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1 **Indoor Air Quality in New and Renovated Low-Income Apartments with Mechanical**
2 **Ventilation and Natural Gas Cooking in California**

3
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25 preparation and analysis of passive samples; Yuhan Wang assisted with data analysis. We are
26 also deeply appreciative of the building managers and participants.

27 **Keywords**

28 Multifamily; Nitrogen dioxide; Fine particulate matter; Formaldehyde; Range hood; Codes and
29 standards

31 **Abstract**

32 This paper presents pollutant concentrations and performance data for code-required
33 mechanical ventilation equipment in 23 low-income apartments at 4 properties constructed or
34 renovated 2013-2017. All apartments had natural gas cooking burners. Occupants pledged to not
35 use windows for ventilation during the study but several did. Measured airflows of range hoods
36 and bathroom exhaust fans were lower than product specifications. Only eight apartments
37 operationally met all ventilation code requirements. Pollutants measured over one week in each
38 apartment included time-resolved fine particulate matter (PM_{2.5}), nitrogen dioxide (NO₂),
39 formaldehyde and carbon dioxide (CO₂) and time-integrated formaldehyde, NO₂ and nitrogen
40 oxides (NO_x). Compared to a recent study of California houses with code-compliant ventilation,
41 apartments were smaller, had fewer occupants, higher densities, and higher mechanical
42 ventilation rates. Mean PM_{2.5}, formaldehyde, NO₂, and CO₂ were 7.7 µg/m³, 14.1 ppb, 18.8 ppb,
43 and 741 ppm in apartments; these are 4% lower, 25% lower, 165% higher, and 18% higher
44 compared to houses with similar cooking frequency. Four apartments had weekly PM_{2.5} above
45 the California annual outdoor standard of 12 µg/m³ and also discrete days above the World
46 Health Organization 24-h guideline of 25 µg/m³. Two apartments had weekly NO₂ above the
47 California annual outdoor standard of 30 ppb.

48 **Practical Implications**

49 All 23 studied apartments had mechanical ventilation equipment with specifications that met
50 state requirements, but measured airflows were substantially below those specification values
51 and only 8 of 23 apartments had equipment that *operationally* met all code requirements; this
52 suggests a need for improved on-site performance verification of ventilation equipment in new
53 construction. The similarity of PM_{2.5} concentrations in low-income apartments to those observed

54 in larger and less densely occupied, single-detached homes of similar vintage and similar
55 cooking frequency, and lower formaldehyde in the apartments are consistent with the apartments
56 having higher mechanical ventilation airflows compared to houses. Higher NO₂ in apartments
57 compared to houses with similar cooking frequencies indicates a higher risk from gas cooking
58 burners in smaller spaces and a need for occupants to more effectively employ their venting
59 range hoods.

60 **Introduction**

61 For many people throughout the world, home is the location of greatest intake of air pollution.
62 This occurs largely because we spend so much of our time at home.^{1, 2} In-home pollutants
63 include those emitted from the buildings or activities inside and also outdoor air pollutants that
64 enter with intentional ventilation and uncontrolled infiltration. Air pollutants are emitted from
65 furnishings, finishes and structural materials; and various chemical and biological contaminants
66 are generated by occupants and activities. In addition to recognized hazards such as smoking and
67 irritants in concentrated cleaning products, activities that many consider innocuous emit air
68 pollutants in quantities that yield concentrations in air that exceed health guidelines. Numerous
69 studies have reported that cooking is an important source of fine particulate matter (PM_{2.5})³⁻⁹ and
70 gas cooking burners emit nitrogen dioxide (NO₂) and other nitrogen oxides (NO_x) in amounts
71 that can cause concentrations to exceed threshold values of health-based ambient air quality
72 standards.¹⁰⁻¹³

73 High performance residential buildings have airtight envelopes to reduce uncontrolled
74 outdoor airflow and mechanical ventilation equipment to help control contaminants from indoor
75 sources. Standard 62.2 of the ASHRAE building performance society requires mechanical
76 systems that provide continuous (or equivalent time varying) ventilation at minimum airflows

77 tied to dwelling size and occupant capacity.^{14, 15} The standard additionally requires an exhaust
78 fan in each bathroom and a kitchen exhaust fan or range hood. Starting with the 2007 update to
79 the statewide Title 24 Building Code – specifically in the Building Energy Efficiency Standards
80 (BEES) that comprise Part 6 of the Code – California has required all newly constructed
81 residences and major renovations to have mechanical ventilation equipment that is generally in
82 line with the requirements of Standard 62.2.¹⁶

83 The recently completed Healthy, Efficient New Gas Homes (HENGH) study of 70 California
84 single, detached houses built since 2011 found that almost all had mechanical ventilation
85 equipment with airflows that met the BEES requirements.¹⁷⁻¹⁹ Measurements made in the homes
86 while the dwelling unit MV systems were operating found that both formaldehyde and PM_{2.5}
87 were substantially lower than reported in the California New Homes Study (CNHS) conducted a
88 decade earlier in homes constructed in 2002-2005.²⁰ The lower formaldehyde concentrations
89 resulted both from the operation of dwelling unit MV systems reducing the number of homes
90 with very low ventilation and also from state and federal regulations that limit formaldehyde
91 emissions from manufactured wood materials.^{21, 22} The lower PM_{2.5} in HENGH homes is
92 thought to have resulted from a combination of lower indoor emissions and better filtration since
93 outdoor PM_{2.5} was higher in HENGH than in CNHS. Time-averaged NO₂ levels in HENGH
94 homes were low overall and only marginally higher than in CNHS homes despite the HENGH
95 homes all having natural gas cooktops as compared to only 2% of CNHS homes. IAQ
96 satisfaction was high in both the HENGH and CNHS, and also in large surveys conducted by
97 mail prior to the CNHS field study²³ and by email / internet prior to the HENGH field study.²⁴
98 An important caveat to these findings is that formaldehyde concentrations in almost all HENGH
99 homes were still above California's chronic reference exposure limit of 9 µg/m³ / 7 ppb

100 (<https://oehha.ca.gov/air>). It is also important to note that the general MV fan was turned off in
101 roughly three quarters of the HENGH homes when the field research teams first arrived (before
102 being turned on for the study).

103 The HENGH study did not address whether California's mechanical ventilation standards are
104 providing acceptable IAQ also for apartments, which generally are smaller and have higher
105 occupant density. As one point of comparison, Noris et al. reported mean indoor PM_{2.5} of 8, 42,
106 and 23 µg/m³ for groups of 6 apartments each that had energy efficiency retrofits with MV added
107 at three separate properties in California.²⁵ Two of the sites had much higher indoor PM_{2.5} than
108 the HENGH houses, which had a mean of 9.7 µg/m³. The high PM_{2.5} occurred despite the
109 retrofits including wall-mounted room air filtration devices. Other studies in new or retrofitted
110 U.S. apartments with mechanical ventilation installed to meet ASHRAE 62.2 requirements
111 reported mean PM_{2.5} concentrations similar²⁶ or higher^{27, 28} than those in HENGH. NO₂ also was
112 lower for HENGH homes (indoor / outdoor means of 5.8 / 5.4 ppb) than apartments with gas
113 cooking studied by Noris et al. (17 / 29 ppb and 17 / 16 ppb).²⁵

114 In light of the evidence that (a) use of gas cooking burners can lead to short-term NO₂
115 concentrations that exceed health based outdoor standards, (b) cooking is a substantial source of
116 PM_{2.5} and (c) smaller homes with higher occupant densities may have higher air pollutant levels
117 from occupant activities owing to more frequent emissions and less dilution, this study aimed to
118 assess the adequacy of California's MV standards in apartments with regularly-used gas cooking
119 equipment and with occupant densities substantially higher than those seen in the recent HENGH
120 detached house study.

121 **Methods and Materials**

122 **Apartment Characteristics**

123 The study inclusion criteria were for apartment units to have mechanical ventilation (MV)
124 equipment meeting the requirements of California’s Title 24 residential building code and a
125 natural gas cooking appliance. Required MV equipment included an exhaust fan in each
126 bathroom, a kitchen exhaust fan or range hood, and equipment providing regular ventilation to
127 the dwelling unit – each having specifications that met the code-minimum airflow requirements.
128 It was an additional study aim to focus on apartments that were at least moderately airtight to the
129 outside and to other areas of the building, with a target total air leakage <0.3 cfm per ft² (150 L/s
130 per 100 m²) of boundary area at 50 Pa pressure difference to the outdoors. This limit is specified
131 in the 2019 version of ASHRAE 62.2 and is required in the 2019 version of California’s BEES
132 for apartments that use unbalanced ventilation. This criterion was relaxed to accommodate the
133 inclusion of the low-income apartments at Sites 1 and 4 (0.43 and 0.51 cfm per ft², respectively).

134 Participation criteria included routine daily use of the gas cooking appliance, a prohibition on
135 smoking in the apartment, and agreement to refrain from using windows or doors as a means of
136 regular ventilation during the week of monitoring. These requirements were noted in flyers used
137 to advertise in each site, communicated during the eligibility screening call, and listed in the
138 participant consent forms. Despite these notices, there was substantial window or door opening
139 in several apartments during monitoring and also indications of smoking in some apartments.

140 The study was approved by the institutional review board of LBNL. Details about the
141 recruitment and screening procedures are provided in the Supporting Information (SI).

142 **Overview of Data Collection**

143 Each property was visited in advance of the week of monitoring to confirm the presence of
144 compliant MV equipment; this was done by inspecting 2-4 unoccupied units per site. Since the
145 first two sites were recent renovations of older buildings, blower door tests were conducted on
146 the inspected units to assess airtightness. Recruitment commenced following this visit.

147 During the first visit, teams provided the participant with a paper version of the survey to
148 obtain information about satisfaction with air quality and thermal conditions in the home and
149 routine activities that impact ventilation and IAQ. Characteristics of mechanical ventilation
150 equipment, cooking appliances, and thermal conditioning systems were documented and unit
151 airtightness and ventilation equipment airflows were measured. Temperature, humidity, carbon
152 dioxide and air pollutant concentrations were measured inside each apartment and air pollutant
153 concentrations were measured outdoors on site. Sensors were installed to monitor use of gas
154 cooking burners, ventilation equipment, and natural ventilation. Participants were asked to record
155 occupancy and activities during each day of monitoring. Surveys and activity logs were collected
156 and equipment was removed after one week of monitoring in each apartment. The incentive of a
157 \$300 gift card was provided for completion of all study elements.

158 **Measurement Equipment and Procedures**

159 *Apartment Air Leakage*

160 Air leakage of each apartment was measured using a TEC Minneapolis Blower Door System
161 with DG-700 digital manometer (energyconservatory.com). At the first two sites, a single-point
162 depressurization test was conducted at 50 Pa pressure difference. For the last two sites, data were
163 recorded for 5 depressurization levels ranging from 10 to 60 Pa. In units 901 and 906 (Site 1), the

164 blower door was placed in corridor-facing entry doors and the pressure connection between the
165 corridor to outside was not checked; other tests were done in doorways directly to outdoors.

166 *Exhaust Fan Airflows*

167 Airflows of bath exhaust fans were measured using a TEC Exhaust Fan Flow Meter. Range
168 hood airflows were measured using a balanced-pressure flow hood method described by Walker
169 et al.²⁹ A pressure-controlled variable-speed fan (TEC Minneapolis Duct Blaster) was connected
170 to the exhaust inlet of the range hood using a transition piece that was adapted onsite to cover the
171 entire underside opening. The fan was controlled to match the flow of the range hood while
172 maintaining neutral pressure with the room and the Duct Blaster flow meter used to determine
173 the range hood flow.

174 *Ventilation and Cooking Burner Monitoring*

175 The operation of each mechanical system that contributed to ventilation was monitored. Most
176 range hoods and bath exhaust fans were monitored with a logging vane anemometer (Digisense
177 WD-20250-22). After an anemometer was installed, a range hood was operated at each available
178 setting and the anemometer output for each speed setting was recorded. This enabled analysis of
179 usage by speed setting. A motor on/off sensor (Onset HOBO UX90-004) was used to monitor the
180 range hood in four homes, a bath exhaust fan in two homes and a venting clothes dryer in seven
181 units. To check participants' adherence to keeping doors and windows closed, state sensors
182 (Onset HOBO UX90-001) were used to monitor the most often used exterior doors and
183 windows; details are in the SI.

184 Maxim iButton DS1922T temperature sensors were affixed to cooktops and ovens and use
185 was inferred from analysis of the temperature signals. At Sites 3 and 4, toasters and toaster ovens
186 found in 5 apartments were monitored with plug load loggers (Onset HOBO UX120-018).

187 Operation of the furnace or heat pump in each apartment was discerned from the log of a
188 temperature sensor placed at the supply air register or on the wall furnace.

189 *Measurements of Air Quality Indoors and Outdoors*

190 Air pollutant concentrations and environmental parameters were measured at several locations
191 inside each apartment and at up to two outdoor locations at each site. The instruments used and
192 parameters measured at each location are shown in Table 1. The central indoor package was
193 generally located in the large room that includes the kitchen, dining area, and living room; at this
194 location, instruments and samplers were placed on a small, wire-mesh shelving unit as shown in
195 Figure S1. For apartments with one or more bedrooms (BR), additional monitors were placed in
196 the master bedroom, on a dresser or other horizontal surface typically between 0.5 and 2 m high.
197 In the studios at Site 4, the “master BR” station was at a second location in the main room as far
198 as feasible from the “central” monitors.

Table 1. Devices used for monitoring indoor air quality ^a

Measurement Device	Para-meters	Accuracy ^b	Data	Sampling Locations
GrayWolf FM-801 (Shinyei Multimode)	HCHO	± 4 ppb <40 ppb, $\pm 10\%$ of reading ≥ 40 ppb	30 min	Central indoor Master BR
SKC UME _x -100 Passive	HCHO	$\pm 25\%$, exceeds OSHA requirements ^c	1 week	Outdoor Central indoor Master BR
Ogawa Passive Samplers	NO ₂ NO _x	(Based on published data ^c) 7 d rel. dev.: $3\pm 2\%$ NO ₂ at 11-37 ppb; $4\pm 3\%$ NO _x at 16-85 ppb; $10\pm 9\%$ (NO _x -NO ₂) at 4-56 ppb	1 week	Outdoor Central indoor
Clarity Node	NO ₂ , Optical PM ₁ , PM _{2.5} , PM ₁₀	NO ₂ : ± 30 ppb at 0- 200 ppb; $\pm 15\%$ of reading > 200 ppb PM: ± 10 $\mu\text{g}/\text{m}^3$ at 0-100 $\mu\text{g}/\text{m}^3$; within $\pm 10\%$ of measured value > 100 $\mu\text{g}/\text{m}^3$	Indoor: 2-3 min; Outdoor: 17 min	Outdoor Central indoor
TSI DustTrak II- 8530 (DT)	Estimated PM _{2.5}	$\pm 0.1\%$ of reading or 1 $\mu\text{g}/\text{m}^3$ ^e	2 min	Outdoor Central indoor
Thermo pDR-1500 (PDR)	Estimated PM _{2.5}	$\pm 5\%$ of reading	1 min	Central indoor
37-mm PTFE filter collected by DT or pDR	Gravimetric PM _{2.5}	$\pm 15\%$, based on our co-location data	1 week	Outdoor Central indoor
IQAir Air Visual Pro Monitor (AVP)	CO ₂ , T, RH, Optical PM _{2.5} , PM ₁₀	CO ₂ : ± 50 ppm or 2% of reading ^e PM: Within 10% in effective range: 0–1798 $\mu\text{g}/\text{m}^3$ ^c	10 s to 15 min ^d	Central indoor Master BR
Onset HOBO U23 Pro v2	T, RH	$\pm 0.21^\circ\text{C}$ from 0° to 50°C $\pm 2.5\%$ from 10% to 90%; up to $\pm 3.5\%$ at 25°C including hysteresis	1 min	Outdoor

200 ^a Some of the data listed are not presented in the current paper. ^b Based on manufacturer specifications unless noted
 201 otherwise. ^c Results of a field validation ³⁰. ^d Frequency of data storage changes when any parameter is changing
 202 quickly. ^e Performance also assessed by multi-instrument co-location in this study, as described in text and SI.

203 Outdoor monitors were deployed through all intervals of apartment monitoring at each site.
 204 Outdoor packages always included a TSI DustTrak (DT) real time photometer deployed in a TSI
 205 enclosure and model 801850 heated inlet system and passive NO_x, NO₂, and formaldehyde
 206 samplers. Starting with Site 2, two Clarity Nodes were also deployed outside, with at least one
 207 deployed close to other devices. The intent was to measure at an on-site location not impacted by
 208 local sources such as driveways or smoking areas. At Site 1, outdoor monitors were on a 2nd

209 floor balcony in an interior courtyard. Since there was evidence of smoking on the balcony,
210 residents of the unit were requested to refrain from smoking in that area during the monitoring
211 week. (The area is supposed to be smoke-free by building rules). At Site 2, both primary and
212 secondary packages were on interior courtyard patios outside of ground-floor study apartments.
213 At Sites 3 and 4 all outdoor monitoring equipment was placed on the roof.

214 Quality assurance procedures for the air quality measurements are described in the SI.

215 *Survey and Activity Log*

216 A participant from each studied apartment completed a survey that asked questions about the
217 household, their satisfaction with environmental conditions in their home, their use of ventilation
218 equipment and other activities that impact IAQ. Each participant was also asked to complete a
219 daily log to document occupancy and activities that impact IAQ through all days of on-site
220 monitoring. The activity log sheet is provided at the end of the SI.

221 *Adjustments for Indoor and Outdoor Time-Resolved $PM_{2.5}$ and NO_2*

222 The DustTrak, AVP and Clarity use optical PM sensors that respond differently to varied
223 aerosols sources.³¹ Their time series data thus need to be adjusted to provide an accurate estimate
224 of PM mass concentration. For this study, we used a pooled adjustment for indoor time-resolved
225 $PM_{2.5}$. The first step was to use data from co-location measurements to assure accurate cross-
226 calibration of the individual units of each model of device. Cross-calibrated, time-integrated
227 responses from each unit were then compared to the filter-based estimate from the same
228 apartments to fit a regression across all apartments. The fit from that regression was applied to
229 the cross-calibrated time series in each apartment to estimate time-resolved mass concentration.
230 The details of this process are described in the SI.

