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Homogeneity and Isotropy of Compressed and Stabilized Earth Block Material: Mechanical Characterization and Statistical Analysis

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#### 24 **ABSTRACT**

25 Compressed and stabilized earth block (CSEB) masonry is a locally appropriate alternative for 26 low-rise dwellings that offer attractive affordability, sustainability, and durability features. From 27 a designer's perspective, the availability of standards for material characterization and design 28 codes is essential for CSEB masonry to be accepted and adopted. However, current standards 29 and codes are limited—this is certainly the case in North America—and largely rely on empirical 30 and prescriptive provisions that are adapted from those for conventional (*e.g.*, fired clay, cinder 31 block) masonry. Advancing standardization and codification calls for advances in the 32 fundamental understanding of material and structural behavior as a function of constituents and 33 manufacturing methods. For CSEBs that are customarily compacted using metallic molds and 34 hydraulic presses, a fundamental gap lies in the understanding of whether the heterogeneity of 35 stabilized soil mixtures, together with the manufacturing process, result in block materials that 36 can be approximated as homogeneous and isotropic at the scale of specimens used for physico-37 mechanical characterization. This paper reports on an investigation of a CSEB material whose 38 constituent properties and manufacturing process are representative of those in North America. 39 Homogeneity and isotropy are established based on empirical evidence from microscopic and 40 chemical analysis, and on the statistical analysis of uniaxial compressive strength and stiffness 41 data obtained from samples that were extracted from different areas of different source blocks, 42 and tested by applying loads parallel or perpendicular to the compaction direction.

43

44 **Author keywords:** Earth block; Earth masonry; Homogeneity; Isotropy; Stabilized soil.

#### 45 **INTRODUCTION**

46 Compressed and stabilized earth blocks (CSEBs) are typically manufactured in a press using a 47 soil mixture with a small amount of stabilizer such as cement and lime (Walker and Stace 1997, 48 Vilane 2010). The use of CSEBs enables the construction of high-quality low-rise buildings that 49 offer desirable sustainability features, such as affordability (*e.g.*, Kumar *et al.* 2018), durability 50 (*e.g.*, Walker 2004), and lower embodied energy compared to fired clay and concrete masonry 51 (Venkatarama Reddy and Jagadish 2003, Morton 2008). In addition, it is possible to engineer 52 CSEB masonry structures that are capable of withstanding extreme loads due to natural hazards, 53 including earthquakes (Morris *et al.* 2011) and high winds (Matta *et al.* 2015, Cuéllar-Azcárate 54 2016, Kumar *et al.* 2018, Erdogmus *et al.* 2019). In fact, earth masonry has been deployed in 55 numerous applications in developed countries (*e.g.*, Germany, New Zealand, Switzerland), and 56 may offer a locally-appropriate alternative to alleviate the growing shortage of affordable houses 57 in North America. However, stabilized earth buildings remain uncommon and are typically 58 perceived as substandard by owners. For example, in the US engineers may rely on a few 59 standards (*e.g.*, ASTM 2016) and building codes based on empirical and prescriptive provisions 60 (*e.g.*, NM CPR 2016, ICC 2021), which are typically adapted from fired clay and concrete 61 masonry provisions.

62

63 Understanding the influence of constituents and manufacturing processes on the physical and 64 mechanical properties of CSEB materials is necessary to underpin the development of 65 standardized test methods as well as analysis and design tools. A fundamental knowledge gap 66 exists on whether the intrinsic heterogeneity of stabilized soil mixtures enables the 67 manufacturing of blocks whose materials can be regarded as homogeneous and isotropic at

68 scales of ~10-100 mm, which are representative of specimens used for physico-mechanical 69 characterization. The heterogeneity of stabilized soil mixtures accrues from the variety of 70 particles having different sizes, shapes, morphologies, and chemical compositions (*e.g.*, Islam *et*  71 *al.* 2020), and the amount and spatial distribution of water and stabilization compounds such as 72 cement hydrates (Gooding 1994, González-López *et al.* 2018). The manufacturing process may 73 also influence the degree of homogeneity and isotropy of CSEB materials, as reported for other 74 masonry materials such as rammed earth (Bui and Morel 2009, Bui *et al.* 2009), extruded earth 75 bricks (Aubert *et al.* 2016), and extruded fired clay bricks (Cabané *et al.* 2022). While mixing 76 may be performed either manually or mechanically to obtain a similarly uniform mixture, quasi-77 static compaction may introduce inconsistencies even when using a hydraulic press. In particular, 78 spatial variability of the dry density of CSEB materials may result from a non-uniform 79 distribution of the compaction pressure, which depends on the compaction method (*e.g.*, single-80 sided, double-sided), magnitude of the pressure imparted, and friction between soil particles and, 81 more importantly, between soil mixture and mold surfaces (Gooding 1993, 1994). In addition, 82 quasi-static compaction of clayey soils may facilitate the formation of clusters of flaky clay 83 particles that tend to be oriented perpendicularly to the compaction direction (Sloane and Kell 84 1966, Oliveira 2004). As a result, the preferential alignment of clay particles may constitute a 85 significant source of anisotropy since the soils used for earth blocks have clay contents that are 86 typically in the range 5-40% in weight (Jiménez Delgado and Cañas Guerrero 2007).

87

88 To the best of the author's knowledge, two studies offer some insight on the homogeneity and 89 isotropy of CSEB materials. For a CSEB material incorporating 5% ordinary portland cement 90 (OPC) in weight and compacted with a nominal pressure of 9.7 MPa, Gooding (1994) presented

91 experimental evidence based on compression tests of cube specimens that were extracted from 92 one single-sided compacted block, and one double-sided compacted block. Depending on the 93 location where the specimens were extracted (*i.e.*, across the depth or the surface of the source 94 CSEB), the compressive strength data differ on average by up to 15% and 4.5% for single-sided 95 and double-sided compacted blocks, respectively. Gooding (1994) noted that a larger sample size 96 is needed to understand whether the data variability is statistically significant, and validated these 97 findings in relation to material homogeneity. González-López *et al.* (2018) reported compressive 98 strength data for 30 mm  $\times$  40 mm  $\times$  200 mm CSEB-material specimens stabilized with 5% in 99 weight of OPC or lime, compacted using different hydraulic presses, and tested by applying 100 compressive forces either parallel or perpendicular to the direction of the compaction pressure. 101 The results suggest that the CSEB material behaves less anisotropically as the compaction 102 pressure and amount of stabilizer increase. No supporting information was provided on sample 103 size and statistical analysis of test data.

