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Concerted epithelial and stromal changes during progression of Barrett's Esophagus to invasive adenocarcinoma exposed by multi-scale, multi-omics analysis

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# 1 **Concerted epithelial and stromal changes during progression** 2 **of Barrett's Esophagus to invasive adenocarcinoma exposed** 3 **by multi-scale, multi-omics analysis**

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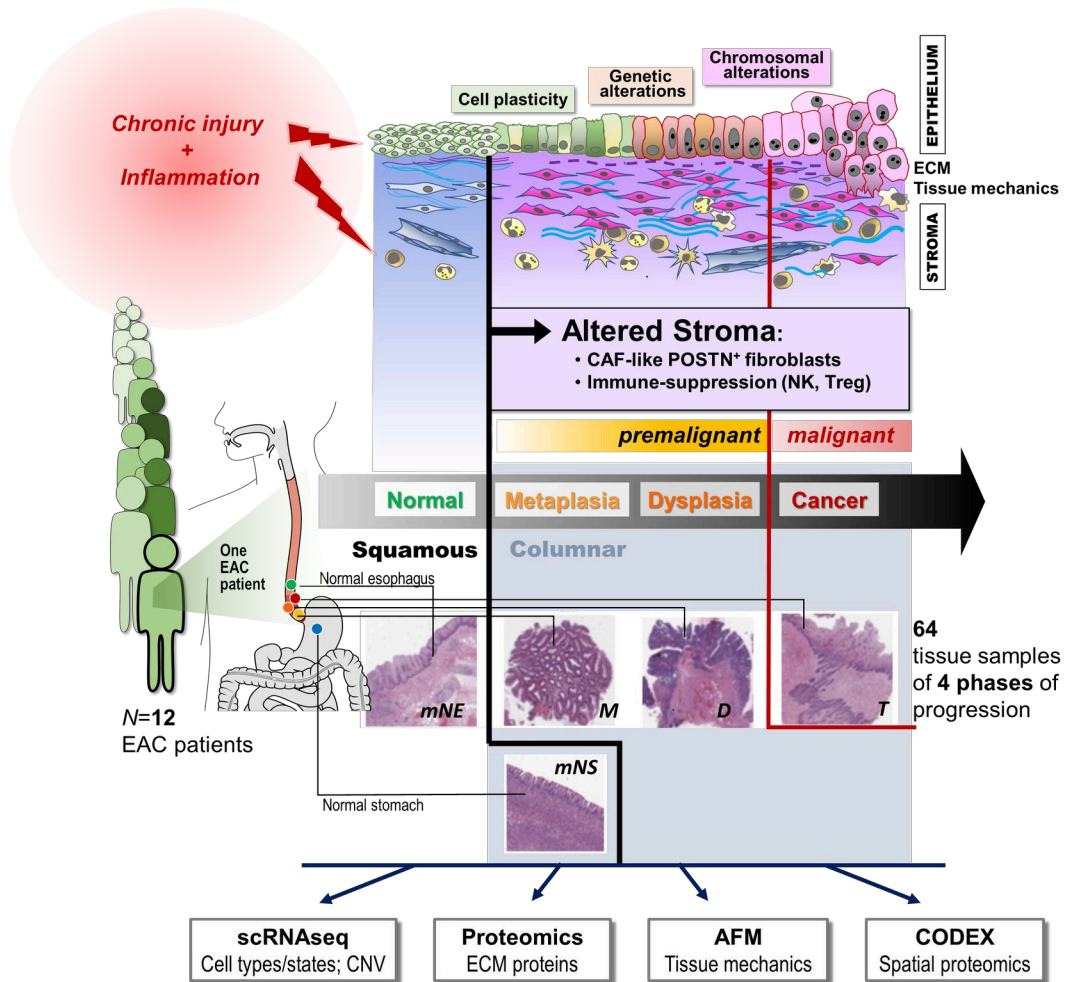
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34

35 **Abstract (120 WORDS)**

36 Esophageal adenocarcinoma arises from Barrett’s esophagus, a precancerous metaplastic replacement  
 37 of squamous by columnar epithelium in response to chronic inflammation. Multi-omics profiling,  
 38 integrating single-cell transcriptomics, extracellular matrix proteomics, tissue-mechanics and spatial  
 39 proteomics of 64 samples from 12 patients’ paths of progression from squamous epithelium through  
 40 metaplasia, dysplasia to adenocarcinoma, revealed shared and patient-specific progression  
 41 characteristics. The classic metaplastic replacement of epithelial cells was paralleled by metaplastic  
 42 changes in stromal cells, ECM and tissue stiffness. Strikingly, this change in tissue state at metaplasia  
 43 was already accompanied by appearance of fibroblasts with characteristics of carcinoma-associated  
 44 fibroblasts and of an NK cell-associated immunosuppressive microenvironment. Thus, Barrett’s  
 45 esophagus progresses as a coordinated multi-component system, supporting treatment paradigms that  
 46 go beyond targeting cancerous cells to incorporating stromal reprogramming.

47  
 48



## 49 INTRODUCTION

50 Esophageal cancer is the seventh most common cancer worldwide<sup>1</sup> and can be divided into two major  
51 subtypes that constitute biologically distinct diseases: esophageal squamous cell carcinoma (ESCC),  
52 observed predominantly in the upper esophagus, and esophageal adenocarcinoma (EAC), typically  
53 located in the lower esophagus<sup>2</sup>. These subtypes differ in etiology, epidemiology and genetic  
54 characteristics. ESSC is the dominant type in much of Asia<sup>3</sup> while EAC is the prevalent type in Western  
55 countries, with a rapidly increasing incidence of currently 5-10 cases/100,000/year<sup>4,5</sup>.

56 Histologically, EAC frequently displays a glandular tissue structure and genomic alterations that are  
57 indistinguishable from those of the chromosomally unstable variant of proximal gastric cancer<sup>6</sup> and very  
58 distinct from the original squamous tissue structure typical of the esophagus. Most EACs typically  
59 develop from metaplasia, an adaptive change to chronic injury caused by gastro-esophageal reflux  
60 (GERD) of the lower mucosa of the esophagus known as Barrett's esophagus (BE)<sup>7</sup>. In this metaplastic  
61 adaptive response driven by chronic inflammation, native squamous epithelium of the normal  
62 esophagus is replaced by a columnar epithelium with gastric and/or intestinal characteristics and mucin  
63 secretion. The prevalence of BE in the general population in Western countries is estimated to be 2%  
64 and is typically only diagnosed incidentally in patients with symptomatic GERD who undergo endoscopy.  
65 Patients with BE have a 40-fold increased lifetime risk of developing EAC. However, only a small minority  
66 of BE cases (<1% per patient/year) progress to invasive carcinoma<sup>8</sup>.

67  
68 Recent genome-wide analyses have focused on the identification of molecular changes occurring in the  
69 esophagus epithelium during the emergence of BE to address the long-standing question of the cellular  
70 origin of the metaplastic cells<sup>7</sup> and characterize early genomic, extrachromosomal<sup>9-13</sup> and  
71 transcriptomic alterations<sup>14,15</sup>. However, increasing evidence suggest that stromal alterations due to  
72 chronic injury play a central role in development of metaplasia and progression to cancer<sup>16-18</sup>.  
73 Therefore, it becomes paramount to investigate disease processes in the context of the whole tissue  
74 rather than focusing only on one of its components, i.e., the epithelium. To this end, we performed a  
75 systematic multi-omics analysis to characterize, in depth, the concomitant changes in epithelial,  
76 immune, and stromal cell landscape, as well as changes in composition of the extracellular matrix and  
77 tissue mechanics, during progression to cancer.

78  
79 Chronic inflammation due to recurrent tissue injury can perturb tissue homeostasis and thereby  
80 contribute to tumorigenesis<sup>19</sup>. Failure to restore the original tissue structure perpetuates an aberrant  
81 regenerative response in which the parenchymal cells do not return to a normal, stably-differentiated  
82 state. The tissue may enter a metaplastic state that constitutes an adaptive response. In the case of BE-  
83 associated EAC, the esophageal mucosa is exposed to prolonged bile salts and acid reflux, dietary  
84 irritants, alcohol, and smoking and the squamous epithelia of the lower esophagus is replaced by  
85 columnar gastro-intestinal epithelia. For poorly understood reasons, metaplasia, inflammation and  
86 stromal reorganization also place the tissues at a higher risk for malignancy. We have examined how this  
87 perturbed homeostasis may drive tumorigenesis. The multifocal nature of BE-associated EAC, repeated  
88 endoscopic surveillance and surgical resection in the absence of (neo)adjuvant therapy affords an  
89 unparalleled opportunity to obtain a unique cohort of patient-matched tissue samples corresponding to

90 all clinical histological/diagnostic stages (hereafter, considered the biological ‘*phase*’ of progression) that  
91 can be captured at a single time point in a given patient.

92

93 Our simultaneous multi-omics analysis of parenchyma and stroma at the various phases of progression  
94 of BE to EAC revealed a coordinated program of non-genetic plasticity that included the epithelium, the  
95 extracellular matrix (ECM) and stroma. This change in multi-component tissue identity at the  
96 metaplastic phase was accompanied by acquisition of an NK-associated immunosuppressive tissue state  
97 and the appearance of fibroblasts with characteristics previously associated with malignant stroma. The  
98 joint consideration of single-cell resolution and tissue level molecular profiles enabled us to link known  
99 and novel molecular markers of progression to their cellular origins and to shifts in cell-type  
100 composition. Together, this multi-scale integration offers a starting point for designing multi-pronged  
101 stromal reprogramming as a new therapeutic modality for reverting premalignancy or preventing  
102 malignant progression.

## 103 RESULTS

### 104 Patient Recruitment and Sample Characteristics

105 A unique cohort of patients with BE-associated esophageal EAC was prospectively recruited to  
106 participate in this study. A total of 64 fresh tissue samples from 12 newly diagnosed, treatment-naive  
107 patients with confirmed EAC were collected for multi-omics analysis (Figs. 1A & S1). The samples  
108 encompassed inflamed squamous esophagus (>5cm proximal to apical columnar-lined mucosa),  
109 suspected Barrett’s metaplasia (columnar-lined mucosa), suspected dysplasia (based on high resolution  
110 white light and narrow band imaging on endoscopy), and malignant tumor. In the majority of cases, we  
111 also collected columnar stomach (gastric cardia) tissue. Samples were collected at the time of  
112 endoscopy (5/12) or surgical resection (7/12). Histological confirmation of suspected tissue  
113 diagnosis/stage (phase of progression, see below) was provided by independent analysis by two expert  
114 pathologists and the samples were labeled accordingly (Methods). Patient information, sample  
115 description, and histological diagnosis are provided in Table S1.

116

### 117 Global survey of cell types in progression from inflamed esophagus to EAC

118 Transcriptomic profiling of 64 samples collected from 12 patients with EAC by single-cell RNA  
119 sequencing (scRNA-seq) captured the transcriptomes of 175,586 individual cells (Methods). This dataset  
120 consisted of patient-matched samples encompassing, in various combinations, the multiple phases of BE  
121 progression defined by the clinical-histological stage/diagnosis: matched “Normal” Esophagus (*mNE*)  
122 (obtained from the inflamed squamous esophageal tissue adjacent to the lesion), Barrett’s Metaplasia  
123 (*M*), Dysplasia (*D*), Tumor (*T*), and matched “Normal” Stomach (*mNS*) (obtained from the columnar  
124 gastric tissue adjacent to the lesion). We also collected samples diagnosed as mixed histology, i.e., with  
125 more than one diagnosis as frequently observed in this multifocal disease (Fig. S1B). For example,  
126 specimen *M/D* for patient E17 contained both metaplasia and dysplasia.

127

128 After preprocessing, dimension reduction and clustering (Methods), cells clustered mainly by cell type,  
129 but some patient/sample-specific variations remained despite our strictly standardized procedure for  
130 processing live specimens (Fig. S2A). The Harmony algorithm<sup>20</sup> was therefore applied to remove such

131 batch effects (Fig. S2B). The unsupervised cluster analysis of cells produced 40 clusters across the two  
132 clinical labels, diagnostic stage (progression phase) and patient. Correlation with reference cell type  
133 transcriptomes from the Human Cell Landscape (HCL) database<sup>21</sup> (Methods) provided “coarse-grained”  
134 cell type labels for the majority of cells. Hence, the 40 clusters could be assigned to 16 readily  
135 identifiable cell types. The largest group of cells comprised gastro-intestinal (GI) epithelial cells (78K  
136 cells), followed by endothelial cells (17.7K), CD8 T cells (17.1K), CD4 T cells (14.3K), and fibroblasts (9.5K)  
137 (Fig. 1B-C, Table S2). In the description of this study, marker genes are designated as positively  
138 expressed unless explicitly annotated as negative.

139  
140 The coarse-grained cell type clusters were then split into “fine-grained” categories representing 37 cell  
141 subtype labels (Fig. 1D). For example, the gastro-intestinal (GI) epithelial cells marked by MUC5AC  
142 expression were further divided into “functional types” (subtypes) that included goblet cells, chief cells,  
143 and foveolar cells. Gastric mucus-producing cells were identified by strong expression of MUC6 (75% of  
144 cells). Chief cells were identified by expression of PGC and LIPF, goblet cells through expression of  
145 MUC2, TFF3 and SPINK4 and foveolar cells with MUC1, MUC5AC and TFF1<sup>22</sup>. For metaplasia, cells  
146 expressing MUC2 and CDX2 without MUC5AC were termed ‘intestinal metaplasia’<sup>23</sup>. The batch-  
147 corrected cluster analysis thus correctly prioritized cell subtype over patient and progression phase as  
148 the discriminatory cluster feature. Therefore, epithelial cells from nominal dysplasia and tumor samples  
149 (*D*, *T*) were intermixed with normal and metaplastic cells in each of the cell type clusters; but the *D*, *T*  
150 cells had on average the highest fraction of markers for cell division, consistent with the diagnostic cell  
151 labels (Fig. S2 C-D). The lists of gene markers for each cell (sub)type based on statistical differential  
152 expression analysis between the clusters are available in Table S3.

#### 153 154 **Transcriptome clusters during progression are predominantly determined by the epithelium**

155 While single-cell transcriptomics allowed for resolving cell type heterogeneity, in order to map cell  
156 populations in their entirety to the transcriptome space and derive differential gene expression between  
157 progression phases, we computationally created “pseudo-bulk” transcriptomes<sup>24</sup> selectively for the  
158 coarse-grained cell types of each sample (Methods). Principal Component Analyses (PCA) of pseudo-  
159 bulks transcriptomes that included all cell types (mimicking classical bulk RNAseq) failed to show  
160 diagnostic separation (Fig. 2A, left panel). However, PCA using only epithelial cell pseudo-bulk  
161 transcriptomes were able to group the various nominal histological diagnoses. Indeed, *mNE* samples,  
162 primarily inflamed squamous esophageal epithelium, were clearly separated from *M*, *D* and *T* along PC2,  
163 with the exception of sample E24A (Fig. 2A, middle left panel). By contrast, and as expected, the *mNS*  
164 samples were close to the cluster of *M*, *D* and *T* samples, and, in particular, overlapped with the *M*  
165 samples in PC2 dimension, consistent with the current paradigm on Barrett's origin arising from  
166 proximal migration of gastric glandular mucosa<sup>7</sup>, but they were still separated in PC3 (Fig. 2A, middle left  
167 panel). Overall, the *M* and *D* samples clustered with the *T* samples (Fig. 2A).

168  
169 The linear discrimination by PCA lessened, but still remained, when stromal (fibroblast/myofibroblasts/  
170 endothelial) pseudo-bulk transcriptomes were used instead of epithelial cells (Fig. 2A, middle right  
171 panel). Importantly, there was an intermixing between fibroblast cells from *mNS* with those from *M*, *D*,  
172 and *T* samples, suggesting that fibroblast phenotype reflects an early shift from a squamous

173 (esophageal) to a columnar cell context. Immune cell bulk transcriptomes did not discriminate the  
174 progression phases as clearly as stromal cells (Fig. 2A, right panel).

175

### 176 **Global Differential gene expression analysis reveal an immune suppressive stroma and novel** 177 **dysplastic markers**

178 To identify differentially expressed genes (DEGs) between the phases of progression, we used DESeq2<sup>25</sup>  
179 and pseudo-bulk transcriptomes of the coarse-grained cell types (Table S2) (Methods). The largest group  
180 of cells, the GI epithelium, revealed the largest number of differentially expressed genes (6874 genes)  
181 between tissue diagnoses, followed by endothelial cells (1612 genes), fibroblasts (624 genes) and CD8 T  
182 cells (833 genes) (Figs. 2B & S3, Table S2).

183

184 In pathway enrichment analysis<sup>26,27</sup>, DEGs of fibroblasts, B, Natural Killer (NK) and monocyte-derived  
185 cells displayed significant associations for particular pathways (Table S4). For example, fibroblast DEGs  
186 were strongly enriched for the functional annotation "TGF-beta regulation of extracellular matrix"  
187 (BioPlanet 2019, adj. p-value 6e-17) (Fig. 2C), supporting acquisition of a carcinoma-associated fibroblast  
188 (CAF) phenotype. Notably, genes characteristic of this pathway were under-expressed in both *mNE* and  
189 *mNS* samples compared to *M*, *D* and *T* samples (Table S4). Supporting pathway analysis, DEG in  
190 fibroblasts (increased SMOC1, FBN2, PDE4D and PDE10A in *M* samples; increased GDF15, ANGPTL2, C2  
191 in *D* samples; and increased MAP3K5, TMEM158, COCH, TNFSF15, F2RL2, DKK3, BHLHE40, DCBLD1,  
192 ITGAV, IL11, WNT2, and FLNA in *T* samples) jointly point to a TGF-beta driven immunosuppressive and  
193 fibrotic program.

194

195 Although the goal of this survey was not to weigh in on evidence for the various hypotheses on the cell  
196 of origin of BE<sup>28,29</sup> our scRNAseq analysis confirmed the presence in our *M* phase samples of previously  
197 noted "mixed" phenotype (multi-lineage) epithelial cells in conjunction with this question (Fig. S3B-D),  
198 including transitional (squamous/columnar) basal progenitor cells (KRT5+/KRT7+)<sup>7,30</sup> as well as the  
199 gastric/intestinal mixed-phenotype cells<sup>15</sup>.

200

### 201 **Cell type composition changes during progression to EAC reflect a shift in tissue identity from** 202 **esophagus to stomach**

203 DEGs between phases can result either from changes in expression of genes in individual cells or from a  
204 shift in relative abundance of cell number (cell type composition change). Single cell-resolution  
205 transcriptomes permit comparison of samples at the level of the relative abundance of cell types instead  
206 of molecular profiles. To evaluate the discriminatory power of this higher-level feature, the similarities  
207 of the fine-grained cell type proportions were used to clusters the samples (Fig. S4A). Unsupervised  
208 hierarchical clustering grouped the histological phases of samples across patients. Similar to PCA  
209 analysis of epithelial pseudobulk transcriptomes (Fig. 2A, middle left panel), all *mNE* samples clustered  
210 together, separated from the majority of *mNS* samples (dendrogram in Fig. S4A); in between are clusters  
211 of the *mNS*, *M*, *D* and many *T* samples (right portion of dendrogram in Fig. S4A). Importantly, the ratio of  
212 epithelium and stromal cell abundance was a major determinant of the large clusters (simplified heat  
213 map underneath the dendrogram in Suppl. Fig. S4A). This was further supported by the observation that  
214 variation in gene expression was primarily driven by changes in composition of the various epithelial cell

215 types rather than gene expression (cell phenotype) change, since the principal coordinates in Fig. 2A  
216 correlated with cell type proportions across samples (Fig. S4B).

217

218 Analysis of specific cell type proportions at each disease phase provided additional insights (Fig. S4C).  
219 Thus, *mNS* and *M* samples tended to contain fewer immune cells. Conversely, the *T* samples exhibited a  
220 significantly higher proportion of macrophages (1.9-fold) and T cells (1.5-fold) compared to *mNE* (Fig. S4  
221 C-D). Interestingly, this feature further set *T* apart from *M*, a difference not exposed by bulk gene  
222 expression profiling of immune cells (Fig. 2A). Thus, cell type abundance, used as a feature for clustering,  
223 could extract gene  $\rightarrow T$ . As expected, we observed a decrease of tissue-specific transcripts with  
224 increasing malignancy, in line with ric patterns despite sample-specific variability.

225

### 226 **Changes in the individual cell types and their subtypes during progression**

227 We first examined the epithelial cells and changes of the transcriptional states with progression (*mNE*,  
228 *mNS*)  $\rightarrow M \rightarrow D$  either differentiation arrest or dedifferentiation in neoplasia which are now considered  
229 hallmarks of malignancy<sup>31</sup>. The loss of differentiated cells was specifically manifest in the reduction of  
230 expression of differentiation markers, e.g., MUC5AC, FCGBP, CLCA1, MUC6 and KRT20 at the transition  
231 from *M* to *D/T* (Suppl. Fig. S4E). Thus, gastric and intestinal differentiation expression programs "faded"  
232 in the development from *M* to *D* and *T* as previously observed<sup>7</sup>.

233

234 Among tumor microenvironment cells, the relative proportions of the 6 coarse-grained cell type  
235 categories and corresponding transcript profiles changed during progression (Fig. 3). Within each of  
236 these 6 groups (fibroblasts, myofibroblasts, endothelial cells, T/NK cells, myeloid cells and B/plasma  
237 cells), we also analyzed the finer-grained cell subtypes (e.g., venous, arterial, capillary and lymphatic  
238 endothelial cells) by sub-clustering these groups individually into subgroups and quantifying changes of  
239 their abundance across disease progression phases (Methods).

240

241 First, within the fibroblasts (marked by DCN and PDGFRA expression), significant changes in cell type  
242 proportions were observed (Fig. 3A). Further sub-clustering fibroblasts revealed a subpopulation  
243 differentially expressing APDDC1, NTM and COL6A1 that was highly abundant in *mNE* but almost absent  
244 in other tissue or progression phases (cyan cluster 3, Fig. 3A). Thus, an esophagus-specific fibroblast  
245 population was already lost along with the loss of squamous epithelium at the *M* stage.  
246 Correspondingly, the replacement of squamous epithelium by columnar epithelium in BE was  
247 accompanied by replacement of APDDC1, NTM and COL6A1-expressing esophagus-specific fibroblasts by  
248 PXDN, F3, POSTN-expressing fibroblast subpopulations (clusters 2, 4 and 8, Fig. 3A). These fibroblast  
249 subpopulations were absent in *mNE* but appeared in *M*, *D* and *T* tissues. Intriguingly, these fibroblasts  
250 that were associated with progression beyond *mNE* were transcriptionally almost identical to those  
251 found in matched normal stomach (*mNS*) samples. These fibroblasts would be considered to be CAFs  
252 (absent in *mNE*, present in *T*). Yet they were not "abnormal" *per se* but rather represented a cellular  
253 reprogramming to a state very similar to that utilized by the non-neoplastic nearby gastric tissues (*mNS*).  
254 We also confirmed a previously reported subpopulation of PI16-expressing "pan-tissue" fibroblasts  
255 (cluster 0, Fig. 3A)<sup>32</sup> that appeared to be stable across all specimens and progression phases.

256



257 Next, we examined the myofibroblast compartment (expressing ACTA2, PDGFA and NOTCH3). We found  
258 subpopulations resembling vascular smooth muscle cells (clusters 1, 3 and 4, Fig. 3B)<sup>33</sup>, pericyte-like  
259 cells characterized by COL1A1, COL4A1, RGS5 and CD36 expression (clusters 0, 5 and 6, Fig. 3B) and a  
260 cell subpopulation expressing pro-inflammatory cytokines, such as CCL2, CCL19, CCL21 and CXCL12  
261 (cluster 7, Fig. 3B). Proportions of these subpopulations remained stable between *mNE*, *mNS*, *M* and *D*  
262 samples. The number of pericyte-like cells increased substantially in *T* samples (3.5-fold compared to  
263 *mNE*), a trend also observed in gastric cancers<sup>34</sup>. This stromal subtype however was not tumor-  
264 specific, as it was also found in all other stages albeit in relatively small amounts. While  
265 neovascularization in tumor tissues produces abnormal vasculature devoid of pericytes, signaling from  
266 these mural cells to endothelial cells is thought to be critical for promoting tumor angiogenesis<sup>35,36</sup> and,  
267 after injury, pericytes have been shown to detach from endothelial cells and transition to pro-  
268 tumorigenic, inflammatory myofibroblast-like cells<sup>37</sup>.

269  
270 Within the endothelial compartment, we identified four prominent subpopulations, corresponding to  
271 arterial (compared to other endothelial subclusters, differentially expressing HEY1 and SEMA3G), venous  
272 (ACKR1), capillary (VWA1, PLVAP) and lymphatic (CCL21) endothelial cells<sup>38-40</sup> (Fig. 3C). Whereas all  
273 subpopulations were present at each progression phase, we observed a significantly higher proportion  
274 of capillary endothelial cells (EC) in *T* and *mNS* compared to *mNE*, again revealing a change in tissue  
275 identity during EAC progression that shifts the entire tissue, as a unit, towards the phenotype of  
276 matched gastric tissue (*mNS*) (Fig. 3C). Furthermore, the frequency of a particular subpopulation of  
277 venous endothelial cells (VEC, cluster 2, Fig. 3C) and lymphatic endothelial cells were also lower in *T* and  
278 in adjacent *mNS*. VEC are the cellular source for capillary endothelium during angiogenesis<sup>41</sup>, and their  
279 reduction along with the increased number of capillary EC may reflect depletion of VEC due to ongoing  
280 angiogenesis in the tumor. Similarly, the low number of lymphatic endothelial cells in *T* is in line with the  
281 general absence of lymphatics within tumors (although tumor-marginal lymphatics are critical for  
282 lymphatic metastasis)<sup>42,43</sup>. However, since these vasculature remodeling features seen in *T* were also  
283 found in the non-cancerous *mNS*, we cannot rule out that this may reflect an intrinsic property of gastric  
284 mucosa that distinguishes it from the squamous epithelium of matched normal esophagus that would  
285 be co-opted during EAC progression.

