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CHARGED-PARTICLE EMISSION IN
HEAVY-ION INTERACTIONS

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UNIVERSITY OF CALIFORNIA
Lawrence Radiation Laboratory
Berkeley, California

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CHARGED-PARTICLE EMISSION IN HEAVY-ION INTERACTIONS

Donald V. Reames
(Ph.D. Thesis)

May 11, 1964

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ABSTRACT

Light charged particles produced at 0 deg in the interaction of 167-MeV O^{16} ions with Al, Ni, Ag, and Au thick targets have been analyzed magnetically with nuclear emulsion as a detector. The spectra obtained are compared with statistical-model calculations, which include the effects of angular momentum and multiple emission. The spectra from light targets are seen to be sensitive to the equilibrium shape of the compound nucleus, since rotational effects that depend on this shape are large for these nuclei. For O^{16} on Au the de-excitation of the compound nucleus is found to be dominated by neutron emission, and a comparison of the calculations with neutron spectra seems to show that the nucleus fissions with highest probability after about 5 ± 1 stages of neutron emission.

Owing to the increased competition of neutron emission, the charged-particle yields for the heavier elements decrease until they are dominated by direct reaction products. These products, particularly the Li isotopes, are seen to arise from a breakup of the incident O^{16} , and the energetics of this process are considered.

I. INTRODUCTION

When a 167-MeV O^{16} ion strikes a target nucleus many processes can take place.¹ In a distant or grazing collision nucleons may be exchanged between target and projectile, or more generally initiate the breakup of the projectile whose fragments continue in the general direction of the beam or are captured by the target nucleus.^{2,3} As the collision distance is decreased it becomes possible for the target and projectile to amalgamate into a compound system in which the identity of the constituents is lost as the energy is shared among the participating nucleons.

These compound nuclei, formed by heavy ions, are unique in that they can be produced in states of high angular momentum. In their subsequent de-excitation, the distributions in angle and energy of the emitted particles are modified by the rotation to an extent that depends upon the properties of the rotating nuclei themselves. For heavy elements considerable effort has been devoted to the measurement of angular distributions of fission fragments, from which one may infer properties of the fissioning system.⁴

Much of our understanding of the properties of highly excited compound systems is based on the liquid-drop model, in which the analogy is made to a charged drop of liquid whose surface tension corresponds to the nuclear force. This classical model found its first success in the exploration of many aspects of nuclear fission,⁵ and more recently has been applied the fission of rotating systems.⁶⁻⁸ Using the liquid-drop model, one can calculate the equilibrium shape of a compound system and

an associated moment of inertia that influences the energetics of the decay processes. For a fissioning system it is also possible to calculate the nuclear shape at the point of scission, which influences the fragment angular distribution and the energy dependence of the fission cross section. Particle spectra, on the other hand, depend only on the nuclear shape at equilibrium and, in principle, allow an investigation of this shape for nuclei throughout the periodic table.

The dynamics of the de-excitation of highly excited nuclei have been understood for some time on the basis of statistical theory first suggested by Bohr⁹ and later examined at length by Bethe.¹⁰ Nuclear properties enter this theory primarily through their influence on energy level densities. Although the dependence of these energy level densities on angular momentum was analyzed in detail by Bethe, the effect of this dependence on the particle angular distributions and spectra was not considered until recently, when highly rotating states became experimentally accessible.

An interest in the possibility of gaining some insight into nuclear equilibrium shapes and into the mechanism of direct reactions has led to the measurement reported here of the particle spectra in the forward direction, where the effects of both are expected to be greatest and where data were previously unavailable.

II. EXPERIMENTAL PROCEDURE

A. Experimental Arrangement and Exposure

The experimental arrangement is shown in Fig. 1. The O^{16} beam from the Berkeley Hilac was focused through the collimation system onto the target, which formed the rear of a Faraday cup. This target was sufficiently thick to stop the beam but allowed the lighter secondary particles to continue forward through a 0.25-inch tungsten slit and into the spectrometer magnet, where they fell on the nuclear emulsion detectors placed around its periphery.

For the shorter-range particles the emulsion used consisted of 1×3 -in. $\times$ 600- μ Ilford C.2 and K.5 glass-backed plates whose surfaces were inclined at 10 deg to the particle direction. Longer-range particles were detected in small stacks, each composed of ten 1×3 -in. $\times$ 600- μ pellicles, with the particles incident parallel to the surface through the 3-in. edge. Typical measurements of range vs deflection are shown in Fig. 2. The spread of these points indicates the experimental resolution.

The thicknesses of the Al, Ni, Ag, and Au targets were 71.19, 93.43, 108.53, and 111.84 mg/cm^2 respectively for the bombardments with the 167-MeV beam (10.4 ± 0.2 MeV/nucleon). For the lower-energy bombardment of Al the beam was degraded to 142 MeV by an 11.62- mg/cm^2 Al foil placed in front of the quadrupole magnet (see Fig. 1), and fell on a 59.90- mg/cm^2 target. The sum of these thicknesses closely approximates the target thickness of the high-energy run so that differences between the yields from these two runs represent the numbers of beam interactions in the

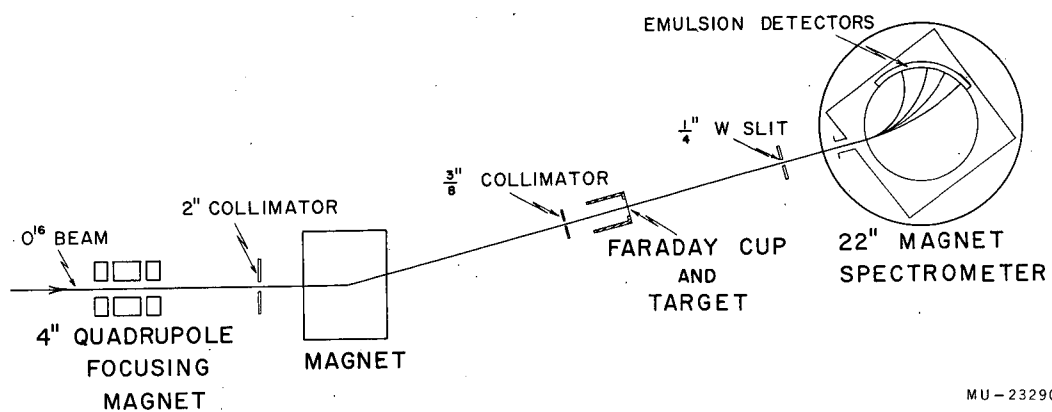


Fig. 1. Experimental arrangement.

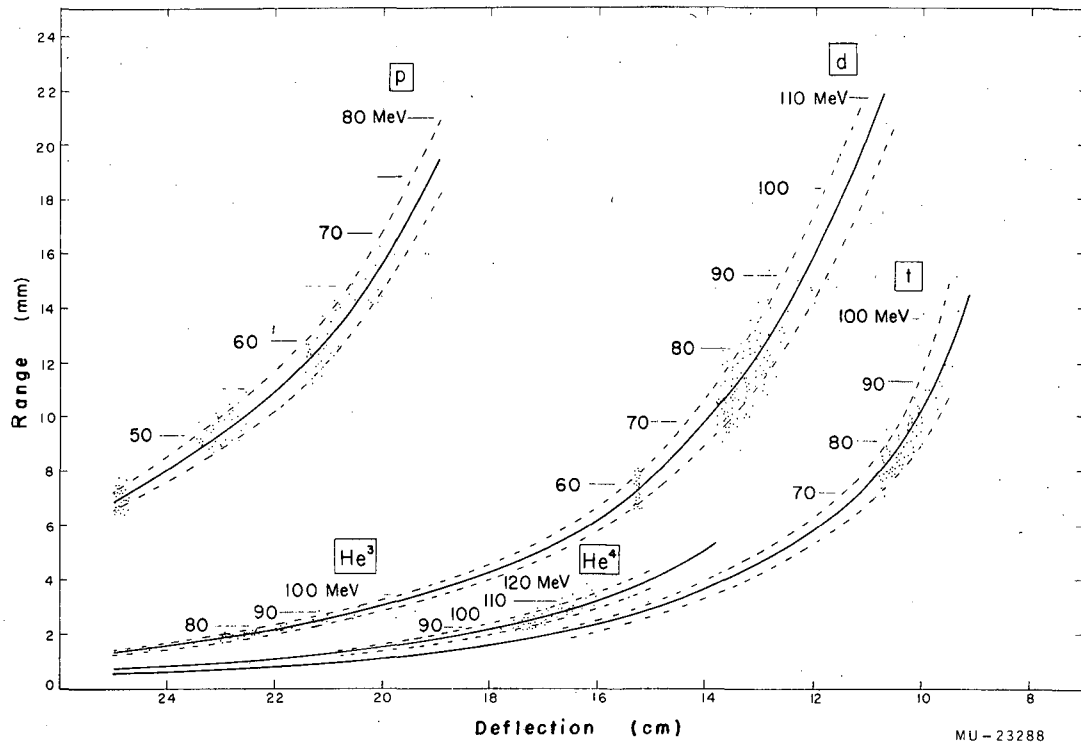


Fig. 2. Ranges of particles as a function of magnetic deflection for 167-MeV O^{16} on aluminum.

region from 167 to 142 MeV. The two runs were made for Al to examine the energy dependence of the cross section, since this target allows reactions to occur over the largest range of energies, i.e., from 167 MeV to the Coulomb barrier at 30 MeV.