104

105 This paper reports on an experimental and analytical investigation on the homogeneity and 106 isotropy of a CSEB material made with a clayey soil that is common across the US, completing 107 the research introduced in Rengifo-López *et al.* (2017, 2018). CSEB specimens were extracted 108 from blocks that were manufactured through quasi-static hydraulic compaction. Microscopic 109 inspection and elemental analysis were enlisted to characterize the micro-scale and meso-scale 110 structure of the CSEB material. A statistically significant test matrix was designed including 111 cube specimens that were load tested under uniaxial compression to characterize compressive 112 strength and elastic stiffness. Homogeneity was investigated using specimens that were extracted 113 at different locations (mid-block, corners) of the source CSEBs. Isotropy was investigated by

114 applying the compressive load either parallel or perpendicular to the direction of the compaction 115 pressure. A statistical analysis was performed to understand the statistical significance of the 116 differences between strength and stiffness data as a function of cube specimen location, and 117 compressive load direction vis-à-vis compaction direction.

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#### 119 **SIGNIFICANCE**

120 Similar to other cementitious composites (*e.g.*, mortar, concrete), the uniaxial compressive 121 strength is the main property used to characterize the durability and mechanical performance of 122 CSEB materials. Current material characterization and structural analysis practices rely on the 123 assumption that CSEB materials are homogeneous and isotropic with respect to compressive 124 strength. For example, this is a typical assumption for numerical models (*e.g.*, Miccoli *et al.* 125 2015, Kumar *et al.* 2022, 2023) as well as compression strength characterization since uniaxial 126 loads are customarily imparted in the direction parallel to that of compaction (*e.g.*, Walker and 127 Stace 1997, Walker 2004, Piattoni *et al.* 2011, Matta *et al.* 2015, Cuéllar-Azcárate 2016, Islam *et*  128 *al.* 2020). Therefore, establishing if and at what scale representative CSEB materials may be 129 approximated as homogeneous and isotropic has practical implications for manufacturing, 130 standardization, structural analysis and numerical modeling, and ultimately design.

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#### 132 **EXPERIMENTAL PROGRAM**

- 133 **Materials**
- 134 *Soil*

135 The soil used in this research was sourced from a pit in Lexington, SC [**Fig. 1(a)**]. The soil was 136 air dried, crushed in a mechanical mixer using 12 steel balls with a 48-mm diameter, and sieved 137 using a standard No. 8 mesh (nominal opening = 2.36 mm) as reported in Rengifo-López (2022). 138 The resulting soil is shown in **Fig. 1(b)**. **Fig. 1(c)** presents the soil gradation curve as determined 139 according with ASTM D6913 (ASTM 2017d) and ASTM D7928 (ASTM 2017c). For a given 140 sieve size, the data indicate the average and standard deviation (SD) of the percent passing based 141 on measurements on five soil samples with an average mass of 540 g  $(SD = 42.5 g)$ .

142

143 The Atterberg limits and optimum moisture content were characterized in accordance with 144 ASTM D4318 (ASTM 2017b) using six soil samples and ASTM D698 (ASTM 2012) using four 145 soil samples, respectively. **Table 1** presents the resulting average and SD values for sand, silt and 146 clay content, liquid limit, plastic limit, plasticity index, and optimum moisture content. Based on 147 the criteria specified in ASTM D2487 (ASTM 2017a), the soil was classified as a clayey sand 148 (SC). As a result, the soil selected for this investigation is characterized by composition and 149 properties that are representative of typical soils that are suitable for earthen construction (*e.g.*, 150 Jiménez Delgado and Cañas Guerrero 2007, Morton 2008).

151

#### 152 *Compressed and stabilized earth blocks*

153 The soil in **Fig. 1** was used to manufacture CSEBs with nominal dimensions of 254 mm × 178 154 mm  $\times$  89 mm following the procedure detailed in Cuéllar-Azcárate (2016). A soil mixture 155 containing Type I OPC (6% in weight) and water (20% in weight) was used. The higher amount 156 of water compared to the Proctor test-based optimum moisture content in **Table 1** was selected 157 based on evidence from ball drop tests (Rigassi 1985, Islam *et al.* 2020). The choice of OPC as 158 stabilizer reflects a realistic intent to facilitate the initial acceptance of a technology, which is not 159 mainstream yet, by using a material that is suitable to enhance strength and durability, widely 160 available, and familiar to builders. In this instance, it is noted that the amount of OPC is 161 sufficient to yield a two-fold increase in compressive strength compared to counterpart 162 unstabilized earth blocks (Matta et al. 2015) while lying well below typical weight ratios of OPC 163 to fine and coarse aggregates  $(\sim 10\%)$ . However, the overarching perspective is also to 164 demonstrate proof-of-concept and contribute to paving the way for other sensible and 165 environmentally sustainable stabilization strategies (Van Damme and Houben 2018), rather than 166 solely pursuing the development of another form of low-strength OPC-based composite.

167

168 The mixture was placed into a steel mold and then compressed at a nominal pressure of 10 MPa 169 using a commercial hydraulic press (model EPH-2008, Fernco Metal Products, Capitan, NM), as 170 illustrated in **Fig. 2(a)**. The CSEBs were cured indoors for 14 days while tightly wrapped in 171 plastic sheets. Upon removal of the plastic sheets, the CSEBs were air cured indoors for a 172 minimum of 90 days prior to extracting the specimens for the material microstructural, chemical, 173 and mechanical characterization.

