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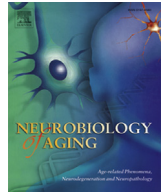
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## Abnormal trajectories in cerebellum and brainstem volumes in carriers of the fragile X premutation



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### ABSTRACT

Fragile X-associated tremor/ataxia syndrome (FXTAS) is a late-onset neurodegenerative disorder typically affecting male premutation carriers with 55–200 CGG trinucleotide repeat expansions in the *FMR1* gene after age 50. The aim of this study was to examine whether cerebellar and brainstem changes emerge during development or aging in late life. We retrospectively analyzed magnetic resonance imaging scans from 322 males (age 8–81 years). Volume changes in the cerebellum and brainstem were contrasted with those in the ventricles and whole brain. Compared to the controls, premutation carriers without FXTAS showed significantly accelerated volume decrease in the cerebellum and whole brain, flatter inverted U-shaped trajectory of the brainstem, and larger ventricles. Compared to both older controls and premutation carriers without FXTAS, carriers with FXTAS exhibited significant volume decrease in the cerebellum and whole brain and accelerated volume decrease in the brainstem. We therefore conclude that cerebellar and brainstem volumes were likely affected during both development and progression of neurodegeneration in premutation carriers, suggesting that interventions may need to start early in adulthood to be most effective.

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### 1. Introduction

The fragile X premutation is defined as a 55–200 CGG trinucleotide repeat expansion in the noncoding region of *FMR1* gene (Hagerman et al., 2001). A spectrum of clinical conditions are associated with the fragile X premutation, including neurodevelopmental and psychiatric problems, fragile X-associated primary ovarian insufficiency, and a late onset, progressive neurodegeneration disorder, fragile X-associated tremor/ataxia syndrome (FXTAS) (Hagerman and Hagerman, 2013). Among these conditions, FXTAS is particularly devastating, presenting with not only the core features of intention tremor and cerebellar gait ataxia, but also with additional features, including parkinsonism, peripheral neuropathy, cognitive deficits progressing to dementia, and problems in autonomic function, sensory perception, and immune

regulation, in some patients (Hagerman and Hagerman, 2013). FXTAS shows a partial penetrance, affecting approximately 45% of male and 16.5% of female premutation carriers after age 50 (Rodriguez-Revenga et al., 2009). An ‘RNA toxic’ gain-of-function model of FXTAS has been proposed based on the evidence that while *FMR1* protein is usually normal or moderately reduced, *FMR1* messenger RNA (mRNA) is significantly elevated in premutation carriers (Tassone et al., 2000). The expanded CGG-containing mRNA sequesters RNA-binding proteins (e.g., Sam68, hnRNP A2, Droscha/DGCR8, etc.) are important for normal cell functioning (Hagerman, 2012). In addition, it has been reported that repeat-associated non-ATG translation may produce FMRpolyG that is toxic to premutation neurons both in human and animal models (Oh et al., 2015; Todd et al., 2013).

The cerebellum and brainstem are among the most affected brain areas in FXTAS. Both areas show intranuclear inclusions, the pathological hallmark of FXTAS, as well as white matter disease (Greco et al., 2002, 2006). Radiological examinations reveal generalized brain atrophy and T2 signal hyperintensities in the middle cerebellar

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**Table 1**  
Primary molecular and brain volume measurements: mean (SD)

Characteristics	n	Controls, age 8–81	n	FXPC–, age 8–75	n	FXPC+, age 50–79	n	Older controls, age 50–81	n	Older FXPC–, age 50–75
Age (y)	142	44.6 (20.9)	109	40.7 (20.4)	71	66.6 (6.7)	68	63.7 (7.9)	42	62.4 (6.5)
<i>FMR1</i> CGG repeat size	139	29.4 (4.7)	109	94.1 (32.1)	70	94.2 (16.8)	65	28.9 (4.6)	42	83.7 (22.8)
<i>FMR1</i> mRNA level <sup>a</sup>	132	–0.69 (0.31)	105	1.10 (1.38)	68	1.17 (0.95)	64	–0.69 (0.32)	41	0.66 (1.10)
Cerebellar volume (mL)	142	132.6 (13.0)	109	129.8 (14.8)	71	102.3 (13.5)	68	126.5 (11.2)	42	119.3 (13.1)
Brainstem volume (mL)	142	31.9 (3.3)	109	30.4 (3.2)	71	24.9 (3.4)	68	32.1 (3.0)	42	29.5 (3.6)
Ventricular volume (mL)	142	33.1 (15.3)	109	36.4 (20.0)	71	76.3 (25.1)	68	42.5 (14.0)	42	52.5 (19.3)
Whole brain volume (L)	142	1.26 (0.11)	109	1.27 (0.12)	71	1.10 (0.10)	68	1.20 (0.10)	42	1.19 (0.09)

Key: FXPC+, fragile X premutation carriers with fragile X-associated tremor/ataxia syndrome; FXPC–, fragile X premutation carriers without fragile X-associated tremor/ataxia syndrome.

<sup>a</sup> Represented as z-scores.

peduncle (MCP) and deep cerebral white matter in these patients (Brunberg et al., 2002). Magnetic resonance imaging (MRI) quantitative analyses highlight structural changes in the cerebellum and brainstem in premutation carriers with FXTAS (FXPC+) and without FXTAS (FXPC–), which may represent insipient structural changes before clinical manifestations arise (Battistella et al., 2013; Cohen et al., 2006; Hashimoto et al., 2011; Leow et al., 2014). However, all previously published studies were performed on adult premutation carriers. It is currently unknown whether the observed changes in FXPC– are part of an abnormal developmental process or part of a neurodegenerative process leading to FXTAS. Understanding the timing of atypical neuroanatomical changes in carriers will help to clarify diagnosis and prognosis and determine critical windows for effective therapeutic treatment.

