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#### REPORT



# Bispecific VH/Fab antibodies targeting neutralizing and non-neutralizing Spike epitopes demonstrate enhanced potency against SARS-CoV-2

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#### ABSTRACT

Numerous neutralizing antibodies that target SARS-CoV-2 have been reported, and most directly block binding of the viral Spike receptor-binding domain (RBD) to angiotensin-converting enzyme II (ACE2). Here, we deliberately exploit non-neutralizing RBD antibodies, showing they can dramatically assist in neutralization when linked to neutralizing binders. We identified antigen-binding fragments (Fabs) by phage display that bind RBD, but do not block ACE2 or neutralize virus as IgGs. When these non-neutralizing Fabs were assembled into bispecific VH/Fab IgGs with a neutralizing VH domain, we observed a ~ 25-fold potency improvement in neutralizing SARS-CoV-2 compared to the mono-specific bi-valent VH-Fc alone or the cocktail of the VH-Fc and IgG. This effect was epitope-dependent, reflecting the unique geometry of the bispecific antibody toward Spike. Our results show that a bispecific antibody that combines both neutralizing and non-neutralizing epitopes on Spike-RBD is a promising and rapid engineering strategy to improve the potency of SARS-CoV-2 antibodies.

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antibody; knob-in-hole; COVID-19; SARS-CoV-2

#### Introduction:

SARS-CoV-2 has emerged as a global health concern and effective therapeutics are necessary to curb the COVID-19 pandemic. Many potential therapeutic options for treating COVID-19 have been explored, including small molecules,<sup>1</sup> convalescent patient sera,<sup>2</sup> decoy receptors,<sup>3-6</sup> neutralizing antibodies,<sup>7-18</sup> and other protein scaffolds.<sup>19-21</sup> In particular, antibodies are advantageous due to their specific and potent binding, demonstrated pharmacokinetics, and ability to be recombinantly produced and manufactured at scale. SARS-CoV-2 antibodies have been derived from several sources, including B-cells of convalescent patients<sup>7,12,13,17</sup> and people infections,14,15 with prior coronavirus infections,<sup>14,15</sup> animal immunization,<sup>10,18</sup> and synthetic libraries or de novo design.<sup>8,9,11,16,19</sup> Most of the antibodies reported to date potently target the receptor-binding domain (RBD) in the trimeric Spike protein on the surface of SARS-CoV-2,<sup>7,13,22-24</sup> which is highly immunogenic and is the key protein that mediates cellular entry via interaction with the host angiotensin-converting enzyme II (ACE2) receptor.<sup>25</sup> However, given the widespread global impact of this pandemic and limitations in biologic manufacturing capacities, means to further increase the potency of these antibodies and thereby decrease the dose required will be critical in meeting the global demand for therapeutics.<sup>26</sup> Additionally, testing different scaffolds and targeting mechanisms against coronavirus could lead to a better

understanding of the most effective modalities and ultimately lead to a more resilient therapeutic arsenal against viral infections.

Following the identification of an initial candidate antibody, various methods are used to improve its affinity and potency, but each approach has both advantages and drawbacks. Affinity maturation using mutagenesis or library display is a powerful tool to improve candidate antibodies and can screen large sequence spaces.<sup>27</sup> However, this process is laborintensive and may result in an antibody sequence with altered biophysical or pharmacokinetic properties that require additional optimization. A parallel strategy to improve potency is to target multiple epitopes, either by engineering bispecific or multi-specific molecules or by combining multiple antibodies into a cocktail.<sup>21,28</sup> Targeting multiple epitopes has the added benefit of decreasing the likelihood of viral escape and resistance,<sup>18,29</sup> and has shown promise as a powerful viral immunotherapy against viruses, such as influenza<sup>30</sup> and HIV.<sup>31</sup> Indeed, several cocktails<sup>12,29</sup> and engineered multispecific binders<sup>19,32</sup> have been shown to be effective against SARS-CoV-2. Recently, our lab demonstrated the benefits of linking multiple neutralizing epitopes on the SARS-CoV-2 Spike using bi-paratopic binders derived from variable heavy (VH) domains.<sup>8</sup> By linking multiple neutralizing VH together in tandem, we were able to improve antibody potency through avidity.