Collimators and slits used in this experiment were sufficiently thick to stop all but the very highest-energy particles observed, and their jaws were tapered a few degrees to minimize slit scattering. To estimate the contamination from the 3/8-in. collimator (made of 1/4-in. brass) in front of the target we may ask what fraction of the beam would strike the jaws of a similar square-jawed collimator if it were uniformly illuminated by a beam at the maximum allowed angular divergence of 1.5 deg. We find this contamination to be about 4%, and would expect the actual figure to be much less than 1%.

The foil used to degrade the beam for the 142-MeV bombardment of Al was sufficiently far upstream that contamination from this foil would be reduced by two orders of magnitude by the decrease in subtended solid angle factor. Also, secondaries from this degrader would be separated by the bending magnet so that only 35-MeV alphas and 18-MeV protons, for example, from this source could be observed. No enhancement was observed at these energies.

B. Emulsion Scanning and Analysis

All energies measured in this experiment are based on ranges in the emulsion rather than the magnetic deflection. Where the particle densities were sufficiently great, however, the following simplifying procedure was adopted:

(a) At several points X_i on a plate the mean range (and hence B_p) of a particular kind of particle was measured.

(b) The values at the different points were used to form a curve of $d B_p/dx$ vs B_p . This curve is universal for all particles and all targets, since it depends only on properties of the magnet, which were constant throughout the experiment.

(c) Measurement of the differential yield of a particle was therefore reduced to counting the number of particles N in an interval, Δx , and measuring the mean range at the center of the interval. Thus if a particle kinetic energy T' is found from the range measurements, we have

$$\frac{\Delta^2 Y(T')}{\Delta \Omega \Delta T'} = \frac{1}{n_{ox}} \frac{N}{\Delta \Omega} \left(\frac{dT'}{dB_p} \frac{dB_p}{dx} \Delta x \right)^{-1}. \quad (1)$$

In this relation dT'/dB_p can be found from first principles, and dB_p/dx is found from the curve described in step (b). The quantity n_{ox} is the number of oxygen ions, which is found from the charge collected during the exposure on the Faraday cup (whose entrance was shielded by a permanent magnet to prevent the escape of secondary electrons). If we let W be the slit width, L the target-to-slit distance, Δz the vertical (normal to Fig. 1) distance over which particles are scanned, and S the length of the particle trajectory from target to emulsion, we find the element of solid angle to be $\Delta \Omega = (W/L)(\Delta z/S)$.

In practice, of course, the experimental points themselves were used to determine the dB_p/dx points of step (b), which were then plotted versus $(B_p)^2$ and fitted by a least-squares procedure to a straight line which is the theoretical form for this function for a constant magnetic field. No systematic deviations from the curve were detected, and the fitted curve

probably gave at least as accurate a determination of the energy interval as would be given by other techniques.

When the number of particles varied rapidly with deflection, an entire region of the plate was scanned to find all particles in a given range interval and the yield found was ascribed to the energy corresponding to the median range in the interval.

The emulsion range-energy relation used was taken from the work of Barkas,¹¹ which differs by less than 1% in the region of interest from more recent work by the same author.¹² These ranges in standard emulsion were corrected (slightly) for the emulsion density of this experiment.

It is well known that certain particles, such as deuterons and He^3 's, have nearly the same range at a given magnetic deflection and hence are not resolvable by range measurements alone. This coincidence can occur only for particles of different charge, and in this experiment these particles could usually be distinguished visually by their track structure.

The results were checked occasionally by standard emulsion techniques such as integral gap length measurement and δ -ray counting. Table I shows results of the application of these techniques in the separation of deuterons and He^3 's. The first part of this table lists the total length of the track in C.2 emulsion, from its terminus to the indicated residual range, that consists of gaps. The "error" indicated is the standard deviation of a single measurement. The second part of the table is a similar presentation of the integral number of δ rays in K.5 emulsion. Delta-ray counting is somewhat less sensitive, and was used only on the longer tracks. Here we have relaxed the definition of a δ ray to include

any feature of the track that protrudes by at least one grain diameter. The tabulated δ -ray counts are based on the number actually seen and have not been corrected for random grain background.

Table I. Integral gap length and δ -ray measurements for deuterons and He^3 's as a function of residual range.

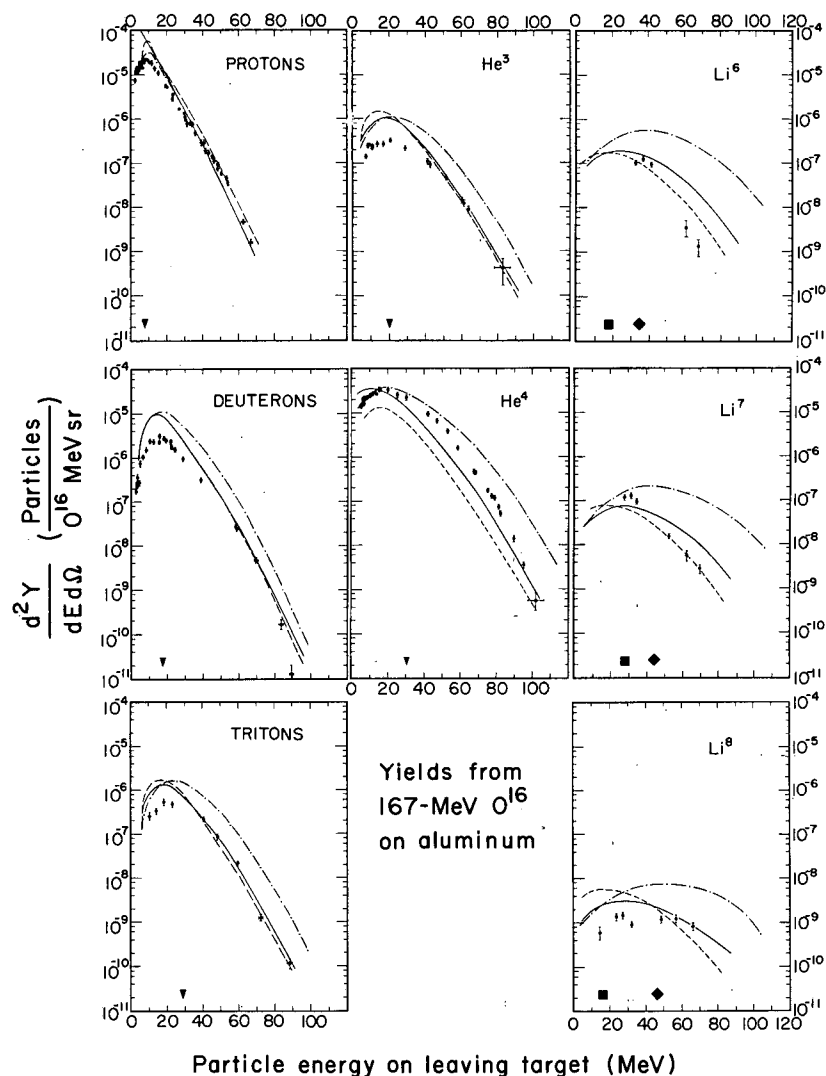
R (μ)	Integral gap length in C.2 (μ)		R (μ)	Integral number of δ rays in K.5	
	d	He^3		d	He^3
60.5	8. $\pm$ 3.	3. $\pm$ 1.	132.2	0.6 $\pm$ 0.8	1.6 $\pm$ 0.8
120.9	19. $\pm$ 3.	6. $\pm$ 2.	264.5	2.0 $\pm$ 1.3	12 $\pm$ 2
181.4	36. $\pm$ 3.	11. $\pm$ 2.	396.8	5 $\pm$ 2	26 $\pm$ 3
241.9	52. $\pm$ 5.	17. $\pm$ 2.	529.0	9 $\pm$ 3	42 $\pm$ 4
302.4	69. $\pm$ 5.	23. $\pm$ 3.	661.2	13. $\pm$ 5	59 $\pm$ 4

The ranges in Table I correspond to successive fields of view at 2500 $\times$ and 1000 $\times$ for the gap and δ -ray measurements, respectively, and show that the tracks are resolved in about two fields of view at these magnifications. Longer tracks can be distinguished without measurement.

