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Review

Cell-based tissue engineering strategies used in the clinical repair of articular cartilage

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A B S T R A C T

One of the most important issues facing cartilage tissue engineering is the inability to move technologies into the clinic. Despite the multitude of current research in the field, it is known that 90% of new drugs that advance past animal studies fail clinical trials. The objective of this review is to provide readers with an understanding of the scientific details of tissue engineered cartilage products that have demonstrated a certain level of efficacy in humans, so that newer technologies may be developed upon this foundation. Compared to existing treatments, such as microfracture or autologous chondrocyte implantation, a tissue engineered product can potentially provide more consistent clinical results in forming hyaline repair tissue and in filling the entirety of the defect. The various tissue engineering strategies (e.g., cell expansion, scaffold material, media formulations, biomimetic stimuli, etc.) used in forming these products, as collected from published literature, company websites, and relevant patents, are critically discussed. The authors note that many details about these products remain proprietary, not all information is made public, and that advancements to the products are continuously made. Nevertheless, by understanding the design and production processes of these emerging technologies, one can gain tremendous insight into how to best use them and also how to design the next generation of tissue engineered cartilage products.

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1. Introduction

An adequate therapy for the long-term repair of cartilage lesions has yet to be developed. Being largely avascular and with low cellularity, articular cartilage has a limited ability to heal itself. Despite possessing remarkable mechanical properties, the tissue can develop defects following long-term wear or acute trauma. Defects in the highly organized matrix can progressively deteriorate through mechanisms of stress concentration and cell signaling cascades. Ultimately, the tissue loses mechanical integrity, breaks, thins, loses lubrication, and no longer functions in cushioning bone-to-bone contact — imparting great physical pain to the patient.

Focal lesions are the ideal indication for the repair of articular cartilage. The prevalence of focal lesions is difficult to estimate. In 2005, an estimated 27 million people in the U.S. had osteoarthritis [2]. In one study, 60% of all arthroscopies revealed the presence of articular lesions (36% being Outerbridge Grade III and IV lesions) and, of these, 67% were characterized as focal lesions [3]. From a surgical perspective, an estimated 250,000 articular cartilage repair procedures (involving chondroplasty, microfracture, mosaicplasty, and autologous chondrocyte implantation (ACI)) are performed annually in the U.S. [4]. These cartilage repair therapies, however, do not consistently produce hyaline repair tissue, fill the entirety of the defect, and integrate repair tissue with adjacent native tissue.

To overcome these limitations, a number of cell-based, tissue engineered cartilage products have recently entered clinical trials in the U.S. and abroad. In this review, tissue engineered cartilage is defined as a construct formed by following the paradigm of integrating chondrocytes, signals, and scaffolds. The scaffolds can be exogenously provided or endogenously produced by the cells; the latter are usually referred to as scaffold-free or scaffold-less approaches if no exogenous scaffold is provided. Acellular scaffolds, considered an augmented form of microfracture, are not included in this definition. Tissue grafts including osteochondral autografts
and allografts, as well as their particulated forms such as DeNovo® NT from Zimmer, are also not considered tissue engineered cartilage. Finally, using this definition, injection of passaged chondrocytes into a cartilage defect is also not considered tissue engineering. Through systematic design, tissue engineered cartilage can be manipulated in vitro to enhance its biochemical and biomechanical properties. Complete fill and good integration can be achieved by manipulating construct shape, the use of adhesives and other fixation methods, and other strategies. Tissue engineering offers a promising solution for the long-term treatment of cartilage lesions. Despite a plethora of research published on these clinically used tissue engineered products are fabricated, one can gain insight as to how to best use them and how to design the next generation of tissue engineered cartilage.

2. Current cartilage repair therapies

Chondroplasty (76.6%) and microfracture (22.0%) account for the majority of the procedures performed on articular cartilage in the knee [4,5]. However, these cartilage repair options may have several shortcomings [6–11]. Chondroplasty, used only when wear is minor, has acceptable short-term but potentially poor long-term results [6,7]. In microfracture, the defect is cleaned and the bone punctured to induce bleeding, resulting in a fibrocartilaginous repair tissue formed by multipotent marrow cells. Despite favorable short-term outcomes, studies have indicated deteriorating repair tissue quality after 1.5–5 years [8–11]. Failure has been attributed to the inferior biochemical and biomechanical properties of the fibrocartilaginous fill [12] and alterations of the subchondral bone [13]. On the other hand, mosaicplasty with osteochondral aut/allo- grafts has been reported to offer better clinical results than

Table 1

<table>
<thead>
<tr>
<th>Product</th>
<th>No. of</th>
<th>Chondrocytes</th>
<th>Scaffold</th>
<th>Seeding density</th>
<th>Construct size</th>
<th>3D culture duration</th>
<th>Implantation technique</th>
<th>Fixation technique</th>
</tr>
</thead>
<tbody>
<tr>
<td>BioCart™II</td>
<td>2</td>
<td>Autologous, (unspecified passage)</td>
<td>Freeze-dried fibrin/hyaluronan</td>
<td>500,000 cells/cm²</td>
<td>NA</td>
<td>3–4 days</td>
<td>Cut to size using template</td>
<td>Fibrin glue</td>
</tr>
<tr>
<td>Bioseed®–C</td>
<td>2</td>
<td>Autologous, (unspecified passage)</td>
<td>Polyglactin/polydioxanone, fibrin carrier</td>
<td>3–5 million/cm²</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>Transosseous sutures, swn, or fibrin glue</td>
</tr>
<tr>
<td>Cartipatch®</td>
<td>2</td>
<td>Autologous, up to P3</td>
<td>Agarose-alginic hydrogel</td>
<td>10 million cells/ml</td>
<td>NA</td>
<td>1.0, 1.4, or 1.8 cm in diameter, 4 mm thick</td>
<td>Use of drills to create matching defects and constructs</td>
<td>Press fit, fibrin glue optional</td>
</tr>
<tr>
<td>ChondroSphere®</td>
<td>2</td>
<td>Autologous, (unspecified passage)</td>
<td>Scaffold-free</td>
<td>200,000,000 cells/sphere, 10–70 spheroids/cm²</td>
<td>500–800 μm in diameter</td>
<td>2 weeks</td>
<td>Injected to defect bed</td>
<td>Spheroids naturally adhere</td>
</tr>
<tr>
<td>Hylan® Graft® C</td>
<td>2</td>
<td>Autologous, up to P3</td>
<td>Non-woven mesh of hyaluronic acid-based microfibers</td>
<td>1 million cells/cm²</td>
<td>2 × 2 cm (×2)</td>
<td>2 weeks</td>
<td>Use of punches to create matching defects and constructs</td>
<td>Press fit, fibrin glue</td>
</tr>
<tr>
<td>MACI®</td>
<td>2</td>
<td>Autologous, P1–P3</td>
<td>Collagen I/III scaffold from porcine peritoneum</td>
<td>0.5–1 million cells/cm²</td>
<td>4 × 5 cm (×2)</td>
<td>3–4 days</td>
<td>Cut to size using template</td>
<td>Fibrin glue</td>
</tr>
<tr>
<td>NeoCart®</td>
<td>2</td>
<td>Autologous, (unspecified passage)</td>
<td>Honeycomb bovine type I collagen scaffold, cell carrier</td>
<td>NA</td>
<td>NA</td>
<td>3–5 weeks</td>
<td>Cut to size</td>
<td>Collagen/PEG glue</td>
</tr>
<tr>
<td>NOVOCART® 3D</td>
<td>2</td>
<td>Autologous, P1</td>
<td>Bilayer type I collagen sponge containing chondroitin sulfate</td>
<td>0.5–3 million cells/cm²</td>
<td>NA</td>
<td>2 days</td>
<td>Use of punches to create matching defects and constructs</td>
<td>Sutures or pins</td>
</tr>
<tr>
<td>RevaFlex™</td>
<td>1</td>
<td>Allogeneic, juvenile (unspecified passage)</td>
<td>Scaffold-free</td>
<td>NA</td>
<td>2.2–2.5 cm diameter</td>
<td>&gt;40 days</td>
<td>Use of punches to create matching defects and constructs</td>
<td>Fibrin glue</td>
</tr>
<tr>
<td>CaReS®</td>
<td>2</td>
<td>Autologous, primary</td>
<td>Type I collagen hydrogel</td>
<td>NA (possibly 20,000 cells/ml) (2500–3333 cells/cm²)</td>
<td>3.4 cm diameter, 6–8 mm thick</td>
<td>10–13 days</td>
<td>Use of punches to create matching defects and constructs</td>
<td>Fibrin glue</td>
</tr>
<tr>
<td>INSTRUCT</td>
<td>1</td>
<td>Autologous, primary chondrocytes + bone marrow cells</td>
<td>PEOT/PBT 3D printed scaffold</td>
<td>NA (possibly 240,000 cells/cm²)</td>
<td>0.4 cm diameter, 4 mm thick</td>
<td>Immediate NA</td>
<td>NA</td>
<td>NA</td>
</tr>
</tbody>
</table>
microfracture [14,15]. However, issues with failure at the osseous region, lateral integration, and deterioration of graft edges have contributed to graft failure in 15–55% of patients after 10 years [16–18]. Furthermore, mosaicplasty with autografts is limited to treating lesions less than 4 cm² to minimize donor site morbidity. Although fresh allografts can be used to treat large defects, they are also limited in supply.

Autologous chondrocyte implantation (ACI) has been emerging as a superior, long-term treatment option [16,19]. When compared to multipotent marrow cells, passaged articular chondrocytes possess greater innate potential to form hyaline-like cartilage [20]. When compared to mature osteochondral grafts, the immature neo-cartilage fill formed by ACI may have a better ability to integrate and conform to the defect. Despite these advantages, a 10-year follow-up study showed graft failure in up to 25% of ACI-treated patients [21]. Although use of a collagen membrane instead of a periosteal flap drastically reduced complications such as hypertrophy, only 75% of patients reported good to excellent Cincinnati scores with this newer technique at a 2-year follow-up [22] (see Table 3 for common knee evaluation outcome measures). In addition, ACI commonly resulted in a fibrocartilaginous or fibrous fill, with only 15–30% of patients developing hyaline-like repair tissue in some studies [23,24]. These inconsistencies may arise from cell passaging, patient age, and surgical discrepancies. However, the procedure is also inherently inconsistent owing to a lack of control; for example, how many cells are retained, where they adhere, and how they form neocartilage is uncontrolled. Off-label use of injecting the cell suspension to a collagen sponge represents a procedure is also inherently inconsistent owing to a lack of control; for example, how many cells are retained, where they adhere, and how they form neocartilage is uncontrolled. Off-label use of injecting the cell suspension to a collagen sponge represents a limitation of current practices. Although these products are often described as second- or third-generation ACI, they actually represent the current practices. Although these products are often described as second- or third-generation ACI, they actually represent the current practices.

### 3. Tissue engineered articular cartilage products

#### 3.1. BioCart™II (Histogenics, Waltham, MA)

BioCart™II was first developed by Prochon Biotech, Ltd. until the company's acquisition by Histogenics in 2011. The product is a fibrinogen/hyaluronic acid scaffold seeded with expanded autologous chondrocytes. Cells were expanded in the presence of autologous serum and 10 ng/ml fibroblast growth factor 2 variant (FGF2v) [26]. FGF2v has been shown to increase cell proliferation rates and maintain the chondrocytic phenotype during expansion [27]. The scaffold was described to be composed of homologous human fibrinogen (Omrix Biopharmaceuticals, New York, NY).
copolymerized with recombinant hyaluronan (Ferring Pharmaceuticals, Switzerland) with the use of thrombin and freeze-dried to yield a sponge-like, 3D structure [26]. A company patent indicated that hyaluronic acid not only provides bioactivity to the matrix but also imparts viscoelastic properties to the scaffold [28]. Cells were injected into the scaffold with a syringe at 500,000 cells/cm² [26]. The construct was cultured for 3–4 days prior to the implantation date. BioCart™II was ready for implantation 3–4 weeks after the first surgery [26].