231 Time-resolved concentrations of outdoor PM_{2.5} were obtained using the methods described
232 below, with additional details in the SI. For Sites 1 and 4, we used hourly data from nearby
233 regulatory air quality monitoring stations (AQS) to adjust the minute-by-minute data reported by
234 the outdoor DT. For Site 2, data from the outdoor DT were determined to be invalid due to
235 instrument failure and we used hourly data from an AQS station 1.6 km away. For Site 3,
236 outdoor DT data was adjusted using the factor obtained for the first 5 days at Site 4 when
237 ambient PM_{2.5} was found to be representative of the regional air quality. Hourly NO₂ were
238 obtained from the nearest AQS for all sites.

239 **Data Analysis**

240 *Cooking Burner Events*

241 Temperature data recorded by iButtons placed nearby to cooktop burners and oven vents were
242 analyzed to identify individual burner use events, with specified start and end times. Burner
243 events that overlapped in time, or consecutive events that ended and started within 3 min of one
244 another were grouped into meal-based events. Each cooking burner event is defined by an
245 overall start and stop time, by the burners used (cooktop only, oven only, both), by the total
246 minutes of cooktop use (e.g. 2 cooktop burners used for 10 min each is 20 burner-min) and by
247 the total minutes of all burner operation, including the estimated full duration of oven use not
248 accounting for cycling of the oven burner.

249 *NO₂ Emission Events*

250 An algorithm was applied to set a baseline for indoor time-resolved NO₂ data reported by the
251 Clarity monitors in this study and Aeroqual monitors in the HENGH study; rapid increases from
252 the baselines were then identified as emission events. The algorithm searched the NO₂ time-
253 series running average value over a trailing window of 12 h to identify the 3rd highest value,

254 which was determined by visual reviews of the time series plots to be a robust estimate of
255 concentrations not impacted by emission events. For each emission event, we calculated the
256 highest 1 h mean, baseline-subtracted concentration.

257 *Regressions and Testing for Statistical Significance*

258 Time-integrated air pollutant concentrations measured in the apartments of this study are
259 compared to those from a selected subset (N=40) of the single detached homes in the HENGH
260 study such that the comparisons were made between groups of homes with similar cooking
261 frequency during the monitoring week. Statistical significance of the potential differences in air
262 pollutant concentrations in apartments and the subset of HENGH homes was determined by the
263 nonparametric Mann-Whitney test. Analyses were conducted with the R statistical package.

264 **Results and Discussion**

265 **Apartments and Household Information**

266 Data collection occurred in 23 apartments at 4 sites that provided below market-rate rents to
267 income-qualifying residents; subsequently described as “low-income” apartments. Two sites
268 were in the San Francisco Bay Area of Northern California and two were in Southern California.
269 Summary information about the sites is provide in Table S4 and information about individual
270 units is provide in Table S5. The ranges of apartment size, occupancy and occupant density were
271 similar for the first three sites; apartments evaluated at Site 4 were small studio or 1-bedroom
272 units, each with 1 occupant. Summary characteristics of the studied apartments are compared to
273 those from the recent HENGH study of California single detached houses in Table 2. The
274 apartments had much smaller floor area, higher occupant density and much higher mechanical air
275 exchange rates. Cumulative frequency plots of mechanical air exchanges rates in apartments and
276 total air exchange rates in houses are shown in Figure S12. The low-income households in this

277 study had much lower educational attainment and income than those in the recent HENGH study
 278 of market-rate, detached houses, as presented in Table S6. Sites 3 and 4 had underground garages
 279 and 69 of 70 houses from the HENGH had attached garages.

280 **Table 2. Comparison of selected home characteristics between apartments and houses**

	Apartments	Houses
Year built/renovated	Built or renovated 2013–2016	Built 2011–2017
Units studied	23 units at 4 sites	70 detached houses
Building heights	Sites 1–3: 1–3 stories Site 4: 5 stories	1–2.5 stories
Monitoring dates	02/2019–11/2019	07/2016–04/2018
Floor area (m²)		
Mean	76	244
Median (10 th –90 th)	85 (35–106)	243 (146–339)
Density (m²/occupant)		
Mean	38	88
Median (10 th –90 th)	33 (24–62)	77 (45–143)
ACH50^a		
Mean	8.0	4.6
Median (10 th –90 th)	8.6 (2.0–14.3)	4.4 (3.4–6.0)
AER (hr⁻¹)	Mech only ^b	Total ^c
Mean	0.55	0.33
Median (10 th –90 th)	0.54 (0.26–0.90)	0.30 (0.20–0.46)
Ventilation airflow (L/s)	Mech only ^b	Total ^c
Mean	26	56
Median (10 th –90 th)	20 (17–39)	55 (38–73)

281 ^a Air change rate at 50 Pascal pressure difference was measured by depressurizing each dwelling unit
 282 using a Minneapolis blower door system. For apartments, the leakage air comes from outdoor, corridors
 283 and other adjacent apartments. For single family houses, the leakage air comes from outdoors.

284 ^b Mechanical ventilation airflow and estimated mechanical AER were calculated from 21 out of 23
 285 apartments, excluding one unit of which the ventilation airflows were not measured and one unit in which
 286 the continuous MV fan was not working.

287 ^c Total ventilation airflow and estimated total AER were calculated from 57 out of 70 detached houses,
 288 excluding 7 houses of which the ventilation airflows were not measured and 6 houses of which MV
 289 system were not properly operated.

290
 291

292 **Mechanical Ventilation Equipment**

293 All of the studied apartments had kitchen and bath exhaust fans (listed in Table S7) that
294 would comply with the mechanical ventilation airflow and sound requirements of the 2007
295 (through 2016) California BEES if the fans were operating and performing according to
296 specifications. However, measured airflows met the 2007 code requirements for all mechanical
297 equipment (bath exhaust, range hood and continuous MV) in only 8 apartments, as shown in
298 Table S8. Three units lacked a complete set of operational equipment: one didn't have a
299 functioning bath/central MV fan and two others didn't have working range hoods. Of the 21
300 apartments with airflow measurements for at least one continuous dwelling unit ventilation fan,
301 16 met the minimum required by the code that was applicable when they were built or renovated
302 and 13 met the minimum requirement in the recently implemented 2019 code. Another four units
303 were within 90% of the 2007 code requirements. Among the 63 houses in the HENGH study
304 with measured whole-dwelling mechanical ventilation airflow, all but two had ventilation
305 equipment that was mostly or completely compliant with the 2007 code.¹⁷ Four apartments of 22
306 with measurements (18%) had at least one bath fan that did not meet the requirement of 20 cfm
307 continuous airflow for bathrooms. Among the 65 HENGH homes with valid measurements from
308 their two most commonly used bathrooms, 7 (11%) had at least one of two measured exhaust
309 fans not meet the requirement of 25 L s⁻¹ or 50 cfm intermittent airflow. The percentage of all
310 bathroom exhaust fans measured in HENGH homes not meeting the requirement was 9% (19 out
311 of 213). Including the two inoperable units, only seven of the 23 (30%) apartments had range
312 hoods with installed airflows of at least 100 cfm at a setting that is rated to meet the requirement
313 of ≤ 3 sone at 50 L s⁻¹ (100 cfm) or higher airflow. Another five apartments had flows between 90
314 and 100 cfm. In the HENGH study, 34 houses (49%) had range hoods with installed airflows of

315 at least 100 cfm at lowest setting, including 22 regular range hoods and 12 over-the-range
316 microwaves with venting exhaust fans (OTRs). Another six houses had airflows between 90 and
317 100 cfm, including five OTRs and one regular range hood.

318 Sites 2 and 3 had mean values of measured apartment air leakage that met the limit specified
319 in the 2019 state building code for apartments using unbalanced ventilation. While none of the
320 sites were subject to this code when they were built or renovated, it is noteworthy that the target
321 was met at Site 2, built in 1976 and renovated in 2016, and by Site 3, built in 2016, though not
322 by Site 4, built in 2013.

323 All of the bath exhaust fans and range hoods installed in apartments had rated airflows
324 certificated by the Home Ventilating Institute (hvi.org). Most of the installed airflows were much
325 lower than values listed in product specifications and ratings certified by HVI, as presented in
326 Table S7 and Table S8. The ratios of measured to rated bathroom fan and range hood airflows
327 for each site are shown in Figure S13 and Figure S14. Across all apartments, mean and 10th–90th
328 percentiles of the measured to rated airflow ratios were 54% and 21–90% for bath fans and 68%
329 and 36–90% for range hoods. Decrements in installed performance were similar across sites for
330 the bath fans whereas the range hoods at Sites 3 and 4 had airflows much closer to the rated
331 values than did the range hoods at Sites 1 and 2. It is assumed that differences between rated and
332 actual airflows result from higher duct static pressure as installed compared to the conditions
333 used in the rating test. Across the 70 HENGH houses, 28 had range hoods certified by HVI,
334 including four regular range hoods and 24 OTRs. The mean and 10th–90th percentiles of the
335 ratios of installed to rated range hood and OTR airflows were 75% and 38–112% for the houses.

336 Twenty-two apartments had exhaust fans that were running to provide continuous ventilation
337 when the research team first arrived at the apartment. This is in stark contrast to the finding in

338 the HENGH detached house study in which ventilation fans were turned *off* in roughly three
339 quarters of the homes when researchers arrived. The key difference is that the fans in the
340 apartments were wired to operate continuously with no switch to turn them off.

341 When asked in the survey if “anyone in the household knows how to operate or adjust the
342 mechanical ventilation system”, nine participants in apartments didn’t respond, five selected “I
343 don’t know” (if anyone in the household knows), five said no, three said yes, and one correctly
344 noted that “the system cannot be turned off or adjusted”. Only three of 14 who responded said
345 that the mechanical ventilation system had been explained to them when they moved in.

346 **Use of Windows and Doors**

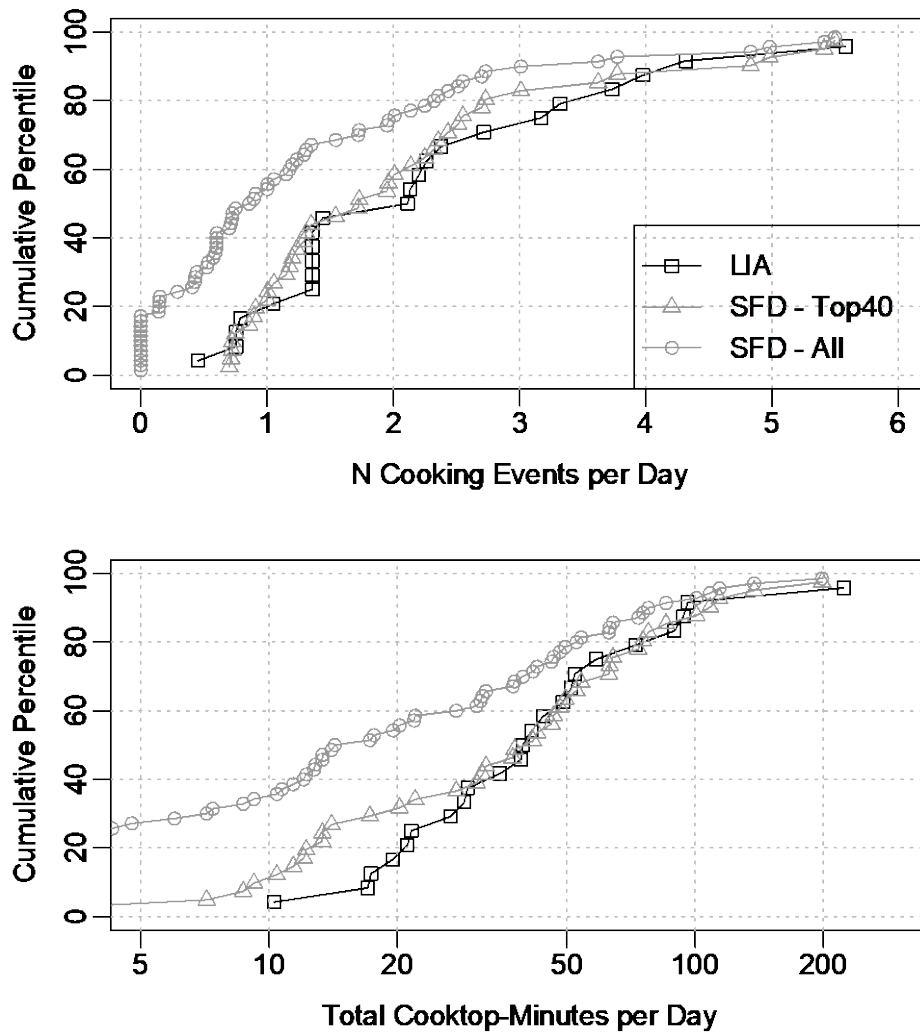
347 According to both activity log and sensor data, there was substantial window and door
348 opening for ventilation in several apartments. In contrast to the HENGH houses, in which 47
349 (67%) reported no window use during monitoring, occupants from only three apartments (13%)
350 reported that they fully complied with the expectation to keep windows closed during the test
351 period. Additional details are provided in SI Tables S9–S10 and Figure S15.

352 **Occupancy and Cooking Frequency**

353 Occupancy log data obtained from 18 apartments indicate that they were occupied for more
354 hours of the day, on average, than the HENGH detached houses. The mean fraction of occupied
355 hours in apartments was 85% with 10th–90th range of 68–100%. Additional details are in the SI.

356 The recruiting and consent materials for the apartment study stated the expectation that
357 participants should routinely use their cooking appliance “on a daily or almost daily basis”
358 whereas the detached house study had no criterion related to cooking. Unsurprisingly, the
359 frequency of cooktop and oven use was higher in the apartments as a group, as indicated in
360 Figure 1. One apartment (903) that appears to have used the oven for overnight heating, was

361 excluded in the cooking frequency duration analysis. The apartments had means of 2.2 burner
362 events and 51 min of cooktop burner use, per day. The overall sample of single family detached
363 houses (SFD-all in Figure 1) had means of 1.3 events and 31 cooktop burner min, per day. To
364 provide comparisons of apartments and homes with similar levels of cooking, we selected the
365 subset of 40 houses that did the most cooking; those houses (SFD-Top40) had means of 2.1
366 cooking burner events per day and 48 cooktop burner min/day.



367
368 **Figure 1. Cooking burner use in low-income apartments (LIA) and single-detached homes of**
369 **HENGH study (SFD-All). The SFD-Top40 are the 40 single, detached houses with the most cooking.**
370

371 **Measured Time-Integrated Air Pollutants**

372 Table 3 presents summary statistics of the time-integrated air pollutant concentrations
 373 measured at the central indoor locations of the low-income apartments in this study and in the
 374 detached houses with frequent cooking of the HENGH study. The summary data for time-
 375 integrated air pollutant concentrations in each site are presented in Tables S11 to S14.

376 We applied the Mann-Whitney test to compare air pollutants concentrations measured in the
 377 40 houses with more cooking to the full sample of 70 and found some differences as likely (e.g.
 378 $p=0.12$ for NO_2) but falling short of the threshold of $p<0.05$. Differences between the 40 high-
 379 cooking and 30 low-cooking houses were significant for NO_2 ($p=0.002$), but not for other
 380 pollutants. For consistency, Table 3 compares concentrations measured in the low-income
 381 apartments with the 40 high-cooking houses for all pollutants.

382 **Table 3. Air pollutant concentrations over one week in apartments and houses with similar**
 383 **amounts of cooking with gas burners.**

Measure	HCHO (ppb)		PM _{2.5} (µg/m ³)		NO ₂ (ppb)		CO ₂ (ppm)	
	Apts	Houses	Apts	Houses	Apts	Houses	Apts	Houses
Indoor	N=21	N=40	N=21	N=40	N=22	N=38	N=23	N=40
Mean	14.1	18.7	7.7	8.0	18.8	7.1	741	628
Median	10.9	17.7	3.9	4.9	16.6	5.5	680	625
10 th -90 th	8.1-22.4	12.8-27.2	1.8-15.0	2.4-17.9	10.8-30	1.5-14.2	584-955	519-765
Outdoor	N=21	N=40	N=21	N=39	N=22	N=37	No data	No data
Mean	1.7	2.2	7.5	10.1	10.1	6.1		
Median	1.4	2.2	5.6	9.1	8.4	3.2		
10 th -90 th	0.8-2.8	1.5-2.9	4.8-14.2	5.3-16.4	4.5-20	0.1-13.4		

384

385 Formaldehyde was substantially lower in the apartments than in the detached houses with the
 386 difference statistically significant ($p=0.005$ based on Mann-Whitney test). This is an expected
 387 result since (a) the apartments were older than the houses and (b) because higher air change rates
 388 reduce formaldehyde.^{32, 33} Building age is important because formaldehyde concentrations

389 decrease substantially over the first few years after a building is constructed³⁴ and 48 of 70
390 houses in the HENGH study were measured when they were less than 3 years old. Formaldehyde
391 was slightly lower outside of the apartments than outside of the houses, but the difference was
392 small compared to the indoor difference. While formaldehyde in the apartments was lower than
393 in the HENGH houses, concentrations still substantially exceeded the chronic and 8-h references
394 exposure levels of the California Office of Environmental Health Hazard Assessment, set at 7
395 ppb for both time frames.

396 Mean indoor formaldehyde concentrations were 12.1, 16.1, 20.3 and 9.4 ppb at Sites 1 to 4,
397 respectively, as summarized in Table S11. The low concentrations at Site 4 are expected from
398 the substantially higher air exchange from mechanical ventilation (0.81 h^{-1}) compared to other
399 sites ($0.43\text{-}0.56 \text{ h}^{-1}$). Higher concentrations at Sites 2 and 3 are consistent with those being the
400 newest construction or refurbishment, in 2016. The low concentration at Site 1, refurbished in
401 2015, is consistent with lower material emission rates from lower temperatures and lower solar
402 insolation (which heats the building shell) as sampling at this site occurred in February.

