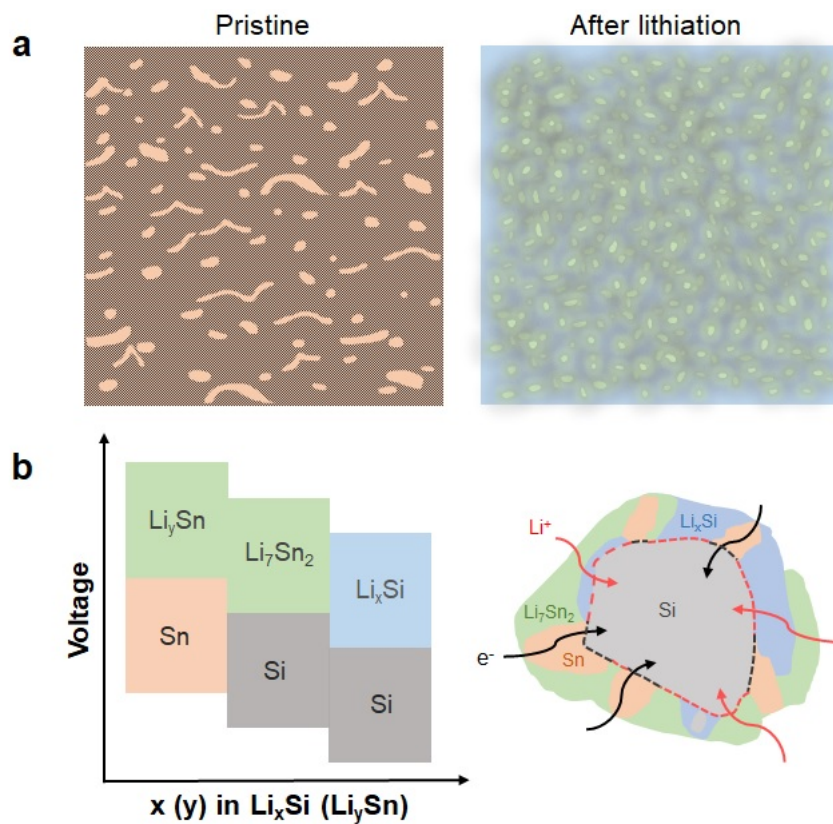


**Figure 5.** Phase evolution tracked by *in situ* SAED. SAED patterns of (a) pristine Si film, (b) fully lithiated Si film, (e) pristine Si-Sn film and (f) fully lithiated Si-Sn film, scale bar is 2  $1/\text{nm}$ . Electron diffraction intensity profiles as a function of reaction time during the *in situ* lithiation of (c) Si and (g) Si-Sn films. Integrated intensity profiles of (d) Si in time sequence along with Si,  $\text{Li}_{22}\text{Si}_5$  and  $\text{Li}_2\text{O}$  reference and (h) Si-Sn along with Si, Sn,  $\text{Li}_{22}\text{Si}_5$ ,  $\text{Li}_{22}\text{Sn}_5$  and  $\text{Li}_2\text{O}$  reference.



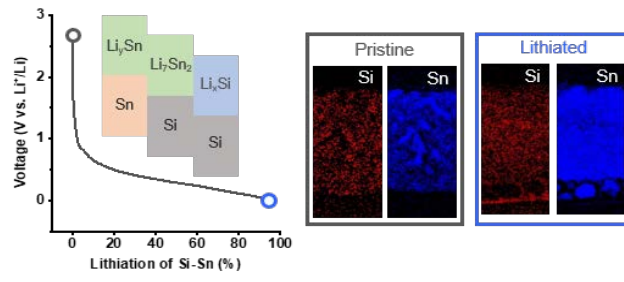
**Figure 6.** Dynamic elemental rearrangement during the lithiation of Si-Sn mapped by EELS. Microstructure and elemental distribution at (a) pristine and (b) fully lithiated states for Si-Sn film. Scale bar from left to right is 50, 20 nm in (a) and 100, 50 nm in (b).





**Figure 7.** Schematic of lithiation mechanism for Si-Sn electrode. (a) Microstructural evolution originating from the volume expansion upon lithiation leads to homogeneous elemental distribution and (b) Sn,  $\text{Li}_x\text{Si}$  and  $\text{Li}_y\text{Sn}$  facilitates mixed conductive matrix and promotes the lithiation kinetics.

