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1 **Thermal Transitions in Perfluorosulfonated Ionomer Thin-Films**

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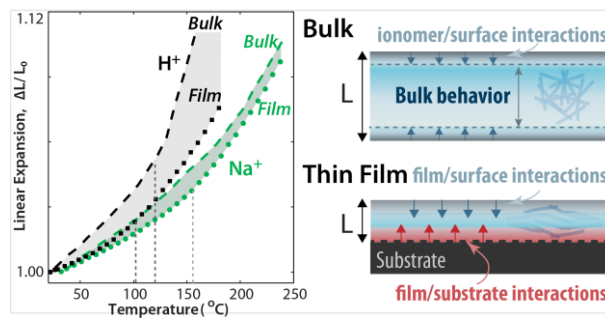
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9 **Abstract**

10 Thin perfluorosulfonated ion-conducting polymers (PFSI ionomers) in energy-conversion devices
11 have limitations in functionality attributed to confinement-driven and surface-dependent interactions.
12 This study highlights the effects of confinement and interface-dependent interactions of PFSI thin-
13 films on gas transport by exploring thin-film thermal transition temperature (T_T). Change in T_T is a
14 key marker of stiffness and chain mobility and has direct implications on gas transport through
15 polymer films. This work demonstrates an increase in the T_T with decreasing PFSI film thickness in
16 acid (H^+) form (from 70 to 130°C for 400 to 10 nm, respectively). In metal (M^+) cation exchanged
17 PFSI, T_T remained constant with thickness. Results point to an interplay between increased chain
18 mobility at the free surface and hindered motion at the substrate interface, which is amplified upon
19 confinement. This balance is impacted by ionomer intermolecular forces as strong ionic crosslinking
20 within the PFSI- M^+ matrix raises the transition temperature over the mainly hydrogen bonded PFSI-
21 H^+ ionomer.

