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# Animal models of corneal endothelial dysfunction to facilitate development of novel therapies

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**Abstract:** Progressive corneal endothelial disease eventually leads to corneal edema and vision loss due to the limited regenerative capacity of the corneal endothelium in vivo and is a major indication for corneal transplantation. Despite the relatively high success rate of corneal transplantation, there remains a pressing global clinical need to identify improved therapeutic strategies to address this debilitating condition. To evaluate the safety and efficacy of novel therapeutics, there is a growing demand for pre-clinical animal models of corneal endothelial dysfunction. In this review, experimentally induced, spontaneously occurring and genetically modified animal models of corneal endothelial dysfunction are described to assist researchers in making informed decisions regarding the selection of the most appropriate animal models to meet their research goals.

**Keywords:** Corneal endothelium; corneal endothelial disease; corneal endothelial injury; fuchs endothelial corneal dystrophy; pre-clinical animal models

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## Introduction

Corneal endothelial cells (CECs) are critical for maintaining the relative corneal deturgescence through their pump and barrier functions. The CECs are slowly lost over time with aging and compensatory enlargement and migration of remaining adjacent CECs occurs to maintain their critical function despite decreasing cell density (1,2). Human CECs (as well as those of other species) have limited proliferative capabilities. As a result, extensive cell loss from injury, toxic insult or diseases can rapidly exceed the functional reserve of the corneal endothelium (1,3) and lead to irreversible endothelial dysfunction which manifests clinically as corneal

edema, bullous keratopathy and decreased vision.

Since the early 1900's, penetrating keratoplasty (PK) had been considered the gold standard therapy for severe corneal edema (4,5). Over the past decade, posterior lamellar keratoplasty has replaced full thickness PK as the surgical procedure of choice for endothelial dysfunction (6). A lack of donor tissue worldwide, however, has stimulated research to improve current surgical techniques with the help of cell culture and tissue engineering for advanced endothelial disease (3,4). Stimulating research into the promotion of CEC regeneration were reports of endothelial regeneration after Descemet's Stripping Only (DSO) procedures and spontaneous corneal clearance even with

graft detachment after endothelial keratoplasty (EK) (6-10). The proliferative potential human CECs was reported *in vitro* and *ex vivo* in the early 1980s (11-13) and led to the investigation of new therapies aimed at accelerating proliferation of remaining CECs *in vivo* for treating early stages of endothelial dysfunction (3).

Cell-based therapies with *ex vivo* cell expansion for corneal endothelial dysfunction represent a promising approach for reducing the current dependency on fresh corneal donors and may potentially resolve a global shortage of donor corneas. In addition, there is ample evidence suggesting stem-like corneal endothelial progenitor cells in the periphery of the endothelium at the posterior corneal limbus. Stem cell markers (nestin, telomerase, Oct-3/4, and Wnt-1) and endothelial-specific differentiation markers (Pax-6 and Sox-2) were identified particularly in the wounded corneas (14) and the specific niches for endothelial progenitor cells were recently characterized (15,16). Endothelial progenitor cells would be promising source of cell-based therapy (17). Approaches for delivery of cultured CECs onto the posterior cornea include injecting the cells into the anterior chamber in the form of a cell suspension or transplantation of a bioengineered CEC sheet. Despite the improvement in cell culture protocols, concerns remain regarding the maintenance of endothelial phenotype upon stimulation of mitosis. Several pharmacological agents have been investigated to address these concerns.

Rho-associated, coiled-coil-containing protein kinase (ROCK) inhibitors are the best investigated compounds to promote CEC regeneration. ROCK inhibitors stimulate CEC proliferation and migration as well as suppress apoptosis *in vitro* (18). They are critical to the success of cell injection therapy by promoting cell adhesion onto the posterior cornea in pre-clinical animal models and human clinical trial (19-23). Furthermore, ROCK inhibitors have the potential to enhance CEC wound healing when used as a topical ophthalmic medication (22,24-27).

Another agent that has been studied to enhance CEC proliferation is fibroblast growth factor-2 (FGF-2). However, FGF-2 accelerates endothelial-to-mesenchymal transition (EnMT) of CECs thereby limiting its utility with cultured CECs in tissue engineering (2,4,28). Similarly, nicotinamide and its related derivatives were also shown to be promising for enhancing CEC wound healing by stimulating CEC proliferation. However, unlike FGF-2, nicotinamide and its derivatives also help inhibit EnMT in mice and rabbits (29-31).

In this new era of cell and tissue engineering-based

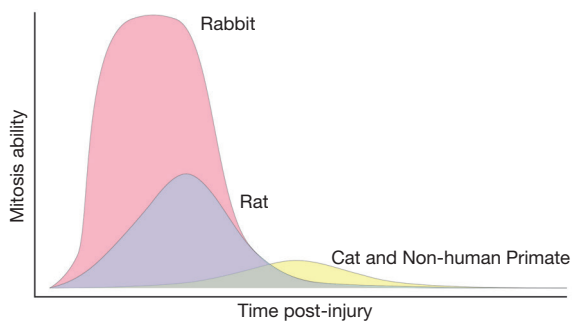
novel therapeutics, and with the development of agents that promote proliferation of CECs *in situ*, there is a growing interest in and demand for pre-clinical animal models of corneal endothelial dysfunction to evaluate safety and efficacy of new treatments. Historically, various methods of direct injury of normal CECs have been attempted to investigate CEC regeneration physiology. These studies have expanded our understanding of the pathogenesis of endothelial diseases, and have been employed in establishing the safety and efficacy of novel therapeutics in normal experimental animals. A small array of genetically-based corneal endothelial diseases (both spontaneous and induced) have been reported, predominantly in mice. In addition, there are non-traumatic inducible animal models as well as animals that develop spontaneous corneal endothelial dysfunction including client-owned veterinary patients. The introduction of advanced ocular imaging in veterinary medicine enables clinicians and researchers to monitor progression of spontaneously developed ocular diseases *in vivo*.

Below we review: (I) experimental methods to induce CEC injury in animal models, (II) genetically modified animal models and (III) spontaneously occurring corneal endothelial dysfunction identified in lab animals and veterinary patients to inform investigators in selecting the most appropriate animal model for their research goals.