403 Similar formaldehyde levels were reported in the Noris et al. study of 18 low-income
404 apartments in California (Noris et al 2013) with mean indoor concentrations of 18.4, 13.9 and
405 12.8 ppb at the three sites. In another recent US study, mean indoor formaldehyde concentration
406 of 7.5 ppb were reported for 18 small ($\sim 67 \text{ m}^2$) low-income apartments in a new green building
407 with MV and electric cooking appliances.²⁶

408 $\text{PM}_{2.5}$ concentrations inside the houses and apartments were not significantly different based
409 on the Mann-Whitney test ($p=0.73$); but $\text{PM}_{2.5}$ was higher outside of the HENGH houses
410 ($p=0.02$). The higher ratios of indoor to outdoor indicate more impact of indoor sources in the
411 apartments. Mean indoor / outdoor $\text{PM}_{2.5}$ concentrations at the four sites were 8.1 / 5.0, 3.4 / 6.0,

412 4.7 / 4.9, and 14.9 / 13.6 $\mu\text{g}/\text{m}^3$. Time-integrated indoor $\text{PM}_{2.5}$ concentrations in this study were
413 similar to Site 1 of the Norris et al. 2013 study (indoor / outdoor of 8.0 / 7.9 $\mu\text{g}/\text{m}^3$) but lower
414 than the other two Sites after retrofits (42 / 6.7 and 23 / 3.9 $\mu\text{g}/\text{m}^3$). The indoor $\text{PM}_{2.5}$
415 concentrations in this study are also similar to the mean of 9 $\mu\text{g}/\text{m}^3$ reported for 18 low-income
416 apartments with MV and electric stoves in Boston²⁶ but lower than the 27 / 18 $\mu\text{g}/\text{m}^3$ (in / out)
417 reported post-retrofit in NY apartments with MV and gas stoves.²⁷

418 In comparison to the annual average $\text{PM}_{2.5}$ of 12 $\mu\text{g}/\text{m}^3$ allowed in the California and U.S.
419 EPA Ambient Air Quality Standards (AAQS), the adjusted DT data indicate four out of 20
420 apartments (20%) with weekly average indoor $\text{PM}_{2.5}$ above the threshold. Similarly, seven of 40
421 HENGH houses (18%) selected for comparison had weekly average $\text{PM}_{2.5}$ above 12 $\mu\text{g}/\text{m}^3$. Two
422 of 21 apartments (11%) had 24-h $\text{PM}_{2.5}$ concentrations above the US EPA AAQS of 35 $\mu\text{g}/\text{m}^3$
423 based on the adjusted DT data, including unit 932 with a broken range hood and indications of
424 smoking indoors. The other apartment (901) exceeding the 24h threshold also had one-week
425 $\text{PM}_{2.5}$ above 12 $\mu\text{g}/\text{m}^3$ despite having MV that met the 2007 through 2016 code requirements.
426 Seven of the 40 houses (18%) with $\text{PM}_{2.5}$ data from the HENGH sample had a 24-h
427 concentration above 35 $\mu\text{g}/\text{m}^3$. More homes in both studies had instances of 24 h average
428 concentrations exceeding the World Health Organization exposure guideline of 25 $\mu\text{g}/\text{m}^3$.
429 Among the 20 apartments with adjusted DT data, four (20%) had at least one 24-h period with
430 $\text{PM}_{2.5}$ above 25 $\mu\text{g}/\text{m}^3$. In the 40 comparison houses from the HENGH study, adjusted
431 photometer data indicated nine (23%) with at least one 24-h period of $\text{PM}_{2.5}$ above 25 $\mu\text{g}/\text{m}^3$.

432 The adjusted AVP $\text{PM}_{2.5}$ data generally agrees well with DT, but time-integrated $\text{PM}_{2.5}$
433 concentrations measured by AVP overall were 5-10% lower. With adjusted AVP data from 22

434 apartments, weekly average PM_{2.5} concentrations in three apartments were above 12 µg/m³. One
435 apartment (932) had a 24h average concentration adjusted AVP above 35 µg/m³.

436 NO₂ concentrations were both substantially and significantly higher inside the apartments
437 than inside the detached houses (p<0.01) and also higher outside of the apartments than outside
438 of the houses (p<0.01). Mean indoor / outdoor NO₂ concentrations were 20.4 / 9.8 ppb at Site 1,
439 18.4 / 4.6 ppb at Site 2, 14.0 / 7.9 ppb at Site 3, and 22.0 / 19.7 ppb at Site 4. The effect of
440 outdoor NO₂ is expected to be highest at Site 4 because that site had both the highest outdoor
441 NO₂ concentration and also the highest air exchange rates. Indoor measurements of time-
442 integrated NO₂ did not exceed the U.S. annual average AAQS of 53 ppb in any apartment or
443 house, but three apartments (and no houses) had indoor NO₂ concentrations above the California
444 AAQS of 30 ppb during the week of monitoring (Figure 3). The apartment that used the oven for
445 overnight heating had the 3rd highest weekly-averaged indoor NO₂ (30.6 ppb) and the highest
446 weekly-averaged NO_x concentration (97.6 ppb). These measured NO₂ levels are consistent with
447 the values reported for the two sites within the Noris et al. study that had gas cooking after
448 retrofit, with in / out concentrations of 17.2 / 16.8 and 29.3 / 16.1 ppb. The results indicate much
449 higher NO₂ in apartments than houses when all are equipped with mechanical ventilation
450 equipment.

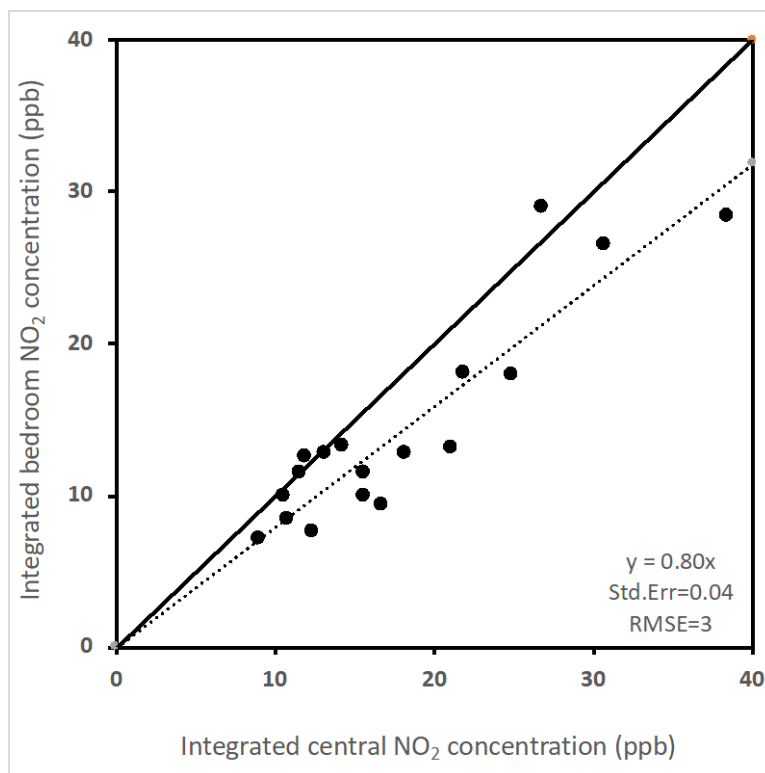
451 The higher indoor NO₂ in apartments is partly caused by higher outdoor concentrations but
452 may also result from differences in emissions or emissions being less diluted by smaller volumes
453 in apartments. To explore the magnitude of these factors, we estimated the indoor concentration
454 resulting from indoor emissions in houses and selected apartments by material balance analysis,
455 treating each housing unit as a well-mixed air volume with steady-state indoor and outdoor
456 concentrations equal to the weekly averages and other influencing parameters. Details are

457 provided in the SI. The analysis was conducted for 37 houses that had all required data and for
458 10 apartments which had outside entrance doors (not corridors) and window opening time less
459 than one hour per day based on activity logs and monitored data. This analysis provided a mean
460 indoor NO₂ concentration from indoor emission of 14.0 ppb and range of 4.8–32.4 ppb in the 10
461 selected apartments and mean of 4.8 ppb and range of 0–16.3 ppb in the 37 houses. Regarding
462 emissions, we note the similar frequencies of cooking events with gas burners that occurred in
463 the apartments and houses (Figure 1), with somewhat higher amounts of burner use at the lower
464 end of the distribution for cooking events in apartments. Differences in emissions across the
465 distribution of cooking events may have resulted from higher rates of range hood use in houses.
466 Overall, range hoods were used in 36% of the cooking activities in houses and in 26% of the
467 cooking activities in the study apartments. When using cooktop burners for more than 20
468 minutes, range hood use occurred 52% of the time in houses but only 31% in apartments.
469 (Details of this analysis are included in a manuscript that is in preparation.)

470 Table 3 shows that CO₂ concentrations were generally higher in the apartments than in the
471 detached houses of the HENGH study; but the differences in incremental CO₂ (above an
472 assumed outdoor background of ~400 ppm) are not proportional to the more than 2x higher
473 occupant densities in the apartments. The higher mechanical air exchange rates in the apartments
474 – along with substantial natural ventilation in at least 5 apartments – resulted in a 90th percentile
475 weekly mean CO₂ below 1000 ppm, a commonly used indicator of adequate ventilation. Mean
476 indoor CO₂ concentrations were 643, 767, 828 and 725 ppm for the four sites. The weekly mean
477 CO₂ was above 1000 ppm at the central location in two apartments; one of these (924) had the
478 highest occupant density among apartments and the other (926) had the second lowest MV rate.

479 **Spatial and Temporal Variations of Air Pollutant Concentrations**

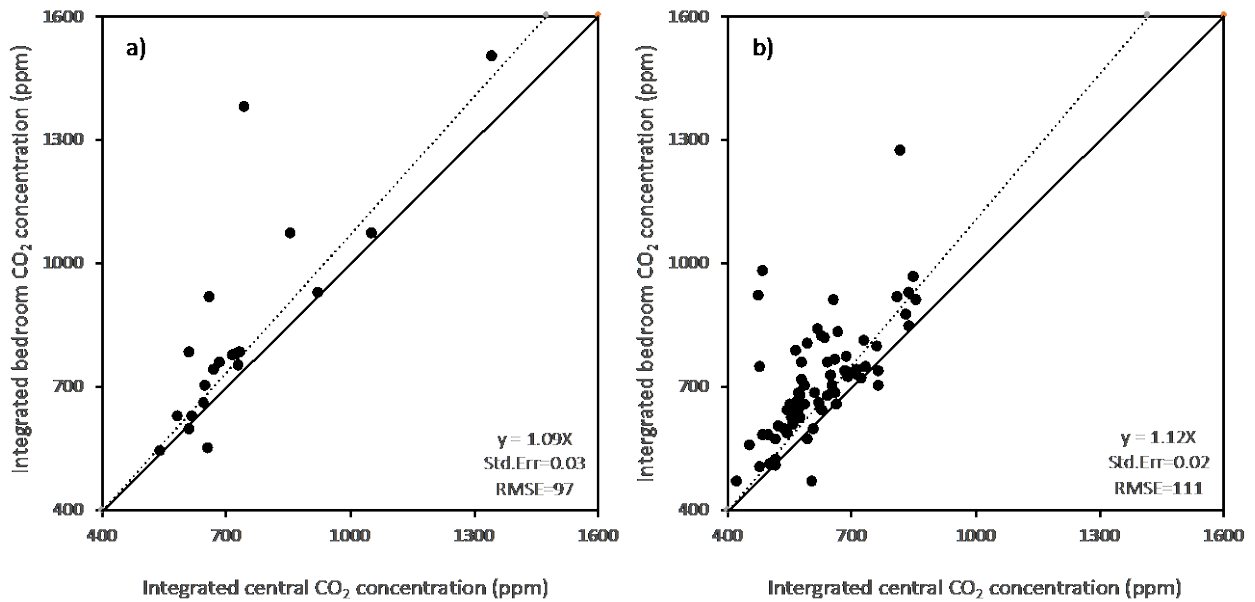
480 Several parameters were measured using the same device in both a central location and master
481 bedroom in most apartments: time-integrated NO_2 and NO_x by Ogawa passive samplers and
482 time-resolved CO_2 and $\text{PM}_{2.5}$ by AVP. Figure 2 compares NO_2 concentrations in bedrooms and
483 central locations of 18 apartments (excluding the studios). NO_2 was more than 10% lower in the
484 bedrooms in 12 apartments. The trend for NO_2 is consistent with findings of other recent
485 studies^{10, 35} and expected since the source is the gas burner in the kitchen. Similar comparison
486 was also performed for total NO_x concentrations, as shown in Figure S16. NO_x was more than
487 10% lower in 7 bedrooms. The other studies reported more pronounced differences between
488 locations for total NO_x .



489 **Figure 2. Comparison of NO_2 concentration measured in bedrooms and common (central) rooms of**
490 **apartments. Dotted line shows robust linear regressions using Huber M-estimator.**
491
492

493 A comparison of adjusted PM_{2.5} concentrations by AVPs in bedrooms and central locations of
494 19 apartments are shown in Figure S17. Unlike NO₂, PM_{2.5} concentrations were similar at
495 central and bedroom locations.

496 Similar to the findings reported for the HENGH single detached houses, CO₂ concentrations
497 in the master bedrooms were higher than in central locations in almost all of the apartments
498 (Figure 3). Weekly average concentrations exceeded 1000 ppm in the master bedrooms of four
499 apartments but at only two of the central measurement sites.



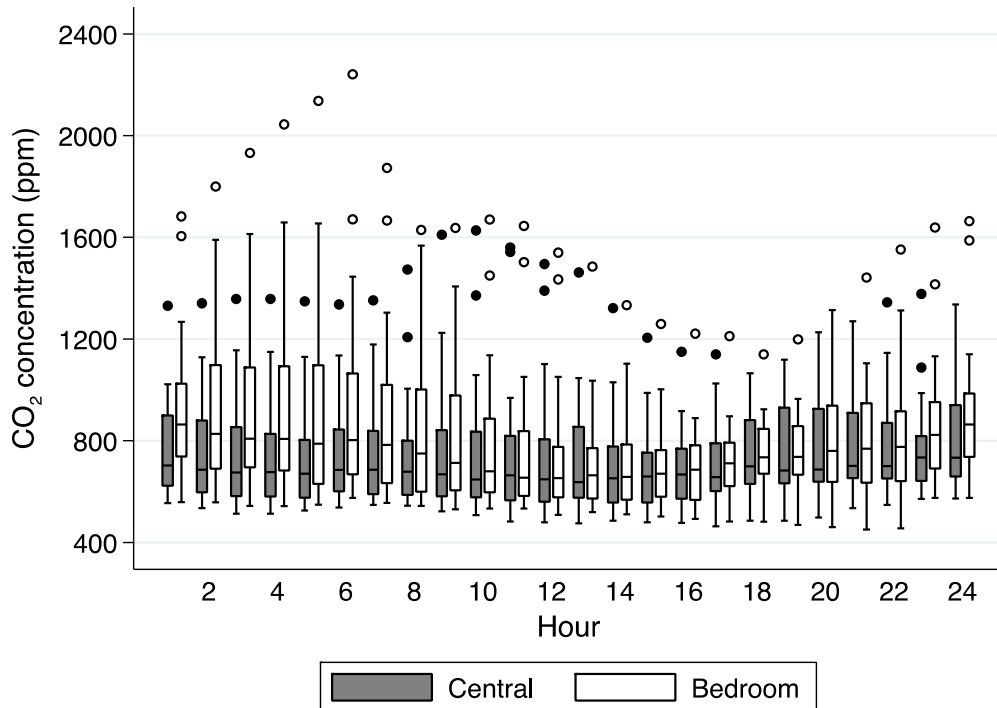
500

501 **Figure 3. Comparison of CO₂ measured in bedrooms and common (central) rooms of (a)**
502 **apartments and (b) houses. Dotted lines show robust linear regressions using Huber M-estimator.**

503

504 Figure 4 shows the daily patterns of CO₂ in the bedroom and central measurement locations
505 across the sample of apartments. The distributions at the two locations are similar from about
506 midday through the evening, then diverge overnight when much higher concentrations occur in
507 bedrooms. The higher bedroom concentrations persist into the mid-morning. Including the three
508 studio apartments at Site 4, there were six apartments that had average bedroom CO₂ above 1000

509 ppm during the hours of midnight to 5 am. Analogous data from the detached houses are shown
 510 in Figure S18. In this houses, distributions of CO₂ at the two locations are similar from about
 511 midday through the evening, similar to apartments. But overnight differences between master
 512 bedroom and central CO₂ concentrations were much larger in the houses.

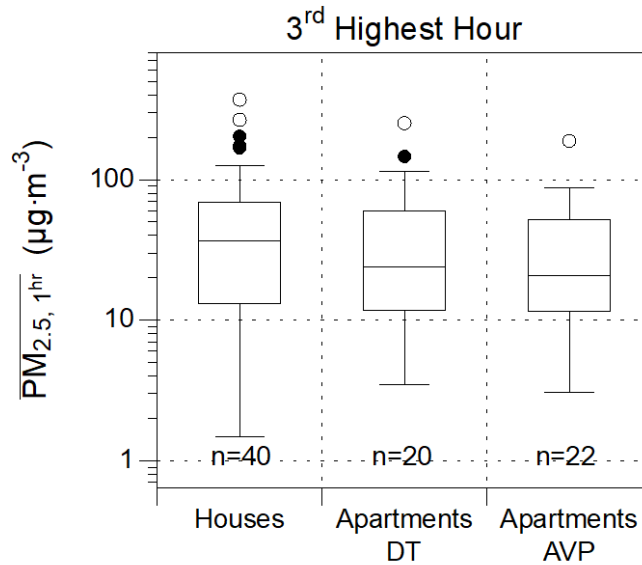


513
 514 **Figure 4. Distribution of mean CO₂ concentrations in each hour of the day across 23 apartments**
 515 **based on measurements made in 20 bedrooms and in 22 large common rooms containing the**
 516 **kitchen (central). Boxes show interquartile range (IQR), whiskers are limit values within**
 517 **75th+1.5IQR) and 25th-1.5IQR and circles show all data outside of whiskers.**

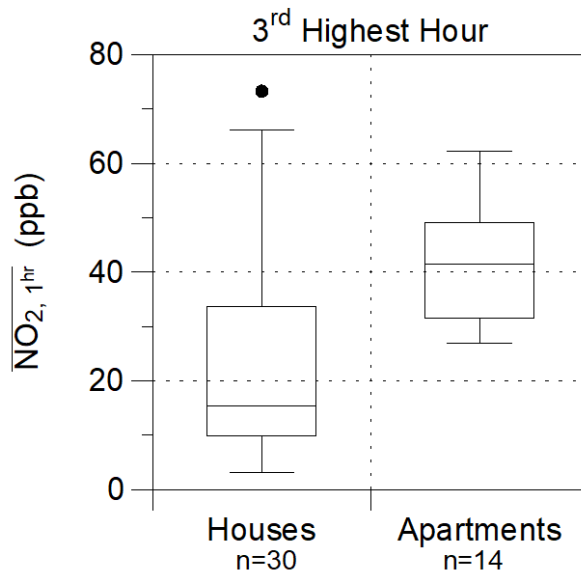
518
 519 **Acute Impacts of PM_{2.5} and NO₂ Emission Events**

520 We assessed the potential impact of indoor emission events on IAQ by examining hourly
 521 concentrations of mass-adjusted PM_{2.5} and baseline-adjusted NO₂ in apartments and houses.
 522 This analysis considered the 3rd highest hourly concentration of each pollutant in each home,
 523 which is roughly the 98th percentile over the ~160 h of data available in most homes. While the
 524 subgroup of houses selected for frequent cooking had a higher median value of 3rd highest PM_{2.5}

525 than the apartments, the ranges were similar. Short-term NO₂ was much higher in apartments.
 526 While different devices were used to measure time-resolved NO₂ in the two studies, and each
 527 has high uncertainty, the higher 1-h concentrations are consistent with the higher weekly-
 528 averages, as shown in Figure S19.