174

175 Upon curing, the average density of 1701 kg/m<sup>3</sup> (SD = 66.6 kg/m<sup>3</sup>) was determined based on the 176 measured mass and side lengths of seven specimens having 127 mm  $\times$  127 mm  $\times$  89 mm 177 nominal dimensions, which were cut from four CSEBs using a diamond saw blade. Then, these 178 specimens were crushed using a loading frame to extract smaller samples, which were weighted 179 and then oven-dried at a temperature of 110°C for 24 hours. These samples were used to 180 characterize the average moisture content of 2.38% (SD =  $0.45\%$ ) and average dry density of 181 1660 kg/m<sup>3</sup> (SD = 63.5 kg/m<sup>3</sup>). Coherently with the stated research significance, it is emphasized 182 that the manufacturing procedure was intended to yield CSEB specimens whose density and 183 moisture content are representative of in-service conditions (*e.g.*, González-López et al. 2018) 184 rather than to be used for quality control purposes. In the latter case, characterizing the strength 185 of wet specimens after pre-soaking may be preferable (*e.g.*, Walker 2004).

186

#### 187 **Microstructural and chemical characterization**

188 Scanning electron microscopy (SEM) analysis was enlisted to visually examine the 189 microstructure of the CSEB material at the micro- and meso-scale. In combination with SEM 190 analysis, energy dispersive spectroscopy (EDS) analysis was used for the chemical (elemental) 191 characterization. The tests were performed using a variable-pressure scanning electron 192 microscope with integrated EDS capabilities (model VEGA3 SBU, TESCAN, Czech Republic) 193 on a total of 16 sample surfaces, each with an area of 5 mm  $\times$  5 mm, which were randomly 194 selected from four 10 mm  $\times$  10 mm  $\times$  10 mm cubic samples that were cut from mid-block and 195 corner portions of source CSEBs. Each sample was mounted on aluminum stubs using adhesive 196 carbon tabs, and then coated twice using a gold target (model Desk II, Denton Vacuum, 197 Moorestown, NJ). Testing yielded 120 SEM micrographs with magnification ranging from  $\sim 50 \times$ 198 to  $\approx$  25,000 $\times$  and 42 EDS elemental maps.

199

#### 200 **Mechanical characterization**

#### 201 *Test matrix*

202 Uniaxial compression tests were performed on a total of 84 76-mm cube specimens that were 203 wet-cut from 14 CSEBs using a diamond saw blade and dried in an indoor laboratory 204 environment for one week. Each cut surface was inspected to assess its dryness, integrity, and the 205 absence of visible cracks. As illustrated in **Fig. 2(b)**, six cube specimens were obtained from 206 each CSEB, including four "corner" specimens (denoted as C1 through C4), each having two 207 lateral surfaces in contact with the steel mold during manufacturing, and two "mid-block" 208 specimens (denoted as M1 and M2). **Table 2** summarizes the test matrix, which was designed to 209 produce a suitable dataset to assess:

210 • homogeneity, based on the uniaxial strength and elastic stiffness of specimens cut from 211 different locations, *i.e.*, "C" for corners, and "M" for mid-block; and,

212 • isotropy, based on the uniaxial strength and elastic stiffness of specimens loaded in different 213 orthogonal directions, *i.e.*, "X" and "Y" perpendicular and "Z" parallel to the compaction 214 direction during manufacturing, respectively.

215 In fact, each group of four "C" cubes and two "M" cubes extracted from a given CSEB includes 216 specimens that are nominally identical since they were subjected to: (i) a similar pressure during 217 compaction; (ii) similar boundary conditions during compaction; and (iii) a similar cutting 218 process. From each CSEB, two randomly selected cube specimens were tested for each loading 219 direction, for a total of 28 specimens for X, Y, and Z, respectively, including 56 corner 220 specimens and 28 mid-block specimens. This approach aimed to minimize the number of CSEBs 221 needed to obtain a sufficient number of cube specimens to derive strength and stiffness statistics 222 for each loading direction.

223

### 224 *Uniaxial compression test setup and procedure*

225 The test setup is illustrated in **Fig. 3**. Each cube specimen was centered between two 51-mm 226 thick steel platens. A 0.4-mm thick polytetrafluoroethylene (PTFE) insert was placed between 227 the specimen and each platen to minimize constraining effects due to friction. For the case of 228 adobe, these effects have been documented by Illampas *et al.* (2014). The uniaxial compressive

229 load was applied monotonically up to failure, at a displacement rate of 0.03 mm/s, using a servo-230 controlled hydraulic test frame with a capacity of 245 kN. The load was measured using a 45-kN 231 load cell sandwiched between the top platen and the loading apparatus. Vertical displacements 232 were measured using four linear transducers that were rigidly mounted onto the bottom platen.

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#### 234 **RESULTS AND DISCUSSION**

235 First, the empirical (SEM and EDS) evidence on the microstructure and chemical composition of 236 the CSEB material at the micro- and meso-scale is presented and discussed, highlighting the 237 qualitative and quantitative findings that relate to homogeneity and isotropy. Second, the results 238 of the compression tests are used to generate datasets for two key mechanical parameters, 239 namely, compressive strength and elastic stiffness. These datasets serve as a quantitative means 240 to: (i) assess homogeneity and isotropy based on CSEB specimen location and loading direction, 241 respectively; and (ii) highlight the need of statistical analysis to rigorously draw conclusions.

242

#### 243 **Visual and chemical characterization**

#### 244 *Microstructure*

245 Representative SEM micrographs of the CSEB material at increasing magnifications ( $\sim$ 50 $\times$  to 246 ~10,000×) are shown in **Fig. 4**. At the meso-scale, which is depicted in **Fig. 4(a)**, coarser (sand) 247 particles with size in the range  $\sim$ 0.1-1 mm are distributed throughout and embedded in a 248 compacted matrix of stabilized soil with finer particles. The interface discontinuities between 249 coarser particles and surrounding matrix are illustrated in the close-up image of a sand grain in 250 **Fig. 4(b)**; this image highlights the importance of using soil mixtures having a limited and well-251 distributed volume of sand in a stabilized soil matrix to hinder the formation of interphases and

252 weaker areas. The typical pore size and distribution are more visible at the micro-scale, as shown 253 in **Fig. 4(c)** where pores with size of ~10 μm or less are relatively well distributed through the 254 soil matrix. Further increasing the magnification enables one to appreciate the micro-scale 255 heterogeneity of the CSEB material; for example, in **Fig. 4(d)** the characteristic flaky shape of 256 clay particles with different sizes and orientations can be observed.