During the operation, as described [26], the lesion was debrided and a template of the defect created with aluminum foil. Whether bleeding was avoided was not made clear. The BioCart™II construct was then cut to size using the template, press fit into the defect, and fixed with fibrin glue applied to the border of the defect. BioCart™II was described to contain some self-adhesive properties due to its high fibrinogen content. Rehabilitation involved continuous passive motion (CPM) for 4 weeks up to 30° range of motion. Touch-weight bearing was prescribed from day 2 to week 6 followed by progressively increased loads until full weightbearing; mild exercise was allowed after 6 months.

A Phase I trial (n = 8) demonstrated the feasibility of BioCart™II for treating femoral lesions and osteochondritis dissecans [26]. Defects were 1–8 cm² (mean = 3 cm²) and no deeper than 5 mm (mean = 4.4 mm). IKDC scores improved at 6 and 12 months, while Lysholm scores improved at 6 months. MRI at 12 months showed 75–100% fill in all patients, an intact surface (i.e., no damage and fissures) in 75% (6/8) of patients, and one case of construct delamination at the bone-cartilage interface. Integration was described as stable via MRI analysis. Another clinical study (n = 31, defect size = 3.3 ± 1.9 cm, all femoral condylar defects) showed that BioCart™II treatment increased IKDC and MOCART scores at 12 months [29]. Full results of the Phase II trial have not yet been published and plans for continuation toward Phase III trials have not been made available.

3.2. Bioseed™-C (BioTissue Technologies GmbH, Freiburg, Germany)

Bioseed™-C consists of a polyglactin 910/poly-p-dioxanone fleece scaffold seeded with a solution of fibrin and expanded autologous chondrocytes [30]. During the first surgery, 250 mg healthy cartilage is collected along with 100 mL blood [31]. Cells are expanded in presence of autologous serum for 3 weeks [31] to an unknown passage number. In a preclinical horse study, cells were expanded to P3 or P4 in RPMI, 1% horse serum, 5% FBS, and penicillin/streptomycin [32]. The product comes in a variety of rectangular sizes (3 × 2 × 0.2, 3 × 2 × 0.11, 3 × 3 × 0.11, 5 × 2 × 0.11 cm) and is seeded with 28.8 × 10⁶ cells per unit, which correlates to a seeding density of 2.9–4.8 × 10⁶/cm² [33]. Fibrin glue is used as a cell carrier. The polymeric fleece has an interconnected porosity of about 90%. In the preclinical horse study, constructs were cultured for 3 weeks prior to implantation in Ham’s F-12 medium, 5% horse serum, penicillin/streptomycin, and 50 µg/mL ascorbic acid [32]. Whether this stage is used in the actual product is unclear. On the company website, scaffold degradation is stated to occur within 6 months [34]. The implant is ready 4.5 weeks after the first surgery [33].

For implantation, the defect zone is debrided down to the subchondral bone and the construct cut to size [31]. For deep defects, two constructs may be layered. The construct is either fixed into place using a transosseous suture (Erggelet) technique, a regular suture, or fibrin glue. The procedure can be done arthroscopically or arthroscopically depending on the defect size and location [35]. Rehabilitation involved CPM and loading of 15% bodyweight until for 6 weeks [30]. After week 13, patients progressively increased loads until full weightbearing; mild exercise was allowed after 6 months.

Bioseed™-C has been used to treat more than 3000 patients since 2002 [33]. A Phase II clinical study (n = 79) with 2- and 4-year outcome measures showed significant beneficial effects with Bioseed™-C treatment [30,36]. At 2 years, significant increase in KOOS scores over baseline was observed [36]. Histology of second-look biopsies were said to show good integration and formation of cartilaginous repair tissue. At 4 years, patients (n = 50) showed significant improvements in IKDC, IKDC, KOOS, Lysholm, and Noyes scores compared to baseline values [30]. MRI showed complete filling in 72.7%, moderate filling in 25%, and less than 50% filling in 0.3% of patients. In another clinical study (n = 21), Bioseed™-C was compared to ACI (periosteal flap method) in a randomized trial [37]. No significant differences were found in IKDC, SF-36, Tegner, and MRI scores at 12 and 24 months. However, the ACI method scored better in knee functionality (Lysholm and Gillquist) tests. Complication rates with Bioseed™-C treatment was similar to that of ACI [38]. Bioseed™-C is currently available in Europe.

3.3. Cartipatch® (Tissue Bank of France, Lyon, France)

Cartipatch® is an agarose-alginate hydrogel seeded with expanded autologous chondrocytes. As described [39], chondrocytes were expanded to a maximum of passage 3 (P3) in

<table>
<thead>
<tr>
<th>Outcome measure</th>
<th>Purpose</th>
</tr>
</thead>
<tbody>
<tr>
<td>International Knee Documentation Committee (IKDC) Subjective Knee Evaluation Form</td>
<td>To measure knee symptoms, sports and daily activities, and function</td>
</tr>
<tr>
<td>Knee Injury and Osteoarthritis Outcome Score (KOOS) and KOOS Physical Function Short Form (KOOS-PS)</td>
<td>To measure patients’ opinions about their knee and associated problems</td>
</tr>
<tr>
<td>Cincinnati knee rating system (and modified versions)</td>
<td>To measure pain, stiffness, and physical function; widely used to evaluate knee or hip osteoarthritis</td>
</tr>
<tr>
<td>Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC)</td>
<td>To measure patient’s evaluation of knee function; originally designed to assess ligament injuries</td>
</tr>
<tr>
<td>Lysholm Knee Scoring Scale</td>
<td>To use different MRI variables to describe the constitution of the cartilage repair tissue and the surrounding structures</td>
</tr>
<tr>
<td>Tegner Activity Scale</td>
<td>To measure activity level; developed to complement the Lysholm scale.</td>
</tr>
<tr>
<td>Visual Analog Scale (VAS) for Pain</td>
<td>To measure patient pain on an analog scale from 1 to 100</td>
</tr>
<tr>
<td>Short Form 36 (SF-36)</td>
<td>To measure patient pain on an analog scale from 1 to 100</td>
</tr>
<tr>
<td>International Cartilage Repair System (ICRS) Cartilage Injury Evaluation Bern and O’Driscoll Scores</td>
<td>To measure patients’ opinions about their knee and associated problems</td>
</tr>
<tr>
<td>Magnetic Resonance Observation of Cartilage Repair Tissue (MOCART)</td>
<td>To measure patients’ opinions about their knee and associated problems</td>
</tr>
</tbody>
</table>

Table 3: Common outcome measures in clinical studies involving cartilage repair.
medium consisting of DMEM/Ham’s F-12 (1:1), 10% autologous serum, ascorbic acid (50 mg/L), and gentamicin/Fungizone. Use of antibiotics and Fungizone was discontinued after P1. Cells were then suspended in medical grade, ultrapurified agarose-alginate (GelForCel; Tissue Bank of France, Paris, France) at a minimum concentration of 10 million cells/mL and cast into plugs 10, 14, or 18 mm in diameter. The cell embedding technique is presumably similar to that commonly used with low-melt agarose.

For implantation, the defect was drilled to a depth up to 4 mm using specially-designed drill bits to match the construct size [39]. The size and number of constructs used (up to three) were pre-planned to provide the best coverage of the defect. Implanted Cartipatch® constructs were generally level with the surrounding tissue. Fibrin glue was used in one case to improve construct stability.

A prospective, multi-center study (n = 17) showed positives results with Cartipatch® treatment [39]. Because the operation involved removal of subchondral bone, Cartipatch® was largely used to treat osteochondritis dissecans (76% of patients). The defects ranged from 1.0 to 5.1 cm² (mean = 3 cm²). At 2 years, patient IKDC scores significantly improved over baseline. Second-look arthroscopy revealed the repair cartilage as “normal and nearly normal” in 88% (13/15) of patients, level with surrounding tissue in 77% (10/13) of patients, and smooth in 38% (5/13) of patients. Histological analysis of biopsies showed hyaline cartilage in 62% (8/13) of patients, while others developed fibrocartilage. A Phase III trial (n = 58) comparing Cartipatch® to mosaicplasty was completed on August 2013 with 2-year outcome measures (IKDC, MRI, arthroscopy with biopsy) [40]. Published histological results at 2 years showed that mosaicplasty resulted in superior repair tissue quality [41], prompting the authors to note that 2 years was insufficient for the construct to fully develop into cartilage tissue. A Phase III trial comparing Cartipatch® to microfracture was scheduled for completion for September 2014 but had been terminated [42].

3.4. Chondrosphere®/ACT3D/Athrocell 3D (co.don AG, Teltow, Germany)

Chondrospheres® (also known as ACT3D-CS or ARTHOCHELLE 3D®) are small spheroids of neo-cartilage composed of expanded autologous chondrocytes and their associated matrix. Autologous chondrocytes are expanded in the presence of human serum and in the absence of antibiotics, fungicide, or exogenous growth factors [43]. A company patent indicates the potential use of DMEM/Ham’s F-12 (1:1) and 10% autologous serum for expansion [44]. Passage number is kept to a minimum [45]. For spheroid formation, one study described seeding 200,000 cells per well of hydrogel-coated, 96-well plates [46]. These wells were possibly concavely tapered [44]. After 2 weeks of culture, the spheroids grew to 600–800 µm in diameter and were then used for implantation [47]. During 3D culture, the chondrocytes began a process of redifferentiation and secreted an abundance of cartilage-specific matrix. Penicillin and streptomycin was stated not to be used because it slowed cell expansion, delayed spheroid formation, and decreased the expression of chondrogenic markers [48]. Spheroids could be fused at 1 week to form larger neotissue depending on the patient’s needs [45,46], although this strategy does not seem to be used in recent clinical studies. The spheroid outer layer was stated to contain a zone of proliferating and migratory cells that facilitate integration with other spheroids and adjacent native tissue [47,48]. The Chondrosphere® product is available 5–10 weeks after the first surgery [49].

During the operation, Chondrospheres® were suspended in a saline solution and evenly placed with a syringe into the debrided defect. Generally, subchondral bleeding is avoided or the bleeding is stopped before implantation [49]. Information on a planned Phase III clinical trial recommends a dose of 10–70 spheroids/cm² in the defect [50]. One study involving 37 patients reported the use of 14–170 spheroids/cm² (average = 60 spheroids/cm²) in defects ranging from 1 to 12 cm² (average = 4.4 cm²) [49]. No glue or overlying material was used, as the spheroids naturally adhered to the defect bed within 20 min. Rehabilitation involved knee immobilization for 48 h followed by 6 weeks of CPM and partial weightbearing exercises [49].