529
 530 **Figure 5. Comparison of 3rd highest hourly PM_{2.5} concentrations in houses and apartments, using**
 531 **mass-adjusted photometer data.**



533
 534 **Figure 6. Comparison of 3rd highest hourly NO₂ concentrations in houses (HENGH) and**
 535 **apartments (this study) using Aeroqual and Clarity Node sensors, respectively.**

536

537 **Satisfaction with IAQ**

538 Summary results of the frequencies of problematic discomfort with environmental conditions
539 in the apartments of this study and the houses of the HENGH study are shown in Table S15 in
540 the SI. The comparison is limited by the use of slightly different questions in the two studies and
541 small sample sizes, but obvious differences were found for some comfort conditions. Eleven of
542 19 (58%) of apartments were problematically too cold in winter, compared with only 30% of
543 houses being too cold a few times per week. In summer, too hot was a problem in 74% (14/19)
544 of apartments but occurred a few times per week or more in only 30% of the houses. Not enough
545 air movement was a problem in 32% of apartments and 22% of houses. The data suggest higher
546 rates of IEQ discomfort in the apartments.

547 **Limitations**

548 This study had several substantial limitations. The most important is the unknown bias of a
549 small and non-random sample. The working condition of ventilation equipment at the four sites
550 and the measured indoor air quality parameters over a single week in 23 apartments cannot be
551 assumed to represent conditions throughout the state, let alone the US; all results therefore must
552 be regarded as exploratory and suggestive, rather than robust or certain.

553 Comparisons between measured IAQ parameters in houses and apartments may be influenced
554 by multiple household and home characteristics.³⁶ We focused on cooking and gas burners as
555 major indoor sources for nonsmoking households and selected a subgroup of houses with similar
556 cooking levels to compare to apartments. Aside from the smaller volumes, higher densities and
557 higher mechanical air exchange rates in apartments, IAQ also may have been impacted by more
558 natural ventilation from window and door opening in at least 21% (5/23) of apartments compared
559 to an estimated <10% of the houses. In addition to these differences, the request that residents

560 not use windows and doors to provide natural ventilation during the week of monitoring may
561 have impacted air pollutant concentrations relative to typical behavior in those homes. Air
562 exchange rates were not measured previously in the houses or in the apartments in this study and
563 it is not known how much of the mechanically-induced air exchange in the apartments came
564 from outdoors and how much from other spaces within the building, via. internal leakage. For air
565 pollutant comparisons, there were differences in instrumentation used by the two studies that
566 could result in differences despite calibrations and quality assurance procedures. While outdoor
567 concentrations of PM_{2.5} and NO₂ are reported, their impact on indoor levels has not been
568 formally quantified for apartments in the present study or for the prior study of houses; such an
569 analysis would require a reliable estimate of overall outdoor air exchange and the pathway of air
570 entry into apartments. Indoor pollutants concentrations were compared to thresholds used in
571 outdoor standards, which may not directly translate to safe levels inside homes.

572 **Conclusions**

573 Notwithstanding the limitations noted above, several qualified conclusions may be drawn
574 from the comparisons of mechanical ventilation equipment and indoor air quality measured in
575 the current study and the same parameters reported in the recent study of detached houses subject
576 to similar code requirements. While the apartments much more commonly had dwelling unit MV
577 equipment operating, the airflows were generally much lower than equipment ratings compared
578 to the houses. Measurements of PM_{2.5} and NO₂ during a week of monitoring suggest that in a
579 substantial minority of homes, concentrations may exceed health-based limits set by the US and
580 California EPA for ambient air quality or by the WHO for personal exposure. Formaldehyde
581 concentrations were lower in apartments than in houses; but still routinely above the chronic
582 reference exposures levels set by the California EPA. Data collected in the apartments affirm

583 prior research showing that use of gas cooking burners produces high short-term and time
584 averaged NO₂. While concentrations of PM_{2.5} were similar in apartments and houses with
585 similar levels of cooking, NO₂ was much higher in the apartments.

586 Based on a very limited sample, the findings of this study suggest that mechanical ventilation
587 systems in a substantial fraction of apartments may have operational deficiencies that impact
588 their performance. These ventilation deficiencies likely translate to higher concentrations of air
589 pollutants whose main source is indoor emission, compared to those that would occur with
590 operation of ventilation meeting the state building code.

591

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Indoor Air Quality in New and Renovated Low-Income Apartments with Mechanical Ventilation and Natural Gas Cooking in California

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Recruitment, Screening and Selection of Study Homes

Recruitment was carried out by the Association for Energy Affordability (AEA) starting in September 2018. AEA first searched its database of properties on which they had worked in the past, which identified Site 1. AEA then contacted building owners or operators with whom they had existing relationships to inquire about the suitability of their properties and their willingness to assist with the study. These were primarily low-income developers or owner groups that had participated in energy efficiency programs managed by AEA, or who had been involved in previous research with AEA. This led to Sites 2-4. With the intent to study apartments which are representative of near-future construction in California, recruitment targeted buildings constructed or remodeled in 2013 or later. When an owner or property manager suggested a candidate site, AEA checked that the cooking and mechanical equipment thought to be present met requirements. AEA reviewed available air leakage test results and in the absence of such results, considered the type and year of construction or renovation. After securing preliminary agreement of the building owner and operator, AEA visited the property to inspect 2-4 units. This visit was to confirm the presence of compliant ventilation equipment and, at the first two sites, to conduct blower door testing to measure compartment air leakage. Since the last two sites were built in the past 5 years, they were assumed to have compartment airtightness consistent with current construction. (As noted in Table S5, they actually did not meet the air tightness target).

Outreach to identify interested residents was accomplished with information sheets posted in hallways and left in front of entrance doors and building managers calling attention to the flyers. Flyers included basic information about the requirements of the study, what to expect, and the financial incentive. Interested residents were encouraged to contact AEA by telephone or email for more information. Most people who reached out to AEA with interest understood the requirements that participants should engage in regular cooking, prohibit smoking and keep windows closed for the week of monitoring. Interested residents were given additional details about the study protocols and confirmed they would be available during reasonable time periods for equipment installation and removal. Once a sufficient number of eligible volunteers were identified, AEA scheduled dates for equipment installation in each apartment.

Building and Apartment Characterization

The following equipment was identified and characterized, and photos were taken to document the details of the installation, as shown in the table below.

Table S1. Apartment and equipment characterization.

Equipment type	Characterization
Dwelling unit mechanical ventilation system	Basic design (exhaust, supply, or balanced); type of control; make, model, rated flow and sound; available settings.
Bath and kitchen exhaust fans.	Make, model, rated flow and sound; type of control for each fan; note if kitchen range hood is microwave or simple range hood
Heating and cooling system(s)	Type of system (all were forced air), make and model, capacity (in tons and Btuh). Any additional space heater? Dimensions and location of each return and locations of filter(s) if not at the return air grille. For each filter in forced air system, record make, model and MERV; visually assess condition; photo. Make and model of thermostat.
Gas-burning appliances	Make, model and firing rates of all burners and ovens; photo of nameplate.

Ventilation and Cooking Burner Monitoring

To check participants' adherence to keeping doors and windows closed, state sensors (Onset HOBO UX90-001) were installed to monitor open vs. closed status of the most frequently used window and doors in each unit, as summarized in Table S2. At Site 1 loggers were placed on front doors in all units and back deck doors in three units. At Site 2 loggers were placed on front doors in all units and back deck sliding doors in four units. At Site 3, loggers were placed on all the front doors and the most used window or door in each unit; but valid data were obtained for only three front doors, two back deck doors, and one bedroom window. At Site 4, logger data were obtained for three front doors, three bedroom windows, one back deck window and two kitchen patio windows.

Table S2. Windows and doors monitored in each home

Home	Front door	Back deck door	Bedroom window	Kitchen patio window
901	Y	Y		
902	Y			
903	Y			
904	Y			
905	Y	Y		
906	Y	Y		
911	Y			
912	Y	Y		
913	Y	Y		
914	Y			
915	Y	Y		
916	Y	Y		
921	Y			
922	Y			
923		Y		
924				
925				
926		Y	Y	
931	Y	Y	Y	
932				Y
933	Y			
934			Y	Y
935	Y		Y	

Measurement Equipment



Figure S1. Examples of monitoring equipment at central indoor locations. At Sites 1 and 2, instruments were placed in varied configurations. At Sites 3 and 4, all were placed as shown.

Measurement Quality Assurance Procedures

Handling and analysis of passive NO₂, NO_x and formaldehyde samplers

Ogawa samplers were prepared according to manufacturer protocols. Prior to assembly for field deployment, all parts of the samplers were washed thoroughly with deionized water and allowed to dry thoroughly in a laboratory at LBNL. Sample pads were stored in the refrigerator in their original packaging until they were inserted into samplers. After samplers were assembled with new sample pads (one NO_x and one NO₂ pad per sampler), they were placed in sealed amber plastic bags (Ziploc) and refrigerated until deployment.

UMEx samplers and sampling cartridges for the Multimode formaldehyde monitor were transported in their original packaging and opened at the field sites. Each sampling cartridge was only used once for each test apartment.

At the end of the week of monitoring, collected NO_x/NO₂ samplers were placed in sealed amber plastic bags and stored at room temperature. UMEx formaldehyde passive samplers were closed and placed in the foil-lined envelopes provided by the manufacturer. Collected UMEx passive samples were refrigerated during any days required to complete visits to other apartments at the site, transported back to LBNL in coolers with ice packs, and refrigerated at LBNL until analysis.

In most apartments, Ogawa NO_x/NO₂ samplers were deployed at both the main indoor sampling location and also in the master bedroom (or a second location in the studios of Site 4). UMEx formaldehyde samplers were deployed at the main sites in all apartments and in seven master bedrooms. Two of each type of passive sampler were also deployed outdoors at each site. The intent was to have one start on the first day of monitoring and sample for seven days, and have the other start seven days before the end of monitoring. This occurred at Site 3 and something close occurred at Site 4, with the first sampler going for 8 rather than 7 days. At Site 1 there was only a single outdoor sampler of each type, deployed over the full 13 days. At Site 2, one outdoor sampler was deployed for the first 10 days and the other for the last 7 days. There was at least one field blank for each type of sampler at each site. Field blanks were opened either at the indoor or an outdoor measurement location, then packaged and stored in a refrigerator for the monitoring week. At the completion of monitoring at each apartment, Ogawa and UMEx passive samplers were stored cold (refrigerator then packed in a cooler with ice) for transport to LBNL and stored cold until they were analyzed at LBNL.

Since the same materials, procedures and laboratory equipment were used to analyze the passive samples used in this study and the HENGH study, we assumed the same precision and consistency reported previously. In the HENGH study, analysis of 64-paired duplicates of indoor Ogawa samplers found that agreement in NO₂ concentrations was within 0.6 ppb on average (median = 0.3 ppb). The mass determined for field blanks corresponded to 0.9 ppb of NO₂ and 1.3 ppb of NO_x for a 7-day collection period. The average sample mass on the field blanks was subtracted from the mass determined for samplers before calculating concentrations. In the current study, two duplicates of indoor Ogawa samplers were deployed in homes 932 and 934. The differences between duplicates at the two homes were 2.3 and 2.9 ppb for NO₂ and 0 and 1.8 ppb for NO_x. The NO₂ blanks corresponded to 0, 0, 0.2, and 0.2 ppb for Sites 1-4 and NO_x blanks corresponded to 0.3, 0, 0.5 and 0.3 ppb for Sites 1-4. Concentrations reported for each site have these values subtracted.

In the HENGH study, the mean mass determined from all available field blanks for formaldehyde corresponded to 0.6 ppb for a 7-day collection period. In the current study, the UMEx blanks corresponded to 0.5, 0.8, 0.9 and 0.5 ppb for Sites 1-4; concentrations reported for each site have these values subtracted. There were no co-located UMEx samplers in the current study. In the HENGH study, sixty-six pairs of indoor formaldehyde samples agreed to within 1.0 ppb on average (median = 0.7 ppb). A sampling rate of 20.4 ml/min was used to calculate the sampling rate for UMEx samplers, following manufacturer instructions for extended sampling in environments with air velocities under 300 cm/min.

Co-location check of temperature and relative humidity sensors

In February 2019, before the first field deployment, 15 Onset HOBO temperature and relative humidity sensors (including model U23 and model U012-13) were co-located at a warehouse for about 18 hours. Temperatures ranged from 10 to 20 °C and relative humidity varied from 50 to 70%. Most of the sensors operated well within the range of uncertainty stated for each of the sensors (± 0.4 °C for temperature, $\pm 2.5\%$ for relative humidity). The battery level of each temperature and relative humidity logger was checked prior to each field visit. We also conducted on-site check by visually examining the initial readings of each temperature and RH sensor when deploying on the field.

Quality Assurance Procedures for Air Pollutant Monitors

Co-location checks and mass calibrations of PM_{2.5} photometers

Time-resolved concentrations of PM_{2.5} were estimated using four monitors with optical sensors that detect particles by light scattering: TSI DustTrak II-8530 (DT), Thermo-Scientific pDR-1500 (PDR), Clarity Node, and Air Visual Pro (AVP). All of the Clarity Node monitors and almost all of the AVP monitors used to collect data in apartments were purchased new at the start of the study. Four of the eight DT units (111714, 111801, 113221 and 172816) that were used in the study were calibrated by the manufacturer (TSI) during February 27th to March 17th 2019 between deployments at Sites 1 and 2. A fifth (113220) was calibrated on August 16th 2019 about one month before deployment at Site 3.

Basic instrument functionality checks occurred before or at the time of deployment. These included checking battery life, power and data logger connections, alignment of instrument clocks, and sample airflows of DustTrak and pDR monitors. During each on-site sampling, initial zero checks were conducted using inlet zero HEPA filters and all the DustTrak units were operated with autozero modules (TSI 801690) which periodically set the inlet flow passing through a zeroing filter for two minutes every one hour to adjust the baseline of subsequent measures in next hour. AVP duplicates were also deployed in three studios on Site 4, including home 932, 933 and 934.

Groups of PM monitors were co-located for cross-calibration and/or comparison to reference monitors on several occasions before and between site visits. This included deploying DustTrak, pDR-1500, AVP, and/or Clarity Node monitors in a 50 m³ ventilated experimental room at LBNL. Particles were generated by burning incense or candles for a short time or stir-frying vegetables in oil on an electric hot plate. The generation was followed by multiple days of introducing ambient air by using a fan system that brought unfiltered air into the room. Peak concentrations during sources exceeded those in most apartments. Many of the monitors also were deployed together in an occupied house to cross-compare measurements of PM_{2.5} and NO₂ from typical residential sources along with CO₂ from intermittent occupancy. Events are described in Table S4.

Filters for gravimetric analysis were collected inside each apartment and outdoors at each site. The filters used were 37 mm diameter, 2.0-micron pore size Pall Teflo filters with ring. Prior to deploying to the field, each filter was preconditioned for 24 hours at controlled temperature and humidity conditions (47.5 +/- 1.5 % RH and 19.5±0.5 °C). The filters were passed over a deionizing source to remove any static charges and each filter was weighed twice using a Sartorius SE2-F balance. After pre-weighing, filters were stored in cassettes then loaded into the pDR-1500 and DustTrak photometers on site when deploying. Filters were returned to LBNL with the photometers. The filters were again preconditioned and weighed as noted above. The collected mass was determined as the difference in mass, post-sampling versus pre-sampling. The sample air volume was calculated as the product of the sampling time and flow rate, and concentration was calculated as collected mass / air volume.

Table S3. Summary of details of co-location events

Dates	Location	Instruments	Procedure
Feb 4-5	LBNL 50 m ³ test room.	7 DustTrak, 2 pDR Grimm mini-wide range aerosol spectrometer	Co-location for about 1 day; Burn incense and stir-fry beans in the chamber to generate particles
Feb 6 -20: Site 1, Hayward			
Mar 1-4	LBNL 50 m ³ test room.	4 DustTrak 2 pDR	Co-location for about 3 days; Burn incense and candle in the chamber to generate particles
April 7-17: Site 2, San Francisco			
Apr 20 to May 7	Living room of occupied house with gas stove	2 Clarity	2-week co-location with daily household activities including cooking and cleaning
Aug 8-12	LBNL 50 m ³ test room.	6 DustTrak 2 pDR 5 AVP	Burn incense and candle, and introduce outdoor air
Sep 9-13	LBNL 50 m ³ test room.	5 DustTrak 2 pDR	Co-location for about 3 days; Burn incense and introduce outdoor air
Sep 17-21	LBNL 50 m ³ test room.	4 DustTrak (one recently calibrated) 8 Clarity	Co-location for about 3 day; Burn incense and introduce outdoor air
Oct 2-11: Site 3, San Diego			
Nov 1-8	LBNL 50 m ³ test room.	7 DustTrak 13 AVP 12 Clarity	Co-location for about 7 days; Burn incense and introduce outdoor air
Nov 11-22: Site 4, Los Angeles			
Dec 12-19, 2019	Dining room of occupied house with gas stove	7 DustTrak 2 pDR 4 AVP 12 Clarity	One-week co-location with daily household activities including cooking and cleaning

Data from five colocation events were selected to perform cross-calibration for the DustTrak. Colocation events were labeled in relation to time proximate field sites. The colocation during Feb 4-5 was marked as before Hayward. The colocation during Mar 1-4 was marked as after Hayward. The colocation during Sep 9-13 was marked as before SD. The colocation during Nov 1-8 was marked as before LA. And the colocation during Dec 12-19 was marked as after LA. Time resolved PM concentrations for co-located DTs for the five events are shown in Figure S2.