To investigate whether cerebellar and brainstem changes are of developmental or neurodegenerative origin, we performed a large scale, cross-sectional neuroimaging analysis of 322 males from age 8–81 years. Cerebellar and brainstem volumes in these individuals were quantified to examine age-related changes in FXPC+ and FXPC–. The cerebellar and brainstem volume data were contrasted with whole brain and ventricular volumes to assess the contributions from the cerebellum and brainstem to overall brain atrophy. We also explored gene-brain-behavior relationships by studying the effect of FXTAS stage, CGG trinucleotide repeat length and *FMR1* mRNA level on brain volume measurements.

## 2. Material and methods

### 2.1. Research participants

We retrospectively inspected MRI scans acquired between 2007 and 2015, and selected 323 male participants who had usable high-resolution T1-weighted scans (Table 1). These comprised 142 healthy controls, 109 FXPC– and 72 FXPC+. All FXPC+ were older than age 50 except for a 34-year-old carrier who was at FXTAS stage 2. This participant was excluded in the analyses because he became an outlier in the regression models. Of the remaining 322 participants, 96 have been included in our previous studies (Leow et al., 2014; Wang et al., 2012, 2013a,b,c). A trained physician (RJH) scored FXTAS severity for premutation carriers using the FXTAS stage. The categorization of premutation carriers according to FXTAS stage and age is presented in Table S1 in the Appendix. Carriers with FXTAS stage 2 and above were classified as FXPC+. All participants provided written consent and research was conducted following procedures approved by the UC Davis Institutional Review Board.

### 2.2. Molecular genetic data

Genomic DNA was isolated from peripheral blood lymphocytes using standard method (Qiagen, Valencia, CA, USA). CGG

trinucleotide repeat size was determined using a combination of polymerase chain reaction and Southern blot analysis as previously described (Filipovic-Sadic et al., 2010; Tassone et al., 2008). For 7 out of 180 premutation carriers who had 2 alleles, the larger alleles were used for the analyses. Total cellular RNA was purified from 2.5 mL peripheral blood. *FMR1* mRNA expression levels were measured by quantitative real time polymerase chain reaction using a 7900 Sequence detector (PE Biosystems) as previously described (Tassone et al., 2000). Two RNA extraction methods were used chronologically, resulting in differences in measurements. To combine the data, we utilized mRNA levels measured from 24 participants (10 controls, 14 premutation carriers) using both methods (mean  $1.92 \pm 0.87$  vs.  $0.73 \pm 0.32$ ), which showed high correlation ( $r = 0.79$ , 95% confidence interval [0.57, 0.91],  $p < 0.001$ ). We calculated z-scores based on these data for subsequent analyses.