Measurements were restricted to the spectra of isotopes of H, He, and Li, and no conclusions should be drawn about the production of a particle or isotope because it has not been included. Be isotopes were observed, for example, with cross sections comparable to those of Li. More highly charged products, in particular the easily identifiable B^8 , are expected to have been stopped by the target. Particles such as He^6 might abound in regions of deflection covered only by stacks in whose blackened edges they would go undetected owing to their short range. The primary interest of this experiment was in the more common nuclear species, and further scanning for the more elusive particles could not be justified,

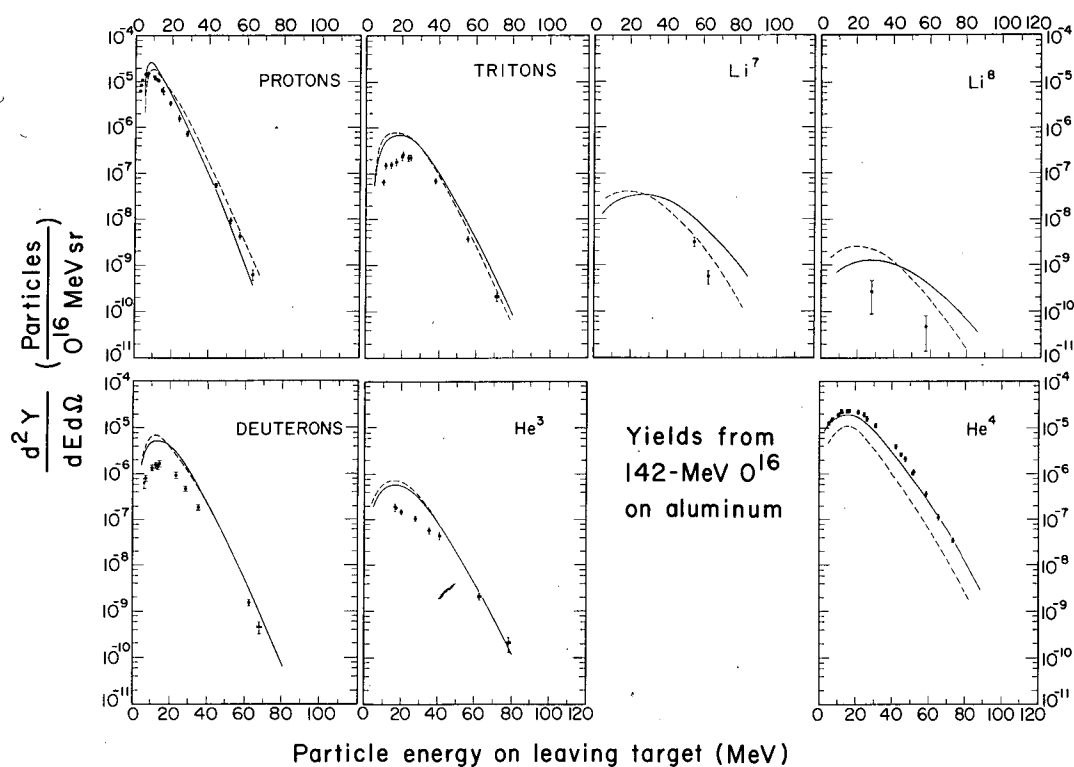
because of the difficulty involved in distinguishing them or, in fact, in observing them at all in the experimental arrangement used here.

The experimental data are shown in Figs. 3 through 7. The error bars shown include errors in the energy measurements and experimental geometry as well as statistical errors. The particles under observation entered the surface or edge of a plate in a beam that was parallel to within a few degrees, and were easily distinguished from recoil protons produced by neutron interactions in the emulsion. Contamination of this type is expected to be less than the experimental error by several orders of magnitude.



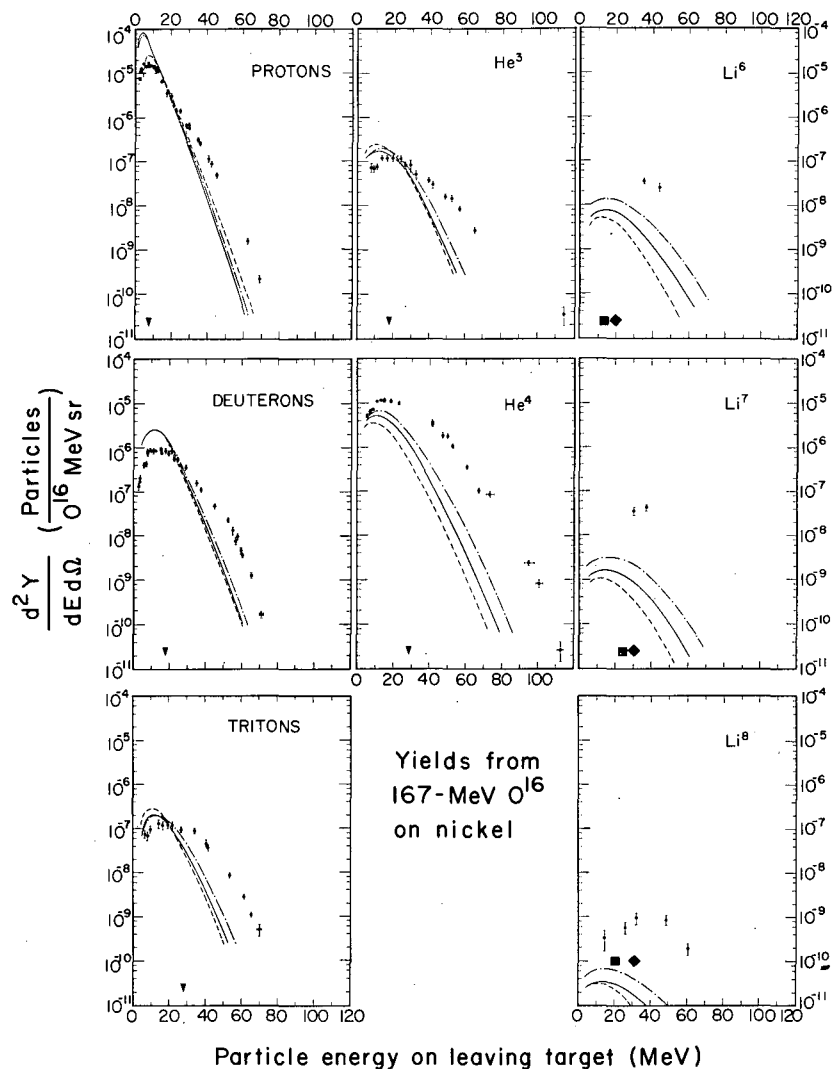
MU-33869

Fig. 3. Charged-particle yields at 0° from 167-MeV O^{16} on an aluminum thick target. Curves are the theoretical spectra, after the complete evaporation from the rotating nuclei, produced in the cases of spherical nuclei (dot-dashed curves), liquid drop shapes (solid curves), and no rotation (dashed curves). Symbols at the bottom of the graphs indicate the energy at which direct products would appear under various assumptions (see text).



MU-33873

Fig. 4. Charged-particle yields at 0° from 142-MeV O^{16} on an aluminum thick target. Curves are the theoretical spectra, after the complete evaporation from the rotating nuclei, produced in the cases of liquid drop shapes (solid curves) and no rotation (dashed curves).



MU-33871

Fig. 5. Charged-particle yields at 0° from 167-MeV O^{16} on a nickel thick target. Curves are the theoretical spectra, after the complete evaporation from the rotating nuclei, produced in the cases of spherical nuclei (dot-dashed curves), liquid drop shapes (solid curves), and no rotation (dashed curves). Symbols at the bottom of the graphs indicate the energy at which direct products would appear under various assumptions (see text).

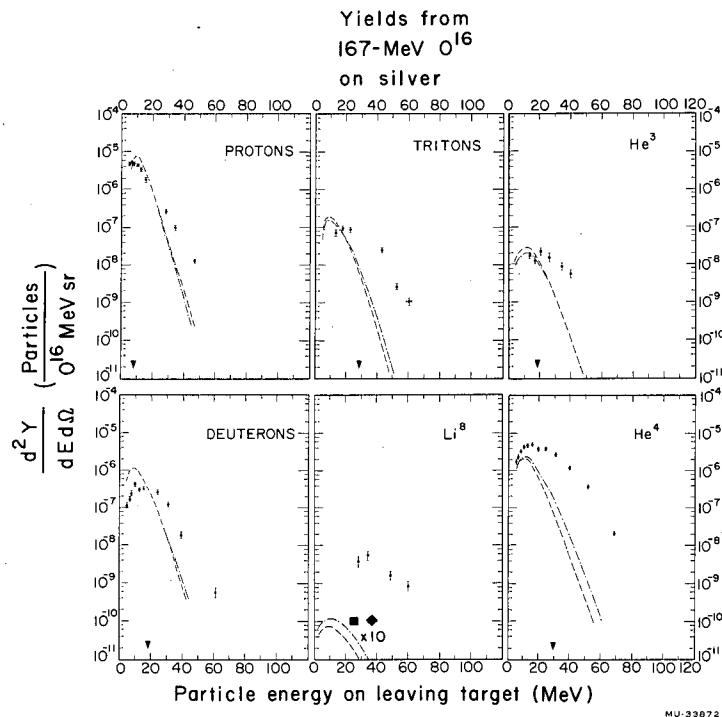
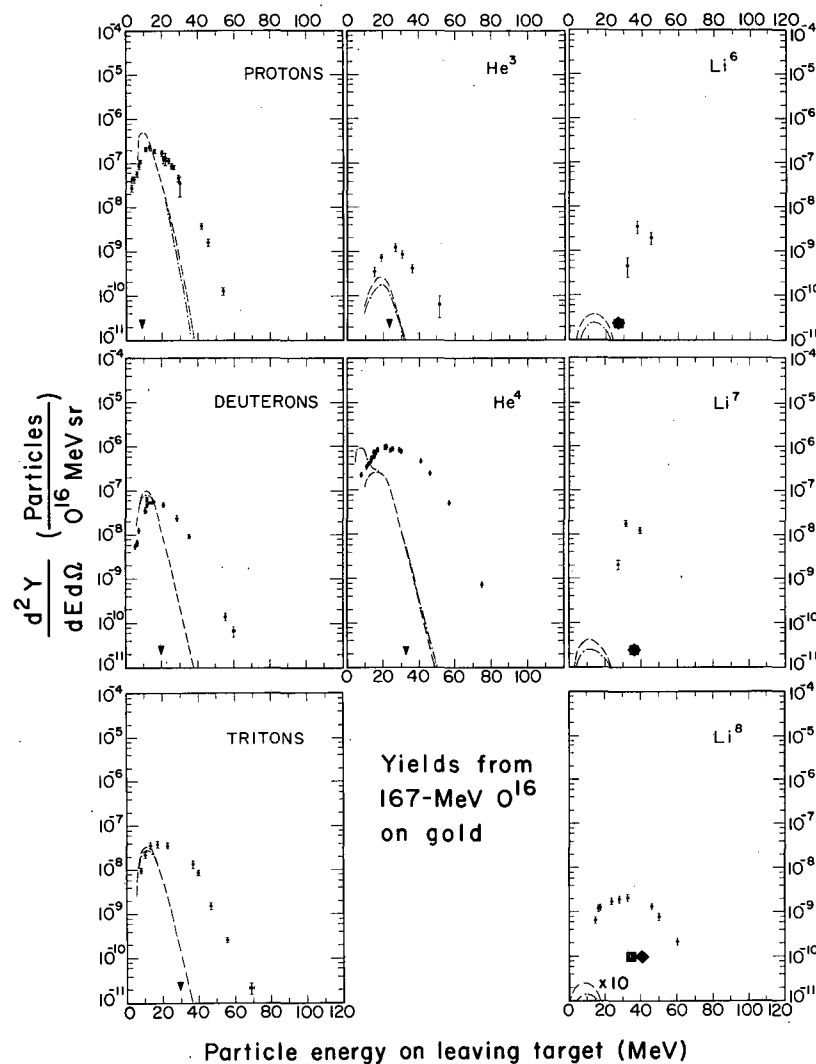


