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## Changes in gastrointestinal microbial communities influences HIV-specific CD8 T cell responsiveness to immune checkpoint blockade

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### Abstract

**Objectives:** To study the relationship between the change in gut microbial communities in HIV-infected individuals on suppressive antiretroviral therapy (cART), and the peripheral HIV-Gag-specific CD8 T cell responses before and after *ex-vivo* immune checkpoint blockade (ICB).

**Design:** Thirty-four HIV-seropositive, 10 HIV-seronegative and 12 HIV-seropositive receiving fecal microbiota transplant (FMT) participants were included. Gut microbial communities and peripheral and gut negative checkpoint receptors were assessed.

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Conflict of interest

None of the authors have any relevant conflict of interest to disclose with the exception of Dr. Nichole Klatt who is on the Editorial Board of AIDS.

**Methods:** Bacterial 16s rRNA sequencing for gut microbiome study and flow-based assays for peripheral and gut negative checkpoint receptor (NCR) and their cognate ligand expression, including peripheral HIV-Gag-specific CD8 T cell responses before and after *ex-vivo* ICB were performed.

**Results:** *Fusobacteria* abundance was significantly higher in HIV-infected donors compared to uninfected controls. In HIV-infected participants receiving *Fusobacteria*-free fecal microbiota transplant (FMT), *Fusobacteria* persisted up to 24 weeks in stool post FMT. PD-1 and TIGIT and their ligands were expanded in mucosal versus peripheral T cells and dendritic cells, respectively. PD-L1 and TIGIT blockade significantly increased the magnitude of peripheral anti-HIV-Gag-specific CD8 T cell responses. Higher gut *Fusobacteria* abundance was associated with lower magnitude of peripheral IFN- $\gamma$ + HIV-Gag-specific CD8 T cell responses following ICB.

**Conclusions:** *Fusobacteria* gut colonization in HIV infection is persistent and may influence anti-HIV T cell immunity to PD-1 or TIGIT blockade. Strategies modulating *Fusobacteria* colonization may elicit a favorable mucosal immune landscape to enhance the efficacy of ICB for HIV cure.

### Keywords

HIV; immune checkpoint blockade; *Fusobacteria*; TIGIT; PD-L1

## Introduction

Reversing T cell exhaustion by targeting negative immune checkpoint receptor (NCR) pathways to improve anti-HIV T cell function is currently under investigation as part of potential “Shock and Kill” HIV cure strategy.[1] We previously reported that blockade of PD-1 and TIGIT pathways synergistically enhances HIV-specific CD8 T cell effector functions.[2] In a humanized mouse model, disrupting the receptor-ligand mediated suppression of PD-1 and Programmed Death-Ligand 1 (PD-L1) reduced HIV viral loads *in vivo*. [3] In addition, administration of anti-PD-L1 monoclonal antibody (mAb) in a small human clinical trial led to enhanced HIV-specific immunity in a subset of participants.[4] These data are complemented by a report in an HIV-infected individual with lung cancer receiving multiple doses of anti-PD-1 immunotherapy with sustained reduction in the CD4 HIV reservoir and a concomitant increase in HIV-specific CD8 T cell effector capacities.[5] Targeting the NCR pathways has evolved as a promising strategy to enhance pre-existing anti-HIV CD8 T cell responses and viral persistence in HIV remission strategies.

Immune checkpoint blockade (ICB) has altered the management of certain cancers resulting in long-term and durable remission, however, not all individuals are responsive to immunotherapy. Several factors have been reported to impact the efficacy of ICB in cancer, including the levels monocyte HLA-DR expression,[6] impaired antigen processing and presentation,[7] and the epigenetic stability of exhausted T cells [8]. Emerging evidence revealed that the gut microbiome may determine the success of ICB in malignancy.[9, 10] Gut *Bacteroides* was reported to enhance the efficacy of anti-CTLA-4 blockade resulting in the elimination of metastatic melanoma in mice, [11] and in a similar study, tumor-specific CD8 T cells restoration upon PD-L1 blockade and tumor clearance relied on gut

*Bifidobacterium* colonization.[12] The oral commensal, *Fusobacteria*, was shown to associate with decreased T cell responses in several gut-associated malignancies. Indeed the overabundance of *Fusobacteria* in the gut has been shown to inhibit immune function through the interaction of its membrane protein, Fap2, with the TIGIT receptor on the surface of the effector immune cells.[13, 14] Additionally, in a subset of cancer patients initially resistant to ICB immunotherapy, tumor growth was halted after receiving a fecal material transplant (FMT) from ICB response donors who were responsive to immunotherapy.[15] Cumulatively these findings provide important insights on the influence of the gut microbiome on ICB to improve anti-HIV CD8 T cells immune responses.

Here we profiled NCR and their ligands in the gut and peripheral compartment in HIV infected donors, assessed mucosal gut microbiomes and quantified peripheral effector HIV-specific CD8 T cell immune responses after *ex-vivo* ICB to understand the role of the gut microbiome on HIV immunity.

## Materials and methods

### Human Subjects

For this study, fresh biopsies acquired by colonoscopy or rectosigmoidoscopy and PBMCs were obtained from 2 cohorts: Hawaii (UH) cohort and Washington (UW) cohort through either the University of Washington Center for AIDS Research, University of California San Francisco (UCSF) SCOPE cohort, or the University of Washington AIDS Clinical Trials Unit. Additionally, stool samples were obtained from study participants recruited through the UCSF Fecal Microbiota Transplantation in HIV (FMT-HIV) study before (weeks -4, -2), at the time of (week 0), and after FMT (weeks 1, 2, 4, 8, 24). All study participants gave written consent to participate in the study, and the appropriate Institutional Review Board (IRB) approved all protocols.

### Fecal microbiota transplantation

Study participants underwent clinical and stool pre-screening prior to joining the study. Donor screen and supply was performed by OpenBiome (Somerville, MA). Usage of the donor samples was approved by the FDA. Participants underwent standard bowel purge and stool suspension was introduced via colonoscopy. Stool were collected at timepoints described above. Detailed protocol can be found in Supplementary Methods, Supplemental Digital Content 1.

### Isolation of bacterial DNA

For DNA isolation from tissue biopsies, two fresh tissue fragments (50–100mg) were re-suspended and lysed in lysis buffer. Genomic DNA was isolated using QIAmp PowerFecal DNA Kit (Qiagen), followed by DNA yield analysis using Qubit 2.0 fluorometer (Invitrogen). For DNA isolation from stool sample, DNA was extracted using a protocol optimized for the isolation of bacterial DNA from feces.[16]

## Bacterial 16s rRNA sequencing

Hypervariable regions in the bacterial 16s rRNA gene were targeted with specific primers followed by appropriate library preparations and subsequent sequencing using either Ion Torrent PGM (Ion Torrent, Life Technologies) or MiSeq (Illumina) platform. Additional details can be found in Supplementary Methods, Supplemental Digital Content 1.