286  
287 Marked changes of cell type composition in the immune compartment of the tumor microenvironment  
288 were revealed by single-cell transcriptomics of the various phases of progression although immune-cell  
289 specific bulk transcriptomes were not a discriminatory feature (Fig. 2A, right panel). Among T cells and  
290 NK cells, we identified cytotoxic T cells (CD8A), T-helper cells (CD4), T-regulatory cells (FOXP3) and  
291 different subtypes of NK cells (GNLY) (Fig. 3D). Most of these subpopulations were stable across the  
292 progression phases with the exception that *mNS* tissues had reduced levels of T-regulatory cells (cluster  
293 3, Fig. 3D) and displayed slightly higher numbers of cytotoxic T cells compared to the squamous *mNE*.  
294 Interestingly, *M* samples exhibited a sizable increase in NK cells, notably of the NCAM1(CD56<sup>high</sup>)  
295 immunosuppressive subtype<sup>44,45</sup> (cluster 5, Fig. 3D; FCGR3A, FCGR3B, NCAM1/CD56, NCR1) and a  
296 decrease in T-helper cells (cluster 1, Fig. 3D), which jointly indicated acquisition of an  
297 immunosuppressive environment in metaplasia.

298

299 In the myeloid compartment, scRNAseq readily identified macrophages (MSR1, TREM2), monocytes  
300 (VCAN, S100A8), dendritic cells (PPA1, RUNX3) and neutrophils (FCGR3B, CSF3R) (Fig. 3E). Neutrophils  
301 were abundant in both *mNE* and *mNS* samples (Fig. 3E), but their frequency was significantly lower in *D*  
302 and *T* samples. Furthermore, as mentioned, there was a significant increase (3.2-fold) in macrophages in  
303 *T* samples (Fig. 3E). The frequencies of monocytes and dendritic cells were constant across disease  
304 progression phases.

305

306 Both B (MS4A1) and Plasma (JCHAIN, IGHA1) cells were present at all disease progression phases (Fig.  
307 3F). Notably, *mNE* samples showed abundant B cells but contained few plasma cells. With the onset of  
308 metaplasia, the fraction of B cells decreased significantly, while the fraction of plasma cells increased  
309 substantially, a finding that has been previously associated with outcome of EAC<sup>46</sup>.

310

### 311 **scRNA-seq analysis reveals large CNVs in the dysplastic phase and patient-unique clonal history of** 312 **malignant cells**

313 Genomic instability, manifested as copy number variants (CNVs), is a hallmark of EAC. Appearance of  
314 CNVs in BE has been considered an early biomarker for progression<sup>10,47</sup>. To both identify aneuploid cells  
315 and estimate clonality, scRNA-seq reads were used to estimate CNVs in each cell<sup>48</sup>.

316

317 For all scRNAseq samples, we inferred CNVs in epithelial cells using inferCNV (Methods) with matched  
318 normal samples (*mNE/mNS*) as reference. As expected, tumor samples contained a substantial number  
319 of epithelial cells with CNVs (Fig. 4A-B) not found in stromal cells (Fig. 4B). Dysplastic samples contained  
320 cells with CNV profiles similar to cells from the corresponding tumor, suggesting a clonal relationship  
321 between dysplasia and tumor (Fig. S5A-B). Most tumors contained one major copy number profile,  
322 indicating that the cells were clonally related. No large-scale CNVs were seen in metaplastic samples.  
323 Only two samples diagnosed as metaplasia exhibited small amounts CNV. However, these exceptions  
324 were probably due to contamination with dysplastic and tumor cells (data not shown). Of note, any  
325 small-scale CNVs in metaplasia could be undetectable given the genomic resolution limit (>10MBp) of  
326 the methodology.

327

328 To confirm scRNAseq-inferred CNVs, we performed single-cell whole exome DNA sequencing on  
329 selected samples. Comparison of CNV profiles from E21 tumor, either inferred from scRNAseq (Fig. 4C)  
330 or obtained from the matched scDNAseq sample (Fig. 4D), revealed good agreement. Both methods  
331 detected the same major clones: the first clone was characterized by chr1.q and Chr8 copy number gain,  
332 and by chr5 deletion (red CNV cluster, Fig. 4C-D); the second clone showed copy number gains of chr7  
333 and chr8.q and deletions of chr4 and chr8.p (green CNV cluster, Fig. 2C-D). scDNAseq did not identify a  
334 third clone (blue CNV cluster in Fig. 2C), possibly due to the limited number of cells used for scDNAseq.

335

336 The copy number profiles of each patient's tumor were unique and manifested as distinct transcriptional  
337 clusters of epithelial cells (color-coded clusters in Fig. 4E) which dominated the interpatient  
338 heterogeneity observed in the tumor epithelial cells. In summary, our observations showed that in our  
339 small cohort, large-scale copy number variation arose at the dysplastic phase, consistent with previous  
340 findings<sup>10,49,50</sup>.

341

342

343 **Changes in extracellular matrix (ECM) composition during progression mirror concerted epithelial and**  
344 **stromal cell changes**

345 The extracellular matrix (ECM) is central to the molecular and mechanical interaction between epithelial  
346 and stromal cells. We used mass spectrometry to examine ECM composition in a subset of the 12  
347 patients for whom we had single-cell transcriptomic data (Fig. 1A, see Methods), focusing on  
348 comparison between *mNE* and *T*: 6 tumor samples (*T*) and 4 patient-matched normal esophagus  
349 samples (*mNE*) (Fig. S1A). In the ECM-enriched material purified from these samples, 1994 protein  
350 groups with at least two unique peptides were quantified by mass spectrometry, roughly half of which  
351 were categorized as “extracellular” proteins. Among them 78 were core matrisome proteins and 73  
352 were matrisome-associated proteins (Fig. 5A). Principal component analysis of the samples based on the  
353 proteomic profiles showed separation between *T* and *mNE* samples (Fig. 5B).

354

355 Comparing pooled *T* versus *mNE* samples, we found 98 proteins with significantly altered abundances in  
356 the ECM-enriched extract ( $q$ -value  $< 0.001$  and  $|\text{fold change}| > 1.5$ ). 55 of them showed decreased and  
357 43 increased expression in *T* compared to *mNE* (Fig. 5C). The 10 proteins with the most dramatic  
358 changes (9 “down-regulated” and 1 “up-regulated”), as well as a 22-protein matrisomal core protein  
359 signature, are presented in Fig. S6. The decrease in ECM protein expression during progression from  
360 *mNE* to *T* was predominantly represented by some specific collagens, in line with the reduction in  
361 collagen-producing fibroblasts in *M*, *D* and *T* compared to *mNE* observed in the scRNAseq dataset (Fig.  
362 3A, fibroblast cluster 3)<sup>51</sup>. As expected, expression of keratins associated with the squamous *mNE*, also  
363 decreased during progression to *T*. Conversely, *tumor associated* ECM had increased levels of  
364 proteoglycans PRG2 and PRG3 as well as fibrinogens FGA, FGB and FGG (Table S6).

365

366 **Integration of scRNAseq and proteomics reveal cellular sources of ECM protein changes**

367 We next examined whether scRNAseq findings were consistent with those of ECM proteomic analysis  
368 for the 10 patient samples analyzed by both methods (Fig. 5D). scRNAseq could provide information  
369 about the cellular source(s) of the 98 ECM-associated proteins (Fig. 5E) with significantly altered  
370 abundance in *T* vs *mNE*. As Fig. 5D shows, relative ECM protein abundances (fold-change in *T* vs. *mNE*)  
371 for this set of proteins (Fig. 5C) correlated well with the fold changes of transcripts computed as pseudo-  
372 bulk expression from the equivalent patient samples (Spearman  $R=0.73$ ).

373

374 Specifically, scRNAseq linked changes in protein abundances at the tissue level to shifts in specific cell  
375 types/subtypes that produced them. For instance, mRNA expression of collagens 6A1, 6A2 and 6A3 was  
376 reduced in *T* samples, a phenotype that was consistent with the loss of fibroblast subtypes that strongly  
377 expressed transcripts encoding these same ECM molecules during progression (cluster 3, Fig. 3A). The  
378 marked reduction in collagen 14A1 in the ECM proteomic analysis could also be explained by the  
379 observed shift in fibroblast subtype fractions, as transcripts for COL14A1, while expressed in *mNE*  
380 fibroblasts, were no longer expressed in matched normal stomach (*mNS*) fibroblasts, the fibroblast  
381 subtype that became predominant at the *M* phase and subsequent *D* and *T* phase (Fibroblast clusters 2,  
382 4 and 8, Fig. 3A).

383

384 Notable departures from the correlation between ECM proteomics and scRNAseq included fibrillin  
385 (FBN1) whose protein abundance increased but whose transcript levels decreased instead. This  
386 discordance might be explained by its predominant expression in adipose tissue, a tissue compartment  
387 represented in the proteomic analysis but totally absent in single-cell transcriptomics because  
388 adipocytes are lost during the cell dissociation process<sup>52</sup>. Similarly, the relatively high abundance of the  
389 two proteoglycans, PRG2 and PRG3, in tumor ECM was not concordant with transcript analysis which  
390 showed no expression in *T* and *mNE*. This finding is consistent with the predominant production of these  
391 proteoglycans in liver and bone marrow (and in female reproductive organs) and suggest that these  
392 proteins may have been deposited via circulation in the esophagus tumor stroma<sup>53</sup>. Similarly,  
393 fibrinogens FGA and FGB, which are solely produced in the liver, were also unexpectedly elevated in the  
394 tumor ECM proteome of several patients but not in the corresponding tumor transcriptome<sup>54</sup>, pointing  
395 again to the synthesis of fibrinogen in the liver and subsequent deposition at the tumor site. Strikingly,  
396 concordance between fibrinogen proteome and transcriptome was observed for one patient (E14). This  
397 concordance between fibrinogen protein and local transcripts could be explained by the rare but  
398 previously reported occurrence of hepatoid differentiation (documented by identification of an alfa-  
399 fetoprotein (AFP)-expressing cluster) of the EAC of this patient.

400  
401 Examining cellular sources confirmed that one major protein absent in the tumor ECM-enriched extract,  
402 KRT13 (Fig. 5C, Suppl. Table S6), a keratin filament protein characteristic of stratified squamous  
403 epithelium, resulted from the replacement of the esophagus squamous epithelium by a gastro-intestinal  
404 columnar epithelium (Fig. 5E). Another protein of interest was periostin (POSTN), an ECM protein that  
405 was moderately but significantly increased in the tumor ECM (Fig. 5C, Table S6). Cell-type specific  
406 transcript analysis (Fig. 3A) had shown that it was a prominent marker of a subtype of fibroblasts  
407 associated with gastric tissue, and characteristic of progression from *mNE* to *M*, *D*, and *T* (fibroblast  
408 clusters 2, 4 and 8, Fig. 3A). Tumor transcript analysis in the pseudo-bulk data (Fig. 5D) failed to detect  
409 an increase in transcripts of POSTN in this comparison, although it has been previously implicated in  
410 BE<sup>15,55</sup>. However, single cell-resolution analysis showed that, while POSTN transcripts were elevated by  
411 almost two-fold in tumor-associated fibroblasts, in this same sample set, the tumor capillary endothelial  
412 cells (Fig. 3C), showed instead a down-regulation of POSTN by more than two-fold (Fig. S7A-B). Thus, a  
413 shift of transcript levels for a given gene in opposite directions in distinct cell types/subpopulations  
414 might conceal differential expression in bulk RNAseq (Fig. S7A-B).

415  
416 Finally, among the most significantly increased non-ECM proteins in the ECM-enriched preparation of *T*  
417 compared to *mNE* samples (Table S6), were Ig-related subunit chains IGHA2 and IGKC (Fig. 5C). This  
418 increase could readily be attributed to the surge of plasma cells in *T* compared to *mNE*, consistent with  
419 the cell-type composition changes noted above (Fig. 3E)<sup>46,56,57</sup>.

420  
421 Taken together, scRNAseq and cell type-level resolution analysis not only allowed us to trace back the  
422 cellular origin of changes observed in bulk analysis methods, such as proteomics, but also allowed us to  
423 expose opposing expression changes in distinct cell type compartments that might have masked a cell-  
424 type specific differential expression.

425

426 **Changes in mechanical stiffness during progression confirm concerted epithelial and stromal**  
427 **alterations**

428 Since ECM changes have been linked to altered mechanical tissue stiffness, which in turn can affect  
429 tumor-promoting signaling<sup>58</sup>, we used atomic force microscopy (AFM) to assess the stiffness of  
430 esophageal tissues during disease progression by comparing AFM characteristics of samples across  
431 disease progression phases for epithelial and stromal stiffness (Fig. S8A-B). Stiffness measurements of  
432 epithelium were found to be in a range similar to those previously published (0.6-3.0 kPa)<sup>58-60</sup> (Fig. 5F).  
433 Strikingly, stiffness of the *mNE* (squamous) epithelium was ~20-times higher than that of *mNS*  
434 (columnar) epithelium (1.2 vs. 0.06 kPa).

435  
436 Metaplastic Barrett's esophagus (*M*) not only acquired a gastric histology but also a "softer" gastric-like  
437 stiffness. However, as expected, this lower gastric-like stiffness increased with pathological progression.  
438 Although the stiffness of epithelia in the columnar lesions *M*, *D*, *T* was 3-8 times lower (0.15, 0.4 and  
439 0.45 kPa, respectively) compared to that of the squamous *mNE*, stiffness of these gastric-like columnar  
440 lesions was still 3-8 times higher than that of normal tissue, *mNS* (Fig. 5F). Thus, while the esophageal  
441 columnar lesions adopted a softer gastric-like identity, their stiffness was still significantly higher than  
442 that of the non-cancerous *mNS*. This observation is in accordance with the well-documented general  
443 concept of increased stiffness in diseased/cancer tissue compared to healthy tissue<sup>61</sup>.

444  
445 AFM analysis also revealed mechanical changes in the stroma. Consistent with the difference in  
446 epithelial type, stiffness of the stroma of *mNE* esophagus (~0.6 kPa) was dramatically higher than that of  
447 *mNS* (~0.04 kPa) (Fig. 5F). However, in most samples, the stroma of the columnar lesions *M*, *D*, *T* was  
448 similar or only slightly softer than that of *mNE* (0.24-0.26 kPa vs. 0.6 kPa), with large variation between  
449 samples; some regions exhibited much higher stiffness, reflecting the general trend of neoplastic tissues  
450 (Fig. 5F). The moderate decrease in stromal stiffness that correlates with replacement of squamous by  
451 columnar tissue during progression was in accordance with the observed decrease in ECM proteins, such  
452 as laminin and prolargin, as well as stromal cell cytoskeletal proteins that link cell mechanics to the ECM  
453 (e.g. desmin, calponin, dystrophin, and filamins A and C), as identified by the ECM proteomics (Table S6).

454  
455 **Multiplexed protein imaging reveals reorganization multi-cellular tissue neighborhood during**  
456 **progression**

457 To interrogate the spatial relationships of the cell types within the progression phase of EAC, we  
458 performed CODEX multiplexed protein imaging on 27 imaging regions in the esophageal tissue from 5 of  
459 the 12 patients (Fig. 6A, Fig. S9A)<sup>62-64</sup>. Diagnosis of tissue and phase of progression (*mNE*, *M*, *D*, *T*; *mNS*)  
460 was verified by pathologists with regard to proportions of cells using H&E (hematoxylin and eosin)  
461 staining of the same sections post-CODEX (Fig. S9B-D, Table S8). Given our focus on stroma, we designed  
462 our 54-antibody panel to interrogate epithelial, stromal and immune cell types (Table S9). The CODEX  
463 marker panel allowed us to identify 45 unique cell types (Fig. S9E), 25 of which were epithelial<sup>7</sup>,  
464 including "squamous" (*mNE*), "foveolar" (*M*), p53+ (p53-expressing) and lineage-negative epithelial cells  
465 (*D*, *T*) (Fig. 6B,E, Fig. S9F), which were consistent with the overall change in cell type proportions seen in  
466 the scRNAseq (Fig. S10).

467

468 Because CODEX preserves tissue geography, we next asked if spatial proximity of cell types to one  
469 another was altered during disease progression in light of the dramatic changes in cell type composition  
470 observed by scRNAseq (Figs. 2 and 3). Thus, we performed cellular neighborhood (NH) analysis to  
471 identify conserved multicellular microstructures (Methods)<sup>65</sup> and expose changes in tissue organization  
472 not observable by shifts in global cell proportions using scRNAseq. We identified 24 unique multicellular  
473 neighborhoods that were labeled based on enrichment of cell types within each neighborhood (Figs. 6C  
474 and S11A-B). For example, as expected, *mNE* samples were highly enriched for an “*apical squamous*” NH  
475 - characterized by Annexin A1+ squamous cells - and for a “*basal squamous*” NH - characterized by both  
476 Annexin A1+ and p63+ squamous cells (Fig. S11C,D).

477  
478 We also detected a NH enriched for foveolar and goblet cells (Fig. S11B, F-G) that we termed  
479 “*specialized*” NH, consistent with previously defined gland phenotypes in metaplasia<sup>66</sup>. As expected, this  
480 “*specialized*” NH correlated with the percentage of metaplastic epithelium (Fig. 6D, Fig. S12A). We also  
481 detected NHs that were consistent with known epithelial organization (e.g., “*mature intestinal*” NH,  
482 “*oxynto-cardiac*” NH, “*atrophic cardiac*” NH). In addition, we identified novel conserved NHs (e.g.,  
483 “*muc5low specialized*” NH, “*muc6low mature intestinal*” NH) characteristic of BE epithelial organizations  
484 (Figs. S12A and S13A). Analysis of neighborhoods allowed us to assign specific molecular alterations of  
485 malignant cells to this higher-level pattern of tissue organization. For instance, the “*p53hi atrophic*  
486 *cardiac*” NH correlated with the proportion of metaplastic epithelium, attributing p53 expression to  
487 particular glands (Figs. S12A and S13A).

488  
489 In the stroma, we identified several consistent neighborhood organizations (e.g., a “*stroma and*  
490 *immune*” NH, a “*stroma and neutrophil*” NH, and a “*follicle, smooth muscle, vasculature*” NH).  
491 Interestingly, presence of the “*stroma and neutrophil*” NH correlated with the proportion of epithelium  
492 classified independently as high-grade dysplasia by two expert pathologists across all samples (Fig.  
493 S11E). This NH also was enriched for CD4+ T cells, lymphatic and vascular endothelial cells, and antigen-  
494 presenting cells (Fig. S11B).

495  
496 **Communities of cell neighborhoods illustrate rearrangements of epithelial-stromal cellular entities**  
497 **during progression**

498 Multiple NHs correlating with the specific histological phase of BE progression (*M*, *D*) suggested that the  
499 size of an effective cellular neighborhood structure was smaller than the larger overall pathology that  
500 underlies the histological diagnosis. This feature is reflected in the unique NH calls for cells of a single  
501 gland and in the local stromal NHs for a given histological pathology (Fig. 6E). To better align  
502 multicellular structures to the overall histological diagnosis, we thus evaluated the co-localization of  
503 multiple NHs, referred to as “communities” (of neighborhoods). We defined specific communities of NHs  
504 by increasing the number of neighborhood-defining cells (100), using the NH labels as input<sup>67</sup>. This  
505 process revealed a total of 10 unique communities (C) of neighborhoods (Fig. S12B-C). Thus, the “*apical*  
506 *squamous*” NH and “*basal squamous*” NH were found within a single “*squamous epithelial community*  
507 (C)” because of their characteristic occurrence in proximity to each other (Figs. 6F & S12B). The presence  
508 of the “*squamous epithelial C*” correlated across all samples with the percentage of squamous  
509 epithelium (Fig. 6G). Three other communities of NH showed positive correlations with the proportion

510 of epithelium: “*Specialized and Mature Intestinal C*” with M, “*Inflamed Dysplasia C*” with D and  
511 “*inflamed mature intestinal C*” with T (Fig. 6G).

512

513 The relationship of community structures with the phases of progression afforded a new looking glass  
514 for considering tissue geography in the cellular changes associated with BE progression by analyzing  
515 cellular composition of communities instead of the global composition as captured by scRNAseq (Fig.  
516 6H-J, Table S10). Overall, this analysis revealed an increase in immune and mesenchymal cells in the  
517 communities with progression.

518

519 Within the epithelial cell compartment, with progression the squamous cell community (“*squamous*  
520 *epithelial C*”) yielded to the foveolar community (“*Specialized and Mature Intestinal C*”) that increased in  
521 epithelial cells that were negative for squamous and metaplastic lineage markers (Figs. 6H, S9E & S12D)  
522 reflecting changes both at the level of glandular structures, towards gastro-intestinal formations, as well  
523 as loss of cellular differentiation associated with progression.

524

525 Within the immune cell compartment, the proportion of neutrophils increased within the “*inflamed*  
526 *dysplasia C*” (Figs. 6I & S12E). Indeed, three of the neutrophil-enriched NHs were specifically enriched  
527 within the “*inflamed dysplasia C*” (Fig. S12F). Strikingly, while most immune cell types also increased  
528 within the “*inflamed mature intestinal C*”, which correlated with invasive epithelium or tumor  
529 pathology, neutrophils did not. Instead, macrophage subsets were enriched (Figs. 6I & S12G). This  
530 “*inflamed mature intestinal C*” was enriched with diverse NHs, namely the “*mature intestinal and*  
531 *immune*” NH, the “*APC enriched immune*” NH, and the “*stroma and immune NH*” (Fig. S11B). Each of  
532 these NHs were characterized by abundance of immune cells (e.g., CD4+ T cells, macrophages, DCs,  
533 CD8+ T cells) and absence of neutrophils. Finally, in this “*inflamed mature intestinal C*”, the proportion  
534 of CD4+ Tregs also increased (Figs. 6I, S12I & S13H). This trend may reflect the role of Tregs in  
535 preventing neutrophil accumulation during tissue repair<sup>68</sup>.

536

537 Analysis of mesenchymal cells in communities revealed that the CD36hi endothelial cell population was  
538 robust in the “*specialized & mature intestinal C*” (*associated with metaplasia*) but reduced in the  
539 “*inflamed dysplasia C*” and “*inflamed mature intestinal C*” (Fig. 6J). Interestingly, the abundance of  
540 CD36hi endothelial cells within all samples negatively correlated with the abundance of CD4+ Tregs (Fig.  
541 S12J). This negative correlation was in accordance with the opposite phenotypes of a CD36hi anti-  
542 tumorigenic state and a CD4+ Treg high immunosuppressive, pro-tumorigenic state. This finding  
543 underscores the added value of evaluating cell proportion changes with structural guidance of cell  
544 communities and is consistent with the downregulation of CD36 in chronically-inflamed tumor stroma<sup>69</sup>.

545

#### 546 **Cell type combinations in cell-cell interactions in stroma reprogramming during progression**

547 We next exploited the spatial NH information to examine cell-cell interactions (Figs. 6K & S13A-C, Table  
548 S11). To evaluate the enrichment of cell-cell interactions in particular progression phases (M, D, and T),  
549 we calculated the frequency of neighbors using a nearest neighbor approach and compared the  
550 frequency of occurrences to null-models, achieved by 10,000 permutations of cell type locations  
551 (Methods). Cell-cell interactions shared by all phases included those between immune cells (CD8+ T cell,  
552 “M1” macrophage, and plasma cell) and stroma cells (Fig. S13D). This was consistent with the increase in

553 plasma cells across all disease phases observed with CODEX (Fig. S13E) and scRNAseq (Fig. 3F). Plasma  
554 cells are normally seen within mucosal areas of the intestine and form a conserved niche<sup>67</sup>. Thus, the  
555 increase in plasma cells (Fig. 6I) and the identification of a specific “*plasma cell enriched*” NH (Fig. S11B)  
556 may represent an aspect of reprogramming of the stromal environment.

557

558 Many unique interactions involving foveolar cell type were enriched in BE (Fig. 6K). For instance, in *M*,  
559 but not *D* or *T* samples, foveolar cells were found next to the CD36hi endothelial cells and to nerves  
560 (Figs. 6K-L & S13F), in line with their enrichment within the “*specialized & mature intestinal C*” (Fig. 6I).  
561 Additionally, in both *M* and *D*, foveolar cells were found close to CD4+ T cells and plasma cells (Figs. 6M-  
562 N & S13G-H), consistent with plasma cell niches known to accompany intestinal epithelial transitions.  
563 Finally, we observed that neutrophils paired with lymphatic cells, endothelial cells and M1 macrophages  
564 in *D* and *T* (Figs. 5M-N & S13I).

