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Conservation Evidence from Climate-Related Stressors in the Deep-Time Marine Fossil Record

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1 Main Text

1 Summary

1 Conservation of marine species requires the ability to predict the effects of climate-related stressors in an uncertain future. Experiments and observations in modern settings provide crucial information, 2 3 but lack temporal scale and cannot anticipate emergent effects during ongoing global change. By 4 contrast, the deep-time fossil record contains the long-term perspective at multiple global-change events that can be used, at a broad scale, to test hypothesized effects of climate-related stressors. For 5 6 example, geologically-rapid carbon cycle disruption has often caused crises in reef ecosystems, and 7 selective extinctions support the hypothesis that greater activity levels promote survival. Geographic 8 patterns of extinction and extirpation were more variable than predicted from modern physiology, 9 with tropical and temperate extinction peaks observed at different ancient events. Like any data source, the deep-time record has limitations, but also provides opportunities that complement the 10 limitations of modern and historical data. In particular, the deep-time record is the best source of 11 12 information on actual outcomes of climate-related stressors in natural settings and over evolutionary timescales. Closer integration of modern and deep-time evidence can expand the types of hypotheses 13 testable with the fossil record, yielding better predictions of extinction risk as climate-related 14 15 stressors continue to intensify in future oceans.

1 Introduction

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1

1 Terrestrial and marine ecosystems are in the midst of an extinction crisis [1]. Human activities 2 have caused and continue to cause population declines through overharvesting, habitat degradation 3 and fragmentation, and introduction of invasive species. Anthropogenic carbon dioxide emissions 4 also trigger wide-ranging environmental disruption (often called "global change") that increases 5 extinction risk for species on land and in the ocean. In marine ecosystems, these climate-related 6 stressors of warming, ocean deoxygenation, and ocean acidification will continue to intensify in the 7 coming decades, also elevating the extinction risk for vulnerable organisms.

1 To protect species from extinction, it is crucial to identify organisms that are currently at risk 2 or may be at risk in the future. This is an extremely challenging goal, given multiple and potentially 3 synergistic stressors and the need to extrapolate into an uncertain future. Unsurprisingly, given the 4 urgency of climate change and ocean acidification as some of the factors that might increase 5 extinction risk in the oceans, a tremendous amount of research seeks to constrain the effects of 6 climate-related stressors on marine organisms. This research brings together a range of methods: 7 experimental manipulations in the laboratory and with mesocosms [e.g., 2,3], observations of "natural 8 laboratories" such as low-pH vent sites [e.g., 4,5], and analysis of large databases [e.g., 6,7]. Although
9 climate-related stressors are not the only causes of increased extinction risk, they could be significant
10 contributors that must therefore be considered when assessing conservation needs.

Experimental and observational studies of modern species provide valuable insights into the 11 effects of climate-related stressors on marine organisms, but also have limitations. The scale of the 12 13 problem is especially challenging: marine global change involves multiple stressors acting on 14 hundreds of thousands of species, throughout the entire ocean and over decades to centuries of evolutionary time (figure 1). Experimental manipulations, and even natural laboratories, can only 15 16 provide information at small spatial and temporal scales, and in many cases in controlled but artificial 17 conditions [3,8]. Outcomes may depend on the rate of change [9,10], making extrapolations to longer timescales more difficult. Analyses of large databases can assess many species at global spatial 18 19 scales, but studies using data only from the modern and historical record will rarely be able to 20 evaluate changes over evolutionary timescales.

21 The deep-time fossil record (pre-Quaternary, or older than about 2.5 million years) can fill 22 that gap by providing unparalleled scale for evaluating biological impacts of climate-related stressors. 23 Focusing on the marine record, there have been multiple "natural experiments" of geologically-rapid 24 global change, with different rates and magnitudes, over the past 300 million years [11] (figure 2). 25 Some were catastrophic mass extinctions while others had only minimal taxonomic losses. They 26 capture a spectrum of climate-related stressors, from ocean anoxic events to hyperthermals with 27 abrupt ocean warming and acidification. That spectrum of events can test the importance of traits 28 under different climate scenarios and can reveal general principles that apply across a range of 29 perturbations. Although the nature of the deep-time fossil record also limits some inferences, and 30 events in deep time are not exact analogues to modern ecosystems or environmental change, Earth 31 history is the only way to assess the biological impacts of climate-related stressors across myriad 32 taxa, in natural ecosystems, and over evolutionary timescales.