Sequence reads from all cohorts were consolidated followed by metagenomic study. FastQC (Babraham Institute) was used to explore the raw reads and Prinseq, a perl script,[17] was used to filter out reads having mean Phred quality score less than 25. High quality reads were then aligned using Kraken,[18] an ultrafast metagenomics sequence classification tool within Partek Flow Software (Partek Inc.) to assign into operational taxonomy units (OTUs). All FastQ files from all three cohorts were analyzed by the same pipeline.

## Isolation of rectal mucosal mononuclear cells from fresh gut biopsy

Nine to twelve endoscope obtained tissue fragments were washed thoroughly with cRPMI (RPMI 1640 medium; (Hyclone, Logan, Utah) supplemented with 10% fetal bovine serum (FBS) (Hyclone), 1% penicillin-streptomycin (Hyclone), 10 mM HEPES (Hyclone), 2 mM L-glutamine (Hyclone) and digested with collagenase II (Sigma-Aldrich, Dorset, United Kingdom) solution, and filtered through a 70µm nylon mesh. Leftover undigested tissue was re-digested with collagenase II solution. RMMCs were washed PBS + 2% FBS (Hyclone) and re-suspended for flow cytometry analysis.

## Flow cytometric analysis and ex vivo antigen stimulation with antibody blockade assay

Details about antibodies, flow cytometry analysis, and ex vivo antigen stimulation with antibody blockade assay have been previously described [2], and a summary can be found in the Supplementary Methods, Supplemental Digital Content 1.

## Statistical analysis

Measures of central tendency are expressed as medians and interquartile ranges (IQRs; given in the form 25th percentile, 75th percentile). Repeated-measures one-way ANOVA followed by Tukey post hoc test was used for comparing multiple treatment groups. Mann-Whitney U Tests were applied to compare between two independent groups while Wilcoxon signed-rank tests were applied to matched groups, and the Spearman's rank correlation tests were used to discover the association between two variables. All the analyses were performed using Graphpad release 8.0.2 (Graphpad Software) or SPSS 22.0 (IBM) and a *p*-value of less than 0.05 were considered statistically significant.

## Results

### Study participants

We assessed biological samples from two cross-sectional study groups: (i) University of Hawaii (UH) cohort (7 HIV+ and 5 HIV-); (ii) the University of Washington (UW) cohort (27 HIV+ and 5 HIV-), and a longitudinal cohort from the University of California San Francisco (UCSF) (n=12) who received a fecal microbiota transplant (FMT) from one of two HIV-uninfected donors (Table 1). All HIV-infected participants are on stable

combination antiretroviral therapy (cART). None of the participants were on immunomodulators, probiotics, and have any malignancies 6 months prior to and at baseline visits. No participant was on antibiotics in the 3 months prior to study enrollment, with the exception of 9 study participants from the FMT study who received antibiotics 5 days prior to FMT (Table 1).

### **Gut Fusobacteria abundance of HIV-infected individuals on cART**

Microbial taxonomic distributions between individuals in both HIV-infected and HIV-uninfected groups from both cohorts were diverse, however, we observed a significantly higher abundance in only the *Fusobacteria* phylum in the HIV-infected group when compared to the HIV-uninfected controls (0.18% vs. 0.006% respectively,  $p = 0.0011$ ) (Fig 1A; Table 2; see Figure A Supplemental Digital Content 2). No significant difference was observed when study participants were stratified based on age and gender (data not shown). Additionally, we did not observe any difference in the alpha diversity or microbial distribution in the other phyla evaluated (see Figure B-C Supplemental Digital Content 2).

In the longitudinal cohort, we observed fluctuations of all relative bacterial abundances pre-FMT and up to 24 weeks post-FMT, and principle component analysis did not show any clear clustering between pre- and post-FMT microbial abundance (see Figure, Supplemental Digital Content 3). Interestingly, while no *Fusobacteria* was detected from the preparation of fecal bacteria from FMT HIV-uninfected donors (Fig 1B), *Fusobacteria* was detected in most of the HIV-infected recipients at entry (7 of 12 participants), week 1 (5 of 12 participants), week 2 (3 of 12 participants), week 4 (6 of 12 participants), week 8 (6 of 12 participants), and week 24 (4 of 11 participants) (Fig 1C). Furthermore, some of the recipients received antibiotic therapy yet retained the abundance of *Fusobacteria* (Fig 1C, Table 1) in the gut.

### **Expansion of TIGIT and PD-1 T cells and their ligands in periphery and GALT in cART-suppressed HIV-infected individuals**

We profiled TIGIT and PD-1 expression on CD8 (Figs 2A–C) and CD4 (Figs 2D–F) T cells obtained from rectal mucosal mononuclear cells (RMMCs) and peripheral blood mononuclear cells (PBMCs) from the UH cohort. We observed significantly higher frequencies of TIGIT<sup>+</sup>PD-1<sup>+</sup> co-expressing CD8 T cells from RMMCs compared to PBMCs from HIV-infected individuals (Median: 33% vs. 14.2% respectively,  $p = 0.0486$ ) (Fig 2B, left panel). We also observed a significantly lower frequency of RMMC CD8 T cells expressing PD-1<sup>+</sup> in HIV-infected compared to HIV-uninfected individuals (46% vs. 61.6% respectively,  $p = 0.048$ ) (Fig 2C, middle panel). In the HIV-infected group, we observed a higher frequency of RMMC CD4 T cells expressing TIGIT<sup>+</sup> (39.7% vs 18% respectively,  $p = 0.015$ ), PD-1<sup>+</sup> (71.8% vs. 36.9% respectively,  $p = 0.015$ ) and TIGIT<sup>+</sup>PD-1<sup>+</sup> cells (35.3% vs. 6.8% respectively,  $p = 0.015$ ) (Fig 2E, left panel). A higher frequency of RMMC CD4 T cells expressing TIGIT<sup>+</sup> (39.7% vs. 28.8% respectively,  $p = 0.048$ ) in HIV-infected compared to HIV-uninfected individuals (Fig 2F, left panel) was noted.