257

258 The evidence obtained through SEM analysis illustrates: (i) the relatively low porosity that 259 accrues from compaction and stabilization, and whose consistency in the pore size and spatial 260 distribution may not affect homogeneity more adversely than the morphology and distribution of 261 the solid particles and phases; and (ii) how the CSEB material heterogeneity, which is evident at 262 the micro-scale, fades at decreasing magnifications, and thus at scales that are relevant for the 263 characterization of mechanical properties suitable for structural analysis and design.

264

#### 265 *Chemical composition*

266 The main chemical elements were identified through quantitative EDS analysis of salient spectra 267 vis-à-vis default data available for all chemical elements through the equipment used (Newbury 268 and Ritchie 2013). **Fig. 5** presents the mass concentrations in seven randomly selected CSEB 269 sample areas at magnifications ranging from  $\sim 100 \times$  to  $\sim 5000 \times$ . It is noted that oxygen (O), 270 aluminum (Al), and silicon (Si) provide the main peaks in the EDS spectra of kaolinite, a 271 common clay mineral whose presence is expected in the soil used in this research. All elements, 272 including carbon (C), O, Al, Si, potassium (K), iron (Fe), and calcium (Ca), are consistently well 273 distributed irrespective of range of concentration (*i.e.*, mass %) and image magnification (**Fig. 5**), 274 thus indicating that the CSEB material has a fairly homogeneous chemical composition.

275 Since Ca was not detected in the unstabilized soil—as also reported by Cuéllar-Azcárate (2016) 276 for soil sourced from the same location—the two-dimensional EDS mapping capabilities of the 277 integrated SEM/EDS instrument were enlisted to assess the presence and distribution of Ca as an 278 indicator of the cement hydrates resulting from soil stabilization. **Fig. 6** presents the Ca maps for 279 three CSEB sample areas, which were selected among the seven that yielded the results in **Fig. 5** 280 to provide representative results within a comparable range of magnifications  $(\sim 100 \times t_0)$  $281 \sim 5000 \times$ ). It is noted that Ca was not detected in areas where sand particles or large voids are 282 located; otherwise, cement hydrates are similarly well distributed throughout the stabilized soil 283 matrix from the meso-scale [**Fig. 6(a-b)**] to the micro-scale [**Fig. 6(b-c)**].

284

#### 285 **Mechanical characterization**

286 All CSEB cube specimens subject to uniaxial compressive loads failed in a quasi-brittle fashion. 287 As shown in **Fig. 7** for specimens loaded in different directions, columnar (vertical) cracks 288 developed since the early stages of testing [*e.g.*, **Fig. 7(a)**], indicating that the test setup (**Fig. 3**) 289 was effective in mitigating frictional effects. The compressive load (and stress)-displacement 290 response envelopes, together with the curves for 15 representative specimens, are presented in 291 **Fig. 8** as a function of specimen location (C, M in **Fig. 2**), and in **Fig. 9** as a function of load 292 direction (X, Y, Z in **Fig. 2**).

293

#### 294 *Compressive strength*

295 **Table 3** reports the compressive strength, *f*CSEB, for all specimens, together with the mean and 296 coefficient of variation (CV) for each group of six specimens extracted from each one of the 14 297 CSEBs (B01 through B14). For a given source CSEB, the mean *f*CSEB and CV were in the range

298 1.64-4.70 MPa and 8.9-21.2%, respectively. It is noted that the ranges of CV obtained in this 299 study are consistent with data reported in the literature for earth block materials (*e.g.*, Ciancio 300 and Gibbings 2012, Silveira *et al.* 2013, Ruiz *et al.* 2018). To discuss these results vis-à-vis 301 material homogeneity and isotropy, **Table 4** summarizes the mean *f*CSEB and the CV for six 302 groups of specimens, namely "All", "C" and "M" (grouped by location), and "X", "Y" and "Z" 303 (grouped by load direction). For the entire dataset, the mean and CV are 3.40 MPa and 29.3%, 304 where the former is suitable for structural applications (*e.g.*, Matta *et al.* 2015).

305

306 When assessing the results based on location, it is noted that mid-block (M) specimens have an 307 average strength that is 6.3% greater and less variable than corner (C) specimens. This result 308 may not be explained by the cutting process since the M and C specimens were manufactured by 309 wet-cutting on four and three surfaces, respectively. Instead, this result may be influenced by 310 frictional effects at the soil-mold interface resulting in areas of less effectively compacted 311 material compared to the mid-block region. However, given the limited differences in both 312 strength and variability, statistical analysis is needed to understand whether these differences are 313 significant enough to conclude that the CSEB material is not homogeneous at the scale of the 314 cube specimens used in this research.

315

316 When assessing the results based on load direction, it is noted that the specimens loaded in the 317 compaction direction (Z) have an average strength that is 11.5% and 9.2% smaller and more 318 variable than those loaded in the orthogonal directions X and Y, respectively. This empirical 319 evidence suggests that the CSEB material is not necessarily characterized by a greater strength in 320 the direction parallel to that of quasi-static compaction. However, given the limited differences

321 in both strength and variability, statistical analysis is needed to understand whether these 322 differences are significant enough to conclude that the CSEB material is not isotropic at the scale 323 of the cube specimens used in this research.