Fig. 6. Charged-particle yields at 0° from 167-MeV O^{16} on a silver thick target. Curves are the theoretical spectra, after the complete evaporation from the rotating nuclei, produced in the cases of spherical nuclei (dot-dashed curves) and no rotation (dashed curves). Symbols at the bottom of the graphs indicate the energy at which direct products would appear under various assumptions (see text).



MU-33870

Fig. 7. Charged-particle yields at 0° from 167-MeV O^{16} on a gold thick target. Curves are the theoretical spectra, after the complete evaporation from the rotating nuclei, produced for the cases of spherical nuclei (dot-dashed curves) and no rotation (dashed curves). Symbols at the bottom of the graphs indicate the energy at which direct products would appear under various assumptions (see text).

III. PARTICLE EVAPORATION

A. Statistical Theory

In order to develop the statistical theory of particle emission to be used here, we follow closely the work of Ericson and Strutinski¹³ and Ericson,¹⁴ which we then generalize to include multiple emission.

According to the principle of detailed balance, the probability per unit time, P_{ab} , for a transition from state a to state b is given by

$$P_{ab} \rho_a = P_{ba}^* \rho_b, \quad (2)$$

where ρ_a and ρ_b are the densities of states a and b. P_{ba}^* denotes the probability of the time-reversed or inverse process.

We consider the state a to be an excited compound nucleus of spin $\vec{I}$, and the state b to consist of an emitted particle, v , of kinetic (channel) energy T_{cv} moving in a direction $\vec{n}$ from a residual nucleus, R, which has excitation energy U and spin $\vec{J}$. We also make the classical approximation that the angular momenta $\vec{I}$ and $\vec{J}$ and the orbital angular momentum $\vec{l}$ are continuous variables and that the direction of particle emission, $\vec{n}$, is perpendicular to $\vec{l}$ (particle spin being considered only in the statistical weight factor, $g_v = 2S_v + 1$, so that $\vec{I} = \vec{J} + \vec{l}$).

If $T_l(T_{cv})$ is the probability for the particle to penetrate the potential barrier of the nucleus with angular momentum $\vec{l}$, we may integrate over $\vec{J}$ and $\vec{l}$ and use Eq. (2) to write

$$\begin{aligned} \rho_c(\vec{I}) P(T_{cv}, \vec{n}) dT_{cv} d\Omega_v &= \frac{d^3 \vec{p}_v}{h^3} g_v v_v \chi^2 \\ &\times \int \delta(\vec{n} \cdot \vec{l}) T_l(T_{cv}) d^3 \vec{l} \int \delta^3(\vec{l} + \vec{J} - \vec{I}) \rho_R(U, \vec{J}) d^3 \vec{J}. \end{aligned} \quad (3)$$

In this expression ρ_c and ρ_R are the level densities of the compound and residual nuclei, p_v and v_v are the particle (channel) momentum and velocity, and $\chi = \hbar/p_v$.

Equation (3) can be understood from the following considerations. The inner integral is the level density of the residual nucleus of excitation U , for a given particle angular momentum, $\vec{l}$. This integral runs over all residual nuclear spins consistent with the conservation of angular momentum, which is insured by the δ function. If we temporarily assume that ρ_R has no J dependence, write $d^3\vec{l} = l^2 dl d\Omega_l$, and recall that

$$\int \delta(\vec{n} \cdot \vec{l}) d\Omega_l = 2\pi/l, \quad (4)$$

we can write the remaining integral as

$$\sigma_{inv} = \pi \chi^2 \int 2l T_l(T_{cv}) dl, \quad (5)$$

which is just the classical analog of the more familiar quantal form

$$\sigma = \pi \chi^2 \sum_l (2l + 1) T_l(T_{cv}). \quad (6)$$

From the foregoing it can be seen that the treatment of angular momentum is entirely classical and, in particular, the coupling of the particle spin is ignored, since $\delta(\vec{n} \cdot \vec{l})$ insures that particles are emitted in a direction perpendicular to their orbital angular momentum.

To continue our consideration of (3) when ρ_R has no J dependence, we write

$$\rho_c P(T_{cv}) dT_{cv} d\Omega_v = \left(\frac{d^3 p_v}{h^3} g_v v \right) \left(\frac{v \sigma_{inv}}{V} \right) \rho_R(U), \quad (7)$$

where a normalization volume V has been included so that the first

quantity on the right side of (7) is the number of states available to the particle, the second is the probability per unit time for occurrence of the inverse process of particle capture by the residual nucleus, and the last term is the level density of the residual nucleus.

The total probability of compound nuclear decay can be found by integrating $P(T_{cv}, \vec{n})$ over T_{cv} and Ω_v , and we may therefore write the cross section for production of a particle v as the product of the cross section for compound nucleus production times a normalized probability of decay. Thus, using (5) for an incident channel of energy E_c , we have

$$\frac{d^2\sigma(T_{cv}, \vec{n})}{d\Omega_v dT_{cv}} = \pi\lambda^2 \int 2I T_I(E_c) \left[\frac{P(T_{cv}, \vec{n})}{\sum_v \iint P(T_{cv}, \vec{n}) dT_{cv} d\Omega_v} \right] dI. \quad (8)$$

B. Level Densities

The level density of rotating nuclei has been considered in some detail in the literature^{10,14} and is treated only briefly here. Nuclear densities of states differ from those considered in thermodynamics in that fewer particles participate. This is usually taken into account by allowing the nuclear level densities to differ from their thermodynamic counterpart by a function that varies slowly with energy. This function is then found by approximation methods.

A heuristic way to include the effect of rotation is to consider the rotational energy to be unavailable for excitation, so that

$$\rho(U, \vec{J}) = \rho_0(U - U_R). \quad (9)$$

Thus if the level density for no rotation has the form $\exp(2\sqrt{\alpha U})$, we now have

$$\rho(U, \vec{J}) \propto \exp\left\{2[\alpha(U - U_R)]^{1/2}\right\} \quad (10)$$

which may be expanded for small U_R to give

$$\begin{aligned} \rho(U, \vec{J}) &\propto \exp[2(\alpha U)^{1/2} - (\alpha/U)^{1/2} U_R] \\ &\propto \exp[2(\alpha U)^{1/2} - U_R/t] \end{aligned} \quad (11)$$

where $t = (U/\alpha)^{1/2}$ is the nuclear (or rigorously the thermodynamic) temperature. The rotational energy may be written

$$U_R = \hbar^2 J^2 / 2\mathfrak{I}, \quad (12)$$

where $\mathfrak{I}$ is the nuclear moment of inertia.

Substituting (12) into the actual form of the level density used in the current calculations,¹⁴ we have

$$\rho(U, \vec{J}) \propto \frac{1}{U^2 A_R^2} \exp[2(\alpha U)^{1/2} - \hbar^2 J^2 / 2\mathfrak{I}t], \quad (13)$$

where A_R is the atomic weight of the residual nucleus.

The level density parameter α has been shown^{15,16} to have the general form $\alpha = A/a$. For high excitation, deviations from this form due to shell effects are expected to disappear. In this work we have taken the temperature constant, a , to be 10 MeV unless otherwise noted.