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Here, we explored whether linking non-neutralizing binders to neutralizing binders in a bispecific scaffold could be used as a means to rapidly improve neutralization potency. Using phage display, we identified Fabs that bind RBD but do not block ACE2 binding and then assembled them in a knob-in-hole (KIH) bispecific IgG scaffold with VH binders that block ACE2. These VH/ Fab bispecifics have the additional advantage of avoiding the light-chain mispairing problem common to bispecific IgGs that include Fabs on both arms.<sup>33</sup> Remarkably, the resulting VH/Fab bispecifics are ~20 to 25-fold more potent in neutralizing both pseudotyped and authentic SARS-CoV-2 virus than the mono-specific bi-valent VH-Fc or IgG alone or as a cocktail. This effect is epitopedependent, illustrating the unique geometry that bispecific VH/Fab IgGs could capture on the trimeric Spike protein. Our findings highlight how targeting multiple epitopes within a single therapeutic molecule, both neutralizing and non-neutralizing, can confer significant gains in efficacy, and could potentially be generalized to other therapeutic targets to rapidly enhance antibody potency.

#### Results

## Identification and characterization of Fabs against SARS-CoV-2 Spike

We recently reported the identification and engineering of human VH binders against SARS-CoV-2 Spike from an inhouse VH-phage library, using a masked phage selection strategy to enrich binders to Spike-RBD that compete with ACE2. From this process, we identified VH domains against two epitopes (sites A and B) that bind within the ACE2 binding site of SARS-CoV-2 Spike. In the bi-valent VH-Fc format, both site A and site B binders block binding of ACE2 to Spike and neutralize pseudotyped and authentic SARS-CoV-2. VH domains that bind outside of the ACE2 binding site were not identified with this selection campaign.<sup>8</sup>

Here, we used an in-house Fab-phage library to identify unbiased Fab binders that recognize Spike-RBD. Briefly, for each round of selection, the Fab-phage pool was pre-cleared with biotinylated Fc immobilized on streptavidin (SA)-coated magnetic beads before incubating with SA-beads conjugated with biotinylated Spike-RBD-Fc (Figure 1a). After 3–4 rounds



**Figure 1.** Fabs identified by phage display bind Spike RBD and  $S_{ecto}$  with high affinity outside of the ACE2 binding site. (**a**) Schematic of phage display used to isolate binders to Spike RBD-Fc from an in-house Fab-phage library. (**b**) Phage ELISA used to characterize binders shows that a majority of binders isolated did not bind similarly to Spike RBD in complex with ACE2 as to RBD alone. Multipoint BLI measurements of (**c**) Fab C01 and (**d**) Fab D01 on Spike RBD-Fc demonstrate high affinity binding. (**e**) Sequential epitope binning BLI demonstrates when Spike RBD-Fc is pre-saturated with ACE2-Fc, both Fabs C01 and D01 can still bind, indicating a non-overlapping epitope with ACE2-Fc. Multipoint BLI measurements of (**f**) IgG C01 and (**g**) IgG D01 show that conversion of Fab to IgG increases affinity to both Spike RBD-Fc (top) and trimeric S<sub>ecto</sub> (bottom).

of selection, significant enrichment was observed for Fab-phage that bound Spike-RBD-Fc over Fc alone. Individual phage clones were isolated and phage ELISA was used to characterize binding to Spike-RBD-Fc alone and in complex with ACE2-Fc. We hypothesized that Fab-phage that can bind similarly to Spike-RBD-Fc alone or when masked with ACE2-Fc would bind an epitope outside of the ACE2 binding site, and would therefore occupy a unique epitope from the VH. From here, we identified over 200 unique Fab-phage sequences that bound Spike-RBD-Fc, a majority of which did not bind at the Spike-ACE2 interface (Figure 1b). We characterized a subset of these and identified two lead Fabs, C01 and D01, which bound Spike-RBD-Fc and the trimeric Spike full ectodomain (Secto) with high affinity (Figure 1c-d, Table 1). Conversion of these Fabs into a traditional bivalent IgG scaffold further improved affinity to Secto to single-digit nanomolar K<sub>D</sub> (figure 1f-g). The increased affinity of the IgG compared to the Fab is driven by the avidity of the two binding arms. Due to the challenges of modeling the interaction between a bi-valent binder and a conformationally dynamic, trimeric Spike, we have reported affinities as apparent  $K_{Ds}$  ( $K_{D,app}$ ) derived from a 1:1 binding model of the data.

