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Transient Cell Cycle Induction in Cardiomyocytes to Treat Subacute Ischemic Heart Failure

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R.R.E.A. and A.M.S.: experimental design, collection and analysis of molecular and cellular data, manuscript writing, and final approval of the manuscript; Q.O.: heart cutting, staining, and imaging; X-L.T.: Pig and rat surgery, viral injection, echocardiography; M.S. and K.M.K.: echocardiography and MRI analyses; Y.G., Y.H., and Y.N.: Mouse surgery, and viral injection. L.M., P.K.L., and B.G.H.: Metabolic analysis; K.C., and R.T.: Bioinformatics analyses; B.M.A. and J.S.: Electrophysiology analysis; H.R.J., A.S., Z.I., and S.H.: histology and analyses including staining, imaging, and quantifications. D.J.C. designed and conducted the toxicity assays in plasma. A.S.E.: MRI imaging quantification; K.N.I and D.S.: conception, design, and provided funding of the early experiments; R.B.: Design and supervision of rat and pig experiments; T.M.A.M.: conception and design of the overall work, and provided funding. All authors have contributed to the manuscript writing and final approval of the manuscript.

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Abstract

Background: The regenerative capacity of the heart after myocardial infarction (MI) is limited. Our previous study showed that ectopic introduction of Cdk1/CyclinB1 and Cdk4/CyclinD1 complexes (4F) promotes cardiomyocyte proliferation in 15-20% of infected cardiomyocytes *in vitro* and *in vivo* and improves cardiac function after MI in mice.

Methods: Here, using temporal single-cell RNAseq we aimed to identify the necessary reprogramming stages during the forced cardiomyocyte proliferation with 4F on a single cell basis. Also, using rat and pig models of ischemic heart failure, we aimed to start the first preclinical testing to introduce 4F gene therapy as a candidate for the treatment of ischemia-induced heart failure.

Results: Temporal bulk and single-cell RNAseq and further biochemical validations of mature hiPS-CMs treated with either LacZ or 4F adenoviruses revealed full cell cycle reprogramming in 15% of the cardiomyocyte population at 48 h post-infection with 4F, which was mainly associated with sarcomere disassembly and metabolic reprogramming (n=3/timepoint/group). Transient overexpression of 4F, specifically in cardiomyocytes, was achieved using a polycistronic non-integrating lentivirus (NIL) encoding the 4F; each is driven by a TNNT2 promoter (TNNT2-4Fpolycistronic-NIL). TNNT2-4Fpolycistronic-NIL or control virus was injected intramyocardially one week after MI in rats (n=10/group) or pigs (n=6-7/group). Four weeks post-injection, TNNT2-4Fpolycistronic-NIL treated animals showed significant improvement in left ventricular ejection fraction and scar size compared with the control virus treated animals. At four months after treatment, rats that received TNNT2-4Fpolycistronic-NIL still showed a sustained improvement in cardiac function and no obvious development of cardiac arrhythmias or systemic tumorigenesis (n=10/group).

Conclusions: This study provides mechanistic insights into the process of forced cardiomyocyte proliferation and advances the clinical feasibility of this approach by minimizing the oncogenic potential of the cell cycle factors thanks to the use of a novel transient and cardiomyocyte-specific viral construct.

Keywords

Gene therapy; cell cycle; heart failure; cardiomyopathy; metabolism; sarcomere

Introduction

The mammalian cell cycle involves numerous feedback loops that either permit or prevent cell division depending on the cell type^{1, 2}. Although fetal myocytes proliferate to achieve cardiac growth and tissue-specific stem cells undergo cytokinesis postnatally, differentiated cells typically become post-mitotic and permanently exit the cell cycle³. As a result, the regenerative capacity of most organs, including the heart, is limited. The ability to control proliferation in the postnatal heart would represent a powerful approach to promote cardiac repair after infarction.