22

23 **Table of Contents (TOC) graphic**

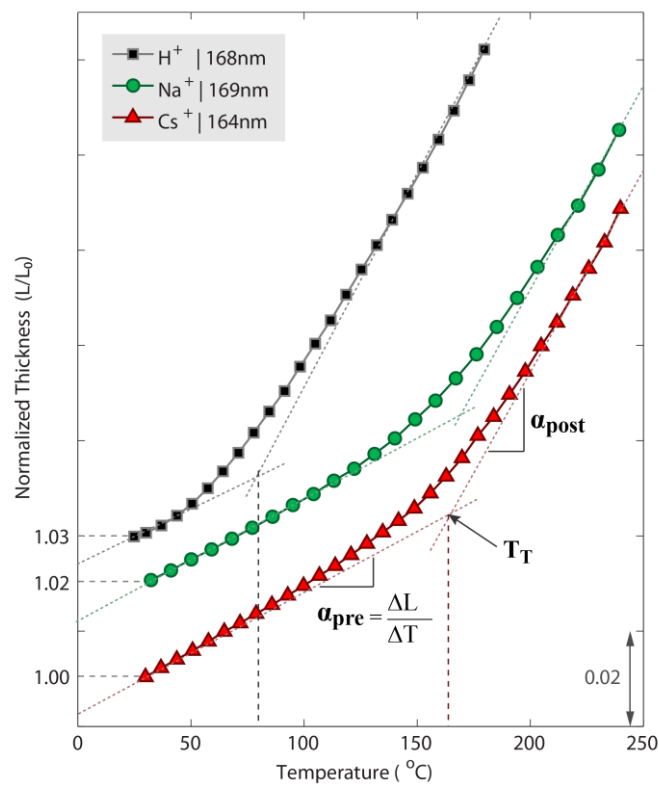


26 Polymer thin-films are increasingly employed in coating applications, gas-separation systems,
27 and energy-conversion devices, expanding the ubiquitous use of polymers, reducing cost, and
28 material waste.¹⁻³ However, deviation of physical properties in thin polymer films (thickness < 100
29 nm) compared to bulk (thickness typically >10 μm) adds significant uncertainty to their utility.⁴⁻⁷ As
30 the polymer film thickness approaches the molecular lengthscale, the influence of local surface and
31 interface effects are amplified, resulting in thin-film structure non-uniformity, stability differences,
32 and variations in dynamics compared to bulk behavior.^{3,8,9} Such is also the case for ion-conducting
33 polymer (ionomer) thin-films employed in polymer-electrolyte fuel cells (PEFCs) and related
34 technologies. Perfluorosulfonated ionomer (PFSI) thin-films of 4 to 100 nm thickness are used as a
35 solid-electrolyte binder in porous electrodes to improve catalytic activity (e.g., by creating pathways
36 for proton transport to carbon-supported platinum in PEFC electrodes).^{10,11} These thin-film ionomers
37 behave dissimilarly from their corresponding bulk behavior, and are responsible for significant mass-
38 transport resistances at the desired high current densities.¹²⁻¹⁴ Studies focusing on nano-confined
39 ionomers have demonstrated the effect of thickness and substrate on morphology and domain
40 orientation that alter an ionomer's proton conductivity on carbon and platinum surfaces.¹⁵⁻¹⁷
41 Additionally, changes in ionomer ion-exchange capacity (IEC, inverse of the equivalent weight
42 (EW)), variability in processing conditions, and differences in surface wettability and interaction have
43 already been proven to impact mechanical properties and water-uptake capacity of ionomer thin-
44 films.¹⁸⁻²² Following these trends, it is reasonable to presuppose similar nano-confinement effects on
45 gas permeability to explain the aforementioned inferred ionomer thin-film gas-transport resistances.²³
46 However, a direct correlation of gas transport to confinement-driven parameters in ionomer thin-films
47 has yet to be established.

48 Gas transport through dense polymers involves the dissolution of gas at the membrane surface
49 followed by a transport process which is driven by a chemical potential gradient through the
50 membrane and mediated by polymer chain mobility.²⁴ One broad physical descriptor correlating
51 polymeric material structure with gas permeability is the glass-transition temperature, T_g . As a proxy
52 for polymer chain relaxation and segmental motion, T_g is correlated to molecular packing and
53 available free volume impacting the gas-transport process.²⁵ In a highly confined system, like that of
54 an ionomer thin-film coating an electrocatalyst, T_g can serve as a characteristic thermodynamic
55 transition of structural relaxation correlating confinement with gas transport.³ Thus, quantifying the
56 transition temperature and elucidating the interplay between chain mobility and gas transport in nano-
57 confined ionomers is the focus of this study.

58 A major challenge in utilizing T_g as a single marker for relaxation behavior, as is frequently
59 done in neutral homopolymers, is the presence of multiple thermal transitions (T_T 's) in bulk PFSI and
60 their long-debated nature and source.²⁶⁻²⁸ PFSIs are random copolymers composed of hydrophobic
61 tetrafluoroethylene backbone units and perfluorovinyl ether side chains containing an ionic end group
62 $-\text{SO}_3\text{H}$.^{29,30} A consequence of this nanophase separated, complex ionic structure is the presence of
63 four thermal transitions (γ , β' , β , α) that describe the mechanical and relaxation behavior of PFSI. T_γ
64 (-100 to -80°C) occurs due to local short-range motion in the backbone $-\text{CF}_2-$ chains independent of
65 counterion type (SO_3-M).^{26,28} β' and β relaxation have been attributed to ether side-chain motion and
66 main-chain motion in PFSI network, respectively.^{28,31} T_β relaxation is considered equivalent to the T_g
67 in classical glassy polymers, which marks the onset of transition from glassy (brittle) to rubbery
68 (viscous) behavior. For hydrogen-bonded PFSI in H^+ form, β relaxations have been reported to be
69 around -60 to 23°C .²⁹ However, exchanging H^+ with different counterions (M^+) results in distinct
70 dynamic-mechanical analysis peaks for the ether side-chain $T_{\beta'}$ (near -20 to -30°C) and ionomer

71 matrix, T_β (around 130 to 170°C).^{27,28} The final thermal transition, α -relaxation, T_α (87 to 120°C in
 72 H^+ form and 210 to 240°C in various M^+ form),^{32,33} is attributed to relaxation of the clustered ionic
 73 domains scattered within the nonpolar ionomer matrix. Although the transition temperatures are
 74 discussed as distinct relaxations, they are likely to have coupled influence on gas permeation by
 75 impacting segmental mobility, intramolecular packing, and free volume.²⁴ Taking this fact into
 76 account, this study aims to develop understanding of confinement-driven changes in gas transport of
 77 PFSIs (Nafion and 3M PFSA) via transition temperature measurements in the 30 to 250°C range,
 78 simply referred to as T_T herein. Using supported ionomer thin-film model systems, the dependence
 79 of T_T on intermolecular forces and chain mobility is explored via metal counterion exchange (Na^+ ,
 80 Cs^+).



