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# Epitaxial growth of magnetic-oxide thin films



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## 6.1 Introduction

Complex oxides represent a vast class of materials encompassing a wide range of crystal structures and functionalities. Amongst these interesting properties, the study of ferroic order (namely ferromagnetic, ferroelectric, ferroelastic, and multiferroic properties) has driven considerable research over the past few decades. Driven by the development of new synthesis techniques—especially for thin films—the field of functional oxide materials has experienced unprecedented growth in terms of the discovery of new materials systems, characterization and understanding of the fundamental properties and nature of existing systems, and in the control of properties in these materials through elegant changes in crystal chemistry (i.e., doping), strain, and other variables. Throughout this book, many examples of how these aspects can be applied to complex-oxide materials have been developed. In this chapter, in turn, we focus on advances in the growth and characterization of magnetic oxide materials while investigating the structure, properties, and synthesis of modern magnetic complex-oxide thin films. We will investigate a number of prototypical examples of materials within this subgroup of ferroic oxides and will delve into the coupling of epitaxial constraint and magnetic properties and how this diverges from bulk materials.

## 6.2 Magnetism and major magnetic-oxide systems

#### 6.2.1 Magnetism in oxides

Magnetic materials violate time-reversal symmetry, but are invariant under spatial inversion; in other words, when magnetic moments are present in a crystal, the antisymmetry operator must also be present. The 32 classical crystallographic point groups do not have the antisymmetry operator and hence cannot fully describe the symmetry of magnetic crystals. Symmetry analysis reveals 122 total magnetic space groups of which only 31 can support ferromagnetism (Aizu, 1970; Laughlin, Willard, & McHenry, 2000). A material is said to be a ferromagnet when there is long-range, parallel alignment of the atomic moments resulting in a spontaneous net magnetization even in the absence of an external field. Ferromagnetic materials undergo a phase transition from a high-temperature phase that does not have macroscopic magnetization (atomic moments are randomly aligned resulting in a *paramagnetic* phase) to a lowtemperature phase that does at the so-called Curie temperature ( $T_C$ ). There are other types of magnetism including antiferromagnetism (atomic moments are aligned antiparallel) and ferrimagnetism (dipoles align anti-parallel, but one subset of dipoles is larger than the other, resulting in a net moment). The theory of magnetism is a rich field and beyond the scope of this chapter, but is built upon the idea of quantum mechanical exchange energy, which causes electrons with parallel spins and therefore parallel moments to have lower energy than spins with anti-parallel spin. Magnetic materials find pervasive use in all walks of life, from information technology (storage, sensing. and communications) to health sciences (e.g., cancer treatment) and beyond.

A history of magnetism is a history of oxide materials. From casual observations in antiquity (it is said that the Greek philosopher Thales of Miletus, 634-546 BC, is thought to be the first person to describe magnetism after observing the attraction of iron by the mineral magnetite) to an enabling force for developing the world (including navigation, power production, and more), magnetic oxides have played a key role over the years. For a complete history of magnetism in materials see Verschuur (1993). Of particular interest for the first few thousand years of the study of magnetism, there was only one material, which came to be known as lodestone (in old English, "lode" is the word for lead) or the iron-oxide phase magnetite (Fe<sub>3</sub>O<sub>4</sub>). Only after 1819 did a rapid expansion of our knowledge of magnetic materials occur. Only after the development of a spin-dependent model for the exchange interaction in 1928 by Heisenberg was it possible, however, to understand the nature of magnetic oxides that had dominated the landscape for the previous millennia. From that point on, the understanding of magnetism in oxides developed at a feverish pace. Of fundamental importance to this early work was a series of publications by Lois Néel, who developed the idea of antiferromagnetism (Néel, 1932). By the late 1950s, a rapid expansion of technology, especially high-frequency devices, stimulated rapid research in ferromagnetic oxides, and Smit and Wijn in their book on ferrites noted that in 1959 the properties of magnetic oxides were better understood than the properties of metallic ferromagnets (Smit & Wijn, 1959).

What arose from this work was an understanding that magnetism in oxides is fundamentally different from that in metallic, elemental systems. Magnetism in oxides is generally mediated through indirect exchange (through nonmagnetic anions), which gives rise to interesting coupling effects, including, for example, superexchange, double exchange, and RKKY coupling (named after the work of Ruderman and Kittel (1954), Kasuya (1956), and Yosida (1957)). Briefly, superexchange gets its name from the fact that it extends the normally very-short-range exchange interaction to a longer range (Stöhr & Siegmann, 2006). The idea that exchange could be mediated by an intermediate, nonmagnetic atom was put forth in 1934 (Kramers, 1934), and the theory was formally developed by Anderson in 1950 (Anderson, 1950). Superexchange is an important effect in ionic solids where 3d and 2p orbitals of transition metal cations (TM) and anions interact, and it describes, through a simple valencebonding argument, how antiferromagnetic (AF) ordering occurs. Double exchange, first proposed by Zener in 1951 (Zener, 1951), describes the magneto-conductive properties of these mixed-valence compounds and delineates the mechanism for hopping of an electron from one site to another through the mediating oxygen atom. Because the  $O^{2-}$  ion has full *p*-orbitals, the movement from one ion through  $O^{2-}$  to another ion is done in two steps. The electron is thus delocalized over the entire TM-O-TMgroup, and the cations are said to be of mixed valence. This is aided by the fact that spin-flips are not allowed in electron-hopping processes, and thus it is more energetically favorable if the magnetic structure of the two cations is identical; therefore, ferromagnetic alignment of moments is achieved. Finally, RKKY exchange is not based on the relationship between bonding and magnetism, but instead is the concept that a local moment can induce a spin polarization in a surrounding conduction electron sea. Studies showed that the spin polarization of the conduction electrons oscillates in sign as a function of distance from the localized moment, and this spin information can be carried over relatively long distances.

#### 6.2.2 Early work on epitaxy of magnetic oxides

Considerable work has been done on magnetic oxide films. Again, complex oxides exhibit a wide range of physical phenomena due to the interaction of the lattice with the charge, spin, and orbital degrees of freedom (Dagotto, 2005; Lu, West, & Wolf, 2010; Tokura & Nagaosa, 2000). More practically, magnetic oxides have been investigated for their potential in applications such as magnetoresistive random access memories and spin valves (Coey, Venkatesan, & Xu, 2013; Mallinson, 1993; Zubko, Gariglio, Gabay, Ghosez, & Triscone, 2011). They possess a wide range of crystal structures and chemistries (including binary oxides such as MO, MO<sub>2</sub>, and M<sub>2</sub>O<sub>3</sub>) (Martin, Chu, & Ramesh, 2010). Popular monoxide systems include the dilute magnetic oxide semiconductors (i.e., transition-metal cation-doped ZnO) (Özgür et al., 2005). Binary dioxides such as CrO<sub>2</sub> have large spin polarizations and are promising materials for use in spintronics (Lu et al., 2010; Coey et al., 2013). Binary trivalent oxides such as Fe<sub>2</sub>O<sub>3</sub> have been investigated for integration in magnetic media (Mallinson, 1993). Recent advances in thin-film growth have greatly expanded the number of materials for magnetic devices to include perovskite manganites and spinel ferrites (Lu et al., 2010; Wolf et al., 2001) and single-phase and composite/two-phase multiferroics for magnetoelectrics (Catalan & Scott, 2009; Chu et al., 2008; Chu, Martin, Holcomb, & Ramesh, 2007; Eerenstein, Mathur, & Scott, 2006; Prellier, Singh, & Murugavel, 2005; Ramesh & Spaldin, 2007; Seidel et al., 2012; Yu, Chu, & Ramesh, 2012).

#### 6.2.3 Recent advances in thin film epitaxy

Although there is a considerable amount of excellent work on epitaxial magnetic-oxide thin films, here we focus on a few select systems to highlight the major players and developments and understanding of magnetism in thin films.

#### 6.2.3.1 Perovskite manganites

The perovskite manganites are represented by the general formula  $RE_{1-x}A_x$ MnO<sub>3</sub> (RE = rare earth metal cation, A = alkaline earth metal cation). Due to strong electron

correlations that couple the charge, spin, and lattice (Tokura & Nagaosa, 2000), they can display magnetic, colossal magnetoresistant, half-metallic, and charge-ordering behaviors (Dagotto, 2003; Jonker & Van Santen, 1950; Rao & Raveau, 1998; Tokura, 2000). La<sub>1-x</sub>Sr<sub>x</sub>MnO<sub>3</sub> with x = 0.3 is the most widely studied perovskite manganite due to its room-temperature ferromagnetism and metal—insulator transition (Urushibara et al., 1995). A large availability of perovskite substrates has enabled the understanding of the physics behind the complex phenomena of the manganites (Martin et al., 2010), where epitaxial strain has been utilized to tune their properties (Haghiri-Gosnet & Renard, 2003; Prellier, Lecoeur, & Mercey, 2001). For example, the magnetic easy axis of La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> film can be tuned to be along the in-plane or out-of-plane direction by applying tensile or compressive strain, respectively (Kwon et al., 1997). In recent years, thickness-dependent studies on La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> films identified the presence of a three-unit cell (~12 Å) dead layer, which is a layer that exhibits neither metallicity nor ferromagnetism (Figure 6.1) (Huijben et al., 2008).