565

### 566 **Epithelial and stromal cellular communities become increasingly diverse during progression**

567 Communities associated with BE progression had altered proportions of both epithelial and stromal cell  
568 types (Figs. 6H-J & S12C-G, Table S10). Indeed, the diversity of gland structures has been shown to be  
569 associated with progression in BE<sup>70</sup>. However, our analysis also took into account the stromal  
570 component. We quantified the diversity of cell types in communities using the CODEX markers (Fig. 6O)  
571 and found an increase in Shannon’s diversity index  $H$  in the communities associated with progression:  
572  $H(\text{“squamous epithelial C”}) < H(\text{“specialized & mature intestinal C”}) < H(\text{“inflamed dysplasia C”}) <$   
573  $H(\text{“inflamed mature intestinal C”})$  (Fig. 6O). This pattern indicated that areas with high (chronic)  
574 inflammation and lacking well-differentiated structures were more likely associated with invasive  
575 tumors.

## 576 DISCUSSION

577 In this study, we describe concomitant epithelial and stromal changes occurring during progression from  
578 a premalignant state (BE) to invasive cancer (EAC). This was made possible by analyzing 64 tissue samples  
579 collected from 12 individual patients that represent the sequential histological phases of BE progression  
580 from matched “normal” esophageal tissue (*mNE*), to Barrett’s metaplasia (*M*), dysplasia (*D*) and invasive  
581 adenocarcinoma (*T*) in the same patients. The matched normal stomach tissue (*mNS*) constituted a key  
582 reference because BE is the adaptive acquisition of a gastric/intestinal-like tissue. The disease  
583 progression phases co-occur within the same patient and thus can, to some extent, be regarded as a  
584 progression trajectory. Our data show marked phase-specific alterations shared by the twelve patients  
585 at multiple size scales, with respect to transcriptome, cellular content, large-scale CNVs, ECM proteomic  
586 profile, tissue mechanics, and histological architecture, with some patient-specific variability. Our  
587 studies underscore the importance and highlight new opportunities of not only multi-omics but also  
588 multi-scale integrated analysis.

589

590 A major insight is that, despite absence of obvious histological alteration in the stromal compartment,  
591 the stroma also underwent, from early in progression (*M* phase), drastic changes in cellular, mechanical  
592 and molecular identity and tissue organization that complemented those seen in the epithelium. The  
593 multi-scale approach enabled a combined assessment of change at the levels of cell numbers (cell type



594 proportions) and cell states (molecular cell subtype) which affected epithelial cells, fibroblasts,  
595 endothelial cells, immune cells, acting in concert during advancement to malignancy and revealed wide-  
596 spread and coordinated non-genetic cell plasticity.

597

598 A key finding was the early loss of esophagus-type and gain of gastric-type fibroblast subpopulations  
599 with onset of epithelial metaplasia (BE) and in ensuing progression. Thus, the epithelial change from  
600 squamous to columnar ( $mNE \rightarrow M$ ) was accompanied by a commensurate transition from squamous-  
601 supporting fibroblasts to columnar-supporting fibroblasts (Fig. 3A); this shift was robust enough to  
602 separate  $mNE$  from  $mNS/M/D/T$  in PCA space based on pseudo-bulk transcriptomes of stromal cells (Fig.  
603 2A). This result is consistent with concerted changes of epithelium and stroma as one unit in the tissue  
604 trajectory of healthy to premalignant and malignant tissue. Besides acquiring a gastric identity upon  
605 transition to  $M$  and later to  $D$  and  $T$ , these fibroblasts also acquired characteristics previously  
606 documented in carcinoma-associated fibroblast (CAF) populations, i.e., expression of TGF-beta targets<sup>71</sup>.  
607 Most investigators believe that tumor cells program fibroblasts into pro-tumorigenic CAFs. However,  
608 substantial data suggest that pro-tumorigenic fibroblasts may already be present before development of  
609 a tumor<sup>69,72</sup>. Our analysis of these esophageal samples at single-cell resolution confirmed this  
610 observation. The origin of these pro-tumorigenic fibroblasts is intensely speculated. The presence of  
611 pro-tumorigenic fibroblasts in BE may simply reflect the outgrowth of gastric tissue as one epithelium-  
612 stromal unit or it may involve the migration of gastric fibroblasts. Alternatively, presence of the latter  
613 may reflect a cell-level state transition (i.e., transdifferentiation) of local esophageal fibroblasts originally  
614 supporting the squamous epithelium during progression or a reprogramming of progenitor (fibroblast)  
615 cells. Finally, recent studies have demonstrated the transition of injured pericytes to a CAF-like state  
616 that are pro-tumorigenic<sup>37</sup>.

617

618 The shift in fibroblast subpopulation occurred early at the  $mNE \rightarrow M$  transition (Fig. 3A). This might  
619 entertain the interpretation that stromal changes precede and possibly drive epithelial transformation  
620 to malignancy<sup>73</sup>. Ongoing analyses on patients diagnosed with BE but who, unlike the patients in his  
621 study, have not progressed to dysplasia or carcinoma will allow us to discriminate between events  
622 already occurring at the BE disease phase in the absence of malignancy from events occurring in a BE  
623 which has been subjected to a potential tissue field effect by the neighboring tumor. It should also be  
624 noted that tissue plasticity described at these early stages of disease occurs in the absence of major  
625 mutational or genomic alterations and thus represent examples of non-genetic plasticity in all tissue  
626 components.

627

628 To more explicitly demonstrate the added value of single-cell resolution compared to bulk (whole  
629 sample) analysis and explore a multi-scale analysis, we generated pseudo-bulk transcriptomes from the  
630 scRNAseq data and compared samples as wholes or selectively, with respect to specific cell types. This  
631 analysis showed that, at the whole tissue level, clustering of histology-based diagnosis (disease phases)  
632 and corresponding disease progression phases was most obviously associated with changes in the  
633 epithelium. However, extending previous reports emphasizing the role of stromal and immune cells in  
634 tumor progression, our study shows that single-cell resolution, let alone the cells' spatial configuration,  
635 must also be considered for discriminating disease phases and courses.

636

637 Analysis of ECM-enriched proteins with progression to tumor was in great part accompanied by  
638 corresponding gene expression changes in epithelial and stromal cells. Integration with scRNAseq  
639 pinpointed the cellular source down to the granularity of sub-cell types. The increased transcript  
640 expression in *M*, *D*, *T* fibroblasts of periostin (POSTN), a developmental ECM protein overexpressed in  
641 many tumors<sup>74</sup>, was also increased in the ECM-enriched proteome of *T* samples compared to *mNE* (Fig.  
642 5C). The scRNAseq data (Figs. 3A and 5E) readily attributed its source to the particular subpopulations of  
643 fibroblasts that were shared by matched normal gastric samples (*mNS*). Interestingly, this change of  
644 expression was partially compensated by a decrease in expression by endothelial cells at more advanced  
645 phases of progression (Fig. S7A-B).

646  
647 Similarly, the marked decrease in expression of some types of collagens in EAC tissues was in accordance  
648 with a shift in the subtype of fibroblasts associated with *T* compared to those in *mNE* samples (Fig. 5A).  
649 The granular cell-type level resolution of our analyses allowed us to fully appreciate the complexity of  
650 such stromal changes, underscoring the importance of the specific cellular source for a change in  
651 abundance of a protein in the bulk tissue. Thus, despite the net decrease in collagen-6 protein that could  
652 be attributed to a loss of squamous epithelium-associated fibroblasts, this decrease was accompanied  
653 by selective increased transcript expression in myofibroblasts (Fig. S7A). This apparent discordance  
654 epitomizes the concerted changes in multiple cell types that converge to a pro-tumorigenic tissue  
655 landscape. Indeed, whereas COL6-deficient fibroblasts would no longer assemble and maintain a  
656 homeostatic ECM, the collagen-6-expressing myofibroblasts would instead drive inflammation and  
657 fibrosis via endotrophin, the proteolytic bioactive product of collagen 6<sup>75,76</sup>. These COL6-positive  
658 myofibroblasts would correspond to the previously described dermoplastic fibroblasts found in  
659 melanoma<sup>77</sup> and BE<sup>15</sup>.

660  
661 The molecular changes in ECM and cells observed during progression was also manifest in the parallel  
662 alteration of mechanical properties of both epithelium and stroma. The reduced epithelium stiffness  
663 associated with the switch from squamous to gastric tissue is in agreement with the reported  
664 progressive decrease in AFM stiffness in metaplastic CP-A (3.1 kPa) and dysplastic CP-D (2.6 kPa)  
665 esophageal epithelial cell lines compared to that of the squamous esophageal epithelial EPC2 cell line  
666 (4.7 kPa)<sup>78</sup>, but also in the stroma albeit to a lesser more varied extent. However, progression from *M* to  
667 *T* still kept the tissue stiffer than that of inherently softer non-cancerous *mNS* gastric tissue (Fig. 5F), in  
668 line with the often observed increase in tissue stiffness in malignancies, which in turn feeds back to  
669 modulation of gene expression, including genes that control ECM composition. This mutualism between  
670 cellular programs and tissue mechanics underscores the importance of joint analysis of molecular,  
671 cellular and mechanical changes<sup>78,79</sup>.

672  
673 Our study also highlighted the importance of considering cell (sub)type abundances as quantitative  
674 observables (Fig. 3), illustrated by: (i) the gain of a gastric-specific fibroblast population (Fig. 3A, clusters  
675 2 and 4) at the expense of an esophagus-specific fibroblast population (Fig. 3A, cluster 3) discussed  
676 above; (ii) a dramatic over-representation of pericyte-like cells (Fig. 3B, clusters 0, 5 and 6) occurring  
677 late, i.e. only at the tumor stage; (iii) a similar delayed over-representation of VWA1 and PLVAP-  
678 expressing endothelial cells (Fig. 3D, cluster 0), two ECM proteins involved in the formation of the  
679 stomatal and fenestral diaphragms of blood vessels; (iv) an early and drastic decrease in neutrophils

680 expressing genes of phagocytic and bactericidal function (Fig. 3E, clusters 3 and 7); and (v) a drastic loss  
681 of B cell predominance over plasma cells seen in *mNE* but not in *mNS*, and at all phases of progression  
682 (*M*, *D*, *T*) (Fig. 3F).

683

684 The combined analysis at various size scales of tissue geography enabled by CODEX afforded a new lens  
685 through which hitherto unseen tissue organizational changes during progression become visible (Fig.  
686 6P). The identification of consistent cell neighborhoods (NH) and communities (C) of NHs allowed us to  
687 quantify changes in the number of cells of particular types (e.g., POSTN<sup>hi</sup> fibroblasts, CD36<sup>hi</sup> endothelial  
688 cells, p53+ epithelial states) within distinct structures, exposing differentials lost by averaging over large  
689 areas. In addition, these structures themselves change in number with progression. For instance, the  
690 reduction in neutrophils and concomitant increase in Tregs within the same cell community during EAC  
691 progression could not be detected at the global tissue level by scRNAseq. Such changes are of high  
692 biological significance, given that the role of Tregs in tissue repair is in part mediated by suppression of  
693 neutrophils<sup>80</sup>. The identification of specific multicellular neighborhoods in metaplastic epithelium was  
694 consistent with previous descriptions of distinct glandular structures found within BE<sup>70</sup>.

695

696 Shifts in cell state during disease progression from *mNE* to EAC revealed the establishment of an  
697 immunosuppressive tumor microenvironment permissive for malignant progression<sup>81,82</sup>.  
698 Aforementioned POSTN expression, first appearing in *M* fibroblasts has been proposed to serve as a  
699 biomarker for BE progression<sup>55</sup> and is implicated in immunosuppression in the TME<sup>83</sup>. Furthermore,  
700 other highly differentially expressed genes in the POSTN-expressing fibroblast cluster associated with *M*,  
701 *D*, *T* included CXCL14, also recently reported in BE scRNAseq analysis<sup>15</sup>. Notably, CXCL14 has been  
702 reported to exert immunosuppressive activities when secreted by fibroblasts but not by epithelial  
703 cells<sup>84</sup>. POSTN and CXCL14 expression may contribute to the local enrichment in Tregs observed in some  
704 CODEX cell neighborhoods of *D* and *T* samples. For instance, the “*inflamed mature intestinal*”  
705 community which increased in number in *T* samples, contained numerous CD4 Treg cells. Similarly,  
706 through consideration of neighborhood structure we found a negative correlation between Tregs and  
707 CD36hi endothelial cells in some neighborhoods regardless of the disease phase, in line with the  
708 reported association of loss of CD36hi endothelial cells with increased risk of progression in breast  
709 cancer<sup>69,72</sup>.

710

711 At the level of specific genes and pathways associated with EAC progression, numerous inflammatory  
712 and malignancy markers in fibroblasts appeared in all modalities: scRNAseq, ECM proteomics and  
713 CODEX. Overall, as expected, fibroblasts with gene signatures such as “TGF-beta regulation of  
714 extracellular matrix”, or “Collagen biosynthesis and modifying enzymes”, were enriched with  
715 progression as early as metaplasia, in line with adaptive alterations of a stroma subjected to the  
716 constant stress of chronic inflammation. Specific changes pointed to a loss of tissue homeostasis  
717 involving ECM remodeling by matrix metalloproteinases and collagen chaperones, such as SERPINH1,  
718 ultimately resulting in the uncontrolled release of cytokines, such as TGF-beta. Such unopposed TGF-  
719 beta signaling might account for the upregulation of periostin expression in fibroblasts at the  
720 metaplastic phase<sup>15,85</sup>.

721

722 Finally, the traditional use of systematic molecular profiling and differential expression/abundance  
723 analysis remains potentially useful, notably if we exploit the availability of samples in the progression  
724 phases. The identification of a small panel of biomarkers (PRAME, MAGEA6, CASP10, PTPN12, and  
725 FAM183A) specifically upregulated at the dysplasia stage (*D*) is of particular practical importance  
726 because they could serve as a much sought after biomarker panel for the rare progression from  
727 premalignancy (BE) to malignancy (EAC). If confirmed, such biomarkers could initiate and justify more  
728 aggressive ablative treatments in any dysplastic BE (including low grade dysplasia) prior to invasive  
729 malignancy.

730  
731 This Atlas of BE progressing to EAC, offers multiple modalities of data that also span multiple size scales  
732 from molecular profiles to tissue architecture and mechanics, and will serve as a valuable resource to  
733 the research community. Two limitations of this study are: (1) the course of progression is inferred from  
734 snapshots of metachronic parallel evolution of lesions as a surrogate of a time course (longitudinal  
735 monitoring), which however is permissive given the established sequence of the phases of progression;  
736 (2) our matched normal samples used as non-cancerous baseline are actually not disease-free but likely  
737 already inflamed tissues as revealed by comparison to disease-free individuals (unpublished  
738 observations). Interactive web-portals to interrogate specific molecules in specific cell type and  
739 progression phase are available (Methods). Many descriptive but intriguing findings await experimental  
740 examination or focused validation in larger cohorts. Clinical translation of some of the observed changes  
741 into actionable biomarkers for risk stratification or targets for prevention and intervention holds  
742 tremendous potential. It will be also of great interest to determine if our findings can be extended to  
743 other chronic inflammation-driven malignancies.

## 744 METHODS

### 745 [Sample Collection, Preparation, and Measurements](#)

#### 746 **Human Barrett's Esophagus Tissue Specimens**

747 Fresh tissue specimens were obtained from consented patients with treatment naive Barrett's  
748 esophageal adenocarcinoma (Research Institute - McGill University Health Centre REB # 2007-856)  
749 undergoing endoscopy or esophagectomy. They were collected from regions containing tumor, matched  
750 normal gastric (gastric cardia) and/or esophageal mucosa (at least 5 cm proximal to the top of columnar  
751 lined mucosa), suspected metaplasia and suspected dysplasia. High-Definition white light and narrow  
752 band endoscopic imaging was employed to attempt to clinically differentiate dysplasia from non-  
753 dysplastic columnar lined mucosa (Barrett's metaplasia) at the time of surgery. Only tissue specimens  
754 with confirmed histological diagnosis were used in subsequent multi-omic analyses (e.g. single cell  
755 transcriptomics, multiplex imaging, ECM proteomics). Histological diagnosis was performed on H&E  
756 stained sections of formalin fixed paraffin tissue blocks and corroborated by consensus of two expert  
757 pathologists (S.C.-B. And P.-O.F.). Collected tissue specimens were divided in equal sections and placed  
758 in cold medium (RPMI (Invitrogen) supplemented with Primocin (Invivogen) and gentamycin  
759 (Invitrogen)) for single cell RNA sequence processing or shipment to various sites for subsequent  
760 analyses. Patient demographics, exposure history (e.g., smoking, proton inhibitor use), Barrett's extent  
761 (Prague Classification), and tumor characteristics (grade, stage) were collected (Suppl. Fig S1A).

763 [Single-cell RNAseq Methods](#)

764 **Single cell dissociation**

765 Tissue specimens were dissected to remove necrotic areas, minced and digested in 5 mL of Advanced  
766 DMEM/F12 containing 10 mg Collagenase Type 3 (Worthington) and 500 U Hyaluronidase (Sigma) in a C-  
767 tube (Miltenyi) using the gentleMACS Octo Dissociator (Miltenyi). The single cell suspension was  
768 resuspended in PBS and 1mM DTT, strained through a 100um cell strainer (Fisher) and spun down  
769 (500xg, 5 minutes, 4°C). Cells were resuspended in 0.25% Trypsin-EDTA (Invitrogen) and incubated for 5  
770 minutes at 37°C, followed by addition of 10% fetal bovine serum to inactivate trypsin. The cell pellet  
771 (500xg, 5 minutes, 4°C) was resuspended in 2.5U Dispase/10ug DNase buffer and incubated for 5  
772 minutes at 37°C. The buffer was inactivated by adding excess PBS and the homogenate was strained (40  
773 uM, Fisher) prior to centrifugation (500xg, 5 minutes, 4°C). Red blood cells were lysed using ACK Lysing  
774 Buffer (Gibco) for 5 minutes at room temperature, followed by addition of excess PBS, prior to  
775 centrifugation (500xg, 5 minutes, 4°C). The cell pellet was finally washed twice with 2% fetal bovine  
776 serum in PBS prior to proceeding with single cell capture on the 10x Genomics platform.

777 **Single cell suspension quality assessment**

778 Before credentialing the cell suspension, the cells were filtered through a 40 um FLOWMI cell strainer  
779 (SP Bel-Art; H13680-0040). Whenever necessary, centrifugation of the cells was carried out at 300xg for  
780 11 minutes. Single cell viability and presence of debris and erythrocytes in the single cell suspension  
781 were assessed prior to single cell capturing. Upon adequate viability (i.e. lack of debris and  
782 erythrocytes), cells were captured on the 10x Genomics platform.

783 Cell viability was tested using the "LIVE/DEAD Viability/Cytotoxicity Kit for mammalian cells" that  
784 contained Ethidium Homodimer-1 and Calcein-AM stain (ThermoFisher ; L-3224) dyes. First, a viability  
785 stain mix was reconstituted by mixing 0.5 ul of 4mM Calcein-AM, 2ul of 2mM Ethidium Homodimer-1  
786 and 100ul of PBS. A 5ul of cell suspension was then resuspended in 5ul of viability stain mix and the  
787 solution was incubated at room temperature for 10 minutes. The sample viability was verified using a  
788 hemocytometer (INCYTO C-Chip; DHC-N01-5) through GFP (for the Calcein-AM) and RFP (for the  
789 Ethidium Homodimer-1) channels on an EVOS FL Auto Fluorescent microscope (ThermoFisher). Viability  
790 was expressed as the percentage of live cells (Calcein-AM / GFP positive cells) over the sum of live  
791 (Calcein-AM / GFP positive cells) and dead cells (Ethidium Homodimer-1 / RFP positive) cells.

792 Erythrocyte contamination was assessed by staining the cells with the cell permeable DNA dye DRAQ5  
793 (ThermoFisher ; 65-0880-92). A nuclear staining mix was made by diluting the DRAQ5 stock solution  
794 (5mM) down to 5uM with 1x PBS. Afterwards, 5ul of cell suspension was re-suspended in 5ul of nuclear  
795 stain mix and the solution was incubated at room temperature for 5 minutes. The nuclear stain was  
796 visualized using a hemocytometer (INCYTO C-Chip; DHC-N01-5) through the Cy5 channel on an EVOS FL  
797 Auto Fluorescent microscope (ThermoFisher). Erythrocyte contamination was expressed as the  
798 percentage of "round donut-shaped DRAQ5 negative objects on bright-field" over the sum of "round  
799 donut-shaped DRAQ5 negative objects on bright-field" and "nuclear stained DRAQ5 positive cells".

800 Additionally, we assessed the cell suspension for the presence of any other contaminants/debris as well  
801 as contaminants that might interfere with the capturing on the microfluidic chip such as large debris.  
802 The percentage of debris presented in the sample was expressed as follows: Percentage of "observed

803 non-cell objects on bright-field” over the sum of “observed objects on bright-field” and “observed cells  
804 marked on the fluorescent channels”. A sample was deemed adequate for capturing if “cell viability”  
805 was  $\geq 70\%$ , “erythrocyte contamination” was  $\leq 10\%$  and “debris percentage” was  $\leq 30\%$ . The cell  
806 concentration in the cell suspension, measured by counting the number of “Calcein-AM / GFP positive  
807 cells” and “Ethidium Homodimer-1 / RFP positive cells” in the large 4 squares on each corner of the  
808 hemocytometer, was calculated as follows: Number of cells / ul = [ (Calcein-AM / GFP positive cells +  
809 Ethidium Homodimer-1 / RFP positive cells) / 4 ] \* 10 \* 2 where 10 was the dilution factor on the  
810 hemocytometer and 2 was the dilution factor when the cell suspension was mixed with the dye  
811 solution.

### 812 **Single cell capturing**

813 Single cells were captured on the 10x Genomics platform. Single cell 3' end gene expression profiling  
814 was carried out according to the “Chromium Next GEM Single Cell 3' Reagent Kits v3.1” protocol and  
815 recommended reagents. Single cell Copy Number Variation was queried using the “Chromium Single Cell  
816 DNA Reagent Kits” protocol and recommended reagents. Of note the CNV kit described above is  
817 currently discontinued. The sequencing libraries were created as per the above protocols with the  
818 modifications presented in the following section.

### 819 **Sequencing of the 10x single cell libraries**

820 Libraries were quantified using a LightCycler 480 Real Time PCR instrument (Roche) and the KAPA library  
821 quantification kit (Roche) with triplicate measurements. Library quantification values were used both for  
822 the MGI library conversion and for Illumina sequencing normalization.

823 Libraries sequenced on MGI (MGI Tech) were converted after 10x library construction in order to be  
824 compatible with MGI sequencers using the MGIEasy Universal Library Conversion Kit. The kit circularizes  
825 the libraries making them compatible for MGI systems. To sequence the circularized libraries, they were  
826 first amplified by rolling circle amplification, resulting in a long DNA strand which individually folds into a  
827 tight ball (i.e. a DNA nanoball) where one library fragment results in one DNA nanoball. Before loading  
828 into the flowcells, the amplified nanoballs were quantified with a Qubit ssDNA HS Assay kit  
829 (ThermoFisher), normalized and loaded onto the sequencing flowcell using the auto-loader method  
830 (auto-loader MGI-DL-200R). The flowcells have a functionalized surface that captures and immobilizes  
831 the nanoballs in a grid pattern. Typically, two libraries were loaded per lane for the single cell RNA  
832 libraries. The DNBSEQ-G400RS PE100 MGI kit with App-A primers was used for single cell RNA library  
833 sequencing. The DNBSEQ-G400RS PE150 MGI kit with App-A primers was used for single cell DNA library  
834 sequencing.

835 The flowcells were sequenced on a DNBSEQ-G400 MGI sequencer. Single cell RNA libraries were  
836 sequenced as follows: 28 cycles for read1, 150 cycles for read2 and 8 cycles for the i7 index. Single cell  
837 DNA libraries were sequenced as follows: 151 cycles for Read1, 151 cycles for Read2 and 8 cycles for the  
838 i5 index. Because libraries must be color-balanced for all cycles sequenced in order to maintain a  
839 minimum ratio of 0.125 for each base at each cycle, color-balanced single index adapters (10x  
840 Genomics) were used for libraries sequenced on MGI.

841 A subset of 23 libraries were sequenced on the Illumina NovaSeq 6000 platform using S4 flowcells. To  
842 ensure uniform loading of the libraries, a preliminary pool was sequenced on Illumina iSeq and the

843 library proportions were readjusted accordingly. Another subset of 12 libraries were sequenced on the  
844 Illumina HiSeq 4000 system typically with one library per lane.

845 Although the MGI sequencer has onboard capability to demultiplex samples, we chose to use  
846 independent tools to demultiplex the raw fastq files for each lane to give us the flexibility to reprocess if  
847 needed. The fastq files generated using the balanced single index adapters were merged for each library  
848 after demultiplexing. The MGI runs were mainly demultiplexed by fastq-multx  
849 (<https://github.com/brwnj/fastq-multx>) but also using fgbio/DemuxFastqs  
850 (<http://fulcrumgenomics.github.io/fgbio/tools/latest/DemuxFastqs.html>). In both instances we used a  
851 mismatch of 1. Illumina runs were demultiplexed using the the standard bcl2fastq tool.

## 853 **scRNA-seq Data Processing and Analysis**

### 854 **Read processing and alignment**

856 After polyA-trimming via cutadapt (v3.2)<sup>86</sup>, reads were pseudo-aligned to the GRCh38 reference  
857 transcriptome (ENSEMBL release 96) with kallisto (v0.46.2)<sup>87</sup> using the default kmer size of 31. The  
858 pseudo-aligned reads were processed into a cell-by-gene count matrix using bustools (0.40.0)<sup>88</sup>. Cell  
859 barcodes were filtered using the whitelist (v3) provided by 10xGenomics. All further processing was  
860 done in scanpy (v.1.7.1)<sup>89</sup>.