### Variations in corneal endothelial regeneration

Although ample evidence exists that human CECs can proliferate under certain conditions (11-13,32), they are considered post-mitotic *in vivo*, such that mitosis does not occur at a level sufficient to replace damaged or lost CECs (1). It is thought that CECs are arrested in non-mitotic G1 phase because densely packed cells exhibit strong contact inhibition through the activity of cyclin-dependent kinase inhibitors such as p27kip1 (33). Inhibitory growth factors such as transforming growth factor- $\beta$  (TGF- $\beta$ ) in the aqueous humor may also contribute to maintain the corneal endothelium in a non-replicative state when cell-cell contact is lost (2,33). Furthermore, chronic oxidative stress from a combination of high intrinsic metabolic rate and environmental ultraviolet (UV) light exposure is thought to play a role in the limited proliferative capacity of CECs (2,34).

With damage, corneal endothelial wound healing occurs predominantly by enlargement and migration of the adjacent cells at the wound margin. This type of wound



**Figure 1** Corneal endothelial regenerative capacity varies by species. Following corneal endothelial injury, rabbits demonstrate rapid and robust mitosis consistent with their high regenerative capacity. The corneal endothelial cells of rodents also demonstrate some mitotic capacity although it is slower than in rabbits. By contrast, cats and non-human primates demonstrate little mitotic ability consistent with that of humans.

healing process is universal in all species, regardless of the nature of corneal endothelial injury (1,35). CEC migration occurs at a rate of ~0.5–1 mm/day (36) which is followed concomitantly by cell proliferation 12–24 h after endothelial injury, particularly in rabbits (37). However, there are wide species-specific variations in the mitotic ability of CECs, impacting the speed and capacity of endothelial regeneration (*Figure 1*).

Rabbit CECs are known for tremendous regenerative capacity from extensive mitosis (38,39). Autoradiography of the uptake of tritiated thymidine ( $^3\text{H-Tdr}$ ) into nuclear DNA is a marker of mitotic cell division in experimental animals. At 24 hours post-cryoinjury, nearly 100% of cells near the wound margin showed uptake of  $^3\text{H-Tdr}$  in rabbits and maximal incorporation was observed 24–48 h post-injury, while little uptake was observed at 48 h post-injury in cats (40,41). In rats following both cryoinjury and mechanical scraping injury,  $^3\text{H-Tdr}$  uptake initially occurred at 36 h and peaked at 48 h with ~45% of cells showing uptake (39). Non-human primate (NHP) CECs showed uptake of  $^3\text{H-Tdr}$  in <20% of cells at 48–96 h post-cryoinjury (42). In a canine study, moderate incorporation of  $^3\text{H-Tdr}$  into CECs was observed at 3–7 days post-cryoinjury (43). Following cryoinjury of 90% of CECs, corneal thickness returned to normal at 4–6 weeks in dogs (43) but did not return to normal until 1 month in cats (40) suggesting that CEC regenerative capacity in dogs is superior to that of cats. In aggregate, these data suggest that CECs of cats and NHPs more closely approximate

that of humans. This suggests that these species may be more suitable for studies of corneal endothelial regeneration (38,40,44,45).

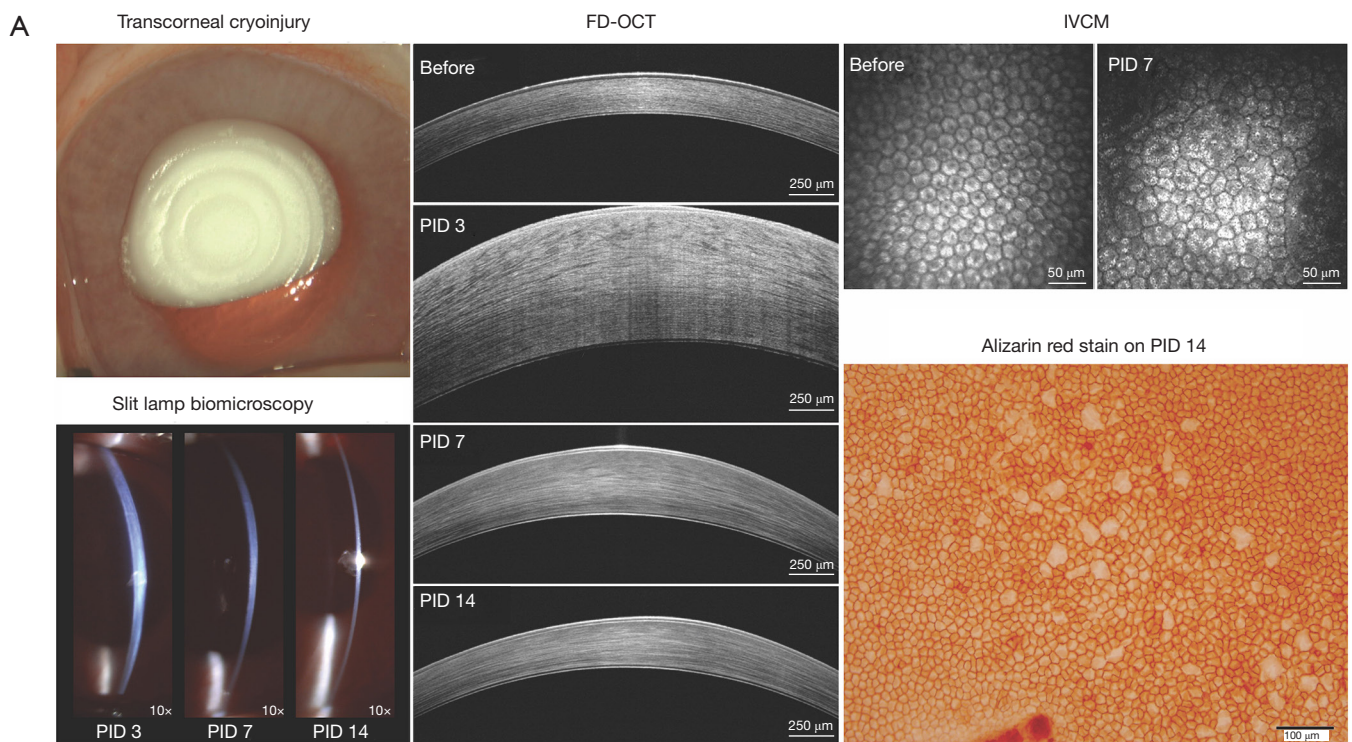
In addition to wide species variability in corneal endothelial wound responses, there is also evidence of differential CEC regeneration with ROCK inhibitors. While Y-27632, a well-known ROCK inhibitor, was reported to enhance corneal endothelial regeneration in rabbits, primates, and dogs *in vivo* (19–22,46), injection of cultured CECs supplemented with Y-27632 failed to regenerate corneal endothelium in cats (47). Species difference as well as differences in the capacity and methods used for inducing CEC injury and culturing CECs could be responsible for this incongruous result in cats.