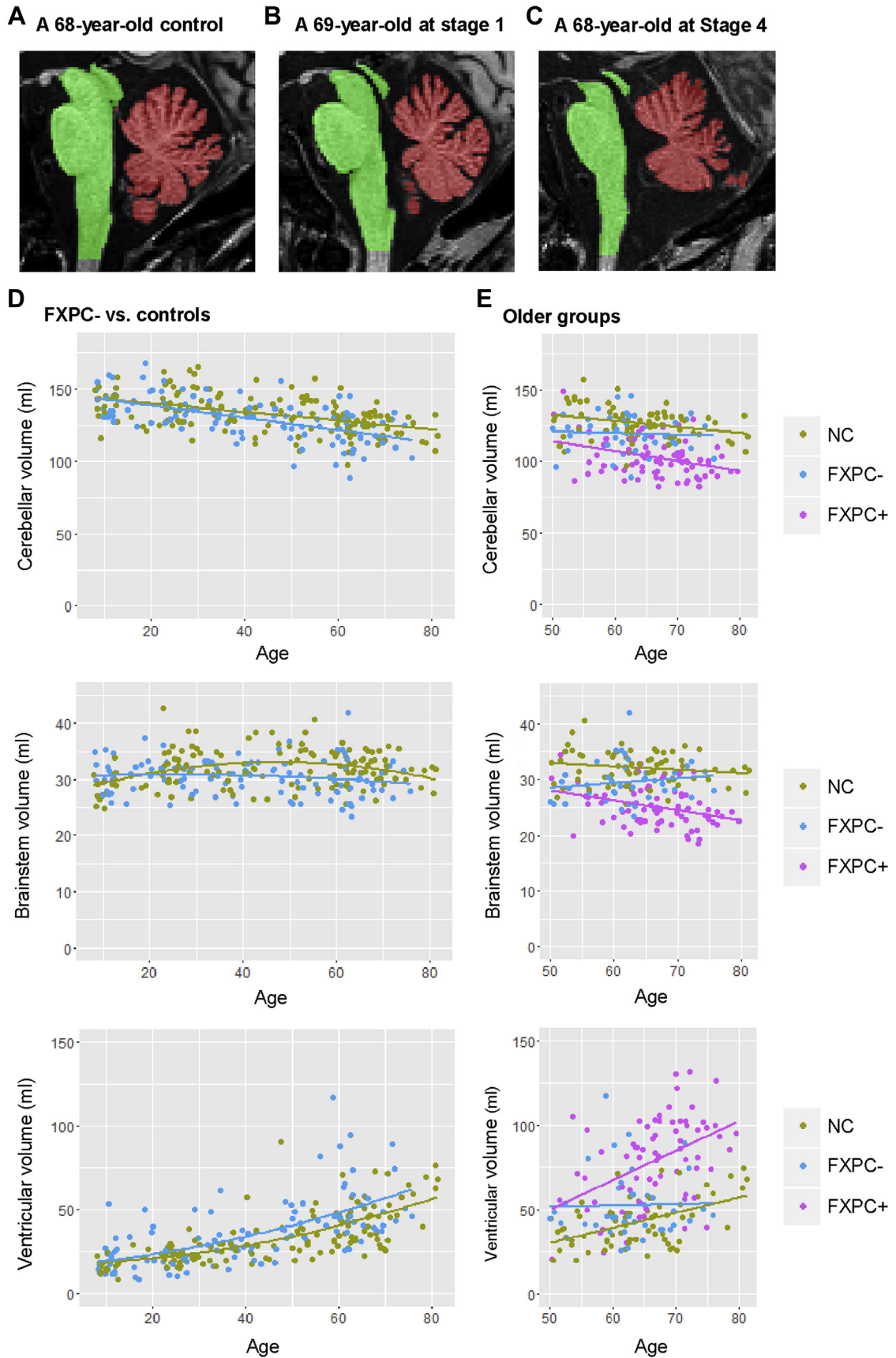
### 2.3. MRI acquisitions

High-resolution T1-weighted magnetization-prepared rapid gradient-echo images were acquired on a Siemens Trio 3T MRI scanner (Siemens Medical Solutions, Erlangen, Germany). Over the 9 years of image acquisition, there was a scanner upgrade in late 2009 followed by a switch of the head coil from 8- to 32-channel in early 2010. Scans were collected by 4 different research projects using 2 different imaging protocols. About one-third of the scans have image resolution  $0.47 \times 0.47 \times 0.95 \text{ mm}^3$  and two-thirds  $1 \times 1 \times 1 \text{ mm}^3$  (Table S2).

### 2.4. MRI analyses

We segmented the cerebellum and brainstem semi-automatically following our published method (Wang et al., 2016). Briefly, the initial segmentation was generated automatically using FreeSurfer 5.3.0 (<http://freesurfer.net/>) (Fischl et al., 2002) where image resolutions were conformed to  $1 \times 1 \times 1 \text{ mm}^3$ . We then used a machine-learning-based tool, SegAdapter (<http://www.nitrc.org/projects/segadapter/>) (Wang et al., 2011) to extend the brainstem boundary to include substantia nigra as well as to correct segmentation errors such as inclusions of the neuro-cortex, cerebrospinal fluid (CSF), and bone marrow and incomplete labeling of the cerebellum and brainstem. Finally, we corrected remaining errors using ITK-Snap (<http://www.itksnap.org/>). The manual correction was performed blind to the status of the participants. Fig. 1A–C shows representative cerebellum and brainstem segmentations. Mask generation and volume calculation were conducted using command tools *fslmaths* and *fslstats*, respectively, from FSL (<http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/>).

To assess the contributions from the cerebellum and brainstem to overall brain atrophy, we quantified whole brain volume and ventricular size using an automated FSL tool (<http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/>), SIENAX (Smith, 2002). Before the processing, MRI



**Fig. 1.** Samples of segmented cerebellum and brainstem and plots of age-related volume changes. Segmented cerebellum and brainstem from (A) a 68-year-old healthy control, (B) a 69-year-old premutation carrier at FXTAS stage 1, and (C) a 68-year-old premutation carrier at FXTAS stage 4. Scatter plots showing age-related changes in cerebellum, brainstem, and ventricular volumes in (D) premutation carriers without FXTAS (FXPC-, at FXTAS stage 0 or 1) and healthy controls and (E) older groups (age >50 years). Abbreviations: FXPC-, fragile X premutation carriers without FXTAS; FXTAS, fragile X-associated tremor/ataxia syndrome.