861

### 862 **Quality control and normalization**

863 Quality control was performed for each sample independently as follows. Cell barcodes with less than  
864 1000 counts or less than 500 genes expressed or with more than 10% mitochondrial gene expression  
865 were removed. Doublet cells were identified using scrublet<sup>90</sup>, and any cell barcode with a scrublet score  
866 > 0.2 was removed. Only coding genes were retained in the final count matrix. Expression profiles were  
867 normalized by total counts, the 4000 most highly variable genes being identified<sup>91</sup>, renormalized, log-  
868 transformed and z-scored. The data were projected onto the first 50 principal components.

869 After the above per-sample preprocessing, samples were pooled and integrated using Harmony<sup>20</sup> on the  
870 first 50 principal components with a maximum of 25 iterations. A nearest neighbor graph (k=15) was  
871 calculated on the Harmony-corrected principal components space. Datasets were visualized in 2D via  
872 UMAP<sup>92</sup> and initialized with PAGA<sup>93</sup> coordinates. The nearest neighbor graph was clustered with the  
873 Leiden algorithm<sup>94</sup>. The processed datasets were visualized interactively using Cellbrowser<sup>95</sup> allowing  
874 for easy access and exploration across teams and laboratories.

875

### 876 **Cell type calling**

877 Cell types were called using the Human Cell Landscape (HCL) reference dataset<sup>21</sup>. Briefly, the raw  
878 expression profile  $x_i$  of cells  $i$  was normalized by total counts and log-transformed  
879 
$$y_{ij} = \log(1 + x_{ij} / \sum_j x_{ij})$$
  
with  $x_{ij}$  the counts of genes  $j$  in each cell  $i$ . Cells were compared to the  
880 Human Cell Landscape reference by Pearson correlation, and the reference profile with the highest  
881 correlation determined the cell type call. If the highest correlation was below 0.3, the cell type was  
882 defined as "unknown".

883

884 The per-cell types were categorized on a per-cluster level based priors calls with the expression of  
885 markers indicated from a range of sources including Cell Ontology<sup>96</sup> and reference materials from  
886 ThermoFisher, Abcam and BioLegend.

887

888 Fine-grained labels were produced using a number of techniques. To fine grain the squamous  
889 esophageal epithelium we compared our esophageal dataset to 6 esophageal samples from the Human  
890 Cell Atlas<sup>97</sup>. HCA-derived gene signatures were produced from the top 50 most differentially expressed  
891 genes for each cell type from the HCA data. Using the single-cell gene set scoring method GSSNNG, we  
892 scored the cell type labels using those HCA-derived signatures. The squamous epithelium subtypes  
893 (upper, intermediate, basal) were clearly indicated through high gene set scores in the CRUK dataset.  
894 Also, the HCA signatures clearly identified our cell types including fibroblasts and glandular gastro-  
895 intestinal (GI) epithelium. Some HCA signatures were non-specific in our dataset including the  
896 esophageal basal cell layer, the venous endothelium, and the ductal epithelium. Otherwise, fine graining  
897 was performed by using well known marker genes from the literature.

898

### 899 **Sample PCA and clustering**

900 In order to apply PCA, sample level clustering, and perform differential expression (as described below),  
901 pseudo-bulk profiles were produced for each coarse-grained cell label and over all cells. To produce a  
902 pseudo-bulk profile computationally, cells of a given type were selected and raw gene expression counts  
903 were summed. For a given cell type, such as for example CD8 T cells, this produced one gene expression  
904 profile per sample. PCA was performed using R 'prcomp', principal components (eigenvectors) were  
905 recovered and used for plotting of principal components. Clustering of samples was performed using the  
906 R hclust function with average linkage.

907

### 908 **Differential expression**

909 In order to estimate differential expression between the tissue diagnosis on a per cell basis, we applied  
910 DESeq2 to pseudo-bulk profiles while accounting for sequencing depth and patient heterogeneity using  
911 the model  $gene \sim patient + cell\_counts + avg\_molecules + dx$  where the *patient* is patient ID (each  
912 patient has several different samples), *cell\_counts* is the number of cells observed in a given sample,  
913 *avg\_molecules* is the mean of gene counts, *dx* is the tissue diagnosis. The goal is to find genes where the  
914 variations in expression patterns are explained more by the change in tissue diagnosis rather than from  
915 other considered factors.

916

917 There was considerable bias introduced from ambient RNA which produced false positive results<sup>98</sup>. In  
918 order to identify differentially expressed genes that were artifacts the following heuristic was used: If a  
919 gene was found to be differentially expressed in a cell type and was also differentially expressed in other  
920 cell types, and the ambient profile was correlated with the pseudo-bulk profile (across samples) and  
921 statistically associated with the tissue diagnosis [other metrics], then the DEG was removed from the  
922 results. Gene expression heatmaps were created by taking the collection of differentially expressed  
923 genes, and scoring genes based on association with disease progression. In particular, for the purpose of  
924 visualization, genes were selected through association with (*mNE*, *mNS*, *M*) vs. (*D*, *T*) using logistic  
925 regression.

926



## 927 **Gene set scoring**

928 For each coarse-grained cell type, differentially expressed genes with a max log2 fold change (between  
929 tissue diagnoses) of at least 0.58 and adjusted p-value of 0.05 were submitted to the Enrichr service<sup>26</sup>.  
930 Pathway enrichment tables were downloaded for BioPlanet 2019, MSigDB Hallmarks, KEGG 2021, and  
931 WikiPathways 2021. (see Suppl. Table S2)

932

## 933 **Statistical analysis of cell type proportion changes**

934 Changes in cell type proportions across diagnoses were analyzed with scCODA<sup>99</sup>. Changes were  
935 computed relative to mNE samples and reference cell types were selected based on the cell type with  
936 the least dispersion across diagnosis. To account for inter-patient differences, and systematic differences  
937 in cell type composition between biopsy/resection samples, those were included as covariates into the  
938 scCODA model. Model inference was performed using HMC and 60000 iterations. MCMC chains were  
939 inspected manually for convergence. Statistically significant covariates were determined using the  
940 model's posterior inclusion probabilities and an FDR of 0.05.

941

## 942 **Copy Number Variation inference from scRNAseq**

943 We used a python re-implementation<sup>100</sup> of the inferCNV algorithm<sup>48</sup> to call copy number variation (CNV)  
944 in the single cell data. First, all samples across all diagnoses were pooled and epithelial cells were  
945 extracted based on cell type calling. Any epithelial cell from a mNE/mNS sample was assigned to the  
946 reference set of inferCNV, any other epithelial cell was assigned to the query set in inferCNV. After  
947 filtering out lowly expressed genes (mean expression <0.1) and standard inferCNV preprocessing, the  
948 data were smoothed along chromosomal coordinates with a window size of 101 and a step size of 2. The  
949 CNV burden  $s_i$  of a single cell  $i$  was estimated as  $s_i = \sum_j |X_{ij}|$ , where  $X_{ij}$  is the  
950 inferred gain/loss in cell  $i$ , gene window  $j$ . The 99% quantile of the CNV burden  $s_i$  in mNE/mNS  
951 epithelial cells was calculated and, assuming no CNV's present in mNE/mNS samples, any non-reference  
952 cells exceeding this threshold were classified as containing CNVs.

953

## 954 **[Proteomics Methods](#)**

### 955 **Chemicals**

956 LC-MS-grade acetonitrile (ACN) and water were obtained from Burdick & Jackson (Muskegon, MI).  
957 Reagents for protein chemistry, including sodium dodecyl sulfate (SDS), ammonium bicarbonate,  
958 iodoacetamide (IAA), dithiothreitol (DTT), sequencing-grade endoproteinase Lys-C, and formic acid (FA)  
959 were purchased from Sigma-Aldrich (St. Louis, MO). Sequencing-grade trypsin was purchased from  
960 Promega (Madison, WI). Glycerol-free PNGase F was purchased from New England BioLabs (Ipswich,  
961 MA).

962

### 963 **Sample Preparation**

964 Proteomic analysis was performed as described in Bons *et al.*<sup>101</sup>. Briefly, fresh esophageal tissues were  
965 minced in small pieces, weighed, and flash frozen for storage at -80°C. The ECM fraction was isolated  
966 from the frozen tissues using the Compartment Protein Extraction Kit (Millipore, #2145) as per  
967 manufacturer's protocol. About 1/10 of purified ECM was used to assess ECM protein enrichment purity  
968 and yield by Western blot analysis. From the remaining purified ECM fraction, proteins were solubilized  
969 by agitation for 10 minutes in a solution containing 1% SDS, 50 mM DTT and 1X NuPAGE lithium dodecyl

970 sulfate (LDS) sample buffer (Life Technologies, Carlsbad, CA), followed by sonication for 10 minutes, and  
971 finally heating at 85 °C for 1 hour with agitation. Solubilized proteins were concentrated in a single  
972 stacking acrylamide Bis-Tris gel, in-gel reduced with 10 mM DTT, and alkylated with 55 mM IAA. Finally,  
973 proteins were in-gel digested with 250 ng of sequencing-grade endoproteinase Lys-C in 25 mM  
974 ammonium bicarbonate at 37 °C for 2 hours with agitation, followed by an overnight incubation with  
975 250 ng sequencing-grade trypsin in 25 mM ammonium bicarbonate at 37 °C with agitation. After tryptic  
976 peptide extraction, samples were vacuum dried, resuspended in 25 mM ammonium bicarbonate, and  
977 peptides were deglycosylated with 3 µL (1,500 U) of glycerol-free PNGase F at 37 °C for 3 hours with  
978 agitation. The reaction was quenched by adding FA to a final concentration of 1%. Peptide samples were  
979 finally desalted using stage-tips made in-house containing a C<sub>18</sub> disk, vacuum dried, and re-suspended in  
980 aqueous 0.2% FA spiked with indexed retention time peptide standards (iRT, Biognosys, Schlieren,  
981 Switzerland)<sup>102</sup>.

### 982 **LC-DIA-MS Analysis**

983 LC-MS/MS were performed on an Eksigent Ultra Plus nano-LC 2D HPLC system (Dublin, CA) combined  
984 with a cHiPLC system directly connected to an orthogonal quadrupole time-of-flight (Q-TOF) SCIEX  
985 TripleTOF 6600 mass spectrometer (SCIEX, Redwood City, CA). The solvent system consisted of 2% ACN,  
986 0.1% FA in H<sub>2</sub>O (solvent A) and 98% ACN, 0.1% FA in H<sub>2</sub>O (solvent B). Proteolytic peptides were loaded  
987 onto a C<sub>18</sub> pre-column chip (200 µm × 6 mm ChromXP C18-CL chip, 3 µm, 300 Å; SCIEX) and washed at 2  
988 µL/minute for 10 minutes with the loading solvent (0.1% FA in H<sub>2</sub>O) for desalting. Peptides were  
989 transferred to the 75 µm × 15 cm ChromXP C<sub>18</sub>-CL chip, 3 µm, 300 Å (SCIEX) and eluted at 300 nL/min  
990 with the following gradient of solvent B: 5% for 5 min, linear from 5% to 8% in 15 min, linear from 8% to  
991 35% in 97 min, and up to 80% in 20 min, with a total gradient length of 180 min. Samples were analyzed  
992 by data-independent acquisition (DIA) using 64 variable-sized windows covering the m/z 400-1,250  
993 range (Suppl. Table S7)<sup>103–105</sup>. MS scans were collected with 250-ms accumulation time, and MS/MS  
994 scans with 45-ms accumulation time in “high-sensitivity” mode. The collision energy (CE) for each  
995 segment was based on the z=2+ precursor ion centered within the window with a CE spread of 10 or 15  
996 eV.

### 997 **MS DIA Data Processing**

998 DIA data were processed in Spectronaut (version 14.10.201222.47784) (Biognosys) using a pan-human  
999 library containing 10,316 proteins<sup>106</sup>. Data extraction parameters were selected as dynamic using non-  
1000 linear iRT calibration. Identification was performed using 1% precursor and protein q-values.  
1001 Quantification was based on the MS/MS peak areas of the 3-6 best fragment ions per precursor ion,  
1002 local normalization was applied, and iRT profiling was selected. Differential protein abundance analysis  
1003 was performed using paired t-tests, and p-values were corrected for multiple testing using the Storey  
1004 method<sup>107</sup>. Protein groups with at least two unique peptides, q-value ≤ 0.001, and absolute Log<sub>2</sub>(fold-  
1005 change) ≥ 0.58 were considered to be significantly altered (Suppl. Table S6).

1006

### 1007 **Atomic Force Microscopy Methods**

1008 Snap frozen patient samples were cryosectioned. A reference slide has been H&E stained from the  
1009 adjacent test sample to visualize the location of epithelium and stroma. Unfixed slides were tested with

1010 AFM for stiffness and distribution of measurements were visualized using AFM manufacturer's software  
1011 (Fig. S8).

1012

### 1013 [CODEX Multiplexed Protein Imaging Methods](#)

#### 1014 **CODEX Array Creation and Pathology Annotation**

1015 Imaging data were collected from 5 human donors, each of whom constituting a dataset. Each dataset  
1016 included tissue sections taken from individually diagnosed formalin fixed paraffin embedded (FFPE)  
1017 tissue blocks that were combined onto the same coverslip (cut at 4  $\mu$ m thickness). To ensure accurate  
1018 disease phase diagnosis, three pathologists independently evaluated the H&E staining of the sections  
1019 performed on the same tissue sections as used for the CODEX multiplexed imaging (Fig. S9B-C). They  
1020 called disease phase granular diagnosis (e.g., mNE, mNS, M), and estimated percentages of type of  
1021 epithelium in each image (e.g., % squamous, % metaplasia, % dysplasia, % tumor). The pathologists  
1022 scores were then aggregated and averaged (Suppl. Table S8).

1023

#### 1024 **CODEX Antibody Conjugation and Panel Creation**

1025 CODEX multiplexed protein imaging was executed according to the CODEX staining and imaging protocol  
1026 previously described<sup>63</sup>. Antibody panels were chosen to include targets that identify subtypes of  
1027 intestinal epithelium and stromal cells, and cells of the innate and adaptive immune system. Detailed  
1028 panel information can be found in Suppl. Table S9. Each antibody was conjugated to a unique  
1029 oligonucleotide barcode, after which the tissues were stained with the antibody-oligonucleotide  
1030 conjugates and validated to ensure that staining patterns matched expected patterns already  
1031 established for IHC within positive control tissues of the esophagus or tonsil. Similarly, Hematoxylin and  
1032 Eosin morphology stainings were used to confirm location of marker staining. First, antibody-  
1033 oligonucleotide conjugates were tested in low-plex fluorescence assays and signal-to-noise ratio was  
1034 also evaluated at this step, then conjugates were tested all together in a single CODEX multicyle.

1035

#### 1036 **CODEX Multiplexed Protein Imaging**

1037 The tissue arrays were then stained with the complete validated panel of CODEX antibodies and  
1038 imaged<sup>63</sup>. Briefly, the workflow entailed cyclic stripping, annealing, and imaging of fluorescently labeled  
1039 oligonucleotides complementary to the oligonucleotide on the conjugate. After validation of the  
1040 antibody-oligonucleotide conjugate panel, a test CODEX multiplexed assay was run, during which signal-  
1041 to-noise ratio was again evaluated, and the optimal dilution, exposure time, and appropriate imaging  
1042 cycle was evaluated for each conjugate (Suppl. Table S9). Finally, each coverslip array underwent CODEX  
1043 multiplexed imaging.

1044

#### 1045 **CODEX Data Processing**

1046 Raw imaging data were then processed using the CODEX Uploader for image stitching, drift  
1047 compensation, deconvolution, and cycle concatenation. Processed data were then segmented using the  
1048 CellVisionSegmenter, a neural network R-CNN-based single-cell segmentation algorithm  
1049 (<https://github.com/michaellee1/CellSeg>)<sup>108</sup>. After upload, the images were again evaluated for specific  
1050 signal: any marker that produced an untenable pattern or a low signal-to-noise ratio was excluded from  
1051 the ensuing analysis. Uploaded images were visualized in ImageJ (<https://imagej.nih.gov/ij/>).

1052

1053 **CODEX Cell Type Analysis**

1054 Cell type identification was done following the methods developed previously<sup>67</sup>. Briefly, nucleated cells  
1055 were selected by gating DRAQ5, Hoechst double-positive cells, followed by z-normalization of protein  
1056 markers used for clustering (some phenotypic markers were not used in the unsupervised clustering).  
1057 The data were overclustered with X-shift (<https://github.com/nolanlab/vortex>). Clusters were assigned a  
1058 cell type based on average cluster protein expression and location within the image. Impure clusters  
1059 were split or reclustered following mapping back to the original fluorescent images.

1060

1061 **CODEX Cell-cell colocalization analysis and Shannon's Index**

1062 To evaluate enriched cell-cell interactions, we calculated the frequency of neighbors using a nearest  
1063 neighbor (n=10 neighbors) approach and compared the frequency of occurrences to 10,000  
1064 permutations of the cell type locations. We filtered this list for cell-cell interactions enriched within  
1065 certain conditions compared to the other disease states. Shannon's Diversity Index was calculated by  
1066 taking the negative sum of each proportion multiplied by the natural logarithm of the proportion.

1067

1068 **CODEX Neighborhood and Community Identification Analysis**

1069 Neighborhood analysis was performed as described previously<sup>109,110</sup>. Briefly, this analysis involved (i)  
1070 taking windows of cells across the entire cell type map of a tissue with each cell as the center of a  
1071 window, (ii) calculating the number of each cell type within this window, (iii) clustering these vectors,  
1072 and (iv) assigning overall structure based on the average composition of the cluster. Neighborhoods  
1073 were overclustered to 30 clusters. These clusters were mapped back to the tissue and evaluated for cell  
1074 type enrichments to determine overall structure and merged down into the final unique neighborhoods.  
1075 Communities were determined similar to how multicellular neighborhoods were determined with some  
1076 minor differences<sup>65</sup>. Briefly, the cells in the neighborhood tissue maps were taken with a larger window  
1077 size of 100 nearest neighbors. These windows were then taken across the entirety of the tissue and the  
1078 vectors clustered with k-means clustering and overclustering with 20 total clusters. These clusters were  
1079 mapped back to the tissue and evaluated for neighborhood composition and enrichment to determine  
1080 overall community type.

1081 **DECLARATION OF INTERESTS**

1082 G.P.N. has equity in and is a scientific advisory board member of Akoya Biosciences, Inc.  
1083 C.M.S. is a scientific advisor to, has stock options in, and has received research funding from  
1084 Enable Medicine Inc., and is a scientific advisor to AstraZeneca plc.  
1085 The other authors declare no competing interests.

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## Figure Legends

**Graphical Abstract.** To obtain a comprehensive picture of the coordinated changes in epithelial, stromal and immune compartments during development of Barrett's-associated esophageal adenocarcinoma, patient-matched samples corresponding to various phases of disease progression were collected from 12 patients, each of which had at a given time point lesions at multiple stages progression (matched-normal, metaplasia, dysplasia, and carcinoma). Matched "normal" gastric tissues were also collected. These sample were analyzed by single cell RNA-sequencing (scRNAseq) for single-cell resolution transcriptomics and Copy Number Variant (CNV), by proteomics for extracellular matrix (ECM) proteins, by Atomic Force Microscopy (AFM for tissue stiffness and by CODEX spatial proteomics imaging. The integrative multi-omics analysis exposed drastic alterations in cell type composition and shifts in cell states in all three compartments. A large subpopulation of fibroblasts absent in the normal esophagus and characteristic of dysplasia and adenocarcinoma sample, that based on markers would indeed be considered cancer associated fibroblasts (CAF), appeared already in the metaplastic phase. This fibroblast subpopulation had transcriptomes virtually indistinguishable with fibroblasts of the cancer free gastric epithelium in these patients

### Main Figures

**Figure 1. Integrated multiomics analysis of the progression of Barrett's Esophagus (BE) to esophageal adenocarcinoma (EA).** (A) Example of a surgical resection showing locations of samples representing the phases of disease progression and use in multiomic-analyses. (B) Gene markers (transcripts) used for classification of coarse-grained cell types from scRNAseq data. (C) UMAP visualization of the entirety of cells from all samples analyzed by scRNAseq color-labelled for clusters (Leiden unsupervised clustering), the coarse-grained cell types, tissue diagnosis, and patient IDs (clockwise from top left) obtained from scRNAseq. (D) UMAP visualization of all analyzed cells from all samples obtained from scRNAseq, color-labelled for the fine-grained cell type.

**Figure 2. Overview of pseudo-bulk analysis.** (A) Principal component analysis (PCA) displaying showing PC2 (x-axis) and PC3 (y-axis) of samples using pseudo-bulk transcriptome profiles for all cells (left panel), gastrointestinal (GI) epithelial cells (middle left panel), stromal cells (fibroblasts and endothelial cells) (middle right panel), and immune cells (T cells, B cells, Monocyte derived, Natural Killer (NK) cells, and dendritic cells (DC) (right panel)). Colors indicate the tissue diagnosis. (B) Heat maps showing changes in expression levels of selected genes (from left to right) for various pseudo-bulk schemes: all cells combined, gastro-intestinal (GI) epithelial cells, fibroblasts, endothelial cells and CD8 T cells at each phase of disease progression. (C) Heat map showing changes in expression levels of genes related to TGF-beta signaling in fibroblasts at each phase of disease progression.

**Figure 3. Stromal and immune cell subtype composition changes during BE progression.** (A) fibroblasts, (B) myofibroblasts, (C) endothelial cells, (D) T/Natural Killer (NK) cells, (E) myeloid cells (macrophages, monocytes, dendritic cells (DCs) and neutrophils), and (F) B/Plasma cells. **First column panels:** UMAP of cells from the respective cell type (including all diagnoses), color-coded by transcriptional cluster. **Second column panels:** Composition of

cells with respect to cluster (subtype) membership, for each “diagnosis” (=phase of progression)/tissue with same color codes as in UMAPs. **Third column panels:** Selected marker genes for clusters of interest. **Fourth Column panels:** TOP subpanel: Statistically significant enrichment (red) or depletion (blue) of a cellular subtype at each diagnosis (compared to *mNE*, determined by scCODA, Methods). BOTTOM panel: Composition of cells with respect to the diagnoses, for each transcriptional cluster (subtype).

**Figure 4. Large CNVs are markers of BE progression to malignancy.** (A) For each epithelial cell of each sample (horizontal axis) representing the phases of progression (color labels), the CNV burden (vertical axis) was estimated based on inferred CNVs from scRNAseq (Methods). Most tumor samples contained cells with significantly increased CNV score compared to the *mNE/mNS* samples (black horizontal line: 99% quantile of CNV score in *mNE/mNS* cells). (B) Number of cells with (black) and without (grey) CNVs, according to cell type (left panel) and to disease progression phase (right panel). Large CNVs were restricted to the epithelial compartment and appeared at the dysplastic phase. (C,D) CNVs for tumor E21 inferred from scRNAseq (C) and were consistent with CNVs obtained from scDNAseq (D). (E) UMAP of epithelial cells in transcriptome space with each cell’s CNV cluster membership identified in (C) shown in color (grey: CNVs absent). CNV clusters closely mimic transcriptional clusters.

**Figure 5. Proteomic analysis and Atomic Force Microscopy (AFM) reveal changes in the extracellular matrix composition and tissue mechanics during BE progression.** (A) Number of matrix or matrix-associated proteins detected by ECM proteomics grouped by protein class. (B) PCA of proteomic samples (*mNE*: green; *T*: red) shows differences in ECM-enriched protein extract composition between *mNE* and *T* samples. (C) Differential ECM protein abundances between *mNE* and *T* samples shows 43 and 55 proteins upregulated and downregulated in *T* compared to *mNE*, respectively. Proteins with the highest up/down-regulation in *T* vs. *mNE* are identified. (D) Positive correlation between ECM proteomic- (*y*-axis) and respective scRNAseq (*x*-axis) fold-changes in matched samples. Proteins of interest are highlighted in red font. (E) Expression of significantly down-regulated (top panel) and up-regulated (bottom panel) proteins identified by proteomic analysis (see C) grouped by scRNAseq cell type (including cells from all diagnoses). (F) Quantification of epithelium and stroma stiffness using AFM in a distinct cohort for the phases of progression, shown as violin plots. Each color represents an esophageal sample at a different phase from a given patient; included are here also samples from tumor-free individuals (“true normal”) as opposed to the matched-normal” samples from EA patients. Donors:  $n=3$ , for matched normal esophagus, esophagus metaplasia, and dysplasia;  $n=4$ , for esophageal cancer and true normal esophagus;  $n=2$  for matched normal stomach. Two-way Anova test with Tukey post hoc test was used as statistical test. Thick and thin dashed lines represent median and quartiles in the distribution, respectively.  $n = 50-150$  regions per patient, ns: not significant, \*\*\*\*  $p < 0.001$ .