#### 6.2.3.2 Perovskite nickelates

Research on perovskite nickelates has gone through a recent resurgence due to its metal-insulator and AF-paramagnetic phase transitions (Catalan, 2008; María Luisa, 1997). Nickelates are interesting candidates for many technological applications (Aydogdu, Ha, Viswanath, & Ramanathan, 2011; Lee et al., 2007; Meijer, 2008; Takagi & Hwang, 2010; Yang, Ko, & Ramanathan, 2011) such as sensors, electronic switches, and thermochromic coatings. The ideal structure consists of  $\text{NiO}_6^{3-}$  octahedra linked at their corners with  $R^{3+}$  cations. The  $R^{3+}$  cations are accommodated through rigid rotations of the  $NiO_6^{3-}$  octahedra, resulting in a decrease of the Ni-O-Ni bond angle away from 180°. The electronic and magnetic phase transitions arise from the sensitivity of the interaction between the Ni-3d and O-2p electrons and the bond angle (Disa et al., 2013; María Luisa, 1997). By selecting a suitable  $R^{3+}$ cation or solid solution of rare earths, the metal-insulator transition temperature  $(T_{MI})$  can be continuously tuned from 0 K for LaNiO<sub>3</sub> to 600 K for LuNiO<sub>3</sub> (Alonso, Martínez-Lope, Casais, Aranda, & Fernández-Díaz, 1999; Torrance, Lacorre, Nazzal, Ansaldo, & Niedermayer, 1992). In addition to temperature, epitaxial strain can also be used to control the phase transitions. For NdNiO<sub>3</sub> films, for instance,  $T_{MI}$  increases (decreases) under tensile (compressive) strain, an effect that is attributed to an increase (decrease) of the Ni-O-Ni bond angle (Conchon et al., 2007; Disa et al., 2013; Eguchi et al., 2009; Kumar, Choudhary, & Kumar, 2012; Liu et al., 2010; Novojilov et al., 2000; Tiwari, Jin, & Narayan, 2002). It has alternatively been suggested that tensile in-plane stress may lead to a novel breathing distortion that creates two unequivalent Ni sites and an increase in  $T_{MI}$  (Chakhalian et al., 2011).

#### 6.2.3.3 Perovskite cobaltites and ruthenates

In addition to being promising candidates for ionic conductors and surface catalysts for the fuel-cell industry (Choi et al., 2012; Han & Yildiz, 2011; Mehta et al., 2009;



**Figure 6.1** Thickness dependence of the (a) total conductance of  $La_{0.7}Sr_{0.3}MnO_3$  films at 10 K and (b) the coercive fields ( $H_C$ ) and Curie temperatures ( $T_C$ ). Adapted from Huijben et al. (2008).

Sharma, Gazquez, Varela, Schmitt, & Leighton, 2011), the cobaltites also have interesting physics associated with their magnetic spins (Sterbinsky et al., 2012). LaCoO<sub>3</sub> has been studied intensely over the last 50 years due to two broad transitions in its magnetic susceptibility ( $T_{\rm C} \sim 80$  K) and its subsequent nonmetal-metal transition ( $T \sim 500-600$  K); the origin of the two magnetic transitions in LaCoO<sub>3</sub> is controversial (Klie, Yuan, Tanase, Yang, & Ramasse, 2010). In addition, the ferroelastic properties of LaCoO<sub>3</sub> (Choi et al., 2012; Kleveland et al., 2001; Vullum et al., 2007; Vullum, Lein, Einarsrud, Grande, & Holmestad, 2008) make it intriguing for studying the strain coupling of the structural, electronic, ionic, and magnetic properties, where the strain can be used as a tool to control the ionic activities as predicted by density functional theory (Han & Yildiz, 2011; Kushima, Yip, & Yildiz, 2010). The ruthenates, such as SrRuO<sub>3</sub>, are another magnetic oxide system that has a perovskite structure (Choi, Eom, Rijnders, Rogalla, & Blank, 2001; Eom, 1997; Hong et al., 2005), and are well studied for their use in magnetic tunnel junctions (MTJs) and as electrodes (Koster et al., 2012).

#### 6.2.3.4 Double perovskites

The double perovskite is a variant of the perovskite structure having a unit cell doubled in all three directions. This gives rise to two different octahedrally coordinated cations, which form a NaCl-type superlattice (Coey, Viret, & von Molnár, 1999). A large spin polarization, close to 100%, in double perovskites like Sr<sub>2</sub>FeMoO<sub>6</sub> (Kobayashi, Kimura, Sawada, Terakura, & Tokura, 1998) and Sr<sub>2</sub>FeReO<sub>6</sub> (Kobayashi et al., 1999), makes them interesting for spintronic applications (Philipp et al., 2001). Recently, metastable Bi<sub>2</sub>NiMnO<sub>6</sub> was synthesized under high pressure and temperature and measured to be multiferroic, with a ferromagnetic transition temperature of 140 K and a ferroelectric transition temperature of 485 K (Azuma et al., 2005). In contrast to the weak ferromagnetism in typical oxide multiferroics, like BiFeO<sub>3</sub>, Bi<sub>2</sub>NiMnO<sub>6</sub> has a large moment due to ferromagnetically coupled Ni<sup>2+</sup> and Mn<sup>4+</sup> spins. A thin film of metastable Bi<sub>2</sub>NiMnO<sub>6</sub> was reported to have the rock salt-type arrangement of Ni<sup>2+</sup> and Mn<sup>4+</sup> cations indicative of a double perovskite unit cell (Sakai et al., 2007).

#### 6.2.3.5 Hexagonal oxides

Sufficiently small cationic radii compounds with the general formula  $ABO_3$  or  $A_2BB'O_6$  may crystallize in a hexagonal rather than the typical perovskite structure (Manfred, 2005). Researchers have focused on the hexagonal multiferroics (Cheong & Mostovoy, 2007; Das, Wysocki, Geng, Wu, & Fennie, 2014; Fiebig, Lottermoser, Frohlich, Goltsev, & Pisarev, 2002; Kimura et al., 2003; Lee et al., 2008; Lueken, 2008; Van Aken, Palstra, Filippetti, & Spaldin, 2004), that is, the ferroelectric—AF manganites (*R*MnO<sub>3</sub> with R = Sc, Y, In, Ho, Er, Tm, Yb, Lu), which can possess four long-range ordered subsystems: a ferroelectric lattice with  $T_C \approx 570-990$  K, an AF Mn<sup>3+</sup> lattice with Néel temperatures of 70-130 K, and two rare-earth sublattices with magnetic ordering temperatures of  $\sim 5$  K. In contrast to the perovskites, relatively few examples of element substitution have been reported (Manfred, 2005). Utilizing advances in film growth, researchers reported the observation of nanoscale strain gradients ( $10^5-10^6$  per meter) in ferroelectric HoMnO<sub>3</sub> films, resulting in giant flexoelectric effects (Lee et al., 2011).

#### 6.2.3.6 Oxide spinels, garnets, and other crystal structures

The spinel ferrites,  $TMFe_2O_4$  (TM = transition-metal cation), are multivalent oxides that crystallize in the spinel structure, in which one-third of the cations occupy tetrahedral sites (A sites) and two-thirds of the cations occupy octahedral sites (B sites). If the divalent cations occupy A sites (B sites), the crystal structure is called normal (inverse) spinel. The parent compound Fe<sub>3</sub>O<sub>4</sub> is fully inverse, CoFe<sub>2</sub>O<sub>4</sub> and NiFe<sub>2</sub>O<sub>4</sub> are predominantly inverse, and MnFe<sub>2</sub>O<sub>4</sub> and ZnFe<sub>2</sub>O<sub>4</sub> are predominantly normal. The majority of the spinel ferrites are ferrimagnets (ZnFe<sub>2</sub>O<sub>4</sub> is AF), in which the A and B sites are aligned antiferromagnetically with each other (Figure 6.2), and can possess a T<sub>C</sub> much higher than room temperature (e.g., 858 K for Fe<sub>3</sub>O<sub>4</sub>) (Slick, 1980). MgO is the only substrate that is well lattice-matched with the spinel ferrites; however, it presents two drawbacks. First, Mg<sup>2+</sup> cations easily diffuse into the films at temperatures above 350 °C, which necessitates low growth temperatures (Gao, Kim, & Chambers, 1998). Second, the cubic lattice parameter for MgO is approximately one-half of that of the ferrites, resulting in the formation of anti-phase boundaries in the films and domains that can be structurally out-of-phase (Margulies et al., 1997). Spinel ferrites can be grown on other substrates, although they quickly relax, contain many defects, and typically have rough surfaces. Films grown on perovskite substrates have large lattice mismatches ( $\sim 7\%$ ) and contain anti-phase boundaries; whereas films grown on MgAl<sub>2</sub>O<sub>4</sub> also have large lattice mismatches ( $\sim 5\%$ ) but do not have anti-phase



**Figure 6.2** Model of magnetic interactions in  $Fe_3O_4$ , demonstrating how both superexchange (SE) and double exchange (DE) interactions give rise to a ferrimagnetic magnetic ordering between the tetrahedral (A site) and octahedral (B site) sublattices. From Stöhr and Siegmann, (2006).

boundaries. Crystallinity and magnetic properties of thin films grown on MgAl<sub>2</sub>O<sub>4</sub> and SrTiO<sub>3</sub> can be improved using buffer layers such as  $CoCr_2O_4$  in order to alleviate the majority of the strain (Suzuki et al., 1996). Many other magnetic oxide crystals structures exist, although, they are not commonly grown as epitaxial thin films; some that can be grown epitaxially are the spinel chromates (i.e.,  $CoCr_2O_4$ ) and garnets (i.e.,  $Y_3Fe_5O_{12}$ ).