## Table of Contents



## Supporting Information for

### **Tin Metal Improves Lithiation Kinetics of High-Capacity Silicon Anode**

Kang Yao,<sup>1,5</sup> Na Li,<sup>2,3,5</sup> Ning Li,<sup>1</sup> Eric Sivonxay,<sup>4</sup> Yaping Du,<sup>3</sup> Kristin A. Persson,<sup>1,4</sup> Dong Su,<sup>2,\*</sup>  
and Wei Tong<sup>1,\*</sup>

<sup>1</sup>*Energy Storage and Distributed Resources Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720 USA*

<sup>2</sup>*Center for Functional Nanomaterials, Brookhaven National Laboratory, Upton, NY 11973 USA*

<sup>3</sup>*School of Materials Science and Engineering & National Institute for Advanced Materials, Nankai University, Tianjin 300350, China*

<sup>4</sup>*Department of Materials Science and Engineering, University of California, Berkeley, Berkeley, CA 94720, USA*

<sup>5</sup>These authors contributed equally

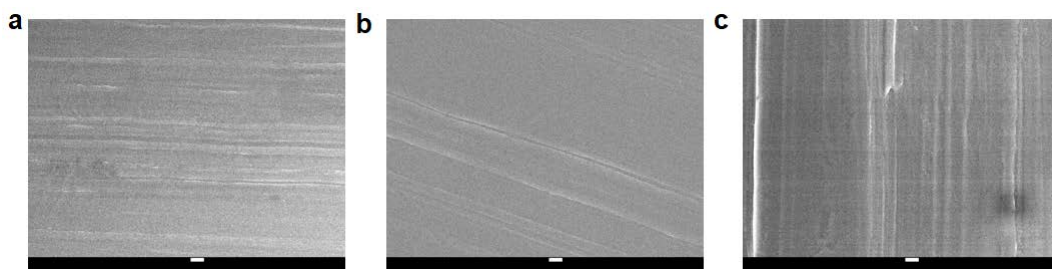
\*Correspondence: weitong@lbl.gov, free.sd@gmail.com

**Movie S1.** *In situ* STEM observation, showing the lithiation process of pure Si film. The movie clip is accelerated by 80 times.

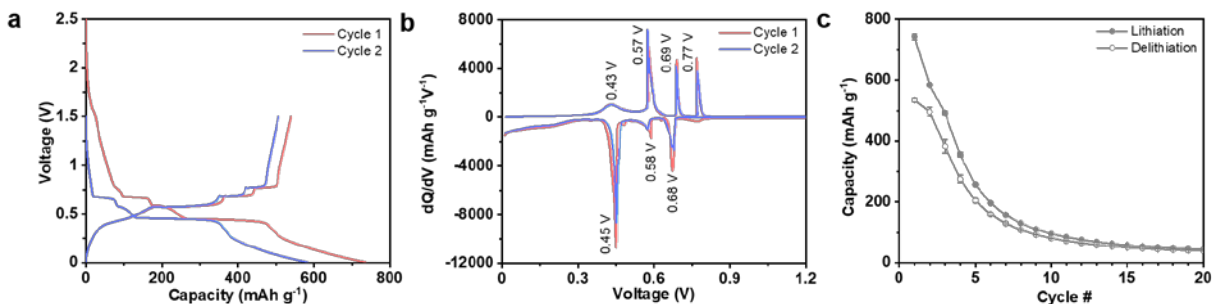
**Movie S2.** *In situ* TEM observation, showing the lithiation process of the Si-Sn film. The movie clip is accelerated by 60 times.

**Movie S3.** *In situ* SAED observation, showing the lithiation process of pure Si film. This *in situ* observation is interrupted twice for adjusting the condition of experiment at 12:47:08 S and 12:47:36 S, respectively. The movie clip is accelerated by 60 times.