**Figure 6. CODEX multiplexed proteomic imaging identifies epithelial and stromal tissue structures, exposing cell state changes.** (A) Representative images of *mNE*, *M*, *D* and *T* esophageal tissues from one patient (of a total of 5) from CODEX multiplexed fluorescent imaging for 6 of the 54 markers queried (scale bar = 500  $\mu$ m) and corresponding (B) coarse-grained, CODEX-defined epithelial cell types mapped back to tissue coordinates. (C) Neighborhood (NH) maps for *mNE* and *M*. (D) Percentage of metaplasia, as determined by a pathologist (*y*-axis) versus percentage of the “Specialized” NH from CODEX analysis of each of the 27 regions imaged (*x*-axis). (E) Magnified region of NH map for *M* sample shown in C (scale bar = 100  $\mu$ m). (F) Community (neighborhood of neighborhoods) maps for representative *mNE* (left panel) and *M* (right panel) samples. (G) Percentage of squamous (*mNE*) esophagus,



metaplasia (*M*), high grade dysplasia (HGD), and invasive EAC epithelium as determined by a pathologist (*y*-axis) versus percentage of community determined by CODEX for each of the 27 regions imaged (*x*-axis). **(H-J)** Cell type composition for each of the 4 communities (*mNE*: grey; *M*: purple; *D*: green; *T*: pink) that correlated with diseased epithelial in panel G, broken down by (H) epithelial, (I) immune, and (J) mesenchymal groupings. Percentages are provided in Suppl.Table S10. **(K)** cell-cell interaction analysis with  $-\log(p\text{-value})$  for each cell type pair compared to 10,000 random permutations, colored by overall disease state. **(L-N)** Representative images of the phases or progression: *mNE* (panel L), *D* (M), and *T* (N) from one donor (5 donors total) from CODEX imaging for 6 of the 54 markers queried (scale bar = 500  $\mu\text{m}$ ) and of magnified regions (scale bar = 50  $\mu\text{m}$ ). **(O)** Shannon's Diversity for cell types and proportions within each CODEX-defined community. **(P)** Schematic representation of overall changes in epithelial and stromal connections.

## Supplemental Figures

**Figure S1. Description of clinical samples and multi-omics analyses conducted.** **(A)** Table describing the samples analyzed in this study including sampling method (surgical resection (SR) or endoscopic biopsy (B)), tissue diagnosis (*mNE*: matched Normal Esophagus, *M*: Barrett's metaplasia, *D*: dysplasia, *T*: tumor, *mNS*: matched Normal Stomach - other abbreviations indicate a mixture of tissue types), type of analysis performed and specimen ID. **(B)** Selection of pathohistological images of scanned hematoxylin and eosin-stained tissue sections independently assessed by two expert esophageal pathologists to confirm tissue diagnosis.

**Figure S2. Overview of sample space and global cell type survey.** **(A)** UMAP visualization for non-batch corrected scRNAseq data of all analyzed cells across all histological diagnoses (progression phases), color-coded by cluster. Note that the non-batch corrected data show separation into patient-specific cancer cells. **(B)** UMAP visualization for batch corrected scRNAseq data of all analyzed cells across all histological diagnoses, color-coded by cluster. **(C)** Cells color-coded for predicted cell cycle phase (Methods). Subsets of epithelial tumor cells and T cells appear highly proliferative (G2M phase, green). **(D)** Fraction of proliferating cells (S or G2M phase) color-coded for each patient for each cell type and phase of progression (diagnosis). Error bars denote 5% and 95% Bayesian credibility intervals of a binomial model.

**Figure S3. Cell type-specific signatures of gene expression changes during BE progression.** Differential gene expression at each phase of progression (diagnosis) based on pseudo-bulk transcriptomes, for 8 major cell types. The observed gene expression patterns specific for various cellular compartments illustrate profound epithelial, stromal and immune tissue alterations associated with disease progression.

**Figure S4. Cell type composition changes during BE progression.** **(A)** TOP: Clustering of individual samples based on cell type composition (proportions) Each sample's diagnosis is indicated above the dendrogram. BOTTOM: Epithelial, stromal and immune percentages of each sample (aggregated from cell type proportions used for clustering) are shown in the heatmap below the dendrogram. **(B)** Sample level PCA performed with pseudo-bulk gene expression measures showed that the variation in the PCA was largely due to variation in cell type quantities as shown by correlation with the principal coordinates and cell type proportions across samples. **(C)** Cell type proportions (as shown in A) collapsed by diagnosis. **(D)** Significant changes in cell type composition across diagnoses. Statistically significant changes with respect to *mNE* (determined by scCODA, see Methods) are shown above the bar graph

(red: upregulated, blue: down-regulated). **(E)** Normalized expression of differentiation markers in epithelial cells across the different phases of disease progression shows a loss of differentiation at the D/T phase of progression.

**Figure S5. Copy number profile (A) and distribution of copy number clusters/clones across samples and diagnoses (B) inferred from scRNAseq.** While dysplastic (*D*) cells (samples E07C and E07D1) form their own CNV clusters (clones 18 and 37), cells from matched *T* (sample E07B) show similar CNVs (clone 21), e.g., a gain of chr6p and a loss of chr6q indicating a clonal relationship between *D* and *T*.

**Figure S6. Protein abundance changes in EAC (*T*) compared to matched normal esophagus (*mNE*).** **(A)** Top 10 proteins with most altered abundance detected in ECM-enriched samples or *T* vs. *mNE* tissues. **(B)** Core matrixosomal protein signatures altered in *T* versus *mNE*.

**Figure S7. (A) Identification of likely cell source of proteins with altered abundances during BE progression.** Gene expression fold changes in scRNAseq pseudo-bulk analysis were queried for proteins that were identified as differentially abundant in the ECM proteomic analysis, i.e., either decreased (left panel) or increased (right panel) in *T* vs. *mNE*, respectively (Fig. 5 and Table S5). Cell type specificity and abundance for each transcript is displayed as circles (color-coded for cell type and size-scaled for cell abundance). **(B)** Plots with changes in mRNA expression of periostin (POSTN) inferred from scRNAseq data, grouped by cell type and diagnosis, reveals complexity changes that depends on cell source (shown in A).

**Figure S8. AFM mechanics is consistent with a shift in tissue identity from squamous esophagus to BE (esophagus to stomach).**

**(A)** Workflow of sample preparation for AFM stiffness measurements. **(B)** Stromal and epithelial esophagus stiffness gradients were compared at various phases of progression to EAC. Representative H&E images and corresponding AFM stiffness maps showing difference between stroma and epithelium stiffness at different phases of esophageal cancer progression and comparison with matched normal squamous esophagus (i.e., *mNE* adjacent to *BE* and tumor) and true normal (disease-free) squamous esophagus tissues. Dashed lines indicate the interface between stroma (*S*) and epithelium (*E*). Scales: 200  $\mu$ m.

**Figure S9. Cell type-specific analysis from CODEX multiplexed proteomics imaging of samples corresponding to disease progression phases of BE-associated EAC.**

**(A)** Schematic for overall approach to image tissues with CODEX imaging. **(B)** Representative H&E image taken after CODEX imaging with percentage of diagnosis (based on epithelial cell histology) labeled by pathologists. **(C-D)** Quantification of epithelial percentage (*y*-axis) for each overall (C) sub and (D) major diagnosis by pathologist from the H&E stain of the tissue section following CODEX multiplexed imaging. **(E)** Average protein expression (*x*-axis) by cell type (*y*-axis) derived from the clustered CODEX multiplexed imaging data. **(F)** Epithelial cell type percentages across the different disease phases as diagnosed by pathologists.

**Figure S10. Comparison of cell type abundances across patients analyzed by both scRNAseq (A, C) (clinical samples) and CODEX (B, D) (adjacent tissue regions) grouped by sample (A, B) and diagnosis (C, D).**

**Figure S11. Multicellular Neighborhood (NH) analysis by CODEX imaging reveals multicellular neighborhood tissue reorganization during the progression of BE to EAC.**

**(A)** Maps of multicellular neighborhoods mapped back to original coordinates for one

representative patient (5 patients total). **(B)** Definition of NHs based on cell types enrichment (red) or depletion (blue) within individual neighborhoods identified in Figure S8E. Rows represent hence -identified NHs, and their name given on the left. **(C)** Percentage of “Apical Squamous” NH within the different progression phases. **(D)** Average percentage of the epithelial neighborhoods within each of the phases as determined by a pathologist post H&E staining. **(E)** Percentage of high-grade (HG) dysplastic epithelium as determined by a pathologist (*y*-axis) versus percentage of “Stroma and Neutrophil” NH determined by CODEX for each of the 27 regions imaged. **(F)** Percentage of “Specialized” NH within the different disease phases. **(G)** Percentage of epithelial cell types within the “Specialized” NH.

**Figure S12. Community (Neighborhood of neighborhoods) analysis of CODEX imaging illustrates the dynamic rearrangements of intricate epithelial-stromal cell entities during BE progression.** **(A)** Percentage of epithelial cells as determined by a pathologist (*y* axis) versus percentage of the neighborhoods as determined by CODEX for each of the 27 regions imaged with correlations. **(B)** Neighborhoods enriched or depleted within individual communities identified. **(C)** Maps of communities mapped back to original coordinates for one representative example donor. Community color code is the same as in B. **(D-E)** Percentage of cell type within each community that correlated with pathologist-classified epithelium for (D) epithelial cell types and (E) neutrophils. **(F)** Percentage of neighborhoods within each community that correlated with pathologist-classified epithelium. **(G-H)** Percentage of cell type within each community that correlated with pathologist-classified epithelium for (G) macrophage phenotypes and (H) CD4+ Tregs. **(I)** Percentage of CD4+ Tregs in progression phases. **(J)** Percentage of CD4+ Tregs versus percentage of CD36hi endothelial cells within the same sample imaged.

**Figure S13. Cell-cell interaction analysis from CODEX images reveals dynamic and increasingly diverse cell interaction pairs during BE progression.** **(A-C)** Significant (average *p*-value <0.05 ) cell-cell interactions shown for (A) metaplasia, (B) dysplasia, and (C) tumor classified samples. **(D)** Cell-cell pairs found enriched in *M*, *D* and *T* disease phases with dashed line representing a *p* value of 0.05. **(E-F)** Percentage of (E) plasma cells and (F) CD36hi endothelial cells found across different disease phases. **(G-I)** Subset of cells identified by CODEX imaging replotted to tissue coordinates for (G) metaplasia, (H) dysplasia, and (I) tumor samples.

## Supplemental Tables

**Table S1. Patient and biosample metadata.** Patient information, biosample descriptions, and histological diagnosis are provided for 64 samples.

**Table S2. Coarse grained differentially expressed genes.** For each of 16 coarse grained cell types, differential expression was calculated comparing phases of progressing with DESeq2 and the pseudo-bulk transcriptomes per biosample. Results were filtered through association testing with the ambient RNA from empty droplets.

**Table S3. Fine grained gene markers.** Gene markers of fine grained cell types based on statistical differential expression analysis between clusters.

**Table S4. Fibroblast pathway signature scores.** Using the DEGs of fibroblasts, B cells, natural killer cells (NK) and monocyte-derived cells, selected pathways were tested for association using Enrichr. Inputs were DEGs filtered to have at least a log<sub>2</sub> fold change of 0.58 and adjusted p-value of 0.05.

**Table S5. Patient cell counts.** From the single cell RNA-seq data, counts of both coarse grain and fine grained cell types per patient.

**Table S6. ECM Proteomic differential abundance statistics.** Comparing ECM protein abundance between pooled Tumor (T) samples versus matched Normal Esophagus (mNE) samples using paired T-tests with multiple testing correction.

**Table S7. ECM Proteomics DIA isolation scheme.** Samples were analyzed for ECM protein abundance by data-independent acquisition (DIA) using variable-sized windows covering the m/z 400-1,250 range.

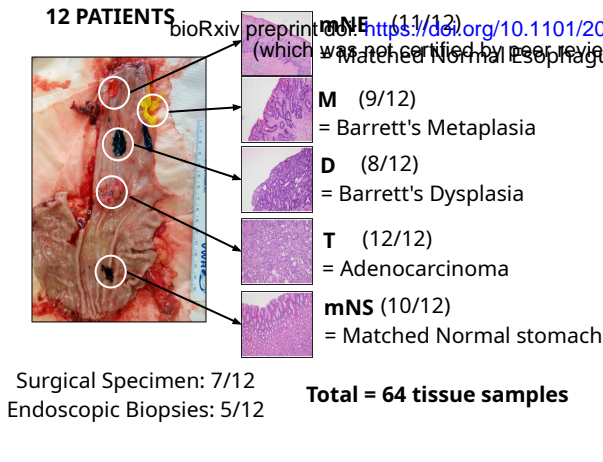
**Table S8. CODEX Pathology concordance.** Using imaging data from 5 human donors, three pathologists independently evaluated the H&E staining of the sections performed on the same tissue sections that were used for the CODEX multiplexed imaging. Pathologist scores were then aggregated and averaged for disease phase granular diagnosis (e.g., mNE, mNS, M), and estimated percentages of type of epithelium in each image (e.g., % squamous, % metaplasia, % dysplasia, % tumor).

**Table S9. CODEX antibody information.** The antibody panels used in generating CODEX data were chosen to include targets that identify subtypes of intestinal epithelium and stromal cells, and cells of the innate and adaptive immune system.

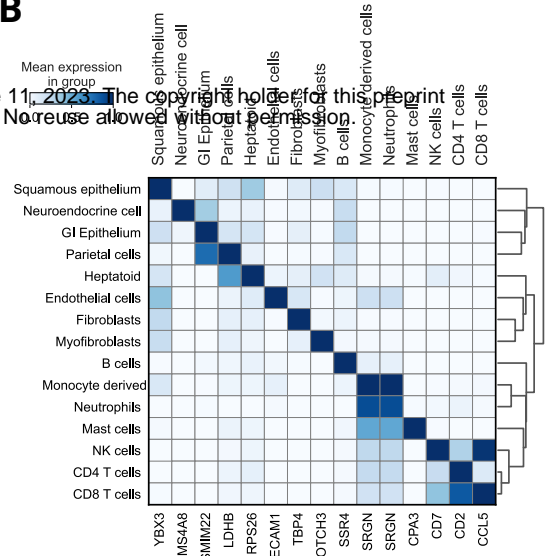
**Table S10. CODEX communities.** Percentages of cell type composition for each of the 4 communities (mNE: grey; M: purple; D: green; T: pink) that correlated with diseased epithelial, broken down by epithelial, immune, and mesenchymal groupings.

**Table S11. CODEX neighborhood analysis.** Spatial neighborhood (NH) information was used for cell-cell interaction statistics across progression phases (M, D, T). The frequency of neighbors, using a nearest neighbor approach, was compared to the frequency of occurrences in null-models, achieved by 10,000 permutations of cell type locations.

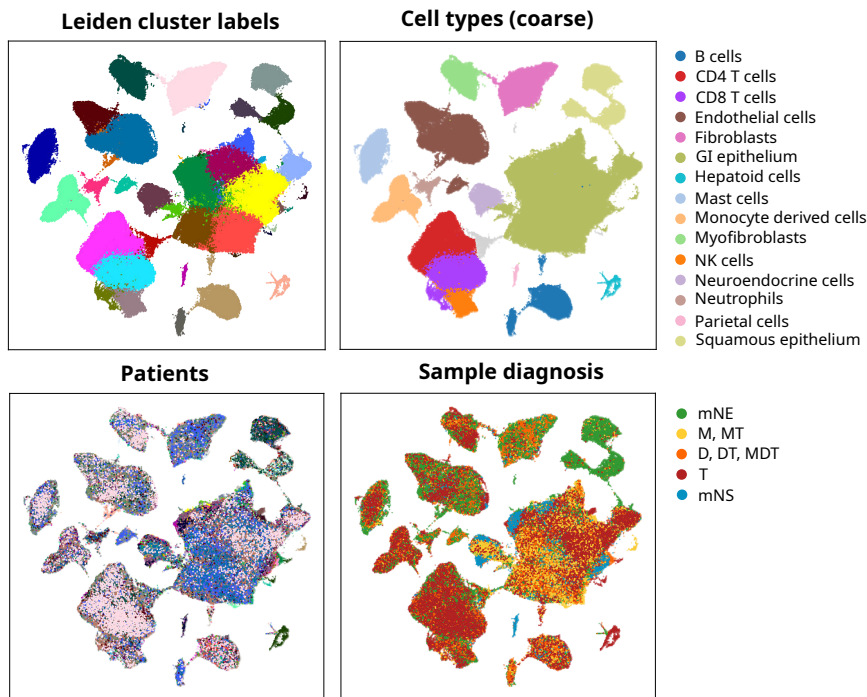
**A**



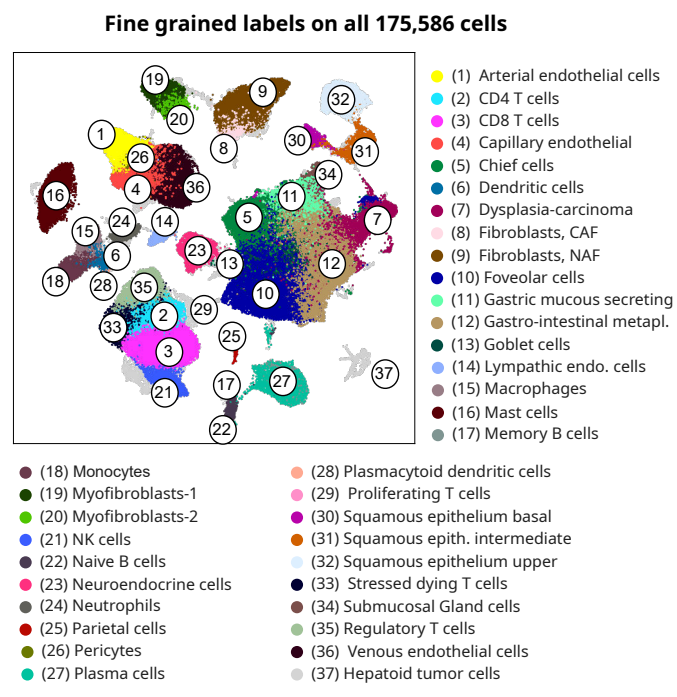
**B**



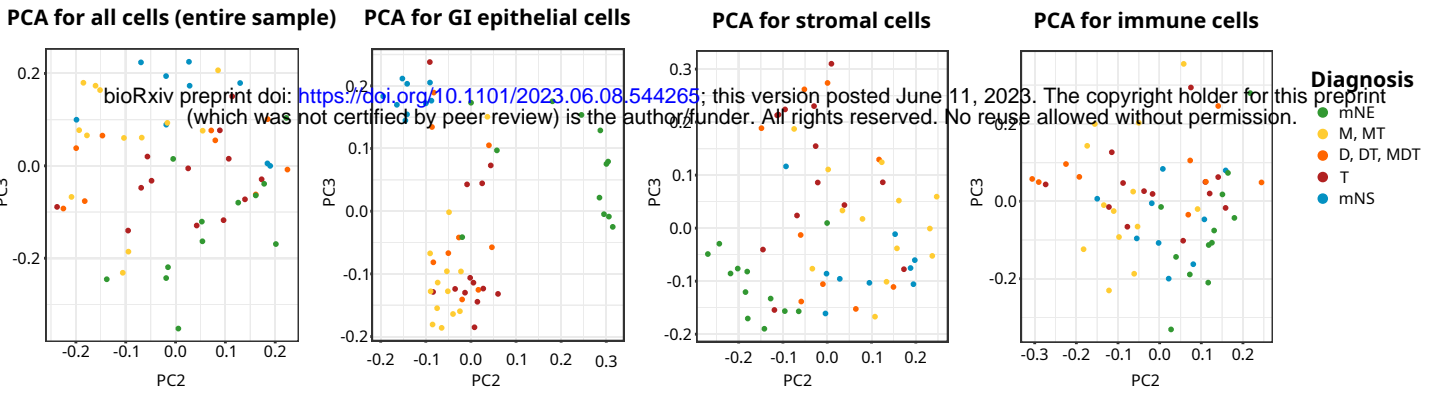
**C**



**D**

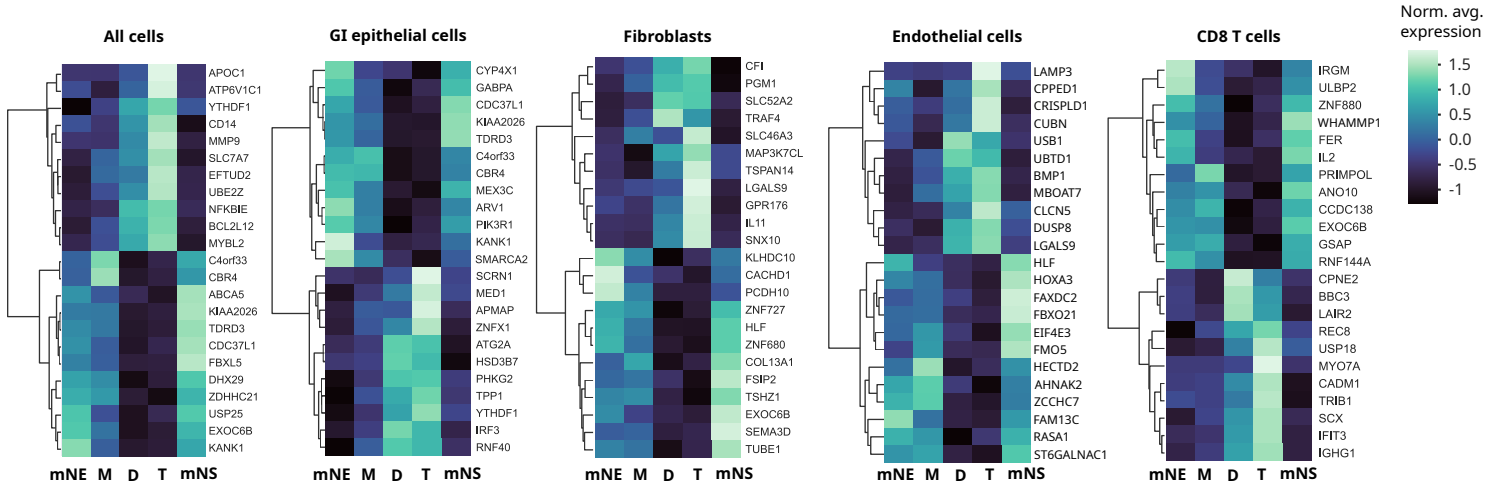


Sample grouping by similarity of pseudo-bulk-transcriptome

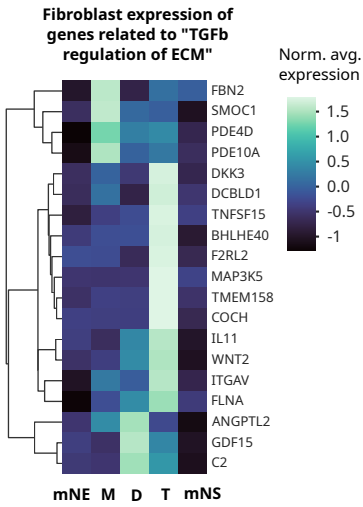


**B**

Average gene expression across tissues

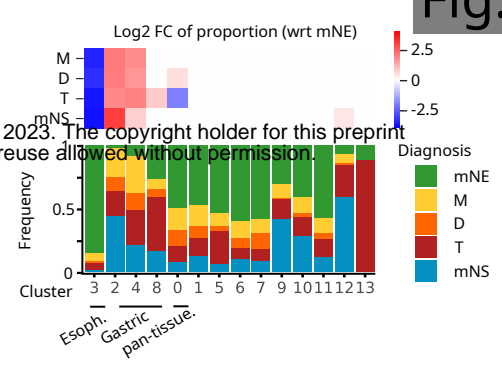
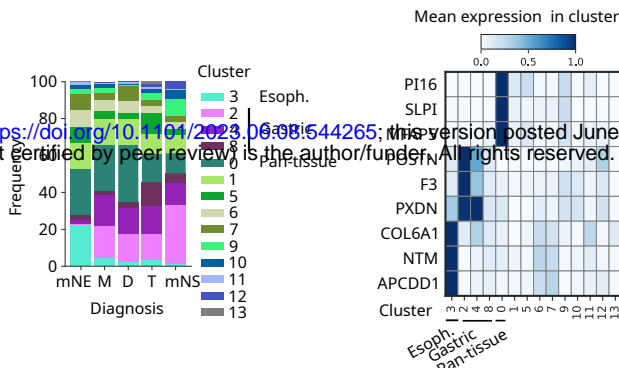
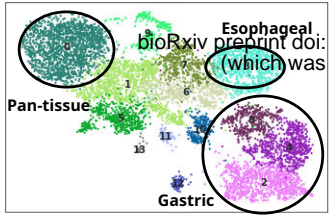


**C**



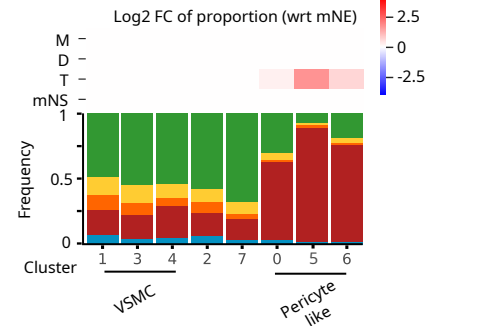
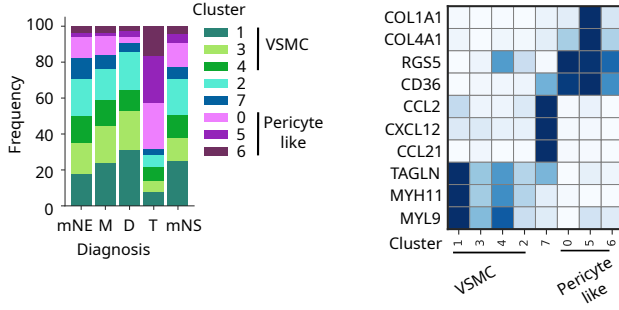
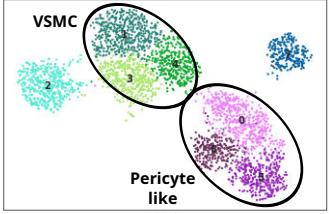
**A**