**Table 2**  
The effect of group and group  $\times$  age on brain volume measurements

Measurements	FXPC– versus Controls			Older controls versus FXPC+ <sup>a</sup>			Older FXPC– versus FXPC+ <sup>a</sup>	
	<i>R</i> <sub>Adj.</sub>	$\beta$ (SE) (mL)	<i>p</i> -value	<i>R</i> <sub>Adj.</sub>	$\beta$ (SE) (mL)	<i>p</i> value	$\beta$ (SE) (mL)	<i>p</i> -value
Cerebellar volume	<b>0.43</b>		<b>&lt;0.001</b>	<b>0.56</b>		<b>&lt;0.001</b>		<b>&lt;0.001</b>
Age		–0.36 (0.04)	<b>&lt;0.001</b>		–0.52 (0.11)	<b>&lt;0.001</b>	–0.52 (0.11)	<b>&lt;0.001</b>
Group		–0.18 (2.58)	0.94		23.7 (1.89)	<b>&lt;0.001</b>	14.1 (2.19)	<b>&lt;0.001</b>
Age $\times$ group		–0.15 (0.06)	<b>0.017</b>		–	–	–	–
Brainstem volume	<b>0.34</b>		<b>&lt;0.001</b>	<b>0.63</b>		<b>&lt;0.001</b>		<b>&lt;0.001</b>
Age squared		–0.001 (0.0005)	<b>0.009</b>		–	–	–	–
Age		0.093 (0.036)	<b>0.010</b>		–0.22 (0.05)	<b>&lt;0.001</b>	–0.22 (0.05)	<b>&lt;0.001</b>
Group		–0.068 (0.67)	0.92		4.77 (1.11)	<b>&lt;0.001</b>	–0.44 (1.29)	0.730
Age $\times$ group		–0.054 (0.017)	<b>0.002</b>		0.15 (0.07)	<b>0.023</b>	0.31 (0.08)	<b>&lt;0.001</b>
Ventricular volume	<b>0.52</b>		<b>&lt;0.001</b>	<b>0.59</b>		<b>&lt;0.001</b>		<b>&lt;0.001</b>
Age squared		0.006 (0.002)	<b>0.007</b>		–	–	–	–
Age		0.107 (0.15)	0.48		1.52 (0.30)	<b>&lt;0.001</b>	1.52 (0.30)	<b>&lt;0.001</b>
Group		4.73 (1.67)	<b>0.003</b>		–21.0 (6.75)	<b>0.002</b>	–3.67 (7.82)	0.64
Age $\times$ group		–	–		–0.69 (0.40)	0.09	–1.39 (0.51)	<b>0.007</b>
Whole brain volume	<b>0.75</b>		<b>&lt;0.001</b>	<b>0.69</b>		<b>&lt;0.001</b>		<b>&lt;0.001</b>
Age		–3.66 (0.23)	<b>&lt;0.001</b>		–3.43 (0.63)	<b>&lt;0.001</b>	–3.43 (0.63)	<b>&lt;0.001</b>
Group		13.2 (14.2)	0.35		109.7 (10.4)	<b>&lt;0.001</b>	72.0 (44.3)	<b>&lt;0.001</b>
Age $\times$ group		–0.93 (0.36)	<b>0.009</b>		–	–	–	–

Bold, significant at 5% false discovery rate.

Key: FXPC+, fragile X premutation carriers with fragile X-associated tremor/ataxia syndrome; FXPC–, fragile X premutation carriers without fragile X-associated tremor/ataxia syndrome.

<sup>a</sup> The comparisons of FXPC+ with older controls and FXPC– were performed in the same regression analyses.

scans with image resolution of  $0.47 \times 0.47 \times 0.95 \text{ mm}^3$  were resliced to  $1 \times 1 \times 1 \text{ mm}^3$  using the `mri_convert` command from FreeSurfer and MRI bias field correction was performed on all scans using N4 from advanced normalization tools (ANTs) (<http://stnava.github.io/ANTs/>) (Tustison et al., 2010). Results were checked for accuracy, and input parameter for brain extraction was adjusted for optimal results. We found that cavum vergae and cavum septum pellucidum (CSP) were mislabeled as ventricles, and the premutation carriers had a significantly higher prevalence of large CSP (spanning  $\geq 6 \text{ mm}$  in the coronal direction) (Takahashi et al., 2008) compared to the controls (13.9% vs. 5.6%, odds ratio = 2.70,  $p = 0.019$ , Table S3). Since small CSP ( $1\text{--}5 \text{ mm}^3$ ) had trivial effect on ventricular volumes, we quantified large CSP and cavum vergae using ITK-Snap and subtracted the volumes from ventricular volumes (Fig. S1).

Fig. S2A–D in the Appendix depicts age-related volume changes. To account for the effect of head size variation on volumetric data, we used brain scaling factor calculated by SIENAX, in all statistical analyses. During estimations of brain tissue volumes, SIENAX generates the brain and skull images from whole head image data, and utilizes the brain and external skull images for affine transformation to a standard space. The brain scaling factor is then computed as the determinant of the affine transformation and used as a scaling factor for normalizing the head size (Smith, 2002). Brain scaling factor has shown high correlation to manual total cranial volume previously (Buckner et al., 2004) and is less affected by aging compared to total cranial volume (Fig. S2E–F).

## 2.5. Statistical analyses

We performed multiple regression to evaluate age and group effects, and their interaction, on brain volume data. We inspected the residuals to evaluate fit of the regression models to the data. Since all FXPC+ were older than age 50 except for a 34-year-old carrier who was at FXTAS stage 2 (excluded because he was an outlier in the regression models), FXPC+ was compared to age-matched controls and FXPC– (i.e., age  $>50$ , Table 1). Age was centered at the youngest age for each comparison. Multiple regression analyses were also conducted for predicting volumetric data using age, FXTAS stage, CGG trinucleotide repeat size and

*FMRI* mRNA level. Previous cross-sectional and longitudinal studies of aging reported nonlinear volume changes in the cerebellum, brainstem, and ventricles (Fjell et al., 2013; Raz et al., 2005; Walhovd et al., 2011). Scanner upgrade, the change of head coil from 8-channel to 32-channel and the utilizations of 2 different MRI acquisition protocols may affect image signal-to-noise ratio. To account for potential biases caused by these variabilities as well as nonlinear age-related changes in volumes, covariates including scanner upgrade, head coil usage, and image resolution along with age squared were included in regression models whenever significant. Benjamini–Hochberg method of false discovery rate (Benjamini and Hochberg, 1995) was used to correct for multiple comparisons. The open-source statistical package R (<http://www.r-project.org/>) was used for statistical analyses.