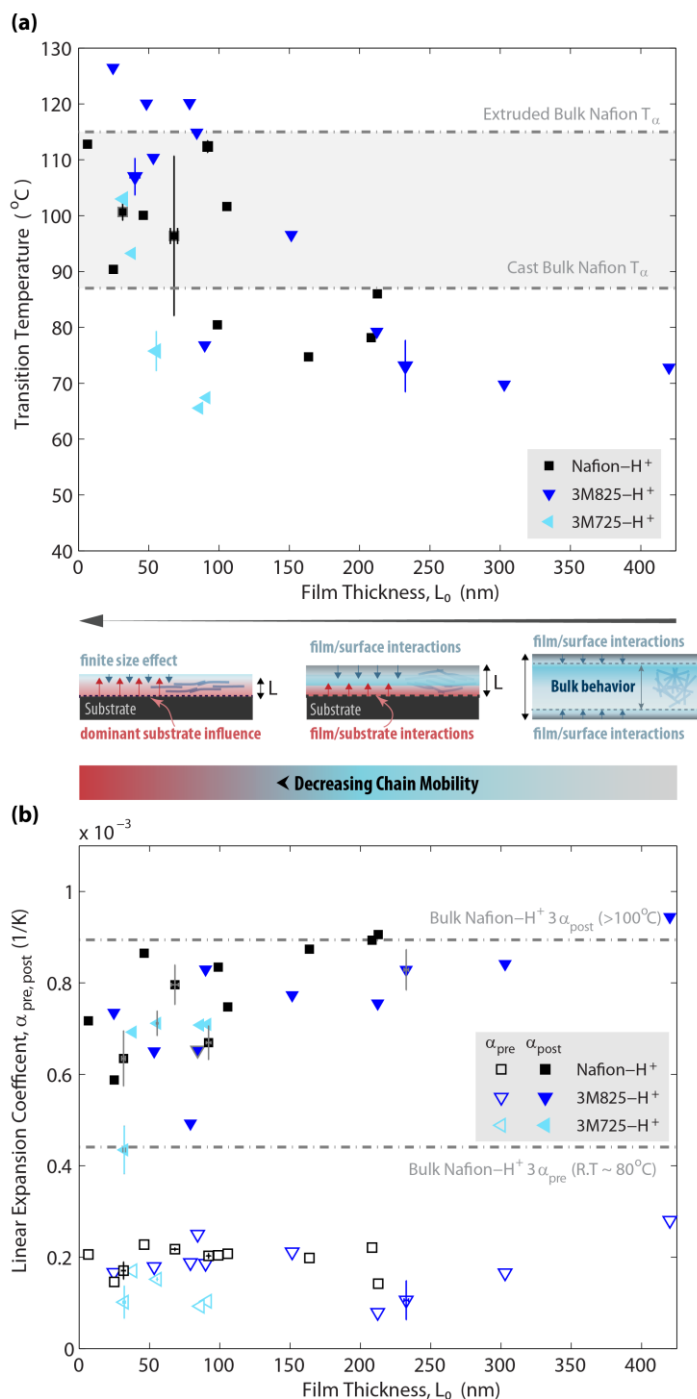
81 Figure 1: Change in normalized thickness (L/L_0) with respect to dry thickness (L_0) during cooling used for calculating
 82 transition temperature (T_T) and pre- and post- transition expansion rate (α_{pre} and α_{post}). Curves are offset in the
 83 y-axis for improved visibility.
 84