#### 6.2.3.7 Multiferroics

Multiferroics (Schmid, 1994) are materials that simultaneously possess two or more of the so-called ferroic-order parameters: ferroelectricity, ferromagnetism, and ferroelasticity. They have grown in interest because of the potential for strong coupling between ferroelectric and ferromagnetic-order parameters, enabling simple control over the magnetic nature of the material with an electric field. For instance, BiFeO3 is one of the few single-phase multiferroics that simultaneously possesses both magnetic and ferroelectric order at and above room temperature. BiFeO3 is a G-type AF (Kiselev, Ozerov, & Zhdanov, 1963; Teague, Gerson, & James, 1970) with a Néel temperature of ~673 K (Fischer, Polomska, Sosnowska, & Szymanski, 1980) that, in the bulk, possesses a cycloidal spin structure with a period of  $\sim 620$  Å (Sosnowska, Peterlinneumaier, & Steichele, 1982). Additionally, the magnetic moments are oriented perpendicular to the <111>-polarization direction, and the symmetry also permits a small canting of the moments in the structure, resulting in a weak canted ferromagnetic moment of the Dzyaloshinskii-Moriya type (Dzyaloshinskii, 1957; Moriya, 1960). Extensive work on thin films of BiFeO<sub>3</sub> has been completed and dramatic changes in the magnetic order are possible with thin-film strain (Holcomb et al., 2010; Martin et al., 2010; Martin & Ramesh, 2012; Martin & Schlom, 2012; Sando et al., 2013). Other multiferroics studied as thin films includes the rareearth manganites (REMnO<sub>3</sub>), which depending on the size of the RE ion, take on either orthorhombic (RE = Dy, Tb, and Gd) (Kimura et al., 2003; Kimura, Lawes, Goto, Tokura, & Ramirez, 2005) or hexagonal (RE = Ho-Lu, as well as Y) (Lottermoser et al., 2004) structures (Yakel, Forrat, Bertaut, & Koehler, 1963). The  $REMn_2O_5$  (RE = rare earth, Y, and Bi) family of materials (Shukla et al., 2009) has also been investigated in ultrathin layers (Sai, Fennie, & Demkov, 2009), been used to demonstrate electric field control of exchange-coupled ferromagnets (Skumryev et al., 2011), and been investigated for effects of non-stoichiometry and solubility limits (Gélard et al., 2011). BiMnO3 has received considerable attention since it is not a stable phase at 1 atm pressure and thus epitaxial stabilization can be used to create metastable films of this material (Ohshima, Saya, Nantoh, & Kawai, 2000). BiMnO<sub>3</sub> film has been used as the foundation for a four-state memory concept (Gajek et al., 2007) and has been shown to exhibit large magnetodielectric effects (Yang, Lee, Koo, & Jeong, 2007). There are a number of other candidate multiferroic materials that have been studied as thin films, including BiCrO<sub>3</sub> (Hill, Battig, & Daul, 2002; Kim, Lee, Varela, & Christen, 2006; Murakami et al., 2006), PbVO<sub>3</sub> (Kumar et al., 2007; Martin et al., 2007), and Bi<sub>2</sub>NiMnO<sub>6</sub> (Sakai et al., 2007) as examples.

## 6.3 The effects of thin-film epitaxy on magnetism

The greater field of magnetism is rich with thin-film phenomena (Bader, 1990). From magnetic size effects including diminished magnetization in ultrathin films, decreased magnetocrystalline anisotropy, and epitaxial strain-induced changes in properties, one must consider the effects of changing material geometry and the elastic boundary conditions on the evolution of magnetic properties.

#### 6.3.1 Size effects

#### 6.3.1.1 Magnetic dead layers in La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>

Dimensionally confining the thickness of a thin film is a common approach to tune its properties, but in some magnetic oxides one must be aware of so-called magnetic dead layers. Combined spin-resolved photoemission spectroscopy, SQUID magnetometry, and X-ray magnetic circular dichroism studies have shown that there is diminished magnetism at the surface boundary of La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> films (Park et al., 1998). Further studies found that the critical thickness for a nonmetallic and nonferromagnetic La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> layer at the interface with SrTiO<sub>3</sub> (001) is three unit cells (~12 Å) (Figure 6.1) (Huijben et al., 2008). Spectroscopic and scattering studies on La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>/SrTiO<sub>3</sub> (001) revealed that the average Mn valence varies from mixed Mn<sup>3+</sup>/Mn<sup>4+</sup> to an enriched Mn<sup>3+</sup> region near the SrTiO<sub>3</sub> interface, resulting in a compressive lattice distortion along the in-plane axes and a possible electronic reconstruction in the Mn  $e_g$  orbital ( $d_{3z}^2 - r^2$ ) (Lee et al., 2010). This reconstruction may provide a mechanism for coupling the Mn<sup>3+</sup> moments antiferromagnetically along the surface normal direction, and in turn may lead to an observed reversed magnetic reconstruction.

The thickness dependence of magnetism and electrical conductivity in ultrathin La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> films grown on SrTiO<sub>3</sub> (110) substrates differs from those films grown on SrTiO<sub>3</sub> (001). In films grown on SrTiO<sub>3</sub> (110), there is a critical thickness of 10 unit cells below which the conductivity of the films disappears and simultaneously  $T_{\rm C}$  increases, indicating a ferromagnetically insulating phase at room temperature (Boschker et al., 2012). These samples have a Curie temperature of about 560 K with a saturation magnetization of  $1.2 \pm 0.2 \mu_{\rm B}/{\rm Mn}$ . The canted AF insulating phase in these films coincides with the occurrence of a higher-symmetry structural phase with a different oxygen octahedra rotation pattern. Such a strain-engineered phase is an interesting candidate for an insulating tunneling barrier in room-temperature spin filters.

#### 6.3.1.2 Ultrathin spinel ferrite films

Spinel ferrite thin films grown on MgO and  $SrTiO_3$  substrates have magnetic moments that are significantly reduced from their bulk values and do not saturate in magnetic fields up to 7 T. This was first observed in Fe<sub>3</sub>O<sub>4</sub>/MgO (001) heterostructures and was attributed to the presence of anti-phase boundaries (Figure 6.3) (Margulies et al., 1996, 1997) that give rise to magnetic superexchange interactions



**Figure 6.3** (a) Magnetization—magnetic field hysteresis loops comparing a single crystal of  $Fe_3O_4$  with 1-µm and 50-nm thin films of  $Fe_3O_4$  grown on MgO (001). The magnetization of the films is normalized to the bulk value and the measurement is made along the [100] crystal axis. Overlaid are simulations for a ferrimagnetic linear chain of spins with the sign of the exchange reversed at the center to simulate an anti-phase boundary. The number of spins in the chain and strength of the exchange at the center are provided. (b) The angle of spins in a linear chain of spins is given at 70 kOe.

From Margulies et al. (1997).

that do not exist in the spinel structure (Margulies et al., 1997; Celotto, Eerenstein, & Hibma, 2003). These new superexchange interactions are AF and stronger than the magnetic exchange interactions that are native to the spinel ferrites (Celotto et al., 2003), resulting in changes in the spin alignments near the anti-phase boundaries and a reduced moment. The density of anti-phase boundaries decreases as the film thickness is increased (Eerenstein, Palstra, Saxena, & Hibma, 2002; Moussy et al., 2004) and near-bulk magnetic properties are obtained for thick films (Margulies et al., 1997). The reduction in magnetic moment for other spinels can be more severe, such as in  $CoFe_2O_4$ , where the moment is reduced by up to 75% (Chambers et al., 2002; Moyer, Vaz, Arena et al., 2011) due to additional effects, such as its having a partially inverse spinel crystal structure (Moyer, Vaz, Arena et al., 2011).

Additionally, anomalous increases in the magnetic moments of Fe<sub>3</sub>O<sub>4</sub>, CoFe<sub>2</sub>O<sub>4</sub>, and NiFe<sub>2</sub>O<sub>4</sub> films in the ultrathin limit (less than 10 nm) have been observed. The increase in the moment seems to depend on the growth technique and substrate. Films that are grown with sputtering or PLD on SrTiO<sub>3</sub> (001) substrates can have magnetic moments well above the bulk moment. For instance, 3-nm NiFe<sub>2</sub>O<sub>4</sub> films have shown magnetic moments four times the bulk value (Luders et al., 2005). This increase in moment is attributed to cation disorder produced by the high energetics of the growth technique, resulting in the crystal structure becoming more normal spinel as the film thickness is reduced. Smaller enhancements were seen for CoFe<sub>2</sub>O<sub>4</sub> films, with an increase in the magnetic moment of about 20% for 3.5-nm films (Rigato, Geshev, Skumryev, & Fontcuberta, 2009). Films grown by MBE on MgO (001) substrates, on the other hand, do not show increased moments in the same thickness regime. For Fe<sub>3</sub>O<sub>4</sub> and CoFe<sub>2</sub>O<sub>4</sub> films, there is evidence of superparamagnetic behavior (Moyer, Vaz, Kumah, Arena, & Henrich, 2012; Voogt et al., 1998). These films have large densities of antiphase boundaries and a high density of domains, leading to each domain acting as an individual paramagnet (Eerenstein, Palstra, Hibma, & Celotto, 2002; Eerenstein, Hibma, & Celotto, 2004). Unlike films grown with higher-energy growth techniques on SrTiO<sub>3</sub>, as described above, there is no change in the cation distribution for these films as the film thickness decreases (Moyer et al., 2012).

## 6.3.1.3 Spin polarization of Fe<sub>3</sub>O<sub>4</sub> and appearance of magnetic dead layer

While magnetic measurements show no evidence of dead layers in ultrathin spinel ferrite films, spin polarization measurements of Fe<sub>3</sub>O<sub>4</sub> do observe a dead layer at the surface with a thickness of 5–8 Å (Tobin et al., 2007). This dead layer arises from a surface reconstruction that occurs on the surface of Fe<sub>3</sub>O<sub>4</sub> (Chambers & Joyce, 1999). Surface-sensitive spin polarization measurements made with ultraviolet photoelectron spectroscopy averaging over the entire Brillouin zone measure a spin polarization of -30% to -40% (Tobin et al., 2007). Taking into account the magnetic dead layer results in a bulk spin polarization of -65%, which is close to the predicted -66% photoelectron spin polarization for Fe<sub>3</sub>O<sub>4</sub>. Prior spin-polarized UPS measurements of Fe<sub>3</sub>O<sub>4</sub> measured a spin polarization of -80% for the (111) surface (Dedkov, Rudiger, & Guntherodt, 2002) and -55% for the (001) surface (Fonin, Dedkov, Pentcheva, Rudiger, & Guntherodt, 2007); these measurements, however, were not averaged over the entire Brillouin zone and are susceptible to band effects.

#### 6.3.2 Strain effects

#### 6.3.2.1 Strain effects in perovskites

#### Manganites

The application of epitaxial strain through the choice of substrate is another tool used to control the structure and properties of oxide materials. In manganite films, epitaxial strain plays an important role in controlling the magnetic and transport properties. For fully strained La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>/LaAlO<sub>3</sub> heterostructures, (110)-oriented films have strongly enhanced transport properties for thicknesses between 3 and 12 nm compared to (001)-oriented films (Tebano, Orsini, Di Castro, Medaglia, & Balestrino, 2010). This effect originates from a reduced tetragonal distortion induced by epitaxy on the (110)-oriented substrates that quenches the occupational imbalance between the Mn  $e_g$  orbitals and reinforces the ferromagnetic double exchange transport mechanism.