We assessed the TIGIT and PD-1 ligands, poliovirus receptor (PVR; CD155) and PD-L1 expression on dendritic cell subsets (monocyte-derived dendritic cells (mDC) and plasmacytoid dendritic cells (pDC)) respectively from donors in the UH cohort (see Figure

A-G, Supplemental Digital Content 4). Compared to PBMCs, RMMCs had significantly higher frequency of PD-L1<sup>+</sup> expressing mDCs (80.3% vs. 28.9%,  $p = 0.015$ ), lower frequency of PVR<sup>+</sup> expressing mDCs (78.6% vs. 93.4%,  $p = 0.015$ ) and higher frequency of dual PVR<sup>+</sup>PD-L1<sup>+</sup> co-expressing mDCs (87.5% vs. 28.7%,  $p = 0.015$ ) (see Figure B, Supplemental Digital Content 4). We also observed in the pDCs, a significantly higher frequency of PVR<sup>+</sup> expressing pDCs (93.5% vs. 54.6%,  $p = 0.015$ ), PDL1<sup>+</sup> expressing pDCs (66.4% vs. 24.2%,  $p = 0.015$ ), and PVR<sup>+</sup>PD-L1<sup>+</sup> co-expressing pDCs (50.3% vs. 20.8%,  $p = 0.031$ ) (see Figure C, Supplemental Digital Content 4). No significant differences were observed in RMMCs vs. PBMCs in uninfected controls (see Figure D-F, Supplemental Digital Content 4). Lastly, we observed a significantly higher frequency of PD-L1<sup>+</sup> expressing mDCs (80.3% vs. 62.75%,  $p = 0.0101$ ) and PVR<sup>+</sup>PD-L1<sup>+</sup> co-expressing mDCs (87.5% vs. 64.1%,  $p = 0.025$ ) in HIV infected group (see Figure G, Supplemental Digital Content 4).

### Magnitude of peripheral anti-HIV CD8 T cell responses to ICB and gut microbial phyla abundance

On the basis of the phenotype results, we next assessed the effects of peripheral anti-HIV CD8 T cells responses to TIGIT and PD-L1 blockade (Fig 3A). We observed an increased trend in the fold change of interferon gamma (IFN- $\gamma$ ), CD107a, and tumor necrosis factor alpha (TNF- $\alpha$ ) producing anti-HIV Gag-specific CD8 T cell responses to either single TIGIT or PD-L1 blockade, and a significant increase fold change in responses following the combination of TIGIT and PD-L1 blockade when compared to an IgG1 isotype control mAb (Fig 3B).

In the UH cohort we observed a correlation between higher rectal mucosal PD-L1<sup>+</sup> expressing pDCs and increased fold change of IFN- $\gamma$ <sup>+</sup> CD8 T cell *Gag* response to TIGIT blockade ( $p = 0.012$ ,  $\rho = 0.89$ ) (Fig 3C). Higher TIGIT<sup>+</sup> RMMC CD8 T cells and PVR<sup>+</sup> RMMC mDCs were associated with lower fold change of CD107a<sup>+</sup> CD8 T cell *Gag* responses to PD-L1 blockade ( $p = 0.023$ ,  $\rho = -0.85$ ;  $p = 0.034$ ,  $\rho = -0.79$ , respectively) (Fig 3D). Furthermore, higher RMMC PVR<sup>+</sup>PD-L1<sup>+</sup> pDCs was associated with a lower fold change of CD107a<sup>+</sup> CD8 T cell *Gag* responses to combination TIGIT and PD-L1 blockade ( $p = 0.048$ ,  $\rho = -0.78$ ) (Fig 3E).

To gain insights into the variation in the magnitude of the Gag CD8 T cell responses to ICB blockade we performed a regression analysis of the responses relative to gut microbial abundance. A decrease in the fold change of IFN- $\gamma$ <sup>+</sup> CD8 T cell anti-HIV *Gag* responses after anti-TIGIT and anti-PD-L1 blockade was correlated with higher *Fusobacteria* abundance ( $p = 0.0035$ ,  $\rho = -0.486$ , and  $p = 0.0086$ ,  $\rho = -0.444$ , respectively) (Fig 3F). Interestingly, although no significant correlation was found between IFN- $\gamma$ <sup>+</sup> CD8 T cell anti-HIV *Gag* responses with the relative abundance of *Firmicutes*, a non-pathogenic commensal, a trend in IFN- $\gamma$ <sup>+</sup> CD8 T cell anti-HIV *Gag* responses after single anti-TIGIT, anti-PD-L1 and a combination of both anti-TIGIT and anti-PD-L1 blockade correlated with higher abundance of *Firmicutes* (see Figure, Supplemental Digital Content 5).



## Discussion

Our study reveals several novel findings relevant to understanding the host-pathogen interactions and potential mechanisms affecting the efficacy of anti-HIV CD8 T cell immunity essential for viral clearance. First, the only significant difference in gut microbial diversity between virally suppressed HIV-infected and age-matched uninfected individuals in our cohorts, was a higher gut abundance of pathogenic *Fusobacteria* phyla. Among HIV infected donors receiving FMT that were devoid of *Fusobacteria*, no appreciable impact on the colonization of *Fusobacteria* was evident. Second, we observed increased co-expression of the PD-1 and TIGIT receptors on the rectal mucosal resident CD8 T cells compared to the periphery in HIV-infected donors, suggesting that these cells may be sensitive to immune checkpoint blockade. Third, ex vivo studies using an anti-TIGIT or anti-PD-L1 mAb revealed that a reduction in the magnitude of peripheral polyfunctional anti-HIV CD8 T cells responses to ICB was associated with increased abundance of *Fusobacteria* in the gut mucosa. We propose a model in which an oral commensal bacterium, expands in the gut mucosa in persons with HIV and may significantly impair not only pre-existing HIV-specific CD8 T cell responses, but may restrain the efficacy of ICB immunotherapies in blood and tissues. We believe these finding provide important insights into mechanisms of ICB resistance as we pursue effective strategies to eradicate HIV using immunotherapy.

It was notable that we observed limited gut microbial dysbiosis in our cART-suppressed HIV-infected study population. Longer duration of cART, as opposed to short term therapy, may shift the gut microbiota composition towards an HIV-uninfected profile.[19] Furthermore, partial restoration of intestinal mucosal barrier defects is seen in HIV-infected individuals on long-term cART [20]. While these reports complement our findings, more extensive longitudinal studies would clarify the extent of gut dysbiosis during cART-suppressed HIV-infection.