## 3. Results

### 3.1. Abnormal trajectories of brain volume measurements in FXPC

In both control and FXPC– groups, volumes of cerebellum and whole brain decreased linearly with age, while brainstem volumes displayed an inverted U-shaped change with age, and the ventricular volumes exhibited quadratic curves that increased monotonically (Fig. 1D). Compared to the controls, FXPC– displayed significantly larger ventricles, and significant age and group interactions in cerebellar, brainstem, and whole brain volumes (Table 2). Specifically, FXPC– showed faster age-related decrease in cerebellar volumes (0.51 vs. 0.36 mL/y) and whole brain volumes (4.59 vs. 3.66 mL/y). In controls, brainstem volumes showed a protracted developmental trajectory that peaked at 32.6 mL at age 44.9 years, a 5.53% increase from age 8. In contrast, FXPC– had a flat developmental trajectory that peaked at 31.1 mL at age 23.4 years, a 0.52% increase from age 8. For the 4 covariates included in the regression models, only brain scaling factor showed as a significant predictor for all volume data ( $t = -21.5$  to  $-6.01$ ,  $p < 0.001$ ). The other 3 covariates, namely scanner upgrade, head coil, and image resolution did not show as significant predictors for volumes, nor did their inclusions affect the results. Since brain scaling factor had a significant effect on the brain volume data, the estimations of the

**Table 3**The effect of FXTAS stage, CGG trinucleotide repeat length, and *FMR1* mRNA on volume measurements

Measurements	Cerebellum			Brainstem			Ventricles			Whole brain		
	$R_{Adj}$	$\beta$ (SE) (mL)	<i>p</i> -value	$R_{Adj}$	$\beta$ (SE) (mL)	<i>p</i> -value	$R_{Adj}$	$\beta$ (SE) (mL)	<i>p</i> -value	$R_{Adj}$	$\beta$ (SE) (mL)	<i>p</i> -value
FXPC ( <i>n</i> = 179)	<b>0.70</b>		<b>&lt;0.001</b>	<b>0.59</b>		<b>&lt;0.001</b>	<b>0.73</b>		<b>&lt;0.001</b>	<b>0.81</b>		<b>&lt;0.001</b>
Age squared	-		-	-0.001 (0.001)		<b>0.033</b>	0.010 (0.004)		<b>0.008</b>	-		-
Age	-0.51 (0.05)		<b>&lt;0.001</b>	0.041 (0.044)		0.36	-0.10		0.68	4.39 (0.31)		<b>&lt;0.001</b>
FXTAS stage	-4.57 (0.69)		<b>&lt;0.001</b>	-1.19 (0.19)		<b>&lt;0.001</b>	6.98 (1.10)		<b>&lt;0.001</b>	-21.0 (3.9)		<b>&lt;0.001</b>
CGG squared	0.002 (0.001)		<b>0.030</b>	0.001 (0.0002)		<b>0.008</b>	-0.003 (0.001)		<b>0.005</b>	0.011 (0.004)		<b>0.014</b>
CGG	-0.41 (0.17)		<b>0.018</b>	-0.13 (0.04)		<b>0.003</b>	0.78 (0.26)		<b>0.003</b>	-3.08 (0.10)		<b>0.015</b>
HC ( <i>n</i> = 132)	<b>0.39</b>		<b>&lt;0.001</b>	<b>0.36</b>		<b>&lt;0.001</b>	<b>0.59</b>		<b>&lt;0.001</b>	<b>0.76</b>		<b>&lt;0.001</b>
Age squared	-		-	-0.002 (0.001)		<b>0.003</b>	0.007 (0.002)		<b>0.005</b>	-		-
Age	-0.37 (0.05)		<b>&lt;0.001</b>	0.135 (0.046)		<b>0.004</b>	-0.002 (0.172)		0.99	-3.54 (0.23)		<b>&lt;0.001</b>
<i>FMR1</i> mRNA	-3.35 (2.99)		0.27	-1.11 (0.76)		0.15	2.38 (2.84)		0.40	-35.0 (15.0)		<b>0.021</b>

Bold, significant at 5% false discovery rate.

Key: FXPC, fragile premutation carriers; FXTAS, fragile X-associated tremor/ataxia syndrome; HC, healthy control.

peak brainstem volume were calculated using the average brain scaling of all participants, which was 1.221. Based on these predicted trajectories, we estimated that the volume divergence between controls and FXPC- became significant around age 28 for the brainstem, age 30 for the cerebellum, and age 40 for the whole brain (Table S4).

To determine whether the age-related changes in cerebellar and brainstem volumes were disproportional to whole brain volume, we added whole brain volume as an additional covariate in the regression models. While the age  $\times$  group interaction effect remained significant for brainstem volume ( $\beta = -0.036$ ,  $p = 0.024$ ), the interaction was not significant for cerebellar volume. In contrast, the cerebellum was significantly smaller ( $\beta = -3.74$ ,  $p = 0.003$ ) in FXPC- compared to the controls after controlling for whole brain volume.

### 3.2. Brain volume atrophy plus accelerated volume atrophy in FXPC+

All volumetric data exhibited linear relationships with age in the older groups (Table 2; Fig. 1E). With age centered at 50 years, FXPC+ showed significant differences from the controls in volumes of ventricles (21.0 mL higher), cerebellum (23.7 mL lower), brainstem (4.77 mL lower), and whole brain (109.7 mL lower). FXPC+ also showed significant volume differences in the cerebellum (14.1 mL lower) and whole brain (72.0 mL lower) compared to FXPC-. With respect to annual rates of volume changes, FXPC+ exhibited accelerated age-related brainstem atrophy compared to both controls and FXPC- (0.22 mL/y decrease vs. 0.07 mL/y decrease for the controls and 0.09 mL/y increase for FXPC-) and accelerated ventricular volume increase (1.52 mL/y vs. 0.13 mL/y) compared to FXPC-. By contrast, the annual rates of volume changes in the cerebellum and whole brain were not statistically different between the 3 groups.