**Fibroblast subpopulations**



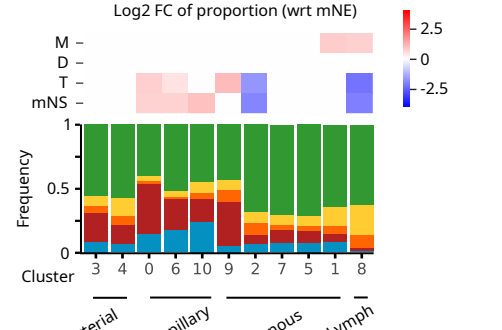
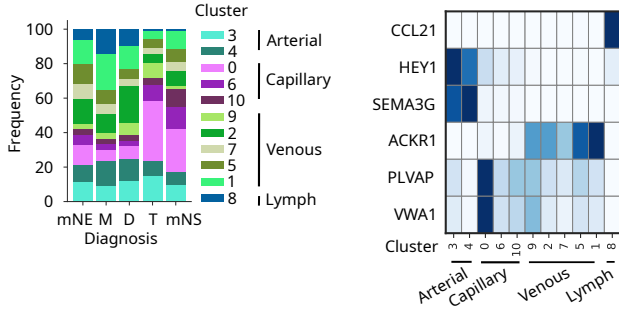
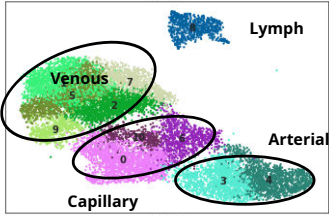
**B**

**Myofibroblast subpopulations**



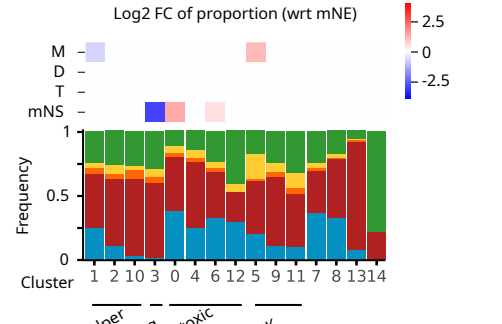
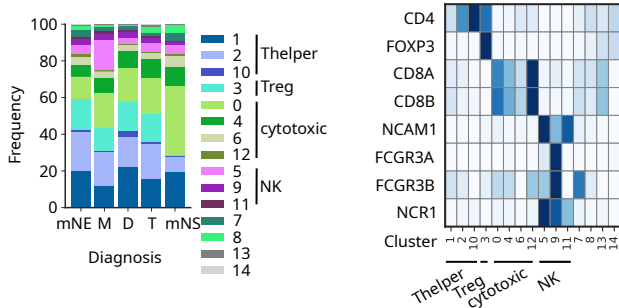
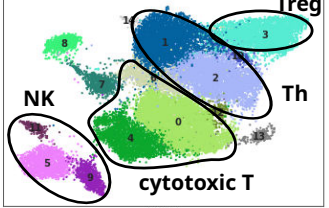
**C**

**Endothelial cell subpopulations**



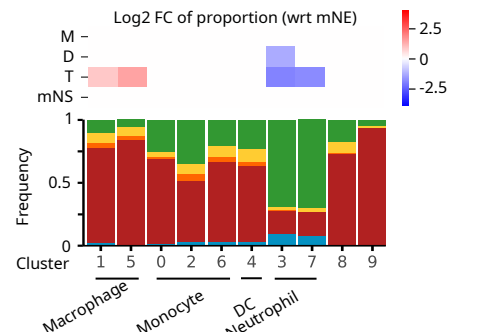
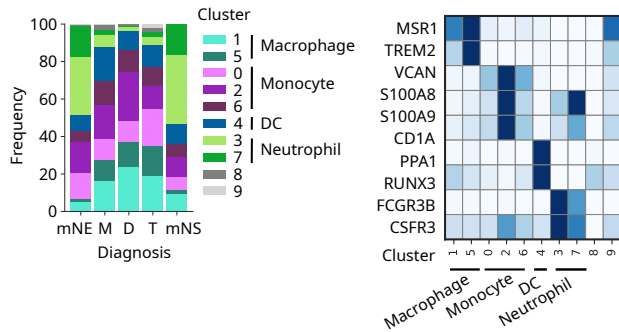
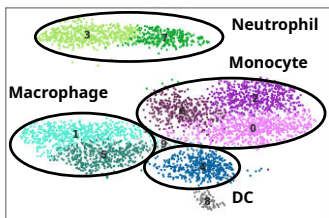
**D**

**T/NK cells subpopulations**



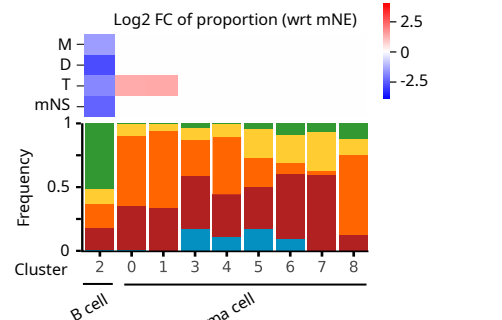
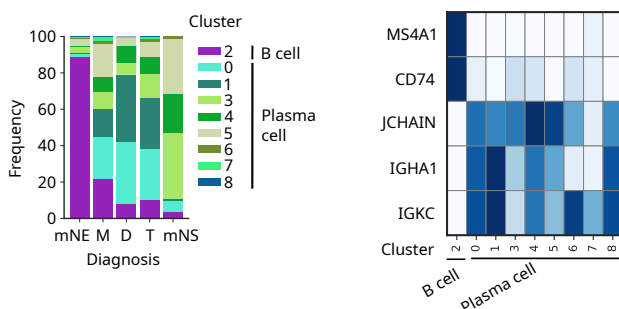
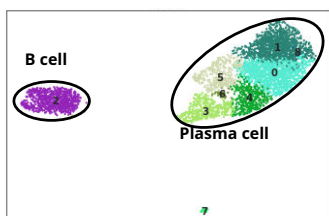
**E**

**Myeloid cell subpopulations**

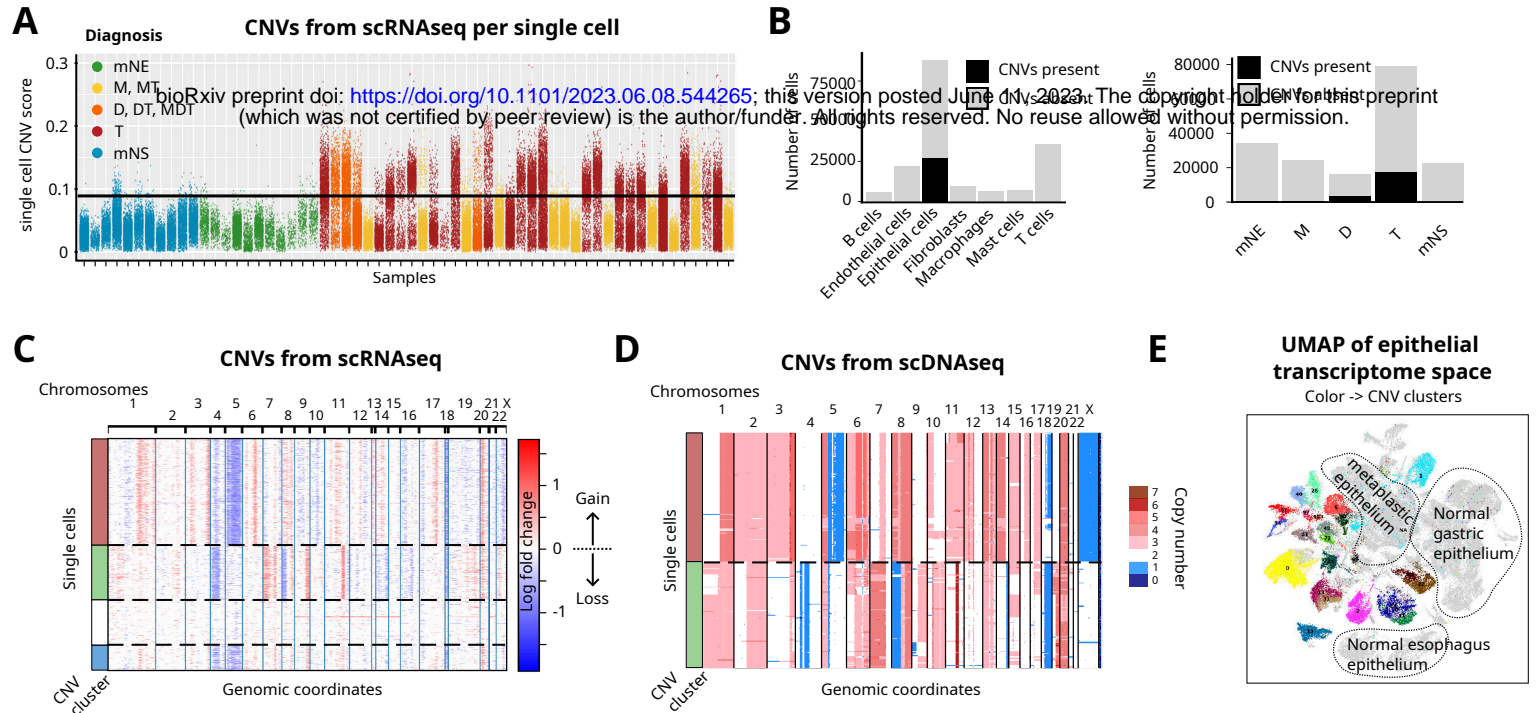


**F**

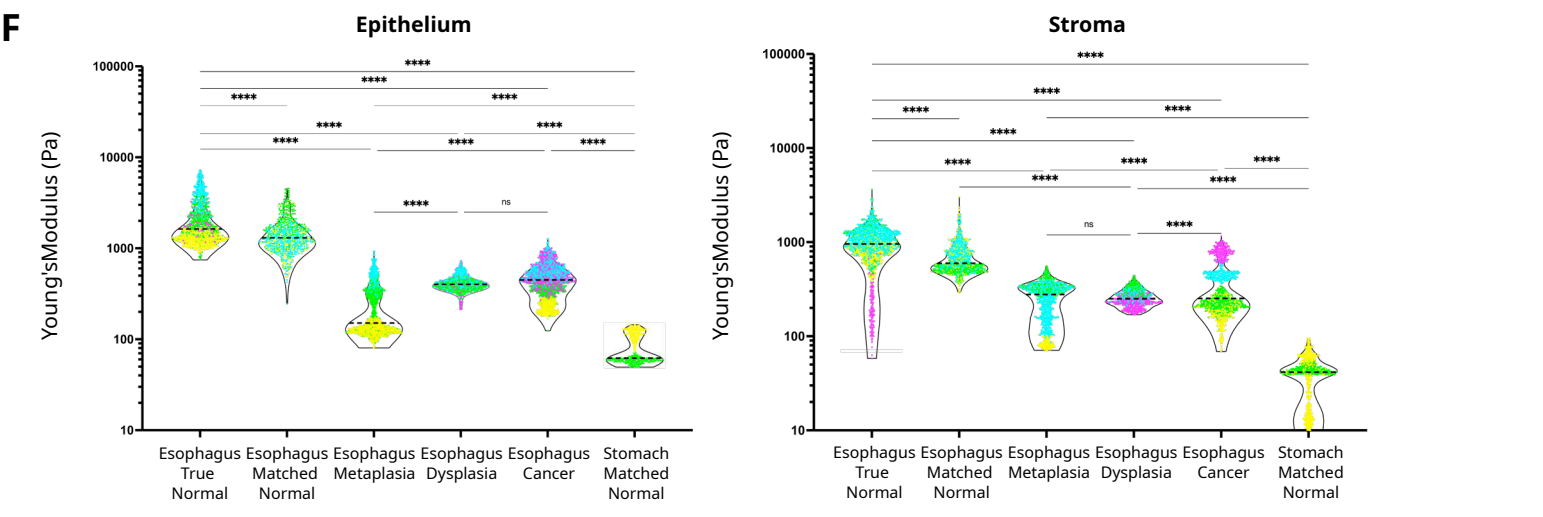
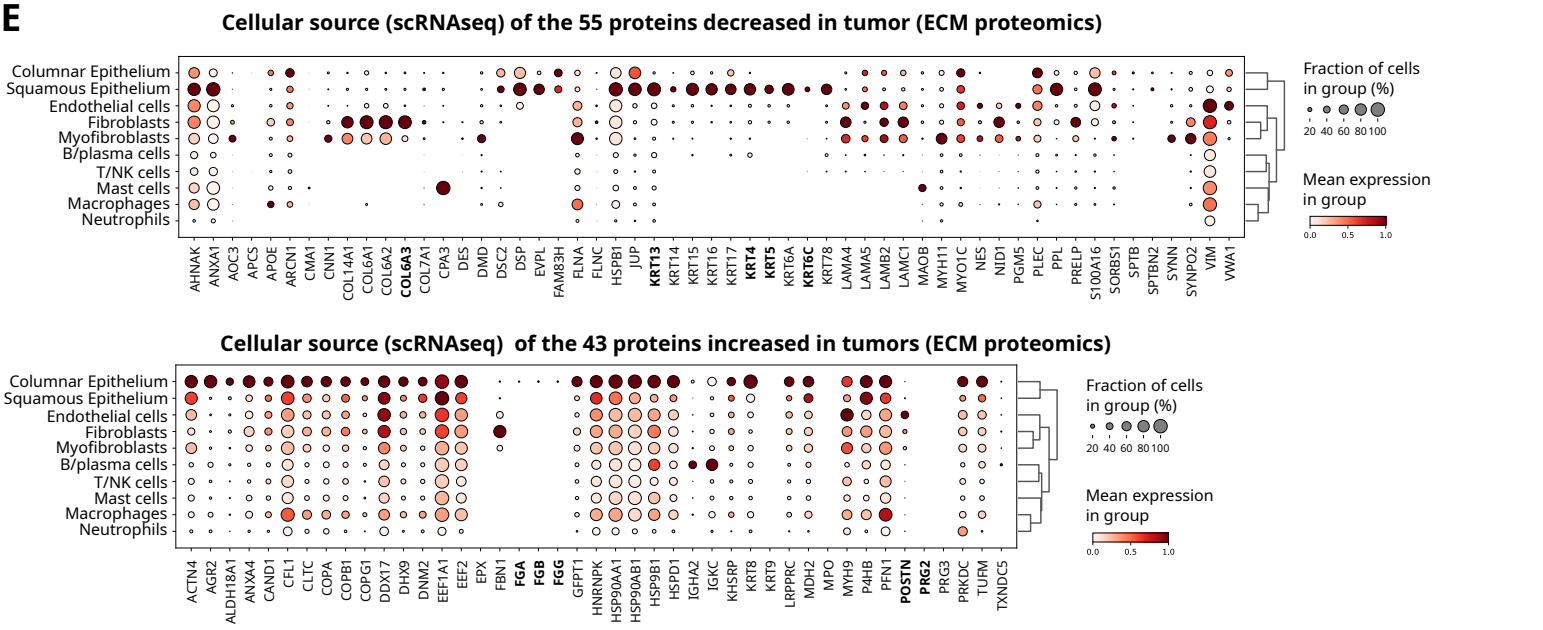
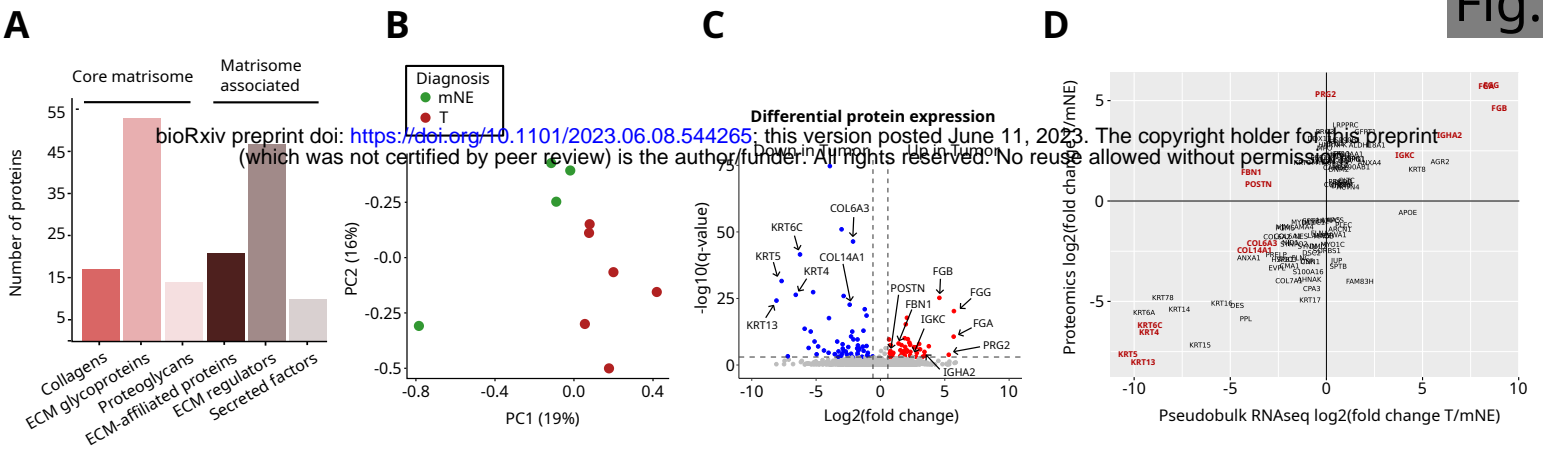
**B/Plasma cell subpopulations**

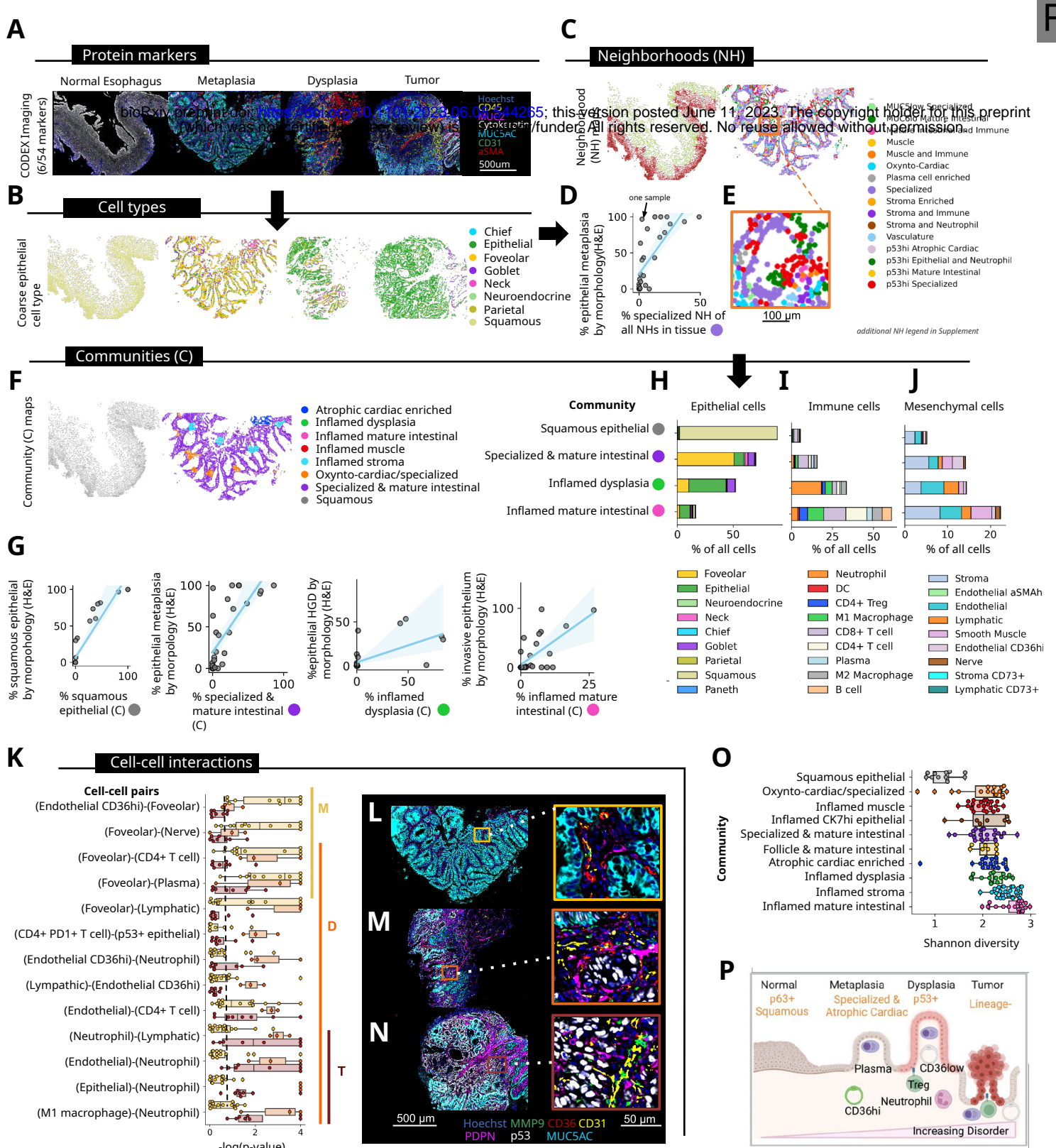


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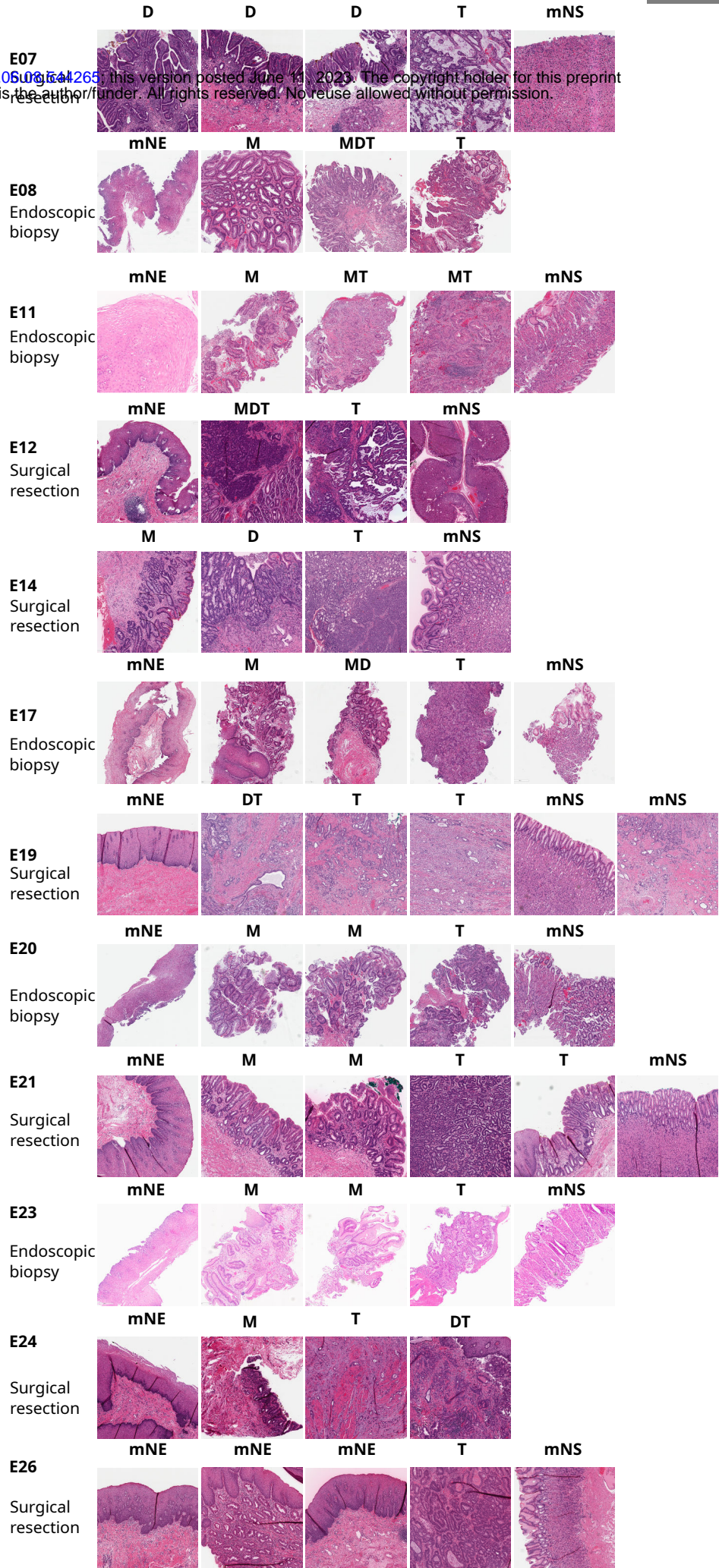