The persistence of distinct fecal bacterial communities may be influenced by different anti-retroviral drugs such as efavirenz (EFV)-containing regimens. [21] In our study, only four participants received EFV-based regimens (see Table, Supplemental Digital Content 6) and no significant microbial abundance differences were seen between the EFV and non EFV-containing regimens making it unlikely that cART was responsible for the *Fusobacteria* expansion. While gut microbiome alpha diversity has been reported to be lower in untreated HIV-infected individuals and those on short term cART [22], other studies have found HIV-infected individuals on cART had similar gut microbial species richness to uninfected donors.[23] Our study participants were virally suppressed on cART for 5 years and the higher abundance of *Fusobacteria* suggests an HIV-directed mucosal immune environment driving this specific gut colonization,

*Fusobacteria* are anaerobic gram-negative bacilli that are frequently isolated from the oral cavity of both healthy and from individuals with periodontitis [24, 25] and known to associate with colonic tumor formation in preclinical models.[14] The hypothesis for a causal role of *Fusobacteria* ectopic colonization in the gut is still unclear. A study by Atarashi et al., demonstrated that in mice, the intestinal tract had the ability to expand specific oral microbial colonies, including *Fusobacteria*. Moreover, the study also showed



that salivary microbiota are strong inducers of T helper 1 (Th1) response that may be responsible for eliciting severe gut inflammation in genetically susceptible hosts.[26] Furthermore, during HIV infection, the gastric acidity is altered, potentially allowing gastric colonization of oral flora.[27] In HIV infection, greater abundance of *Fusobacteria* was found in subgingival plaque [25] and in anal swabs [28] collected from HIV-infected individuals compared to their matched HIV-uninfected group, increasing the risk of *Fusobacteria* colonization that may lead to local and systemic dysbiosis.

During acute HIV infection, CD4 T-cell depletion causing epithelial injury leads to a disturbance in intestinal homeostasis resulting in systemic immune activation that persists in the chronic stage but is only partially tempered by early initiation of cART.[29] Combined with the ability of *Fusobacteria* to colonize the intestinal tract in susceptible hosts to intestinal damage,[26] our current study support the hypothesis that HIV infected persons with *Fusobacteria* colonization are prone to a cascade of local and systemic immune activation and a continuous cycle of gut dysbiosis, disrupted gut hemostasis and peripheral immune activation and immune dysfunction that persists despite viral suppression.

We didn't find significant difference in peripheral TIGIT<sup>+</sup> CD8 T cells in HIV-infected individuals compared to control, contrary to our previous results. [2] The inconsistent result could be caused by lower number of study participants and higher participants' median age in the current cohort. Recent study showed that TIGIT was upregulated in CD8<sup>+</sup> T cells of elderly adults suggesting TIGIT role as critical immune regulator in aging.[30] A recent study showed a preferential and persistence expansion of TIGIT<sup>+</sup> CD8 and CD4 T cells in the gut of cART-treated HIV infected donors over time.[31] Memory CD4 T cells expressing multiple NCRs, including PD-1 and TIGIT, are also enriched in cells harboring inducible proviral HIV DNA.[2, 32, 33] Combined with our results, these data suggest viral persistence in the gut may be related to the degree of NCR expression and be sensitive to immune targeting with ICB. Whether the gut microbiome remains a key regulatory of these anti-reservoirs responses remains to be investigated.

PD-L1 expressing APCs have been implicated in several histolytic and DC disorders [34] and TIGIT and PVR interact bi-directionally to induce T cell dysfunction while promoting mature immunoregulatory DCs.[35] The expansion of RMMC PD-L1<sup>+</sup> and PVR<sup>+</sup>PD-L1<sup>+</sup> mDCs during HIV infection could selectively support the persistence of dysfunctional TIGIT<sup>+</sup> and PD-1<sup>+</sup> T cells in gut and leaving them amenable to ICB. It is notable that we observed relationships between mucosal and not peripheral TIGIT expressing CD8 T cells [2], and the efficacy of ICB and both PVR and PD-L1 DC expression. A previous study reported that colonic mDC from HIV-infected donors had greater responses to stimulation with gut pathobionts compared to those derived from HIV-uninfected control.[36] While we did not perform functional DC studies, this report raises the possibility that activation of colonic mDC by gut bacteria may contribute to systemic immune activation and ICB may dampen the inflammatory microenvironment in the gut. Collectively, these finding raise the importance that targeting these receptor-ligand pairs may have effects beyond the periphery but also in the tissue compartments such as the gut.

Recent studies demonstrate that the human intestinal microbiome is an important mediator that can affect the efficacy of cancer immunotherapy by ICB.[11–14] Experiments using specific pathogen-free mice further demonstrate how intestinal commensal microbiome modulate host immune priming and systemic activation.[37] The *Fusobacteria* membrane protein, Fap2, engages the TIGIT in the gut mucosa and appears to reduce the ability of T and NK cells to eliminate tumor cells.[13, 35] We postulate that the interaction between Fap2 and TIGIT in the gut may impact the efficacy of TIGIT blockade. Furthermore, this interaction may induce metabolic reprogramming of the T cells rendering them insensitive to TIGIT blockade that will require additional interventions to overcome this resistance. Investigating the metabolic demands of effector T cells in the presence of Fap2 may aid in our mechanistic understanding of this interaction.

Monitoring and managing gut *Fusobacteria* colonization may be a prerequisite for predicting the efficacy of TIGIT inhibitor treatment. Dietary supplements with probiotics [38] or FMT [39] previously may have shown as a favorable adjunctive therapy to “reboot” the gut microbial ecosystem prior to ICB. However, our data showed that FMT may be insufficient. Identifying and targeting an immunogenic epitope of the Fap2 protein,[40] may serve as an alternate and complimentary adjuvant therapy to improve TIGIT blockade.

In summary, our data provide a synopsis of the complex inter-play between human gut microbial communities and how they modulate the anti-HIV effector responses to both PD-1 and TIGIT blockade. As we attempt to understand the validity of ICB for anti-HIV reservoir studies or other anti-viral CD8 T cell dependent responses, characterization of the gut microbiome may serve as an important predictive tool but also aid in the development of more effective immune-based or targeted anti-bacterial therapies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

Conceived and designed the experiments: INS GMC LCN NRK MS. Performed the experiments: INS GMC MJC APSP THM IVC. Analyzed the data: INS GMC VSK THM IVC. Contributed materials/analysis tools: NT CMS DC NH NRK MS Wrote and edit the paper: INS GMC IVC MS LCN.