The effect of biaxial strain on the transport (Figure 6.4(a)) and magnetic (Figure 6.4(b)) properties of La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> (001) films was investigated by varying the biaxial strain from -2.3% to +3.2% (Adamo et al., 2009). In the case of films with a small amount of strain ( $|\varepsilon_{xx}| \leq 0.6\%$ , i.e., SrTiO<sub>3</sub>, (LaAlO<sub>3</sub>)<sub>0.3</sub>-(Sr<sub>2</sub>AlTaO<sub>6</sub>)<sub>0.7</sub> (LSAT), NdGaO<sub>3</sub>) (Adamo et al., 2009), the low-temperature resistivity values are comparable to single crystals (Shiozaki, Takenaka, Sawaki, & Sugai, 2001). The  $T_{MI}$  is higher than 390 K for films under small compressive strain (NdGaO<sub>3</sub>,  $\varepsilon_{xx} = -0.5\%$  and LSAT,  $\varepsilon_{xx} = -0.4\%$ ), whereas  $T_{MI}$  is  $\sim 370$  K for films under small tensile strains (SrTiO<sub>3</sub>,  $\varepsilon_{xx} = +0.6\%$ ). Further increasing the tensile strain (DyScO<sub>3</sub>,  $\varepsilon_{xx} = +1.6\%$ ) results in a decrease in  $T_{MI}$  and in the case of large compressive strain (LaAlO<sub>3</sub>,  $\varepsilon_{xx} = -2.3\%$ ), the films exhibit insulating behavior over the entire temperature range. Large tensile strains (>2.3\%) gave rise to films with relatively high resistivity.

An analytical model has been proposed to describe the effects of biaxial strain ( $\varepsilon_{xx}$  and  $\varepsilon_{yy}$ ) on the magnetotransport properties of the colossal magnetoresistance (MR) manganites (Millis, Darling, & Migliori, 1998). In this model,  $T_C$  depends on two parameters: (1) the bulk compression  $\varepsilon_B = \frac{1}{3}(2\varepsilon_{xx} + \varepsilon_{zz})$  (assuming  $\varepsilon_{xx} = \varepsilon_{yy}$ ), and (2) the biaxial distortion  $\varepsilon^* = 1/2(\varepsilon_{zz} - \varepsilon_{xx})$ , where  $\varepsilon_{xx} = (a_{xx} - a_{bulk})/a_{bulk}$  and  $\varepsilon_{zz} = (a_{zz} - a_{bulk})/a_{bulk}$  are the pseudocubic in-plane and out-of-plane strain, respectively. Uniform compressive (tensile) strain will tend to increase (decrease) the electron-hopping probability, reducing the effect of the electron-lattice coupling; therefore, depending on the sign of the strain, the change in  $T_C$  associated with  $\varepsilon_B$  will be positive or negative, respectively. Conversely, biaxial distortion will only cause a decrease in  $T_C$  through an increase in the Jahn–Teller splitting of the  $\varepsilon_g$  electron levels. The effects of strain on the Curie temperature can then be described by the formula  $T_C$  ( $\varepsilon_B, \varepsilon^*$ ) =  $T_C(0,0)[1 - \alpha\varepsilon_B - b\varepsilon^{*2}]$ , where  $\alpha = (1/T_C)[(dT_C)/(d\varepsilon_B)]$  and  $b = (1/T_C)[(d^2T_C)/(d^2\varepsilon^{*2})]$ . The  $T_C$  behavior of La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> films as a function of  $\varepsilon_B$  and  $\varepsilon^*$  (Figure 6.4(c)) has been reported (Adamo et al., 2009), and the measured



**Figure 6.4** (a) Resistivity as a function of temperature for  $La_{0.7}Sr_{0.3}MnO_3$  films on different substrates. All films are 22 nm thick, except for that on NdScO\_3 (10 nm thick film). (b) Field-cooled magnetization as a function of temperature (measured at 1000 Oe) normalized at 10 K, where  $T_C$  is denoted by the solid circles. (c)  $T_C$  as a function of  $\varepsilon_B$  and  $\varepsilon^*$  strains overlaid with the best fit plane to the data. From Adamo et al. (2009).

 $T_{\rm C}(0,0) = 345 \pm 9$  K,  $\alpha = 1.55 \pm 0.01$ , and  $b = 1460 \pm 30$  are in good agreement with theoretical predictions (Millis et al., 1998). Even though there was considerable disagreement on the values of  $\alpha$  and b within the literature on La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> films, the authors suggested that the difference arises due to measurements on samples with different thicknesses, which resulted in different and often inhomogeneous strain conditions due to progressive strain relaxation, and using of a smaller number of substrate materials in comparison with their studies (Millis et al., 1998).

#### Charge-ordered manganites

The charge-ordered phenomena observed in the manganites are also sensitive to epitaxial strain. For tensile-strained Pr<sub>0.5</sub>Ca<sub>0.5</sub>MnO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures, the insulator-to-metal transition below 240 K is induced by applying a 7 T magnetic field (Prellier et al., 2000), which is much lower than the field required in bulk ( $\sim 20$  T). Electron diffraction studies reveal that films grown on SrTiO<sub>3</sub> have significantly increased in-plane Mn-Mn distances (a and c parameters) and Mn-O-Mn angles corresponding to the basic frame of the MnO<sub>6</sub> octahedra (roughly parallel to the substrate). The latter tends toward 180° instead of 150° for the bulk. Consequently, the in-plane metallic conductivity is considerably favored due to the increase of bandwidth. In the case of compressive-strained Pr0.5Ca0.5MnO3/LaAlO3 (001) heterostructures (Haghiri-Gosnet, Hervieu, Simon, Mercey, & Raveau, 2000), films grow (101)-oriented and electron diffraction studies reveal a monoclinic distortion. Contrary to the bulk, where there is an abrupt increase of  $d_{101} = d_{10\overline{1}}$  and a coupled abrupt decrease of the *b* parameter at low temperature, the films reveal smooth and small changes. Based on this, the charge-ordering distortion cannot fully develop at low temperature for compressively strained Pr<sub>0.5</sub>Ca<sub>0.5</sub>MnO<sub>3</sub> films. As a consequence, the charge-exchange antiferromagnetism cannot be obtained, and instead, an insulatingferromagnetic phase was found with a critical temperature of 240 K.

#### Nickelates

Stabilization of the perovskite structure with Ni<sup>3+</sup> can be difficult, but is readily achieved in thin films through a combination of the effect of the perovskite substrate template and the formation of ions with high kinetic energy in the plasma plume during pulsed laser deposition (Catalan, 2008). Epitaxial strain can have large effects on the metal—insulator and magnetic transitions in the nickelates. Compressive strain is accommodated by the film through either a bigger buckling of the oxygen octahedra (which would increase  $T_{MI}$ ) or shrinking the Ni–O bond distance and therefore a straightening of the buckling angle (which would decrease  $T_{MI}$ ). It has been suggested that compressive strain should decrease  $T_{MI}$  since the Ni–O bond is more compressible than the R–O distance (Catalan, 2008). It has been observed, however, that  $T_{MI}$  can decrease for both compressive and tensile strain (Catalan, 2008; Catalan, Bowman, & Gregg, 2000a,b; DeNatale & Kobrin, 1995; Novojilov et al., 2000; Scherwitzl et al., 2010). In bulk, both external hydrostatic pressure (akin to compressive strain) and negative internal chemical pressure (analogous to tensile strain) are known to lower the metal—insulator transition temperature (Catalan, 2008). In both cases, a straightening



**Figure 6.5** (a) The effect of an applied electric field on the resistivity of an 8 u.c.  $NdNiO_3/LaAlO_3$  (001) thin film. (b) Electro-conductivity for the same sample and applied voltages as in (a). From Scherwitzl et al. (2010).

of the Ni–O–Ni bond angle occurs either by a decrease in the Ni–O distance under compressive strain or by an increase in the R–O distance under tensile strain. The ability to control the metal–insulator transition in NdNiO<sub>3</sub> films with an external electric field was demonstrated (Figure 6.5) (Scherwitzl et al., 2010), which presents an important step toward realizing devices based on electrically controllable phase transitions.

#### Cobaltites

LaCoO<sub>3</sub> is a zero-spin, nonmagnetic material in the bulk, but turns into a ferromagnet below ~80 K in thin-film form (Choi et al., 2012; Sterbinsky et al., 2012). Utilizing scanning transmission electron microscopy complemented by X-ray and optical spectroscopy, an unconventional strain relaxation behavior resulting in stripe-like, lattice-modulated patterns in LaCoO<sub>3</sub> thin films under different strain states has been observed (Choi et al., 2012). This microscopic structural modulation was

reported to strongly couple to the unusual macroscopic ferromagnetic ordering in the LaCoO<sub>3</sub> films, with the formation of ferromagnetically ordered sheets comprising intermediate or high-spin Co<sup>3+</sup>. LaCoO<sub>3</sub> films grown under tensile strain revealed stripes running perpendicularly to the surface, which increase in frequency as the tensile strain increased and eventually formed a fairly regular superstructure; these films exhibit a ferromagnetic transition with  $T_{\rm C} = \sim 80$  K. LaCoO<sub>3</sub> films grown under slight compressive strain revealed only a few in-plane stripes and did not show any discernible magnetic transition or hysteresis loop. The atomic and electronic structures of LaCoO<sub>3</sub> films have also been probed using extended X-ray absorption fine structure spectroscopy in an attempt to further understand the origin of their ferromagnetism (Sterbinsky et al., 2012). These studies revealed a large difference between in-plane and out-of-plane Co-O bond lengths, resulting from the tetragonal distortion in the highly strained films. Based on X-ray absorption near edge spectroscopy, it was suggested that the structural distortions are strongly coupled to the hybridization between the atomic orbitals of  $\text{Co}^{3+}$  and  $\text{O}^{2-}$ , but this increased hybridization is not the cause of ferromagnetism. Instead, the strain-induced distortions of the oxygen octahedra increase the population of  $e_g$  electrons and concurrently depopulate the  $t_{2g}$  electrons beyond a stabilization threshold for ferromagnetic order.