Since 2004, Chondrosphere® has been used to treat defects of the knee, ankle, shoulder, and hip [47] and, as of 2015, has treated over 7200 patients in Germany [43]. However, few clinical studies have been published. One publication briefly described a prospective, multi-center study (total n = 42) in treating patellofemoral lesions 1.5–10 cm² in size [47]. At a 2-year follow-up, Chondrosphere® treatment was reported to improve range of motion, pain levels, and Lysholm scores, while IKDC and WOMAC scores remained unchanged. Second-look arthroscopy of nine patients performed at 3 months was reported to reveal filled defects, excellent integration, and a smooth repair tissue surface [47]. In an investigator-initiated trial (n = 37), Chondrosphere® treatment was shown to significantly improve patient evaluation scores (IKDC, VAS for pain, Lysholm, Tegner, and SF-36) over baseline values at 1-year follow-up [49]. Fast filling of the defect was reported, as indicated by MOCART, although baseline values were not reported. A Phase III trial (n = 102) comparing Chondrosphere® to microfracture is estimated to finish September 2017 with 2-year outcome measures (KOOS) and December 2020 with 5-year outcome measures (KOOS, MOCART, arthroscopy with biopsy, Bern, ICRS/IKDC, Lysholm) [50].

3.5. Hyalograft® C (Anika Therapeutics, Bedford, MA)

Hyalograft® C is a hyaluronic acid-based scaffold seeded with expanded autologous chondrocytes. The product was developed as early as 1998 by Fidia Advanced Biopolymers (Abano Terme, Italy), which was then acquired by Anika Therapeutics in 2009. As described [51–53], primary chondrocytes were expanded up to P3 in medium consisting of Ham’s F-12, 10% fetal calf serum (FCS), 1% penicillin/streptomycin, 1% L-glutamine, 1 mg/mL TGF-α1 or TGF-β1, 1 ng/mL insulin, 1 ng/mL EGF, and 10 ng/mL bFGF [51,52]. After chondrocyte expansion, 8 million cells in 0.4 mL medium (now containing 50 mg/mL ascorbic acid) were seeded to two 2 × 2 cm Hyaff®11 scaffolds. The next day, medium was added to submerge the construct. The medium was changed twice a week for 2 weeks before implantation. The Hyaff®11 scaffold has been described as a non-woven, 3D mesh of 20 µm diameter fibers with 10–400 µm pore size and 80% porosity [54]. The fibers were composed of hyaluronic acid with 90–100% of its carboxyl groups substituted with benzyl esters [55]. Upon esterification, these hydrophobic polymeric chains can undergo aggregation, allowing the material to be processed into various forms including extrusion into microfibers. The Hyalograft® C product has been reported to be available for implantation 6 weeks after the first surgery [56].

Several in vitro studies have characterized various aspects of chondrocyte-seeded Hyaff®11 scaffolds. Two days after cell seeding, 92–96% of initial chondrocytes were reported to have adhered to the scaffold [57]. At day 33 post-seeding, cells were evenly distributed over the scaffold surface but appear to only penetrate ~200 µm inwards [57]. As early as day 7 of culture, chondrocytes in the scaffold were able to redifferentiate in the 3D environment, as shown by increasing collagen type II expression. Chondrocytes continuously exhibited proliferation within the scaffold up to day 21 [58]. Such proliferation may be beneficial in contributing to initial cell infiltration and neocartilage growth but raises concerns
for potential overgrowth [53]. Collagen I expression was present in cell-seeded Hyaff® constructs up to 21 days of culture [58]. More recent in vitro studies have shown that use of a perfusion bioreactor can improve cell penetration into Hyaff® scaffolds [59,60]. The bioreactor also substantially increased construct size and matrix content [59].

The operation, as previously described [61], involves the use of diameter-adjustable drills to debride the lesion to a predetermined diameter and thickness of 2 mm, as to avoid subchondral bleeding. The same instrument is used to punch out one or multiple circular 2 mm thick plates. A foil piece was used to template the defect and the MACI product is cut to size according to the template [69]. A thin layer of fibrin glue was used at the base and edges of the defect for fixation [69], although previous studies have used suture fixation [70]. Because the scaffold is thinner than the defect thickness, it resides depressed relative to adjacent cartilage. Rehabilitation was based on a 4-phase program [71] and consisted of 1) restricted CPM and weightbearing (0–6 weeks), 2) gradual progress toward full range of motion and full weightbearing exercises (7–12 weeks), 3) light exercises (3–6 months), and 4) normal activity (6–12 months) [69].

Several studies have shown clinical efficacy of MACI. In a randomized, open-labeled, multi-center Phase III trial (n = 144) [69], where only lesions > 3 cm² were treated, MACI scored high in KOOS pain and function but microfracture at a 2-year follow up. In the MACI group, no cases were identified as treatment failures. Another study (n = 60) showed that several outcome measures (Lysholm, Tegner, and patient and surgeon ICRS scores) improved significantly with MACI than with microfracture at 2 years [72]. In a study comparing MACI to C-ACI (i.e., ACI with a collagen sponge, n = 91), both groups showed improved clinical results but were not different from one another at 1-year follow-up [73]. Interestingly, 4 of 11 (36%) MACI biopsies showed fibrocartilage, as also supported by other studies [74,75], indicating a potential need for improvement. In a 5-year follow-up study (n = 21) with only MACI-treated patients, 19 patients exhibited improved clinical scores and two exhibited graft failure; 76% of grafts had normal filling, as assessed by MRI. Other long-term clinical studies found similar results [76–78]. Although MACI has gained EU market approval, its facilities closed in Europe and the technology was sold to Vericel. Market approval in the U.S. remains to be determined.

3.6. Matrix-induced autologous chondrocyte implantation (MACI; Vericel, Cambridge, MA)

MACI was first developed and marketed in 1999 by Verigen AG (Leverkusen, Germany) until its acquisition by Genzyme in 2005, by Sanofi 2011, and then by Vericel Corporation (Cambridge, MA) in 2014. In a European Medicines Agency (EMA) report, which approved the marketing of MACI in the European Union, the product is described as a 14.5 cm² type I/III collagen membrane (ACI-Maix™; Matricel, Herzogenrath, Germany) seeded with expanded autologous chondrocytes [63]. Chondrocytes were described to be passaged to either P2 or P3 in medium consisting of DMEM, 9% FBS, and 45 μg/mL gentamycin. Cells are then seeded to a 4 × 5 cm ACI-Maix™ scaffold (5.5 cm² is used for product testing) at a density of 0.5–1 million cells/cm². Constructs have been reported to a thickness of 2 mm. The ACI-Maix scaffold is derived from decellularized porcine peritoneal tissue and largely consists of collagen and elastin [63]. The resulting scaffold has a bilayer structure with a porous side to allow cell infiltration and a non-porous side for cell retention, as shown by scanning electron microscopy [65,66]. The porous side is placed facing the defect bed. Detailed characterization of the scaffold structure could not be found. The approximate dried thickness has been reported to be 400 μm [65]. Histology of the pre-implanted MACI product shows a fairly inhomogeneous infiltration of cells into the scaffold ranging from 10 to 200 μm deep [65,66]. Upon receiving the implant, cells were shown to already exhibit characteristics of redifferentiation, such as rounded cell morphologies and increased collagen II, aggrecan, and S-100 expression [65]. The MACI product is available 4–8 weeks after the first surgery.

During the operation, the lesion was debrided without breaching the subchondral bone [69]. A foil piece was used to template the defect and the MACI product is cut to size according to the template [70]. A thin layer of fibrin glue was used at the base and edges of the defect for fixation [69], although previous studies have used suture fixation [70]. Because the scaffold is thinner than the defect thickness, it resides depressed relative to adjacent cartilage. Rehabilitation was based on a 4-phase program [71] and consisted of 1) restricted CPM and weightbearing (0–6 weeks), 2) gradual progress toward full range of motion and full weightbearing exercises (7–12 weeks), 3) light exercises (3–6 months), and 4) normal activity (6–12 months) [69].

Neocart® (Histogenics, Waltham, MA)

Neocart® is comprised of a honeycomb bovine type I collagen scaffold seeded with expanded autologous chondrocytes. The company website describes 2–3 weeks are needed for cell isolation, expansion, and seeding of the 3D scaffold; 1 week culture is needed in a biomimetic bioreactor; and 2–4 weeks are needed for static culture before implantation [79]. The bioreactor involves hydrostatic pressure, perfusion, and hypoxia as biomimetic stimuli [80]. Data presented in a company patent showed that cyclic hydrostatic pressure (0.5 MPa, 0.5 Hz) applied during the first 6 days of culture increased S-GAG production [81]. Culture in hypoxia (2% O₂) also improved GAG production, while perfusion (5 μl/min) was said to increase cell proliferation [81]. To create the construct, the patent mentions first suspending the cells in a thermoreversible collagen gel at a concentration of 5 × 10⁶ cells/mL before seeding it to a freeze-dried, type I collagen sponge [81]. The construct was reported to be available, on average, 67 days after the first surgery [82].

During the operation, the defect is debrided and bleeding avoided [82]. The construct is cut to size and fixed into the defect with a collagen/PEG-based adhesive (CT-3 glue; Histogenics) applied to the underlying and adjacent tissue. The CT-3 glue, stated to be 10× stronger than fibrin glue [83], was found to be the preferred strategy of fixation after two failed surgeries that involved the use of suture fixation [82]. Rehabilitation followed the standard protocol for microfracture: toe-touch weightbearing for 6 weeks, a CPM regimen, and restriction of sports activities for 6 months [82].

A Phase I and Phase II study have shown beneficial results of NeoCart®. In the Phase I trial (n = 8), NeoCart® implantation
significantly improved pain scores and range of motion over baseline values at 24 months [82]. Five patients showed improvement in all categories; six patients showed good fill (>66%); and four patients demonstrated an organized collagen matrix characteristic of native cartilage, as indicated by MRI. In the Phase II trial, NeoCart® (n = 21) significantly improved KOOS, IKDC, and VAS scores over microfracture (n = 9) at 24 months [80]. Advantages of NeoCart® were recorded as early as six months. In addition, a greater percentage of patients treated with NeoCart® (76%) were considered “therapeutic responders” than those treated with microfracture (22%) at 12 months. A randomized Phase III trial (n = 245) comparing NeoCart® to microfracture is estimated to finish July 2017 with 1-year outcome measures (KOOS, IKDC, and MRI) [84].

3.8. NOVOCART® 3D (TETEC, Melsungen, Germany)

NOVOCART® 3D consists of a biphasic type I collagen scaffold seeded with autologous chondrocytes. Isolated chondrocytes are expanded in monolayer in the presence of homologous serum until a 76% cell viability of fetal bovine serum is reached. The medium was lyophilized with a collagen sponge layer, allowing the two layers to be firmly connected. A company patent describes the collagen sponge layer as consisting of type I collagen and chondroitin sulfate with pores 130–200 μm in diameter arranged in columns [87]. In one study, the scaffold is seeded with 1.45 million cells/cm² and cultured for 2 days [67]. The patent indicates the possible use of a solution of collagen VI as a cell-carrier prior to seeding [87]. Histology of the pre-implanted construct shows dense, homogeneous cell distribution in the porous layer and devoid of cells in the dense layer [67]. The product can be manufactured and delivered in 3 weeks [85].

The construct, implanted via miniarthrotomy, was attached to the healthy cartilage using absorbable Vicryl sutures or pins when there was no healthy cartilage adjacent to the implant [88,89]. Rehabilitation followed a standard program [90] involving CPM, toe-touch weightbearing for 4 weeks, and full weightbearing by 8 weeks [91].

