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# Natural Products and Transforming Growth Factor-beta (TGF- $\beta$ ) Signaling in Cancer Development and Progression

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**Abstract:** Actions of many herbal medicine products for cancer treatment are linked to an altered production of TGF- $\beta$  in the target cells. An altered TGF- $\beta$  production in the target cells will have profound effects on the patients. Therefore, it is important that we review the pros and cons of these products on cancer development and progression in terms of TGF- $\beta$  signaling. It has been well established that TGF- $\beta$  is growth inhibitory to benign cells or early stages of cancer cells but it is tumor promoting and metastatic for advanced malignancies. Further, many dietary components can alter gene-specific DNA methylation levels in systemic and in target tissues. Since TGF- $\beta$  signaling in cancer is closely linked to the DNA methylation profiles, we also review the effect of dietary components on DNA methylation. In light of this knowledge, it is important to note that many natural products that can induce TGF- $\beta$  production in the target cells may be beneficial in preventing cancer development but may be harmful for cancer patients, especially when they harbor advanced stage cancer.

A discussion of the effect of herbal natural products on cancer can be divided into three categories. The first category of herbal medicine products will be those related to the induction of cancer as far as TGF- $\beta$  is concerned. Since TGF- $\beta$  is growth inhibitory and pro-apoptosis to benign cells, any herbal medication that can induce the production of TGF- $\beta$  in the target cells will be beneficial to the patients. However, such herbal medicine may not necessarily be beneficial for patients with established and advanced cancer. The second category of herbal products will inhibit TGF- $\beta$  signaling and will reduce TGF- $\beta$  mediated growth promotion and metastasis in advanced cancers. For patients with established and advanced cancer, agents that can inhibit the production of TGF- $\beta$  may also inhibit cancer growth and metastasis. Finally, the third category of herbal products has no impact on TGF- $\beta$  signaling, such as lycopene.

**Keywords:** Dietary components, DNA methylation, non-Smad pathways, Smad pathways, TGF- $\beta$  signaling, Tumor development and progression.

## INTRODUCTION

It is known that TGF- $\beta$  can inhibit growth in benign cells and early stage cancer cells, but it will promote progression and metastasis in advance stages of cancers. Since many herbal products used for cancer prevention and treatment have an effect on TGF- $\beta$  signaling, it is therefore important that we understand the action of these herbal products in relationship to TGF- $\beta$  signaling. In this review, we will briefly discuss three categories of herbal products used in prevention and treatment of cancer patients. The first class of herbal products will induce TGF- $\beta$  production in the target cells. The second class of herbal products will inhibit TGF- $\beta$  signaling. The third class of herbal products has no effect on TGF- $\beta$  signaling.

### **Biology of TGF- $\beta$ Signaling**

TGF- $\beta$  represents a family of pleiotropic growth factors with diverse functions, such as embryonic development, wound healing, organ development, immuno-modulation, and cancer progression [1, 2]. There are three known mammalian isoforms of TGF- $\beta$  (TGF- $\beta$ 1, - $\beta$ 2, and - $\beta$ 3) with significant homology and similarities in function. The biological effect of TGF- $\beta$  is mediated through type I (T $\beta$ RI), type II (T $\beta$ RII) receptors and downstream transcription factors, Smad [3, 4]. While this is the conventional pathway for TGF- $\beta$  signaling, other signaling pathways, which lack the classical growth inhibitory functions of TGF- $\beta$ , have also been identified, such as MAPK, PI3K, PP2A/p70s6K, and JNK [5]. The relative importance and interplay of the Smad and non-Smad pathways of TGF- $\beta$  signaling are still under investigation.

### **TGF- $\beta$ Paradox: Differential Response to TGF- $\beta$ between Benign and Cancer Cells**

The well known TGF- $\beta$  paradox is that TGF- $\beta$  is a potent inhibitor to benign cells but promotes proliferation and invasion in cancer cells. The molecular mechanism of this TGF- $\beta$  paradox remains unexplained. The inhibition of cell proliferation by TGF- $\beta$  is due to cell cycle arrest in the G1 phase. The signaling system responsible for this growth arrest mechanism includes activation of TGF- $\beta$  receptors (type I and type II) and their downstream transcription factors, Smad signal transducers. However, in cancer cells, the inhibitory property of TGF- $\beta$  is greatly diminished. In the majority of advanced cancer cases, TGF- $\beta$  enhances proliferation, invasion, metastasis and evasion of host immune surveillance. In addition to the loss of responsiveness of the cancer cells to TGF- $\beta$  mediated growth inhibition, these cells secrete increasing amounts of TGF- $\beta$ , which itself serves as a pro-malignant factor by suppressing anti-tumor immune response of the host and by augmenting angiogenesis [6-8].