Substituting (13) into (3), one finds that it is possible to do many of the integrations.¹³ Combining the result with (8), we have the final form,¹⁴

$$\frac{d^2\sigma(\theta, T_{cv})}{d\Omega_v dT_{cv}} = \pi\chi^2 \int 2I T_I(E_c) dI$$

$$\times \left[\frac{(g_v/U^2 A_R^2) \int 2l T_l(T_{cv}) \exp\left[2(A_R U/a)^{1/2} - \frac{l^2+l^2}{2\sigma^2}\right] W_{Il}(\theta, T_{cv}) dl}{\sum_v \int dT_{cv} (g_v/U^2 A_R^2) \int 2l T_l(T_{cv}) \exp\left[2(A_R U/a)^{1/2} - \frac{l^2+l^2}{2\sigma^2}\right] j_0\left(\frac{1Il}{\sigma^2}\right) dl} \right]. \quad (14)$$

In (14),

$$\frac{1}{\sigma^2} = \frac{n^2}{2St} \quad (15)$$

and

$$W_{Il}(\theta, T_{cv}) = \frac{1}{4\pi} \sum_k (-)^k (4k+1) \left[\frac{(2k)!}{(2^k k!)^2} \right]^2 j_{2k}\left(\frac{1Il}{\sigma^2}\right) P_{2k}(\cos\theta). \quad (15)$$

Here j_n and P_n are the spherical Bessel function and Legendre polynomial of order n ; θ is the angle between the directions of the emitted particle and the beam. We remark that our definition differs slightly from that of references 13 and 14 in that W_{Il} is not normalized to 1, thus

$$\int W_{Il}(\theta, T_{cv}) d\Omega_v = j_0\left(\frac{1Il}{\sigma^2}\right). \quad (17)$$

C. Multiple Emission

The high excitation energy produced in the capture of a 167-MeV O^{16} ion is rarely dissipated by the emission of a single particle and, in fact, the evaporation process may continue until as many as 8 or 10 particles are emitted. To account for this multiple emission in a rigorous way it would be necessary to write the particle emission probability, $P_T(T_{cv}, \theta)$, as a sum of terms, which we write symbolically as

$$\begin{aligned}
 P_T(T_{cv}, \theta) &= P(T_{cv}, \theta; U_1) \\
 &+ \sum_{\mu} \int \int P(T_{cv}, \theta; U_1 + Q_{\mu} - T_{c\mu}) P(T_{c\mu}, \theta'; U_1) dT_{c\mu} d\Omega' \\
 &+ \dots,
 \end{aligned}
 \tag{18}$$

where the dependence of the partial probabilities on the excitation of the emitting nucleus has been included explicitly. Here U_1 is initial excitation and Q_{μ} is the Q value for emission of the particle μ . Details of the coupling of angular momentum have been omitted.

Thus for each stage that contributes one would be forced to integrate over the energy spectra of all particles that could be emitted on each previous stage. For the large number of particles and stages to be considered here, this process obviously becomes prohibitive even without the inclusion of angular momentum.

An alternative procedure would be to use the particle emission probabilities in Monte Carlo calculations. Such calculations have been made by Dostrovsky et al.¹⁷ for spinless nuclei. In such calculations, however, it is very difficult to determine the shape of the high-energy part of the spectra, since one must accumulate statistics in much the same way as in the experimental measurements themselves. Thus an accurate calculation of yields that vary over six orders of magnitude or of the yield of rare particles is considered to be beyond the capabilities of this technique.

Owing to the difficulties of the other methods we have arrived at an average-value procedure for following the course of the evaporation. In this method the energy, mass, and charge removed by the particles are

averaged over the energy spectrum of a particle and over the particles. The results are then used to compute the properties of the residual nucleus which, in turn, emits more particles. Since the denominator in Eq. (14) is just such an integral over the particle spectrum and sum over the particles of the particle emission probability [see Eq. (8)], it may be treated as a weighting function (or operator), so that the mean value of a quantity X is

$$\bar{X} = \frac{\sum_{\nu} g_{\nu} \int dT_{cv} \int (X) 2l T_l(T_{cv}) \frac{1}{U^2 A_R^2} \exp\left[2(A_R U/a)^{\frac{1}{2}} - \frac{I^2 + l^2}{2\sigma^2}\right] j_0\left(\frac{iIl}{\sigma^2}\right) dl}{\sum_{\nu} g_{\nu} \int dT_{cv} \int 2l T_l(T_{cv}) \frac{1}{U^2 A_R^2} \exp\left[2(A_R U/a)^{\frac{1}{2}} - \frac{I^2 + l^2}{2\sigma^2}\right] j_0\left(\frac{iIl}{\sigma^2}\right) dl} \quad (19)$$

The spin of the residual nucleus and the associated coupling of $\vec{I}$ and $\vec{l}$ to form this spin were eliminated in the integration leading to Eq. (14). In order to compute the average spin of the residual nucleus (actually J^2 was averaged) we return to the level density in Eq. (13) and write

$$\langle \cos\theta_{Il} \rangle = \frac{\int_{-1}^1 \cos\theta_{Il} \exp\left(\frac{Il \cos\theta_{Il}}{\sigma^2}\right) d \cos\theta_{Il}}{\int_{-1}^1 \exp\left(\frac{Il \cos\theta_{Il}}{\sigma^2}\right) d \cos\theta_{Il}} \quad (20)$$

This equation may be integrated explicitly to give

$$\langle \cos\theta_{Il} \rangle = \frac{(q-1) + (q+1) \exp(-2q)}{q(1-\exp(-2q))} \quad (21)$$

where we have let $q = Il/\sigma^2$ for simplicity. From this average we compute

$$\langle J^2 \rangle = I^2 + l^2 - 2Il \langle \cos\theta_{Il} \rangle \quad (22)$$

which is then averaged as $\bar{X}$ in Eq. (19).

To find the contribution of a particular stage to the cross section, the properties of that stage are used to calculate the particle emission probability (expression in square brackets) in Eq. (14). The complete cross section is the sum over such stages. In practice an array of incident energies E and spins I was formed. For each energy and spin the average-value evaporation was carried out until no further particle emission was possible. For each I , E , and stage k were tabulated the normalization denominator; the average mass, charge, and spin of the residual nucleus; and the average energy removed up to that point. The center-of-mass cross section for a given particle at a given energy and angle could then be found by using (14) and summing over k .

The evaporation procedure used here suffers from the disadvantage that it does not correctly represent the increasingly wide spread of emitting nuclear species that occur on successive stages. It is possible, for example, to produce nuclei that in the last stage are constrained energetically to emit only one type of particle. This effect can produce an anomalously large contribution of these particles to the low-energy end of their spectrum. Examples of this behavior can be seen in Figs. 3 through 7. In truth this final stage should be represented by many different species, some of which emit other particles. Also, as k increases, an increasingly large number of nuclei emit particles whose energy is higher than average and hence never reach the stage in question. In spite of these limitations many general features of this evaporation are faithfully reproduced and, as stated previously, it is perhaps the only practical technique available to us.

D. Moments of Inertia

If a nucleus could be treated as a spherical rigid body, its moment of inertia could be written

$$I_0 = \frac{2}{5} (m_0 A) (r_0 A^{1/3})^2, \quad (23)$$

where $m_0 A$ is the mass, and $r_0 A^{1/3}$ the radius of the nucleus. According to the liquid drop model the shape of the nucleus is distorted from sphericity because of its rotation and charge, so that the sum of the rotational, Coulomb, and surface energies is minimum.

When the rotational energy (referred to the surface energy of the sphere) is small the nucleus assumes an oblate spheroidal shape about the spin axis, as shown by Hiskes.¹⁸ With increased rotational energy the nuclei undergo a transition to the prolate ellipsoidal shapes (rotating about an axis perpendicular to the symmetry axis) discussed by Beringer and Knox.¹⁹ The change of these shapes with rotation has been studied throughout the periodic table by Cohen, Plasil, and Swiatecki,⁷ and the moments of inertia based on these calculations²⁰ are used in this work.

These moments of inertia are tabulated as a function of the fissility parameter, $x = (Z^2/A)/50.13$, and rotational parameter, defined as $y = (\hbar^2 J^2 / 2I_0) / 17.81 A^{2/3}$, for axes parallel and perpendicular to the symmetry axis. From these it is possible to calculate the moment about any axis. We have assumed that the particle emission is "sudden" in that the nucleus does not deform to adapt to its new spin $\vec{J}$ until after the particle is emitted. Under this assumption the symmetry axis to which the moments of inertia are referred lies parallel (for Hiskes shapes) or perpendicular (for Beringer-Knox shapes) to the initial spin

$\vec{I}$, but the moment of inertia about $\vec{J}$ depends on the angle between $\vec{J}$ and $\vec{I}$ and hence on θ_{IJ} . Conversely the angle θ_{IJ} depends on the moment of inertia through Eq. (20), so that we have had to iterate Eq. (20) and the determination of $\mathfrak{J}$ to find the correct values of both $\cos\theta_{IJ}$ and $\mathfrak{J}$. If the "sudden" assumption were incorrect we would be underestimating the moment of inertia.