#### 6.3.2.2 Control of magnetic easy axes through strain

Epitaxial strain can also be used to control a material's magnetic easy axis. La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> films grown under compressive and tensile strain possess a magnetic easy axis out-of-plane or in-the-plane of the film, respectively (Kwon et al., 1997). Such effects are seen even in more complex materials such as the multiferroic BiFeO<sub>3</sub> (Chu et al., 2007; Martin et al., 2008; Martin & Ramesh, 2012), where strain can tune the nature of the easy axis of magnetization (Holcomb et al., 2010). Through a careful experimental and theoretical study of photoemission electron microscopy (PEEM) images and the underlying structure of BiFeO<sub>3</sub>, the authors reported that epitaxially strained thin films do not show a degenerate magnetic plane as predicted for bulk, but instead exhibit the formation of a preferred magnetic axis depending on the nature of strain ([112] or [110] for compressive and tensile strain, respectively) (Holcomb et al., 2010). For compressive strain, for example, the easy axis points as far out of the surface plane as possible while remaining perpendicular to the polarization direction. Thick films no longer retain this preferred direction, instead showing a variation in the magnetic direction, consistent with the perpendicular easy plane behavior observed in bulk (Figure 6.6). These observations enabled a deeper understanding of the magnetic exchange interactions at an interface between such epitaxial BiFeO<sub>3</sub> films and a ferromagnet and aid in the design of next-generation devices (Chu et al., 2008).

## 6.3.2.3 Magnetic anisotropy of CoFe<sub>2</sub>O<sub>4</sub>

Due to the large cubic magnetocrystalline anisotropy,  $K_1$ , and magnetostriction,  $\lambda_s$ , constants of CoFe<sub>2</sub>O<sub>4</sub>, the majority of work on understanding strain effects on the



**Figure 6.6** Predicted easy magnetic plane (shown as hexagon) for bulk  $BiFeO_3$  for a polarization lying along the <111> crystal axis. Adapted from Holcomb et al. (2010).

spinel ferrites focuses on this material (Slick, 1980). The large  $K_1$  arises from a spinorbit stabilized doublet ground state of the  $d^7$  electronic configuration of the Co<sup>2+</sup> cations, which is caused by a trigonal crystal field of the Co<sup>2+</sup> cations (Dionne, 2009; Slonczewski, 1958a,b; Tachiki, 1960) and has resulted in CoFe<sub>2</sub>O<sub>4</sub> being included in a number of strain-driven multiferroic devices (Park et al., 2010; Zavaliche et al., 2005; Zhang, Deng, Ma, Lin, & Nan, 2008; Zheng et al., 2004). The magnetic anisotropy of CoFe<sub>2</sub>O<sub>4</sub>/MgO (001) heterostructures is understood by comparing the relative strengths of the magnetoelastic and shape anisotropy energy terms. Coherently strained thin films have an out-of-plane easy axis, as predicted by their large, positive  $K_1$  constant (Chambers et al., 2002; Comes, Gu, Khokhlov, Lu, & Wolf, 2012; Dhakal et al., 2010; Dorsey, Lubitz, Chrisey, & Horwitz, 1996; Lisfi et al., 2007; Moyer, Vaz, Arena et al., 2011). As the film thickness increases and the strain is relaxed, the magnetocrystalline anisotropy contribution is reduced and shape anisotropy dominates, resulting in a reorientation of the easy axes from out-of-plane to in-plane (Lisfi et al., 2007).

The magnetic anisotropy for films grown on  $SrTiO_3$  (001) substrates is more complicated than for films grown on MgO due to the large lattice mismatch between CoFe<sub>2</sub>O<sub>4</sub> and SrTiO<sub>3</sub>. X-ray diffraction measurements have shown the strain of CoFe<sub>2</sub>O<sub>4</sub>/SrTiO<sub>3</sub> (001) heterostructures to be both compressive (Dhakal et al., 2010; Rigato et al., 2009; Xie, Cheng, Wessels, & Dravid, 2008) and tensile (Gao et al., 2009; Moyer, Kumah, Vaz, Arena, & Henrich, 2013); the lattice mismatch suggests that the strain should be compressive. The tensile strain state has been explained by noting that the thermal expansion coefficient is larger for CoFe<sub>2</sub>O<sub>4</sub> than for SrTiO<sub>3</sub>, and if the strain relaxes fully during growth, upon cooling the CoFe<sub>2</sub>O<sub>4</sub> film will have a tensile strain (Gao et al., 2009; Moyer et al., 2013). Independent of whether the strain is compressive or tensile, the magnitude of the strain is larger for films grown on SrTiO<sub>3</sub> than for those grown on MgO. This results in larger magnetic anisotropies, with the magnetic easy axis being in-plane (out-of-plane) for films with compressive (tensile) strain. CoFe<sub>2</sub>O<sub>4</sub> thin films have also been grown on MgAl<sub>2</sub>O<sub>4</sub>, CoCr<sub>2</sub>O<sub>4</sub>-buffered MgAl<sub>2</sub>O<sub>4</sub>, and CoCr<sub>2</sub>O<sub>4</sub>-buffered SrTiO<sub>3</sub> (Suzuki, Hu, van Dover, & Cava, 1999). For (001)-oriented substrates, all films are compressively strained and have in-plane easy axes, consistent with the magnetoelastic anisotropy determining the easy axes. For films grown on (110)-oriented substrates, the easy axis is along the inplane [100] and the hard axis is along the [110] (Suzuki et al., 1999). Further annealing of films grown on CoCr<sub>2</sub>O<sub>4</sub>-buffered MgAl<sub>2</sub>O<sub>4</sub> (110) substrates reorients the easy axis from the [100] direction to the [110] direction (Hu, Choi, Eom, Harris, & Suzuki, 2000), which is proposed to be due to a reduction in strain energy and a migration of Co<sup>2+</sup> cations from octahedral to tetrahedral sites.

#### 6.3.2.4 Anisotropic magnetoresistance of Fe<sub>3</sub>O<sub>4</sub>

Fe<sub>3</sub>O<sub>4</sub> has been used as a model system to understand the effects of epitaxial strain and anti-phase boundaries on MR. Before discussing the MR of Fe<sub>3</sub>O<sub>4</sub>, it is necessary to discuss the Verwey transition. The Verwey transition ( $T_V \sim 120$  K) (Verwey, 1939) is a phase transition where the crystal structure changes from cubic to monoclinic and is accompanied by a charge and orbital ordering that results in an increase in the resistivity by over two orders of magnitude (Anderson, 1956; Iizumi et al., 1982; Schrupp et al., 2005). For Fe<sub>3</sub>O<sub>4</sub> (001) films grown on MgO and SrTiO<sub>3</sub> and Fe<sub>3</sub>O<sub>4</sub> (111) grown on Al<sub>2</sub>O<sub>3</sub> (0001) substrates, the MR is always negative (Gong, Gupta, Xiao, Qian, & Dravid, 1997; Ogale et al., 1998), with the MR defined as

$$MR = \frac{\rho_{\rm H} - \rho_0}{\rho_0}.$$
 (6.1)

Fe<sub>3</sub>O<sub>4</sub> (001) films have an MR that is fairly constant with temperature and on the order of a few percent for temperatures above  $T_V$ , a spike in the MR at  $T_V$ , and an MR that increases linearly with decreasing temperature below  $T_V$  (Gong et al., 1997; Ogale et al., 1998). The MR of Fe<sub>3</sub>O<sub>4</sub> (111) at temperatures above  $T_V$  increases slowly with decreasing temperature, before increasing linearly below the Verwey transition; there is no sharp spike at  $T_V$ , however, as there is for Fe<sub>3</sub>O<sub>4</sub> (001) (Ogale et al., 1998). The difference in the size of the MR above  $T_V$  between the (001) and (111) films and the absence of a spike in the MR at  $T_V$  in the (111) are not understood, but anisotropies exist in both the magnetostriction and the phonon-magnon dispersion, which will affect these films differently due to their different strain states (Ogale et al., 1998). The linear increase in the MR with decreasing temperature below  $T_{\rm V}$  has been attributed to electron transport across anti-phase boundaries (Ziese & Blythe, 2000). MR measurements as a function of magnetic field above  $T_V$  show linear and quadratic field dependence for fields applied parallel and perpendicular to the film, respectively (Figure 6.7). This behavior is in agreement with a model of spinpolarized electrons hopping between ferromagnetic chains across an AF interface, demonstrating how anti-phase boundaries dominate the MR (Eerenstein, Palstra, Saxena, et al., 2002).

The MR of Fe<sub>3</sub>O<sub>4</sub> is dependent on both the magnetic field and current directions, which is known as anisotropic magnetoresistance (AMR). The AMR for currents along [100] was found to change sign simultaneously with  $K_1$  at a temperature of ~ 150 K (Naftalis et al., 2011; Ziese & Blythe, 2000). In addition, the AMR of Fe<sub>3</sub>O<sub>4</sub> cannot be fit to a simple one-band model as in La<sub>0.7</sub>Ca<sub>0.3</sub>MnO<sub>3</sub>, signifying that both minority and



**Figure 6.7** Magnetoresistance measured at 125 K for 12 and 40 nm  $Fe_3O_4$  thin films grown on MgO (001) substrates with the magnetic field applied (a) parallel and (b) perpendicular to the film.

From Eerenstein, Palstra, Saxena, et al. (2002).

majority electrons contribute to the conduction in Fe<sub>3</sub>O<sub>4</sub>; in other words, Fe<sub>3</sub>O<sub>4</sub> is not fully spin-polarized (Ziese, 2000). Fe<sub>3</sub>O<sub>4</sub>/MgO (110) heterostructures show a positive MR when the current and field are parallel to [001] and a negative MR when they are parallel to [110], in agreement with (001)-oriented films (Sofin, Arora, & Shvets, 2011). The positive MR along [001] is caused by a reduction in the width of the canted spin structure at anti-phase boundaries in this direction since it is the hard axis compared to the [110] easy axis; at fields above the anisotropy field, the MR becomes negative.