324

#### 325 *Elastic stiffness*

326 Based on the axial load-displacement data summarized in **Fig. 8** and **Fig. 9**, the elastic stiffness  $327$  was calculated as the slope of the linear portion of a given curve at a stress equal to  $0.5f_{\text{CSEB}}$ . 328 **Table 5** reports the elastic stiffness, *K*CSEB, for all specimens, together with the mean and CV for 329 each group of six specimens (five in one instance where displacement measurements were not 330 acquired) extracted from each CSEB. For a given source CSEB, the mean *K*CSEB and the CV 331 were in the range 9.10-18.6 kN/mm and 10.1-22.7%, respectively. **Table 6** summarizes the mean 332 *K*CSEB and the CV for six groups of specimens, similar to **Table 4**. For the entire dataset, the 333 mean and CV are 13.3 kN/mm and 36.6%, thus consistent with the variability observed for *f*CSEB. 334 It is noted that the variability of both unconfined compressive strength and elastic stiffness is an 335 order of magnitude greater than that of the average density of the CSEB material (CV =  $3.9\%$ ), 336 indicating that the latter may not be sufficient to explain the former.

337

338 The results based on specimen location and load direction are consistent with the strength results 339 in **Table 4**. The mid-block (M) specimens have an average stiffness that is 3.81% greater than 340 the corner (C) specimens, which may support the conclusion that the CSEB material is 341 homogeneous. However, the specimens loaded in the compaction direction (Z) have an average 342 stiffness that is 16.0% and 9.7% smaller and more variable than those loaded in the orthogonal 343 directions X and Y, respectively. This empirical evidence suggests that the elastic stiffness may

344 depend on the compaction direction. The significance of these differences is investigated based 345 on statistical analysis, as reported in the next section.

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#### 347 **STATISTICAL ANALYSIS**

348 To quantitatively support conclusions on the homogeneity and isotropy of the CSEB material 349 based on the datasets provided in **Table 3** through **Table 6**, statistical analysis was enlisted to 350 study the influence of specimen location and load direction on compressive strength and elastic 351 stiffness. First, the dispersion of the experimental data was graphically analyzed using notched 352 box plots (NBPs). Second, analysis of variance (ANOVA) and Levine's test were employed to 353 investigate the statistical difference between the mean values and variances of *f*CSEB and *K*CSEB 354 for specimens groups based on location  $(C, M)$  and load direction  $(X, Y, Z)$ . Third, confidence 355 intervals of the mean and SD estimators were calculated and then used to assess the 356 appropriateness of the experimental sample sizes. Fourth, a goodness-of-fit test was performed to 357 identify appropriate statistical distributions for the experimental data.

358

#### 359 **Visualization of data dispersion through notched box plots**

360 The notched box plots (NBPs) in **Fig. 10** and **Fig. 11** present the experimentally determined 361 values of *f*CSEB and *K*CSEB, respectively, for the six CSEB specimen groups in **Table 4** and **Table**  362 **6**. Notched box plots are effective in graphically describing the statistical variability of datasets. 363 In an NBP, the central mark indicates the median; the lower and upper hinges of the box enclose 364 the interquartile range (IQR), *i.e.*, identify the dataset's  $25<sup>th</sup>$  and  $75<sup>th</sup>$  percentiles, respectively. A 365 given notch indicates the 95% confidence interval of the median value, which may be used as an 366 indicator of the statistical difference between medians of different datasets (McGill *et al.* 1978).

367 The upper and lower values are linked to the hinges through dashed lines ('whiskers'), and the 368 difference between these values is referred to as 'range'. The spacing between the different parts 369 of the box for a given group *(i.e.,* the median, upper hinge, lower hinge, and whiskers) 370 graphically describes the degree of data dispersion and skewness.

371

372 In **Fig. 10**, the range of *f*CSEB (*i.e.*, the difference between upper and lower value) for the six 373 different groups is between 3.6 MPa and 4.2 MPa, the IQR lies in the range 1.23–1.96 MPa, and 374 the CV varies between 26.1% and 32.8%, indicating that the datasets for all groups exhibit a 375 significant variability. It is noted that the data are approximately symmetrically distributed about 376 the median for all groups except for the specimens loaded in the compaction (Z) direction. The 377 notches present a significant albeit partial overlap for the specimens grouped by location. A 378 similar observation applies to groups X and Y; instead, the notches of groups X and Z and of 379 groups Y and Z present a relatively small overlap. To identify any statistically significant 380 differences between the medians of the different groups, a Wilconox rank-sum test (Hollander *et*  381 *al.* 2015) with a significance level equal to 0.05 was performed. As reported in **Table 7**, the 382 resulting *p*-values  $\geq 0.12$  consistently indicate that the difference between the medians of 383 different groups are not statistically significant.

384

385 In **Fig. 11**, the range of *K*CSEB for the six different groups is between 15.8 and 17.4 kN/mm, the 386 IQR lies in the range 6.1–9.8 kN/mm, and the CV varies between 33.7% - 38.6%, indicating that 387 also these datasets for all groups exhibit a significant variability. Similar to the *f*CSEB data other 388 than group Z, the *K*<sub>CSEB</sub> data for all groups are approximately symmetrically distributed about 389 their medians. In addition, all groups present significant overlaps between the confidence

390 intervals of their medians. As reported in **Table 8**, the resulting *p*-values  $\geq 0.12$  consistently 391 indicate that the difference between medians of different groups are not statistically significant.

392

#### 393 **Assessment of mean values for** *f***CSEB and** *K***CSEB through ANOVA**

394 One-way ANOVA was enlisted to assess statistical differences among mean values of *f*CSEB and 395 *K*CSEB by comparing the sample variation among different specimen groups with the sample 396 variation within each group (Rutherford 2011). The statistical test used herein is based on the 397 following three assumptions (Lix *et al.* 1996; Rutherford 2011): (1) independence of 398 observations, (2) homogeneity of data variances, and (3) normal distribution of residuals. The 399 first assumption is satisfied because the specimens from any given block were randomly grouped 400 by location and load direction to assemble the text matrix summarized in **Table 2**. In addition, 401 whereas each CSEB material specimen is representative of a specific location and load direction 402 as illustrated in **Fig. 2**, the effect of each variable was analyzed independently. The verification 403 of the second and third assumptions is presented in the remainder of this paper. It is noted that 404 the results from ANOVA exhibit weak sensitivity to departures from normality (Glass *et al.* 405 1972; Lix *et al.* 1996); however, the effects of violating the assumption of homogeneity of data 406 variance can be significant when group sizes are not equal (Lix *et al.* 1996), as it is the case of 407 the specimens grouped by location, for which group M and group C include 28 and 56 408 specimens, respectively.