Recently, we took a combinatorial approach to screen for factors and conditions that could recapitulate the fetal state of cardiomyocyte proliferative activity. We found that

ectopic introduction of the Cdk1/CyclinB1 and the Cdk4/CyclinD1 complexes (4F, i.e., four factors) promoted cell proliferation in at least 15% of mouse and human cardiomyocytes *in vitro*⁴. Rigorous assessment of cardiomyocyte cytokinesis *in vivo* using the Cre-recombinase dependent Mosaic Analysis with Double Markers (MADM)⁵ lineage tracing system revealed similar efficiency in mouse hearts, leading to cardiac regeneration upon delivery of the 4F one week after myocardial infarction⁴. Moreover, *in vitro* and *in vivo* results show that myocytes undergo only one round of division after transduction with these cell cycle factors because the overexpression of the 4F in cardiomyocytes is self-limiting through proteasome-mediated degradation of the protein products⁴. Interestingly, a recent study showed that AAV-mediated expression of microRNA-199a in infarcted pig hearts could initially stimulate cardiac repair through induction of cardiomyocyte proliferation; however, subsequent persistent and uncontrolled expression of the microRNA resulted in sudden arrhythmic death of most of the treated pigs⁶. Our approach is currently one of the most robust methods for inducing cardiomyocyte proliferation; however, the timing, dosage, and specificity of this therapy's expression must be tightly controlled. Therefore, there is a need to transiently and specifically express 4F in cardiomyocytes to induce one cycle of proliferation to avoid any adverse effects in the heart and other tissues if escaped.

Uncertainty regarding the mechanisms underlying the functional improvement observed with cell-based therapies is a significant limitation of these approaches and led to skepticism about their clinical applicability (reviewed in^{7, 8}). Therefore, prior to starting any translational efforts, we first sought to clearly delineate the cell cycle reprogramming events that occur in the cardiomyocytes following 4F cell cycle induction on transcriptomic levels at a single cell level before we moved on to test its clinical applicability in rodent and large animals. Here, we identified the need for sarcomeric disassembly and metabolic reprogramming during the cell cycle reprogramming process, especially at the mitotic phase of the cell cycle. These findings provide an essential foundation that enables one to ascribe subsequent cardiac functional improvements to the generation of new cardiomyocytes. Then, we provided the first proof-of-concept evidence for this approach's clinical applicability of the cell cycle factors using a novel transient and cardiomyocyte-specific viral construct in rat and pig models of subacute heart failure.

Methods

We made our genomics data available to other researchers as all sequencing data are deposited in GEO. Bulk RNAseq from hiPS-CMs is under accession number: GSE174309 (reviewer access token (wdkfoyoijzsnziv)). Bulk RNAseq from P7 neonatal cardiomyocytes is under accession number: GSE184595 (reviewer access token (cxwbccwsbheptkh)). Single-cell RNAseq is under accession number: GSE162326 (reviewer access token (wnyrgaesbbadrix)).

Animal experiments

All animal procedures were in accordance with the institutional guidelines of the University of Louisville and approved Institutional Animal Care and Use Committee. **Due to space limitations, please see Supplemental methods for detailed methods.**

Statistical Analyses

For all assays, power analyses were performed to choose the group sizes, which will provide >80% power to detect a 10% absolute change in the parameter with a 5% Type I error rate. These power analyses indicated a minimum of 4 experimental replicates per group; therefore, we used a range of 4 – 15 experimental replicates per group for each assay. Special statistical consideration for RNAseq was detailed under the methods section. The Kolmogorov-Smirnov (K-S) test for normality was conducted; all data sets showed normal distribution. Then, differences between the two groups were examined for statistical significance with unpaired Student t-tests. However, to compare data consisting of more than two groups, we performed one- or Two-way ANOVA tests followed by Bonferroni post hoc multiple comparisons to get the corrected p-value. A value of $P < 0.05$ was regarded as significant, and error bars indicate standard deviation (S.D.). The person who performed the analysis was blinded to the experimental groups. Two independent clinical cardiology fellows who were blinded to group assignments analyzed the echocardiography and MRI data, and the data represented are the average of their analyses. Usually, there were no significant discrepancies between the two readings.

Results

Temporal bulk and single-cell RNAseq of mature hiPS-CMs reveals that cell cycle reprogramming is associated with sarcomere disassembly and metabolic reprogramming during forced cell cycle induction