The effect of TGF- $\beta$  on proliferation varies according to the type of target cells. In our recent study [8], we observed a differential regulation of proliferation and growth arrest between normal (benign) and cancer cells in response to TGF- $\beta$ . We have investigated the mechanism of this dual effect on proliferation and growth arrest by TGF- $\beta$ . Although TGF- $\beta$  mediates Erk activation at low doses (0.1 ng/ml) in both benign and cancer cells, at high doses (10 ng/ml), TGF- $\beta$  treatment resulted in an inactivation of Erk in benign cells but continue to activate Erk in cancer cells [8]. This differential activation of Erk in cancer cells by TGF- $\beta$  but not in benign cells has not been appreciated before and provides the answer to the known TGF- $\beta$  paradox.

## **DNA Methylation in Cancer and TGF- $\beta$ Signaling in DNA Methylation**

Epigenetic changes are characteristic of nearly all malignancies and include changes in DNA methylation, histone modification and microRNAs. DNA methylation plays a critical role in cancer development and progression [9]. Alteration of DNA methylation patterns leads to deregulation of gene expression, in the absence of mutation. All tumors that have been examined show changes in DNA methylation, suggesting that this may represent a basic element of cancer biology, which bears a significant impact on tumor pathology [10-12]. There is a close relationship between the status of DNA hypermethylation and TGF- $\beta$  signaling in cancer cells. TGF- $\beta$  is a key regulator for DNA methylation through an increase in DNMTs expression, especially in cancer [13, 14]. There exists a differential effect of TGF- $\beta$  mediated DNMT activities between benign and malignant cells. In benign cells, TGF- $\beta$  inhibits DNMT expression [15, 16]. In cancer cells, TGF- $\beta$  stimulates DNMT expression [13, 14]. It should be noted that, in light of the importance of both TGF- $\beta$  signaling and DNA methylation in tumor progression, the majority of the methylated gene in cancer are relevant to TGF- $\beta$  signaling [13]. This is consistent with our observation that over-expression of TGF- $\beta$  and/or DNMTs is associated with aggressiveness and poor prognosis in prostate cancer [14, 17, 18].

## **Three Classes of Natural Products in Relation to TGF- $\beta$ Production in Target Cells**

Table 1 lists three classes of natural products in relation to TGF- $\beta$  production. Class I illustrates some examples of natural products that induce TGF- $\beta$  production in target cells. Class II are natural products that inhibit TGF- $\beta$  signaling; while Class III are examples of natural products that have no relation to TGF- $\beta$  production.

## **Herbal Products that Induce TGF- $\beta$ Production in Target Cells**

Many herbal products have a protective effect against carcinogenesis. Aside from having the anti-oxidant property, they also induce TGF- $\beta$  expression from the target cells. Since TGF- $\beta$  is growth inhibitory and can induce apoptosis in normal non-cancer target cells, such property will be a suitable anti-cancer supplements for cancer prevention. Examples for these products include seaweed [19] and resveratrol [20]. Other products, such as Inchin-ko-to [21] and Long Dan Tan [22], also have the ability to induce TGF- $\beta$  production in target cells. Additional studies revealed that *Scutellaria baicalensis Georgi* (Sb) and *Bupleurum scorzonerifolium Willd* (Bs) can inhibit cell proliferation by an increase in TGF- $\beta$  production in target cells [23]. *Euonymus alatus* (Thunb.) Sieb (EA), known as "gui-jun woo" in Korea, which is used for leiomyomal tumors, exhibited a much lower proliferation rate than untreated cells, suggesting that EA inhibited the cellular proliferation of uterine leiomyomal cells. TGF- $\beta$  can achieve a similar effect in place of Thunb/EA in combination [24, 25]. It should be pointed out that, since these agents can induce TGF- $\beta$  production in target cells, they are suitable for cancer prevention but should be careful in administrating these agents for the purpose of treatment of established cancers.