#### 6.3.2.5 Composite multiferroic structures

One interesting strain effect studied in depth in recent history is the production of composite (bilayer or nanocomposite) magnetoelectric systems consisting of materials



**Figure 6.8** (a) Magnetic force microscopy (MFM) image of  $(BiFeO_3)_{0.65}$ — $(CoFe_2O_4)_{0.35}$  film with the film magnetized perpendicular to the surface with a magnetic field of 20 kOe. (b) MFM image with film magnetized in the opposite direction. (c) Perpendicular piezoelectric force microscopy (PFM) image taken after the film has been poled with -8 V (dark frame), and +8 V (light frame inside box). (d) Magnetization versus field loops measured before (black) and after (gray) electrical polling of 10% of the film area. (e) Zoomed in view of (d). Adapted from Zavaliche et al. (2005).

with magnetic and ferroelectric/piezoelectric properties. These systems operate by a strain-mediated magnetoelectric coupling between the ferroelectric and magnetic order parameters (Zheng et al., 2004). One example is BaTiO<sub>3</sub>—CoFe<sub>2</sub>O<sub>4</sub> self-assembled nanostructures that grow epitaxial both in- and out-of-the-plane to produce arrays of CoFe<sub>2</sub>O<sub>4</sub> nanopillars embedded in a BaTiO<sub>3</sub> matrix. Temperature-dependent magnetic measurements illustrate the coupling between the two order parameters, which is manifested as a change in magnetization at the ferroelectric  $T_{\rm C}$ . Thermodynamic analysis revealed that the magnetoelectric coupling in such a nanostructure can be understood on the basis of the strong elastic interactions between the two phases. Electric field-induced magnetization switching was later demonstrated in the composite BiFeO<sub>3</sub>—CoFe<sub>2</sub>O<sub>4</sub> system (Figure 6.8) (Zavaliche et al., 2005). Further, the morphology of self-assembled perovskite-spinel nanostructures can be controlled simply by selecting single-crystal substrates with different orientations (Zheng et al., 2006). For BiFeO<sub>3</sub>—CoFe<sub>2</sub>O<sub>4</sub>, (001) substrates result in rectangular-shaped CoFe<sub>2</sub>O<sub>4</sub> nanopillars.

#### 6.3.3 Interface/multilayer effects

#### 6.3.3.1 LaMnO<sub>3</sub>/SrMnO<sub>3</sub> superlattices

Advances in the synthesis of complex-oxide heterostructures, with the ability to control unit cell growth and create atomically sharp interfaces, has enabled researchers to achieve collective-ordering phenomena in materials through superlattice and bilayer heterostructures (Catalan et al., 2000b). Superlattices of complex oxides where the superlattice period is below a characteristic length-scale (Scherwitzl et al., 2010) can show unusual collective states (Martin et al., 2008). In the manganites, the superlattice approach has been utilized to synthesis the ordered analogue of La<sub>0.74</sub>Sr<sub>0.26</sub>MnO<sub>3</sub> by fabricating epitaxial superlattices of (LaMnO<sub>3</sub>)<sub>m</sub>(SrMnO<sub>3</sub>)<sub>n</sub> that have a constant stoichiometry of n/(m + n) = 0.26 and a superlattice periodicity less than the unit cell distance (Slonczewski, 1958a). This general approach has enabled the study of the effects of strain and cation ordering for a wide range of complex oxides at various doping levels.

In the  $La_{1-x}Sr_xMnO_3$  system, electronic, structural, and magnetic transitions occur as the doping level, x, is varied. The parent compounds, LaMnO<sub>3</sub> and SrMnO<sub>3</sub>, are both AF insulators with Mn valence states of 3+ and 4+, respectively. Between x = 0.15 and 0.5, charge itinerancy and ferromagnetism are coupled by the double exchange mechanism (Slonczewski, 1958b), which enables electrons to move between neighboring Mn sites when their core  $t_{2g}$  spins are aligned in parallel, and forbids this when they are anti-parallel. With increased Sr content (x > 0.5), superexchange dominates, and AF order is observed. In addition to altering the Mn valence and magnetic structure, the degree of doping changes the local bonding environment owing to the difference in ionic radii between  $Sr^{2+}$ ,  $La^{3+}$ , and  $Mn^{3+/4+}$ . In the cubic perovskite structure,  $Mn^{3+}$  is in a  $3d^4 (t_{2\rho}^3 e_{\rho}^1)$  state, with a lone electron in the doubly degenerate anti-bonding  $e_g$  orbitals  $(d_{x^2-v^2}$  and  $d_{3z^2-r^2})$ . This degeneracy can be removed through tetragonal distortions of the MnO<sub>6</sub> octahedra. The octahedra can also rotate in a cooperative manner in ABO3 perovskite systems when the A-site cation radius is small enough that  $t = \langle A - O \rangle / \sqrt{2} \langle B - O \rangle$  ( $\langle A - O \rangle$  and  $\langle B - O \rangle$  are the A- and B-site cation-oxygen bond lengths) is less than unity [147]. Distortions or rotations of the MnO<sub>6</sub> octahedra alter the Mn–O–Mn bond angles away from the optimal 180°, reducing the bandwidth for charge transport, which in turn reduces the  $T_{\rm C}$  of double-exchange-mediated ferromagnets. Thus, variance in cation radii can affect local bond angles and tip the balance between competing interactions.

Detailed studies carried out on superlattices composed of the LaMnO<sub>3</sub> and SrMnO<sub>3</sub> found that  $(LaMnO_3)_{2n}/(SrMnO_3)_n$   $(1 \le n \le 5)$  superlattices undergo a metal—insulator transition (Figure 6.9) as a function of *n*, being metallic for  $n \le 2$  and insulating for  $n \ge 3$  (Bhattacharya et al., 2008). Transport, magnetization, and polarized neutron reflectivity studies revealed the ferromagnetism to be relatively uniform in the metallic state and strongly modulated in the insulating state, being large in LaMnO<sub>3</sub> and suppressed in SrMnO<sub>3</sub>. This modulation is consistent with a Mott transition driven by the proximity between the  $(LaMnO_3)/(SrMnO_3)$  interfaces. The insulating state for  $n \ge 3$  obeys a variable-range hopping model at low temperatures due to



**Figure 6.9** (a) Resistivity versus temperature for  $La_{0.67}Sr_{0.33}MnO_3$  film and  $(SrMnO_3)_{n/}$  (LaMnO<sub>3</sub>)<sub>2n</sub> superlattices. The inset displays the resistivities for a LaMnO<sub>3</sub> thin film and a  $(SrMnO_3)_3/(LaMnO_3)_1$  superlattice; the LaMnO<sub>3</sub> data are overlaid with a fit to the Arrhenius equation with  $E_A = 125$  meV. (b) Change in saturation magnetization ( $M_S$ ) and coercive field ( $H_C$ ) with n at T = 10 K.

Adapted from Bhattacharya et al. (2008).

states at the Fermi level that emerge at the  $(LaMnO_3)/(SrMnO_3)$  interfaces and are localized by disorder. Cation-ordered  $(LaMnO_3)_m/(SrMnO_3)_{2m}$  superlattices reveal dramatically enhanced Néel temperatures  $(T_N)$ , the highest of any  $La_{1-x}Sr_xMnO_3$  compound, ~70 K greater than compositionally equivalent randomly doped  $La_{1/3}Sr_{2/}$  $_{3}MnO_3$  [142, 148]. The AF order is A-type, consisting of in-plane double-exchangemediated ferromagnetic sheets coupled antiferromagnetically along the out-of-plane direction. Through synchrotron X-ray scattering, an in-plane structural modulation that reduces the charge itinerancy and hence the ordering temperature within the ferromagnetic sheets, thereby limiting  $T_N$ , was noted. This modulation is mitigated and driven to long wavelengths by cation ordering, enabling the higher  $T_N$  values of the superlattices. These results provide insight into how cation-site ordering can enhance cooperative behavior in oxides through subtle structural phenomena.

#### 6.3.3.2 Induced ferromagnetism in LaVO<sub>3</sub>/SrVO<sub>3</sub> superlattices

The discovery of electron conduction at the heteroepitaxial interface of the band insulators LaAlO<sub>3</sub> and SrTiO<sub>3</sub> (Ohtomo & Hwang, 2004) has resulted in the search for emergent phenomena, such as magnetism, at the interfaces of many other material systems (Hwang et al., 2012). One system in which room-temperature ferromagnetism has been observed is in superlattices of the antiferromagnet LaVO<sub>3</sub> ( $T_{\rm N} = 143$  K) and the Pauli paramagnet SrVO<sub>3</sub>, where one layer of SrVO<sub>3</sub> was inserted between two to six layers of LaVO<sub>3</sub> (LaVO<sub>3</sub>[m]/SrVO<sub>3</sub>, m = 2-6) (Luders, Sheets, David, Prellier, & Fresard, 2009). For an even number of LaVO<sub>3</sub> layers, the superlattices are ferromagnetic, whereas for an odd number of LaVO3 layers, the superlattices are nonmagnetic. The magnetic moment for the m = 6 superlattice is 1.4  $\mu$ B/V, which is close to the expected value of 1.5  $\mu_B/V$  for a LaVO<sub>3</sub>/SrVO<sub>3</sub> interface, and decreases by only 20% as the temperature increases from 10 to 300 K. Multiple theoretical works have attempted to understand the physics behind the magnetism at this interface, with agreement that octahedral rotations and changes in the charge carrier density at the interface play a role (Dang & Millis, 2013a,b; Schuster, Luders, Fresard, & Schwingenschlogl, 2013). Additionally, there is a predicted alteration of short and long V–O bond lengths along the c-axis for odd and even layers of LaVO<sub>3</sub> (Schuster et al., 2013). These changes in bond length should give rise to ferromagnetism in an odd number of LaVO<sub>3</sub> layers and no magnetism in an even number of LaVO<sub>3</sub> layers. While the theoretical prediction for when ferromagnetism should occur disagrees with the experiment, it does predict that there should be changes in the magnetic ordering based on the number of LaVO<sub>3</sub> layers.