Although cats and NHPs have a limited CEC regenerative capacity similar to that of humans, rabbits are used most frequently for *in vivo* research of CEC therapy due to their large eye, relatively lower costs and ethical considerations (38). To increase the predictive value of preclinical findings in rabbits to human applications, the use of older rabbits at a minimum age of 9–12 months old could be a viable option since they demonstrated lower CEC density and increased polymegathism when compared with younger rabbits (48,49). Additionally, mitotic activity was significantly decreased with increasing age as 18-month-old rabbits did not show mitotic activity 72 h after cryoinjury (50), supporting delayed endothelial wound healing in older rabbits (*Figure 2*). It is thus important for researchers to consider these intrinsic species differences to best meet the intent of the studies being undertaken.

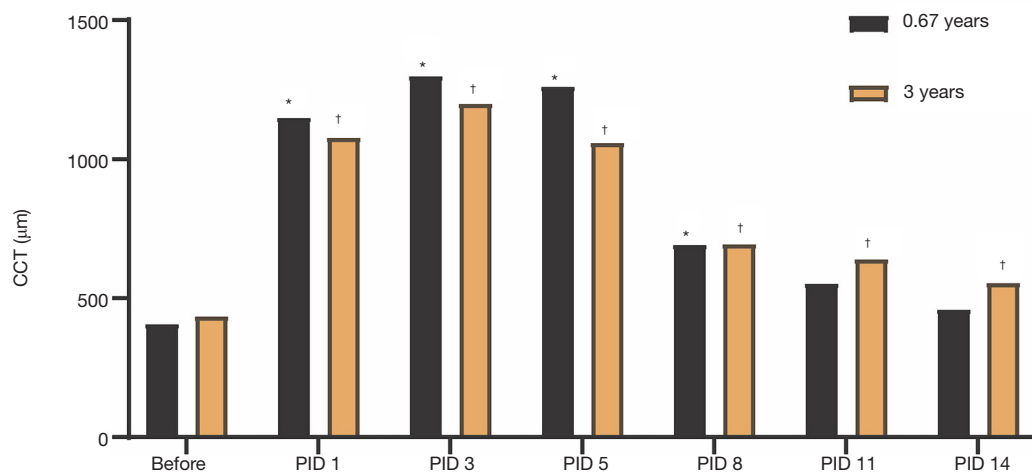
## Methods for inducing corneal endothelial injury

### *Transcorneal cryoinjury*

Since the first report by Maumenee *et al.* in 1948, transcorneal cryoinjury has been a popular method to induce CEC dysfunction (51–53). Despite its simplicity of application and relatively non-invasive nature, the freeze-induced CEC wound and the resultant wound healing behavior varies depending on the temperature, size and duration of the probe application, requiring careful standardization (*Table 1*) (52,57). Generally, liquid nitrogen is used to cool the probe to  $-80$ – $196$  °C. Given that the temperature of the probe continuously rises during the first 3–5 seconds after application (57), the probe should be cooled immediately before each use. If the temperature and duration are correct, the application

**B**

Central corneal thickness (CCT)

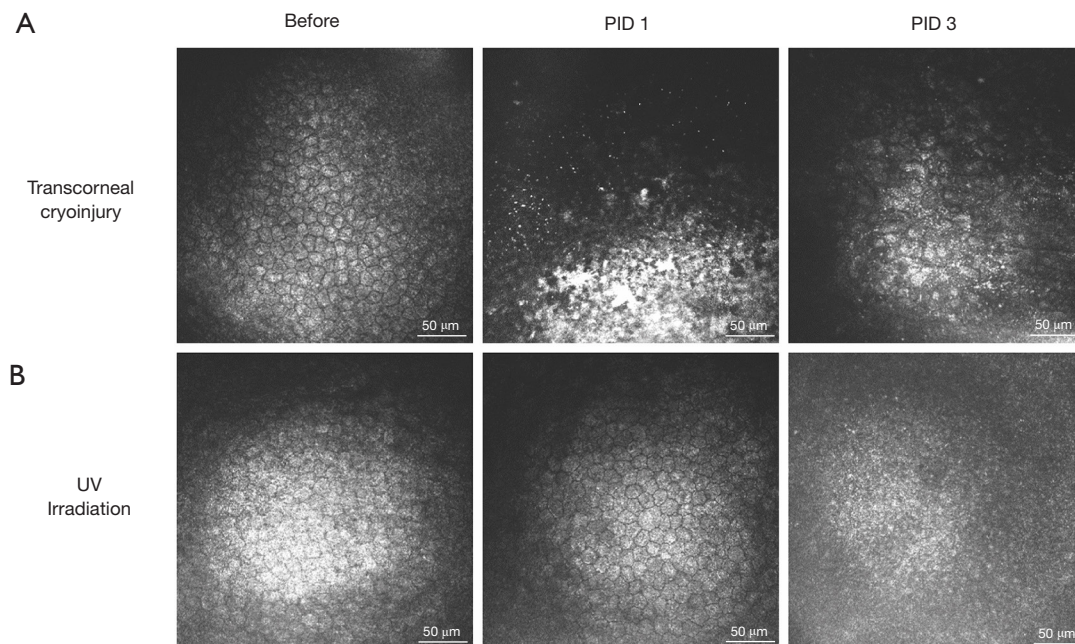


**Figure 2** Transcorneal cryoinjury in rabbits. (A) An 8-mm diameter cryoprobe cooled to an approximate temperature of  $-196^{\circ}\text{C}$  was applied to the cornea for 15 seconds and the cornea was allowed to thaw spontaneously. Following cryoinjury, multimodal advanced ocular imaging including slit lamp biomicroscopy, FD-OCT and IVCM were utilized to monitor clinical progression as well as endothelial wound healing. These imaging modalities demonstrated maximal edema and thickening of the central cornea on PID 3 with return to normal corneal thickness and transparency on PID 14. After euthanasia at the completion of the study, alizarin red staining was performed in corneal wholemounts to evaluate endothelial cell morphology and density. (B) Cryoinjury was performed in two different age groups of rabbits and CCT was evaluated for 14 days post-injury. CCT gradually decreased and returned to normal on PID 11 in 0.67-year-old rabbits, but CCT still remained elevated at  $>500\ \mu\text{m}$  on PID 14 in 3-year-old rabbits. \*P values represent comparison of CCT before and after cryoinjury in 0.67-year-old rabbits; †, P values represent comparison of CCT before and after cryoinjury in 3-year-old rabbits; paired t-test. CCT, central corneal thickness; FD-OCT, Fourier-domain optical coherence tomography; IVCM, in vivo confocal microscopy; PID, post-injury day.