409

410 The resulting *p*-values for *f*CSEB from ANOVA are 0.49 and 0.28 for specimens grouped by 411 location  $(C, M)$  and by load direction  $(X, Y, Z)$ , respectively. The resulting *p*-values for  $K_{\text{CSEB}}$ 412 are 0.91 and 0.23 for specimens grouped by location and by load direction, respectively. All *p*-

413 values exceed the significance level of 0.05, which indicates that neither the mean *fcs*EB nor the 414 mean *K*CSEB differ among the groups in a statistically significant manner. These results do not 415 support the null hypothesis that the different specimen groups considered in this study share 416 different mean values of compressive strength and elastic stiffness.

417

#### 418 **Verification of assumption of homogeneity of data variances**

419 Levene's test was enlisted to verify the homogeneity of data variances among different groups. 420 This test was selected because of its simplicity and insensitivity to the violation of the normality 421 assumption (Levene 1960, Glass 1966). The assumption of homogeneity of data variances is 422 satisfied when the error variance across all predicted values of a dependent variable is constant 423 (Rutherford 2011). In this case, Levene's test was used to verify if the population variances for 424 the different groups exhibit statistically significant differences by assuming a significance level 425 equal to 0.05.

426

427 The resulting *p*-values from Levene's test on the *f*CSEB data are 0.49 and 0.28 for specimens 428 grouped by location (C, M) and by load direction (X, Y, Z), respectively. The resulting *p*-values 429 from Levene's test on *K*CSEB data are 0.91 and 0.23 for specimens grouped by location and by 430 load direction, respectively. For both mechanical parameters, the *p*-values are greater than the 431 significance level of 0.05, indicating that the differences among the data variances of these 432 specimen groups are not statistically significant. Therefore, the results of Levene's test indicate 433 that all specimen groups share similar variance values for both compressive strength and elastic 434 stiffness, thereby corroborating the assumption of homogeneity of data variances for ANOVA.

436 The combined results of ANOVA and Levine's test suggest that all data groups belong to the 437 same population, and that a single probability distribution may be used to describe the variability 438 of all results. Therefore, these results support the conclusion that compressive strength and 439 elastic stiffness are homogeneous and isotropic properties for the representative CSEB material.

440

#### 441 **Assessment of accuracy of mean and standard deviation estimation**

442 The accuracy of the mean and SD estimators of  $f_{\text{CSEB}}$  and  $K_{\text{CSEB}}$  for the different specimen 443 groups was investigated by means of confidence intervals, and coefficient of variation (CV), of 444 the mean and SD estimators. Confidence intervals provide a graphical representation of the 445 estimated range of variation for each of the unknown population parameters (*i.e.*, mean and SD). 446 In particular, the 95% confidence intervals used hereinafter indicate the range within which each 447 parameter estimator is expected to be found 95% of the times that the experiment is performed 448 (Streiner 1996, Harding *et al.* 2014). Confidence intervals and standard errors of means and SD 449 were calculated according to the approximate equations given in Harding *et al.* (2014). These 450 equations are valid for sample sizes larger than or equal to 10 for the mean and 20 for the 451 standard deviation. All specimen groups satisfy these conditions.

452

453 For all groups, the confidence intervals for the estimators of the mean and SD of compressive 454 strength and elastic stiffness are presented in **Fig. 12** and **Fig. 13**, respectively. The CVs of the 455 mean and SD estimators of compressive strength and elastic stiffness are reported in **Table 9** and 456 **Table 10**, respectively. The 95% confidence intervals of the mean and SD estimators for the 457 specimens grouped by location (C, M) and load direction (X, Y, Z) present a significant partial 458 overlap with each other for both mechanical parameters.

459 The *p*-values obtained from a two-sample *t*-test (Snedecor and Cochran 1989) for the means of 460 *f*CSEB and *K*CSEB are reported in **Table 11** and **Table 12**, respectively. These results indicate that, 461 assuming a significance level equal to 0.05, the differences between the means of any couple of 462 specimen groups are not statistically significant, since  $p$ -values  $\geq$  0.15 for the means of *f*CSEB, and 463 *p*-values  $\geq 0.10$  for the means of *K*<sub>CSEB</sub>. Similarly, the *p*-values obtained from a two-sample *F*-464 test for the SDs of *f*CSEB and *K*CSEB are reported in **Table 13** and **Table 14**, respectively. These 465 results indicate that, assuming a significance level equal to 0.05, the differences between the SDs 466 of any couple of specimen groups are not statistically significant, since *p*-values  $\geq 0.44$  for the 467 SDs of  $f_{\text{CSEB}}$ , and *p*-values  $\geq$  0.42 for the SDs of *K*CSEB. The CVs of the mean estimator for 468 different sample groups attain values between 3.2% and 5.6% for *fcsEB*, and between 4.0% and 469 7.3% for *K*CSEB. The CVs of the SD estimator of different sample groups assume values between 470 7.7% and 13.7% for  $f_{\text{CSEB}}$ , and between 7.8% and 13.6% for *K*<sub>CSEB</sub>. Customarily, a CV  $\leq$  14% is 471 considered acceptable for the estimation of a statistical parameter, for example as in the FEMA 472 356 recommendations on sample sizes for concrete compressive strength (ASCE 2000, *Sec.*  473 *6.3.2.4.1*). Therefore, it is concluded that the mean and SD estimates obtained in this study are 474 sufficiently accurate (*i.e.*, the CVs are sufficiently small) for both *f*CSEB and *K*CSEB for all 475 specimen groups.