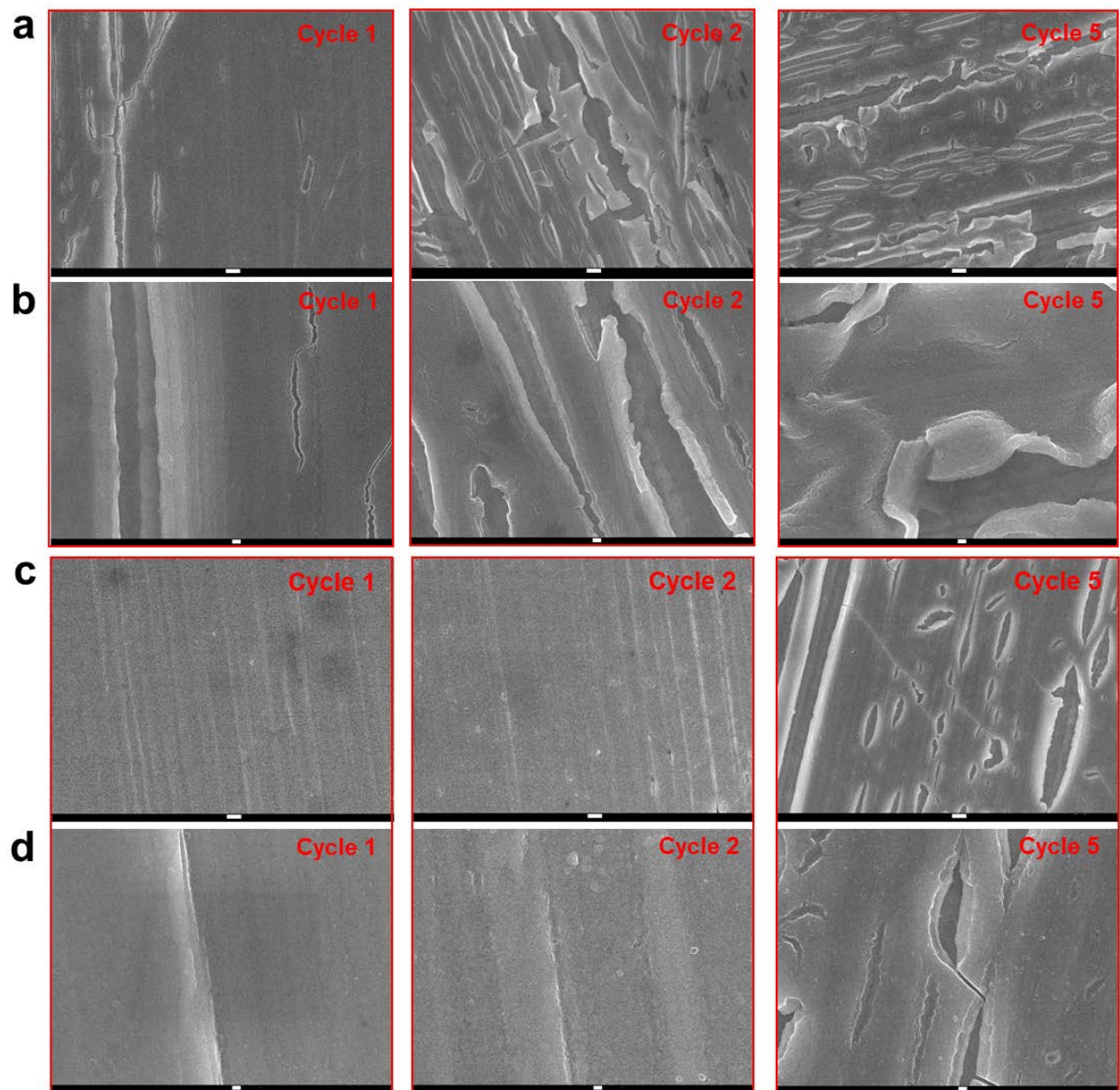
**Movie S4.** *In situ* SAED observation, showing the lithiation process of the Si-Sn film. This *in situ* observation is interrupted twice for adjusting the condition of experiment at 4:21:50 S and 4:24:07 S, respectively. The movie clip is accelerated by 82 times.



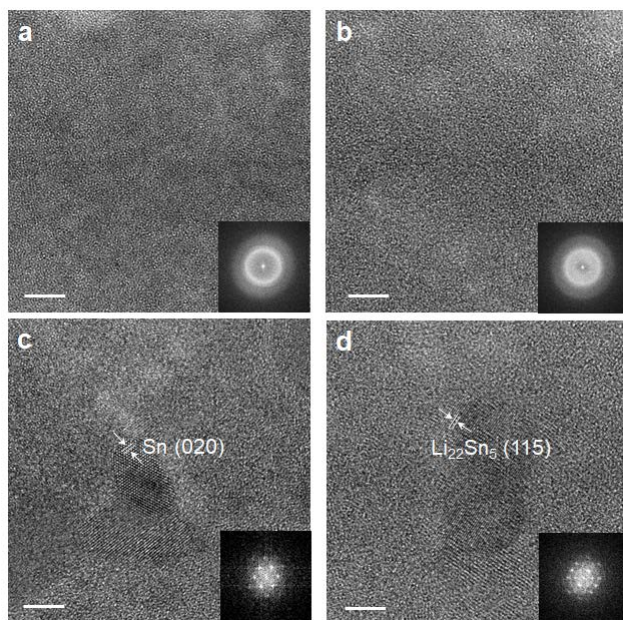
**Figure S1.** SEM images of the Si-based films. (a) Cu foil substrate, (b) Si and (c) Si-Sn. Both films show a similar morphology to Cu foil substrate used for film deposition and current collector for the electrochemical testing. Scale bar is 1  $\mu\text{m}$ .