**Table 1** Summary of published studies describing corneal endothelial recovery after transcorneal cryoinjury in animal models. In studies evaluating novel therapeutic in animal models, only groups without any treatment given were included in this table. Corneal thickness and endothelial cell density values were approximated from a graph when specific values were not mentioned in the study

Species	Number of animals (age)	Probe diameter (mm)	Temp (°C)	Time (sec)	Repetition	Results		Reference
						ECD and endothelial wound closure	Corneal thickness	
Rabbit	20 (adult)	2	-65	15	1	Wound was covered by 48 h PI. CECs regained normal appearance by PID 14	Normal by PID 6	(53)
Rabbit	18 (N/M)	2, 8, 12	N/M	15	2	2 and 8-mm: wound was covered by PID 5; ECD returned to normal by PID 10. 12-mm: CECs were larger and irregular in one cornea at 1 month PI	2-mm: normal by PID 13. 8 and 12-mm: normal by PID 28	(40)
Rabbit	31 (adult)	8	N/M	15	1	Wound was covered by PID 3-4. ECD was 1,529 cells/mm <sup>2</sup> by PID 10, 2156 cells/mm <sup>2</sup> by PID 14, and returned to normal by PID 21	Normal by PID 21	(54)
Rabbit	19 (N/M)	3	N/M	10	4	Wound was covered on PID 3 with migrating CECs and fibroblast-like cells	Normal by PID 14	(55)
Rabbit	4 (N/M)	N/M (applied at the center and 8 peripheral regions)	N/M	N/M	2	Denuded DM in the central cornea with some CECs in the periphery at 12 months PI	Remained elevated ~970 µm at 4 weeks PI	(56)
Rat	N/M (4 months)	1.5	-65	8	2	Wound was covered by 48 h PI. ECD returned to normal on PID 7	Normal by PID 2	(39)
Mouse	35 (N/M)	2.5	-80	3	1, 3	Denuded DM was observed on PID 21 in both groups	Remained elevated ~170 µm by PID 21 in both groups	(52)
Dog	15 (young adult)	10	-140	60	2	ECD and cell morphology returned to normal at 10 weeks PI	Not return to normal until 6 weeks PI	(43)
Dog	6 (2 years)	8	-196	20	1	ECD was normal on PID 21	Normal by PID 42	(46)
Cat	17 (N/M)	2, 8, 12	N/M	15	2	2 and 8-mm: CECs were larger and irregular after PID 10. 12-mm: CECs were larger and irregular after 1-2 month PI	2-mm: normal by PID 10. 8 and 12-mm: remained elevated ~800 µm by 1 month PI	(40)
NHP	10 (N/M)	9	-196	15	2	Wound was covered by PID 7-9	Remained elevated ~600-700 µm by PID 9	(44)
NHP	7 (3-5 years)	7	-196	15	1	ECD was ~1,500 cells/mm <sup>2</sup> at 1 and 4 weeks PI	N/A	(22)

CE, corneal endothelium; CEC, corneal endothelial cells; DM, Descemet's membrane; ECD, endothelial cell density; N/A, not assessed; NHP, nonhuman primate; N/M, not mentioned; PI, post injury; PID, post-injury day.



**Figure 3** Corneal endothelial wounds and healing vary depending on the inciting cause. (A) A 2-mm diameter cryoprobe of approximately  $-196\text{ }^{\circ}\text{C}$  was applied to the cornea for 3 seconds in 6-month old mice. Immediately after cryoinjury, CECs were destroyed and inflammatory debris were observed on PID 1. On PID 3, regenerating CECs were observed migrating into the wound area. (B) A 302 nm UV-B with irradiance of  $5,900\text{ mW/cm}^2$  was exposed to the cornea of 6-month-old mice. In contrast to cryoinjury, UV irradiation resulted in mild cellular changes on PID 1 and a bare Descemet's membrane with little cellular debris on PID 3. CEC, corneal endothelial cell; PID, post-injury day.

should result in the formation of an ice ball in the anterior chamber (24,40,44,57).

Compared to other methods of injury, cryoinjury induces corneal epithelial disruption and requires monitoring with corneal fluorescein staining and topical antibiotic application until reepithelialization (40,44). Corneal epithelial defects are usually completely healed by 5–7 days post-injury (57). Monitoring corneal thickness has been utilized as an indirect indicator of CEC regeneration (44), and the contribution of epithelial disruption to development of corneal edema should be considered in the early phase of healing period (54). The corneal endothelium is more sensitive to freezing than the epithelium and stroma (57,58), thus neither corneal vascularization nor secondary infection typically occurs and inflammatory cells do not usually infiltrate the cornea under standardized conditions (51,57).

Meanwhile, varying degrees of anterior uveitis commonly follows transcorneal cryoinjury (Figure 3A). Fibrin can develop in the anterior chamber immediately after cryoinjury and typically resolves at ~7 days post-injury in rabbits (37,57). In rodents with small anterior chamber

volumes, cryoinjury results in marked uveitis (52,59). Fibrin clots adherent to the endothelium are frequently observed and peripheral anterior synechiae and cataract can also occur in rodents (39,52); hyphema develops when excessive damage occurs in mice (52).

Retrocorneal fibrous membranes (RCFM) between Descemet's membrane (DM) and regenerating CECs can develop following cryoinjury, which does not occur with mechanical scrape injury (37,40,44,56,60). RCFM formation is likely due to EnMT whereby regenerating endothelial cells transform into fibroblast-like cells which lose normal junctional complexes, begin expressing  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA), and produce abnormal fibrillar extracellular matrix (ECM) post-cryoinjury (37,55,61–63). Inflammation, particularly fibrin formation, likely triggers EnMT (55,60). Indeed, cryoinjury has been a standard *in vivo* model to study the cellular biology of EnMT (61) with signaling pathways involving FGF-2, TGF- $\beta$ , and IL-1 $\beta$  important in EnMT induction (28,63). In order to prevent fibroblastic transformation of CECs, several measures such as inhibition of TGF- $\beta$  signaling and decreasing p27kip1 expression by

knockdown of Connexin 43 have been developed (2,4,28). Notably, EnMT-induced CECs cultured with TGF- $\beta$  and basic FGF supplemented medium, transplanted to bare DM induced longer-standing bullous keratopathy in rabbits than standard acute injury animal models (64).

Given the role of inflammation in determining the path of CEC wound healing, inflammation should be carefully monitored post-injury in a consistent manner based upon semiquantitative scoring criteria (65). Monitoring CEC wound healing behavior such as corneal thickness and endothelial cell density can benefit from multimodal corneal imaging including optical coherence tomography (OCT) and *in vivo* confocal microscopy (IVCM) (Figure 2).