476

#### 477 **Assessment of statistical distribution of experimental data**

478 Once it was established that the CSEB material can be regarded as homogeneous and isotropic 479 with respect to compressive strength and elastic stiffness, a goodness-of-fit technique was used 480 to identify suitable probability distributions for these two mechanical parameters (Montgomery 481 *et al.* 2009, Gibbons and Chakraborti 2020). Establishing suitable probability distributions for

482 salient mechanical properties is of practical significance, such as for applications concerning 483 structural reliability, probabilistic structural response, structural dynamics, uncertainty 484 quantification, risk management, and probabilistic life-cycle cost analysis.

485

486 The Anderson-Darling (AD) test for continuous distributions with unknown parameters, in which 487 both location (mean) and scale (variance) are estimated from the samples (D'Agostino and 488 Stephens 1986; Kececioglu 2002), was used. The probability distributions considered in the test 489 are normal, log-normal, and truncated normal (with a lower truncation for values smaller than 490 zero). The AD test was selected because it is one of the most effective statistical tools for 491 detecting departures from normality (Stephens 1974, 1986), and attributes more weight to the 492 distribution tails than other equivalent tests such as the Kolmogorov-Smirnov test (Stephens 493 1974). The AD test was performed on the data for all CSEB specimens (group "All" including 494 84 data for *f*CSEB, and 83 data for *K*CSEB). A significance level of 0.05 was selected to determine 495 whether a given distribution is acceptable. The maximum difference between the empirical 496 cumulative distribution function (CDF) and the analytical CDF was compared with the critical 497 value corresponding to the desired significance level (Kececioglu 2002).

498

499 For each statistical distribution, the calculated *p*-values are presented in **Table 15**. It is noted that 500 the *p*-values for the log-normal distribution of both compressive strength and elastic stiffness are 501 less than 0.05; thus, the hypothesis of log-normal distribution can be rejected for the selected 502 significance level. Instead, the calculated *p*-values for the normal distribution and truncated 503 normal distribution are similar and greater than 0.05 for both compressive strength and elastic 504 stiffness; thus, for practical purposes, these statistical distributions are similarly suitable to

505 describe the variability of *f*CSEB and *K*CSEB for the representative CSEB material from one-side-506 compressed blocks. However, it is noted that the *p*-values for the normal distribution are slightly 507 greater than those for the truncated normal distribution. In addition, the use of a normal 508 distribution is typically simpler and more computationally efficient than the use of a truncated 509 normal distribution, although the former could produce physically unrealizable negative values 510 in applications requiring stochastic sample generation. Therefore, the normal distribution appears 511 to be most suitable for applications focused on the body of the distribution (*e.g.*, probabilistic 512 response, stochastic dynamics), whereas the truncated normal distribution appears to be most 513 suitable for applications focused on the distribution tails (*e.g.*, structural reliability).

- 514
- 

#### 515 **CONCLUSIONS**

516 The CSEB material discussed in this paper is representative of typical earth block materials that 517 are suitable for masonry construction in North America, based on soil composition and 518 properties, type and amount of stabilizing agent, and manufacturing process. Based on the results 519 of the experimental characterization and statistical analysis presented herein, the following 520 conclusions are drawn.

521

522 1) Based on evidence from SEM analysis, physical heterogeneity is evident at the micro-scale 523 and fades past the meso-scale, supporting the hypothesis that the CSEB material is 524 homogeneous at a scale that is representative of specimens used to characterize compressive 525 strength for structural analysis and design purposes.

526 2) Evidence from EDS analysis indicates that the main chemical elements are well distributed 527 throughout the stabilized soil matrix irrespective of the range of concentration and image

528 magnification. In particular, the spatial distribution of Ca at the micro-scale and meso-scale 529 indicates that the cement hydrates resulting from stabilization are uniformly distributed, 530 thereby contributing to the CSEB material homogeneity, consistent with the findings of the 531 SEM analysis.

532 3) The uniaxial compressive strength and elastic stiffness of the mid-block specimens are, on 533 average, greater than those of the corner specimens by 6.3% and 3.8%, respectively. These 534 differences, and the associated data variability, were verified to be statistically insignificant 535 and characteristic of a homogeneous CSEB material.

536 4) The uniaxial compressive strength and elastic stiffness of the specimens loaded 537 perpendicularly to the compaction direction are, on average, greater than those of the 538 specimens loaded in the compaction direction by up to 11.5% and 16.0%, respectively. These 539 differences, and the associated data variability, were verified to be statistically insignificant 540 and characteristic of an isotropic CSEB material.

541 5) From a practical standpoint, the manufacturing of homogeneous and isotropic CSEBs was 542 not hindered by the physical heterogeneity of the soil mixture, the variability of its properties 543 (*e.g.*, sand, silt and clay contents, particle size distribution, Atterberg limits), and relevant 544 mechanisms associated with CSEB compaction and soil stabilization (*e.g.*, friction between 545 soil mixture and mold surfaces, formation and distribution of cement hydrates).

546 6) A single probability distribution may be used to describe the variability of uniaxial 547 compressive strength as well as elastic stiffness. The normal distribution may be more 548 suitable for applications focused on the body of the distribution whereas the truncated normal 549 distribution may be more suitable for applications focused on the distribution tails.

### 551 **DATA AVAILABILITY STATEMENT**

552 All data used during the study are available in a repository in accordance with funder data 553 retention policies. Repository: NSF NHERI DesignSafe; project number: PRJ-2809; project title: 554 Physico-Mechanical Characterization of Homogeneity and Isotropy of Prototype Earth Block 555 Material. DOIs: 10.17603/ds2-c6ta-x942 (soil characterization), 10.17603/ds2-fwxr-4373 (SEM 556 and EDS analysis), and 10.17603/ds2-9ph0-vd80 (uniaxial compression tests). All data that 557 support the findings of this study are also available from the corresponding author upon 558 reasonable request. 559

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### 571 **CREDIT AUTHOR STATEMENT**

572 **Erika Rengifo-López**: Methodology, Validation, Formal Analysis, Investigation, Data 573 Curation, Writing - Original Draft, Writing - Review & Editing, Visualization. **Nitin Kumar**: 574 Methodology, Validation, Formal Analysis, Investigation, Data Curation, Writing - Original 575 Draft, Writing - Review & Editing, Visualization. **Fabio Matta**: Conceptualization, 576 Methodology, Validation, Formal Analysis, Data Curation, Writing - Original Draft, Writing - 577 Review & Editing, Visualization, Supervision, Project Administration, Funding Acquisition. 578 **Michele Barbato**: Conceptualization, Methodology, Validation, Formal Analysis, Data 579 Curation, Writing - Original Draft, Writing - Review & Editing, Supervision, Project 580 Administration, Funding Acquisition.