We proceeded to characterize the epitopes of Fab C01 and Fab D01 by sequential bio-layer interferometry (BLI), where one binder is incubated with the antigen until saturation, followed by incubation with the second binder. Robust binding of the second binder in the presence of the first suggests that the two binders occupy non-overlapping epitopes on the same antigen. Both Fab C01 and Fab D01 do not overlap with ACE2-Fc, indicating that they bind outside of the ACE2 binding site (Figure 1e). Interestingly, while Fab C01 and D01 compete for binding on Spike-RBD, on Secto their epitopes do not appear to overlap (Supplementary Figure 1a). Additionally, we observe that pre-saturation with Fab D01 blocks binding of Fab C01 on Secto, while pre-saturation with Fab C01 does not block Fab D01 (Supplementary Figure 1b-c). These data suggest that, although C01 and D01 have overlapping epitopes on isolated Spike-RBD, these Fabs have different binding mechanisms and could be influenced by the dynamics and accessibility of the RBDs in the context of the Spike trimer. Therefore, we assign site C (for Fab C01) and site D (for Fab D01) to unique epitopes on Secto though they overlap on Spike-RBD. Additionally, we compared the epitopes of Fab C01 and Fab D01 to a previously described antibody CR3022<sup>14</sup> and found that Fab D01 competes with CR3022 while Fab C01 does not

Table 1. In vitro binding affinities of antibodies against SARS-CoV-2 Spike.

	K <sub>D,app</sub> (nM)	
Antibody	Spike-RBD-Fc	S <sub>ecto</sub>
Fab C01	0.172	29.9
Fab D01	0.047	92.0
lgG C01	0.209	3.26
IgG D01	<0.001 <sup>a</sup>	1.71
VH-Fc A01	<0.001 <sup>a</sup>	4.09
VH-Fc B01	0.056	0.313
Bis1 (VH A01/Fab C01)	<0.001 <sup>a</sup>	0.603
Bis2 (VH B01/Fab C01)	<0.001 <sup>a</sup>	0.611
Bis3 (VH A01/Fab D01)	<0.001 <sup>a</sup>	0.395
Bis4 (VH B01/Fab D01)	<0.001 <sup>a</sup>	0.127

 $^{a}k_{off}$  was below the limit of detection (<1 x 10<sup>-7</sup> sec<sup>-1</sup>) and could not be fit.

(Supplementary Figure 1d). CR3022 has been shown to recognize the RBD outside of the ACE2 binding site at an epitope only accessible when the RBD is in the "up" conformation.<sup>14</sup> Fab D01 appears to bind an overlapping epitope, but with a higher affinity to Spike-RBD-Fc compared to CR3022 ( $K_D$  = 115 nM). Thus, from these studies, we have identified binders against four unique epitopes on Spike-RBD: site A (VH A01), site B (VH B01), site C (Fab C01), and site D (Fab D01).

#### Generation of bispecific KIH IgGs using Fab and VH against SARS-CoV-2 Spike

We wondered whether combining VH and Fab binders directed at different Spike epitopes into a bispecific antibody scaffold could improve potency. One of the most straightforward and successful methods to make bispecific IgG-type binders is the classic KIH strategy, which was pioneered by Paul Carter and colleagues at Genentech.<sup>34</sup> In this approach, a complementary set of mutations in the CH3 domains of the Fc promotes the heterodimerization between a "knob" Fc and a "hole" Fc. A KIH bispecific IgG modality has not been reported for COVID-19 to date, but has been previously developed for other viral infections, such as HIV, and showed improved potency compared to the mono-specific parental binders.<sup>35</sup> Therefore, generating KIH bispecifics against Spike could present a novel, effective modality against SARS-CoV-2.

When the KIH strategy is applied for two Fab arms, the individual half IgGs must be separately expressed and purified before they can be assembled into a bispecific IgG molecule due to the presence of two different light chains. This process is required to circumvent the problem of light chains and heavy chain mispairing that can occur when the antibodies are co-expressed in the same cell. While many strategies have been developed to try and address this issue, including using common light chains, additional purifications, or scaffold engineering, they all require additional labor-intensive steps.<sup>36–38</sup> However, since the VH/Fab bispecific molecules contain only one light chain in the Fab arm, co-expression of the VH-Fc knob with Fab-Fc hole should generate the proper VH/Fab bispecific IgG (Figure 2a).