Transcorneal cryoinjury has been used commonly in pre-clinical studies evaluating novel therapeutics in rodents (66,67), rabbits (24,56,68,69), dogs (46), and NHPs (22). Cryoinjury induces desquamation of CECs into the anterior chamber (1,36,56), which releases contact inhibition of CECs and promotes cell proliferation. In a small case series of human patients with Fuchs endothelial corneal dystrophy (FECD), cryoinjury followed by topical treatment with a ROCK inhibitor showed clinical improvement by stimulating cell proliferation of the remaining unaffected CECs (26).

### **Mechanical injury**

Mechanical injury refers to the introduction of an instrument into the anterior chamber to remove the endothelium by scraping. Multiple techniques have been used to scrape CECs while leaving DM intact including a blunt spatula/needle or custom-made instruments to create linear or round wounds denuded of CECs (39,41,45,55,70-76). Another technique involves implantation of a magnetic foreign body into the anterior chamber and manipulating it with a magnet externally to abrade the endothelium (77,78).

One of the important caveats of the mechanical debridement technique is the possibility of damaging DM. It has been shown that the integrity of DM impacts CEC regeneration and even small DM injuries delay healing of CECs in rabbits and humans (7,74). The edges of the injured DM retract and curl toward the stroma creating a physical barrier to CEC migration into the wound (1,74). Furthermore, damage of DM leading to exposure of the stroma results in the formation of RCFM possibly derived from activated keratocytes (1,35,74,79) that could alter the microenvironment of CECs and lead to differential wound

healing behavior of CECs across intact versus injured DM.

Chen and colleagues demonstrated the two diverging paths of CEC regeneration by comparing CEC scraping and DM stripping in rabbits (79). After CEC scraping injury with an intact DM, adjacent CECs undergo EnMT and demonstrate  $\alpha$ -SMA expression to migrate to the wound bed, then regain an endothelial phenotype after wound closure. With DM stripping and exposure of corneal stroma, migration of CECs was limited with RCFM development. Additionally, regenerated cells in the wound bed were not of CEC origin and exhibited  $\alpha$ -SMA expression even after wound closure. The origin of the regenerated cells has yet to be identified, but it is assumed that keratocytes in corneal stroma might have been activated and transformed into myofibroblasts subsequent to exposure to TGF- $\beta$  in aqueous humor. Keratocytes and CECs both derive from neural crest tissue developmentally. Keratocytes may be able to transdifferentiate into CEC-like cells and partially acquire an endothelial phenotype, thereby contributing to the resolution of corneal edema during the wound healing (2,79).

In a feline study utilizing a CEC scraping injury model with an intact DM, a fibrotic healing response was observed with RCFM in a group receiving an 18-mm diameter injury, while the group receiving a 7-mm diameter injury healed normally (47). Therefore, both the size and nature of the injury may impact the activation of EnMT signaling pathways.

DM stripping is a key step in EK techniques particularly in FECD (8,80). The accumulated experience and success of EK has encouraged research into the use of cultured CEC transplantation either alone or on a thin carrier (cultured CEC sheet). Pre-clinical animal models of DM stripping have been utilized to evaluate various biological and synthetic carrier materials seeded with cultivated CECs to promote adhesion of the cell sheets to the posterior corneal surface and to reduce the possibility of regeneration from the remaining host CECs (81-86). Meanwhile, DM stripping alone is a viable option for early stage FECD patients whose DM shows abnormal excrescences (guttae) acting as a physical barrier and interfering with cell migration (87). Okumura and colleagues demonstrated that DM stripping in the optical zone in combination with cultivated CEC injection is feasible for FECD patients to further improve visual quality using a rabbit model (88).

Table 2 summarizes structural and functional behavior of CEC wound healing following CEC scraping and/or DM stripping in several published studies. Note that



**Table 2** Summary of published studies describing corneal endothelial recovery after mechanical injury in animal models. In the studies of evaluating novel therapeutic in animal models, only groups without any treatment given were included in this table. Corneal thickness and endothelial cell density values were approximated from a graph when specific values were not mentioned in the study

Species	Number of animals (age)	Injury method	Area of injury	Results		Reference
				ECD and endothelial wound closure	Corneal thickness	
Rabbit	41 (young)	CEC scraping—minute: 27G needle; large: blunt spatula	Minute: 27G needle point; large: 25% of CE	Minute: wound was covered by 17 h PI; large: wound was covered by 72 h PI	N/A	(74)
Rabbit	18 (N/M)	CEC scraping with a blunt-tipped cannula	1-mm diameter circle	Wound was covered by 24 h PI	N/A	(37)
Rabbit	12 (N/M)	Phacoemulsification followed by CEC scraping with 20G silicone needle	50% of CE	ECD was ~700 cells/mm <sup>2</sup> on PID 14. CE exhibited fibroblastic phenotype	Remained elevated ~1,200 μm on PID 14	(27)
Rabbit	12 (N/M)	Phacoemulsification followed by CEC scraping with 20G silicone needle	9-mm diameter	Assessed, but N/M	Remained elevated >1,000 μm on PID 14	(19)
Rabbit	12 (N/M)	CEC scraping with 20G silicone needle	8-mm diameter circle	ECD was ~3,400 cells/mm <sup>2</sup> at 6 weeks PI	Normal on PID 7	(64)
Rabbit	18 (N/M)	CEC scraping with a cell scraper	5-mm diameter circle (2×10 <sup>7</sup> μm <sup>2</sup> )	CECs exhibited hexagonal mosaic appearance and clear cell borders on PID 14	Remained elevated ~500 μm on PID 14	(79)
Rabbit	18 (N/M)	DM stripping	5-mm diameter circle (2×10 <sup>7</sup> μm <sup>2</sup> )	Wound was not completely covered by PID 14. α-SMA (+) cells were observed in the wound on PID 14	Remained elevated ~750 μm on PID 14	(79)
Rabbit	8 (N/M)	DM stripping	6-mm diameter circle	No CECs were present on the exposed stroma	Remained elevated >1,000 μm on PID 28	(81)
Rat	N/M (4 months)	CEC scraping with a 30G needle shaft	3×1 mm <sup>2</sup>	Monolayer was reformed by 48 h PI	No changes following injury	(39)
Cat	N/M (adult)	CEC scraping with a custom-made device	30–38% of CE	Wound was resurfaced by 4 days PI	N/A	(76)
Cat	7 (adult)	CEC scraping with a pellet by a magnet	50 mm <sup>2</sup> (18% of CE)	ECD was decreased by 25% at 4 weeks PI. ECD and cell morphology never returned to normal 18 months PI	Normal on PID 35	(78)
Cat	10 (adult)	CEC scraping with a custom-made device	8-mm diameter circle	Wound was covered by PID 7	Remained elevated ~1,300 μm on PID 7	(70)
Cat	10 (adult)	CEC scraping with a button retractor	100% of CE	ECD was 1,411 cells/mm <sup>2</sup> at 6 weeks PI and 1,564 cells/mm <sup>2</sup> at 5 months PI	Remained elevated by 11% at 6 weeks PI and normal on 3–5 months PI	(45)