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# 754 **TABLES**

### 757 **Table 1.** Salient results of soil characterization.



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762 **Table 2.** Test matrix for characterization of uniaxial compressive response of CSEB material.



	Specimen location $(C, M)$ and load direction $(X, Y, Z)$							
Source <b>CSEB</b>	$\mathbf X$		Y		Z		Mean	CV
	$\mathbf C$	M	$\mathbf C$	M	C	M		[%]
<b>B01</b>	4.48	4.14	4.07	5.08	3.66 3.91		4.22	11.8
<b>B02</b>	4.79 4.62		4.39	4.51	5.49	4.38	4.70	8.9
<b>B03</b>	3.31	3.28	3.03	3.63	3.66 2.69		3.27	11.3
<b>B04</b>	4.12	4.65	3.70 3.86		3.75	3.49	3.93	10.5
<b>B05</b>	2.92	2.73	3.03	2.63	2.83 2.24		2.73	10.1
<b>B06</b>	2.41	2.38	2.30	2.15	1.89 1.87		2.17	11.1
<b>B07</b>	1.29	2.11			1.41	1.80	1.64	18.5
<b>B08</b>	3.08 2.26		3.20	3.51	2.81	2.93	2.96	14.3
<b>B09</b>	4.31 4.00		3.37	3.34	2.85	3.79	3.61	14.6
<b>B10</b>	3.26	4.73	4.38	4.31	3.86 3.51		4.01	14.0
<b>B11</b>	2.38 2.44		2.49	2.66	2.50	1.93	2.40	10.3
<b>B12</b>	4.61	4.35	4.25 4.36		2.64	5.42	4.27	21.2
<b>B13</b>	4.92	3.50	4.03	3.98	2.53 4.47		3.91	21.1
<b>B14</b>	4.74	4.04	3.62 4.34		2.57	3.63	3.83	19.6

765 **Table 3.** Uniaxial compressive strength ( $f$ CSEB) results for CSEB specimens [MPa].

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Table 4. Mean and CV of uniaxial compressive strength ( $f$ CSEB) for significant groups of CSEB specimens. specimens.

	All	<b>By location</b>		By load direction			
<b>Specimen group</b>			M				
<b>Number of specimens</b>	84	56	28	28	28	28	
Mean [MPa]	3.40	3.33	3.54	3.57	3.48	3.16	
$\%$	29.3	30.2	277	28.8	26.	32.8	

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# Table 5. Elastic stiffness (*KCSEB*) results for CSEB specimens [kN/mm].

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Table 6. Mean and CV of elastic stiffness (*K*CSEB) for significant groups of CSEB specimens.

	All	<b>By location</b>		By load direction		
<b>Specimen group</b>						
<b>Number of specimens</b>	83	56	フフ	つワ	28	28
Mean [kN/mm]	13.3	13.1	13.6	14.4	13.4	2.
$\mathbf{v}_0$	36.6	36.5	37.3	36.8	33.8	38.6



### 782 **Table 7.** *p*-values from Wilconox rank-sum test on *f*cses data.



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786 **Table 8.** *p*-values from Wilconox rank-sum test on *K*CSEB data.



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Table 9. CV of mean and SD of *f*CSEB for different specimen groups.



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794 **Table 10.** CV of mean and SD of *K*CSEB for different specimen groups.





### 797 **Table 11.** *p*-values from two-sample *t*-test on *f*cses means.

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801 **Table 12.** *p*-values from two-sample *t*-test on *K*<sub>CSEB</sub> means.



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 $804$ <br> $805$ 

Table 13. *p*-values from two-sample *F*-test on *f*cses standard deviations.



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809 **Table 14.** *p*-values from two-sample *F*-test on *K*CSEB standard deviations.



Table 15. *p*-values from AD test for different statistical distributions of *f*CSEB and *K*CSEB.  $812$ <br> $813$ 













 

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Fig. 3. Uniaxial compression test setup.



900 (b) close-up image of sand grain and voids introducing discontinuities in soil matrix; (c) typical 901 micro-scale voids size and distribution; and (d) micro-scale flaky clay particles (in dashed ovals) 902 with different sizes and orientations.



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906<br>907 Fig. 5. Results of EDS analysis of seven CSEB sample areas, with magnification ranging from 908 100× to 5000×. For each chemical element, values indicate mean  $\pm$  SD of mass percentage from all (seven) measurements. all (seven) measurements.







918 **Fig. 6.** Representative microscopic images of random CSEB material samples at increasing magnification and associated two-dimensional Ca maps from EDS analysis: (a)  $100 \times$ ; (b)  $500 \times$ 919 magnification and associated two-dimensional Ca maps from EDS analysis: (a)  $100 \times$ ; (b)  $500 \times$ ;<br>920 and (c)  $5000\times$ .



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**Fig. 9.** Compressive load, stress, and axial displacement of 15 representative CSEB specimens 952 based on load direction: (a) X; (b) Y; and (c) Z (parallel to compaction direction). Bold lines 953 indicate envelope for all X, Y and Z specimens, respectively. 



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Fig. 10. Notched box plot of compressive strength results for different groups (all specimens, by 958 location, by load direction).

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964 **Fig. 11.** Notched box plot of elastic stiffness results for different groups (all specimens, by 965 location, by load direction).



973 **Fig. 12.** 95% confidence intervals of compressive strength for different groups (all specimens, 974 by location, by load direction): (a) mean; and (b) standard deviation.



982 **Fig. 13.** 95% confidence intervals of elastic stiffness for different groups (all specimens, by 983 location, by load direction): (a) mean; and (b) standard deviation.