**A**

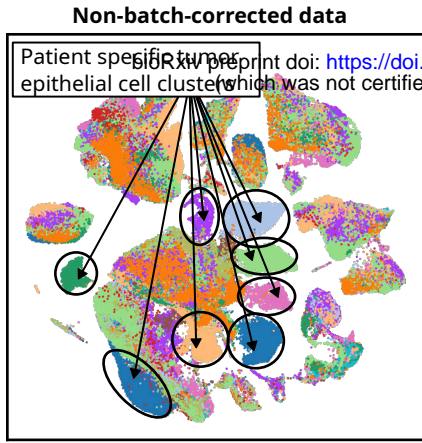
PATIENT	Type	Histological	ANALYSIS PERFORMED			SPECIMEN-ID
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E07	SR	D	Y	N	N	E07-c
		D	Y	N	N	E07-d1
		D	Y	N	N	E07-e
		T	Y	N	N	E07-f
E08	B	mNS	Y	N	N	E07-a
		mNE	Y	N	Y	E08-d
		M	Y	N	Y	E08-a
		TDM	Y	N	Y	E08-b
E11	B	T	Y	N	Y	E08-c
		mNE	Y	N	Y	E11-e
		M	Y	N	Y	E11-d
		MT	Y	N	Y	E11-b
E12	SR	TM	Y	N	Y	E11-c
		mNS	N	N	Y	E11-a
		mNE	Y	N	Y	E12-a
		MDT	Y	N	Y	E12-c
E14	SR	T	Y	N	N	E12-b
		mNS	Y	N	Y	E12-d
		mNE	Y	Y	N	E14-a
		M	Y	N	N	E14-c
E17	B	D	Y	N	N	E14-d
		T	Y	Y	N	E14-b
		mNS	N	N	N	E14-e
		mNE	Y	N	Y	E17-d
E19	SR	M	Y	N	Y	E17-b
		MD	Y	N	Y	E17-c
		T	Y	N	Y	E17-a
		mNS	Y	N	Y	E17-e
E20	B	mNE	Y	N	Y	E19-b
		TD	Y	N	Y	E19-f
		T	Y	N	Y	E19-a
		T	Y	N	N	E19-d
E21	SR	mNS	Y	N	N	E19-c
		mNS	Y	N	N	E19-e
		mNE	N	N	N	E20-e
		M	Y	N	N	E20-c
E22	B	M	Y	N	N	E20-d
		T	Y	Y	N	E20-b
		mNS	Y	N	N	E20-a
		mNE	Y	Y	N	E21-f
E23	B	M	Y	N	N	E21-a
		M	Y	N	N	E21-d
		T	Y	N	N	E21-b
		T	Y	Y	N	E21-c
E24	SR	mNS	Y	N	N	E21-e
		mNE	Y	N	N	E22-b
		mNE	Y	N	N	E22-c
		mNE	N	N	N	E22-e
E26	SR	T	Y	N	N	E22-d
		mNS	Y	N	N	E22-a
		mNE	Y	N	N	E23-e
		M	Y	N	N	E23-b
E26	SR	M	Y	N	N	E23-d
		T	Y	N	N	E23-c
		mNS	Y	N	N	E23-a
		mNE	Y	Y	N	E24-a
E26	SR	M	Y	N	N	E24-c
		T	Y	Y	N	E24-b
		TD	Y	N	N	E24-d
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E26	SR	mNE	Y	N	N	E26-c
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		T	Y	Y	N	E26-b
		mNS	Y	N	N	E26-e

**B**

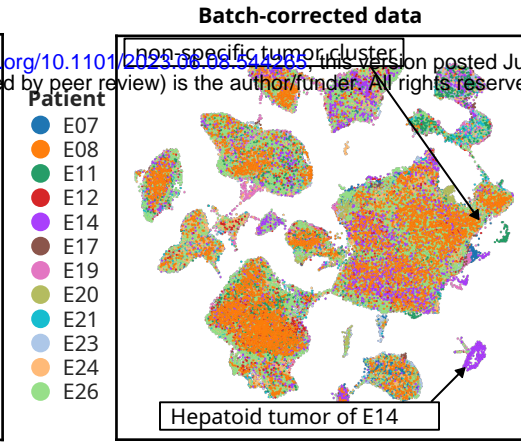
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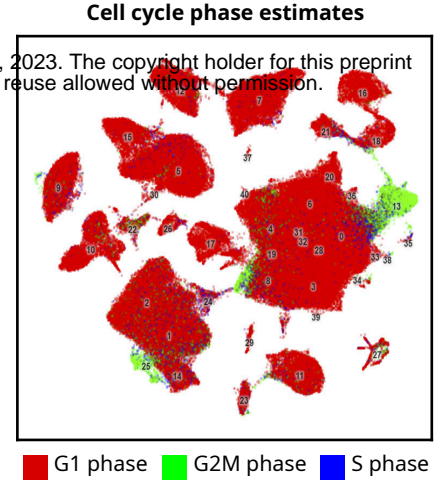
A



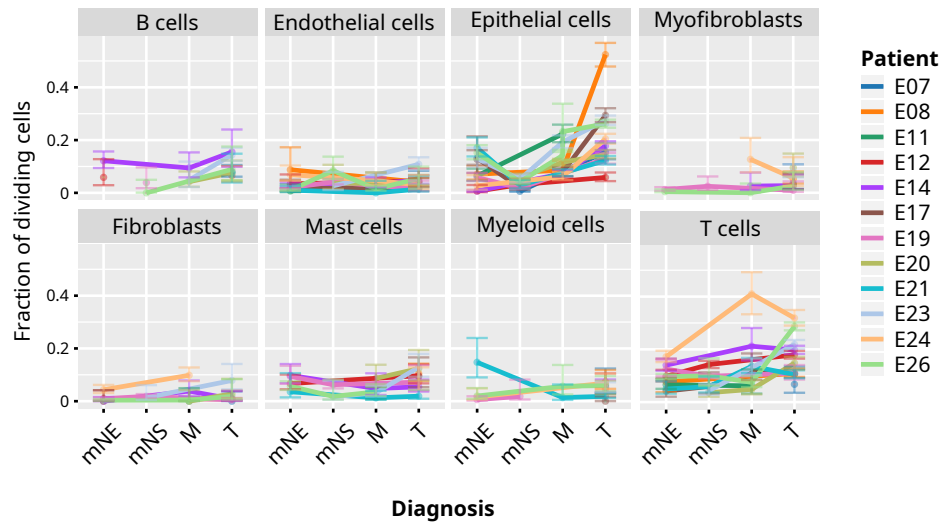
B



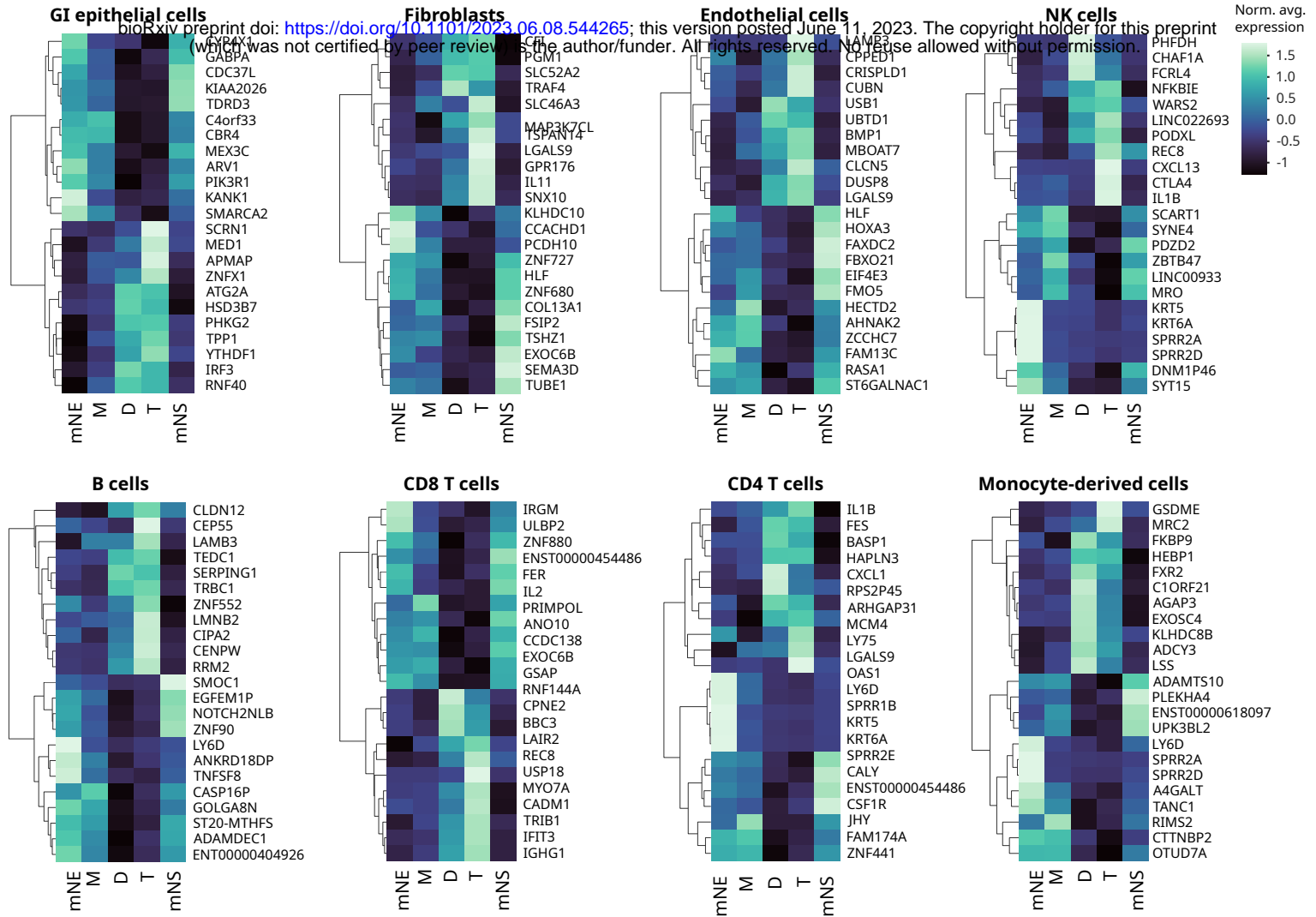
C



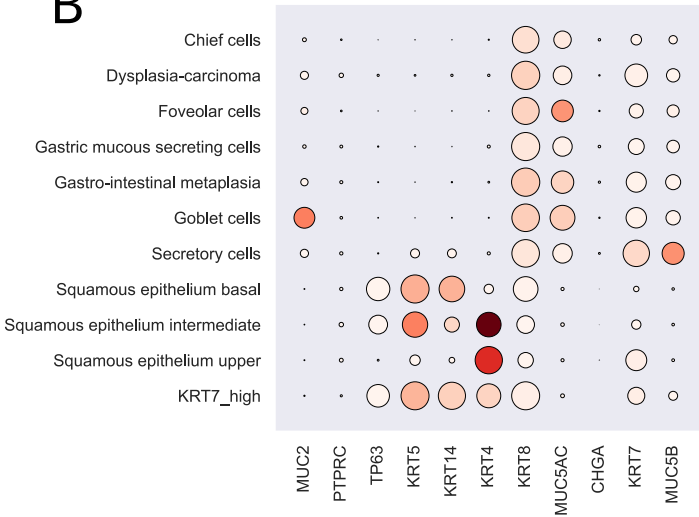
D



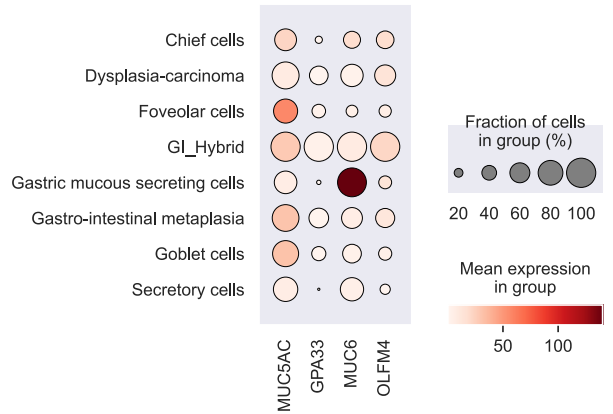
A



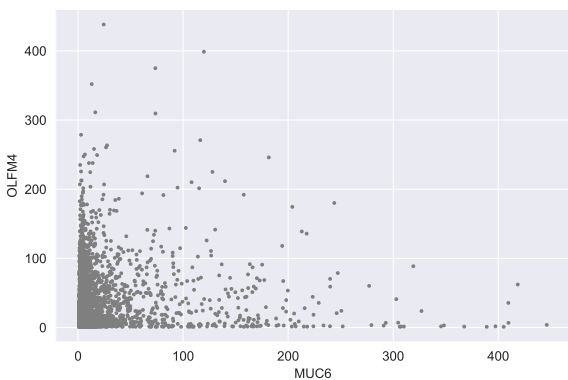
B



C



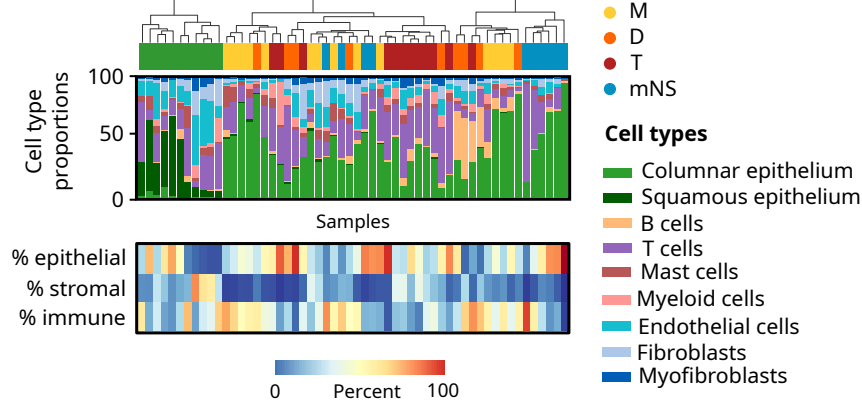
D



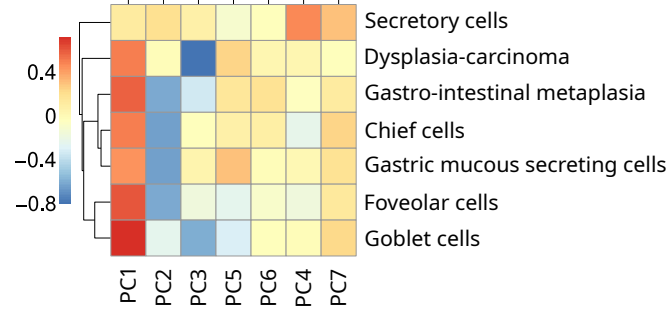
**A**

**Sample dendrogram based on similarity in cell type abundance**

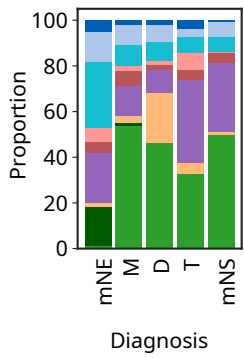
bioRxiv preprint doi: <https://doi.org/10.1101/2023.06.11.544265>; this version posted June 11, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



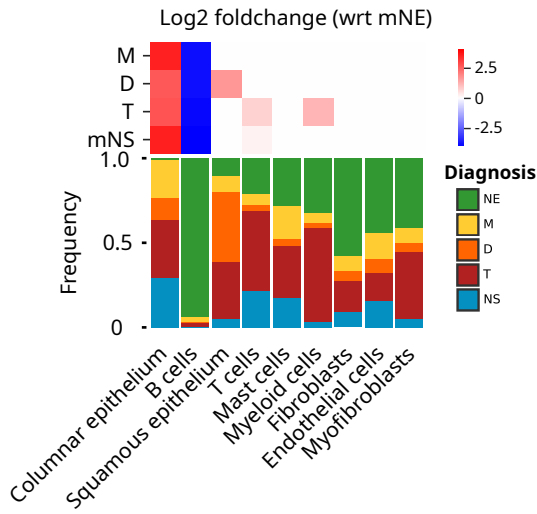
**B**



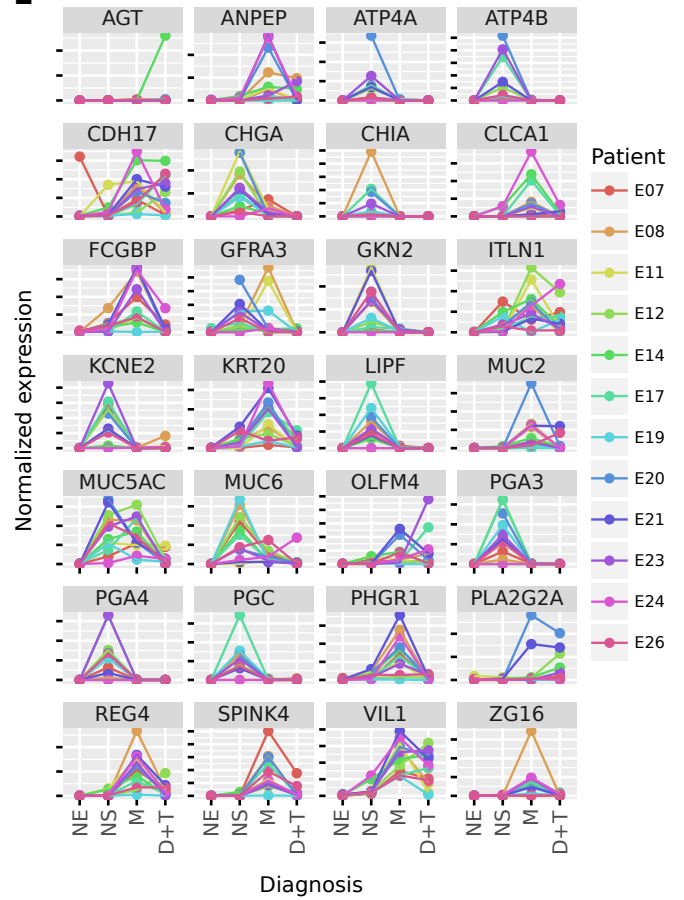
**C**



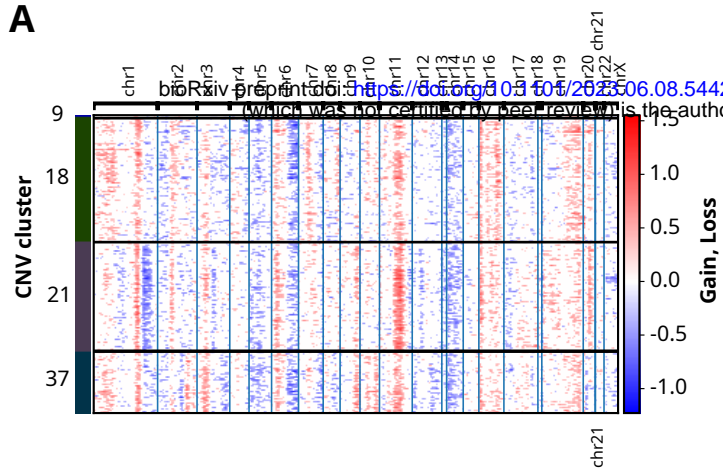
**D**



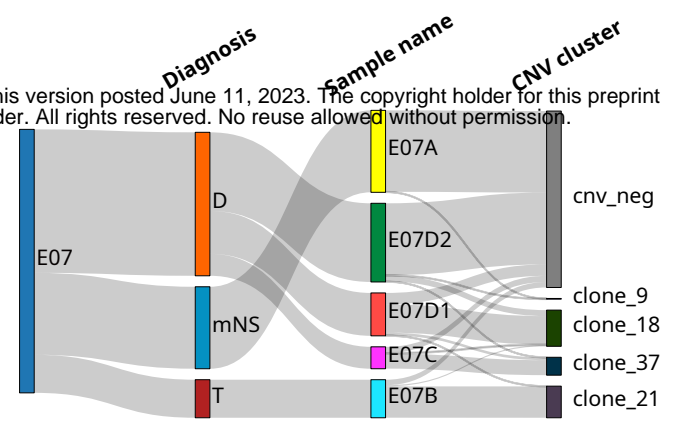
**E**



A



B



## A. Top 10 proteins most altered in abundance detected in ECM preparation of tumor vs. normal esophagus

UniProt IDs	Genes	Protein Names	Protein Descriptions	Log <sub>2</sub> (FC)	Log <sub>2</sub> (FC)	Ratio	Qvalue
Q09666	AHNAK	AHNAK_HUMAN	Neuroblast differentiation-associated protein AHNAK	-3.93	3.93	0.07	1.85E-75
P15924	DSP	DESP_HUMAN	Desmoplakin	-3.02	3.02	0.12	1.06E-51
P12111	COL6A3	CO6A3_HUMAN	Collagen alpha-3(VI) chain	-2.13	2.13	0.23	4.03E-47
P48668	KRT6C	K2C6C_HUMAN	Keratin, type II cytoskeletal 6C	-6.25	6.25	0.01	2.94E-42
P13647	KRT5	K2C5_HUMAN	Keratin, type II cytoskeletal 5	-7.68	7.68	0.00	2.78E-32
P17661	DES	DESM_HUMAN	Desmin	-5.23	5.23	0.03	4.23E-28
P19013	KRT4	K2C4_HUMAN	Keratin, type II cytoskeletal 4	-6.58	6.58	0.01	4.32E-27
Q14315	FLNC	FLNC_HUMAN	Filamin-C	-2.86	2.86	0.14	1.16E-26
P02675	FGB	FIBB_HUMAN	Fibrinogen beta chain	4.58	4.58	23.91	5.89E-26
P13646	KRT13	K1C13_HUMAN	Keratin, type I cytoskeletal 13	-8.08	8.08	0.00	6.28E-25

q-value < 0.001 & absolute Log<sub>2</sub>(FC) > 0.58

## B. Matrisomal proteins altered in Tumor versus normal (patient-matched) esophagus

Genes	UniProt ID	Protein Descriptions	Matrisome Categories	Tumor vs Matched Normal
ANXA1	P04083	Annexin A1	ECM-affiliated proteins	-2.86
ANXA4	P09525	Annexin A4	ECM-affiliated proteins	1.88
COL14A1	Q05707	Collagen alpha-1(XIV) chain	Collagens	-2.39
COL6A1	P12109	Collagen alpha-1(VI) chain	Collagens	-1.78
COL6A2	P12110	Collagen alpha-2(VI) chain	Collagens	-1.81
COL6A3	P12111	Collagen alpha-3(VI) chain	Collagens	-2.13
COL7A1	Q02388	Collagen alpha-1(VII) chain	Collagens	-4.00
FBN1	P35555	Fibrillin-1	ECM glycoproteins	1.41
FGA	P02671	Fibrinogen alpha chain	ECM glycoproteins	5.69
FGB	P02675	Fibrinogen beta chain	ECM glycoproteins	4.58
FGG	P02679	Fibrinogen gamma chain	ECM glycoproteins	5.71
LAMA4	Q16363	Laminin subunit alpha-4	ECM glycoproteins	-1.32
LAMA5	O15230	Laminin subunit alpha-5	ECM glycoproteins	-0.98
LAMB2	P55268	Laminin subunit beta-2	ECM glycoproteins	-1.75
LAMC1	P11047	Laminin subunit gamma-1	ECM glycoproteins	-1.08
NID1	P14543	Nidogen-1	ECM glycoproteins	-2.10
POSTN	Q15063	Periostin	ECM glycoproteins	0.78
PRELP	P51888	Prolargin	Proteoglycans	-2.70
PRG2	P13727	Bone marrow proteoglycan	Proteoglycans	5.31
PRG3	Q9Y2Y8	Proteoglycan 3	Proteoglycans	3.43
S100A16	Q96FQ6	Protein S100-A16	Secreted factors	-3.55
VWA1	Q6PCB0	von Willebrand factor A	ECM glycoproteins	-1.71

Log<sub>2</sub>(FC)



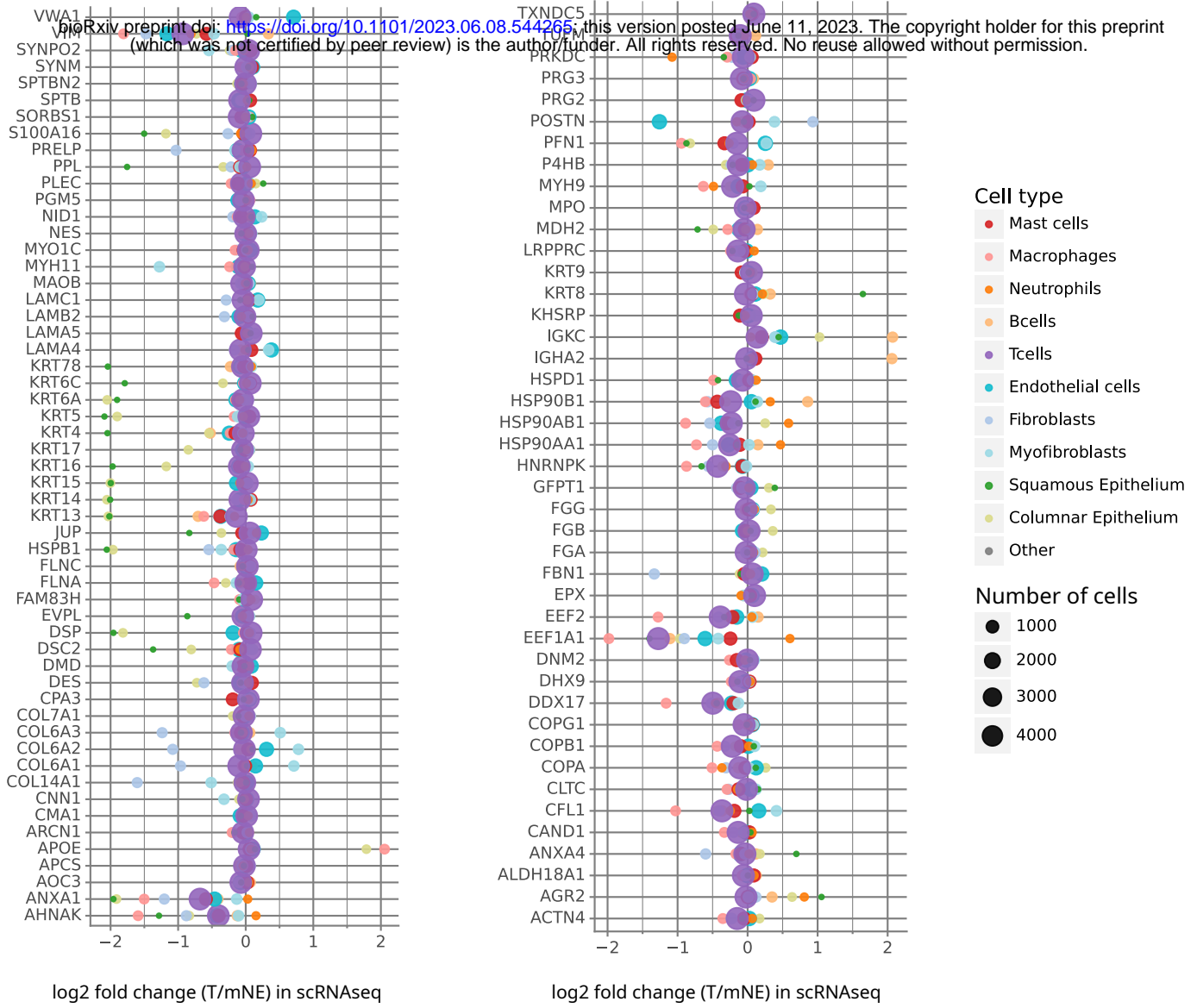
color display Log<sub>2</sub>(FC) for the significantly altered proteins