Similar to the comparisons between FXPC- and healthy controls, brain scaling factor was the only covariate that showed as significant for all brain volume data ( $t = -16.3$ ,  $p < 0.001$ ) when comparing the FXPC+ group to the control and FXPC- groups. The other 3 covariates, namely scanner upgrade, head coil, and image resolution, did not have significant effects on the findings.

We also included whole brain volume as an additional covariate to determine whether the observed changes in the cerebellar and brainstem volumes were disproportional to overall brain volume changes. The group effect in the cerebellar volume and age  $\times$  group interaction effect in the brainstem volume remained significant between FXPC+ and the other 2 groups after controlling for whole brain volumes ( $t = 3.24-10.31$ ,  $p \leq 0.001-0.001$ ).

### 3.3. FXTAS stage and molecular measurements are significant predictors of brain volume measurements

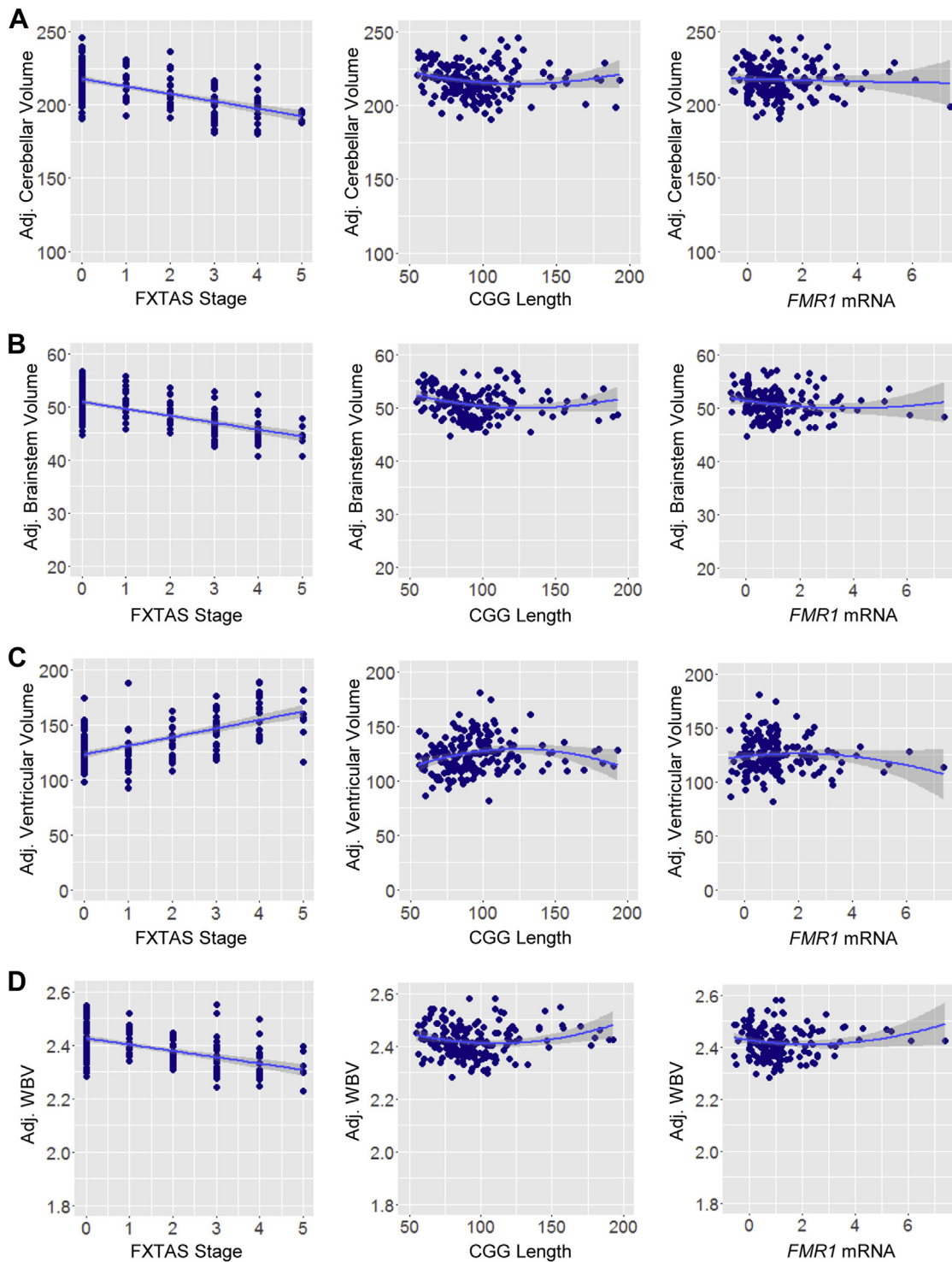
CGG trinucleotide repeat length had a quadratic relation with *FMR1* mRNA level in the premutation carriers ( $R_{Adj} = 0.61$ ,  $df = 171$ ,  $p < 0.001$ , Fig. S3) while no relation was observed in healthy controls ( $r = 0.05$ ,  $df = 130$ ,  $p = 0.61$ ). In the analyses of using FXTAS stage (for premutation carriers only) and molecular measurements to predict volumetric data while adjusting for age and brain scaling factor, FXTAS stage, CGG, and CGG squared were significant predictors for all volumetric data in the premutation carriers, whereas *FMR1* mRNA level was a significant predictor for whole brain volume in the controls (Table 3). FXTAS stage was negatively correlated with cerebellar, brainstem, and whole brain volumes and positively correlated with ventricular volume. CGG trinucleotide repeat length exhibited U-shaped relationships with cerebellar, brainstem, and whole brain volumes, and an inverted U-shaped relationship with ventricular volume in the premutation carriers (Fig. 2). In comparison, the controls displayed a negative linear relationship between *FMR1* mRNA and whole brain volume after adjusting for age and brain scaling factor (Fig. S4).