#### 6.3.3.3 Exchange bias in spinel ferrites

Exchange bias in oxides was first demonstrated with CoO/Fe<sub>3</sub>O<sub>4</sub> (001) superlattices grown on NaCl substrates (Terashima & Bando, 1987). By growing CoO/Fe<sub>3</sub>O<sub>4</sub> bilayers on SrTiO<sub>3</sub> (001) and Al<sub>2</sub>O<sub>3</sub> (0001) substrates, the surface orientation can be changed to (001) and (111), respectively (van der Zaag, Ball, Feiner, Wolf, & van der Heijden, 1996). There was no appreciable difference in the exchange bias between the two different surface orientations, both showing an exchange bias field of  $\sim$  3600 Oe at 5 K. The onset temperature for exchange bias, or blocking temperature  $(T_{\rm B})$ , is dependent on the CoO thickness. For CoO layers above 5 nm,  $T_{\rm B} = 291$  K, which is the Néel temperature of CoO. Below 5 nm,  $T_{\rm B}$  decreases sharply and disappears at 0.4 nm. The exchange bias field ( $H_{\rm EB}$ ) also depends on the thickness of the CoO, in that it is constant and maximum between 1.6 and 5 nm, before decreasing with increasing thickness and linearly with temperature. The spin structure at the interface of CoO and Fe<sub>3</sub>O<sub>4</sub> was examined with neutron diffraction studies of CoO/Fe<sub>3</sub>O<sub>4</sub> (001) superlattices grown on MgO (001) (Ijiri et al., 1998). Surprisingly, these measurements showed that the CoO spins are aligned at a 90° angle to the Fe<sub>3</sub>O<sub>4</sub> spins. This work demonstrated the need to fully understand the spin alignment of the system in order to accurately model the exchange bias, as most models up to this time had assumed collinear spin alignments. Recently, exchange bias has been demonstrated in a Fe<sub>3</sub>O<sub>4</sub>/BiFeO<sub>3</sub> bilayer on SrTiO<sub>3</sub> (001) (Qu et al., 2012). A maximum  $H_{\rm EB} \sim 375$  Oe was measured for a 5-nm BiFeO<sub>3</sub> film at 5 K;  $H_{\rm EB}$  decreases quickly as both the BiFeO<sub>3</sub> thickness and temperature increase, disappearing between 200 and 300 K.

## 6.3.3.4 Exchange bias in La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>/BiFeO<sub>3</sub>

An all-perovskite system that shows unique interface coupling and exchange bias is  $La_{0.7}Sr_{0.3}MnO_3/BiFeO_3$ . By creating an interface between these two materials, a novel ferromagnetic state arises in the antiferromagnet BiFeO<sub>3</sub> (Yu et al., 2010). Using X-ray magnetic circular dichroism at the Mn and Fe  $L_{2,3}$  edges, the authors discovered that the development of this ferromagnetic spin structure is strongly associated with the onset of a significant exchange bias. Linearly polarized X-ray absorption measurements at the oxygen *K* edge show that the magnetic state is directly related to an electronic orbital reconstruction at the interface. The ferromagnetic state gives rise to a significant exchange bias interaction with  $La_{0.7}Sr_{0.3}MnO_3$ , and both exhibit the same temperature dependence. The discovery of correlation between the electronic orbital structure at the interface and exchange bias suggests the possibility of using an electric field to control the magnetization of ferromagnets.

By varying the thickness of the individual layers in BiFeO<sub>3</sub>/La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> heterostructures (Huijben et al., 2013), it was found for thick BiFeO<sub>3</sub> layers that the exchange bias field is inversely proportional to the thickness of the La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> layers, which is in good agreement with previous studies on conventional exchange bias systems (Nogués & Schuller, 1999). For ultrathin BiFeO<sub>3</sub> layers there exists a critical thickness of 2 nm (5 u.c.), below which the exchange bias cannot exist. As previous studies have shown that the ferroelectric polarization remains present in these BiFeO<sub>3</sub>/La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> heterostructures down to BiFeO<sub>3</sub> thicknesses of only 4 unit cells (Maksymovych et al., 2012), the evolution in the antiferromagnet behavior of the BiFeO<sub>3</sub> layer determines the interfacial exchange bias coupling. This was confirmed with linear dichroism X-ray absorption spectroscopy (Figure 6.10), which revealed a strongly reduced linear dichroism for ultrathin BiFeO<sub>3</sub> layers.

## 6.4 Characterization of magnetic-oxide thin films

Characterization of magnetic-oxide thin films includes a range of techniques. The most conventional of these build off of typical measurements applied to bulk materials—including magnetometry (in the form of vibrating sample (VSM) and superconducting quantum interference device (SQUID) magnetometers) and magnetotransport. For magnetometry, the only special consideration that needs to be taken is the relative volume of the magnetic film material as compared to that of the substrate. Because the vastly different volume between a film ( $\sim 10^{-7}-10^{-6}$  cm<sup>3</sup>) and substrate ( $\sim 10^{-2}$  cm<sup>3</sup>), even a strongly magnetic thin film can be swamped out by the diamagnetic background of the much larger substrate. Additionally, if the substrate includes magnetic ions and a resulting paramagnetic signature (as is the case in rare-earth-containing



**Figure 6.10** Experimental Fe  $L_{2,3}$  edge XLD asymmetry (percent of the XAS  $L_3$  peak height signal) and exchange bias shifts ( $H_{\rm EB}$ ) measured at 17 K as a function of BiFeO<sub>3</sub> thickness for BiFeO<sub>3</sub>/La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub> heterostructures. From Huijben et al. (2013).

compounds), it might be impossible to measure the film accurately. Finally, for small magnetic moment materials, such approaches might not have the resolution for such small volumes of material and will not be able to resolve the moment accurately. For mangetotransport, the biggest practical challenge corresponds to the magnitude of the resistance of the material. Ultrathin films—even of relative good conductors—can make accurate and stable measurement of the resistance difficult, give rise to drift and noise in magnetotransport measurements, and render resistivity, carrier concentrations, and other values inaccurate.

In such situations, one might need to move to a different probe of magnetic order. For instance, optical probes of magnetism in thin films, including second harmonic generation (Fiebig, Pavlov, & Pisarev, 2005) and magneto-optic Kerr effect (MOKE) (Qiu & Bader, 2000), are easily applied and widely used to sense magnetic response in thin films. The advantage of these techniques comes from their relative simplicity, widespread applicability, and the potential for dynamic study under applied fields. Moving up the scale of complexity, there is growing interest in neutron scattering to probe thin films (Fitzsimmons et al., 2004; Majkrzak, 1996; Saerbeck & Klose, 2012; Schreyer et al., 2000). Such approaches can provide unprecedented access to the nature of magnetic order in materials, but require dedicated time and facilities to accomplish. The last measurement technique we highlight is synchrotron-based probes, including X-ray magnetic linear dichroism (XMLD), circular dichroism (XCMD), and PEEM (He, Arenholz, Scholl, Chu, & Ramesh, 2012; Stöhr, Padmore, Anders, Stammler, & Scheinfein, 1998). Dichroism, or the polarization-dependent absorption of light, provides a way to sensitively probe magnetic order in materials

(Stöhr & Siegmann, 2006). XMCD arises from directional spin alignment (as occurs in ferro- and ferrimagnets) and can be used to measure the size and direction of cation-specific magnetic moments with circularly polarized X-rays. This effect is usually seen at the resonance positions of the magnetic elements. XMLD arises from axial spin alignment (as occurs in ferro-, ferri-, or antiferromagnets) and can be used in the study of antiferromagnetism with linearly polarized X-rays. The axial spin alignment gives rise to a charge distribution anisotropy through spin—orbital coupling, which results in a large XMLD effect typically seen in the absorption fine structure of the resonance peaks of the magnetic elements. Combining XMCD and XMLD measurements and analysis, PEEM imaging has also been widely applied in the study of ferroic materials since it provides a way to spatially map out domain structures in these materials with resolutions as good as 10 nm (Anders et al., 1999).

Modern magnetic thin films, in particular multiferroic materials, can offer challenges to assess magnetic order. Ultimately one might need to call upon multiple measurement techniques to accurately assess the nature of magnetic order. For example, in the candidate multiferroic thin film PbVO<sub>3</sub>, a combination of SHG and XMLD was used to determine that the material transitioned from a polar 4 mm state to a polar, magnetic state between 100 and 130 K (Figure 6.11). SHG was used to observe a temperature-dependent change in symmetry with the likely onset of a low-temperature G type (4'/m'mm' symmetry) AF phase that, without the combination of techniques, would have not been possible to determine.

## 6.5 Applications of epitaxial magnetic-oxide thin films

#### 6.5.1 Magnetic tunnel junctions

An MTJ consists of two magnetic layers separated by a thin insulating layer. For magnetic layers that have large spin polarizations, large resistance changes occur through the junction when the moments of the magnetic layers switch from a parallel to an antiparallel alignment. The first observation of MR in an all-oxide MTJ was for a La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub>/SrTiO<sub>3</sub>/La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> junction, in which an MR of 83% was observed at 4 K (Figure 6.12) (Lu et al., 1996). Further structural improvements to the quality of the heterostructure and the addition of a CoO top pinning layer increased the MR of these MTJs to as high as 1850% at 4 K, corresponding to a spin polarization of 95%, with the MR persisting up to 280 K (Bowen et al., 2003; Sun, KrusinElbaum, Duncombe, Gupta, & Laibowitz, 1997; Viret et al., 1997). Junctions with Fe<sub>3</sub>O<sub>4</sub> electrodes separated by MgO have also been fabricated, but they have surprisingly small MR values of 1.5% at 60 K, potentially due to disorder at the interface or formation of Fe<sub>1-0</sub>O (Li, Gupta, Xiao, Qian, & Dravid, 1998). The MR did persist, however, up to 300 K with a value of 0.5%. Lastly, MTJs that use both Fe<sub>3</sub>O<sub>4</sub> and LSMO as the magnetic layers have been made with insulating spinel layers, such as CoCr<sub>2</sub>O<sub>4</sub> (Alldredge, Chopdekar, Nelson-Cheeseman, & Suzuki, 2006; Hu & Suzuki, 2002). The advantage of using different magnetic materials is that the switching fields of the two layers are distinctly separated. These junctions have a maximum MR of -25% at 60 K and



**Figure 6.11** (a) SHG intensity of a PbVO<sub>3</sub>/NdGaO<sub>3</sub> (110) thin-film heterostructure as a function of incoming light angle for a detector fixed at p polarization. (b) Variation of the SHG signal intensity ( $I_p$ ) at  $\theta = 0^\circ$  as a function of temperature revealing a change in SHG signal at around 100 K. (c) X-ray linear dichroism for PbVO<sub>3</sub>/LaAlO<sub>3</sub> (001) heterostructure as a function of temperature showing the difference between the linear dichroism at a number of given temperatures and the overall average linear dichroism exhibited by the PbVO<sub>3</sub> film, again indicating a change in the signal between 120 and 130 K. Adapted from Kumar et al. (2007).