Previously, we reported that 4F induces at least 15% of mouse and human cardiomyocytes *in vitro* and *in vivo* to undergo proliferation within the first 48 h post-infection⁴. This high percentage of proliferating cells within the bulk population provided confidence that temporal bulk RNAseq could identify the significant transcriptional reprogramming events during the progress of cardiomyocyte through the cell cycle at a transcriptional level. Therefore, to investigate the transcriptional changes during cell cycle progression, we conducted temporal bulk RNAseq on 60-day-old mature hiPS-CMs treated with either LacZ (control) or 4F adenovirus for 24, 48, 72, or 144 h. Overexpression of the 4F induced several transcriptomic changes peaks at 48-72 h post-infection when proliferation is prominent, and they return to normal gene expression 144h post-infection with 4F as shown by the principal component analysis (PCA) (Fig. 1a & Source Data 1). Therefore, we focused our further analysis on the 48-72 h time points when the proliferation is prominent. Comparing the global gene expression at the 48 h time point between the 4F and LacZ control group, there are over 3700 genes that are differentially expressed, as demonstrated by the volcano plot (Fig. 1b & Source Data 1). The gene ontology (GO) analysis of the differentially expressed genes between the 4F-48-h group and the LacZ-48-h group showed significant upregulation of genes involved in cell cycle progression (Fig. 1c & Source Data 2). Furthermore, there is a time-dependent upregulation of cell cycle activation genes following 4F overexpression, which peaks at 48 and 72 h post-infection (Fig. 1d, Supplemental Fig 1a–b & Source Data 1–3). This cell cycle reprogramming was associated with transient downregulation of the sarcomeric and contractile genes at 48 h post-infection and started to decline back at 72 h and completely normalizes to control levels after 144 h (Fig. 2a–b, Supplemental Fig 1c & Source Data 1–3). These data suggest that cardiomyocytes need to withdraw from their

primary function of contraction during the cell cycle to enter the cell cycle. Furthermore, bulk RNAseq from P7 neonatal mouse cardiomyocytes treated with either LacZ (control) or 4F adenovirus for 24, 48, or 72 h replicated the cell cycle reprogramming and contractile gene downregulation observed in hiPS-CMs (Supplemental Fig 2a–h & Source data 4–5).

These transcriptomics changes were functionally confirmed by using time-lapse impedance contractility tracing. We found that cardiomyocyte contractile force declined significantly during proliferation (48 h post-infection), which coincided with the appearance of arrhythmic episodes; normal contractile force and rhythm returned to baseline levels 84 h post-viral infection (Fig. 2c). Furthermore, during the G2/M phase, especially during anaphase or cytokinesis, the sarcomeric structures were disrupted, as shown by troponin-T Immunostaining of the sarcomeres (Fig. 2d). The impedance-based force measurements indicate the contractile force generated by the monolayer sheet of cardiomyocytes, which could have a mixed signal from proliferating and non-proliferating cardiomyocytes. Therefore, we investigated the sarcomeric disassembly implication on the electrophysiological properties and ion current in cardiomyocytes during proliferation. The decrease of the *Scn5a* transcript suggested a possible change in resulting I_{Na} , but the transient nature of the *Scn5a* decrease, along with the knowledge that transcript levels do not necessarily predict levels of functioning protein, motivated us to assess I_{Na} . Mean I_{Na} density tended to increase compared to LacZ (Supplemental Fig. 3a), but the difference between lacZ and the 4F 48 or 72 h time points was not significantly different. Cell capacitance was also not different (Supplemental Fig. 3b). We also assessed the voltage-dependence of steady-state inactivation because there is a development shift of the inactivation midpoint ($V_{0.5}$) whereby $V_{0.5}$ becomes more negative with embryonic heart maturation⁹. As with current density, there was no significant difference caused by 4F (Supplemental Fig. 3c), though there is a trend towards a more negative $V_{0.5}$ (Supplemental Fig. 3d). These data suggest that 4F does not necessarily reduce excitability, and there is an unexpected tendency for larger current with more mature properties in cells treated with 4F for 48 and 72 h compared to control cardiomyocytes.

To further investigate in detail the transcriptional modifications during cardiomyocyte proliferation at a single-cell level, we conducted a temporal single-cell RNAseq of 60-day-old mature hiPS-CMs infected with either LacZ (control) for 48 h or 4F adenovirus for 24, 48, and 72 h. Gene expression data were collected from ~7000 cells/condition as summarized UMAP blots (Fig. 3a). All cells were positive for cardiac markers (TNNT2, TNNC1, and MYH7) (Fig. 3b), which indicates the purity of the hiPS-CMs population as they were selected after differentiation using an α -MHC-Blastocidin selection cassette. Most of the cardiomyocytes demonstrated high expression of the ventricular cardiomyocyte genes and were mostly negative for atrial markers (Supplemental Fig. 4 a–b). The lack of cell cycle activity of control hiPS-CMs was confirmed by the lack of expression of any cell cycle genes in the LacZ infected cells (Fig. 3c).