### **Herbal Products that Inhibit TGF- $\beta$ Signaling in Target Cells**

The best examples of this class of herbal products are flavenoids, such as genistein, with their ability to inhibit tumor progression and metastasis [26]. Aside from their ability to possess the anti-oxidant property, they can inhibit TGF- $\beta$  signaling in the target cells, thus help to inhibit tumor growth and metastasis. The best example of this class of herbal products has been the recent paper by Lin et al. [27] who have delineated how Andrographolide down-regulates hypoxia-inducible factor-1 $\beta$  (HIF1 $\beta$ ) by inhibiting TGF- $\beta$  signaling in human non-small cell lung cancer A549 cells. Other natural products belonging to this class include green tea and black tea extracts [28, 29, 52], *angelica sinensis* [30], *machilus thunbergii* [31], Chunggan extract (CGX) [32], *esculentoside A* [33], rhubarb extract [34], compound *Astragalus* and *Salvia miltiorrhiza* extract [35], *Momordica charantia* leaf extract [36], and *Polypodium leucotomos* [37, 38].

### **Herbal Products that have no Impact on TGF- $\beta$ Signaling in Target Cells**

Herbal products such as water extracts of many Korean medicine for uterine leiomyoma and citrus unshiu (*Satsuma mandarian*) have no effect on TGF- $\beta$  production in cancer cells under culture conditions [39, 40]. Further, lycopene is an effective preventive agent for prostate cancer but has not effect on TGF- $\beta$  production in cancer cells [41-43].

### **Dietary Factors and DNA Methylation**

Diet and environmental factors directly influence epigenetic mechanisms in humans [9]. Dietary polyphenols from green tea, turmeric, soybeans, broccoli and others have shown to possess multiple cell-regulatory activities within cancer cells [18]. Because epigenetic deregulation occurs early in carcinogenesis and is potentially reversible, intervention strategies targeting the epigenome have been proposed for cancer prevention. Dietary components with anticancer potential, including folate, polyphenols, selenium, retinoids, fatty acids, isothiocyanates and allyl compounds, influence DNA methylation and histone modification processes [9, 18, 54]. Such activities have been shown to affect the expression of genes involved in cell proliferation, death and differentiation that are frequently altered in cancer. Table 2 lists selected natural products that reversed DNA hypermethylation and restore the expression of many tumor suppressor genes in target cells. Many natural products are able to reverse DNA hypermethylation through an inhibition of DNA methyltransferases (DNMT) [9]. This is consistent with the action of TGF- $\beta$ , especially in benign cells or in early stage cancers, it inhibits DNMT expression [15, 16]. However, in advanced cancers, TGF- $\beta$  stimulates the expression of DNMT [13, 14]. It remains unclear, if in advanced cancer cells, these natural products are still able to inhibit DNMT expression in the presence of an aberrant signaling events of TGF- $\beta$  mediated vicious cycle in tumor progression [8, 14].

Table 1. Three classes of natural products related to the effect on TGF- $\beta$  signaling.