Using this strategy, we generated four different VH/Fab bispecifics to explore combinations of targeting sites A and B with sites C and D; Bis1 is VH(A01)/Fab(C01) fusion, Bis2 is VH(B01)/Fab(C01), Bis3 is VH(A01)/Fab(D01), and Bis4 is VH(B01)/Fab(D01). For each bispecific, the VH was cloned into the "knob" Fc, and the heavy chain of the Fab was cloned into the "hole" Fc. All four bispecific IgGs were successfully expressed and purified and shown by gel to be bispecific, containing both a VH-Fc and Fab-Fc arm on each molecule (Supplementary Figure 2). All four bispecifics bound S<sub>ecto</sub> with higher affinity than their parental mono-specific counterparts (Figure 2b-e, Table 1). In particular, Bis3 and Bis4, which both include Fab(D01), bound S<sub>ecto</sub> with highest affinity with K<sub>D,app</sub> of 395 pM and 127 pM, respectively. Reversing the orientation and immobilizing the bispecific IgG on the biosensor and



**Figure 2.** Bispecific VH/Fab IgGs bind with high affinity to trimeric  $S_{ecto}$ . (a) Cartoon schematic of the bispecific VH/Fab IgG antibody scaffold utilized in this study. (b-e) Multipoint BLI measurements (10 nM, 5 nM, and 2.5 nM) of the indicated bispecific antibody on  $S_{ecto}$ . (b) Bis1 (VH A01/FabC01) (c) Bis2 (VH B01/FabC01) (d) Bis3 (VH A01/FabD01) (e) Bis4 (VH B01/FabD01).



Figure 3. Bispecific VH/Fab IgGs are more potent in neutralizing SARS-CoV-2 pseudovirus than the mono-specific counterparts. Pseudovirus neutralization curves for (a) Bis1 (VH A01/Fab CO1) compared to VH-Fc A01 and IgG CO1, (b) Bis2 (VH B01/Fab CO1) compared to VH-Fc B01 and IgG CO1, (c) Bis3 (VH A01/Fab D01) compared to VH-Fc A01 and IgG D01, (d) Bis4 (VH B01/Fab D01) compared to VH-Fc A01 and IgG D01. Data represent the average and standard deviation of three independent experiments and were fit to a non-linear regression using Prism 8 software to obtain IC50 values



Figure 4. Bispecific VH/Fab IgGs neutralize authentic SARS-CoV-2 virus more potently than the mono-specific VH-Fcs. Authentic SARS-CoV-2 virus neutralization curves of VH-Fc A01, VH-Fc B01, Bis1 (VH A01/Fab C01), Bis2 (VH B01/Fab C01), Bis3 (VH A01/Fab D01), Bis4 (VH B01/Fab D01). Vero E6 cells were incubated with the virus and threefold dilution of binder and assessed for cytopathic effect (CPE) 7 d after infection. Data represent the average and standard deviation of two independent experiments. Data were fit to a non-linear regression using Prism 8 software to obtain IC50 values.

probing with soluble  $S_{ecto}$  did not significantly change the measured affinity (Supplementary Figure 3).

#### Bispecific KIH IgGs show enhanced neutralization against pseudotyped and authentic SARS-CoV-2 compared to mono-specific binders

We then tested the neutralization potency of these VH/Fab bispecifics using HIV-1-derived lentivirus pseudotyped with SARS-CoV-2 Spike to compare their potencies to the monospecific bi-valent VH-Fc and IgGs. Spike pseudotypes were generated and used in neutralization assays with HEK293T cells expressing ACE2 on the surface; the assays were performed using established vectors and protocols.<sup>39</sup> VH-Fc A01 and VH-Fc B01 neutralized Spike pseudotypes with halfmaximal inhibitory concentration (IC50) of 2.86 nM (0.23 µg/mL) and 2.01 nM (0.16 µg/mL), respectively. In contrast, we found that IgG C01 and IgG D01 did not neutralize pseudovirus at the concentrations tested (up to 100 nM). This is likely because these two IgGs do not target the ACE2 binding site, and there are likely epitope and geometry-specific mechanisms that determine whether and to what extent nonblocking binders neutralize the virus. Indeed, anti-Spike antibodies that do not compete with ACE2 binding, including CR3022, have been reported, but they have mixed and variable

Table 2. SARS-CoV-2 Pseudovirus Neutralization IC50.

	](	C50
Antibody	nM (95% CI)	μg/mL (95% Cl)
VH-Fc A01	2.86 (1.63-5.03)	0.23 (0.13-0.40)
VH-Fc B01	2.01 (1.22-3.34)	0.16 (0.10-0.27)
lgG C01	≫100	≫15
lgG D01	≫100	≫15
VH-Fc A01 + lgG C01	6.63 (4.57–9.58)	0.75 (0.52–1.09)
VH-Fc B01 + IgG C01	5.13 (3.48–7.57)	0.58 (0.39–0.86)
VH-Fc A01 + lgG D01	2.25 (1.66-3.04)	0.26 (0.19–0.35)
VH-Fc B01 + IgG D01	3.23 (2.34-4.42)	0.37 (0.27-0.50)
Bis1 (VH A01/Fab C01)	6.87 (3.41–17.07)	0.78 (0.39–1.94)
Bis2 (VH B01/Fab C01)	8.07 (4.63-16.00)	0.92 (0.53–1.82)
Bis3 (VH A01/Fab D01)	0.128 (0.080-0.201)	0.015 (0.009-0.023)
Bis4 (VH B01/Fab D01)	0.107 (0.080-0.143)	0.012 (0.009-0.016)

success in neutralizing SARS-CoV-2, depending on the specific antibody and its epitope.<sup>14,15,17,40-42</sup>