Interestingly, a unique cell population that appears 48 h after infection with the 4F expressed high levels of mitosis/cytokinesis genes (Ki67, Aurora Kinase A and B, E2F1, CDC20, ANLN, TK1, CCNA2, PLK1, and PCNA); this population was identified as the mitotic population (Fig. 3c). Consistent with our published data⁴, this population represents ~15%

of the total cardiomyocyte population expressing 4F (Cluster 4, 1143/7269 cells) (Fig. 3d). Trajectory analysis using the MONOCLE algorithm for pseudo time trajectory suggested that the 24 h population (cluster 3) developed into the pre-mitotic 48 h subpopulation (cluster 5), which progresses to the 48 h mitotic subpopulation (cluster 4). Then cluster 4 progresses to the 72 hours mitotic population (cluster 6), which then projects back to the quiescent state (cluster 2, 7, 8, and 9). Finally, these quiescent cells could progress to cluster 1, which is the unique population in the LacZ group (Fig 3e). To predict the pseudo time projection of cluster 1 and the origin of cluster 3, we used scmap¹⁰ (a bioinformatics tool for unsupervised projection of single-cell RNA-seq data). Clusters 1 and 2 were used as the reference atlas, and cluster 3 as input data to be queried. If a cell in cluster 3 was assigned to cluster 1 based on similarity of gene expression, we assumed that it originated from cluster 1. The scmap trajectory analysis indicated that out of the 1195 cells in Cluster 3, 1191 cells have originated from Cluster 1 (Fig 3e). This was in line with the fact that Cluster 1 is the unique population of cardiomyocytes in the LacZ control group [1026 cells out of 6761 cells (~15%)] that disappears after treatment with 4F; and instead, Cluster 3 appears as a unique cluster with similar cell numbers that appears 24 h after 4F transduction. Comparison of the gene expression profiles of this primed population (cluster 1) with the quiescent cells (cluster 2) in the LacZ control sample shows significantly higher levels of 377 genes, many of which are involved in mitochondrial energy generation (Supplemental Fig 5a & Source data 6). These data suggest that cells with higher initial oxidative capacity and energy charge may be primed to proliferate, especially given that biosynthesis is an energy-demanding process¹¹. While investigating the biological significance of these new bioinformatic analyses, we have found that one of the major characteristics of cluster 1 is the expression of CD36 (the fatty acid internalization receptor) (Supplemental Fig 5b) which could be involved in increasing the energetic capacity of the cells by internalizing extra fatty acids. Therefore, we attempted to knock down CD36 in hiPS-CMs; this significantly inhibited the 4F induced cell cycle activation (Supplemental Fig 5c–e). To confirm that CD36 is essential in priming primary cardiomyocytes to proliferate and that these findings are not specific for the hiPS-CMs, we used isolated P7 neonatal cardiomyocytes from CD36 knockout mice. These cardiomyocytes showed significantly less cell cycle activation following 4F cell cycle induction (Supplemental Fig 5f–h). These data suggest that cells with higher CD36 expression can be primed to proliferate.

We performed GO term analysis for the differentially expressed genes between each cluster across the pseudo time trajectory. These GO term analyses showed that cluster 3 (24h post-infection) compared to cluster 1 (LacZ starting population) exhibited differential regulation of the genes involved in ribosomal translational processes, which is likely necessary for translating new proteins to initiate the cell cycle and for building new daughter cells (Source data 7). Compared to cluster 3, cluster 5 (48h pre-mitotic subpopulation) has significantly higher expression of cell cycle genes and lower expression of the cardiac sarcomeric genes, which is evidence of the start of cell cycle engagement (Source data 8). Furthermore, a comparison of gene expression between pre-mitotic (Cluster 5) and mitotic subpopulations (Cluster 4) from the 4F 48 h samples revealed upregulation of the cell cycle genes and downregulation of sarcomeric gene expression in the mitotic subpopulation (Supplemental Fig 6a & Source data 9). Compared with the quiescent population (Cluster 8) from the same

sample (48 h post-infection), this unique population of mitotic cardiomyocytes (Cluster 4) shows significant upregulation of the cell cycle program and significant downregulation of the sarcomeric genes as well as the mitochondrial electron transport chain genes (Supplemental Fig 6b & Source data 10). This is an indication of the metabolic shift that needs to occur during mitosis to downregulate catabolic activities and upregulate biosynthetic pathways, a process that was validated biologically in our recent publication¹². Furthermore, this mitotic subpopulation of cells at 48 h (Cluster 4) showed higher cell cycle gene expression compared to its 72 h counterpart (Cluster 6) (Source data 11). Finally, there was no significant differential gene expression of genes between the four quiescent populations (clusters 2, 7, 8, and 9).