A further dynamical assumption we have made is that a nucleus initially in a high-spin Beringer-Knox shape will transform into the low-spin Hiskes shape when the appropriate value of angular momentum is reached during the course of the evaporation.

Above a certain value of y , a nucleus is no longer stable.⁷ This value of y was used to place a limit on the initial spin with which the compound nucleus could be formed.

Landau and Lifshitz²¹ have shown that the only macroscopic motions possible for a gas in statistical equilibrium are uniform translation and rotation of the gas as a whole. In the preceding development we have, therefore, considered only rigid-body moments of inertia.²² It is well known that for very low excitations, nuclear moments of inertia become smaller than those of rigid bodies,²³ but at such excitations one is dealing with more detailed properties of nuclear structure than are described by the statistical theory used here. One might expect that as the excitation energy is increased to the values of interest in this experiment, detailed properties--including shell and pairing structure--become lost and only the more gross properties remain. In the light of these considerations we have also neglected shell and pairing energies in calculating the masses of those highly excited nuclei, and have used the

"reference" mass formula given by Cameron.²⁴

E. Barrier Penetration

The escape of particles from the nucleus in the presence of Coulomb and centrifugal barriers is treated in any text on Nuclear Physics. The shape of the nuclear potential has been studied in electron scattering experiments²⁵ and by optical-model analysis of particle scattering experiments in terms of the Woods-Saxon²⁶ and Igo²⁷ potentials.

Hill and Wheeler have discussed penetration through inverted parabolic barriers and have related the penetration coefficient T_l to the height and curvature of the barrier.⁵ We have used the potential

$$V_l(r) = \frac{zZe^2}{r} + \frac{l^2 \hbar^2}{2mr^2} - 50 \exp\left(-\frac{r-R}{0.574}\right). \quad (24)$$

In this expression m is the reduced mass of the emitted or incident particle and ze its charge. The radius R was taken to be

$$R = 1.17 A^{1/3} + r_1 \quad (25)$$

expressed in fermis, where A is the atomic weight of the target or residual nucleus and r_1 the radius of the incident or emitted particle. Values of r_1 used are given in Table II. These radii are based, in most cases, on the experimental values from Hofstadter,²⁵ and are felt to be

Table II. Particle radii used in the calculation of barrier penetration (Fermi).

Particle	n	p	d	t	He ³	He ⁴	Li ⁶	Li ⁷	Li ⁸
Radius (fermis)	0	0	2.11	2.11	1.61	1.77	2.2	2.2	2.2

slightly more realistic than the $1.17 A^{1/3}$ form for these light particles. This latter form was used for the radius of the O^{16} .

By using the potential from (24) it is possible to calculate the barrier penetration coefficient,⁵

$$T_l(E) = \frac{1}{1 + \exp\left(\frac{2\pi(B_l - E)}{\hbar\omega_l}\right)}, \quad (26)$$

where B_l is the height of the potential at its maximum and $\hbar\omega_l$ is related to the curvature at the same point by

$$\hbar\omega_l = \left| \frac{\hbar^2}{m} \frac{d^2 V_l}{dr^2} \right|^{1/2}. \quad (27)$$

This procedure of replacing the true potential by an inverted parabola of the same height has been found to compare quite well with optical-model calculations.²⁸

We should point out that this is the only part of our calculation where the diffuseness of the nuclear potential has been taken into account. Other parts of the calculation are based on a square well with a radius parameter of 1.5 fermis. Attempts to include nuclear diffuseness in the level density, for example, have been made by Beard,²⁹ but we have felt that the inclusion of such refinements was unjustified in this case. Barrier penetration is perhaps more sensitive to the nuclear potential shape than other factors and, in fact, the procedure used here is considerably simpler than an optical-model analysis with a square well.

F. Thick Target Yield

For reasons stated previously we have stopped the O^{16} beam in the

targets and measured the yields of particles rather than cross sections at a particular beam energy. To calculate such yields from the theoretical cross sections we first establish a relation between the energy with which a particle leaves the target and the particle and beam energy at the point of interaction. Using this relation, it is then possible to integrate over the beam energy and find the yield of particles at a particular observed energy.

Suppose an O^{16} ion of initial laboratory-system energy E_0 moves into the target and interacts at a depth at which its energy has been reduced to the value E . From this interaction a particle of laboratory-system energy T_v is emitted in the beam direction and is degraded in energy to the value T'_v by the remaining thickness of target. The relation between the ranges that correspond to these energies can be written

$$R(T_v) - R(T'_v) = D - [R(E_0) - R(E)], \quad (28)$$

where D is the target thickness.

The number of particles per beam particle per unit solid angle appearing with energy greater than T'_v may be related to the cross section for their production (in the laboratory system) by

$$\frac{dY_v(> T_v, 0^\circ)}{d\Omega} = n_0 \int_0^{R(E_0)} \frac{d\sigma(> T_v(T'_v, E), 0^\circ; E)}{d\Omega} dR. \quad (29)$$

In (29) n_0 is the number of target atoms per cm^3 if the cross section is expressed in cm^2 and the range R in cm , and $T_v(T'_v, E)$ is found from Eq. (28). We then write the integrand in (29) as

$$\frac{d\sigma(> T_v(T_v', E), 0^\circ; E)}{d\Omega} = \int_{T_v(T_v', E)}^{\infty} \frac{d^2\sigma(T_v, 0^\circ; E)}{dT_v d\Omega} dT_v \quad (30)$$

and take the derivative of the resulting expression with respect to T_v' to find

$$\frac{d^2 Y(T_v', 0^\circ)}{dT_v' d\Omega} = n_0 \int_0^{R(E_c)} \frac{d^2\sigma(T_v, 0^\circ)}{dT_v d\Omega} \frac{dT_v}{dT_v'} dR. \quad (31)$$

In (31) we have dropped the explicit dependence on the beam energy and consider all quantities in the integrand to be expressed in terms of T_v' and E .

We now express the laboratory-system cross section in terms of the center-of-mass cross section, which depends on the center-of-mass (channel) energy T_{cv} . If we also change the variable of integration from R to E , we have

$$\frac{d^2 Y_v(T_v', 0^\circ)}{dT_v' d\Omega} = n_0 \int_0^{E_0} \left[\left(\frac{T_v}{T_{cv}} \right)^{1/2} \frac{d^2\sigma(T_{cv}, 0^\circ)}{dT_{cv} d\Omega_v} \right] \frac{dT_v}{dT_v'} \frac{dR(E)}{dE} dE, \quad (32)$$

where the differential cross section is calculated from (14) (summed over the evaporation). The quantity dT_v/dT_v' can be found from (28), and the channel energy is found from the laboratory-system energy by

$$T_{cv} = \frac{A_R}{A_E} T_v \left(1 - \frac{A_o A_v}{A_I A_T} \frac{E_c}{T_v} \right)^2. \quad (33)$$

In (33), the subscripts R, E, I, and T refer to the residual, emitting, initial-compound, and target nuclei, respectively, and o and v refer to the oxygen and emitted particle, respectively. The incident channel energy is $E_c = A_T E / A_I$. The various atomic weights have been carefully

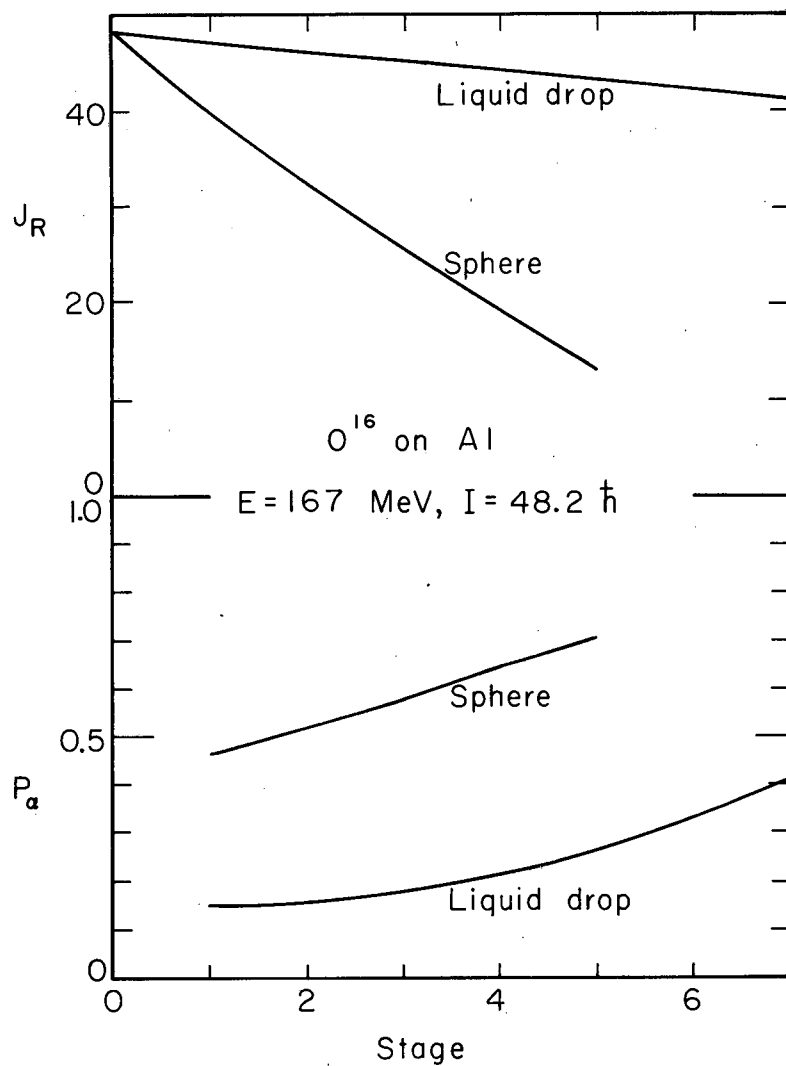
distinguished to illustrate the changes, though small, during the cascade, but it should be noticed that the recoil of a residual nucleus during a particular evaporation has not been considered to affect the subsequent stages.