Over 6000 patients in Europe have undergone successful treatment with NOVOCART® 3D since 2003 [92]. A prospective clinical study (n = 23) showed that NOVOCART® 3D treatment led to increased IKDC, Tegner, Noyes, and MOCART scores over baseline at 2-year follow-up [91]. Defect filling was described as complete or “slightly incomplete” in most cases. Other clinical studies have also shown increased IKDC, VAS, and MOCART scores over baseline at 1- or 2-year follow-up [86,93,94]. NOVOCART® 3D coupled with a cancellous bone autograft has been used to treat osteochondritis dissecans; after 2- to 5-year follow-up, patients (n = 26) exhibited improved Lysholm, Cincinnati, and MOCART scores [95]. However, some of these studies have reported graft hypertrophy in 20%–25% of patients at 2-years [91,93,94], indicating a need for long-term evaluation. A Phase III trial (n = 233) comparing NOVOCART® 3D to microfracture is estimated to finish in July 2018 with 2-year outcome measures (KOOS pain and function); the estimated study completion date is 2021 [96]. A non-interventional study (n = 80) to evaluate the safety and efficacy of NOVOCART® is estimated to finish in September 2019 with 1-year outcome measures (IKDC, number of adverse events) [97]. Finally, another Phase III trial (n = 261) comparing NOVOCART® 3D plus and microfracture is estimated to finish in June 2019 with 5-year outcome measures (IKDC, KOOS, MOCART, SF-36 scores, and others) [92].

3.9. RevaFlex™/DeNovo® ET (Isto Technologies, St. Louis, MO)

DeNovo® ET has been renamed RevaFlex™ since Isto Technologies obtained full control of its development in 2013. RevaFlex™ is a scaffold-free neocartilage disc composed of allogeneic juvenile chondrocytes and their associated matrix. Juvenile chondrocytes have been shown to have better proliferation and matrix secretion capabilities than adult chondrocytes [98,99]. Although current production methods are unknown, published studies describe a method that involves expansion of chondrocytes and growth of a 3D neocartilage construct in a single step [98,100]. Primary chondrocytes were seeded onto an unknown surface at 500,000–1,000,000 cells/cm² and cultured for 44–63 days to form a 3D neocartilage construct [98]. Whether cell expansion occurs after the initial seeding is unknown. As a culture medium, HL-1 or a proprietary, chemically-defined medium, displaying growth characteristics similar to HL-1, was used. The medium was supplemented with 50 μg/mL ascorbate after 3 days. An earlier study described use of 10% serum that was gradually reduced to 0% (10%) at day 3–5% at day 7 and to less than 2% at day 10 [100]. Initial serum concentrations were said to be necessary for sufficient cell adhesion, while the transition to serum-free medium significantly increased sGAG and collagen type II production. Only 50% of the original growth capacity and dimensions were also described in this study. At day 90 of culture, construct thickness ranged from 0.75 to 1.5 mm. At an unspecified time during the process, the neocartilage discs were transferred to larger diameter culture plates as lateral growth continuously continued — 150 day constructs were 50% wider than 90 day constructs. In another study, the mechanical integrity was qualitatively described as robust enough to be picked up by forceps at day 20, to hold sutures at day 30, and be implanted at day 40 [101]. Because the cell source is allogeneic, only one surgery is required and the construct could theoretically be available at any time.

During the operation, the defect site is first debrided and bleeding avoided or hemostasis achieved with fibrin glue [102]. A foil piece is used to create a template of the defect. The RevaFlex™ construct, 2.2–2.5 cm in diameter, is cut to size using the template as a guide and fixed into the defect with a thin layer of fibrin glue. It is recommended that the construct sit 0.5 mm below the surface relative to the surrounding native tissue. A recommended post-operative regimen consists of 4–6 weeks of protected or non-weight bearing with continuous passive motion and rehabilitative exercises.

Several animal studies have supported the ability of this construct to treat cartilage lesions. In an ovine model study, allogeneic neocartilage constructs (2.5 cm in diameter, 0.2–0.3 mm thick, cultured for 107–130 days) were cut to 0.5 cm diameter discs and implanted into partial thickness defects (0.55 cm diameter and 400–500 μm deep) in the femoral condyle using resorbable sutures as fixation [103]. At 8 and 12 weeks, 70–80% of the animals (n = 13) exhibited graft survival. Cases of failure were attributed to problems in post-operative leg immobilization. Of the survived constructs, histological analysis showed 70–100% cell viability in the neocartilage, partial filling, fair integration, and a layer of fibrous tissue covering the neocartilage construct. In a longer-term caprine model study, human neocartilage constructs were implanted into 6 mm condylar and 4 mm trochlear, full-thickness defects in each animal (n = 8, two defects per animal) using fibrin glue (Tisseel; Baxter, Deerfield, IL) fixation [104]. After 6 weeks immobilization followed by 16 weeks uninhibited movement, only 50% of the constructs survived, which was attributed to the difficulty of maintaining leg immobilization. In that study, the grafts were xenogeneic. Xenogeneic cartilage constructs have been previously shown to elicit a significant immune reaction [105], although such
was not described here. The majority of the eight surviving grafts were described as completely filling the defect, having a smooth surface, and exhibiting partial integration.

In a Phase I/II study, 12 patients with 1–5 cm² femoral or trochlear lesions were treated with RevaFlex® [102]. At 1 year, a second-look arthroscopy revealed the repair as normal or nearly normal in 67% (6/9) and “level” with surrounding tissue in 78% (7/9) of patients. The remaining 22% (2/9) had repair cartilage 75% of the lesion depth. Patient-reported evaluations and histology of biopsies were conducted but not reported. In all studies, immunological and histological analysis showed no adverse immune response, supporting in vitro findings that allogeneic chondrocytes may be immune-privileged [106]. A Phase III trial (n = 225) comparing RevaFlex® to microfracture is estimated to finish July 2019 with 5-year outcome measures (KOOS, IKDC, various questionnaires) [107].

3.10. CaReS® (Artho Kinetics Biotechnology, Krems, Austria)

The Cartilage Regeneration System (CaReS®) implant consists of a type I collagen hydrogel embedded with primary autologous chondrocytes. To form the constructs, isolated primary chondrocytes were suspended in 2× buffer solution, mixed with a rat tail-derived type I collagen solution (6 mg/mL) in 0.1% acetic acid at a 1:1 vol ratio, and gelled at 37°C [108,109]. At physiological pH and temperature, acid-extracted collagen solutions are able to form a hydrogel [110]. The cell density used in CaReS® was unspecified and may be patient-dependent. Preclinical studies have indicated the use of 20,000 cells/mL [108,111]. A cell-free version of this collagen gel is said as CaReS®-15. Constructs formed are 3.4 cm in diameter and 6–8 mm thick [109], although the company website indicates any size can be made. Constructs are approximately twice the thickness of human cartilage, as about 50% of its water content can be lost during the implantation procedure [109]. Other publications by the same authors have used a compressed collagen gel for increased mechanical stability [112,113]; whether this is used in the product is unknown. After gelation, constructs are cultured in vitro for 10–13 days in the presence of autologous serum and are then ready for implantation [109].

Although CaReS® has a relatively low cell density compared to other products, the high cellularity needed for sufficient neotissue formation can be achieved through in vivo cell proliferation and migration. Primary chondrocytes were shown to expand a factor of 33 in CaReS® gels after 6 weeks of in vitro culture [111]. Although in this study evidence of chondrocyte dedifferentiation was observed, the chondrocytes maintained their differentiated state when implanted in vivo. On the other hand, P2 chondrocytes did not exhibit such chondrogenic phenotype in vivo, justifying the use of primary cells in the CaReS® product. Some evidence of cell migration from the host tissue into the collagen gel has also been shown in several in vivo animal models [108,114,115]. However, the origin of these cells and whether they contribute to the formation of hyaline repair tissue remain unclear.

During the operation, the cartilage defect is first debrided to the subchondral bone [109]. The CaReS® constructs were prepared using a punch 1 mm wider than the defect and molded into the defect with blunt forceps. Fixation was achieved by application of fibrin glue to the defect base. Rehabilitation involves immobilization for 48 h, continuous passive motion for 6 weeks, and partial weight bearing up to 12 weeks.

A prospective, multicenter study (n = 116) of patients treated with CaReS® from 2003 to 2008 supports its safety and clinical efficacy [109]. Mean follow-up time was 30 months and mean defect size was 5.4 cm². IKDC, global pain, SF-36, and IKDC functional knee score significantly increased from baseline with treatment. Total adverse events were low and fell below those seen in ACI. Unfortunately, second-look arthroscopy, MRI analysis, or biopsies were not reported, giving little information on repair tissue fill and quality. However, histological analysis of these constructs at 1 year in a minipig model indicates that they promote hyaline cartilage formation [108]. When compared to microfracture, a small retrospective study (n = 20) indicated no differences between the two treatment options [116]. CaReS® has been used in select European countries and is also approved in Iran, Turkey, and China.

3.11. INSTRUCT (CellCoTec, Bilthoven, Netherlands)

INSTRUCT is a poly(ethylene oxide-terephthalate)/poly(butylene terephthalate) (PEOT/PBT) scaffold seeded with primary autologous chondrocytes and bone marrow cells. Although details of the scaffold are unknown, one study described the use of a Bioplotter device (Envisiontec, Germany) to create a porous lattice structure with approximately 170 µm diameter fibers, 200 µm pore size, and 56% porosity [117]. The softer PEOT functions to support the chondrogenic phenotype, while the PBT increases material stiffness [118]. The scaffolds were said to be “mechanically functional” [119], with one study showing “compressive dynamic stiffness” of 10 MPa [117]. Scaffold degradation occurs via hydrolysis of ester groups and oxidation and scaffolds are said to be resorbed within 12 months [119]. Cell seeding is conducted at point-of-care. The patient’s cartilage biopsy and bone marrow aspirate are inserted into a semi-automated machine, termed the INSTRUCT cell processor, that isolates the chondrocytes, mixes them with marrow cells, adds fibronectin, and seeds the cell mixture to the scaffolds [120]. Fibronectin was said to facilitate cell aggregation and enhance matrix formation [117]. The cell seeding density is unknown and may be patient-dependent. A density of 60 million cells/mL (i.e., 3 million cells seeded per 0.4 cm diameter, 4 mm thick scaffolds) has been used in in vitro [117,118]. The cell processor is able to produce a usable implant within 1 h [120]. Details on the surgical procedure could not be found.

Different scaffold compositions and structures have been explored to determine the parameters that best support neo-cartilage formation. PEOT/PBT 2D films with a higher PEOT mass ratio were found to support a rounded chondrocyte morphology, no proliferation, and higher collagen II:collagen I gene expression; films with a higher PBT mass ratio supported a flat cell morphology, cell adhesion, and proliferation [121,122]. Similarly, chondrocytes cultured in 3D scaffolds with the composition 1000PEG-70:30 (PEG molecular weight = 1000 g/mol; 70% PEOT and 30% PBT by mass) secreted more sulfated glycosaminoglycans (GAGs) than those in 300PEG-55:45 scaffolds after 4 weeks of in vitro culture [117]. Different scaffold structures have also been compared. A 3D printed scaffold with a lattice structure supported more neo-cartilage growth than in a salt-leached, porous scaffold, most likely due to the former’s pores being larger and more accessible [123]. When comparing two 3D printed scaffolds of different porosities, the scaffolds with lower porosity (56%) supported more GAG production than scaffolds with higher porosity (74%) (these less porous scaffolds were said to exhibit mechanical properties matching those of native tissue) [117].