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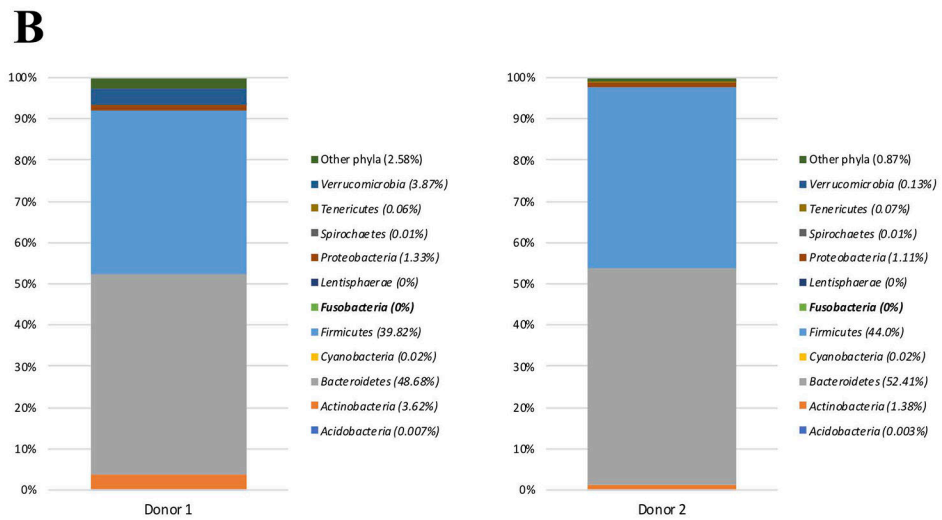
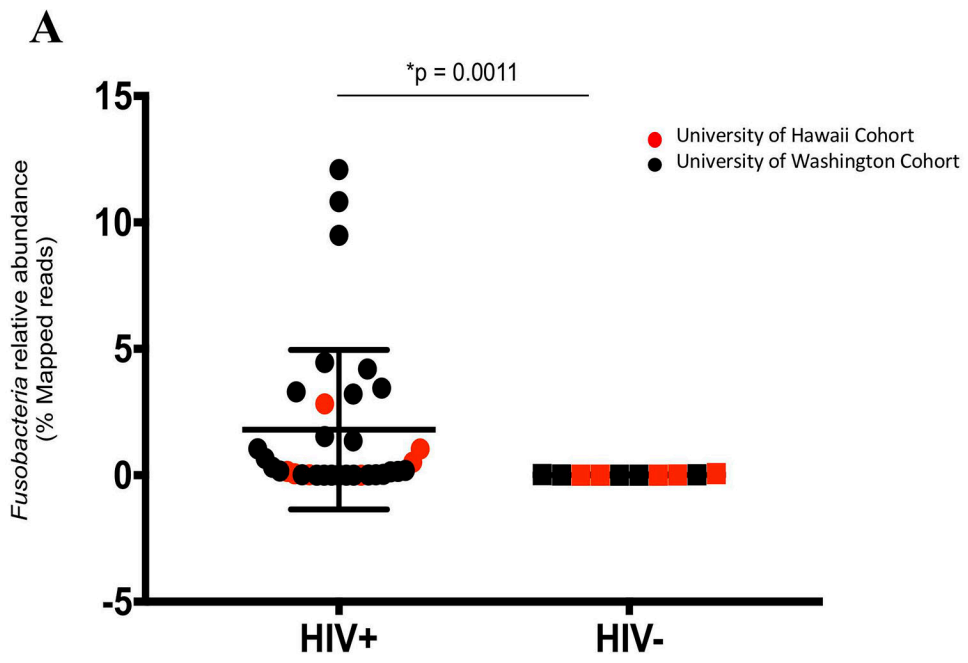
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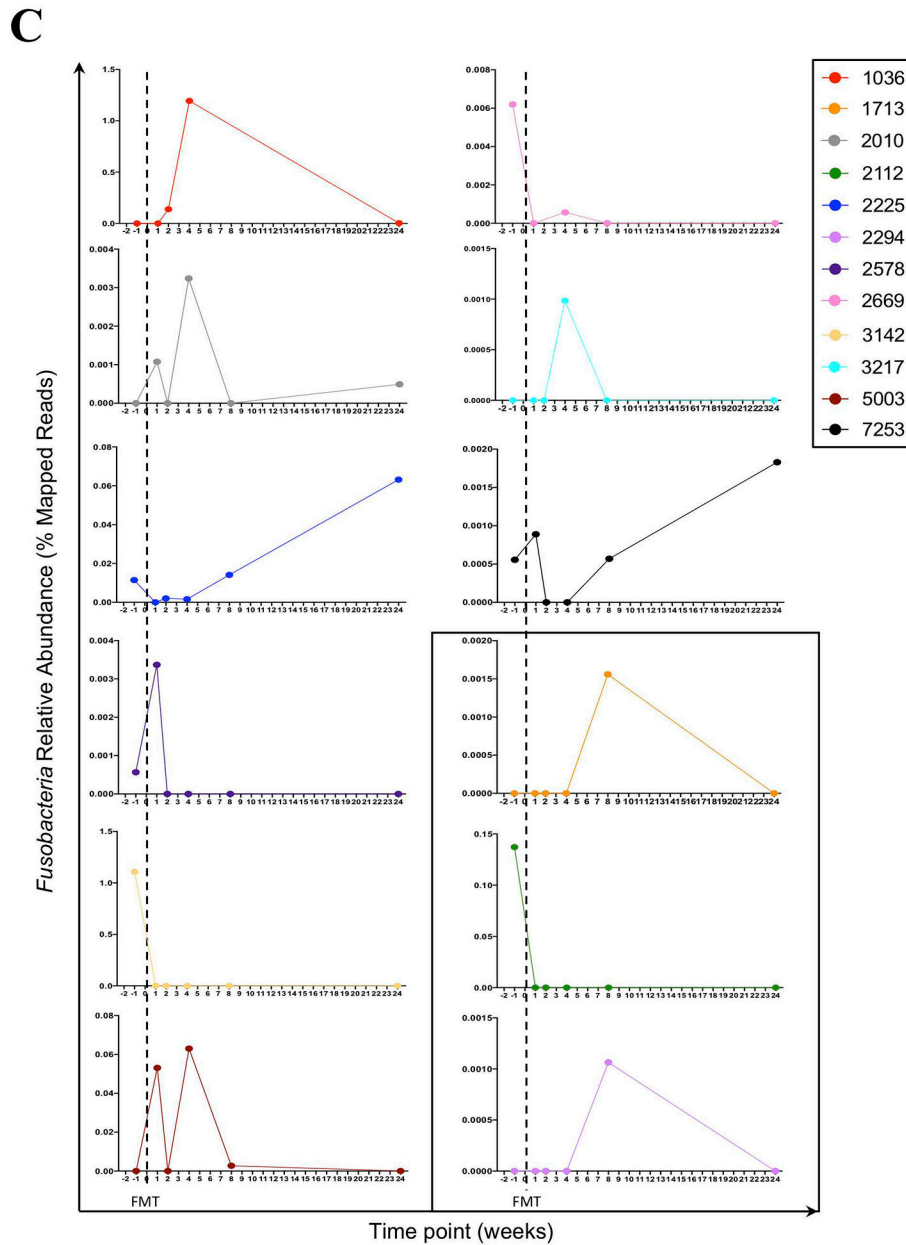
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**Figure 1.**