Transient expression of the 4F using non-integrating lentivirus system for gene therapy

The mechanistic insights gained from the temporal transcriptomics studies of the 4F-mediated induction of complete cell cycle reprogramming in cardiomyocytes encouraged us to standardize this approach to treat heart failure. Our previous studies using adenoviruses provided proof of principle for the efficacy of our approach⁴; however, the use of adenovirus is not clinically applicable because of the high prevalence of immune response in humans. Furthermore, the induction of long-term cell cycle activity in the heart may become oncogenic and have deleterious effects on the heart⁶. Therefore, we started to develop and optimize a strategy for 4F delivery and transient expression using a non-integrating lentivirus (NIL). NIL is known for its high infection efficiency and transient expression of the encoded protein, which is limited to 2-3 days, followed by a significant decline in expression¹³⁻¹⁶. Several ongoing clinical trials are aiming to treat various diseases with the lentivirus gene therapy approach¹⁷. This rapid degradation kinetics makes NIL an optimal delivery vehicle for the 4F. Additionally, when using NIL, it is important to target only cardiomyocytes by controlling the 4F expression with the cardiac-specific troponin-T promoter (TNNT2), which we previously used to track direct cardiac reprogramming¹⁸.

First, to assess the *in vitro* kinetics of the gene expression using the proposed viruses, we compared the ability of the CMV early enhancer/chicken beta-actin (CAG) promoter and the TNNT2 promoter to drive the expression of green fluorescent protein (GFP) within the context of integrating lentivirus (IL) and NIL over days in hiPS-CMs. hiPS-CMs infected with CAG-GFP-IL and CAG-GFP-NIL showed high infection efficiency and gene expression as early as 48 h post-infection. In contrast, hiPS-CMs infected with TNNT2-GFP-IL and TNNT2-GFP-NIL did not show high expression until 96 h post-infection, indicating the slower kinetics of the TNNT2 promoter compared to the CAG promoter. However, CAG-GFP-NIL or TNNT2-GFP-NIL kept the expression for only 72 h post-peak, consistent with previous reports¹³⁻¹⁶ (Supplemental Fig. 7a-c). Then, to investigate the specificity of the TNNT2 promoter to drive the protein expression only in cardiomyocytes, we infected non-cardiomyocyte cells (kidney cells, HEK293), mouse coronary artery endothelial cells (MCAEC), cardiac fibroblasts, and muscle cells (H9C2 and C2C12 myoblasts) with TNNT2-GFP-IL or CAG-GFP-IL (as a control). CAG-GFP-IL resulted in GFP expression over time in all cell types tested at 2, 4, 8 days post-infection; in contrast, TNNT2-GFP-IL did not show any significant GFP expression in any tested cell types (except the cardiomyocytes) up to eight days post-infection. These data suggest that the

TNNT2-promoter drives the gene expression specifically in cardiomyocytes (Supplemental Fig. 7d–e).

Polycistronic TNNT2-4F-NIL induced cardiomyocyte proliferation *in vitro* and *in vivo*

Each cell cycle factor of the 4F was cloned into a lentivirus backbone under TNNT2 promoter (4F separate lentiviruses); also, all 4F were cloned in one polycistronic lentivirus backbone with each factor is driven by a TNNT2 promoter (4F polycistronic lentivirus) (Supplemental Fig. 8a). First, we assessed each cell cycle factor's protein expression four days post-infection in hiPS-CMs using western blot. TNNT2-4Fpolycistronic-NIL was significantly more efficient in inducing higher protein expression of all the cell cycle factors compared to the TNNT2-4Fseparate-NIL (Supplemental Fig. 8b–c). Therefore, we only used TNNT2-4Fpolycistronic-NIL for further experiments. TNNT2-4Fpolycistronic-NIL showed 80-100% infection efficiency as assessed by immunofluorescence (Supplemental Fig. 8d–e). Four days post-infection with TNNT2-4Fpolycistronic-NIL, we found that 15-20% of the cardiomyocytes were positive for 5-ethynyl-2'-deoxyuridine (EDU), which marks new DNA synthesis and histone H3 phosphorylation (PHH3), which marks cells in the G2/M phase (Fig. 4a–b). Furthermore, the total cell number was increased by 20-30% (Fig. 4b). Assessments 10 days post-infection showed that cell number increase and EDU labeling for the divided nuclei persisted; however, the PHH3 was abolished, indicating the transient nature of the cell cycle induction in the cardiomyocyte and the likelihood that TNNT2-4Fpolycistronic-NIL induced only one cycle of proliferation.