Neocartilage formation within these scaffolds has been assessed. SEM images of 1 day-old constructs show chondrocytes largely aggregated within the pores with few cells adhered to the scaffold [117]. After 4 weeks of in vitro culture, neocartilage completely filled the pore space. After 4 weeks subcutaneous implantation in nude mice, the neotissue formed was described to be largely hyaline. However, after 3 months in vivo in a rabbit osteochondral defect, the scaffold (0.4 cm diameter, 4 mm thick) formed
repair tissue described as fibrous tissue, incompletely differentiated mesenchyme, and cartilage [123]. Bleeding in the osteochondral model may have led to fibrous tissue formation. Acellular salt-leached, porous scaffolds in the same osteochondral model was shown to support a top layer of hyaline neocartilage formation, indicating the scaffold's potential chondroinductive properties [124].

Scaffold degradation occurs through hydrolysis and oxidation. In vitro hydrolysis experiments show more rapid degradation of scaffolds with a higher PEOT content [125]. When implanted subcutaneously in mini-pigs for 52 weeks, 300PEG-55:45 scaffolds remained largely intact, while 2000PEG-80:20 scaffolds largely cutaneously in mini-pigs for 52 weeks, 300PEG-55:45 scaffolds dral or mouse subcutaneous model, 300PEG-55:45 and 1000PEG-region was reportedly high. After 3 months in a rabbit osteochondral or mouse subcutaneous model, 300PEG-55:45 and 1000PEG-70:30 scaffolds seemed to exhibit minimal degradation [117,123]. Ideally, long-term analysis of PEOT/PBT degradation, specifically in an ectopic defect, would better demonstrate its degradation profile and biocompatibility. The company website states scaffolds resorb within 12 months [119]. A Phase II trial (n = 40), single group assignment, open-label was completed June 2014 with 2-year outcome measures (KOOS, IKDC, VAS, histopathology, and MRI) [126]. In the study, patients showed improvement in VAS, IKDC, and all KOOS scores over baseline at 1-year follow-up [127]. Biopsies taken at the 1 year showed 72% (21/29) of patients with hyaline cartilage and 97% (28/29) with either fibrocartilage or hyaline cartilage. Full publication of the results or additional clinical trials could not be found.

4. Tissue engineering strategies used in current clinical products

This section of the review compares the different tissue engineering strategies used during each stage of the tissue engineering paradigm. The paradigm consists of 1) identifying a cell source (i.e., primary or passaged articular chondrocytes), 2) forming the construct either using scaffold or scaffold-free approaches, and 3) culturing the construct in vitro, where biomimetic stimuli can be further applied, before implantation (Fig. 1). Construct maturation, implantation, fixation, and rehabilitation are also included in this section. For each topic, advantages and disadvantages of different strategies are discussed, current challenges identified, and future directions suggested.

Any comparisons made should not be construed to advocate one product over another. Because there is probably proprietary information unknown to us, the comparisons below may not reflect fully the final products. The ultimate aim of this section is to provide insights to clinically translatable strategies for tissue engineering articular cartilage.

4.1. Chondrocyte expansion

Most products involve a chondrocyte expansion stage, except for CaReS® and INSTRUCT which use primary chondrocytes. To obtain sufficient cell numbers for therapy, 200–300 mg autologous biopsies from less loaded regions of the knee are first digested to yield primary chondrocytes. As human articular cartilage contains 1000–8000 cells/mg tissue [128,129], a biopsy can yield 0.2–2.4 million cells. Seeding with 0.5–5 million cells/cm² in lesions 1–12 cm² in size would yield 0.5–60 million cells. Assuming that the cell expansion factor after each passage is four, although this is highly dependent on the initial seeding density, then primary chondrocytes need to be passaged one to four times to reach sufficient numbers.

A caveat of chondrocyte expansion is the rapid loss of the chondrogenic phenotype. To mitigate chondrocyte dedifferentiation, one can minimize the passage number (or cell expansion factor) or optimize the expansion medium components to best maintain the chondrogenic phenotype (e.g., serum, antibiotics, and growth factors). Table 4 lists the expansion medium composition used in the reviewed products. How these factors affect the chondrocyte phenotype and ultimately neocartilage formation is described next.

4.1.1. Chondrocyte passage number (or cell expansion factor)

Of the reviewed products, few details on the passage number and no information on the cell expansion factor can be found. Passage number refers to the number of times chondrocytes are serially passaged in monolayer. The cell expansion factor is calculated by dividing the final cell yield by the initial number of primary chondrocytes seeded for expansion. An important note is that cell expansion factor does not correlate with passage number. Cartipatch®, Hyalograft® C, and MACI indicate use up to P3 cells, while NOVOCART® 3D indicates use of only P1 cells. Unfortunately, the other products lack this description. Nevertheless, given the calculations above, use of P1 and P3 chondrocytes can be generally inferred for most products, with infrequent use of P1 and P4 chondrocytes. Whether passage number in these ranges can affect the quality of neocartilage formation is discussed below.

The hallmarks of chondrocyte dedifferentiation include a progression from rounded to fibroblastic cell morphologies, an increase in cell size, and decreased secretion of cartilage-specific matrix [130,131]. Microarray analysis comparing P0 and P2 chondrocytes shows changes in 137 genes [132]. Changes generally follow a downregulation of chondrogenic genes including SPP1, BMP-2, TGF-β1, FGF3, COMP, aggrecan, collagen II, collagen XI, collagen IX, and SOX 9 and an upregulation of fibroblastic or mesenchymal genes including collagen I, collagen X, and collagen III, tenascin, and versican [133–142]. These changes occur rapidly within the first passage [133,135,137,138], with one study showing changes within 4 days [135]. Gene expression changes from P0 to P1 also appear the largest among these studies. From P1 to P4, the incremental changes between each passage are less pronounced, but a decreasing trend in chondrogenic gene expression exists. When comparing P1 and P4 chondrocytes, large differences can be found. However, when comparing P2 and P3 chondrocytes, significant differences are less commonly found. Nevertheless, these results indicate that cells of each passage possess a different gene expression profile. Whether these differences are carried over to protein synthesis and, thus, affect neocartilage formation is discussed below.

Chondrocyte redifferentiation can be induced by prolonged 3D culture (e.g., pellet culture, alginate encapsulation, suspension culture, culture within a scaffold, etc.), where chondrocytes largely cease proliferating [143], change gene expression that is generally conducive to redifferentiation, and secrete cartilage-specific matrix. Upon 3D culture, chondrocytes of different passage numbers in the low range (approximately P1 to P4) have been shown to upregulate chondrogenic genes to similar levels [137,144–147]. Therefore, among the reviewed products, the use of different passage numbers seems to be of little consequence in affecting neocartilage formation. On the other hand, high-passage chondrocytes (P4) have been shown to lose their ability to partially or completely redifferentiate [133,144,146,148,149]. However, defining this critical passage number is difficult because of several confounding factors. For one, P4 cells from one study most likely have undergone a significantly different cell expansion number than P4 cells from another study, making the passage number inadequate in
expressing how many times the cells have divided. Furthermore, differences in the cell source, such as age [98,128] and the use of exogenous stimuli [145,150], during expansion can affect chondrocyte dedifferentiation and redifferentiation. Therefore, comparisons among studies are difficult and one cannot assume use of P1 or P4 chondrocytes will lead to the same clinical outcome. Therefore, it should be critical to report both the passage number, as well as the cell expansion factor. Finally, ideally, authors of clinical studies should acquire the cell expansion factor of each graft from the manufacturer to determine potential correlations with clinical outcome, such as is sometimes done with lesion size and patient age.

4.1.2. Expansion medium compositions

The expansion medium compositions used in the reviewed products remain largely proprietary, though some information can be gleaned from early studies. Known differences among the media used include the use of serum, antibiotics, growth factors, basal medium, and other supplements.

### 4.1.2.1. Serum

Without additional growth factors, serum is necessary to induce chondrocyte proliferation in monolayers [151,152]. MACI and Hyalograft® C use fetal bovine serum (FBS) and NOVOCART® 3D uses allogeneic serum, while the majority use autologous serum – all typically at 10% (v/v). Only RevaFlex™ uses a largely serum-free medium. Despite the majority of products using serum for cell expansion, there is a large body of literature supporting the use of serum-free media. One disadvantage of serum is that its composition is source-dependent and its use may confound product quality. FBS batch-to-batch composition is

### Table 4

<table>
<thead>
<tr>
<th>Product</th>
<th>Base medium</th>
<th>Serum</th>
<th>Antibiotics</th>
<th>Growth factors</th>
<th>Other additives</th>
<th>3D culture</th>
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<td>FBS</td>
<td>None</td>
<td>FGF-2 variant</td>
<td></td>
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<tr>
<td>Bioseed-C Cartipatch®</td>
<td>DMEM(2:1)</td>
<td>FBS</td>
<td>None</td>
<td>FGF-2 variant</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chondrosphere® 3D</td>
<td>DMEM(1:1)</td>
<td>10% autologous serum</td>
<td>Gentamycin fungizone (discontinued after P1)</td>
<td></td>
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<tr>
<td>Hyalograft® C</td>
<td>Ham's F-12 (1:1)</td>
<td>FBS</td>
<td>None</td>
<td>TGF-B1(1 ng/mL), FGF-2 (5 ng/mL), EGF (1 ng/mL)</td>
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</tr>
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<td>10% serum-free</td>
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</table>

### Fig. 1

The classical tissue engineering paradigm used for the fabrication of the reviewed cartilage products. Various factors can be manipulated in each stage, as listed.
potentially more consistent than the serum composition among individual patients. On the other hand, the use of autologous serum can eliminate risk of disease transmission. Secondly, serum may adversely affect the cell’s chondrogenic potential. As articular cartilage is non-vascularized, a serum-free environment may more closely mimic in vivo conditions. Early studies have shown serum to prevent chondrogenesis in limb buds during development [153,154]. Currently, use of serum-free medium is standard for culturing chondrocytes in 3D (e.g., pellets, hydrogels, suspension culture, etc.), as supported by studies showing more hyaline-like matrix production in serum-free 3D cultures [155–157].

Many studies have demonstrated the feasibility of expanding chondrocytes in serum-free medium. Such a medium is supplemented with growth factors (e.g., FGF2, PDGF-BB, TGF-β1, IGF-1, BMP-2, EGF etc.) and other additives (e.g., ITS+ and Ham’s F-12 medium) to promote cell growth and proliferation [158–160]. Matrix-coated culture flasks have also been used to promote cell adhesion and provide chondroinductive cues [161,162]. Whether use of a serum-free expansion medium is clinically beneficial remains unclear. Chondrocyte expansion in serum-free medium promotes chondrogenic gene expression, such as SOX-9, as assessed in the cells immediately after monolayer culture [155,160,163]. When chondrocytes were expanded with or without serum and then cultured in 3D (e.g., pellets, hydrogels, suspension cultures, etc.) in serum-free medium, the latter group expressed enhanced chondrogenic markers [160,162,164] and formed neocartilage with higher compressive properties [165]. However, other studies have shown no difference in the type II collagen [165] and GAG [160] content of the neocartilage formed by chondrocytes expanded with or without serum. The most compelling evidence that serum may be an insignificant factor comes from a clinical study which reported that presence or absence of serum during chondrocyte expansion did not affect the clinical outcome in patients treated with a MACI-like graft [166]. Therefore, although several studies have indicated a beneficial effect of using a serum-free medium for expansion, further studies may need to justify this switch.