A

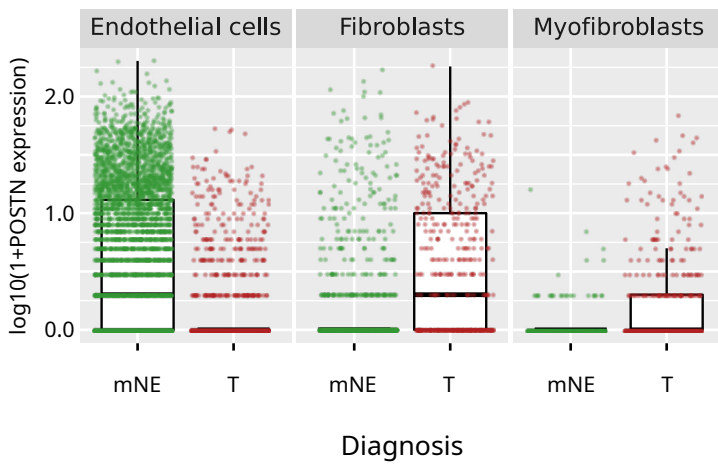
Downregulated (mNE->T) genes in ECM proteomics

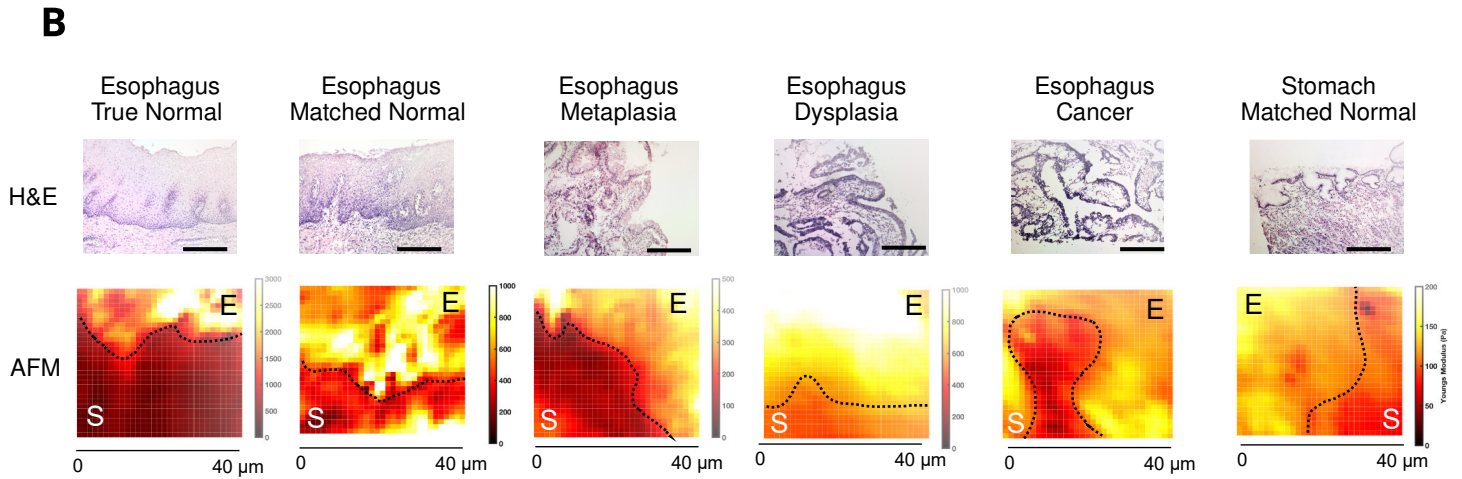
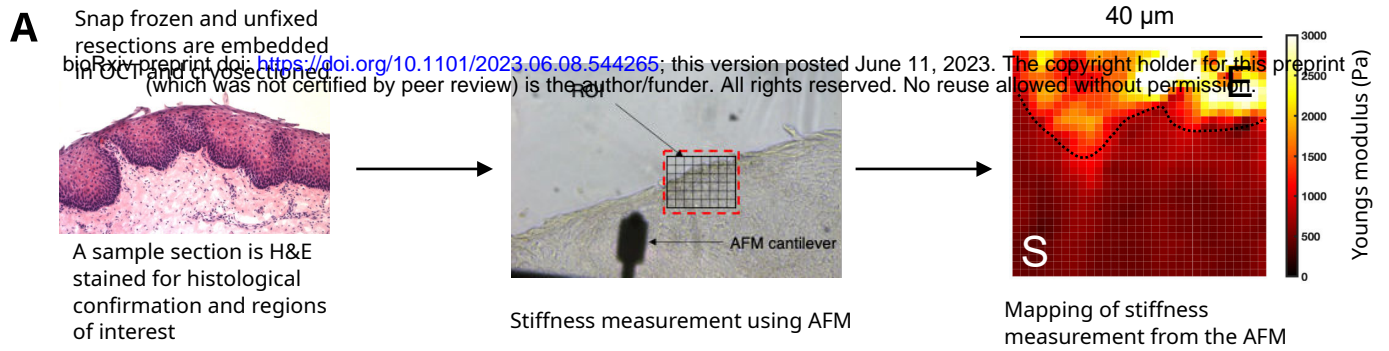
Upregulated (mNE->T) genes in ECM proteomics



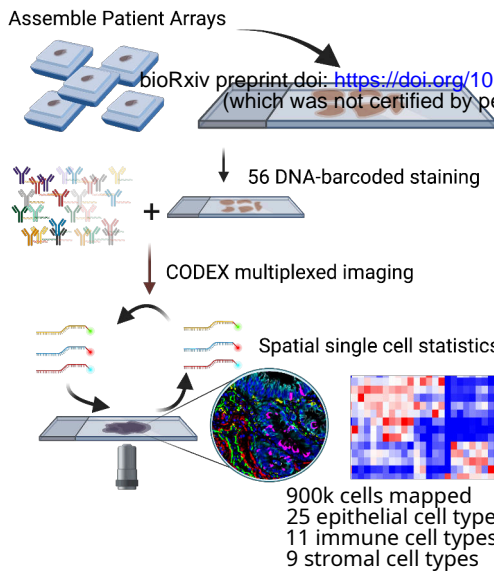
B

scRNAseq expression of POSTN

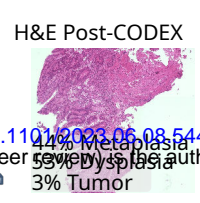




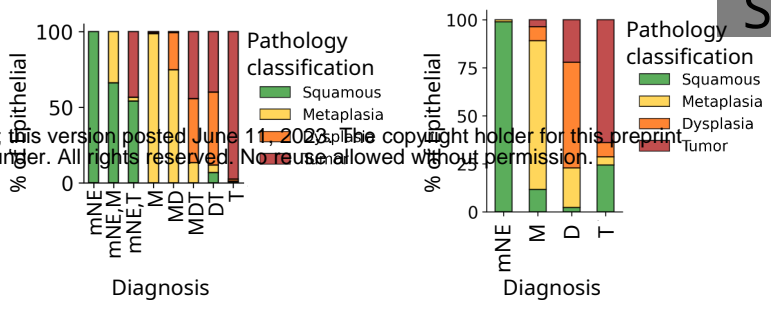
**A**



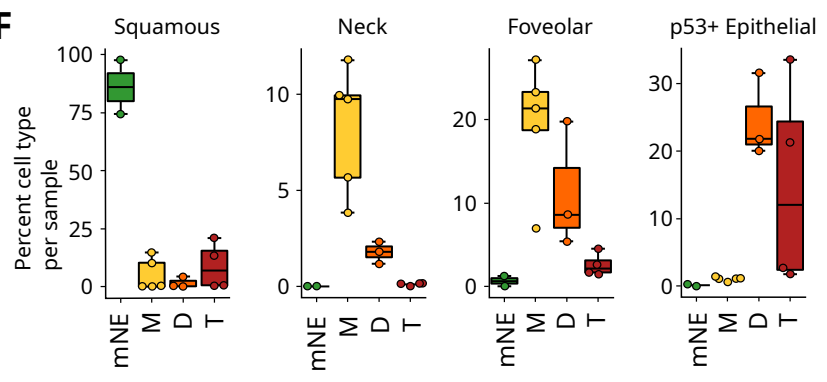
**B**



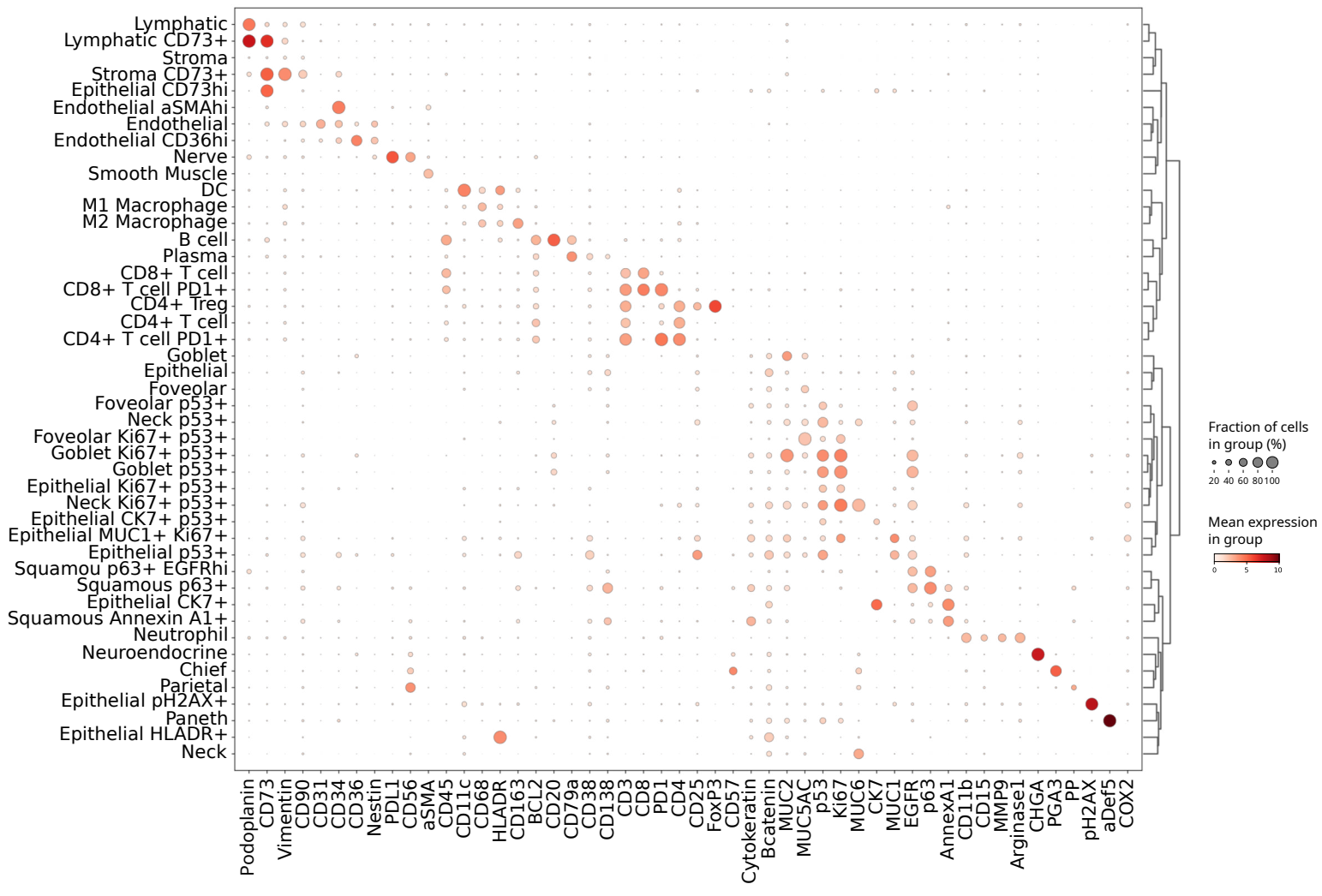
**C**

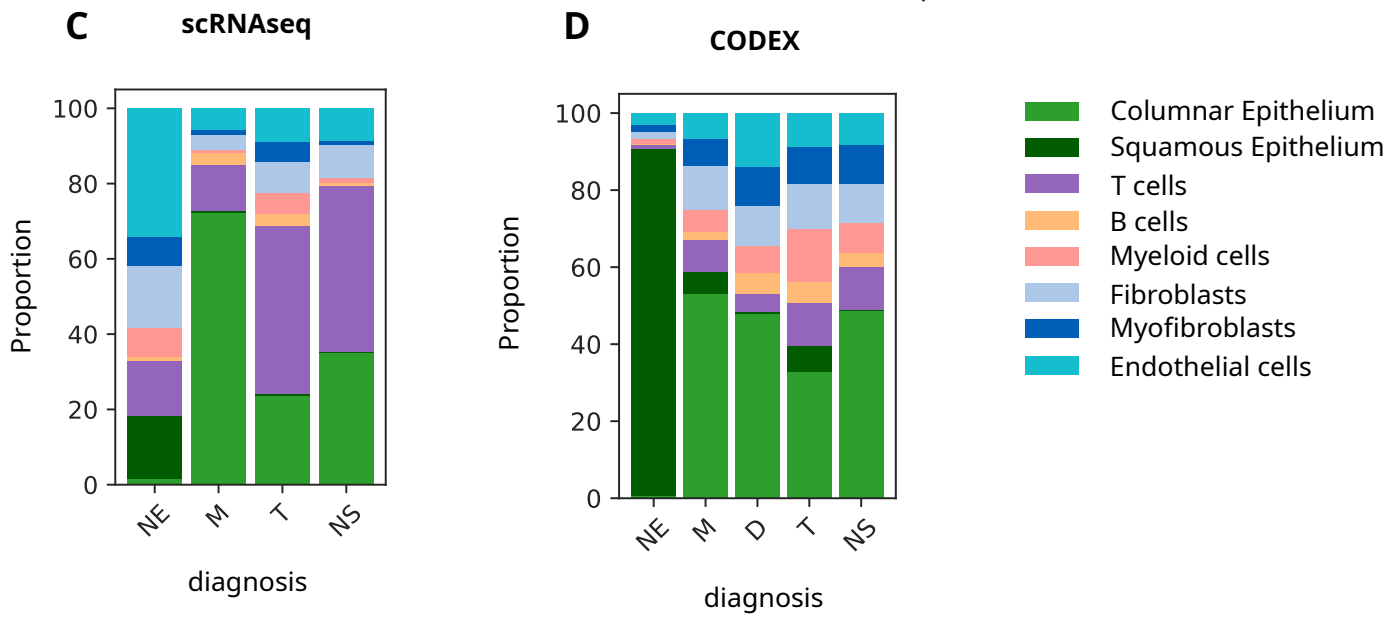
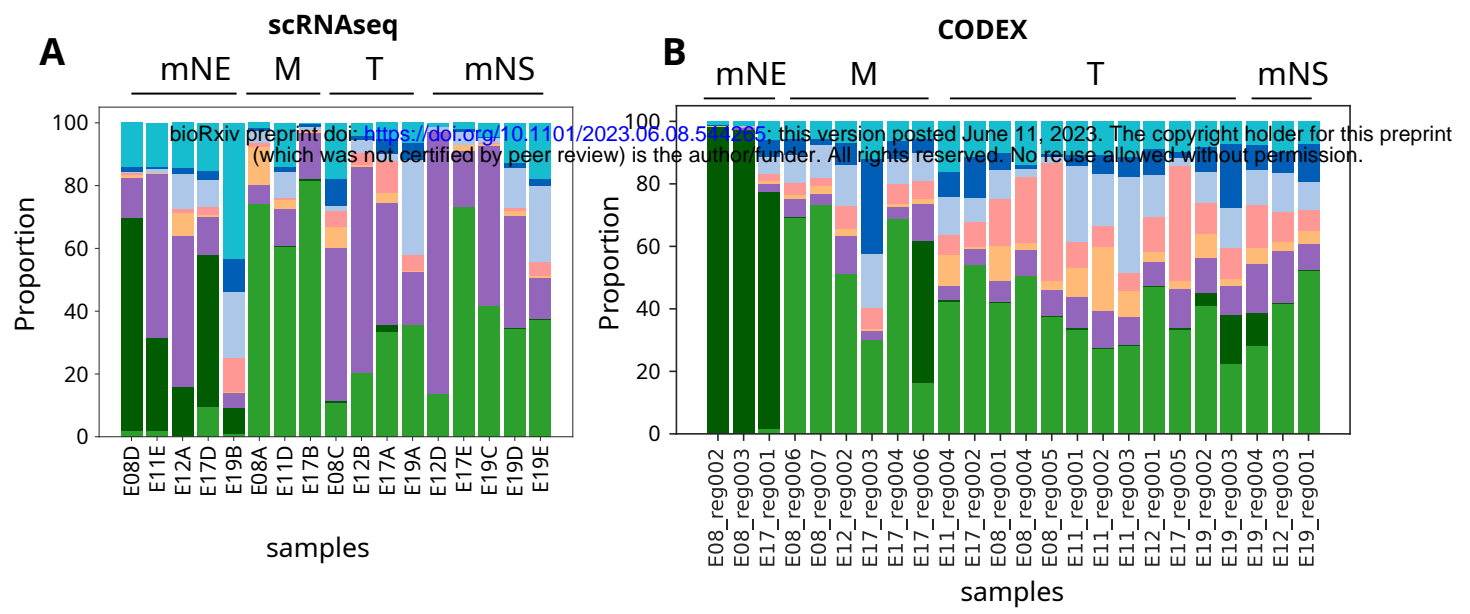


**F**

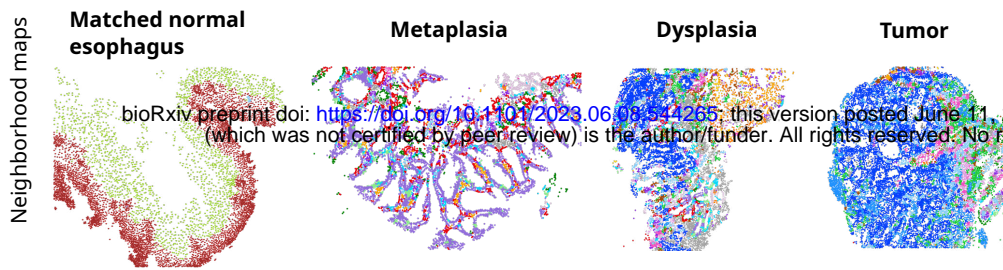


**E**

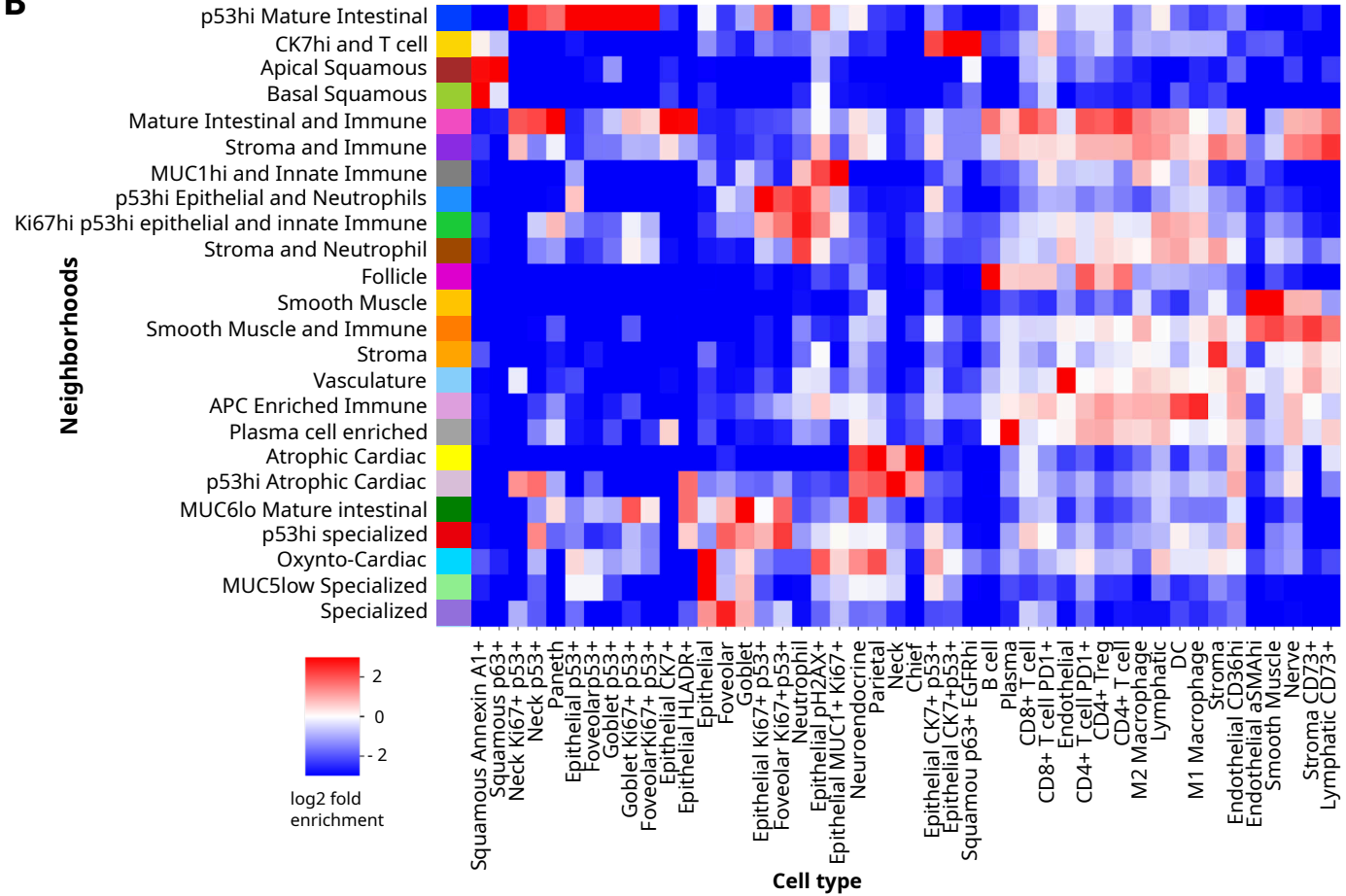




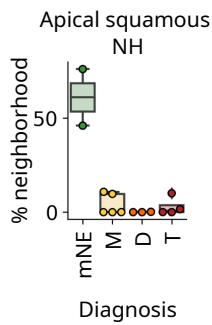
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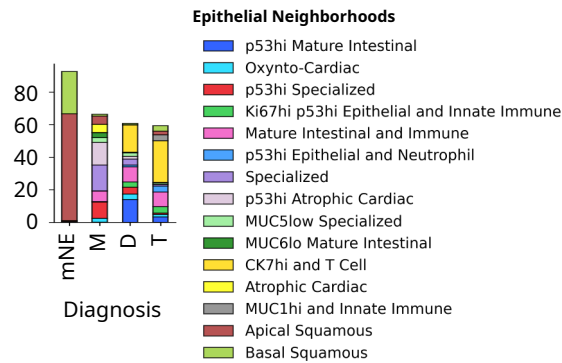
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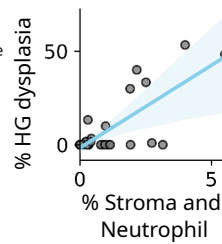
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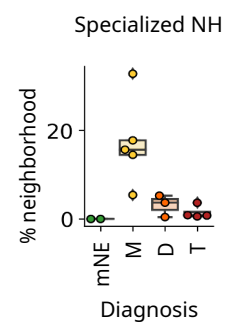
D



E



F



G