Next, we tested the neutralization profile of the bispecific VH/Fab IgGs. To our surprise, we found that bispecifics that use the non-neutralizing Fab D01 neutralized significantly more potently than the parental mono-specific binders. Bis3 and Bis4 neutralized with IC50s of 0.128 nM (0.015 µg/mL) and 0.107 nM (0.012 µg/mL), respectively, which is ~20-fold more potent than the VH-Fc alone (Figure 3c-d, Table 2). This indicates that a bispecific antibody, even if one of the arms is non-neutralizing, can show enhanced potency compared to the mono-specific counterparts. In contrast, the bispecific antibodies that included Fab C01 (Bis1, Bis2) did not show such improved potency and neutralized with similar IC50s to the parental VH-Fc (Figure 3a-b). This suggests that the enhanced potency provided by a non-neutralizing binder is epitopespecific. Interestingly, we observed no significant correlation between IC50 and binding affinity against Secto of the bispecifics and VH-Fcs ( $R^2 = 0.06$ ) (Supplementary Figure 4). This suggests that an increase in neutralization potency of anti-Spike binders is not highly predictive from affinity alone and that the specific mechanism and geometry of epitopes targeted by the bispecific IgG scaffold likely play an important role in increasing potency. Additionally, we find that a cocktail of the mono-specific antibodies (IgG + VH-Fc) does not improve potency (Supplementary Figure 5), which suggests that a bispecific IgG, where two epitopes are targeted by a single agent, has unique mechanisms and advantages.

Lastly, we examined the neutralization profile of the bispecific IgGs and VH-Fc on authentic SARS-CoV-2 virus with

Table 3. Authentic SARS-CoV-2 Virus Neutralization IC50.

		IC50
Antibody	nM (95% CI)	μg/mL (95% Cl)
VH-Fc A01	25.5 (18.8–36.0)	2.04 (1.50–2.88)
VH-Fc B01	29.8 (26.5-33.5)	2.38 (2.12-2.68)
Bis1 (VH A01/Fab C01)	10.3 (8.5–12.4)	1.16 (0.95–1.40)
Bis2 (VH B01/Fab C01)	9.70 (6.80-14.2)	1.10 (0.77–1.61)
Bis3 (VH A01/Fab D01)	1.00 (0.67-1.54)	0.11 (0.08–0.17)
Bis4 (VH B01/Fab D01)	1.19 (0.80–1.80)	0.14 (0.09-0.20)

Vero E6 cells as the host cell. Each binder was assayed for its ability to decrease the cytopathic effect (CPE) of Vero E6 cells caused by SARS-CoV-2 infection. Consistent with the pseudovirus neutralization results, bispecific IgGs Bis3 and Bis4 neutralized authentic SARS-CoV-2 significantly more potently (~25-fold) than the VH-Fcs (Figure 4, Table 3). The IC50s of VH-Fc A01 and VH-Fc B01 against authentic SARS-CoV-2 were 25-30 nM. These values are similar to a previously reported IC50 of VH-Fc B01 of 33.5 nM, which used qPCR to read out intracellular viral RNA.8 The neutralization IC50s of Bis3 and Bis4 against authentic SARS-CoV-2 was 1.00 nM (0.11 µg/mL) and 1.19 nM (0.14 µg/mL), respectively. Interestingly, Bis1 and Bis2, which did not show a significant improvement over the VH-Fcs in the pseudovirus neutralization assays, were about 2.5 to 3-fold more potent than the VH-Fcs when assayed on the authentic virus. Taken together, we find that bispecific IgGs, particularly Bis3 and Bis4, are significantly more potent in neutralizing both pseudotyped and authentic SARS-CoV-2 virus than their mono-specific counterparts.