4.1.2.2. Antibiotics and antimycotics. Chondrosphere® and NOVO-CART® 3D do not use any antibiotics or antimycotics during production, while most other products have indicated use of these agents. A Cartipatch® study mentioned discontinuing such agents after P1 [39]. In a study related to Chondrosphere®, antibiotics were mentioned to inhibit neocartilage matrix synthesis [48]. However, no other supporting studies could be found. It is known that antibiotics and antimycotics can induce global changes in the proteome [167]. In addition, antibiotics have been shown to inhibit proliferation of musculoskeletal cells in vitro [168]. Furthermore, antibiotics are known to reduce the proliferation and differentiation capacity of embryonic stem cells [169]. Some researchers have argued that the use of antimicrobials can potentially hide latent infections [170]. However, mycoplasma tests are routinely conducted for each cartilage product. Finally, not using antibiotics can simplify safety concerns for allergic patients. Whether these benefits outweigh the risk of contamination remains open to debate.

4.1.2.3. Growth factors during 2D expansion. During expansion, exogenous supplementation of growth factors aims to either increase cell proliferation, especially in serum-free medium, or enhance the chondrogenic phenotype. The former is beneficial in minimizing construct production times, while the latter may allow the formation of more functional neocartilage. Various growth factors and their effects on chondrocytes have been thoroughly reviewed elsewhere [171,172]. Here, select agents are briefly described. Of the reviewed products, FGF-2 variant (10 ng/mL) has been used in BioCart™II, while FGF-2 (10 ng/mL), EGF (1 ng/mL), and TGF-β1 (1 ng/mL) have been used in Hyalograft® C. FGF-2 has been generally used to promote cell proliferation and stem cell renewal [173]. For chondrocyte expansion, FGF-2 has not only been shown to enhance proliferation but also maintain the chondrogenic phenotype [150,174,175]. Specifically, FGF-2-expanded chondrocytes were more differentiated immediately after monolayer expansion and formed neocartilage with higher matrix content. EGF has also been shown to enhance chondrocyte proliferation in monolayer [152,176]. Although EGF is generally known to suppress chondrogenic differentiation and matrix secretion, it may also have anabolic effects [177]. TGF-β1 can also act as a mitogen for chondrocytes [151,152,176,178]. TGF-β1 plays an important role in cartilage development, is extensively used in the chondrodifferentiation of stem cells [179,180], and promotes matrix synthesis in 3D-cultured chondrocytes [148,181]. Use of TGF-β1 may not always be beneficial, as addition of anti-TGFβ antibody during chondrocyte expansion led to enhanced chondrogenesis in subsequent pellet cultures [182]. Other pro-mitogenic or pro-chondrogenic growth factors (e.g., IGF-1, PDGF-BB, TGF-β2, TGF-β3, BMP-2, BMP-7, BMP-14, etc.) may also have beneficial effects during monolayer expansion. Synergism between two or more growth factors may be key in finding the optimal expansion medium. For example, a cocktail of TGF-β1, FGF-2, and PDGF-BB (TFP) has been shown to promote chondrocyte proliferation and the chondrogenic phenotype [128,165,183,184]. TFP-expanded chondrocydes formed neocartilage with higher GAG and collagen content, as well as compressive and tensile properties than those formed by FGF-2-expanded cells [165]. Finding optimal growth factor cocktails for chondrocyte expansion remains a relevant and ongoing field of research. However, the expanded use of growth factors in tissue engineering of clinically relevant products will necessitate overcoming additional regulatory hurdles.

4.1.2.4. Basal medium and other additives. Of the reviewed products, the basal media that have been used include Dulbecco’s Modified Eagle Medium (DMEM), Ham’s F-12, HL-1, and DMEM/ Ham’s F-12 (1:1) mixtures. DMEM is a standard cell culture medium containing amino acids, salts, vitamins, and glucose. Compared to DMEM, Ham’s F-12 contains additional supplements including B vitamins, folic acid and pantoic acid, copper, zinc, hypoxanthine, purines, and thymidine. HL-1 medium is composed of a modified DMEM/Ham’s F-12 base with additional supplements including insulin, transferrin, selenium, testosterone, ethanolamine, various fatty acids, and proprietary stabilizing proteins. These nutrient components play important cellular roles and some are known to specifically affect chondrocyte biology and neocartilage matrix formation, such as copper [185] and putrescine [186,187]. Insulin-transferrin-selenium (ITS) is often added to reduced-serum or serum-free medium to support cell culture. ITS has been shown to mitigate dedifferentiation and lead to the formation of neocartilage with greater matrix content [188,189]. One study showed that insulin, rather than transferrin or selenium, exerted a significant effect on chondrocyte matrix metabolism [190]. Glucose concentration has been shown to significantly affect the chondrogenic potential of expanded chondrocytes, with lower glucose being more beneficial [191]. Unfortunately, glucose or pyruvate concentrations in the expansion medium are rarely specified in literature. No study could be found that directly compared the effects of different basal media on chondrocyte expansion and neocartilage formation: such a study would be informative.

4.2. Construct formation and 3D culture

Integration of passaged articular chondrocytes into a 3D
construct can be achieved through a scaffold-based or scaffold-free approach (Fig. 2). Advantages and disadvantages of each will be outlined. For products using a scaffold-based approach, the different types of scaffolds used and their properties will be described. Because neocartilage properties are largely influenced by the cells, the cell seeding density and cell distribution in these products will also be briefly discussed. Finally, the tissue engineering strategies used during 3D culture will be described.

4.2.1. Scaffold-free constructs

Chondrosphere® and RevaFlex™ are both scaffold-free constructs. The versatility and advantages of a scaffold-free approach have been recently reviewed [192,193]. In general, scaffold-free, engineered cartilage constructs can be fabricated by culturing chondrocytes at high density in wells or molds. Over hours, the cells adhere to one another and, over weeks, secrete an abundance of cartilage-specific matrix, subsequently forming solid neotissues. Initial chondrocyte self-aggregation is mediated by cell-matrix (e.g., α10β1-collagen II [194]) and cell-cell (e.g., cadherins [195]) interactions. Once aggregated, the cells can continue to secrete cartilage-specific matrix over many weeks. Scaffold-free neo-cartilage formed by primary bovine articular chondrocytes steadily increased in GAG and collagen content over 8 weeks [195]. By week 1, these constructs can be gently handled [195] and by week 4, under a specific regimen of chemical stimulation, they can reach biomechanical properties on par with native juvenile cartilage [196].

There are several advantages of a scaffold-free over a scaffold-based approach. For one, matrix formation is not hindered by potentially harmful surface chemistries and degradation products of a scaffold; instead, it may more closely follow mechanisms similar to cartilage development (i.e., via mesenchymal condensation). Secondly, the construct is completely biological, thus potentially having fewer biocompatibility issues. Finally, the high cellularity may help integration.

In the case of Chondrosphere®, the authors noted spheres were designed to be less than 800 μm to avoid the 800–1000 μm diffusion limit seen in tumor spheroid cultures [48]. However, this limit is probably also dependent on cell type, cell density, and tissue permeability, as 1.5–2 mm thick neocartilage discs can be achieved without necrotic centers [100,197].

In the case of Chondrosphere®, the scaffold-free spheroids can adhere to, conform to, and fill the defect over time. The high cellularity and immature matrix of the 2-week-old neocartilage may contribute to these properties. For example, complete filling and integration within the defect has been reported, although the spheroids cover only a fraction of the defect area [47]. In an in vitro explant model, histological observations show spheroid adherence to human cartilage explants within 45 min [48]. After 3 weeks, spheroids flattened on the explant, presumably due to cell migration and matrix secretion. When spheroids were used to fill a defect in a human cartilage explant and then subcutaneously implanted in SCID mice [46], the spheroids were observed to continuously secrete matrix from 4 to 24 weeks, adapt to the shape of the defect,
and integrate with adjacent native tissue. In a pig model study, Chondrospheres® were described to regenerate tubifemoral, full-thickness defect sites with no visible gaps, suggesting good integration [47]. Finally, a second-look arthroscopy performed on nine patients 3 months after Chondosphere® implantation showed good filling of the defect with smooth, hyaline-like tissue and no visible gaps at the defect boundary [47]. These studies not only provide preliminary evidence that Chondrospheres® are able to fill and integrate with the defect site over time, but also that scaffold-free neocartilage can be a responsive tissue that can grow in size, conform to the defect site, and integrate with adjacent native cartilage.

Although also a scaffold-free construct, RevaFlex™ differs significantly from Chondosphere®. RevaFlex™ or similar constructs were grown for months in vitro and appeared relatively stiff [100]. Unlike Chondosphere®, these constructs did not naturally adhere to the defect and required fixation with sutures or glue. Initial fixation seemed a significant issue, as in vivo animal model studies required extensive leg immobilization and graft failure (20–50%) was attributed to incomplete immobilization [103,104]. On the other hand, advantages of implanting a more mature neocartilage construct are that construct growth can be consistently controlled and manipulated to enhance certain properties. In addition, more mature neocartilage grafts have higher initial mechanical properties, allowing for potentially faster rehabilitation. Histologically, these constructs [100] resemble native tissue more closely than many other products, affirming their potential as promising tissue engineered cartilage grafts.

4.2.2. Scaffold-based constructs

In a scaffold-based approach, expanded chondrocytes are seeded into or onto a porous scaffold, cultured in vitro (0–35 days), and then implanted. Depending on the scaffold material, the seeded chondrocytes can either adhere to the scaffold, aggregate within the pores, or exhibit both behaviors. These chondrocytes then form neocartilage in the pores and spaces left over by the degrading or remodeled scaffold. Scaffolds used in cartilage tissue engineering can fall in two general categories: hydrogels (microporous scaffolds) or macroporous scaffolds (Fig. 2). They can be composed of biopolymers, synthetic polymers, or a mix.

4.2.2.1. Decellularized xenogeneic grafts. Both the MACI and NOVOCART® 3D products use decellularized xenogeneic grafts as scaffolds, specifically porcine peritoneum and bovine pericardium, respectively. These scaffolds and others, such as porcine small intestine submucosa (SIS), dermis, and porcine urinary bladder, belong to a class of decellularized tissue grafts that have presumably innate healing properties and have been developed for general regenerative applications [198]. Harvested xenogeneic tissue is treated with solvents, detergents, salts, acids, bases, and enzymes to yield a largely collagenous scaffold with minimal antigens [199]. However, compared to a simple freeze-dried collagen sponge, these scaffolds could possess much greater bioactive properties. The native collagen architecture (i.e., collagen fiber diameter, alignment, surface chemistry, pore size, hierarchical structure, etc.) can, in a more biomimetic fashion, promote cell adhesion, proliferation, and growth [200]. In addition, decellularized porcine peritoneum was shown to retain active cytokines, such as TGF-β1, to further promote tissue regeneration [201,202]. However, the main justification for using porcine peritoneum in MACI is because of its bilayer structure – the porous layer is suitable for cell attachment, while the dense layer is suitable for cell retention. Similarly, the dense bovine pericardium layer of NOVOCART® 3D also functions to retain cells. Whether these decellularized xenogeneic grafts provide any functionality beyond their structural role remains to be shown. Finally, other key advantages of xenogeneic grafts are that they have the potential to be more readily remodeled and to have degradation products less harmful than other biopolymer or synthetic scaffolds.