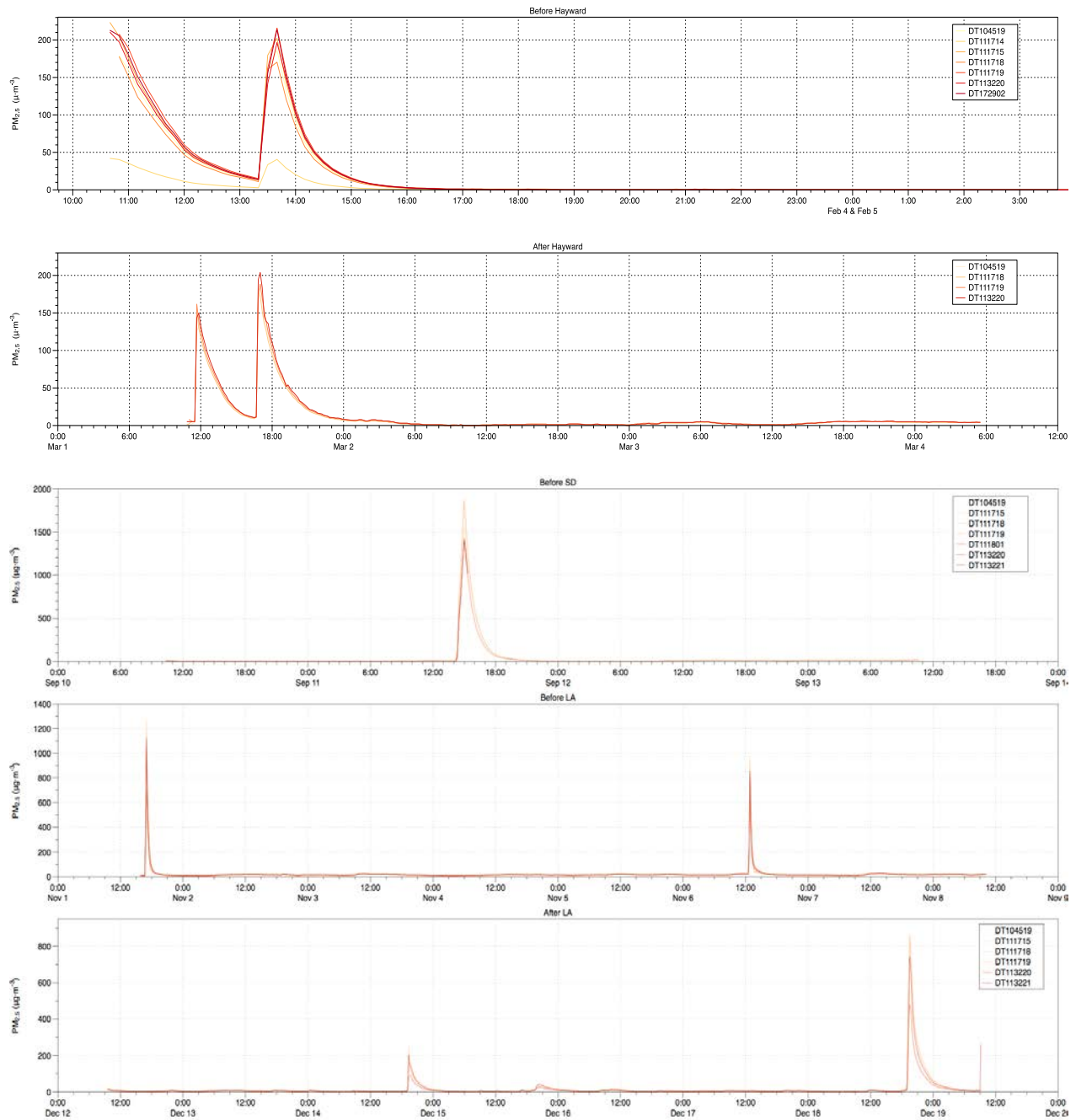


Figure S2. Time-resolved DustTrak co-location data before and after site visits

The DustTrak, AVP and Clarity all use optical sensor units that are based on light scattering and respond differently to varied aerosols that are present and emitted in homes (Wang et al., 2020). Thus, the calibration factors of these instruments would change over time. Without knowing the specific mix of PM sources, it is only feasible to make overall adjustments. That can be done with the filter-based gravimetric concentration determined independently for each apartment or by assuming that the mix of sources is broadly similar and pooling data across apartments. For this study, we used a pooled adjustment. The first step was to use the co-location

data to cross-calibrate the individual units of each device (DustTraks, AVPs, etc.). To compensate for this the instruments were cross checked with each other using the data from colocation before each deployment. All data was downloaded from the instruments and averaged to a 10-min basis. There were two instruments (DT111719 and DT111519) involved in all of the tests that had little-to-no instrument-to-instrument variation over the course of the study. These two instruments were used as an arbitrary reference to cross calibrate all of the other instruments together. For each cross-check, other DustTrak units were calibrated against the average of the two reference units using all of the 10-min averaged data over the period. This resulted in a set of linear calibration parameters (slope and intercept) for each instrument for each period. Results are shown in Figure S3. The R^2 for each fit was typically greater than 0.99, and the intercepts were between -0.2 and 0.8. This provided an equal footing for the DustTrak units across the study.

Then the cross-calibrated, time-integrated responses of each unit were compared to the filter-based estimate from the same apartments to fit a regression across all apartments (Figure S4). The fit from that regression was applied to the cross-calibrated time series in each apartment to estimate the time-resolved mass concentration. This process was applied to data from indoor DustTraks and AVP monitors, using Equation S1:

$$\textit{Estimated } PM_{2.5} = (\textit{Device } PM_{2.5}) * \textit{Scalar} + \textit{Offset} \quad (\text{S1})$$

Since DustTraks had hourly autozero and a fit with similar R^2 was obtained with zero or non-zero intercept, we used a no-offset adjustment with scalar of 0.232.

Figure S3. Cross-calibration plots for 5 co-location events (Separate File)

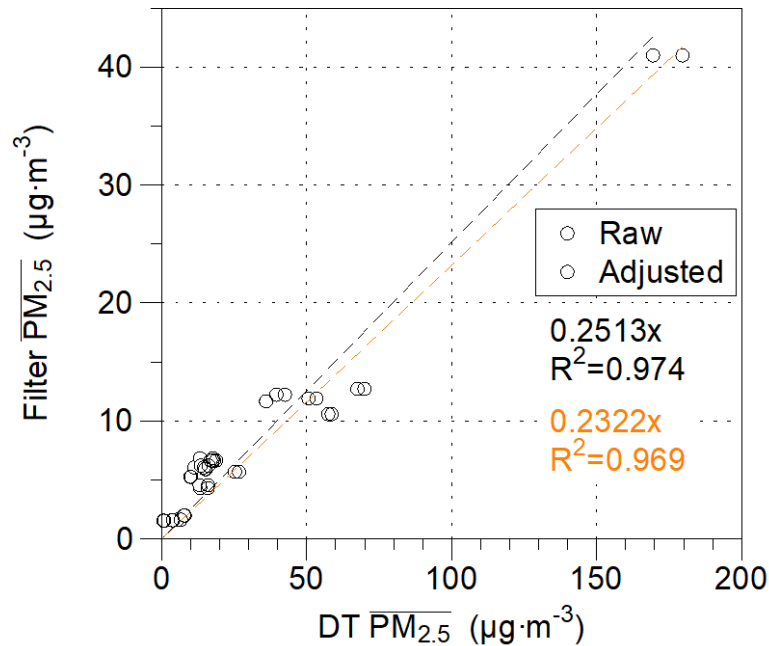


Figure S4. Time-integrated raw and cross-calibrated PM concentration measured by DustTrak compared to filter mass

The cross-calibration of AVP monitors utilized similar procedure as DustTrak. The data were achieved from three co-location events: colocation during Sep 17-21 at LBL, colocation during Nov 1-8 at LBL and colocation during Dec 12-19 at a house. The AVP monitors were observed to co-locate with each other very well and did not have obvious change over time. Thus, there was no cross-calibration adjustment of AVP data. The time-integrated AVP data were then regressed with the filter samples obtained from each apartment. The AVP data were fit to all the filter data using the non-zero intercept, as shown in Figure S6.

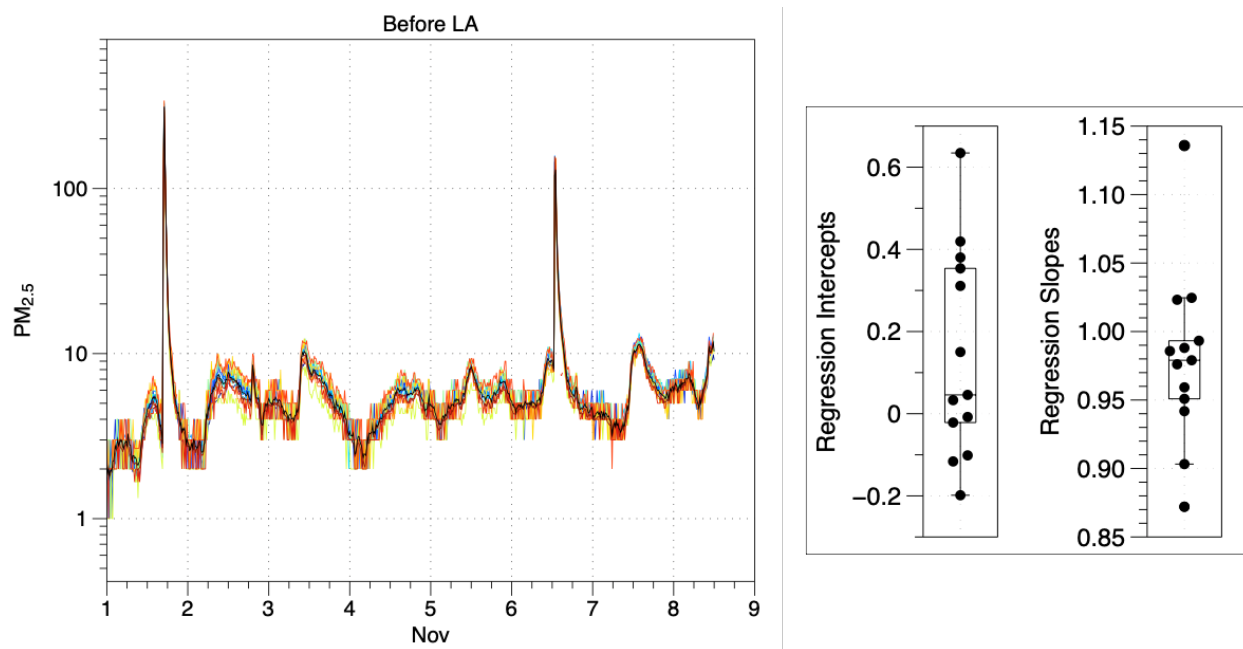


Figure S5. Co-location for 13 Air Visual Pros on time-resolved PM_{2.5} measurements

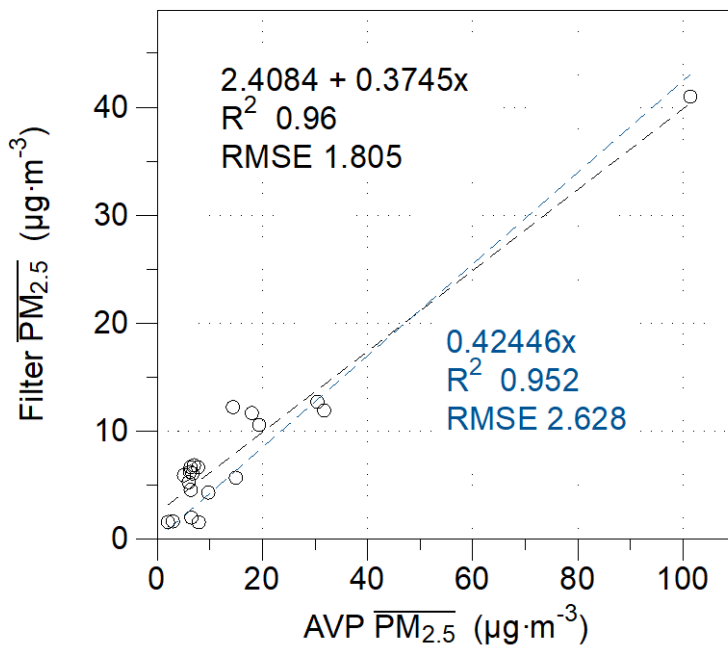


Figure S6. Time-integrated cross-calibrated PM concentration measured by AVP compared to filters

Calibrations checks for time-resolved CO₂, NO₂ and formaldehyde monitors

The accuracy of AVP monitors for CO₂ was checked between deployments at Sites 3 and 4 by placing all twelve units in the ventilated 50 m³ room at LBNL and injecting pure CO₂ to achieve a peak of roughly 2000 ppm followed by decay to the baseline of roughly 400 ppm. CO₂

concentrations were measured concurrently using an EGM-4 gas analyzer (PP systems, Amesbury, MA, USA) calibrated with CO₂ standards over the range of 0–2500 ppm. CO₂ concentrations measured by the AVP were compared minute by minute against the EGM-4 data. The EGM4 readings were regressed to the corresponding data for each of the AVP monitors. Fitted slopes had a mean and range of 1.045 and 0.997–1.064. Offsets had a mean of –31.0 ppm and a range of –53.2 to –3.9 ppm. The CO₂ sensor used by the AVP has an automatic baseline correction that considers the lowest stable reading over each 4 h period during the preceding 7.5 days as a baseline. Prior to each deployment, AVP monitors were placed and run in the ventilated chamber described above for roughly a week to set the baseline.

Time-resolved NO₂ concentrations were measured by the Clarity Nodes. Several approaches were applied for quality assurance. All 12 Clarity Nodes for about 7 days at an occupied house with regular cooking activities that resulted in elevated NO₂ level for co-location after deployment of Site 4. Results show that the Clarity nodes roughly correlated with each other well. Issues with indoor NO₂ numbers were assumed to be entirely baseline drift. Baselines were estimated by taking the 5th quantile values (of a 12hr rolling window) of the running 1hr averages. This was subtracted from the raw numbers to yield baseline adjusted values.

Output of the FM-801 formaldehyde monitor is subject to a negative artefact or bias when high concentrations of NO₂ are present (Maruo et al., 2010). The bias is observed as a sharp drop in FM-801 data when there is substantial gas cooking burner use and corresponding increases in NO₂. FM-801 data that could be subject to this bias were identified by visual review, considering both the time-resolved NO₂ data from the Clarity Node and the cooktop and oven temperature sensors, and flagged. A modified series of FM-801 data were created by removing any data points that were clearly biased low from this effect (indicated by a sharp drop corresponding to the burner use or NO₂ and rebounding after). We did not remove all FM-801 data during burner use because formaldehyde was observed to increase sometimes during cooking, presumably from cooking-related emissions. These data were likely biased low but removing data from periods of elevated concentrations would increase the bias. Special software provided by GrayWolf enabled us to record estimated concentrations below the instrument limit of detection of 10 ppb and these were used in the calculation of weekly mean values.

Use of different devices to measure the same or similar parameters at either the central indoor site or at the two indoor sites provided another form of quality assurance. Formaldehyde was measured with the FM-801 multimode monitor in the master BR of all apartments while UMEx samplers were used at the central locations in all apartments and in 7 master BRs. PM_{2.5} was measured at the central indoor location using the optically-based DustTrak, AVP, Clarity Node and sometimes pDR; and the DustTrak or pDR collected a filter sample for gravimetric analysis.

The time-series data from each apartment was visually reviewed to check for anomalies and physically rational temporal alignments, e.g. NO₂ and some (but not all) PM peaks aligning with cooking burner use, higher CO₂ overnight in bedrooms, etc.

Outdoor Air Quality Data

Time-resolved concentrations of outdoor PM_{2.5} were obtained using the methods described below. The measured outdoor PM_{2.5} concentrations by DustTrak were compared to hourly data from the closest regulatory air monitoring stations (AQS) with hourly PM_{2.5} data. The results are shown in Figure S7, Figure S8, Figure S9 and Figure S10. For Site 1 at Hayward, we used hourly data from nearby regulatory AQS to adjust the minutely data reported by the DustTrak monitor

outdoors. The stations were 10.7 km away at similar distance as the apartment sites from large freeways in the area, and having roughly similar surrounding land use. The measured outdoor PM by DustTrak were consistently correlated with AQS station data with a scalar of 0.38, as shown in Figure S7. So, the adjustment was a scalar of 0.38 with zero intercept. For Site 2, the on-site outdoor DustTrak readings followed a similar trend to the AQS but were much lower for reasons that could not be determined. The data from the outdoor DustTrak were determined to be invalid and this was confirmed by two checking process: 1) we checked two other AQS stations located in SF area and similarity of $PM_{2.5}$ were found; 2) we found an apartment (912) that had an 18-h overnight window opening period during sampling and indoor concentration measured by DustTrak at window opening period were consistently 5-7 times higher than the outdoor DustTrak measures. Given the indoor concentrations are expected to be very close to outdoors during long-term window opening period and the indoor and outdoor DustTrak units were co-located well before deployment (slope=1.06). Thus, outdoor $PM_{2.5}$ concentrations at Site 2 were assumed to be equal to the data from the closest AQS monitor located 1.6 km away. At Site 3 the closest AQS with $PM_{2.5}$ data (15.4 km away) was deemed not to be representative as it was substantially farther inland and closer to the border with Mexico, with greater impacts from cross-border traffic. Outdoor DT data at Site 3 was adjusted using the scalar of 0.365 from the first 5 days of Site 4. An interval of 33 hours of outdoor DT data at Site 3 was flagged as invalid because concentrations dropped to zero or near zero for approximately 24 h then slowly rose back to values consistent with coincident indoor data at the site and at the AQS station. For Site 4, the comparison between outdoor DustTrak and AQS monitors shown the adjustment factor changed over time. We used a scalar of 0.365 for the first 5 days and a slope of 0.464 and intercept of 4.43 for the last 5 days.