## Structural modeling of the epitopes targeted by bispecific IgG Bis4 (VH B01/Fab D01)

Bis4, which uses the combination of VH B01 and Fab D01, was one of the most potent molecules we tested in this study. The epitope of VH B01 was determined previously by cryo-EM.8 In the absence of a high-resolution structure of Fab D01 bound to Spike, we used the structure of CR3022<sup>12</sup> as a surrogate to model how the two arms on Bis4 could engage Spike. We find that in the context of the same RBD, the VH and Fab bind at separate, non-overlapping epitopes (Supplementary Figure 6a-b). The CR3022 epitope is fully exposed only in the RBD "up" conformation, while the VH B01 epitope is largely accessible regardless of the RBD conformation. VH B01 and Fab CR3022 point away from each other at an angle of 133 degrees, with a distance of 134.5 Å between the C-termini of the VH and the heavy chain of the Fab. Although the exact epitope and angle of engagement of Fab D01 may differ from CR3022, it appears challenging for both arms of Bis4 to engage the same RBD. However, it could be possible for the Bis4 VH arm to bind one RBD, and the Fab arm to bind a neighboring RBD in the context of the Spike trimer when one or more RBDs are in the "up" conformation (Supplementary Figure 6c). We hypothesize that simultaneous engagement of two RBDs on Spike by Bis4 could explain its potent neutralization mechanism, although there could be other unexplored mechanisms at play. Determining the exact epitope and binding mechanism of Fab D01 would shed further light on how these bispecific IgGs engage Spike and neutralize SARS-CoV-2.

#### Discussion

Here, we report the generation of bispecific IgG antibodies against SARS-CoV-2 Spike that combine neutralizing and nonneutralizing binders against different epitopes on the Spike-RBD as a promising protein engineering strategy to rapidly improve the potency of antibody therapeutics for COVID-19. We show that certain combinations of these binders in a bispecific IgG scaffold are significantly more potent in neutralizing pseudotyped and authentic SARS-CoV-2 virus than the mono-specific bi-valent counterparts and may enable efficacy increases not predicted by affinity alone. Additionally, we show that non-blocking, non-neutralizing epitopes can provide an unexpected benefit and boost the potency of molecules when combined in a bispecific format with neutralizing epitopes. Combing neutralizing and non-neutralizing epitopes can be a useful approach to rescue binders that would have been deprioritized due to their lack of neutralization. Exploring the use of this strategy in parallel with other affinity maturation campaigns on some of the most potent antibodies reported against SARS-CoV-2 to date could enable the generation of even more potent neutralizing binders. This can decrease the effective dose necessary for therapeutic effect, thus lessen the burden on manufacturing capacity and enable the wider distribution of these treatments.

In aggregate, we have produced binders against four distinct epitopes within the RBD of Spike. This is somewhat remarkable given the small size of the RBD (206 amino acids, ~23 kDa). Binders to many epitopes on Spike have been reported, <sup>15,17,19,41-43</sup> showing that this antigen is highly immunogenic both *in vivo* and *in vitro*. It will be interesting to map them relative to those we have found. Our results show that the combination via a KIH bispecific scaffold is particularly useful in improving potency through avidity and multi-epitope targeting and could be less labor and time-intensive than affinity maturation.

Our study also highlights how different epitopes on Spike differ in their neutralization potency and their engineering potential. To what extent an antibody neutralizes SARS-CoV-2 is likely influenced by its binding mechanism, affinity, and scaffold, and is made more complex by the oligomeric and dynamic nature of the target antigen Spike. It is well established that the RBDs of Spike proteins of the coronavirus family are capable of adopting various conformations and that the trimer can undergo large conformational changes.<sup>44</sup> These structural dynamics likely affect the accessibility and orientation of the different binding epitopes, which can then influence the potency of binders and how they behave when engineered into multi-specific modalities. This may help explain why bispecifics that use Fab D01 are superior to bispecifics that use Fab C01. Additionally, even binders that overlap in epitope, such as Fab D01 and CR3022, may differ in their properties. Fab D01 has a much higher affinity to Spike than CR3022 and was non-neutralizing. CR3022 was also non-neutralizing (IC50  $\gg$  400 µg/mL),<sup>14</sup> although another recent study contradicts this observation,<sup>40</sup> and showed that CR3022 can neutralize SARS-CoV-2, possibly through the destabilization of the Spike trimer. Which combinations of binders will synergize and what antibody scaffolds are optimal for efficacy remain unclear, and this uncertainty provides wide latitude for protein engineers to explore how these different factors affect antibody potency. A deeper biophysical understanding of Spike dynamics will also be important for the rational engineering of potent biologics to this therapeutically important target. We believe these principles for the design of bispecific and biparatopic binders combining neutralizing and non-neutralizing

binders can apply to other therapeutic targets even in the absence of high-resolution structures.