4.2.2.2. Biopolymers. Biopolymer scaffolds also possess some bioactivity and can be readily degraded into less harmful byproducts. NOVOCART® 3D and NeoCart™ use a freeze-dried type I collagen sponge. Manipulating the freeze-drying conditions and ice crystal formation can provide some control over pore size and shape. Freezing to “warm” temperatures (e.g., −10 °C) provided larger pores, while “annealing” the ice crystals also increased pore size [203]. Constant rate cooling (0.9 °C/min) provided more uniform pores than quick freezing [204]. Anisotropic pores can also be created [205], which may be useful in recapitulating the cellular organization in native cartilage. Biocart™II uses a freeze-dried fibrin/hyaluronan scaffold but information on its pore architecture could not be found. Hyalograft® C also uses a hyaluronan-based scaffold. The bioactive effects of hyaluronic acid on chondrocytes have been largely beneficial. Hyaluronic-coated surfaces promote stem cell chondrogenesis [206] and soluble hyaluronic acid can stimulate the production of cartilage matrix [207,208]. Hyaluronic acid-coated PGA scaffolds induced seeded chondrocytes to secrete more matrix than those on uncoated scaffolds [209]. Degradation of the reviewed biopolymer scaffolds has not been thoroughly characterized, but is expected to occur following enzyme-mediated mechanisms. Hyalograft® C was noted to degrade within 10 months [53]. Other biopolymer scaffolds based on freeze-dried collagen (i.e., chitosan, fibrin, and gelatin have also been used throughout the literature for engineering cartilage, each having its own unique properties and advantages [210,211]. Because of their versatility and biocompatibility, biopolymer scaffolds remain the most promising scaffold type to be used for cartilage tissue engineering.

4.2.2.3. Hydrogels. Cartipatch® is a hydrogel construct composed of alginate–agarose. Use of hydrogels for cartilage engineering has been previously reviewed [212,213]. Upon encapsulation, chondrocytes were able to express redifferentiation markers and secrete cartilage matrix in this 3D environment [214]. Chondrocytes can remain metabolically active within agarose gels for more than 8 months [214]. Compressive properties of chondrocyte-embedded agarose constructs were said to have reached properties on par with native tissues [215]. However, tensile properties were lacking [216]. Although hydrogels provide a 3D environment, chondrocytes are isolated and devoid of cell-cell interactions, which are critical in chondrogenesis [217] and present during neocartilage formation [195]. The secreted matrix is also seemingly disconnected, as the network only forms when the pericellular matrix of individual cells coalesces. Whether this process of neocartilage formation can form a robust, interconnected matrix needs to be further established. Other than agarose or alginate, various other biopolymer hydrogels (e.g., collagen, fibrin, chitosan, and hyaluronic acid) and synthetic hydrogels (e.g., PEG) have been used in cartilage engineering [212,213]. Through creative modification of polymer chain moieties, functional groups (e.g., adhesion molecules, degradation sites, drug molecules) can be added to tailor the gel to have a variety of properties to meet specific needs of the application. Despite the ease of use of hydrogels and a plethora of chemical modifications available, whether quality neocartilage can develop within these microporous confines still needs to be clearly addressed.

4.2.2.4. Synthetic polymers. Both Bioseed®-C and INSTRUCT use synthetic polymer scaffolds made of polyglactin 910/poly-$d$-dioxanone (Ethisorb®) and PEOT/PBT, respectively. Both degrade...
primarily through hydrolysis. Polyglyactin 910, short for PGA/PLLA (90/10), have been extensively used in vivo in various devices. This material has been said to degrade in ~3 weeks in vitro [218]. However, in vivo conditions, Bioseed®-C exhibited complete degradation in about 12 weeks [219]. Chondrocytes have been shown to undergo typical redifferentiation on Ethisorb scaffolds [220]; in a rabbit animal model study, up to 70% of implants showed hyaline-like repair tissue [218]. PEOT/PBT scaffolds also support cell adhesion and chondrocyte redifferentiation, as reported earlier. However, the scaffold resorption time of 12 months, as stated on the company website, is much slower than that of Bioseed®-C. In vitro hydrolysis experiments show more rapid degradation of scaffolds with a higher PEOT content [125]. When implanted subcutaneously in mini-pigs for 52 weeks, 300PEG-55:45 scaffolds remained largely intact, while 2000PEG-80:20 scaffolds largely fragmented to <1 μm particles [122]. After 3 months in a rabbit osteochondral or mouse subcutaneous model, 300PEG-55:45 and 1000PEG-70:30 scaffolds seemed to exhibit minimal degradation [117,123]. Though these scaffolds may release harmful degradation products, no adverse events in clinical studies were attributed to them. Therefore, synthetic polymers remain a viable strategy for providing a mechanically robust and easily customizable scaffold to repair cartilage defects.

4.2.3. Co-culture of cells

In cartilage engineering, co-cultures generally consist of primary chondrocytes mixed with a less differentiated cell type, such as a passed chondrocytes or stem cells. The former are expected to induce the latter toward more complete chondrodifferentiation than through the application of exogenous stimuli alone. The latter provide the high cellularity needed for neotissue formation. Of the reviewed products, INSTRUCT uses a co-culture approach, where primary chondrocytes are mixed with bone marrow cells. Studies have shown co-cultures of primary chondrocytes and mesenchymal stem cells (MSCs) formed pellets with similar or higher matrix content than those formed by only primary chondrocytes [221,222]. Interestingly, contrary to the standard hypothesis, it was shown that MSCs served only to stimulate chondrocyte proliferation, as they themselves underwent eventual apoptosis [223–225]. Co-cultures of primary chondrocytes with passed chondrocytes, embryonic stem cells, or skin stem cells have also shown promising results in generating hyaline neocartilage [226,227]. Whether using these co-culture systems is more clinically beneficial than simply using passed chondrocytes as a cell source remains to be established.

4.2.4. Exogenous stimuli in 3D culture

Only NeoCart® has specifically used any exogenous stimuli, other than growth factors, during 3D culture to further enhance construct properties. These stimuli include hydrostatic pressure, hypoxia, and perfusion.

Hydrostatic pressure is a predominant force in the knee. Hydrostatic pressure has been shown to increase cartilage-specific matrix synthesis in 2D or 3D cultured chondrocytes [197,228–230] and even stem cells [231]. In a NeoCart® patent, data presented showed that cyclic hydrostatic pressure (0.5 MPa, 0.5 Hz) applied during the first 6 days of culture increased S-GAG production [81]. The mechanotransduction pathways, however, are unclear and may involve stretch-activated Ca²⁺ channels [232], TRPV4 [233], or the cell cilia [234]. Studies that elucidate how hydrostatic pressure can increase matrix synthesis will provide critical information toward how to best use this stimulus in commercial applications. A challenge in implementing mechanical stimulation, such as hydrostatic pressure, to tissue engineered constructs is that large-scale and consistent application is technically and logistically difficult. However, because mechanical stimulation is integral in cartilage development, it remains a significant area of research for engineering functional cartilage.

Hypoxia, characterized by an oxygen tension of 2% or less, occurs in the standard environment of articular cartilage. Hypoxia has been shown to increase matrix synthesis in 2D and 3D cultured chondrocytes [235,236] and help induce chondrogenesis in stem cells [237]. In the NeoCart® construct, hypoxia (2% O₂) was shown to increase GAG production [81]. The size difference of micropellets cultured with or without hypoxia is significant [238]. However, not only does hypoxia activate pathways for matrix synthesis, but also the expression of lysyl oxidase, subsequent increases in collagen crosslinks, and, ultimately, biomechanical properties of the neo-cartilage [196]. Hypoxia could be easily applied to any tissue engineered construct to potentially improve its properties.

Direct perfusion, achieved via systems that force medium flow through the scaffold, has been shown to increase matrix content in the NeoCart® constructs (at 5 μL/min) [81], scaffold-based constructs [239,240], and scaffold-free constructs [241]. These benefits can arise either from increased access to nutrients or from the mechanical stimulation of fluid flow. The construction of a complex bioreactor system may limit its common practice in laboratories, although commercial products (e.g., C9®-Cartigen) are available. More studies are needed to establish whether perfusion is a necessary strategy for engineering cartilage.

Many other exogenous stimuli can be applied to neocartilage constructs in 3D culture to enhance their biochemical and biomechanical properties. Mechanical stimulation such as fluid-induced shear [242], compression [243], tension, ultrasound [244], and osmotic pressure [245] have induced increases in matrix synthesis by chondrocytes. Recently, chemicals and enzymes that directly induce biophysical modifications to the engineered tissue have also been introduced, such as chondroitinase ABC [246] and lysyl oxidase-like protein [196], to augment existing bioactive stimuli such as cytochalasin D [247], kartogenin [248], and growth factors [249]. These molecules that act through a biophysical mechanism can have broad spectrum effects, i.e., they can beneficially modify matrices of not only articular cartilage, but also other collagenous tissues. Their potential for commercial use has yet to be explored. A discussion of current and novel stimuli can be found in many available reviews on cartilage engineering.

4.2.5. Construct maturation

After implantation, the engineered cartilage constructs will continue to mature, ideally, to a state of full biomechanical functionality. Maturation of the implanted neocartilage will involve a multitude of natural processes, including matrix secretion, matrix remodeling, and development of collagen cross-links.

The maturation process of a tissue engineered neocartilage construct in vivo is difficult to assess. When chondrocytes are first seeded to the scaffold, the cells are either adhered to each other (e.g., in non-adherent scaffolds such as NOVOCArt® 3D or in scaffold-free constructs), adhered to the scaffold (e.g., MACI and Hyalograft© C), encapsulated within a hydrogel (e.g., Cartipatch® and CarEs® or if a cell carrier is used), or follow a mixture of each. Chondrocyte adherence to a relatively large surface within a scaffold could result in proliferation until inhibited by contacts with other cells or signals. Then chondrocytes begin secreting cartilage-specific matrix (collagens, GAGs, and other molecules). Chondrocytes of each product, under varying 3D environments, will form tissue that follows different maturation processes.

Maturation of scaffold-free cartilage has been characterized extensively [195]. The first week after cell seeding is characterized primarily by collagen VI production and intense N-cadherin staining, reflective of cartilage development after cell condensation.
GAG production increases significantly after day 14, while collagen production, after an initial surge after day 1, increases significantly after day 28. After several weeks, histology reveals a neocartilage morphology largely representing that of native tissue (e.g., cells residing in lacunae and organized in zones). Another maturation process of neocartilage formation is the production of collagen cross-links, which contributes to the increase in tissue mechanical properties over time [196]. These in vitro descriptions of maturation may not reflect those in vivo. Animal studies should be performed to establish correlations between in vitro and in vivo construct properties. Imaging or other non-invasive modalities need to be improved to assess long-term in vivo maturation of the implanted construct in humans. Unfortunately, studies characterizing in detail the maturation process of neocartilage in the reviewed products have yet to be published.

Details on the fate of the implanted chondrocytes have not been presented in the reviewed clinical trials. This is largely because few follow-ups include tissue biopsy, particularly since doing so results in a defect. While this is an inherent limitation in clinical trials of cartilage repair products, no cell tracking studies in animal models could be found either. It is generally assumed that chondrocytes do not migrate out of the implant since cartilage matrix is dense and native chondrocytes are largely non-migratory. However, these assumptions may not hold true for manipulated chondrocytes within engineered matrices. Studies should be performed to verify cell fate for both scientific and regulatory purposes.

As with cell fate, the absence of biopsies during follow-up render difficult the biomechanical evaluation of the reviewed products’ neocartilage after implantation. This is of concern since many of these products begin as soft materials unable to bear physiological levels of load. Increasingly, biomechanical assays are regularly employed in cartilage tissue engineering studies [250–252]. Inasmuch as the biomechanical milieu of implanted neocartilage is exceedingly strenuous, such tests should be standard when assessing construct properties in pre-clinical animal studies. Furthermore, these mechanical tests should be standardized to allow researchers to better correlate implant characteristics with clinical functionality.