To test the efficacy of the TNNT2-4Fpolycistronic-NIL in inducing cardiomyocyte proliferation *in vivo*, we used a cardiomyocyte cytokinesis lineage-tracing animal model (inducible α -MHC-Cre::MADM-lineage-tracing)^{4, 5, 19, 20}. In these lineage-tracing mice, cardiomyocytes that undergo cytokinesis produce daughter cells that are either red, green, yellow (red+green), or colorless, based on allelic recombination of fluorescent reporters; if the cardiomyocytes fail to divide, they will remain double-colored (i.e., yellow), or colorless if no recombination occurs. Thus, the presence of single-colored red or green cells definitively indicates cells that have undergone cytokinesis, although dividing cells are underrepresented by single-colored cells because double-colored (yellow) or colorless cells also could have divided. Animals were subjected to a 60-min occlusion of the left anterior descending artery followed by reperfusion, then one week later, TNNT2-4Fpolycistronic-NIL or LacZ-NIL (control) was injected intramyocardially (Fig. 4c). Tamoxifen injection was carried out as described in⁴, starting 48 h after the virus injection for three days to initiate recombination events. Mice were sacrificed one week after the viral injections, and hearts were sectioned to enumerate the cytokinesis events. All surgeries, imaging, and microscopy analyses were blinded about treatment, and animals were decoded after all data were analyzed. The analysis was done on ten different sections from each heart across the whole myocardium. After intramyocardial injection of TNNT2-4Fpolycistronic-NIL, it showed at least 15% of the recombinant cardiomyocytes were single-colored, compared to <1% in hearts injected with control virus (Fig. 4d–e).

TNNT2-4Fpolycistronic-NIL improves cardiac function in a rat model of subacute heart failure

Before starting *in vivo* functional studies, we sought to validate that TNNT2-NIL drives cardiomyocyte-specific expression of the 4F *in vivo*. Therefore, TNNT2-4Fpolycistronic-NIL or GFP-NIL control virus were injected intramyocardially at five different sites, and the rats were sacrificed six days post-injection. Western blotting and Immunostaining confirmed the expression of 4F in the rat hearts six days after injection (Supplemental Fig. 9a–d). Furthermore, RNA expressions of the overexpressed human CDK1, CDK4, CCNB, and CCND in rat hearts were only detected in the heart and not in the other organs six days post-viral injection (Supplemental Fig. 10a). It should be noted that for this experiment, each heart was split longitudinally into one half for immunofluorescence, one quarter for RNA extraction, and another quarter for protein extraction. The immunofluorescence in Supplemental Figure 9c–d shows the protein expression is localized at the injection sites; however, away from the injection sites, there is no expression. However, western blots demonstrate protein expression in a quarter of the heart where the expression of the over-expressed protein in the injection sites is diluted by the other tissue, which is away from the injection site. Despite this dilution, according to the quantification, western blots show at least a 50% increase in the protein expression over the control.

Then we started to test the effects of TNNT2-4Fpolycistronic-NIL on cardiac function following cardiac damage *in vivo*. Rats were subjected to a 2-h coronary occlusion followed by reperfusion. One week later, TNNT2-4Fpolycistronic-NIL or control TNNT2-GFP-NIL was injected into the peri-infarct region of the heart. Rats were followed for four weeks and then sacrificed, and the cardiac tissue was processed and analyzed (Fig. 5a). The TNNT2-4Fpolycistronic-NIL-treated group exhibited a significantly higher left ventricular ejection fraction four weeks post-viral injection compared to the control group, as assessed by blinded echocardiography, as well as a significant improvement in heart function compared to the starting EF values before injection (Fig. 5b). Comparing the absolute EF values between groups (Fig 5b) could be misleading because not all the animals started from the same EF value one week after I/R. Therefore, we compared the change in EF in each animal before and after the treatment (delta EF); this analysis showed a significant difference in delta EF between the TNNT2-4Fpolycistronic-NIL-treated group and the group that received control TNNT2-GFP-NIL (Fig. 5c).