33 Evidence from the deep-time record has been incorporated into conservation biology in 34 limited areas, most notably in reef conservation [12,13], but there is unrealized potential for wider 35 use. Quaternary fossil records can provide baseline conditions for natural ecosystems, but both the Quaternary and deep-time records have uses beyond setting pre-anthropogenic baselines [14,15]. 36 37 Paleontological studies have included exploratory analyses of extinction selectivity that investigated 38 a variety of traits: feeding, motility, and other life-habit attributes; geographic range, paleolatitudinal 39 distribution, and habitat preference; and physiological traits such as buffering of calcification [16,17]. 40 Other studies have quantified selective taxonomic losses that potentially reflect physiological differences, but with the primary goal of evaluating potential environmental "kill mechanisms" 41 42 during extinctions [18]. These investigations contribute significantly to reconstructions of 43 environmental and biotic change during mass extinctions, but I suggest that the deep-time fossil 44 record is best placed to contribute to conservation biology through more targeted testing of 45 hypotheses generated from experiments or observations in modern settings.

Not all hypotheses can be tested with the deep-time fossil record, however. To take advantage 46 47 of the fossil record, testable hypotheses must make predictions that can be evaluated at broader 48 temporal and spatial resolution and with extinct organisms that might be only distantly related to 49 extant species. Functional trait-based hypotheses have been promising [19], especially for traits that 50 can be inferred from shell morphology and for traits that can be generalized at higher taxonomic 51 levels (using taxonomy as a proxy for suites of traits that are not or not-easily measurable in fossils). 52 Hypotheses that make predictions, for example, about geographic patterns of risk or about 53 expectations for range shifts, may also be well-suited for testing at deep-time global change events.

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55 Case studies: testing functional trait predictions

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57 In today's oceans, reef-building corals are thought to be among the organisms most 58 vulnerable to warming and acidification [20]. This assessment stems in part from the observation that 59 corals are already suffering during bleaching events when ocean heat waves cause widespread 60 mortality [21]. The vulnerability of reef-building corals likely results from their sensitive functional, 61 especially physiological, traits, such as the delicate symbiosis with photosynthetic algae and the rapid 62 calcification of large skeletons. However, although concern over the future of corals and coral reef-

building is warranted, there is continuing uncertainty because some corals may have potential for
 evolutionary adaptation, may respond to future multistressor global change in ways that cannot be
 predicted from current responses, or may be rescued by more resilient species or populations [12].

The deep-time fossil record has demonstrated that reef ecosystems, and the corals or 66 67 hypercalcified sponges that built them, are especially vulnerable to global environmental change. Although only one event, the Permian-Triassic extinction 250 million years ago, caused total 68 extinction of corals, reef-building organisms typically suffered disproportionate losses during 69 70 hyperthermal extinctions [22] (figure 2). Even when taxonomic losses were minimal, such as during 71 the Paleocene-Eocene Thermal Maximum (PETM), rapid environmental change resulted in decreased reef volume [22]. Although assessing rates over short timescales is challenging in deep time [23], reef 72 73 crises appear to have been more severe at hyperthermals with more rapid carbon cycle perturbations 74 (Permian-Triassic and Triassic-Jurassic extinctions, PETM) than at those with more protracted 75 warming (Cretaceous ocean anoxic events) [22]. It appears that evolutionary potential, rescue by 76 more resilient populations, and other mechanisms were not sufficient to prevent major reef declines 77 and sometimes widespread extinction during deep-time hyperthermals. These outcomes lend support to some of the more pessimistic projections for future reefs and create more urgency for minimizing 78 79 the rate of ocean warming and acidification and limiting non-climate stressors to reef ecosystems.

80 In the younger parts of the deep-time record, a greater proportion of fossil taxa belong to still-81 living genera or families, which enables more nuanced functional inferences even though most or all 82 fossil species are now extinct. Among corals, this can allow feeding mode, the presence of symbiosis, 83 and even reproductive strategy to be inferred in 50 million-year-old species from their living 84 relatives, testing the importance of those functional traits during time periods that included the PETM 85 and other hyperthermal events [24]. During those time periods, fossil coral species with a broader range of feeding options and mixed reliance on photosymbiosis tended to be more likely to survive, a 86 87 pattern of selectivity that differed from background extinctions in other time periods [24]. Studies of 88 ancient hyperthermals can complement the focused investigations of extant corals, providing a 89 valuable perspective on actual outcomes during environmental stress over long timescales.