85 T_T in thin-film polymers can be obtained via volume expansion,³⁴ segmental mobility,³⁵ and
86 viscoelasticity³⁶ tracking techniques. Fig. 1 shows normalized thickness profile of Nafion thin-films
87 in acid (H^+) and cationic (Na^+ and Cs^+) forms obtained via *in-situ* heated cell ellipsometry (see
88 expanded details in SI). A change in the rate of thickness expansion at a specific temperature is
89 defined as the PFSI T_T . Linear thermal-expansion coefficients (α_L) in pre- and post-transition
90 temperature regimes are calculated via

$$91 \quad \alpha_L = \frac{1}{L_o} \frac{\Delta L}{\Delta T} \quad (1)$$

92 where L_o is the ambient, dry thickness and ΔL and ΔT represent change in thickness and temperature,
93 respectively. Fig. 2 shows T_T and pre- and post- α_L of PFSI- H^+ as a function of thickness, extracted
94 from similar measurements shown in Fig. 1. This 1-dimensional α_L is compared to isotropic, 3-
95 dimensional average volumetric thermal-expansion coefficient (α_V) of bulk PFSI to provide context,
96 where $\alpha_V \approx 3 \cdot \alpha_{L,bulk}$.³⁷ Both thin-film T_T and α_L demonstrate no significant correlation with PFSI EW
97 (see Fig. 2). The relative difference between pre- and post-expansion rate and range of T_T observed
98 for thin-film PFSI- H^+ indicates that T_T is likely T_α . The T_T of thin-film ionomers > 100 nm in Fig. 2a
99 are lower than T_α of cast bulk Nafion in H^+ form ($\sim 87^\circ C$),^{29,38} and an increase in T_T with decreasing
100 thickness is observed with some data variability. α_{pre} remains somewhat constant while α_{post} decreases
101 with decreasing thickness (Fig. 2b), consistent with the raised T_T evaluated. Thermal transition and
102 subsequent relaxation behavior in thin-film polymers is governed by chain conformation upon
103 confinement, polymer dynamics at the free-surface/polymer, and substrate/polymer interfacial
104 interactions.³⁹ It is well documented that polymer chains adsorbed at attractive interfaces induce
105 changes in orientation and local packing that results in a decreasing polymer density distribution away
106 from the surface.^{40,41} Spatially opposite to the polymer/substrate interface, the free-surface/polymer
107 interface has unhindered mobility and enhanced configurational freedom, thereby improving long-

108 range motions and lowering the transition temperature.⁴²⁻⁴⁴ High wettability and low surface energy
 109 of the Si/SiO₂ support results in favorable adsorption of the PFSI-H⁺ side-chain moieties^{45,46} resulting
 110 in pinned ionomer chains and increased local packing at the ionomer/substrate interface.



111 Figure 2: (a) Transition temperature and (b) Linear expansion coefficient, α_{pre} and α_{post} , of thin-film PFSI-H⁺ cast on
 112 Si/SiO₂ substrate compared to bulk Nafion linear expansion from Ref. ⁴⁷
 113

114 As a result, PFSI-H⁺ thin-films have significantly restricted chain mobility below the T_T, as is
 115 reflected by the low α_{pre} as illustrated in the schematics in Fig. 2. As the temperature exceeds T_T,
 116 entropically-frozen ionomer chains gain enough thermal energy (kT) to break electrostatic
 117 interactions between PFSI-H⁺ side-chains and substrate, thereby relaxing the substrate-imposed
 118 constraints and resulting in an α_{post} similar to bulk PFSI. With a further decrease in thickness, the
 119 relative contribution of substrate interaction is amplified, resulting in a positive shift in T_T.