We further examined the relationships between FXTAS stage and brain volume measurements treating FXTAS stage as a categorical variable. The results showed that the most significant changes in cerebellar and brainstem volumes occurred between FXTAS stage 2 and 3 and between FXTAS stage 3 and 4 (Table S5).

## 4. Discussion

This study demonstrated abnormal age-related brain volume trajectories in the cerebellum, brainstem, ventricles, and whole brain in fragile X premutation carriers, both with and without a diagnosis of FXTAS (FXPC+ and FXPC-). The brain volume measurements also significantly correlated with FXTAS stage and CGG trinucleotide repeat length in these premutation carriers after controlling for age and brain scaling factor.

With age centered at the youngest age of 8 years, the FXPC- group did not show a significant group difference in cerebellar volume compared to the controls; however, they demonstrated a faster age-related decrease in cerebellar volume. The regression model fitting the data suggests that the process may begin during young adulthood. This is decades before the average age of FXTAS onset in males at 62.6 years (Tassone et al., 2007) and raises an important question about whether the faster volume decrease is driven by the premutation status common to all carriers or by the neurological disease process affecting a subset of carriers that will



**Fig. 2.** The effect of FXTAS stage and molecular measurements on brain volumes in premutation carriers. (A) Cerebellar volume, (B) brainstem volume, (C) ventricular volume, and (D) whole brain volume. For FXTAS stage, brain volumetrics adjusted for age and brain scaling factor are shown. For CGG trinucleotide repeat length and *FMR1* mRNA, brain volumetrics adjusted for age, brain scaling factor and FXTAS stage are shown. Shaded areas represent 95% confidence intervals of the regression lines. Abbreviation: FXTAS, fragile X-associated tremor/ataxia syndrome.

go on to develop FXTAS. The result of significantly atrophied cerebellum in FXPC+ compared to both age-matched controls and FXPC- (with age centered at 50) indicates the potential occurrence of cerebellar atrophy in FXPC+ prior to their clinical diagnoses. Unexpectedly, the cerebellar atrophy rate was not significantly different in FXPC+ relative to the other 2 groups of healthy

controls and FXPC- older than 50. The findings from the FXPC- and FXPC+ groups suggest that faster than normal decline in cerebellar volume may start early in young adulthood in some FXPC- and the volume decrease appears to accumulate slowly over the decades before the clinical presentation of FXTAS. Longitudinal studies are needed to confirm these observations.

The cerebellum has been postulated to play a critical role in the maturation of functional networks with the neocortex (Wang et al., 2014). The cerebellum maintains functionally segregated, reciprocal connections with almost all areas in the neocortex. It generates internal models for behaviors, and guides the neocortex to store the most efficient representations for movement and high-level cognitive and emotional processing (Koziol et al., 2012). Subtle underdevelopment of the cerebellum may underlie developmental problems, including autism, anxiety, and deficits in attention and visual motion processing that have been documented in children with fragile X premutation (Cordeiro et al., 2015; Farzin et al., 2006; Gallego et al., 2014) as well as motor impairment in FXPC<sup>-</sup> (O'Keefe et al., 2015). In FXTAS cerebellar structural damage becomes clinically observable, with MRI signal hyperintensities in the MCP being used as a diagnostic criterion (Brunberg et al., 2002). The central role of cerebellum in FXTAS pathology is further highlighted by the evidence of a negative relationship between cerebellar volume and postural sway (Birch et al., 2015) and the associations of superior cerebellar peduncle with behavioral regulation and fine motor skills in premutation carriers (Wang et al., 2013c).

Several factors can contribute to the high vulnerability of the cerebellum to FXTAS pathology. First, the cerebellum has a protracted developmental course that reaches its peak volume during late childhood or adolescence (Tiemeier et al., 2010). Brain structures are vulnerable to environmental toxicities especially when they are in the active processes of cell proliferation and myelination during development. Early life perturbation such as seizure and exposure to toxins may predispose the cerebellum to accelerated aging later in life (Rice and Barone, 2000). Second, the cerebellum is metabolically active and is involved in a variety of tasks as shown by functional MRI studies (Koziol et al., 2014). The high metabolic rate may contribute to cerebellar vulnerability to mitochondrial dysfunction, RNA toxicity, environmental insults, and comorbidity, and thus increase its risk of atrophy during aging. Indeed, intranuclear inclusions have been observed in dentate nuclei reflecting the effect of RNA toxicity; and mitochondrial dysfunction (Napoli et al., 2016; Ross-Inta et al., 2010) and high prevalence of hypertension, substance abuse and alcohol abuse have been reported in premutation carriers (Dorn et al., 1994; Kogan et al., 2008; Polussa et al., 2014), which may play a role in cerebellar atrophy during aging (Lavezzi et al., 2013; Miquel et al., 2009, 2016). Additional studies are needed to uncover the mechanisms causing cerebellar underdevelopment often with specific developmental problems and late neurodegeneration due to FXTAS progression.