-0.5% at 300 K, with the negative sign coming from the negative spin polarization of Fe<sub>3</sub>O<sub>4</sub> (Hu & Suzuki, 2002).

## 6.5.2 Spin injection

The efficient injection of spin-polarized electrons into semiconductors is necessary for the development of many proposed spintronic devices (Datta & Das, 1990). The requirements for a material to be a good spin injector are a large spin polarization at the Fermi energy, a small conductivity mismatch with semiconductors, and the ability to be grown epitaxially on common semiconductors. Spin-injection experiments with ferromagnetic metals, such as Fe and Ni, resulted in spin-polarized currents of less than 1% due to a large conductivity mismatch (Schmidt, Ferrand, Molenkamp, Filip, & van Wees, 2000). The spinel ferrites are promising materials to be used as spin injectors



**Figure 6.12** (a) Magnetoresistance for an LSMO/SrTiO<sub>3</sub>/LSMO MTJ measured at 4.2 K. The resistance increases by 84% when the LSMO layers are aligned anti-parallel to each other. (b) MR for the bottom electrode only is less than 1%, demonstrating that the large MR is from the MTJ and not the electrodes themselves. From Lu et al. (1996).

since they can meet the three requirements listed above. First principle calculations have predicted them to have large spin polarizations (Antonov, Harmon, & Yaresko, 2003; Jeng & Guo, 2002; Szotek et al., 2006; Penicaud, Siberchicot, Sommers, & Kubler, 1992; Zhang & Satpathy, 1991). While Fe<sub>3</sub>O<sub>4</sub> has a conductivity larger than semiconductors and other ferrites ( $MFe_2O_4$ , M = Mn, Co, Zn) are insulating, alloying Fe<sub>3</sub>O<sub>4</sub> with the insulating ferrites enables the conductivity to be tuned by over three orders of magnitude (Ishikawa, Tanaka, & Kawai, 2005; Moyer, Vaz, Negusse, Arena, & Henrich, 2011; Takaobushi et al., 2006; Tripathy, Adeyeye, Boothroyd, & Piramanayagam, 2007; Venkateshvaran et al., 2009). Recently, progress has also been made on growing these materials epitaxially on semiconducting substrates. Spinel ferrites have been grown directly on GaAs (Lu et al., 2005, 2004; Preisler, Brooke, Oldham, & McGill, 2003; Zhang et al., 2011), InAs (Huang et al., 2011), GaN (Zou et al., 2011), and ZnO (Li, Guo, & Bai, 2011), and on Si by using Y<sub>2</sub>O<sub>3</sub>:ZrO<sub>2</sub> (YSZ) (Bachelet et al., 2011), Sc<sub>2</sub>O<sub>3</sub> (Sanchez et al., 2011), and TiN (Kumar, Pandya, & Chaudhary, 2013) buffer layers. A spin polarization of 28% was measured for electrons injected from Fe<sub>3</sub>O<sub>4</sub> into ZnO.

## 6.5.3 Spin filters

Spin-filter devices filter spin-polarized electrons that tunnel between ferromagnetic and nonmagnetic metals through a ferromagnetic insulator. This phenomenon is realized through the different tunneling barrier heights of the insulating magnetic layer for spin up and spin down electrons. BiMnO3 was the first oxide material that was used as a spin filter, where a 50% change in the tunneling resistance of an Au/3.5 nm BiMnO<sub>3</sub>/1 nm SrTiO<sub>3</sub>/LSMO/SrTiO<sub>3</sub> (001) device was measured at 3 K, corresponding to a spin-filtering efficiency of 22% (Gajek et al., 2005). Since a  $T_{\rm C} = 105$  K for BiMnO<sub>3</sub> eliminates the potential for room temperature spin-filtering, the majority of recent research has focused on the spinel ferrites. The spin-filter effect has been observed using CoFe<sub>2</sub>O<sub>4</sub> (Chapline & Wang, 2006; Ramos et al., 2007; Takahashi et al., 2010), NiFe<sub>2</sub>O<sub>4</sub> (Luders et al., 2006), and MnFe<sub>2</sub>O<sub>4</sub> (Matzen, Moussy, Miao, & Moodera, 2013) barrier layers, with CoFe<sub>2</sub>O<sub>4</sub> having a measured spin filter efficiency of -4% at room temperature (Ramos et al., 2007; Takahashi et al., 2010). Recently, single-domain CoFe<sub>2</sub>O<sub>4</sub> nanojunctions with a cross-section of  $\sim 5$  nm produced a room temperature spin-filter efficiency of -8% (Figure 6.13) (Matzen et al., 2012). These spin-filter efficiencies have been determined from evaluating Jullière's formula (Julliere, 1975) for an MTJ. Measurements of the spin-filter efficiency using superconducting electrodes, however, result in positive spin-filter efficiencies for CoFe<sub>2</sub>O<sub>4</sub> (Ramos et al., 2008; Rigato et al., 2010), demonstrating how the band alignments between the electrodes and the spin-filtering materials and the wave-function symmetry of the bands can result in a change in sign in the spin-filter efficiency (Caffrey, Fritsch,



**Figure 6.13** Magnetoresistance of (a) 5- $\mu$ m and (b) 5-nm Co/Al<sub>2</sub>O<sub>3</sub>/CoFe<sub>2</sub>O<sub>4</sub>/Pt spin-filter junctions. The 5- $\mu$ m junction has a spin-filter efficiency of -22% at 2 K, while the 5-nm junction has a -8% spin-filter efficiency at 300 K. From Matzen et al. (2012).

Archer, Sanvito, & Ederer, 2013). It has been proposed that an electric field gate could be used to reversibly change the sign of a spin filter (Caffrey et al., 2013).

## 6.6 Future of epitaxy of complex-oxide magnets

#### 6.6.1 Frustrated systems

A magnetic material in which all of the pairwise magnetic interactions cannot be simultaneously satisfied is called frustrated. Magnetic frustration is common in bulk materials, exemplified by the spin ice pyrochlores, such as Dy<sub>2</sub>Ti<sub>2</sub>O<sub>7</sub> (Bramwell & Gingras, 2001; Ramirez, Hayashi, Cava, Siddharthan, & Shastry, 1999). Epitaxial thin films provide a unique opportunity for studying and perturbing magnetic frustration, since they enable the opportunity to alter both the symmetry of the system through epitaxial strain and the strength of the magnetic interactions through chemical substitution. While magnetic frustration exists in many oxide systems—such as spinel ferrites and chromates (Iwata et al., 2009; Yamamoto, Tanaka, & Kawai, 2001), hexagonal and perovskite manganites (Fujimura, Takahashi, Yoshimura, & Ashida, 2007; Yang et al., 2006), and double perovskites (Chakraverty et al., 2011)—due to the lack of suitable substrates many of the popular bulk magnetically frustrated materials, like the spin-ice pyrochlores, are just now being investigated as epitaxially strained thin films (Bovo et al., 2014; Leusink et al., 2014).

#### 6.6.2 Flexomagnetism

The flexoelectric effect results in a shift in a ferroelectric hysteresis loop when a strain gradient exists throughout a ferroelectric. This has been demonstrated in thin films by growing a compositionally graded  $PbZr_{1-x}Ti_xO_3$ , where the strain gradient is created by coherently straining the compositionally graded film to a substrate (Mangalam, Karthik, Damodaran, Agar, & Martin, 2013). The free energy equation for magnetic systems contains an equivalent term for flexomagnetism:

$$F_{\text{flexomagnetism}} = -\nu_{ijkl} H_i \frac{\partial \sigma_{jk}}{\partial x_l}, \tag{6.2}$$

where  $\nu_{ijkl}$  is the flexomagnetic tensor (Lukashev & Sabirianov, 2010). Since this energy term is linear in *H*, a strain gradient would result in a shift in the magnetic hysteresis loop. While there has yet to be experimental confirmation of the flexomagnetic effect, theory has predicted its occurrence (Lukashev & Sabirianov, 2010), and epitaxial thin films are a promising avenue for investigating flexomagnetism.

#### 6.6.3 Challenges and summary

Many challenges still exist in the field of epitaxial magnetic oxides, one of which is a lack of substrates. While many substrates exist for the growth of perovskites, other

magnetic systems have relatively few options. Developing new substrates that enable strain-engineered films of spinels, pyrochlores, garnets, etc. will allow for a greater understanding of how strain affects their magnetic properties. Additionally, the production of magnetically inert substrates (by controlling the constituent species or impurities) is essential.

A second challenge is the ability to make accurate measurements on magnetic devices. Many devices require thin films with thicknesses of nanometers and areas of hundreds of microns. The most accurate method to measure magnetism is to use a SQUID magnetometer, but it does not have the sensitivity to measure magnetic devices with these dimensions. A MOKE magnetometer can measure the magnetic properties of devices, but it is not inherently quantitative. Making accurate magnetic measurements on magnetic devices is a challenge, and researchers need to take care when designing devices in order to accurately report the performance of magnetic devices.

Lastly, there is a lot of interest in nonmagnetic materials and superlattices that exhibit magnetism in thin film form. The magnitude of this magnetism is often quite small, on the order of a fraction of a Bohr magneton per cation. In response to reports about magnetism in  $HfO_2$ , researchers demonstrated that  $HfO_2$  films are nonmagnetic, but appear to have room temperature ferromagnetism when handled with stainless steel tweezers (Abraham, Frank, & Guha, 2005). This example highlights the care necessary to not magnetically contaminate samples when reporting on the emergence of magnetism in nonmagnetic bulk systems.

In summary, magnetic oxides are a fascinating class of materials to study for both their complex physical nature and their potential use in many novel devices. Epitaxial growth of these materials enables one to control their magnetic properties and spin structures through application of epitaxial strain, control of film thickness, creation of superlattices, and coupling with other ferroic-order parameters. While many challenges still remain, particularly with increasing the temperature limit of these materials to above room temperature, an incredible amount of knowledge has already been attained that has enabled the understanding of many novel phenomena, such as magnetic dead layers, ferromagnetism at the interface of nonferromagnetic oxides, precise control of  $T_{\rm C}$ , exchange bias, the spin-filter effect, etc. With the continued advances in thin-film growth technology and the emerging research in systems such as the magnetoelectric multiferroics, one can only expect numerous new and exciting scientific discoveries in the field of epitaxial magnetic oxides in the near future.

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