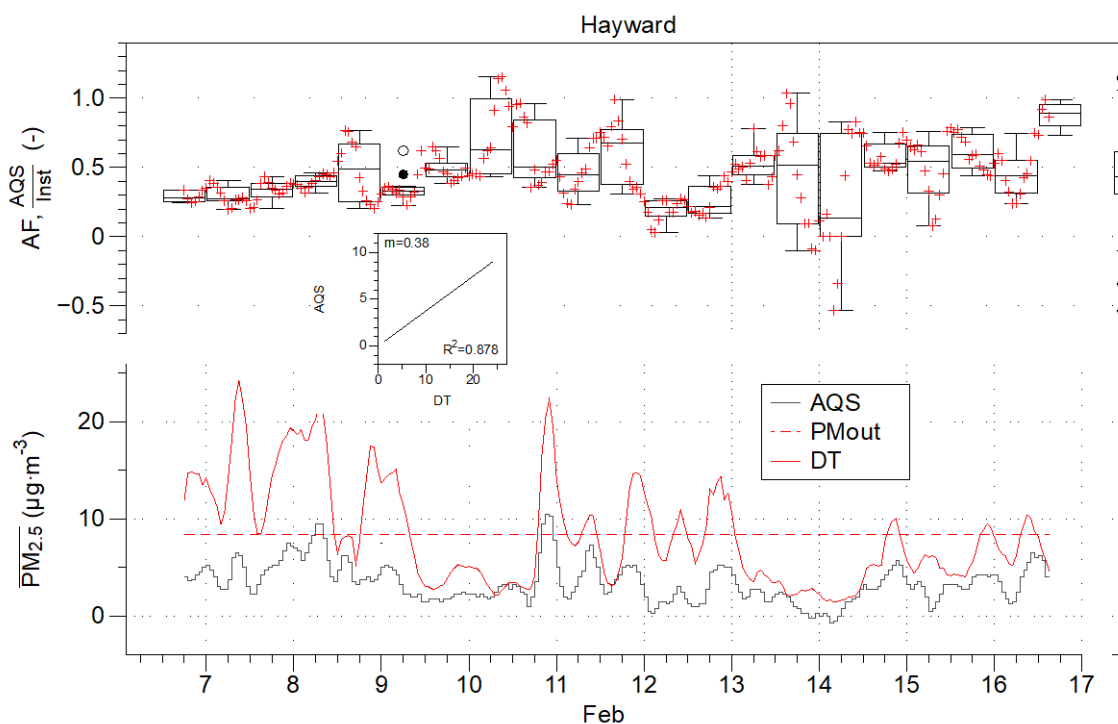


Figure S7. Comparison of outdoor $PM_{2.5}$ measured on-site in Hayward and at nearby AQS station.

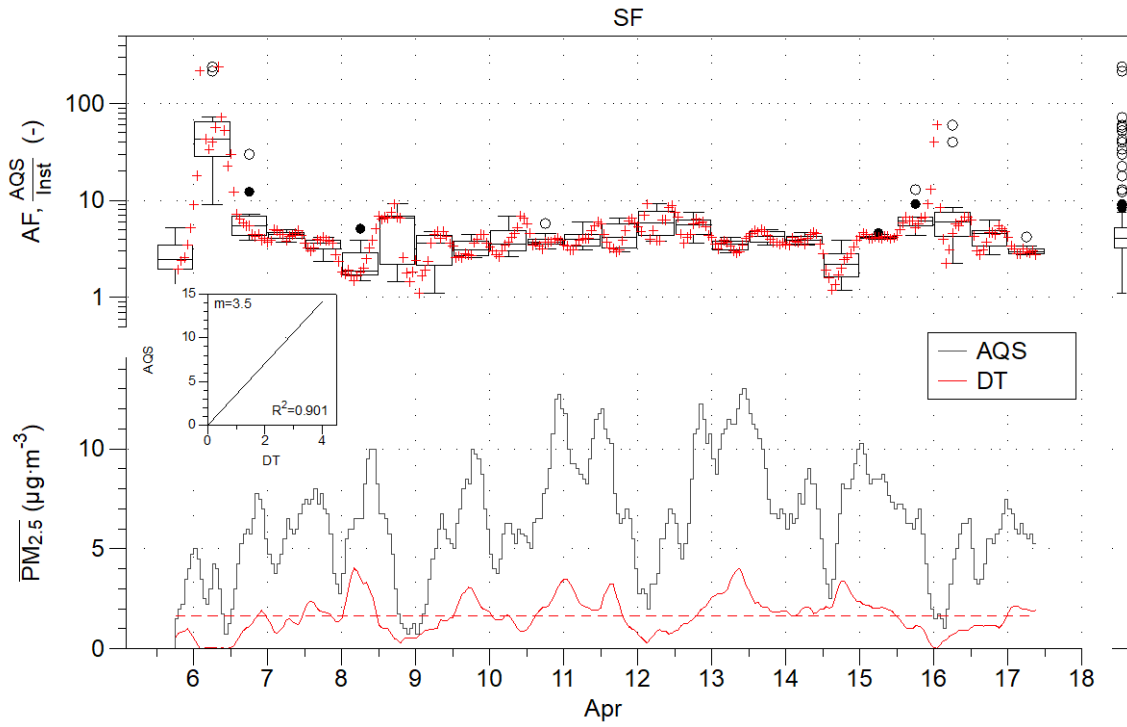


Figure S8. Comparison of outdoor $PM_{2.5}$ measured on-site in SF and at nearby AQS station.

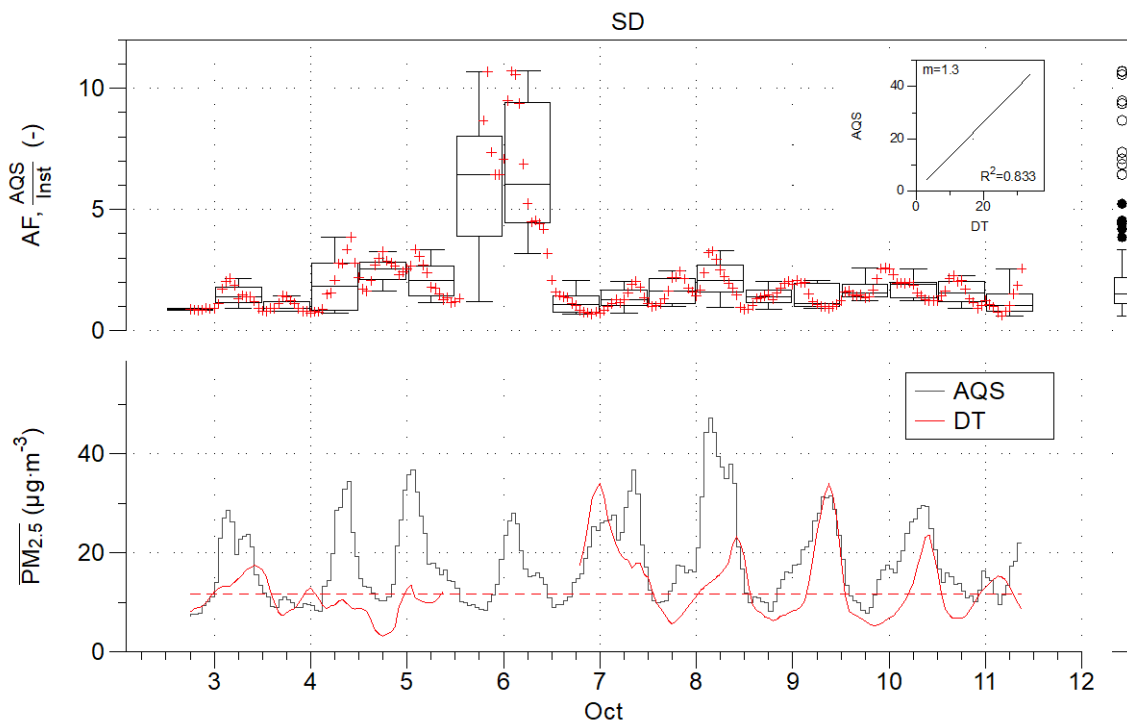


Figure S9. Comparison of outdoor $PM_{2.5}$ measured on-site in SD and at nearby AQS station.

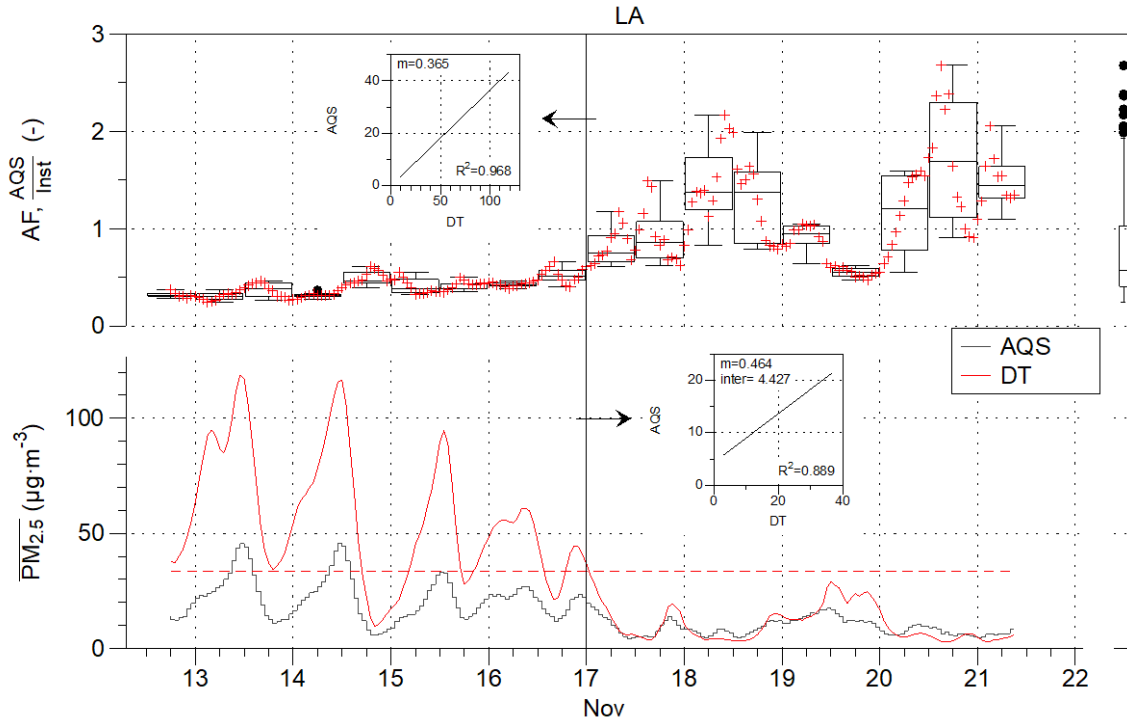


Figure S10. Comparison of outdoor $PM_{2.5}$ measured on-site in LA and at nearby AQS station.

Apartments and Household Information**Table S4. Characteristics of sites and specific apartment units included in the study.**

	Site 1	Site 2	Site 3	Site 4
Dates (2019)	Feb 6–20	Apr 7–17	Oct 2–11	Nov 11-22
City	Hayward	San Francisco	San Diego	Los Angeles
Year built, renovated	Built 1993, renovated 2015	Built 1976, renovated 2016	Built 2016	Built 2013
Site units & buildings	37 units in 3 buildings	50 units in 5 buildings	33 units in 1 building	45 units in 1 building
Units studied	6 units	6 units	6 units	5 units
Building heights	2 and 3 stories	2 and 3 stories	3 stories	5 stories
Unit area, m ²	54–108	64–96	64–100	33–47
Bedrooms(n)	1BR (1), 2BR (1), 3BR (4)	2BR (2), 3BR (4)	1BR (2), 2BR (2), 3BR (2)	Studio (3), 1BR (2)
Bathrooms(n)	1Ba (2), 2Ba (4)	1Ba (2), 1.5Ba (4)	1Ba (2), 2Ba (6)	1Ba (5)
Residents	1-4	1-4	1-7	1
Density, m ² /occupant	24-54 (mean=41)	21-64 (mean=32)	14-64 (mean=41)	33-47 (mean=38)
Thermal conditioning	Forced air gas furnace. No AC.	Gas wall furnace in 2BR; forced air gas-furnace in 3BR. No AC.	Forced air hydronic heating. No AC.	Forced air ducted heat pump.
HVAC filters	Not measured	Unidentifiable low-MERV filters in 3BR units; No filter in 2BR units	MERV 8	Unidentifiable low-MERV filters

Table S5. Characteristics of studied apartment units.

ID	Bldg total floors	Floors in Bldg	Location in Bldg	Entrance	Area (m ²)	BR	BA	Occu-pants	Density (m ² /occ)	ACH50	MV airflow (L/s)	ACH Mech	L/s per 100m ²
901	2	2	Middle	Corridor	54	1	1	1	54	11.4 ^{1,2}	18.9 ³	0.52	243
902	3	2-3	Middle	Exterior	105	3	2	3	35	NM	55.7 ^{3,4}	0.78	NM
903	3	2-3	Middle	Exterior	107	3	2	2	53	9.5	39.2 ³	0.54	183
904	3	2-3	Middle	Exterior	106	3	2	2	53	10.7	21.2 ³	0.30	205
905	3	1	End	Exterior	108	3	2	4	27	10.0 ¹	18.9 ³	0.26	230
906	2	1	End	Corridor	72	2	1	3	24	12.3 ²	44.7 ^{3,4}	0.98	260
911	3	3	Middle	Exterior	64	2	1	3	16	2.3	27.4 ³	0.63	45
912	3	2-3	Middle	Exterior	96	3	1.5	4	32	3.1	NA	NA	62
913	3	2-3	End	Exterior	96	3	1.5	3	32	3.2	NM	NM	65
914	3	3	End	Exterior	64	2	1	1	64	1.4	26.0 ³	0.60	28
915	3	2-3	Middle	Exterior	96	3	1.5	4	24	10.2	17.5	0.27	205
916	3	2-3	Middle	Exterior	96	3	1.5	4	24	2.5	14.6	0.22	50
921	3	2	Middle	Exterior	85	2	2	2	42	1.5	17.5	0.27	37
922	3	2	Middle	Exterior	85	2	2	3	28	2.0	33.0	0.51	48
923	3	2	End	Exterior	64	1	1	1	64	7.0	38.7	0.79	159
924	3	3	Middle	Exterior	100	3	2	7 ⁵	25	5.6	23.1	0.30	136
925	3	1	End	Exterior	64	1	1	1	64	8.4	17.5	0.36	190
926	3	2	Middle	Exterior	100	3	2	3	33	5.8	17.5	0.23	141
931	5	5	End	Exterior	47	1	1	1	47	15.6	17.9	0.57	308
932	5	5	End	Exterior	33	0	1	1	33	18.1	17.9	0.81	330
933	5	3	Middle	Exterior	33	0	1	1	33	14.5	17.5	0.79	265
934	5	4	End	Exterior	33	0	1	1	33	12.4	19.8	0.90	225
935	5	3	Middle	Exterior	47	1	1	1	47	8.8	30.7	0.97	173
Mean					76	2.0	1.4	2.4	38	8.0	25.6	0.55	163
Median					85	2.0	1.5	2.0	33	8.6	19.8	0.54	178

¹ ACH50 also measured at pre-visit, with same result.

² ACH50 measured with blower door connected to corridor; pressure connection to outside not checked.

³ MV airflows were inferred by first fitting estimating a system curve from measured airflow and fan curve at high speed, then locating the corresponding airflow on the low-speed fan curve.

⁴ MV airflows were provided by bath fans and range hood continuously.

⁵ Survey response indicated 4 occupants; but occupancy log reported 7 people overnight.

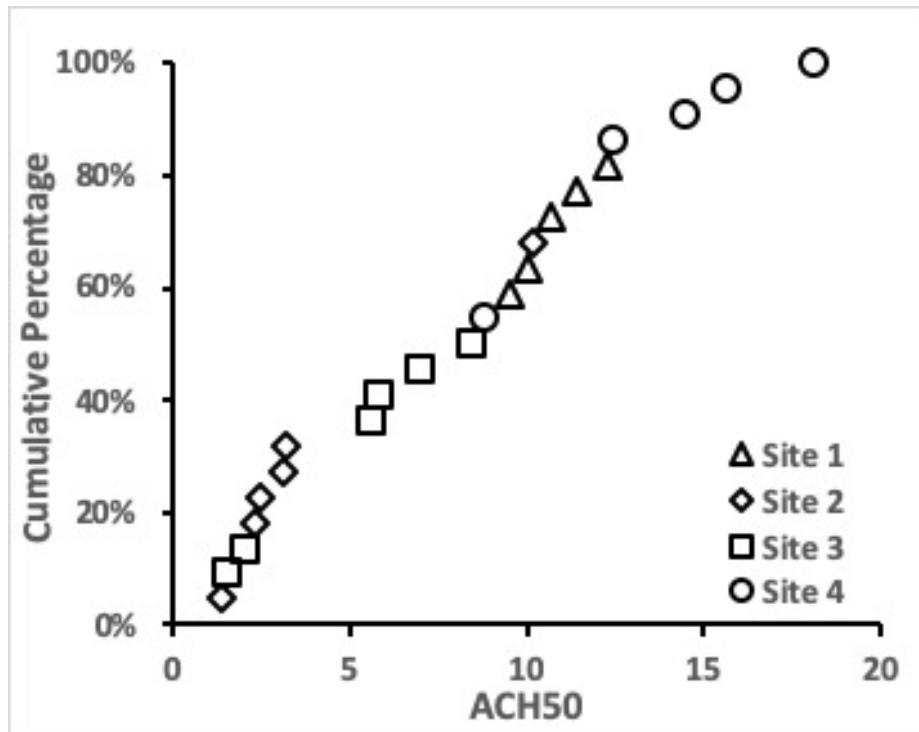


Figure S11. Distribution of apartment unit air tightness by depressurization test.

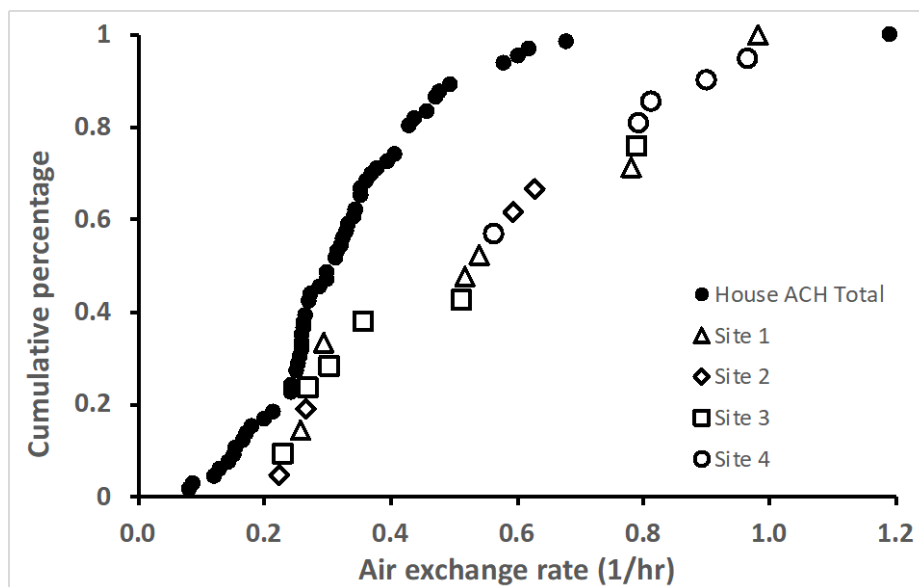


Figure S12. Comparison of mechanical ACH in apartments with total ACH in houses

The household demographics of participants in this study were very different from those in the recent HENGH study of market-rate single detached houses, as presented in Table S6. In the houses, 88% had at least one college graduate and more than half had someone with an advanced degree; only 15% of the 20 apartment study participants that answered the survey question had a

college graduate. Household incomes were also very different: in the houses: 88% earned over \$100,000 per year compared to none of the apartment study households. And household income was less than \$35,000 per year in 68% of the apartments.