**Table 2** (continued)

Table 2 (continued)

Species	Number of animals (age)	Injury method	Area of injury	Results		Reference
				ECD and endothelial wound closure	Corneal thickness	
Cat	10 (N/M)	CEC scraping with a custom-made device	57% of CE	ECD was decreased by 43.3% at 2 months PI	N/A	(75)
NHP	2 (N/M)	CEC scraping with 20G silicone needle	9-mm diameter circle (near total CE)	Assessed, but N/M	Remained elevated 1,200 $\mu$ m on PID 14	(20)
NHP	3 (N/M)	CEC scraping followed by DM stripping	8-mm diameter CEC denuded area with a 4-mm diameter DM stripped region	N/A	Remained elevated 1,200 $\mu$ m on PID 28	(86)

CE, corneal endothelium; CEC, corneal endothelial cells; ECD, endothelial cell density; N/A, not assessed; NHP, nonhuman primate; N/M, not mentioned; PI, post injury; PID, post-injury day.

CEC wound healing is more delayed with DM stripping compared to simple CEC scraping.

### Chemical injury

Chemical injury methodology was originally devised in 1977 for corneal transplant experiments in animals in order to remove all of host cells and to induce longer-lasting corneal edema versus mechanical and cryoinjury without provoking corneal neovascularization (89). The key to success for this method is to irrigate the anterior chamber with physiological saline for a few seconds after injection of a chemical agent to minimize collateral tissue damage and subsequent complications including anterior uveitis, secondary glaucoma, and corneal neovascularization (89,90).

Although various pharmacologic agents including povidone iodine, sodium hydroxide (NaOH), and benzalkonium chloride (BAK) have been introduced into the anterior chamber to produce CEC cytotoxicity, BAK is the most commonly used agent for inducing bullous keratopathy in experimental animals. There is a dose-response relationship with more extensive CEC damage occurring at higher BAK concentrations (91). In dose escalation studies in rabbits, at least 0.05% BAK was needed to cause significant CEC damage *ex vivo* (91) and to increase corneal thickness at 24 h *in vivo* (90). The 0.05% BAK has also been utilized in mice and rats (92-95).

There has been cumulative evidence supporting that the population of corneal endothelial progenitor cells reside in the transitional zone between the peripheral cornea and

trabecular meshwork (14,16,96). It is thus expected that chemical injury by intracameral injection induces more extensive CEC damage versus the cryoinjury or mechanical debridement (85,89,91). Even with this method of injury, however, some CEC regeneration, albeit incompletely, still follows. For example, resolution of corneal edema from the periphery to the central cornea with a decrease in corneal thickness and increase in CEC density were observed over time during a 14-day study period in rabbits following intracameral injection of 0.05 N NaOH (97). The origin of these endothelial progenitors is poorly understood; however, Yam and colleagues speculated that alteration or disruption of the transitional zone could induce these cells to activate or proliferate in response to injury (15). This conclusion was based on observations that abnormal monolayer sheets of transitional zone endothelial cells formed and covered the surgical site following laser trabeculoplasty in human patients (98).

### Laser injury

The Neodymium-doped yttrium aluminum garnet (Nd:YAG) laser uses photodisruption to cause microexplosions in tissue (99) and permits clinicians to treat intraocular tissues without entering the anterior chamber (85,99,100). It is widely used for peripheral iridotomy and capsulotomy to treat acute angle closure glaucoma and posterior capsular opacification after cataract surgery, respectively (85,99). Although these procedures are relatively safe with minimal complications including corneal ulcer and uveitis (85), the

effects of Nd:YAG laser on CEC density vary and focal or generalized corneal decompensation can develop requiring a keratoplasty procedure in some patients (101).

Researchers have utilized a Nd:YAG laser on the corneal endothelium to study CEC repair (35,85). Nash and colleagues observed that laser injury differs from other types of endothelial injury in that the healing response is significantly delayed (102). While mechanical or cryoinjury involving up to 50% of the total corneal endothelial surface caused formation of a complete monolayer in 2–5 days in rabbits (35,36,57,74), endothelial lesions persisted at least 3 weeks after Nd:YAG laser injury (35). Some have speculated that the speed of endothelial migration could be slowed down once CECs reach the lasered DM and cell division could be inhibited due to laser-induced direct marginal cellular damage (35,85). With regard to the recovery of physiological function of CECs, corneal thickness remained elevated 4 weeks after laser injury in rabbits (85). Studies where longer-term disruption of endothelial function is needed with minimal intraocular complications could benefit from this type of injury.

### **UV irradiation**

CECs are especially susceptible to oxidative stress due to their lifelong exposure to light including UV radiation and high oxygen demand from active pump function (103). Indeed, corneas of patients with FECD exhibit accumulation of reactive oxygen species (ROS) and reactive nitrogen species (RNS), and elevated oxidative DNA damage in comparison to normal controls (103,104). To mimic a pro-oxidative environment leading to mitochondrial and nuclear DNA damage and resultant corneal endothelial dysfunction, UV irradiation has been applied to the corneas of animals.

In general, the severity of UV-induced tissue damage depends on the wavelength, the intensity of the light, and the absorption spectrum of each tissue (105). Depending on the wavelength, UV light is classified into UVA (315–400 nm), UVB (280–315 nm) and UVC (100–280 nm). While UVC is almost exclusively filtered by ozone of the atmosphere, the terrestrial environment is constantly exposed to UVA and UVB radiation with the cornea absorbing 60–100% of UVB while UVA is primarily absorbed by the lens (106,107). While UVA-induced oxidative stress is primarily mediated through the generation of ROS and RNS rather than direct DNA damage (108), UVB is directly absorbed by DNA bases in addition to ROS generation (108).

Similar to the cryoinjury model, experimental UV irradiation disrupts the corneal epithelium, which typically heals within one week (109). Variable anterior uveitis can develop following UV exposure, but usually regresses within ~2 days (110). Anterior uveitis was absent with wavelengths >315 nm (110). Unlike cryoinjury which causes acute necrosis immediately after wounding, UV irradiation results in a slower onset of CEC damage (*Figure 3*). At the molecular level, UVA exposure induced a delayed damage in nuclear DNA with low CEC density in mice starting only at 1 month post-irradiation (109).