Name	Description	References
<b>Class I: natural products that induce TGF-<math>\beta</math> production in target cells*</b>		
Seaweed	A natural source of iodine	[19]
Resveratrol	A natural phytoestrogen in red wine	[20, 30, 44]
Inchin-ko-to (ICKT)	An ancient oriental herbal formulation for jaundice	[45]
Genipin	A metabolite component of inchin-ko-to	[46]
Scutellaria baicalensis Georgi	A herbal medicine for liver diseases	[23]
Gui-jun woo	A Korean herbal medicine used for treatment of tumors	[24, 25]
EGCG	A natural product of tea extracts	[47, 48]
Momordica charantia	Leaf extract from bitter melon	[35, 49]
Polypodium leucotomos	A tropical fern plant	[36, 37]
Curcumin	Active components of spice turmeric	[64]
<b>Class II: natural products that inhibit TGF-<math>\beta</math> signaling**</b>		
Genistein	An active flavonoid in soy	[50, 51]
EGCG	A natural product of tea extracts	[52]
Long Dan Tan	A herbal medicines for chronic liver disease	[22]
Andrographolide	A diterpenoid lactone from a traditional herbal medicine	[27]
Angelica Sinensis	The root of <i>Angelica sinensis</i> , known as <i>Danggui</i>	[53]
Machilus	Barks of <i>Machilus</i> DGA is glycosidic triterpene alkaloids	[30]
Chunggan extract	A hepatotherapeutic herbal formula	[31, 53]
Esculentoside A	A saponin isolated from herb <i>phytolacca esculenta</i> ,	[32]
Rhubarb ( <i>dahuang</i> )	Extractof the dried radix and rhizome of <i>Rheum palmatum</i> L.	[33]
Compound Astragalus Salvia miltiorrhiza extract (CASE)		
Extract of Leguminosae and Lamiaceae		[34]
Polypodium leucotomos	A tropical fern plant	[36, 37]
Rosmarinic acid	Naturally occurring polyphenol in Labitae plants	[65]
<b>Class III: natural products that have no impact on TGF-<math>\beta</math> production***</b>		
Lycopene	A natural product of tomato	[40, 45, 46]
Satsuma mandarian	The peel of citrus fruit	[39]

\*Since these agents can induce TGF- $\beta$  production in target cells, they are suitable for cancer prevention. But, we should exercise caution, when using these agents for the purpose of established cancers.

\*\*These agents listed in Class II are known to inhibit TGF- $\beta$  signaling. Aside from their anti-oxidant property, they can be used to help to inhibit tumor growth and metastasis.

\*\*\*Although these products have established anti-cancer effects, they have nothing to do with TGF- $\beta$  signaling. Therefore, no recommendation will be offered regarding their administration.

**Table 2. Natural products that are able to reverse DNA hypermethylation in target cells.**

Name	Description	References
EGCG	A natural product of tea extracts	[39, 54]
Genistein	An active flavonoid in soy	[56-58]
Lycopene	A natural product of tomato	[58, 59]
Curcumin	Active components of the spice turmeric	[60, 61]
Resveratrol	A natural phytoestrogen in red wine	[62, 63]
Rosmarinic acid	Naturally occurring polyphenol in Labitae plants	[66]

This list does not intend to be comprehensive. Rather, these products all have a common property of inhibiting DNMT expression.

## CONCLUSION

A variety of herbal medicines have been used either as a major medication or as a supplement either for cancer prevention or for cancer treatment. These herbal products have been administered to the population without consideration if the product is used for prevention or for treatment. As we understand the role of TGF- $\beta$  in cancer cells is different from that in benign cells, we should exercise caution when we are taking these herbal products. Aside from the many effects of these herbal products on the target cells, from the point of view of TGF- $\beta$  signaling, it is important that we distinguish when we are using these products for prevention or for treatment of cancer.

## CONFLICT OF INTEREST

The authors confirm that this article content has no conflict of interest.

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## ABBREVIATIONS

Bs	=	Bupleurum scorzonerifolium Willd
CASE	=	Compound Astragalus Salvia miltiorrhiza extract
CGX	=	Chunggan extract
DNA	=	deoxyribonucleic acid
DNMT	=	DNA methyltransferases, which consist of DNMT1, DNMT 3a and DNMT 3b
EA	=	Euonymus alatus (Thunb.) Sieb
EGCG	=	epigallocatechin gallate
Erk	=	extracellular signal-regulated kinase is a member of MAPK
HIF1 $\alpha$	=	hypoxia-inducible factor-1 $\alpha$
ICKT	=	Inchin-ko-to
MAPK	=	Mitogen activated protein kinase
p70s6K	=	A members of the serine/threonine protein kinase and is a downstream effector of the PI3K
PI3K	=	phosphoinositide 3-kinase
PP2A	=	Protein phosphatase 2A is a serine/threonine protein phosphatase
RNA	=	ribonucleic acid
Sb	=	Scutellaria baicalensis Georgi
TGF- $\beta$	=	Transforming growth factor-beta
T $\beta$ R	=	Transforming growth factor receptor, which consists type I (T $\beta$ RI) and type II (T $\beta$ RII)



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