Table S6. Highest education of any household member and household income in current study of low-income apartments and recent HENGH study of market-rate, single-detached homes.

Parameter	B1	B2	B3	B4	Total (Apts)	HENGH (houses)
Highest education in household						
Completed high school	1	3	1	1	30%	1%
Some college	5	0	3	3	55%	10%
College degree	0	1	2	0	15%	34%
Graduate or professional	-	-	-	-	0%	54%
No response	0	2	0	1	--	--
Annual household income						
Less than \$35,000	2	2	5	4	68%	
\$35,000–\$49,999	1	1	1	0	15%	2%
\$50,000–\$74,999	1	0	0	1	11%	3%
\$75,000–\$99,999	0	1	0	0	5%	8%
\$100,000–\$150,000	-	-	-	-	0%	44%
Greater than \$150,000	-	-	-	-	0%	44%
No response	2	2	0	0	--	

Mechanical Ventilation Equipment

Table S7. Mechanical ventilation equipment at sites visited in this study.

	Site 1	Site 2	Site 3	Site 4
MV fan location	Bath1+Bath2: 3 units Bath1+Bath2+RH: 1 unit Bath1: 1 unit Bath1+RH: 1 unit	Bath1	Bath1+Bath2: 4 units Bath1: 2 units	Bath1
Bath/MV fan control type	BA fan: continuous low; motion sensor to high. Fan in Bath2 of unit 905 off with light switch; flow of this fan not msd. Range hoods in 902 and 906 operated continuously at low speed ^d .	Continuous low; wall switch to high. Units 911 and 913 always in boost mode.	Continuous	Continuous
Bath fan model	DELTA SIG80MLED	Delta SIG110DL	Air King ESB130DG	Broan QTR 081
Bath rated flow (high, low) [cfm]	80, 50	110, 60 ^a	113 ^b	80
Range hood (RH) model	Airking ECQ303	Airking ESDQ1308	GE JVE40DT1BB	GE JVE40DT1WW
RH rated airflow [cfm] ^c	HS: 270 WS: 180	HS: 270 WS: 150	HS: 210 WS: 110	HS: 210 WS: 110
RH rated sound [sone] ^c	HS: 5 WS: 1.5	HS: 4 WS: 1.5	HS: 6 WS: 1.3	HS: 6 WS: 1.3

^a Continuous low speed setting is adjustable with options of 30, 60 or 80 cfm. Field team did not remove cover to check setting. Measurements of low-setting in two apartments found airflow consistent with a setting of 60 cfm, based on measured airflows at high and low settings and checking of fan curve from manufacturer.

^b Device has two configurations. Can be set to (a) operate continuously at 50 cfm with boost to 130 cfm by motion sensor or (b) to operate continuously at 113 cfm. The units were set to the second mode.

^c HS = high speed; WS = working speed, i.e. lowest setting.

^d Range hoods were installed to operated continuously at one of the low speed settings: 30, 50, 70 or 90 cfm

Table S8. Measured performance of ventilation equipment and airtightness of each apartment in relation to the requirements of California Title 24 standards. Airflows that are <90% of code requirements and air leakage >110% of code limits are shown in bold.

Apt ID	Cont. airflow in 2007 code ¹ [cfm]	Cont. airflow in 2019 code ¹ [cfm]	Range hood airflow: low, high ² [cfm]	Bath1 airflow: cont., on demand ³ [cfm]	Bath2 airflow: cont., on demand ³ [cfm]	Unit air leakage ⁴ [cfm50/sf]	Ratio actual to 2007 code	Ratio actual to 2019 code	To code: 2007/2019
901	21	32	122/175	40/42	-	0.48	1.92	1.24	Y/N
902	41	64	86/98 , 30 ⁹	43/51	45/59	NM	2.86	1.84	N/N
903	41	64	111/130	35/35	48/76	0.36	2.00	1.29	Y/N
904	41	64	0/156 ⁵	22/22	23/23	0.40	1.09	0.70	N/N
905	42	65	121/155	40/48	NM ⁷	0.45	0.96 ⁸	0.62 ⁸	Y/N
906	30	46	57/65 , 65 ⁹	36/36	-	0.51	3.34	2.21	N/N
911	29	43	80/91	58/106	-	0.09	1.97	1.34	N/N
912	40	61	107/128	Inoperable	-	0.12	0	0	N/N
913	40	61	Inoperable ⁶	NM	-	0.13	NM	NM	N/N
914	29	43	159/159 ⁷	55/85	-	0.05	1.87	1.27	N/N
915	40	61	111/150	37/63	-	0.40	0.92	0.61	Y/N
916	40	61	72/80	31/48	-	0.10	0.77	0.51	N/N
921	32	50	102/190	21	16	0.07	1.17	0.74	N/N
922	32	50	81/165	46	24	0.09	2.21	1.40	N/N
923	22	36	95/187	82	-	0.31	3.74	2.29	Y/Y
924	41	62	61/131	11	38	0.27	1.20	0.79	N/N
925	22	36	94/178	37	-	0.37	1.69	1.04	Y/N
926	41	62	99/200	25	12	0.28	0.91	0.59	N/N
931	40	30	97/172	38	-	0.61	0.94	1.26	Y/N
932	31	18	Inoperable	38	-	0.65	1.23	1.49	N/N
933	31	18	89/177	37	-	0.52	1.19	1.45	N/N
934	31	18	88/164	42	-	0.44	1.35	1.65	N/N
935	40	30	94/181	65	-	0.34	1.62	2.16	Y/N

¹ For low-rise multifamily (901-926), the 2008 California Building Energy Efficiency Standards (BEES) required [cfm] = 0.01*(Area, ft²)+7.5*(BR+1). The airflows listed for units 931-935 are those required under the high-rise residential mechanical ventilation option (natural ventilation was also allowed), [cfm] = 0.06*(Area, ft²)+5*(BR+1). Studios treated as 1 BR. The 2019 BEES required [cfm] = 0.03*(Area, ft²)+7.5*(BR+1) for any residential unit.

² The first listed airflow is at the setting that is rated at 3 sone, and thus needs to be at least 100 cfm.

³ Each bath fan must exhaust 50 cfm on-demand or 20 cfm continuously; several fans were not measured on continuous setting because fan speed boosted from motion sensor when researcher entered room.

⁴ When ventilation provided by unbalanced system, California code requires mean unit air tightness of 0.3 cfm/sf; relevant since all apartments had continuous fans, the 2019.

⁵ Low-speed setting not operational (broken).

⁶ Had non-working range hood during the first visit. Building manger install a new one during sampling but not monitored.

⁷ Device incorrectly wired to always operate on high speed.

⁸ Assumed no contribution from Bath2 fan which was connected to the light on/off switch and thus did not operate continuously.

⁹ Range hoods were installed to operate at settings to provide continuous exhaust ventilation airflows.

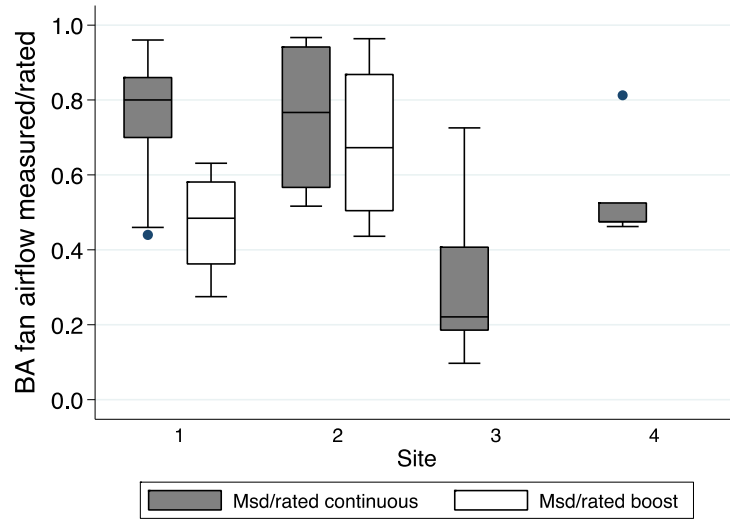


Figure S13. Ratio of measured and rated airflows of bathroom fan airflows for each site.

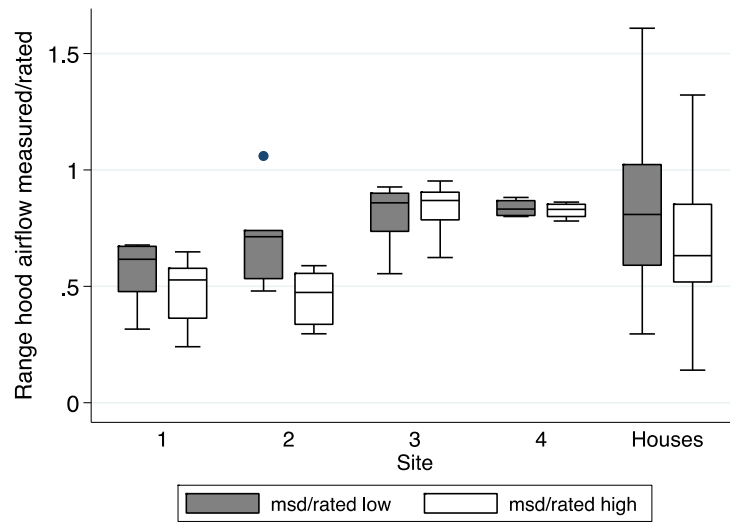


Figure S14. Ratio of measured over rated airflows of range of hood for each site.

Use of windows and doors

The self-reported window and door use frequency and total length of time during monitoring period are shown in Table S9 and Table S10. Only three apartments reported no window or exterior door use whereas seven reported opening a window and door to the outside more than 30 times during the sampling period. Eleven apartments reported opening windows and any door to outside for less three hours during the week while three apartments reported to open windows more than 21 hour during the sampling period. In single family houses, 63 reported opening windows for less than three hours and none had more than 21 hours of window opening during the week.

The sensor monitored data for door and window opening for the apartments are summarized in Figure S15. There were five apartments with a window or door open for at least 10 min on average during more than half the hours each day. Findings from both self-reported and monitored window opening results indicate the potential for substantially higher total outdoor air exchange rates than calculated from mechanical airflows in apartments.

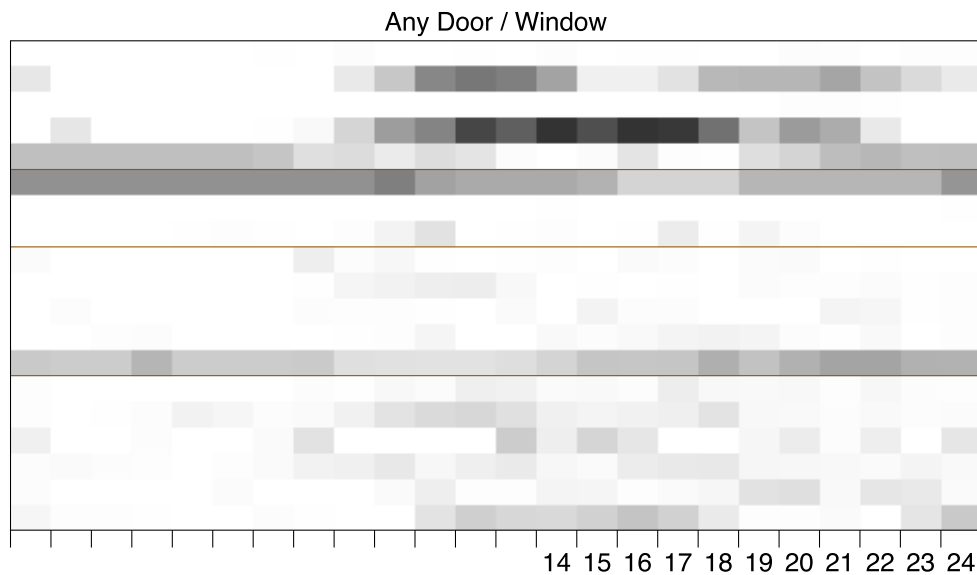


Figure S15. Mean fraction of each hour that window and/or door opening was recorded by sensors in each apartment.

Table S9. Self-reported window and door to outside use (number of times) during one-week monitoring period

Number of times	Apartments (window and door to outside use)	Houses (window and patio door use)
0	3	47
1–10	4	18
10–20	4	2
20–30	3	1
>30	7	0
No response	2	2
Total	23	70

Table S10. Self-reported window and door to outside use (total length of time) during one-week monitoring period

Number of times	Apartments (window and door to outside use)	Houses (window and patio door use)
0	3	47
<1 hour	4	10
1 to 3 hours	4	5
3 to 7 hours	4	5
7 to 21 hours	3	1
>21 hours	3	0
No response	2	2
Total	23	70

Occupancy and Cooking Frequency

Occupancy log data were successfully obtained from 18 homes. The mean fraction of occupied hours was 85% with 10th–90th range of 68–100%. The daily activity log used in the study of detached houses resolved occupancy to multi-hour blocks rather than hourly. To compare between studies, the apartment log data were analyzed at the same resolution. Of the 18 households that provided occupancy data for apartments, 17 (94%) were occupied during periods totaling at least 16 hours per day on average, and 15 (88%) were occupied during periods that totaled 20 hours per day. For the 68 single houses that provided occupancy data, 60 (88%) were occupied during periods totaling at least 16 hours per day on average, and 43 (63%) were occupied during periods that totaled 20 hours per day.

Measured Time-Integrated Air Pollutants

Table S11. Time integrated formaldehyde concentration in each site and in single family houses

	Site 1	Site 2	Site 3	Site 4	House (all)
Mean	12.1	16.1	20.3	9.4	19.8
Median	9.9	17.1	21.8	10.8	18.2
Range	8.1 – 23.7 (Min–Max)	10.8 – 20.8 (Min–Max)	7.5 – 30.0 (Min–Max)	5.0– 11.8 (Min–Max)	13.0 – 28.2 (10th – 90th)
Year Built	2015 (Refurbished)	2016 (Refurbished)	2016	2013	2013 – 2016 (New)
AER (hr ⁻¹)	0.56 (Mech only)	0.43 (Mech only)	0.41 (Mech only)	0.81 (Mech only)	0.33 (Total)
Indoor Temperature (°C) /RH	19.2/47% (Mean)	22.9/52% (Mean)	28.1/50% (Mean)	22.2/50% (Mean)	22.8/45% (Mean)
Outdoor Temperature (°C) /RH	9.3/73% (Mean)	13.6/71% (Mean)	20.7/65% (Mean)	18.5/62% (Mean)	16.7/64% (Mean)

Table S12. Time integrated NO₂ concentration in each site and in single family houses

	Site 1	Site 2	Site 3	Site 4	House (top 40)
Mean	20.4	18.4	14	22.0	7.1
Median	19.1	13.9	11.8	18.1	5.5
Range	13.0 – 30.6 (Min–Max)	8.9 – 38.3 (Min–Max)	10.4 –21 (Min–Max)	16.5– 36.6 (Min–Max)	1.5 – 14.2 (10th – 90th)
Outdoor mean	9.8	4.6	7.9	19.7	6.1

The higher indoor NO₂ in apartments is partly caused by higher outdoor concentrations but may also result from higher indoor emissions and higher concentrations resulting from smaller volumes in apartments. To explore the magnitude of these factors, we estimated the indoor concentration resulting from indoor emissions in houses and selected apartments by material balance analysis, treating each housing unit as a well-mixed air volume with steady-state indoor and outdoor concentrations equal to the weekly averages and other influencing parameters. The mass balance is described in Equation S2, with the following parameters:

- $C_{in, emission}$ is the estimated indoor NO₂ concentration from indoor emissions;
- $C_{in, msd}$ is the measured indoor NO₂ concentration;
- C_{out} is the measured outdoor NO₂ concentration;
- P is the penetration factor, assumed to be 1;
- k is the indoor loss rate, assumed to be 0.75 as in Chan et al. 2020, citing Zhou et al. 2018, Francisco, Gordon, and Rose 2010 and Gordon, Francisco, and Rose 2008;
- AER is the weekly averaged air exchange rate, equivalent to the mechanical air exchange rates in apartments and total air exchange rates in houses.

The last set of terms represents the estimated indoor NO₂ from outdoor air. The analysis was conducted for 37 houses that had all required data and for 10 apartments which had outside entrance doors (not corridors) and window opening time less than one hour per day based on activity logs and monitored data.

$$C_{in,emission} = C_{in,msd} - \left(\frac{P * AER}{AER + k} \right) C_{out,msd} \quad S1$$

This analysis provided a mean indoor NO₂ concentration from indoor emission of 14.0 ppb and range of 4.8–32.4 ppb in the 10 selected apartments and mean of 4.8 ppb and range of 0–16.3 ppb in the 37 houses.