All quantities under the integral in (32) are understood to be expressed in terms of T'_v and E through relations (28) and (33).

The range-energy relations for all charged particles in the different targets were taken from the work of Barkas and Berger³⁰ and were corrected for range extension and the effect of scattering on the range by the techniques described therein.

G. Results of the Calculation

Before comparing the theoretical calculations with the data, we first discuss some facets of the calculations themselves. Figure 8 shows the spin of the residual nucleus and the alpha-emission probability as a function of the stage of evaporation for O^{16} on Al at the highest energy and angular momentum calculated. Since the liquid drop moments of inertia used are larger, on the average, than that of a sphere, the rotational energy is smaller and hence the effects of angular momentum are smaller. As a result the angular momentum is not removed as rapidly for this case and the residual nucleus is left with an excessively large 41 units of angular momentum. The fact that we do not require the removal of this angular momentum (and thus do not really conserve energy) might have been anticipated when we expanded the level density exponent in (11). By using only the first term in this expansion, we underestimate the effect of rotation when U_R becomes comparable to U . If the correct density were used the integrations leading to (14) could not be done



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Fig. 8. Spin of the residual nucleus and probability of alpha-particle emission as a function of evaporation stage for evaporation from the compound nucleus produced with an initial spin of $48.2 \hbar$ by 167-MeV O^{16} on Al.

explicitly and the numerical calculations would have become prohibitive. We would expect intuitively that where the effects of rotation are large, they should be larger and where they are small, they should become large on the latter stages of the evaporation. It should be noted that errors made near the end of the evaporation, such as those discussed here and in Section C, affect only the low-energy parts of the spectra of the dominant particles.

Referring again to Fig. 8, we see that the alpha-emission probability is considerably enhanced by the larger rotational energy. This occurs because the alphas are capable of removing more angular momentum for a given amount of energy than the lighter particles. Still heavier particles are inhibited too strongly by the energetics. It can also be seen that the stronger rotational coupling enhances the emission of higher-energy particles, which penetrate the angular momentum barrier more easily. Since more energy is removed at a given stage, the nucleus evaporates for fewer stages.

The calculated yields are compared with the experimental results in Fig. 3 through 7. Calculations have been made with the liquid drop and spherical moments of inertial and for no rotation (infinite moment of inertia). For the heavier elements, for which rotational effects are small, the liquid drop calculations were omitted. The general trend of disagreement with increasing target mass may be interpreted as a relative increase in direct reactions, which are known (from the angular distribution measurements by Britt and Quinton³¹) to dominate the spectra for O^{16} on Au. We would emphasize that this effect is relative and is due to the decrease in the evaporation of charged particles over neutrons

rather than an increase in the direct component.

The higher-energy products from oxygen on aluminum (Figs. 3 and 4) are seen to be fairly well described by the liquid drop calculation. Though these calculations overestimate the yields of lithium isotopes, these are strongly influenced by the form of barrier penetration used, and the curves are almost an order of magnitude greater here than in our previous calculations,¹ for which classical theory was used.

Calculations for the Ni target are compared with the results of this experiment in Fig. 5 and with the experiment of Knox, Quinton, and Anderson in Figs. 9 and 10. It is immediately seen that the angular distributions of 16-MeV alphas in the backward hemisphere (Fig. 9) are not explained by the present calculations. Whether this is due to inadequacies of the assumptions used in the calculation, as mentioned previously, or is due to some mechanism in which all nucleons do not participate is not clear. The data do suggest an effect that is primarily rotational, owing to the approximate symmetry about 90 deg in the center of mass and the large peaking fore and aft.³²

The alpha spectrum at 90 deg in the center of mass is compared with the data of reference 32 in Fig. 10. For this comparison we have decreased the calculated curves to about $2/3$ their value. We see that a small shift in the peak energy still exists and we would not rule out a barrier lowering due to nuclear distortion suggested in that reference. The fact that we predict a larger cross section at 90 deg is not surprising, since it is primarily the anisotropy which we expect to be incorrect rather than the total emission probability.

Though the charged particles emitted from oxygen on gold are controlled by direct mechanisms, we point out the calculated enhancement of low-energy

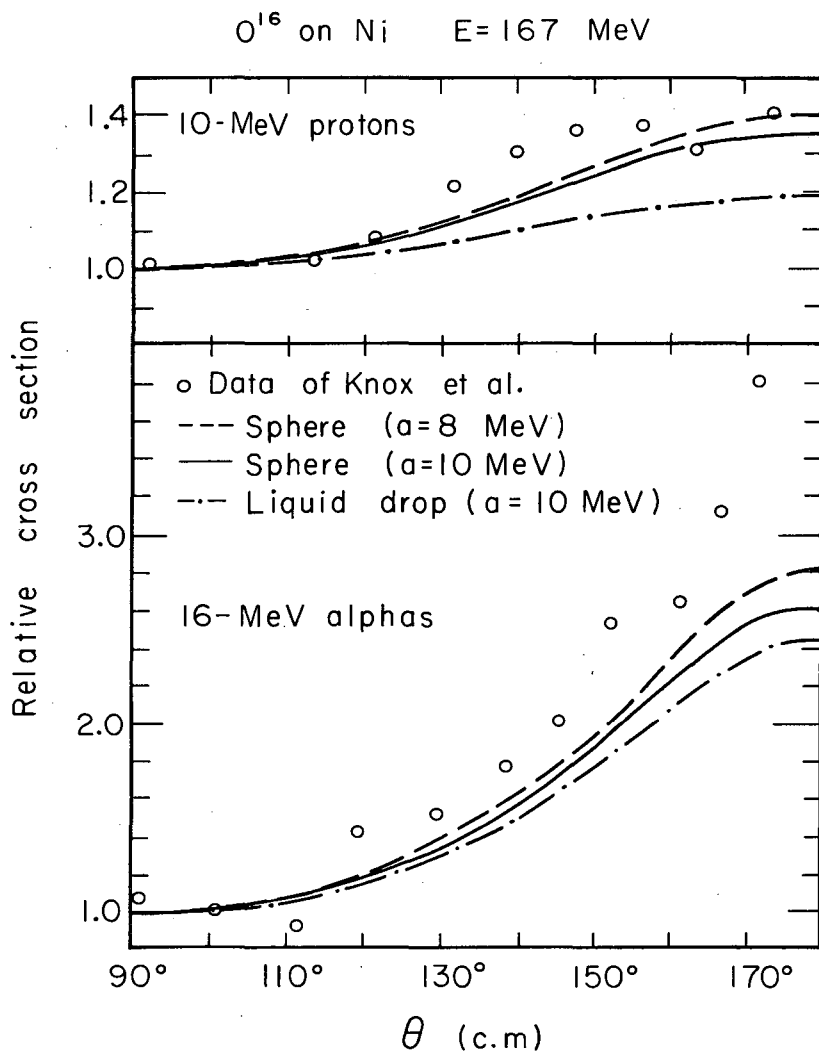
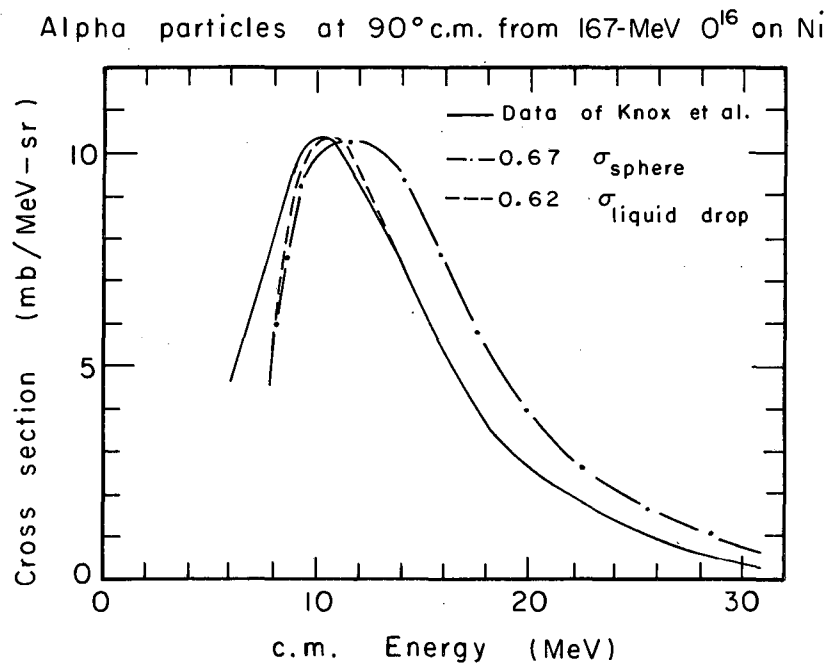


Fig. 9. Center-of-mass angular distributions of protons and alpha particles from 167-MeV O^{16} on Ni for spherical compound nuclei with temperature constants of 8 (dashed curves) and 10 MeV (solid curves) and for compound nuclei with shapes predicted from liquid drop theory (dot-dashed curves). Data are from reference 28.