UVB irradiation induced CEC alterations in mice, rats, and rabbits with CEC apoptosis, less interdigitated cell borders, and corneal edema being observed (31,111,112). UVB-induced CEC damage was accompanied with reduced concentrations of precursors of nicotinamide, a key metabolite in mitochondrial metabolism and antioxidant stress response. Subconjunctival injection of nicotinamide precursors effectively prevented UVB-induced CEC apoptosis in mice (31).

Liu and co-authors recently developed a nongenetic, UVA-induced late-onset FECD mouse model (109). UVA induced progressive CEC loss within 2 months post-irradiation and furthermore, UVA caused formation of guttae-like lesions. Supplementation of N-acetylcysteine, known for an antioxidant and ROS scavenger, increased CEC survival, suggesting that ROS quenching restored UVA-induced CEC loss. Interestingly, this murine model simulated female predisposition seen in FECD patients, with female mice showing an earlier onset of CEC loss and corneal edema at lower dose of UVA compared to male mice. They identified the involvement of CYP1B1, the estrogen-metabolizing enzyme, in sex-dependent differences in CEC susceptibility to UVA and also detected greater mitochondrial DNA damage and estrogen-DNA adduct formation in more severely affected female mice. Given the characteristics of UV irradiation including progressive CEC loss, simulating female predisposition, formation of guttae-like lesions, and mimicking environmental factors, this method may be the most physiologically relevant inducible animal model of FECD currently developed.

### **Genetically modified animals**

Genetic studies have identified multiple gene mutations and loci associated with FECD. The major component of DM is collagen type VIII (COL8) and the alpha 1 and alpha 2 subunits of COL8 (COL8A1 and COL8A2) are equally and

regularly organized within the anterior banded layer of DM in normal corneas (113). There are two clinical subtypes of FECD: an early-onset form and a late-onset form. The early-onset FECD is associated with missense mutations Q455K and L450W in the *COL8A2* gene. Knock-in mouse models containing a point mutation homologous to the human Q455K and L450W *COL8A2* mutation have been generated and possess endothelial phenotypes analogous to human FECD (114,115). Both knock-in mouse models exhibited dilated endoplasmic reticulum (ER), suggesting that ER stress caused by the accumulation of misfolded proteins in the ER lumen and activation of unfolded protein response (UPR) resulted in FECD pathology (114,115). Interestingly, L450W mutant mice showed better preservation of CECs and cell morphology clinically versus Q455K mutant mice but with a more severe vacuolization of CECs observed at an ultrastructural level in L450W versus Q455K mutant mice (115). It is speculated that dilated ER and large vacuoles in CECs of L450W mutant mice represent autophagy, a cellular pathway that occurs in response to ER stress in order to protect cells by degrading bulk protein aggregates, which may enhance CEC survival (115,116). Induction of autophagy by lithium administration and reduction of oxidative and ER stress by N-acetylcysteine administration were demonstrated to increase CEC survival in Q455K and L450W murine models, respectively (116,117).

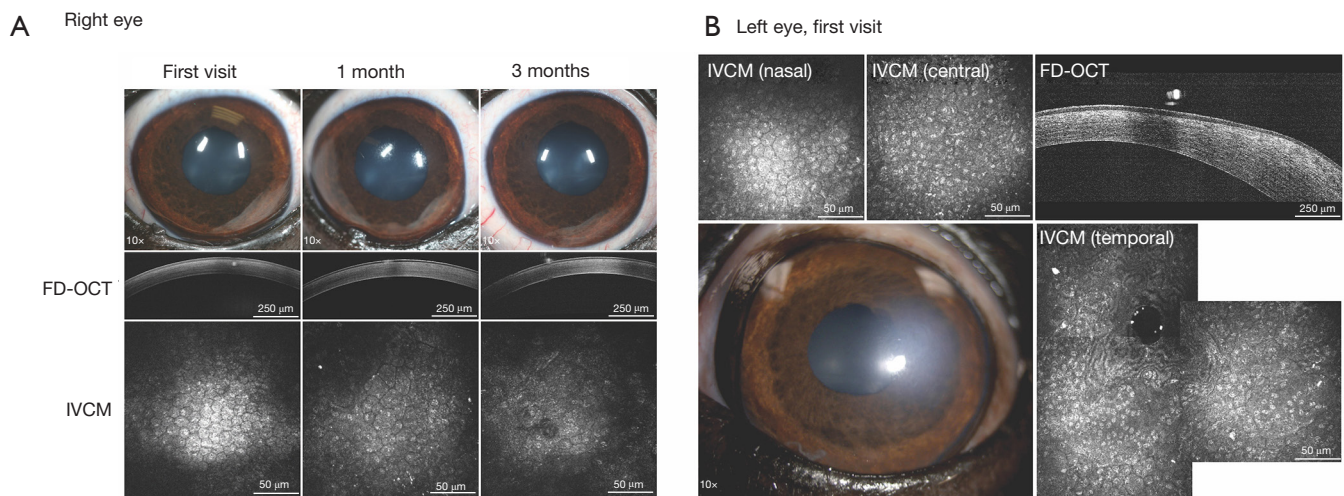
In comparison to early-onset FECD, the *TCF4*, *TCF8*, *SLC4A11*, *LOXHD1* genes have been implicated in late-onset FECD (113). *TCF4* and *TCF8* genes encode the transcriptional factors E2-2 and ZEB1 respectively, both of which promote EnMT and suppress E-cadherin resulting in loss of cell-to-cell contact (118). Altered function of ZEB1 also contributes to abnormal ECM deposition by regulation of *COL8A2* (119,120). The intronic trinucleotide repeat expansion within the *TCF 4* gene is responsible for about 70% of FECD cases (121,122). The role of RNA toxicity in FECD pathology was confirmed that nuclear foci of expanded intronic repeats in *TCF 4* in FECD-affected CECs sequesters RNA splicing factors leading to global mis-splicing of RNA (123,124). Mutations in *SLC4A11* and *LOXHD1* cause cytoplasmic accumulation of proteins, which may be related to guttae formation through a mechanism involving ER stress and UPR (119).

*SLC4A11* mutations account for ~5% of late-onset FECD patients as well as most cases of congenital hereditary endothelial dystrophy (CHED) (121,125). The

protein encoded by *SLC4A11* mediates  $\text{Na}^+ : 2\text{B}(\text{OH})_4^-$  cotransporter and was renamed NaBC1 (125,126). NaBC1 functions as a  $\text{Na}^+ : \text{OH}^-$  permeable channel playing a critical role in sodium-mediated fluid transport in both the cornea and the kidney (125,126). *SLC4A11* knock-out mice demonstrate diffuse corneal edema, vacuolated CECs, and uniformly thickened DM histologically as observed in CHED patients and clinically showed progressive corneal edema and CEC damage with age (122,125). These findings were attributed to accumulation of sodium chloride in the corneal stroma due to defective NaBC1 protein and altered water flux function of CECs (125).