In the brainstem, FXPC<sup>-</sup> displayed an early maturation at age 23.4 years, about 2 decades earlier than controls that experienced a protracted maturation that peaked at age 44.9 years (Fig. 1D). The early divergence in developmental trajectories between the 2 groups is consistent with previous findings of decreased brainstem volume in young (age 18–44) (Leow et al., 2014) and older (age 51–79) (Cohen et al., 2006) FXPC<sup>-</sup>. In comparison, FXPC<sup>+</sup> exhibited significantly smaller brainstem volume compared to the older controls, and accelerated volume loss compared to both older controls and older FXPC<sup>-</sup>. Thus, the divergence of brainstem volume in FXPC<sup>+</sup> from healthy controls occurred before age 50, while the divergence from FXPC<sup>-</sup> emerged after age 50. Importantly, the age-related changes in brainstem volume for both FXPC<sup>-</sup> and FXPC<sup>+</sup> were disproportional to overall brain volume change since these effects remained significant after controlling for whole brain volume. However, the brainstem is a complex structure containing various life-sustaining nuclei and fiber tracts connecting the cerebrum, cerebellum, and spinal cord. We cannot rule out the possibility that regional brainstem measurements (e.g., cerebellar peduncles) may differentiate FXPC<sup>+</sup> from FXPC<sup>-</sup> before age 50. In addition, certain brainstem structures may be proven important for

FXTAS phenotypic representations such as parkinsonism (Scaglione et al., 2008), sensorineural hearing loss (Juncos et al., 2011), and eye movement impairment (Fraint et al., 2014). Further segmentation of the brainstem is needed to determine whether specific structures within the brainstem show prodromal signs of FXTAS.

Of the 4 measurements (i.e., volumes of the cerebellum, brainstem, whole brain, and ventricles), only ventricular volume showed a significant group difference between FXPC<sup>-</sup> and controls with no difference in age-related rate of volume increase. In FXPC<sup>+</sup>, the rate of volume increase became significantly higher compared to both controls and FXPC<sup>-</sup>. These results implicate the sensitivity of ventricular volume to brain abnormalities during both neurodevelopment and FXTAS progression. Factors influencing ventricular size include CSF dynamics, abnormally developed brain structures, brain atrophy, and variable distribution of CSF between ventricles and sulci (Sarwar, 1989; Scahill et al., 2003). Given the findings of intranuclear inclusions in neurons, astrocytes, ependymal cells, and choroid plexus cells in FXPC<sup>+</sup> (Greco et al., 2006), it is important to assess whether the CSF regulatory functions are affected in those with the fragile X premutation.

The current study is limited by studying only gross volumes, which may not be sensitive to regional volume changes or other types of structural changes not reflected in volumetric measurements. The current study is also cross-sectional and requires confirmation from longitudinal analyses. While findings from cross-sectional studies can serve as important basis for the design of longitudinal studies, it cannot be used for inferring within-person trajectories. Most importantly, there is no information of within-person changes in cross-section data. Thus individual differences in the slope cannot be estimated (Miyazaki and Raudenbush, 2000). Several factors (Kraemer et al., 2000) can cause variability in within-person trajectories, which can lead to discordance between within-person trajectories and slope estimated from cross-sectional data. First, the age of onset of FXTAS is not fixed, with a median age of onset at about 60 years (Leehey et al., 2007). Although it remains to be established, patients with earlier onset may have more severe brain atrophy than those with later onset. Second, life expectancy for FXPC<sup>+</sup> varies, with a range of 5–25 years (Leehey et al., 2007). Thus patients may progress in different speed and present with different rates of brain atrophy. Finally, reliability of the measurements can also affect the estimations of slopes in both cross-sectional and longitudinal data. We have included some preliminary longitudinal data in Fig. S5 to provide a glimpse of how longitudinal data may look like. In addition, segmentation may be improved by using multimodal imaging processing. This improvement, however, relies on accurate coregistration between scans acquired using different MRI techniques and whether the new image modality provides increased tissue contrasts for the structure of interest.

The relevance of the brain volume measurements to clinical observation and molecular mechanisms is demonstrated in their significant linear relations with FXTAS stage and nonlinear relations with CGG trinucleotide repeat length. Inverted U-shaped relation between CGG repeat length and MCP packing density has been observed in male premutation carriers (Hashimoto et al., 2011) as well as U-shaped relations between CGG trinucleotide repeat length and psychological problems and menopausal age in females with the premutation (Loesch et al., 2015). These nonlinear CGG effects indicate the heightened RNA toxicity of mid-size repeats, although the underlying molecular mechanisms remain to be explored. A significant negative relation between *FMRI* mRNA and whole brain volume was also discovered in the controls with normal alleles. This parallels with our previously reported negative association between *FMRI* mRNA and white matter integrity in male controls (Wang et al., 2013b), suggesting that high levels of



FMR1 mRNA may be suboptimal for brain structures even in healthy individuals from the general population.

In summary, we revealed accelerated age-related volume decreases in the cerebellum and brainstem, and increased ventricular volume in FXPC<sup>-</sup> compared to controls. While FXPC<sup>+</sup> showed significant volume changes in all 4 measurements relative to the controls, only cerebellar and whole brain volumes were significantly lower compared to the FXTAS<sup>-</sup> at age 50, suggesting the potential utilities of these 2 measurements for premutation neurological monitoring and FXTAS prognosis. Significant associations between CGG trinucleotide repeat length and brain volume measurements support the notion that expanded CGG length may contribute to the abnormal age-related changes in cerebellar and brainstem volumes and enlarged ventricles during early life, an effect that becomes much more prominent during aging in fragile X premutation carriers.

### Disclosure statement

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### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.neurobiolaging.2017.03.018>.

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