#### Materials & Methods

#### Cloning

Spike-RBD-Fc and trimeric Spike ectodomain ( $S_{ecto}$ ), and ACE2-Fc were produced as biotinylated proteins as previously described.<sup>45</sup> Fabs were subcloned from the Fab-phagemid into an *E. coli* expression vector pBL347. VH-Fc was cloned into a pFUSE (InvivoGen) vector with a human IgG1 Fc domain as previously described.<sup>8</sup> The heavy chain of the IgG was cloned from the Fab plasmid into a pFUSE (InvivoGen) vector with a human IgG1 Fc domain. The light chain of the IgG was cloned from the Fab plasmid into the same vector but lacking the Fc domain. All constructs were sequence verified by Sanger sequencing.

#### **Protein Expression and Purification**

Fabs were expressed in *E. coli* C43(DE3) Pro + using an optimized autoinduction medium and purified by protein A affinity chromatography.<sup>46</sup> VH-Fc, IgGs, and bispecifics were expressed in Expi293 BirA cells using transient transfection (Expifectamine, Thermo Fisher Scientific). After transfection for 3-5 d, media was harvested, and VH-Fc and IgGs were purified using protein A affinity chromatography. Bispecific antibodies were purified by Ni-NTA affinity chromatography. The bispecifics were then buffer exchanged into phosphate-buffered saline (PBS) containing 20% glycerol, concentrated, and flash frozen for storage. All other proteins were buffer exchanged into PBS by spin concentration and stored in aliquots at  $-80^{\circ}$ C. The purity and integrity of all proteins were assessed by SDS-PAGE.

#### Fab-phage selection

Phage selections were done according to previously established protocols.<sup>46</sup> Selections were performed using biotinylated antigens captured with SA-coated magnetic beads (Promega). In each round, the phage pool was first cleared by incubation with beads loaded with Fc domain only. The unbound phage was then incubated with beads loaded with Spike-RBD-Fc. After washing, the bound phage was eluted by the addition of 2  $\mu$ g/mL of TEV protease. In total, four rounds of selection were performed with decreasing amounts of Spike-RBD-Fc. All steps were done in PBS buffer + 0.02% Tween-20 + 0.2% bovine serum albumin (PBSTB). Individual phage clones from the third and fourth rounds of selections were analyzed by phage ELISA.

#### Phage ELISA

For each phage clone, four different conditions were tested – Direct: Spike-RBD-Fc, Competition: Spike-RBD-Fc with an equal concentration of Spike-RBD-Fc in solution, Negative selection: ACE2-Fc/Spike-RBD-Fc complex, and Control: Fc. 384-well Nunc Maxisorp flat-bottom clear plates (Thermo Fisher Scientific) were coated with 0.5 µg/mL of NeutrAvidin in PBS overnight at 4°C and subsequently blocked with PBSTB for 1 h at room temperature. Plates were washed 3X with PBS containing 0.05% Tween-20 (PBST) and were washed similarly between each of the steps. 20 nM of biotinylated Spike-RBD-Fc, ACE2-Fc/Spike-RBD-Fc complex, or Fc diluted in PBSTB was captured on the NeutrAvidin-coated wells for 30 min, then blocked with PBSTB + 10 µM biotin for 30 min. Phage supernatant diluted 1:5 in PBSTB was added for 20 min. For the competition samples, the phage supernatant was diluted into PBSTB with 20 nM Spike-RBD-Fc. Bound phage was detected by incubation with anti-M13-horseradish peroxidase conjugate (Sino Biologics catalog number 11973-MM05-H, 1:5000) for 30 min, followed by the addition of TMB substrate (VWR International). The reaction was quenched with the addition of 1 M phosphoric acid and the absorbance at 450 nm was measured using a Tecan M200 Pro spectrophotometer.

#### **Bio-layer Interferometry**

BLI measurements were made using an Octet RED384 (ForteBio) instrument. Spike-RBD-Fc or  $S_{ecto}$  were immobilized on an SA biosensor and loaded until a 0.4 nm signal was achieved. After blocking with 10  $\mu$ M biotin, purified binders in solution were used as the analyte. PBSTB was used for all buffers. Data were analyzed using the ForteBio Octet analysis software and kinetic parameters were determined using a 1:1 monovalent binding model.