90 Functional traits are likely important predictors of survival not just among corals, but among 91 all marine organisms. For example, more-active organisms might have physiological traits and 92 mechanisms that enable them to cope with elevated CO_2 levels [25], and may also be less vulnerable 93 to ocean warming [9]. The underlying physiological traits that cause these different responses to CO_2 94 or temperature stress may be impossible to measure in fossils, but Peck et al. [9] developed a 95 motility- and feeding-based activity quotient that can be generalized even to extinct species. Using 96 this quotient, there was no clear relationship between activity levels and extinction risk over a 150 97 million-year interval from the Permian to Jurassic, except at three extinctions (Guadalupian, 98 Permian-Triassic, Triassic-Jurassic) when higher levels of activity promoted survival among benthic 99 marine invertebrates [26] (figure 2). However, the relationship between activity and extinction was 100 less clear when including marine vertebrates, perhaps because of vulnerability at different life stages. 101 Sharks preferentially survived the Permian-Triassic and Triassic-Jurassic mass extinctions relative to 102 invertebrates, but bony fishes and invertebrates had similarly elevated extinction rates at the Triassic-103 Jurassic [27]. These findings suggest that the relevance of activity level, in a broad sense, operates 104 both over the short timescales of experimental work [9,25] and over evolutionary timescales in 105 ancient hyperthermal extinctions.

106 Although the deep-time record enables testing of hypothesized links between functional traits 107 and extinction risk during global change, there are limitations to the conclusions, just as there are also 108 limitations in controlled laboratory experiments, observations from modern ecosystems, or any type 109 of study. One limitation is that functional traits are often inferred from taxonomic relationships, and 110 at broad taxonomic levels, rather than being directly measurable. For example, activity levels were 111 categorized mostly at the level of taxonomic order or even class, assigning the same value to all 112 epifaunal brachiopods [26] even though there undoubtedly were physiological differences among 113 brachiopod species. Analyses of the deep-time record are also typically performed with data binned 114 into time intervals that are several million years long. This binning is not a major issue when 115 extinction rates are high, because the signal from the event will outweigh background selectivity, but

116 it can be potentially difficult to attribute selectivity to environmental stresses when extinction rates

are similar to background levels. As in all studies, the limitations must be considered when applying

interpretations in a different context and translating deep-time findings to the modern ocean, but the scale of the record provides complementary strengths that can benefit conservation studies.

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121 Case studies: testing geographic predictions

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123 In contrast to functional traits, which must be inferred from morphology or extrapolated from living relatives, the paleogeographic location of fossil occurrences can be observed directly. This 124 125 enables testing of hypotheses that use geographic distribution to predict vulnerability to climaterelated stressors. For example, are organisms that inhabit the tropics at greater risk of extinction from 126 127 climate-related stressors [28]? In modern oceans, many tropical organisms have only a small buffer 128 between maximum environmental temperatures and their physiological thermal limits [10,28]. 129 Perhaps physiological plasticity is instead important for survival during global change [29]. If that is 130 the case, could organisms inhabiting more variable habitats, at temperate latitudes for example, be 131 less vulnerable?

132 These hypotheses are challenging to test solely in the modern, but events in deep time provide 133 natural rates and patterns of global change that can be used to investigate the responses of organisms 134 over evolutionary timescales. At the Triassic-Jurassic extinction, marine invertebrates with a tropical 135 preference were more likely to go extinct [30], providing support for the hypothesis of greater risk in 136 the tropics (figure 2). However, tropical extinction rates were lower than at temperate latitudes during 137 the Permian-Triassic extinction, suggesting more complex spatial patterns from multiple stressors, 138 such as the combination of elevated temperature and reduced oxygen [31]. Overall, the relationship in 139 deep time between climate-driven stress and the geographic pattern of extinction is complicated and 140 variable [32].

141 The combination of paleogeographic data with general circulation models or Earth-system 142 models can provide powerful tools for testing hypothesized effects of climate-related stressors. 143 Taxonomic losses during the Permian-Triassic extinction may have been more severe at latitudes 144 where the combined effects of warming and deoxygenation were most severe, consistent with the 145 importance of metabolic oxygen supply and demand during global change events [31]. During the 146 PETM, calcareous nannoplankton disappeared from the tropics and became restricted to higher 147 latitudes with lower carbonate saturation state, suggesting that temperature rather than ocean 148 acidification was a key control on their distribution [33].

However, several factors complicate the interpretation of deep-time geographic distribution 149 150 data. For one, global change events in deep time are represented by fossiliferous rocks preserved and 151 exposed at some geographic locations, but missing from others. Ancient terrestrial environments 152 typically have sparser geographic records while rocks from ancient marine environments tend to be geographically more widespread, because marine sedimentary basins tend to be deeper, longer-lived, 153 and less susceptible to later erosional destruction [34]. As a result, reconstructions of geographic 154 155 distribution must be done with care, especially when attempting to infer absences, and even more so 156 when inferring the absence of organisms that would have been rare.