**Figure S2.** Electrochemical performance of the Sn film. (a) Voltage profiles, (b) dQ/dV plots during the first two cycles, (c) cycling performance with error bars, which are calculated from three duplicate cells. The thickness of the Sn film is 100 nm.

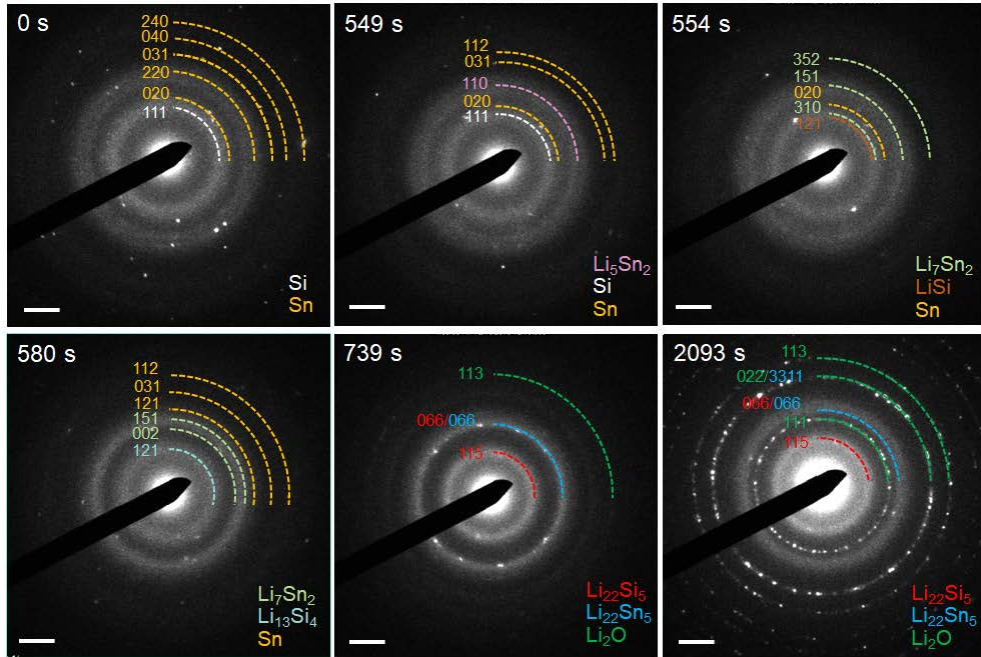


**Figure S3.** SEM images of the cycled Si and Si-Sn films. (a, b) Si and (c, d) Si-Sn films after 1, 2 and 5 cycles at different magnification. Scale bar is 1  $\mu\text{m}$  in (a, c) and 100 nm in (b, d).



**Figure S4.** HR-TEM images of the Si-based films. (a) Pristine Si, (b) lithiated Si, (c) pristine Si-Sn and (d) lithiated Si-Sn films. Scale bar is 5 nm.





**Figure S5.** *In situ* SAED analysis of the Si-Sn thin film captured in time sequence during the lithiation. Scale bar is 2 1/nm.

**Table S1.** Physical and electrochemical properties of the as-deposited Si and Si-Sn (Si<sub>0.62</sub>Sn<sub>0.38</sub>) thin films during the first cycle.

Anode	Si (180 nm)	Si-Sn (140 nm)
Molecular weight (g mol <sup>-1</sup> )	28.1	62.5
Theoretical capacity (mAh g <sup>-1</sup> )	4211	1887
Theoretical density (g cc <sup>-1</sup> )	2.32	4.57
Electrode area (cm <sup>2</sup> )	1.6024	1.6024
Thickness (nm)	180	140
Theoretical electrode mass (g)	6.69e <sup>-5</sup>	1.03e <sup>-4</sup>
Lithiation capacity (mAh cm <sup>-2</sup> , 0.01 V)	0.1697	0.1140
Specific lithiation capacity (mAh g <sup>-1</sup> , 0.01 V)	4065	1774
Percentage of lithiation (%)	96.5	94.0