In addition, the PPCD1 mouse was characterized by abnormal growth of epithelialized CECs over the iridocorneal angle, posterior cornea, and iris and enlarged anterior chamber (127). The presence of CECs with epithelial phenotypes such as microvilli and inappropriate cytokeratin expression is a characteristic of the human autosomal dominant disorder posterior polymorphous corneal dystrophy (PPCD). *COL8A2* mutations are also associated with PPCD in human patients (113,127).

Finally, there is an intimate, bidirectional relationship between CECs and DM. Mechanotransduction is the process by which cells sense and relay extracellular signals of mechanical changes through transmembrane mechanoreceptors and actin cytoskeleton into the nucleus, controlling cell morphology and downstream signaling pathways (119). Yes-associated protein (YAP) encoded by the gene *YAP1* and transcriptional coactivator with PDZ-binding motif (TAZ) encoded by *WWTR1* are major cellular mechanotransducers. YAP heterozygous (*Yap*<sup>+/-</sup>) mice had severe ocular abnormalities including an absent endothelium, and homozygous deletion of the *Yap1* gene could not be studied due to embryonic lethality (128). By contrast, consequences of modification of TAZ expression are viable mice with a mostly normal ocular phenotype, permitting studies of corneal wound healing *in vivo*. Preliminary investigations of TAZ (*Wwtr1*) deficient mice demonstrate a lower CEC density with a softer DM in comparison to wild-type controls as well as reduced CEC proliferation following cryoinjury (129). Furthermore, FECD patients have a softer DM due to more widely spaced collagen fibrils in DM and CECs were displaced and distorted around the guttae in FECD-affected corneas (130,131). Finally, the Q455K and L450W murine models demonstrated reduced DM stiffness prior to CEC loss, mimicking the mechanobiology seen in FECD



**Figure 4** Anterior segment photography, FD-OCT and IVCN imaging of a 9-year-old male neutered Boston Terrier. (A) Right eye. The right cornea had a normal thickness and clarity for 3 months after the initial visit. However, IVCN showed progressive CEC damage of the central cornea including disrupted cell borders, pleomorphism, and polymegathism. (B) Left eye. Focal corneal edema was observed in the temporal paraxial cornea at the initial visit. With IVCN, mild pleomorphism and polymegathism was observed in the nasal cornea while marked pleomorphism and polymegathism with multinucleated giant cells and a guttae-like structure were found in the central and temporal cornea. CEC, corneal endothelial cell; FD-OCT, Fourier-domain optical coherence tomography; IVCN, in vivo confocal microscopy.

patients (131). Collectively, these observations suggest that mechanotransduction is critical in CEC health and disease and the interrelationship between DM and CECs is understudied (119).

### Spontaneous corneal endothelial dysfunction in animals

A major advantage of using animals with naturally occurring diseases lies in their population diversity in comparison to the highly homogeneous background of laboratory animals, which could likely better reflect the complex genetic, environmental, and physiological variation identified in the human population (132). Spontaneous corneal endothelial decompensation has been identified in dogs, cats and mink (133-135). Corneal endothelial dystrophy (CED) in dogs is a bilateral, adult-onset, primary degeneration of CECs and is overrepresented in some specific canine breeds, suggesting an underlying presence of a heritable component like FECD in humans (136,137). Clinically, polymegathism and pleomorphism of CECs are observed in CED-affected dogs, which increase in correlation with disease severity. Corneal edema, bullous keratopathy, and decreased vision are common consequences at later stages of disease similar to human patients (136,137). Microscopically, thicker

DM and guttae-like deposits were identified in CED-affected dogs versus age-matched control dogs (134,137). In aggregate, these studies suggest that CED in dogs shares clinical and histological similarities with FECD in human patients.

When evaluating the efficacy of novel therapeutics, preclinical studies in laboratory animals are often poor predictors of human clinical trials due to strictly regulated husbandry environments as well as their highly inbred and uniform genetic background (132). For example, smoking, diabetes, and cardiovascular disease are environmental factors associated with the risk of FECD development (113). Therefore, utilizing client-owned dogs versus laboratory animals permit exposure to the same epigenetic factors as their human owners (136). Finally, advanced ocular imaging including OCT and IVCN can be employed in the longitudinal evaluation of veterinary patients (*Figure 4*). Therefore, veterinary clinical trials could serve as a bridge between preclinical and human clinical studies and facilitate rapid translation of novel drugs, devices, biomaterials, surgical procedures, or regenerative therapeutic strategies (132). The investigation of veterinary patients with spontaneous corneal endothelial dysfunction may improve the predictive value of interventions for treating human patients and has the value added attribute of

improving vision care for veterinary patients in need.

Superficial keratectomy with conjunctival advancement hood flap (SKCAHF), thermokeratoplasty, and corneal collagen crosslinking procedures have been adapted by veterinary ophthalmologists from their physician-based colleagues as symptomatic therapy in client-owned dogs suffering from severe bullous keratopathy (138-140). EK is now being performed in dogs with endothelial dysfunction to improve visual function (141,142). The relative large-size of canine eyes with similar corneal anatomy to humans could serve as an optimal platform to test and optimize new surgical techniques (136,142). Finally, veterinary clinical trials involving topical application of ROCK inhibitor are currently in progress to stimulate CEC proliferation in dogs affected with early stage of CED (unpublished).

## Conclusions

In this review, we have highlighted historical and recent key findings relevant to CEC regeneration and various animal models of inducing corneal endothelial dysfunction. Wide variations exist in the regenerative response to CEC injury depending on the species used, the nature of methods of CEC injury and specific genetic modifications, which likely contributes to differences in outcomes observed between promising animal studies and negative human clinical trials (143). In particular, the variable proliferative capacity of CEC of laboratory animals may make it difficult to interpret the data obtained or observe differences between treatment groups. Selecting aged animals, choosing a technique where endothelial cells are permanently destroyed (56), or utilizing a spontaneous model that better mimics the disease process of interest are all strategies for investigators to consider when selecting an appropriate animal model. Because preclinical studies are critical in the decision to pursue human clinical trials (144), researchers should choose appropriate animal models carefully and should optimize and standardize experimental protocols to facilitate the translation of research findings from animals to humans.

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