157 The interpretation of geographic extinction patterns can also be confounded by non-random distribution and/or sampling of taxonomic groups that had different extinction rates. For example, 158 159 corals tended to be especially vulnerable to climate-related stressors and also had predominantly 160 tropical distributions in the past, as today. On the other hand, fossil ostracods had comparatively low extinction rates during the Permian-Triassic crisis, and are most often collected by dissolving 161 limestone rocks that predominantly form in the tropics; as a result, the vast majority of Permian-162 163 Triassic ostracods are also known from the ancient tropics. Although geographic patterns of 164 extinction may not be as clear after disentangling taxonomic selectivity, consistent with complex 165 impacts of climate-related stressors, the deep-time record has tremendous potential.

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167 Applicability of the deep-time record

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169 The case studies demonstrate situations where the deep-time record can reveal the biological 170 consequences of climate-related stressors, but how can that be applied to answer conservation 171 questions? Can the deep-time fossil record help guide applied species or ecosystem management decisions? Or can it help inform restoration strategies and best practices for conservation 172 173 interventions? In these areas, the deep-time record is likely of little relevance. The deep-time record 174 also cannot document human impacts on ecosystems or assess the societal consequences of biodiversity loss. However, I argue that the deep-time fossil record can nevertheless provide 175 important guidance for conservation biology. There are several hundred thousand marine species 176 [35], but only a tiny fraction of those species have had their conservation status formally assessed. 177 178 Similarly, although experiments have documented the risks to selected marine species from warming 179 or acidification, it will be impractical to perform multistressor experiments at the scale needed to evaluate all species. As a result, the vulnerability of the vast majority of marine species remains only 180 loosely constrained, especially the vulnerability to climate-related stressors. 181

182 As demonstrated by the case studies, the deep-time fossil record is best positioned to refine 183 assessments of vulnerability to climate-related stressors at broad scales. But predictions of extinction risk are not a simple binary (either known or unknown); instead, our assessments occupy a spectrum 184 of varying degrees of confidence from complete ignorance to complete certainty. Experimental or 185 186 observational studies in modern settings have the potential to substantially increase the certainty of 187 extinction risk predictions, but only for a few species. Conversely, the enormous scope of the deeptime record can increase the certainty of extinction risk predictions for a huge number of species, 188 189 albeit likely providing more incremental knowledge gains than possible from controlled experiments. 190 Nevertheless, even incremental improvements in certainty are valuable, especially when helping to 191 refine the extinction risk of unstudied or understudied groups.

192 Applied conservation decisions require that scientific knowledge be translated into actionable 193 tasks, which often can be challenging to synthesize from basic research. However, this challenge 194 applies not only to evidence from the deep-time fossil record, but also to many experimental or 195 observational studies on modern organisms. Nonetheless, these basic research studies provide crucial guidance for understanding the fundamental mechanisms that govern vulnerability to climate-related 196 197 stressors. Evidence from the deep-time record, or from experiments or observations, will not always 198 translate to specific actions, but decision-making is ultimately strengthened by incorporating diverse 199 evidence from sources with different strengths.

200 Many marine species lack data on their conservation status, but forecasting future risk is even more difficult given the complexity of environmental and biological systems. Are there emergent 201 202 behaviors, which cannot be extrapolated from experiments or historical observations, over 203 evolutionary timescales or as conditions change beyond thresholds? Experimental or observational 204 studies can propose hypotheses but are not, on their own, conclusive predictions of the future. The 205 deep-time record, because it contains the actual responses to climate-related stressors over 206 evolutionary timescales, is the best and perhaps only way to approach these questions. Did a 207 taxonomic group that is hypothesized to be vulnerable actually suffer greater extinction in the past? 208 Was a functional trait that promoted survival in an experiment actually a significant predictor of 209 survival during real global change events? Patterns of taxonomic, functional, or geographic 210 selectivity that occurred consistently at multiple deep-time global change events are likely to 211 represent important and general processes governing vulnerability to climate-related stressors. These 212 patterns can also yield insights that would be unavailable from modern evidence alone. For example, 213 the deep-time fossil record strongly implies that such responses are not likely to be adequate to save 214 reef ecosystems over the short term, given continued environmental change at current rates, although reefs will recover over geological timescales. Time is the most significant limitation of studies of the 215 extant fauna, but the long-term perspective of the deep-time record, its greatest strength, fills that gap 216 217 and provides complementary evidence to investigate the response to climate-related stressors.