Consistent with the improvement in cardiac function, histological analyses of the hearts revealed an approximately 30% reduction in the scar size in hearts treated with TNNT2-4Fpolycistronic-NIL compared to control hearts (Fig. 5d–e & Supplemental Fig 11a). Interestingly, the assessment of cell size at the border and remote zones showed a significant reduction in the cardiomyocyte cross-sectional area (Fig. 5f–g). As the virus was injected in the border zone, the reduction in cardiomyocyte cross-sectional area could be due to the induction of proliferation; however, the reduction in cardiomyocyte cross-sectional area in the remote zone could be due to improvement in the overall function of the heart and the resultant protection from progression towards dilatation after treatment with TNNT2-4Fpolycistronic-NIL. This hypothesis is supported by the findings that TNNT2-4F-NIL treated rats showed a significant decrease in LVEDd, LVEDs compared to Control-

NIL treated rats, indicating decreased dilatation (Supplemental Fig 11b–c). This was not accompanied by the development of cardiac hypertrophy, as evident by the significant reduction in the HW/BW compared to control-NIL (Supplemental Fig 11d). Furthermore, there is no significant difference in the thickness of neither the interventricular septum nor the left ventricular free wall, as assessed by echocardiography, between the Control-NIL and TNNT2-4F-NIL treated groups, which again indicates lack of hypertrophy (Supplemental Fig 11e–f). Taken together, these data combined suggest that the TNNT2-4F-NIL treatment mainly alleviates the dilated cardiomyopathy remodeling which resulted from I/R compared to the Control-NIL treatment.

Double reporter to permanently label cardiomyocytes activation of aurora kinase B *in vivo* in large animals

Please see the expanded results section (Supplemental Materials) for details.

TNNT2-4Fpolycistronic-NIL induces cardiomyocyte proliferation, preserves cardiac function, and reduces scar size in a porcine model of heart failure

As a proof of concept for our approach's efficacy in inducing transient cardiomyocyte proliferation in large animals, we injected TNNT2-4Fpolycistronic-NIL or control LacZ-NIL into the pig myocardium one week after induction of myocardial infarction with a 90-min coronary occlusion followed by reperfusion (Fig. 6a). The double reporter system was co-injected into the border zone with the therapeutic or control virus to assess the extent of cardiomyocyte proliferation induced by the TNNT2-4Fpolycistronic-NIL.

Four weeks post-treatment, pigs treated with TNNT2-4Fpolycistronic-NIL showed significant improvement in gross heart failure measures such as LW/BW compared to the pigs treated with LacZ-NIL (Fig. 6b). Furthermore, the cardiac functional parameter, ejection fraction, assessed by blinded echocardiography (Fig. 6c) and blinded MRI (Fig. 6d, Supplemental Fig. 14 and Supplemental movies 3–6) demonstrated a significantly higher EF and a greater individual change in EF in animals treated with TNNT2-4Fpolycistronic-NIL compared to control virus-treated pigs four weeks post-treatment. However, compared to the starting EF before injection, at four weeks after injection, the EF in the TNNT2-4Fpolycistronic-NIL treated pigs tended to increase, but the change did not reach statistical significance ($p=0.12$ for Echo and 0.11 for MRI assessment). These findings suggest that the 4F is likely preserved the cardiac function in pigs after I/R. Furthermore, TNNT2-4Fpolycistronic-NIL treated pigs exhibited a 25% reduction in scar size compared to control pigs (Fig. 6e).

TNNT2-4Fpolycistronic-NIL-treated animals showed that 30% of the total labeled cardiomyocytes with the double reporter system at the injection site were GFP positive, indicating the cardiomyocyte mitotic activation. Taking into consideration the overestimation nature of this reporter by 30% for the cytokinetic events, it is likely that the accurate quantification would be that 20% of the cells underwent cytokinesis. In contrast, almost no background proliferation was detected in control virus-treated hearts (Fig. 6f–h), supporting the concept that the improvement in function is due to induction of cardiomyocyte proliferation.

Functional improvement following TNNT2-4Fpolycistronic-NIL treatment is maintained for four months with no obvious systemic toxicity or systemic oncogenicity