4.3. Implantation, fixation, and rehabilitation

4.3.1. Construct shape and size

Fitting the construct into the defect is critical for its stabilization and integration. The construct can be cut to match the defect shape, typically using a piece of foil as a template, such as in BioCart™ II, BioSeed®-C, MACI, NeoCart®, RevaFlex™, and CaReS®. Alternatively, the defect can be shaped to fit the construct. Special drills and punches have been developed to create defect shapes matching that of the construct, such as in Cartipatch®, Hyalograf® C, and NOVOCART® 3D. Because most products are soft, pliable implants, they can be shaped more easily to match the size and curvature of the defect. The need to create a shape-specific construct can be obviated by using multiple, small constructs to create a larger construct in situ. This strategy is used by Chondrosphere® and others [253,254]. Stiffer constructs, such as Cartipatch®, RevaFlex™, and INSTRUCT are less able to conform to the defect shape and may require the proper shape to be engineered into the implant. Equally important may be matching the thickness of the implant to adjacent native cartilage. However, such a need has not been fully confirmed, as it has been shown that implants depressed relative to native cartilage still attain good repair fill [102]. Unfortunately, the construct thickness of many other products are not known. This quantitative information is needed to better understand the design criteria for construct thickness.

At present, there is no bridge therapy between focal defects and unicompartamental prosthetics. Should constructs be fabricated that are large enough to cover multiple defects or to even replace entire joint compartments, a high degree of shape-specificity will be required. 3D printing of custom-shaped constructs or molds is a promising approach toward creating anatomical shapes unique to each individual [255–260].

4.3.2. Fixation

Implants must remain stable in the defect to restore function. Sutures offer the strongest fixation strategy and are commonly used in the ACI procedure and implantation of BioSeed®-C and NOVOCART® 3D. However, proud sutures can rub against the opposing articular surface resulting in fissures and cell loss reminiscent of early osteoarthritis [261]. In response, transosseous sutures (Erggelet technique) are recommended for BioSeed®-C, though this technique is more complex. Fibrin glue, popular because of its ease of use and suitability for arthroscopy, is used for BioCart™III, MACI, RevaFlex™, and CaReS®. Since chondrocytes proliferate and migrate within fibrin gels [262,263], integration is not hindered. It is worth noting that fixation methods are often designed concomitantly with products. For example, Chondrosphere®, Cartipatch®, and Hyalograf® C do not require either sutures or glues since they are press-fit to the defect site. Another example is NeoCart®, which uses a proprietary CT-3 glue composed of collagen and PEG.

With the progression toward larger and stiffer implants, new fixation methods will be required, as these constructs can be more prone to delamination. Although delamination can also be due to a lack of post-surgery leg immobilization, as was thought to be the case for RevaFlex™ implants [104], semi-rigid and flat implants may not easily stay on the curved defect surface. Delamination was not an issue in subsequent clinical studies, perhaps due to patient compliance, but better methods for affixing stiff implants to the defect would certainly be more forgiving during rehabilitation. A promising fixation method is to create an osteochondral construct that can be press-fit into the defect. The bone phase would serve as a strong anchor for the cartilage phase. Cartipatch® (4 mm thick), CaReS® (6–8 mm thick), and INSTRUCT (4 mm thick), are current examples, though, interestingly, these products are all single phase materials. The most obvious future step would be to develop a biphasic graft that promotes bone or neocartilage formation in the correct regions [264]. Fabrication of a large and stiff neocartilage construct would most likely use an osteochondral approach [258].

4.3.3. Rehabilitation

In the majority of clinical trials related to the reviewed products, rehabilitation followed approximately the standard for microfracture [71] and ACI. Four phases of rehabilitation were described [69] after the initial 24–48 h of immobilization. From 0 to 6 weeks, patients underwent restricted range of motion and weight-bearing exercises (e.g., toe-touch weight-bearing) for the purpose of protecting the new repair tissue and restoring joint homeostasis. In some studies, CPM was often employed to 30° flexion for the first 4 weeks. When patients could achieve 120° flexion and good quadriceps contraction, they began the second phase (weeks 6–12) to restore full range of motion and improve muscle strength. When patients could walk 1–2 miles or ride a bike for 30 min, they proceeded to the third phase (week 12–26) to increase strength and endurance and to reintroduce activities. When patients could reach 80–90% strength with no pain or swelling, they moved to the final phase (weeks 26–52), in which they could participate in full unrestricted activity. High impact sports were not recommended within 12–18 months of surgery.

Ideally, the rehabilitation program would match gradual
increases in weight-bearing activities to increases in repair tissue biomechanical properties in such a way to 1) optimally promote healing and 2) return the patient to normal activities in the minimum time. Thus, the rehabilitation program used for microfracture or ACI may not be optimally suitable for tissue engineered cartilage, as all three result in repair tissue with temporally distinctive biomechanical properties. For example, as MACI may represent a more mature graft than ACI, it was shown that an accelerated rehabilitation program benefited MACI-treated patients more than a traditional program [265]. In finding an optimal program, one of the most significant but poorly understood question is to what extent in vivo biomechanical stimuli can improve healing. This is important because weight-bearing exercises could be performed to induce compressive strains known to stimulate matrix synthesis [266]. Repetitive passive flexion, which is known to induce intermittent intraarticular pressure [267], could be used to induce hydrostatic pressures known to induce matrix synthesis by chondrocytes [197,228–230]. Therefore, more beneficial rehabilitation programs, tailored to each product, can be established for tissue engineered cartilage.

5. Perspectives

The recent wave of cell-based articular cartilage products in clinical trials in the U.S. and abroad indicates a growing recognition that current repair techniques can be improved by using a tissue engineering approach. Through a detailed account of how these products are fabricated, one can gain insight to the key strategies, current challenges, and future directions in five areas: 1) defining and optimizing the chondrocyte cell source, 2) understanding tissue-scaffold interaction and scaffold degradation, 3) identifying and applying novel stimuli, 4) understanding construct maturation, biomechanics, and functionality, and 5) improving implantation, fixation, and rehabilitation methods. These perspectives, along with a brief description of commercial challenges, are summarized below and will hopefully provide a broad roadmap to move the field forward.

In the chondrocyte expansion stage, cells only need to be passaged 1 to 4 times to reach sufficient numbers given the seeding density of current products. Because P1–P4 cells each have different gene expression profiles, whether passage number in these ranges can affect clinical efficacy should be more thoroughly investigated. To mitigate chondrocyte dedifferentiation, passage number can be minimized or the expansion medium formulation can be improved. The latter remains an important research objective. The use of serum-free medium and growth factor cocktails can, as supported by literature, improve the chondrogenic phenotype during expansion and lead to superior neocartilage formation. However, serum-free medium is not widely employed in the reviewed products. Finally, published studies should specify in detail the culture medium composition and the cell expansion factor, not necessarily passage number, to gain clearer insight how these factors can affect the chondrocyte phenotype and subsequent neocartilage formation.

In the construct formation stage, either scaffold-based or scaffold-free approaches have been employed; both systems have their own advantages and disadvantages. When using a scaffold, pore size and interconnectivity need to be sufficient to allow for even cell distribution, as evidence indicates uneven cellular distribution in some products. Chondrocyte aggregation within the pores rather than adhesion to the scaffold may be more beneficial in forming neocartilage. The scaffold degradation and remodeling rates need to be sufficiently investigated. This is especially important for synthetic polymeric scaffolds, as in vivo degradation may not mirror that observed in vitro. Hydrogel scaffolds may pose challenges as cell-cell contacts are hindered. In a scaffold-free approach, large cell numbers are required to form neocartilage constructs; these immature neotissues have a higher cell density than native cartilage and, therefore, may require long-term evaluation for potential complications such as hypertrophy. However, as an advantage, the formation of scaffold-free neocartilage may more closely mimic the cartilage development process, as it develops into tissue that has the most resemblance to native cartilage.

In the construct 3D culture stage, various stimuli other than hypoxia, perfusion, and hydrostatic pressure can be applied to enhance the construct biochemical and biomechanical properties. The application of chemical stimuli (e.g., growth factors, catabolic enzymes, collagen cross-linking promoting agents, and other chemical factors) has been shown to enhance the properties of engineered cartilage constructs. Other forms of mechanical stimuli (e.g., compression, tension, fluid-induced shear, etc.) can also have similar beneficial effects. Application of these stimuli will require longer 3D culture durations (1 to several weeks); long culture durations also have the advantage of ensuring consistent neocartilage growth. Most products, however, have a short culture duration to minimize wait times between surgeries. As only a couple of the reviewed products apply exogenous stimuli during this stage, much potential exists in improving current clinical products through the above strategies.

During the construct implantation stage, fitting the construct into the defect is critical for its stability and integration. Constructs can be cut to shape to match the defect or vice versa. Alternatively, multiple smaller constructs can be used to fill a larger defect. Constructs of softer material, which represent the majority of the reviewed products, can more easily conform to the shape of the defect, whereas stiffer or large constructs require stringent shape-specificity. To meet such shape-specificity, 3D printing may prove essential in creating anatomical patient-specific constructs. Shaping the constructs has not been a significant issue with the current products. However, these challenges will be more significant in the future when fabricating stiffer and larger constructs.

Construct fixation typically involves fibrin glue, while a few products utilize sutures. Of the current products, graft delamination rates appear to be relatively rare, indicating current fixation methods may so far be adequate for the current products. However, future efforts to fabricate stiff or large constructs will face more challenging fixation issues. This can potentially be addressed through the use of osteochondral constructs or innovative chondroinductive tissue glues.

The ideal rehabilitation program should match weight-bearing activities to the repair tissue biomechanical properties. Currently, products follow a rehabilitation program largely used for microfracture or ACI, which may not be optimal for tissue engineered constructs, as their temporal biomechanical properties differ. A program tailored for each product is ideal, although this will require time and a large patient pool for studies.

The non-scientific hurdles in commercializing a cell-based engineered cartilage product are substantial. From comparing studies presented in this review, it becomes evident that it typically takes over 10 years to transition from the bench to Phase III trials, which themselves can take over 5 years. Other than time-associated costs, pre-clinical trials using large animals (e.g., goat, sheep, or horse) are required to obtain an Investigational New Drug (IND) to start clinical trials. Undoubtedly, clinical trials are also quite expensive, as Phase I/II clinical trials typically involve 10–40 patients, while Phase III trials involve more than 200 patients. Furthermore, FDA approval is not necessarily followed by reimbursement from insurance companies, which may require separate standards than the FDA. Other insights to the commercialization of tissue engineered cartilage products are described elsewhere.
Process control and release criteria are important in the commercialization process. However, there is limited knowledge of mRNA expression. In the report, it was requested that a new potency test be established to better assess the ability to form functional cartilage, indicating some difficulty in identifying a suitable potency assay. For constructs that will be implanted immediately after being seeded with cells, mRNA quantification may be the suitable assay. For constructs that will undergo prolonged 3D in vitro culture, such tests may include measuring biomechanical properties and matrix content (e.g., collagen and GAG content).

The field of cartilage tissue engineering continues to be dynamic and expanding as novel stimuli, innovative fabrication strategies, and improved surgical methods that best allow hyaline repair tissue formation are discovered. The strategies used in current, tissue engineered cartilage products provide a valuable foundation for the research and development of the next generation of improved products.

**Disclaimer**

Kyriacos A. Athanasiou is on the scientific advisory board for Histogenics Corporation (2011-present). He was on the scientific advisory board for Prochon Biotech Ltd. (2009–2011). He has served as a consultant for multiple companies including DePuy and Arthrex. In 1993, he was a co-founder of Osteobiologics Inc., which was acquired by Smith and Nephew in 2006.

**Conflict of interest**

The authors confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

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