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Conclusion: toward a closer integration of modern and deep-time evidence 220

221 Deep-time natural experiments are best placed to test hypotheses over evolutionary 222 timescales. For example, do findings from experiments or observations actually apply over realistic 223 scales of global change? Ancient global change events can be used to test the importance of 224 functional traits, for example supporting predictions that more active organisms are generally less 225 vulnerable to climate-related stressors. The deep-time record is also well-placed to test geographic 226 controls, for example indicating that the relationship between geographic distribution and extinction 227 risk is more complicated than predicted from experiments on modern taxa. Deep-time studies provide 228 the big-picture view, documenting outcomes across multiple taxonomic or functional groups and 229 potentially across multiple events. This perspective can help reveal the general principles underlying 230 vulnerability of marine organisms to climate-related stressors.

But what can be done to expand the utility of the deep-time record and increase the applicability of its findings? Progress toward this objective will be maximized if both biologists and paleobiologists think about approaches that bridge the temporal and spatial scales between experimental studies and deep-time data.

One goal might be to increase the number of hypotheses that are testable with deep-time data. 235 236 This will require greater willingness on the part of biologists to make predictions that can be 237 generalized to the broader taxonomic, functional, or geographic scales available in deep time. 238 Experimental and observational studies typically ask highly-focused questions, and there may be a 239 reluctance to generalize their outcomes because responses can be species-specific, contingent on the 240 combination of stressors, or otherwise nuanced. While those concerns are valid, it is still valuable to 241 synthesize results of individual studies to generate more broadly-applicable and testable predictions. 242 Average differences in the predicted vulnerability of different taxonomic, functional, or geographic 243 groups, even if there is variability among responses within each group, can be tested thanks to the 244 vast scope of the deep-time record.

245 A parallel goal might be to increase the ability of the deep-time fossil record to test 246 hypotheses. This will require paleobiologists to think creatively about methods for testing 247 hypotheses, especially ways to bridge the gap in scales between high-resolution but short-timescale 248 modern data and long-timescale but coarse-resolution fossil data. For example, continued integration 249 of multiple types of information – paleobiological, modeling, isotopic, and others – will expand the 250 types of questions that can be answered in deep time. Other techniques, such as sclerochronology (the 251 sampling of shell growth increments for geochemical and biological information), can provide a 252 high-resolution archive for testing hypotheses at timescales comparable to some studies of modern 253 organisms. These and other methods can help translate deep-time data to modern settings and 254 questions.

255 Experiments, observations, historical data, the Quaternary fossil record, and deep-time events 256 all provide pieces of information to inform conservation questions. Each method has different 257 strengths and limitations – the deep-time record is not unique in that respect – and some types of data 258 are better suited to particular types of questions. Deep time allows us to ask and answer the question 259 "what actually happened?" when organisms were faced with climate-related stressors. The 260 combination of this unique and powerful ability with the precision and nuance of modern studies can 261 open exciting possibilities for conservation biology. A core principle of geology is uniformitarianism 262 ("the present is the key to understanding the past"), but in a time of rapid environmental disruptions 263 that are unprecedented in the historical record, Earth's deep-time past may actually be the key to 264 understanding our future [36]. 265

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270 References

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363 Figure captions

Figure 1. The phenomenon of global change involves multiple climate-related stressors (depicted schematically by multiple arrows for each axis) that operate over a range of spatial, temporal, and taxonomic scales. Investigations that use the modern and historical record (yellow box) are mostly conducted at spatial and taxonomic scales but are fundamentally limited in temporal scale. The deeptime fossil record (blue box) reveals actual outcomes over evolutionary timescales, mostly at broad spatial and taxonomic scales. Together, these methods provide complementary information on the biotic response to climate-related stressors.

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Figure 2. Global change events in Earth's deep-time history, caused by carbon cycle disruption,

- 373 ocean warming and deoxygenation, and in some cases acidification. Geologically-rapid perturbations
- of the carbon cycle caused extinction events (red circles), including the Guadalupian, Permian-
- Triassic (P-T), and Triassic-Jurassic (T-J). Extinction rates are for marine invertebrates, from the
- Paleobiology Database. Carbon release also led to ocean anoxic events when the duration was more
- prolonged, especially in the Jurassic and Cretaceous periods, and Cenozoic hyperthermals such as the
- Paleocene-Eocene Thermal Maximum (PETM) when the rate of carbon release was more rapid.
 Climate-related stressors caused reef crises when rates of global change were more rapid, while
- 379 Climate-related stressors caused reef crises when rates of global change were more rapid, while 380 climate-driven extinctions in the Permian and Triassic resulted in selective survival of more active
- 381 groups. The Triassic-Jurassic extinction and PETM led to selective extinction or extirpation in the
- 382 tropics, but extinctions were greater at temperate latitudes during Permian-Triassic extinction.