**(A) The relative abundance of *Fusobacteria* is higher in HIV-infected compared to HIV-uninfected individuals.** Red represent University of Hawaii (UH) cohort and black represent University of Washington (UW) cohort. Graph show compiled data of *Fusobacteria* relative abundance in HIV-infected group (n = 34) compared to HIV-uninfected group (n = 10). The p-values were calculated using Wilcoxon matched-pairs signed ranked test for matched pairs and Mann-Whitney U test for group comparison. **(B) HIV-uninfected FMT donor Bacterial phyla relative abundance.** The distribution of bacterial phyla relative abundance from FMT donor 1 (left) and 2 (right). **(C) *Fusobacteria* was present in the gut of HIV-individuals receiving FMT.** *Fusobacteria* relative abundance in each individual receiving FMT at entry and at week 1, 2, 4, 8 and 24 post FMT. Dashed lines



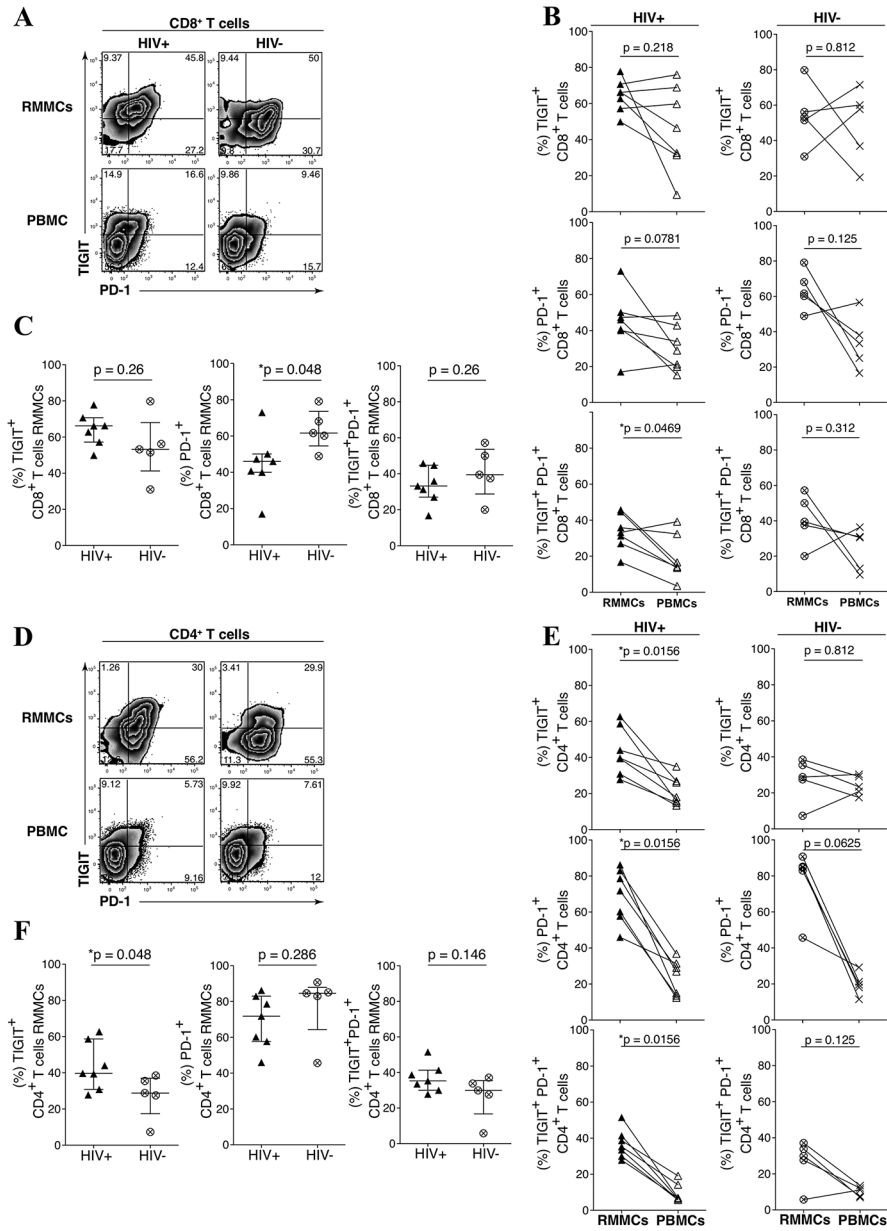
represent the FMT time point. All individuals received antibiotics therapy pre-FMT, except for PID 1713, 2112, and 2294 (boxed).

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**Figure 2. TIGIT and PD-1 are upregulated on mucosal mononuclear T cells derived from rectosigmoid biopsies.**

Rectosigmoid mucosal mononuclear cells (RMMCs) and peripheral blood mononuclear cells (PBMCs) from cART-suppressed UH HIV-infected and HIV-uninfected individuals were stained for viability and antibodies against CD3, CD4, CD8, TIGIT and PD-1.

Representative flow cytometry plots of matched RMMCs and PBMCs gated on live CD3<sup>+</sup> lymphocytes, from representative UH HIV-infected (A) and HIV-uninfected (D) individual.