#### **Pseudovirus** generation

HEK293T-ACE2 cells were a gift from Arun Wiita's laboratory at the University of California, San Francisco. Cells were cultured in D10 media (DMEM + 1% Pen/Strep + 10% heatinactivated fetal bovine serum). Plasmids to generate pseudotyped HIV-1-derived lentivirus were a gift from Peter Kim's lab at Stanford University and pseudovirus displaying SARS-CoV -2 Spike was prepared as previously described.<sup>39</sup> Briefly, plasmids at the designated concentrations were added to OptiMEM media with FuGENE HD Transfection Reagent (Promega) at a 3:1 FuGENE: DNA ratio, incubated for 30 min and subsequently transfected into HEK-293 T cells. After 24 h, the supernatant was removed and replaced with D10 culture media. The virus was propagated for an additional 48 h, and the supernatant was harvested and filtered. The virus was stored in flash-frozen aliquots at -80°C and thawed before use.

HEK-ACE2 were seeded at 10,000 cells/well on 96-well white plates (Corning, cat. 354620). After 24 h, pseudovirus stocks were titered via a two-fold dilution series in D10 media, and 40  $\mu$ L were added to cells. After 60 h, infection and intracellular luciferase signal was determined using Bright-Glo<sup>\*\*</sup> Luciferase Assay (Promega), and the dilution achieving maximal luminescent signal within the linear range, ~3-5 x 10<sup>5</sup> luminescence units, was chosen as the working concentration for neutralization assays.

#### Pseudovirus neutralization assay

HEK-ACE2 were seeded at 10,000 cells/well in 40  $\mu$ L of D10 on 96-well white plates (Corning, cat. 354620) 24 h before infection. To determine IC<sub>50</sub> for pseudovirus neutralization, dose series of each VH binder were prepared at 3x concentration in D10 media, and 50  $\mu$ L was aliquoted into each well in 96-well plate format. Next, 50  $\mu$ L of the virus was added to each well, except no virus control wells, and the virus and blocker solution was allowed to incubate for 1 h at 37°C. After pre-incubation, 80  $\mu$ L of the virus and blocker inoculum was transferred to HEK-ACE2. After 60 h of infection at 37°C, intracellular luciferase signal was measured using the Bright-Glo<sup>™</sup> Luciferase Assay. Luminescence was normalized to the no binder control and plotted using Prism 8 software. Non-linear four-parameter regression was used to determine the IC50.

#### Authentic SARS-CoV-2 virus neutralization assay

Authentic SARS-CoV-2 virus was handled and neutralization assays were conducted using biosafety level 3 containment with approved protocols. SARS-CoV-2 isolate USA-WA1/2020 (NR-52281) was obtained from BEI resources<sup>47</sup> and expanded with a minimal passage in Vero E6 cells. SARS-CoV-2 at 10<sup>3</sup> TCID<sub>50</sub>/ml was incubated with threefold serially diluted binder at 37°C for 1 h before infection of Vero E6 cell monolayer in 96-well-plates. Virus/binder mixtures were added to 10 replicate wells at 100 µL per well. The plates were incubated for 7 d at 37°C with 5% CO2 until clear CPE developed. The experiment was repeated twice. Wells with clear CPE were counted positive and the percentage of positive wells for each concentration of binder was plotted and analyzed using Prism 8 software. Non-linear four-parameter regression was used to determine the IC50.

#### Abbreviations

- ACE2 Angiotensin-converting enzyme II
- CPE Cytopathic effect
- KIH Knob-in-hole
- RBD Receptor binding domain
- SA Streptavidin
- S<sub>ecto</sub> Spike ectodomain
- VH Variable heavy

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#### **Author Contributions:**

S.A.L., J.A.G., and K.P. co-wrote the manuscript with input from all authors. J.A.G. designed and characterized the bispecific antibodies. K. P., S.A.L., S.K.E., J.L., and C.J.B. conducted the phage selections and/or characterized the Fab and IgG binders. S.A.L., P.S., and N.J.R. designed and conducted the pseudovirus neutralization assays. J.J. and G. S. conducted and/or supervised the authentic virus neutralization assays. I.L. assisted in protein purification. S.A.L., X.X.Z., K.K.L., and J.A. W. supervised the project.

#### **Disclosure of Potential Conflicts of Interest:**

S.A.L, J.A.G, K.P., C.J.B., J.A.W., and the Regents of The University of California have filed provisional patent applications on the VH constructs (US. Application No. 63/139,484) and bispecific VH/Fab constructs (US. Application No. 63/139,487) described in this study.

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#### Data availability statement:

All data supporting the findings of this study are available from the corresponding author upon request.

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