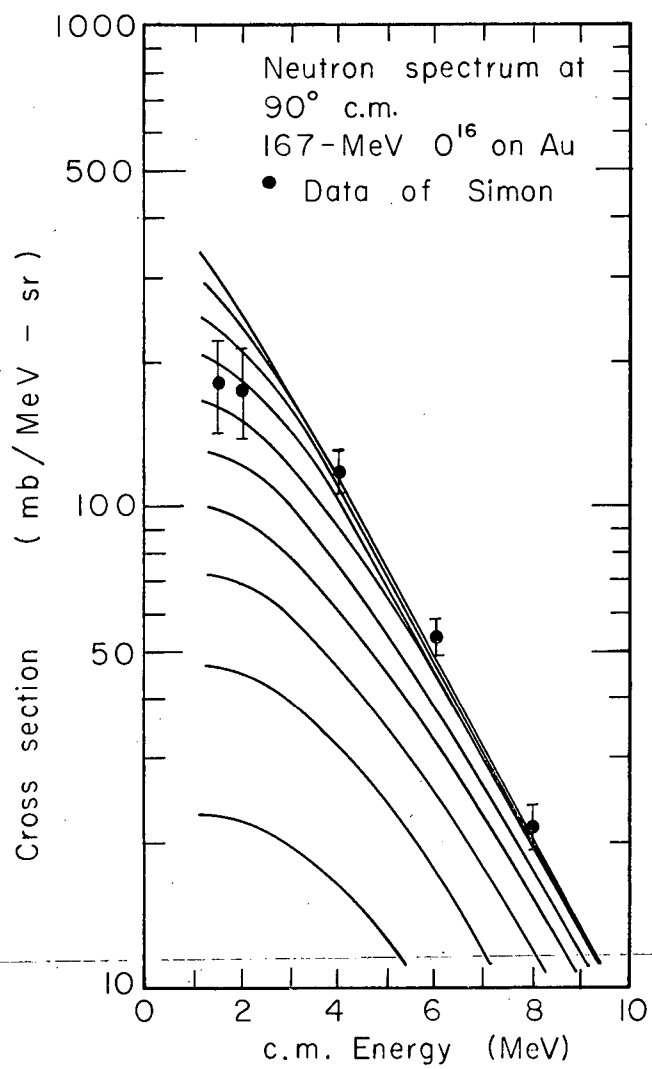


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Fig. 10. Alpha-particle spectra at 90 deg in the center of mass from 167-MeV O^{16} on Ni. The solid curve is taken from reference 28 and is compared with the normalized theoretical spectra for liquid drop nuclei (dot-dashed curves) and spherical nuclei (dashed curve).

alpha particles in the case in which rotation is included. This increase reflects an attempt of the nucleus to rid of its angular momentum in the later stages of evaporation by favoring the alpha particles over neutrons. Though this effect is of academic interest in this case, it is exactly this same effect which causes the nucleus to fission instead of emitting neutrons.

To investigate the competition of neutron emission and fission, we have compared Simon's neutron cross sections³³ with the evaporation calculations for spherical nuclei (the rotation has little effect on the neutron spectra here). In Fig. 11 these cross sections are presented after each stage has made its contribution to the evaporation at 90 deg in the center of mass. The calculated anisotropy is 1.08, and varies little with neutron energy and evaporation stage. This value is to be compared with the observed values listed in Table III. Simon argues that, contrary to the observations, the anisotropy of neutrons emitted from fission fragments would be expected to increase with neutron energy. The higher-energy neutrons (which are characteristic of the earlier stages of evaporation) agree in cross section and anisotropy with evaporation before fission. Simon argues from the mean energy of the observed neutrons that the assumptions leading to an anisotropy of about 1.56 for 8-MeV postfission neutrons should be correct. If we assume that the compound nucleus evaporates three stages of 8-MeV neutrons at an anisotropy of 1.08, and that the rest of the observed cross section at this energy comes from postfission neutrons of anisotropy 1.56, we find that the observed anisotropy should be 1.29. A similar calculation for four stages of prefission neutrons gives an anisotropy of 1.22. This



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Fig. 11. Neutron cross sections at 90 deg in the center of mass from 167-MeV O^{16} on Au after each successive stage of evaporation. Data are from reference 29.

latter value is within one standard deviation of the observed value, so that we would expect at least four stages of neutron emission, on the average, before fission. Since the low-energy part of the spectrum has a greater anisotropy than expected (possibly due to the spin of the fragments) we have probably underestimated the postfission neutron anisotropy.

Table III. Neutron spectrum at 90 deg c.m. and anisotropy (from Simon, reference 33).

Energy (MeV)	Cross section at 90° (mb/MeV - sr)	Anisotropy, $\sigma(0^\circ)/\sigma(90^\circ)$
1.5	183 ± 39	2.05 ± 0.32
2.0	176 ± 37	1.81 ± 0.32
4.0	119 ± 14	1.16 ± 0.19
6.0	53.8 ± 4.5	1.047 ± 0.145
8.0	21.9 ± 2.1	1.099 ± 0.158

Though most of the low-energy postfission neutrons are not emitted at 90 deg, but are to be seen in the forward and backward directions, we would estimate conservatively from the lower-energy portion of Fig. 11 and the associated anisotropies that not more than six neutrons, on the average, are evaporated before fission.

The calculated spectra for protons agree with the low-energy experimental values on about the fifth stage, and thus support the above arguments. We should further point out that any incorrect assumptions made about the angular momentum effects or multiple emission will probably not influence these results significantly, since the rotational energy is small and we are concerned only with the first few stages of emission.

Most of the integrals in the calculations were computed by Gaussian quadratures, which are considerably more efficient than other standard methods but lend themselves less readily to analysis of calculational errors. In order to determine these errors typical calculations were compared with a Simpson's rule routine which increased the numbers of points calculated to achieve a desired accuracy.³⁴ From this comparison, the order of the Gaussian integration was chosen and a scheme was determined so that the limits of integration could be adjusted to encompass only the region of maximum contribution. Errors in the calculated cross sections, due to the calculational methods, alone, are never expected to exceed 10%, and are usually considerably more accurate. The calculated yields could be in error by up to 15% where the yields themselves are small, but in most cases are well within a few percent.

IV. DIRECT PROCESSES

The large number of competing mechanisms that we categorize as primarily direct processes³⁵ makes any sort of quantitative analysis of their spectra very difficult. When single-nucleon transfer is known to occur or when the transferred nucleons may be treated as a single particle, analysis of the reaction has been successful.³⁶ It has been pointed out, however, that reactions that appear to be single-particle transfer can be strongly influenced by competing processes and by initial- and final-state interactions.³⁷

Owing to this inherent complexity we have subjected the direct products observed here to an analysis based only on the energetics and the gross features of the spectra. In this spirit the triangle at the bottom of the graphs for hydrogen and helium isotopes indicates the energy at which these particles would appear if they were stripped from the oxygen at the same velocity it had as it entered the target at 167 MeV. None of these isotopes produced from the heavier targets is inconsistent with this interpretation.

The spectra of the hydrogen isotopes from O^{16} on Ni seem to show an inflection (which cannot be explained by the errors) in the region where they begin to depart from the calculated curve. This inflection suggests the presence of a competing mechanism which is either direct in nature or is a type of reaction characterized by a higher temperature, as suggested in the previous section.

Lithium isotopes have been treated on the basis of two assumptions. In either case they are presumed to be produced by the division of the

full-energy O^{16} into a lithium and the equivalent of a boron nucleus, each of which gets a proportion of the available energy based on its mass.

We then assume

- (a). The remaining boron is captured by the target nucleus.
- (b). The boron exists, as such, and is left free to continue into the target material.

The energy at which the lithium would appear under the first assumption is indicated by a diamond in Figs. 3, 5, 6, and 7; the lithium energy under the second assumption is indicated by a square.

A striking feature of the lithium spectra is that where the direct reactions are resolved they occur with roughly equal yield independent of the target nucleus. This would indicate that they do indeed result from a breakup of the oxygen. Further, their production must depend strongly on beam energy, as can be seen by comparing the higher-energy Li^8 production from the Al target at the two different beam energies. The low-energy portion of these spectra varies in proportion to the calculated evaporation curves.

Further theoretical and experimental investigation of these direct interaction processes would be of considerable interest, since one might hope to learn more of the details of the particle substructure of nuclei from them.

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only in regions where the function contributed significantly to the integration (this routine was written by Leo Vardas of the Lawrence Radiation Laboratory, Livermore). Using the Gaussian method the calculation took about 15 minutes per target per set of assumptions on the IBM 7094 computer.

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