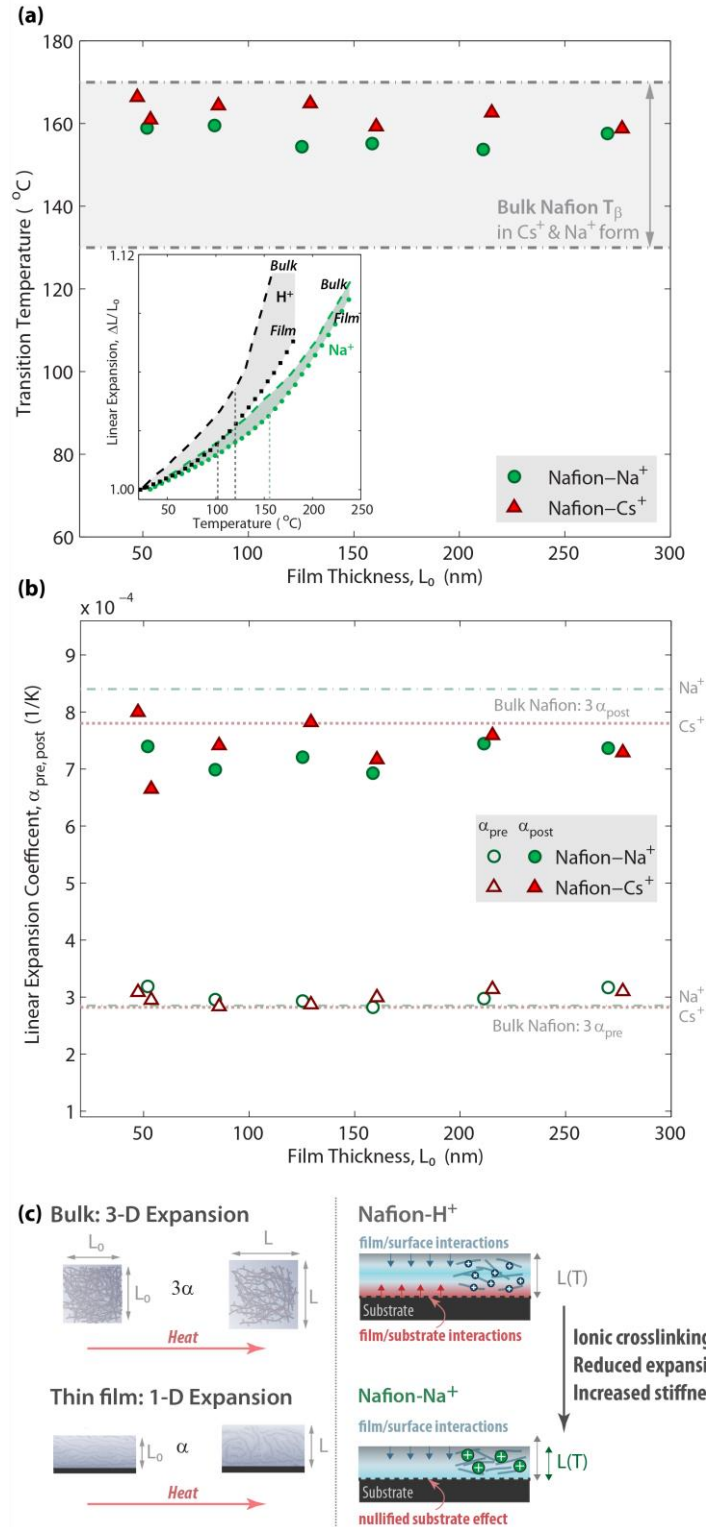
120 Fig. 3 shows T_T, α_{pre} , and α_{post} of ionomer thin-film in Na⁺ and Cs⁺ form as well as bulk film.
 121 Both α_{pre} and α_{post} are similar to the expansion rates observed in bulk Nafion around the β transition.⁴⁷
 122 Here, unlike the PFSI-H⁺ for which T_T was assigned to the α transition, the T_T for PFSI-M⁺ is
 123 attributed to the β transition due to (1) only a single transition temperature observed for PFSI-M⁺
 124 thin-films and (2) the large positive shift (> 100°C) expected in both T _{α} and T _{β} with neutralization, as
 125 reported extensively in bulk PFSI literature and as discussed above.^{26,31,48} PFSI-M⁺ exhibit very small
 126 deviation from bulk T _{β} in the range of film thicknesses explored, contrary to PFSI-H⁺ (Fig. 3a). Table
 127 1 compares PFSI T _{α} and T _{β} reported in literature with substrate-supported thin-film PFSI T_T measured
 128 in this study. Although T_T measured in PFSI-H⁺ and PFSI-M⁺ are speculated to be T _{α} and T _{β}
 129 respectively, detailed spectroscopic and x-ray scattering studies are needed to confirm.

130 Table 1: Comparison of literature reported transition temperatures (T_T) for bulk PFSI in different
 131 forms and thin-film thermal transitions observed in this study.
 132

	T _{β}	T _{α}	Ref.
PFSI-H+ (Bulk film)	-20 to -30°C	87 to 115 °C	27-32
PFSI-M+ (Bulk film)	130 to 170°C	210 to 240°C	
PFSI-H+ (Thin-film)			This work.
>100nm	T _T n.o.	70 to 85°C	
<100nm		70 to 130°C	
PFSI-M+ (Thin-film)			This work.
>100nm	160 to 170°C	T _T n.o.	
<100nm	160 to 170°C		

T_T n.o. = thermal transition temperature not observed in this study.