(B) Compiled data comparing the differences of TIGIT and PD-1 expression on CD8<sup>+</sup> T cells from RMMCs and matched PBMCs of UH HIV-infected (n = 7) and HIV-uninfected (n = 5) individuals. (C) Compiled data of PD-1<sup>+</sup>, TIGIT<sup>+</sup>, and PD-1<sup>+</sup> TIGIT<sup>+</sup> CD8<sup>+</sup> T cells from RMMCs from UH HIV-infected and HIV-uninfected individuals. (E) Compiled data comparing the differences of TIGIT and PD-1 expression on CD4<sup>+</sup> T cells from RMMCs

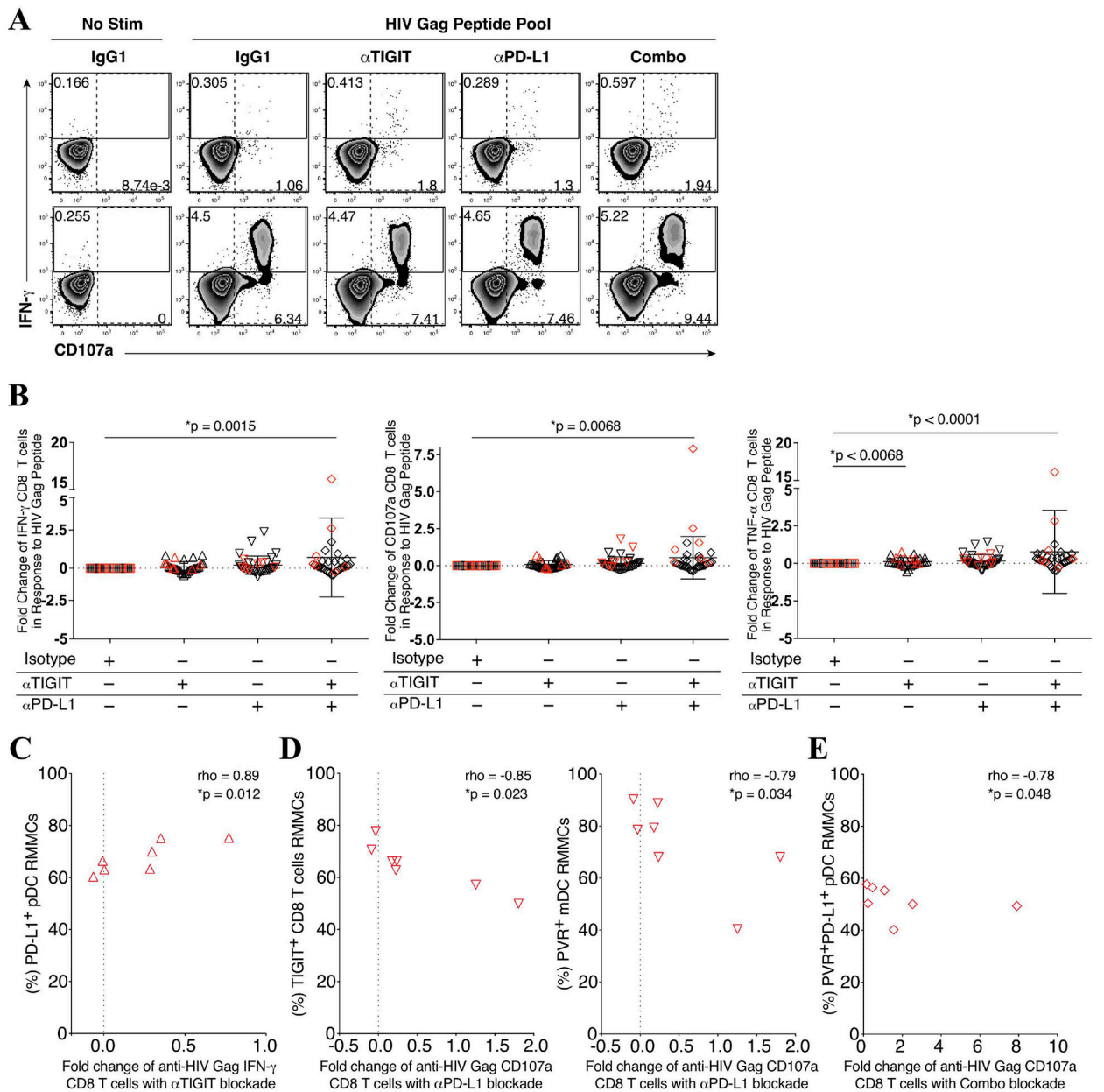
and matched PBMCs of UH HIV-infected (n = 7) and HIV-uninfected (n = 5) individuals. (F) Compiled data of PD-1<sup>+</sup>, TIGIT<sup>+</sup>, and PD-1<sup>+</sup> TIGIT<sup>+</sup> CD4<sup>+</sup> T cells from RMMCs from UH HIV-infected and HIV-uninfected individuals. The p-values were calculated using Wilcoxon matched-pairs signed ranked test for matched pairs and Mann-Whitney U test for group comparison.

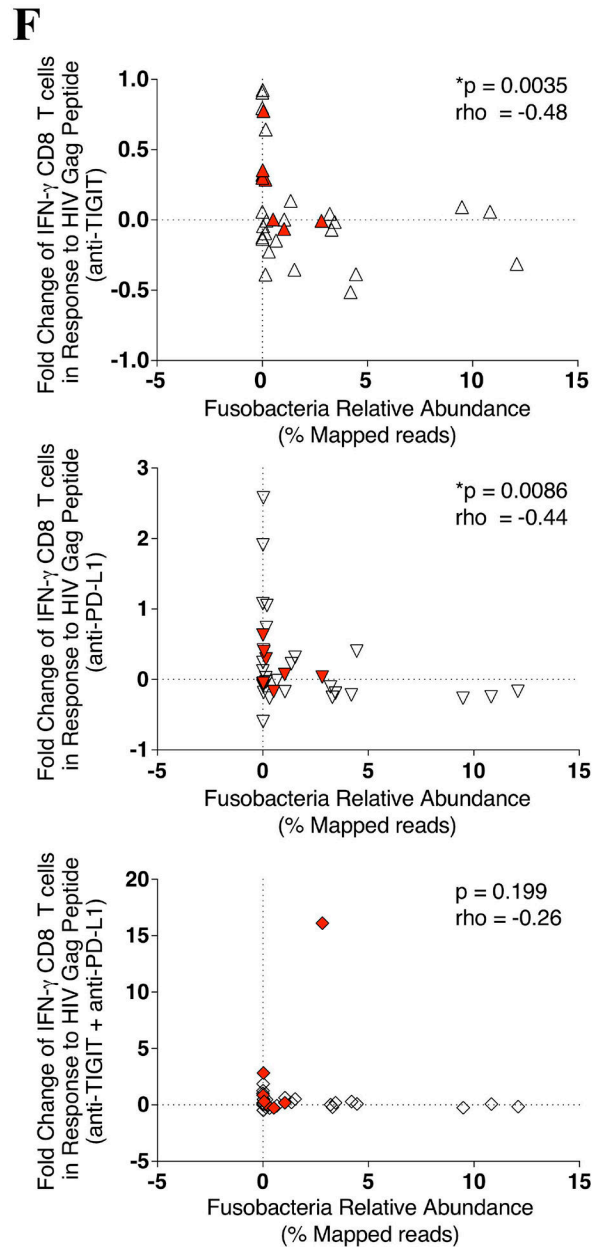
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**Figure 3. Peripheral CD8 T cell immune restoration by anti-TIGIT and anti-PD-L1 blockade varied and is associated with microbiome abundance.**