Table S13. Time integrated PM_{2.5} concentration in each site and in single family houses

	Site 1	Site 2	Site 3	Site 4	House (top 40)
Mean	8.1	3.4	4.7	14.9	8.0
Median	5.8	1.7	1.8	8.7	4.9
Range	0.9 – 15.7 (Min–Max)	1.8 – 6.2 (Min–Max)	3.7 – 8.4 (Min–Max)	2.5– 41.7 (Min–Max)	2.4 – 17.9 (10th – 90th)
Outdoor mean	5.0	7.0	4.9	13.6	10.1

Table S14. Time integrated CO₂ concentration in each site and in single family houses

	Site 1	Site 2	Site 3	Site 4	House (all)
Mean	643	767	828	725	620
Median	635	734	698	642	608
Range	578 – 722 (Min–Max)	653 – 920 (Min–Max)	537–1340 (Min–Max)	538 – 964 (Min–Max)	480 – 770 (10th – 90th)
Density (m ² /person)	41	32	41	38	88
AER (hr ⁻¹)	0.56 (Mech only)	0.43 (Mech only)	0.41 (Mech only)	0.81 (Mech only)	0.33 (Total)

Spatial and Temporal Variations of Air Pollutant Concentrations

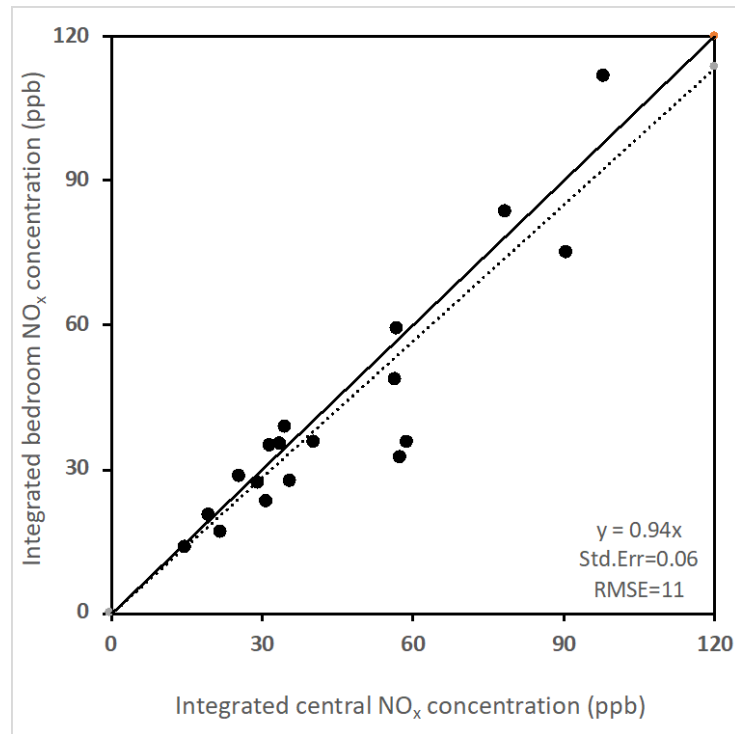


Figure S16. Comparison of NO_x concentration measured at central station and bedroom.

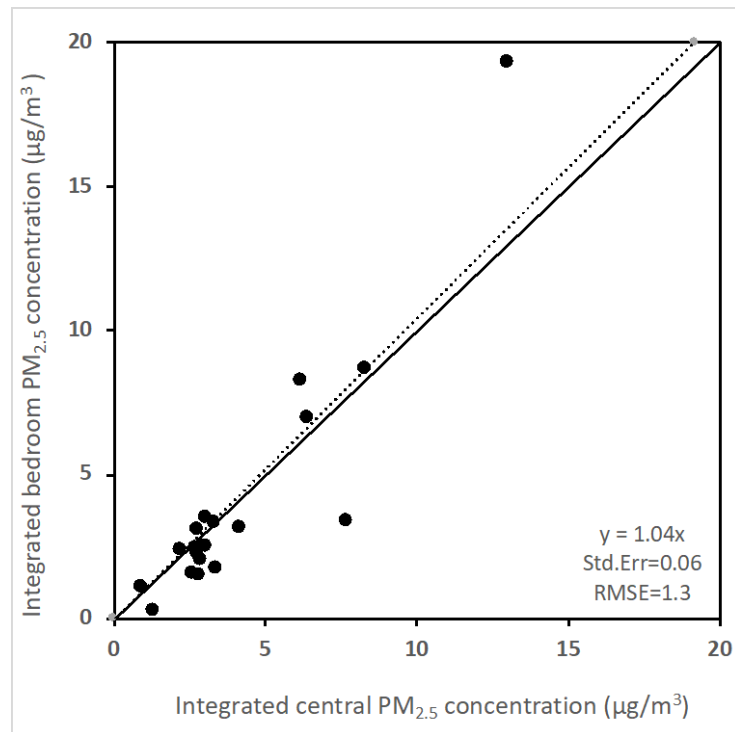


Figure S17. Comparison of adjusted PM_{2.5} concentration measured by AVPs at central station and bedroom.

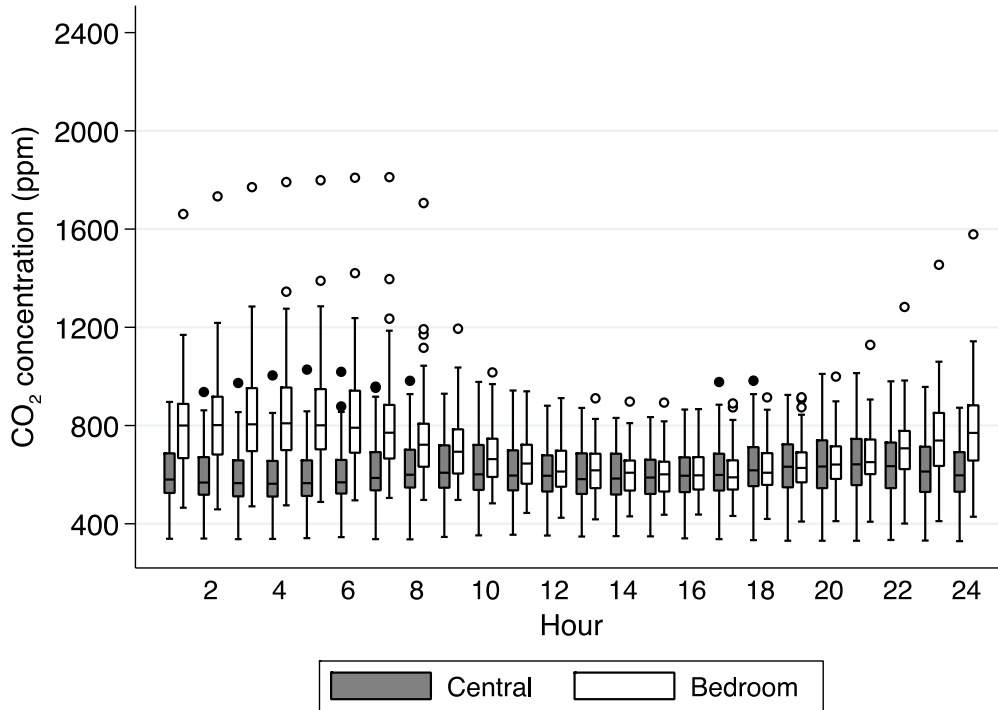


Figure S18. Distribution of mean hourly CO₂ across 70 houses based on measurements made in 69 master bedrooms and in 69 large common rooms containing the kitchen (central). Boxes show interquartile range, whiskers are 1.5 times the differences between 25th and 75th percentiles (IQR) and circles show all measurements outside of 1.5*IQR.

Acute Impacts of PM_{2.5} and NO₂ Emission Events

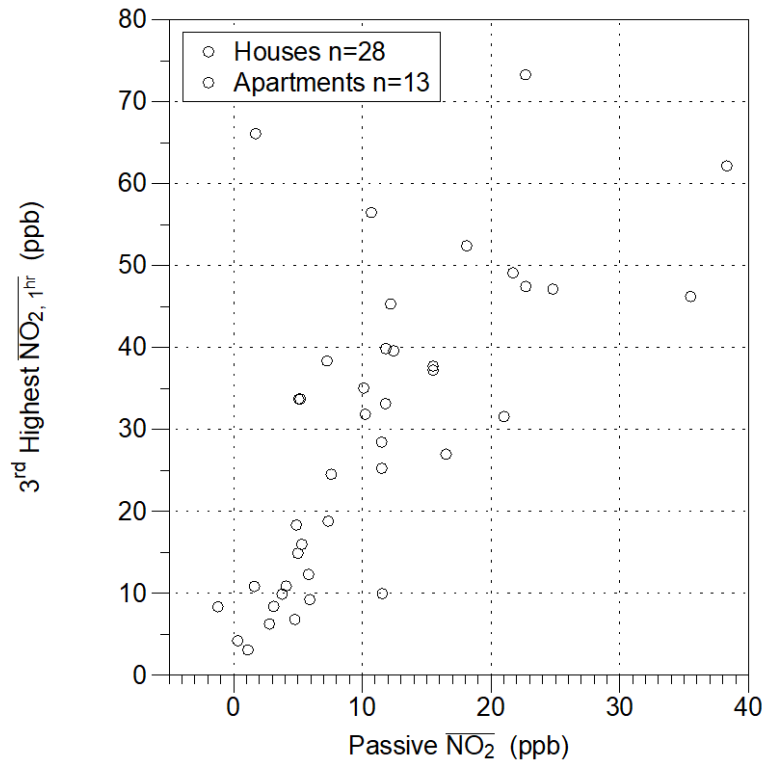


Figure S19. Comparison of high short-term NO₂ concentrations in houses (HENGH) and apartments (this study) using Aeroqual and Clarity Node sensors, respectively.

IEQ Satisfaction**Table S15. Complaint rates about environmental conditions in apartments and recent study of single detached house (Chan et al., 2019) based on survey responses of participants.**

Parameter	Apartments (n=19) ¹	Houses (n=68) ²
Too cold any season (Apts) Too cold in winter (Houses)	Total winter: 58% Other seasons also: 32% All: 21%; Fall: 11%; Spring: 11% Not a problem: 42%	≥ few times per week: 30% ≤ few times per month: 70%
Too warm in winter	0%	≥ few times per week: 16% ≤ few times per month: 84%
Too warm by season (Apts) Too hot in summer (Houses)	Total summer or fall: 74% Other seasons also: 11% Not a problem: 26%	≥ few times per week: 32% ≤ few times per month: 68%
Too dry	Year-round: 16% Any season: 21% Winter: 5%; Summer: 16%; Fall: 5% Not a problem: 63%	≥ few times per week: 9% ≤ few times per month: 91%
Too humid (Apts) Indoor air too damp (Houses)	Year-round: 5% Summer: 16% Not a problem: 79%	≥ few times per week: 1% ≤ few times per month: 99%
Too much air movement	Year-round: 11% Not a problem: 89%	≥ few times per week: 1% ≤ few times per month: 99%
Not enough air movement	Year-round: 32% Winter: 5.5%; Summer: 5.5% Not a problem: 58%	≥ few times per week: 22% ≤ few times per month: 78%
Air smells musty	Spring: 5% Summer: 16% Not a problem: 79%	≥ few times per week: 2% ≤ few times per month: 98%
Unpleasant odors from other units in building	Year-round: 16% Summer: 5% Not a problem: 79%	Not asked.

¹ Based on 19 completed surveys. Question asked if each source of discomfort was a **problem** (bold in question) in the home during each season or year-round (“all” seasons). Results are for discomfort in season or year-round.

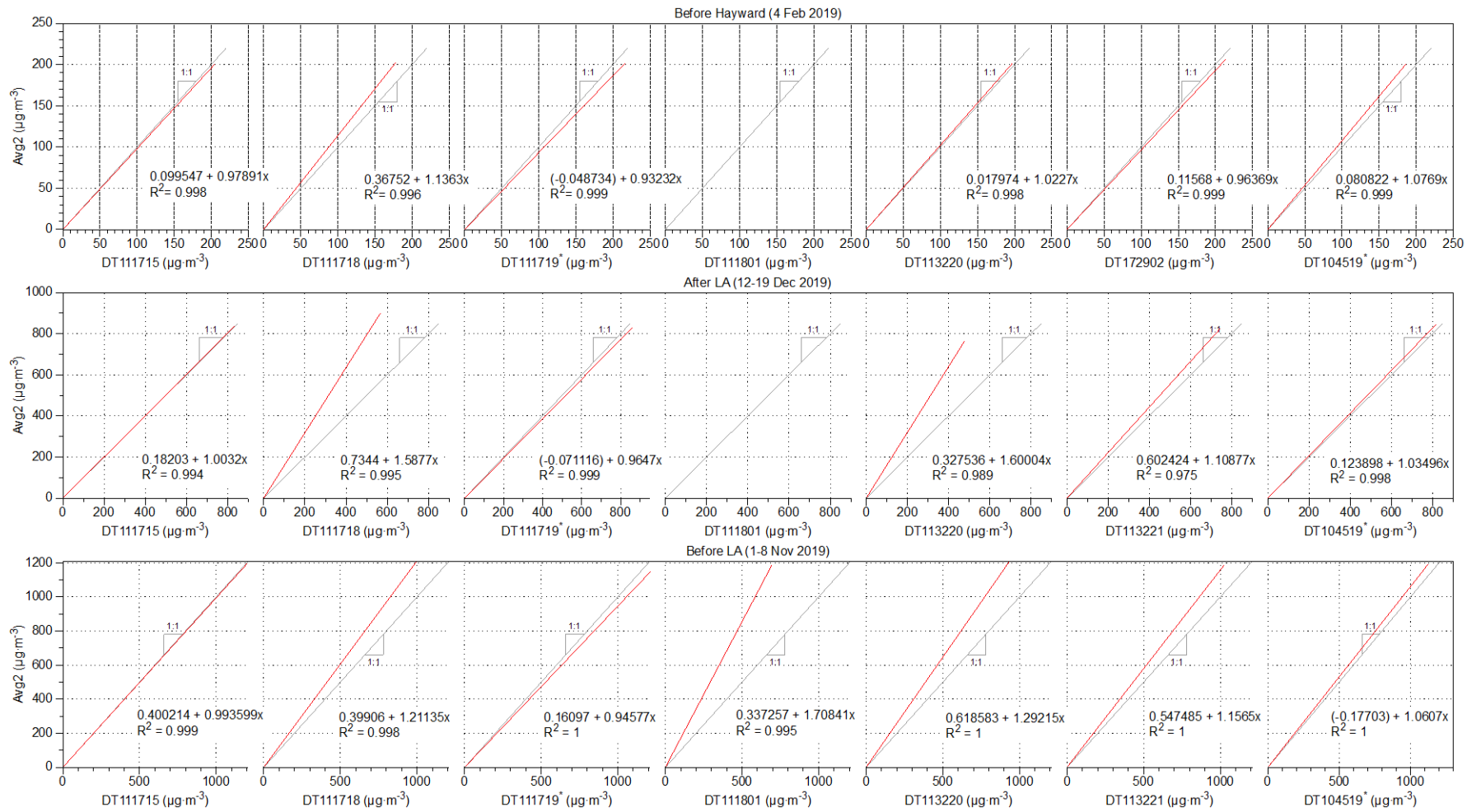
² Based on 68 surveys; responses to individual questions varied from 63 to 68. Percentages relate to number of total responses for specific question. Discomfort considered a problem if respondent said it occurred few times per week or few times per day. Not a problem if only a few times per month or less often.

³ Includes one participant that reported too warm only in fall.

Daily Activity Log: See Second SI File

References

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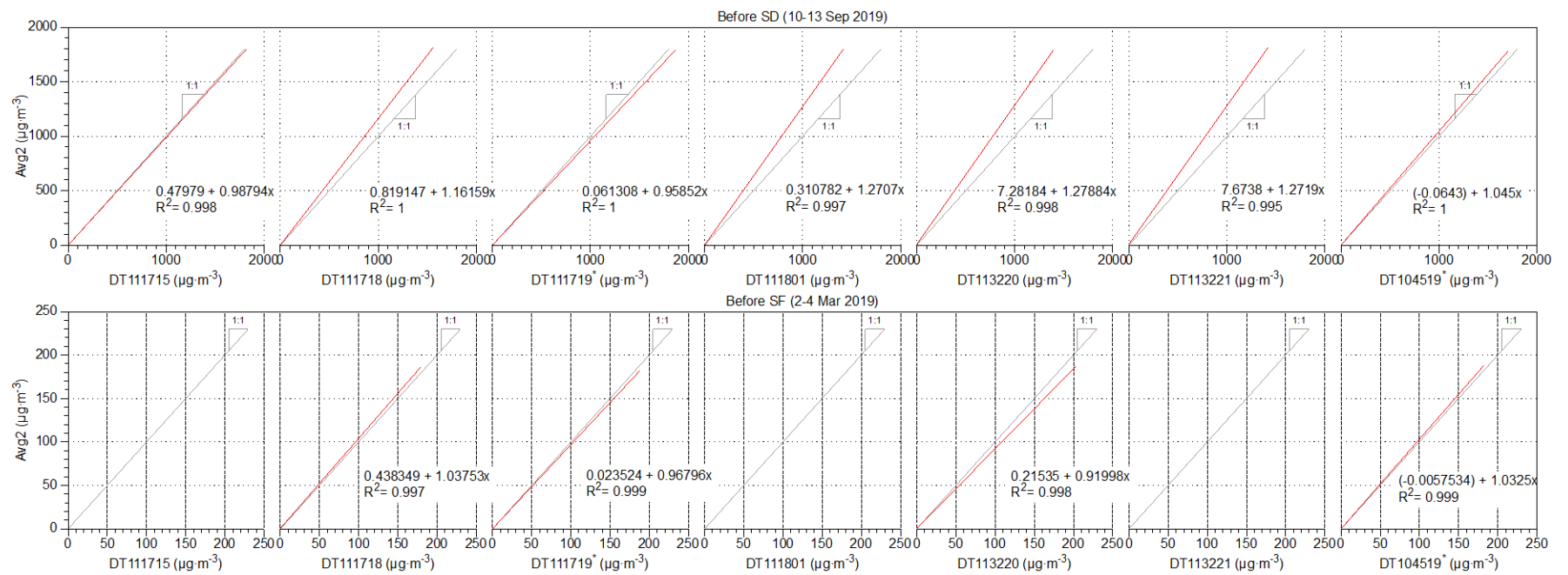


Figure S3. Cross-calibration plots for 5 co-location events (Separate File)

Date ____/____/____ Mon Tue Wed Thu Fri Sat Sun

Date completed _____

Instructions: Please fill out this daily activity log each day. If you are unsure, please provide your best guess. *Do not list the names of any people.*

	Night						Morning						Afternoon						Evening						
	Mid-night	1 am	2 am	3 am	4 am	5 am	6 am	7 am	8 am	9 am	10 am	11 am	12 pm	1 pm	2 pm	3 pm	4 pm	5 pm	6 pm	7 pm	8 pm	9 pm	10 pm	11 pm	
# people in home																									

For Activities Enter Number of Minutes per Hour

	Mid-night	1 am	2 am	3 am	4 am	5 am	6 am	7 am	8 am	9 am	10 am	11 am	12 pm	1 pm	2 pm	3 pm	4 pm	5 pm	6 pm	7 pm	8 pm	9 pm	10 pm	11 pm	
Cooktop - Frying																									
Cooktop - Other																									
Main oven use																									
Toaster oven or electric grill																									
BBQ/outdoor grill																									
Vacuuming																									
Open window/door-to-outside																									
Other events - Minutes																									
Other events - code*																									

*Other notable event codes:

(Please put the first letter of the word in the table)

Air freshener
Candle
Dehumidifier

Fireplace
Humidifier
Incense

Portable air cleaner
Smoking
X for bad outdoor air (e.g., wood smoke, wildfire)

For events not listed above, describe the event below and write the letter in the table:

V: _____ W: _____ Y: _____