133



134
135

136 Figure 3: (a) Transition temperature and (inset) bulk vs. thin-film linear expansion PFSI- H^+ vs. PFSI- Na^+ form (b)
137 Linear expansion coefficient, α_{pre} and α_{post} , of thin-film PFSI- Na^+ and PFSI- Cs^+ cast on Si/SiO₂ substrate,
138 compared to bulk Nafion linear expansion from Ref. 47 (c) Illustration of comparative volumetric expansion of PFSI in bulk vs. thin-film and proton (H^+) vs. cation (Na^+) form.
139

140 At this point, it is critical to evaluate results discussed in Fig. 2 and Fig. 3 as complementary
141 portrayal of the segmental mobility dynamics found in PFSI thin-films. High mobility near the free-
142 surface/ionomer interface reduces T_T of PFSI- H^+ thin-films >100 nm relative to bulk as represented
143 schematically in Fig. 2. Upon continued confinement to thicknesses below 100 nm, PFSI- H^+ thin-
144 film T_T increases due to amplified impact of substrate interaction, likely through strong hydrogen
145 bonding between the substrate and PFSI- H^+ . Addition of metal cations increases the strength of ionic
146 crosslinking in PFSI- M^+ matrix, resulting in reduced conformational relaxation and subsequent
147 increase in T_T , as depicted in Fig. 3c. Presumably, strong ion-polymer intermolecular interactions in
148 PFSI- M^+ appear to nullify substrate/ionomer interactions upon confinement such that T_T , α_{pre} , and
149 α_{post} are similar to bulk PFSI- M^+ values regardless of film thickness.

150 This work is the first time spectroscopic ellipsometry was employed to evaluate thermal
151 transitions in PFSI. Findings in this study confirm the strong influence of substrate and free surface
152 upon confinement witnessed in neutralized polymer thin-films.^{3,8,42,49} Increasing T_T with confinement
153 and presence of large univalent counterion points to increased ionomer chain stiffness that could
154 result in reduced gas permeability (see illustrations in Fig. 2 and Fig. 3). In agreement with our
155 findings, a study focusing on Cs^+ and Pt^{2+} ion-exchanged Nafion by Mohamed et al. showed greater
156 free volume thermal expansion in H^+ form.⁵⁰ They also revealed chain-stiffening-induced reduction
157 in gas permeation with counterion exchange despite the higher free volume measured. Confinement
158 and counterion induced chain stiffening has been observed with rise in modulus relative to bulk PFSI
159 and PFSI- H^+ moduli, respectively.^{20,33,51,52} Although the polymer thickness at which the confinement
160 effect commences does not always directly correlate with transition-temperature deviation from the
161 bulk value, the trend in T_g is in accord with the trends in moduli of various thin-film polymers.^{6,53}
162 Similarly, confined (< 100 nm) and cation-exchanged PFSI appear to have impeded chain mobility

163 and increased stiffness induced by substrate and ionic-crosslinking, as evidenced by their higher T_T ,
164 that can ultimately result in reduced gas permeability. Additionally, the magnitude of $T-T_T$ can serve
165 as a proxy for ionomer mobility. A decrease in oxygen permeability with decreasing PFSI thin-film
166 thickness demonstrated in literature^{13,14} tracks closely with $T-T_T$ measured in this study for a given
167 thickness. The utilized ellipsometry technique employs a single variable (thickness variation) to
168 characterize local changes in mobility, which could be delineated further with complementary
169 methods tracking changes in ionomer density and synergistic spectroscopic techniques that can probe
170 molecular fluctuations. Findings in this letter signify the interplay between the substrate interactions
171 and ionic interactions controlling the thermal transitions in confined ionomer films, which could be
172 harnessed to understand and tune ionomers' gas transport properties and their functionality in porous
173 electrodes of electrochemical energy conversion devices.

174

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184

185 **Supporting Information:**

186 Expanded experimental details are provided as Supporting Information.

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