PBMCs were stimulated with a HIV *Gag* peptide pool in the presence, absence or combination of anti-TIGIT and anti-PD-L1 monoclonal blocking antibodies and assessed for the production of interferon gamma (IFN- $\gamma$ ), degranulation (CD107a), and inflammation (TNF- $\alpha$ ). (A) Representative flow cytometry plots gated on Live CD3<sup>+</sup> CD8 T cells, showing IFN- $\gamma$  (solid gate) and CD107a (dashed gate) responses from two cART-suppressed HIV-infected individuals. (B) Graphs show compiled data of the fold change of IFN- $\gamma$  (right panel), CD107a (middle panel), and TNF $\alpha$  (right panel) in the presence of blocking mAbs normalized to HIV Gag stimulation with IgG1 isotype. Red represents UH cohort (n = 7) and black represent UW cohort (n = 27). Matched phenotypes from RMMCs were compared

with the restoration of PBMC CD8 T cells effector functions after mAb blockade. (C) Graph shows correlation of the frequency (%) of PD-L1<sup>+</sup> pDCs from RMMCs against the fold change of anti-HIV Gag IFN- $\gamma$  CD8 T cells with anti-TIGIT blockade (n = 7). (D) Graph shows correlation of the frequency (%) of TIGIT<sup>+</sup> CD8 T cells (left panel) and PVR<sup>+</sup> mDCs (right panel) from RMMCs against the fold change of anti-HIV Gag CD107a CD8 T cells with anti-PD-L1 blockade (n = 7). (E) Graph shows correlation of the frequency (%) of PVR<sup>+</sup>PDL1<sup>+</sup> pDCs from RMMCs against the fold change of anti-HIV Gag CD107a CD8 T cells with combination blockade (anti-TIGIT + anti-PD-L1) (n = 7). (F) Graph shows correlation of the relative abundance of *Fusobacteria* from rectosigmoid biopsies against the fold change of anti-HIV Gag IFN- $\gamma$  CD8 T cells with anti-TIGIT (upper panel), anti-PD-L1 (middle panel) and combination of anti-TIGIT and anti-PD-L1 (lower panel) blockade (n = 34). The p-values were calculated using Spearman's rho test for correlations.

Table 1.

## Clinical characteristics

Characteristics	UH and UW Cohorts				UCSF/FMT Cohort				Donor (n=2)			
	HIV+		HIV-		HIV+ Vs. HIV - p value		Recipient (n=12)					
	UH n = 7	WA n = 27	Total n = 34	UH Vs. WA P value	UH n = 5	WA n = 5	Total n = 10	UH Vs. WA P value	Abx+ n = 9	Abx- n = 3	Total n = 12	Abx+ Vs. - p value
Age, Median (min, max)	57 (51.78)	53 (24.64)	53.5 (24.78)	0.307	53 (50.63)	52 (51.61)	52.5 (50.63)	0.881	57 (46.63)	61 (31.70)	59 (31.70)	0.95
Sex, Male, n (%)	6 (85.7)	22 (81.5)	28 (82.3)	0.798	4 (80)	4 (80)	8 (80)	1.00	9 (100)	3 (100)	12 (100)	-
Sexual Orientation												
MSM, n (%)	6 (85.7)	22 (81.5)	28 (82.3)	0.798	0 (0)	1 (20)	1 (10)	0.317	9 (100)	3 (100)	12 (100)	-
WSM, n (%)	0 (0)	4 (14.8)	5 (14.7)	0.286	1 (20)	1 (20)	2 (20)	1.00	0 (0)	0 (0)	0 (0)	-
MSW, n (%)	1 (14.3)	1 (3.7)	1 (2.9)	0.295	4 (80)	3 (60)	7 (70)	0.513	0 (0)	0 (0)	0 (0)	-
Viral load, Undetectable, n (%)	7 (100)	27 (100)	34 (100)	-	N/A	N/A	N/A	-	9 (100)	3 (100)	12 (100)	-
CD4 Count, Median (min, max)	560 (304,938)	645.5 (179,931)	635 (179,938)	0.78	N/A	N/A	N/A	-	505 (257,714)	463 (357,835)	490.5 (257,835)	0.86

UH: University of Hawaii Cohort

WA: University of Washington Cohort

Abx+: received antibiotics treatment 5 days prior to FMT

Abx-: no antibiotics treatment prior to FMT



Table 2.

Gut microbiome phyla relative abundance

Microbiome	HIV+			HIV-			p value
	Median	Min	Max	Median	Min	Max	
<i>Acidobacteria</i>	0.0000	0.0000	1.0726	0.0025	0.0000	1.9334	0.1815
<i>Actinobacteria</i>	1.2220	0.1938	23.8308	1.8605	0.1631	10.2672	0.8795
<i>Bacteroidetes</i>	28.0263	3.6643	76.4847	30.1025	2.8395	57.6995	0.9890
<i>Cyanobacteria</i>	0.1103	0.0000	1.9422	0.0216	0.0000	0.4685	0.3391
<i>Firmicutes</i>	40.3029	10.4745	79.3306	45.1707	11.7207	63.2901	0.8578
<i>Fusobacteria</i>	0.1798	0.0008	12.0914	0.0061	0.0007	0.0698	<b>0.0011</b>
<i>Lentisphaerae</i>	0.0166	0.0000	0.9635	0.0003	0.0000	0.5214	0.0775
<i>Proteobacteria</i>	6.8867	0.9832	67.3723	13.1094	1.4708	72.5461	0.6097
<i>Spirochaetes</i>	0.3573	0.0000	30.1833	0.0768	0.0000	14.5274	0.1417
<i>Tenericutes</i>	0.0265	0.0000	1.8604	0.0078	0.0000	2.9573	0.8577
<i>Verrucomicrobia</i>	0.0082	0.0003	2.5196	0.0239	0.0006	25.8266	0.5345
Other phyla	0.1361	0.0000	2.1349	0.0598	0.0142	0.4370	0.6653
Unclassified	0.0000	0.0000	0.2764	0.0029	0.0000	0.3870	0.6897