To assess the long-term efficacy and toxicity of TNNT2-4Fpolycistronic-NIL *in vivo*, rats were subjected to a 2-h coronary occlusion followed by reperfusion. One week later, TNNT2-4Fpolycistronic-NIL or control TNNT2-GFP-NIL was injected into the peri-infarct region of the heart. Rats were followed up for 16 weeks (Fig. 7a). The rats treated with TNNT2-4Fpolycistronic-NIL demonstrated maintained improvement in cardiac function over the period of 4 months compared to the control group (Fig. 7b). In addition, LW/BW, which is one of the manifestations of heart failure, was significantly improved in animals treated with the TNNT2-4Fpolycistronic-NIL compared to the control group (Fig. 7c). In addition, the significant improvement in the scar size in TNNT2-4Fpolycistronic-NIL treated animals noticed four weeks post-treatment in our earlier experiments was maintained for four months (Fig. 7d). Furthermore, unconscious EKG monitoring for 15 minutes at the end of the experiment did not show any obvious ventricular arrhythmic episodes, nor atrial fibrillation in neither control virus nor TNNT2-4Fpolycistronic-NIL treated rats. However, future studies with conscious telemetry in large animals are needed to assess any arrhythmic potential for this therapeutic approach. We did not find any obvious tumor growth in the visceral cavity nor in tissues collected from the rats after four months of treatment, including liver, kidney, spleen, lung, brain, and skeletal muscle. Furthermore, plasma levels of the major tumor markers AFP and CA-19 showed normal basal levels, which indicates that there is no systemic tumorigenesis developed in these rats four months post-treatment (Supplemental Fig. 15a–b). In addition, the non-specific cell damage marker (LDH) was significantly improved following TNNT2-4Fpolycistronic-NIL treatment compared to the control group (Supplemental Fig. 15c). Lipid profile (Triglycerides, Total cholesterol, HDL cholesterol, and LDL cholesterol), liver function markers (AST, ALT, and Albumin level), Kidney function marker (creatinine) did not show any significant differences between the control NIL and TNNT2-4Fpolycistronic-NIL groups even though there is a trend of improvements in the TNNT2-4Fpolycistronic-NIL treated group especially in the liver and kidney function markers (Supplemental Fig. 15d–k). In addition, we investigated apoptosis in cardiomyocytes at the end of the experiment (16 weeks post-treatment). There was a non-significant trend for decreased apoptosis in the hearts treated with TNNT2-4Fpolycistronic-NIL compared to the hearts treated with the control-NIL virus (Figure 7E–F).

Discussion

Direct induction of the cell cycle using 4F is a promising approach for inducing cardiomyocyte proliferation^{21, 22}; however, it is essential to first understand the mechanism of action of this potential heart failure gene therapy and to tightly control its timing, dosage, and specificity of expression in cardiomyocytes. Here, we describe the essential processes associated with forced cardiomyocyte proliferation following direct cell cycle induction, including sarcomeric disassembly and metabolic reprogramming, in a temporal sequence and at a single cell transcriptomic level. Furthermore, we provide the first proof of concept for the efficacy of this approach in improving cardiac function after infarction in a large animal model using a transient and cardiac-specific gene therapy approach.

For comprehensive discussion of the results and the limitations of the study, please see the expanded discussion section (Supplemental Materials).

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Non-standard Abbreviations and Acronyms

CDK1	Cyclin Dependent Kinase 1
CDK4	Cyclin Dependent Kinase 4
CCNB	Cyclin B1
CCND	Cyclin D1
4F	CDK1, CDK4, CCNB, CCND
hiPSC-CMs	human induced pluripotent stem cell-derived cardiomyocytes
MADM	Mosaic Analysis with Double Markers
EDU	5-ethynyl-2'-deoxyuridine
PHH3	Phospho-Histone H3
GO	Gene Ontology
LacZ	lacZ encodes β -galactosidase enzyme
GFP	Green Fluorescent Protein
TNNT2	Cardiac Troponin T isoform 2
IL	Integrating lentivirus
NIL	Non-integrating lentivirus
CAG	CMV early enhancer/chicken beta-actin promoter
AAV	Adeno-Associated virus

AFP	Alpha Fetoprotein
CA-19	Carbohydrate antigen 19
LDH	Lactate Dehydrogenase
HDL	high-density lipoprotein
LDL	low-density lipoproteins
AST	aspartate aminotransferase
ALT	alanine aminotransferase
HEK293	Human Embryonic Kidney cells
MCAEC	mouse coronary artery endothelial cells
EF	Ejection Fraction
LVEDd	Left Ventricular End-Diastolic Diameter at Diastole
I/R	Ischemia/Reperfusion
LW/BW	Lung Weight/Body Weight
EKG	electrocardiogram
TNNC1	Troponin C1
MYH7	(β)-myosin heavy chain
PCNA	Proliferating Cell Nuclear Antigen
E2F1	E2F Transcription Factor 1
CDC20	Cell Division Cycle 20
TK1	Thymidine Kinase 1
CCNA2	Cyclin A2)
PLK1	Polo Like Kinase 1
ANLN	Anillin Actin Binding Protein
WGA	Wheat Germ Agglutinin
DAPI	4',6-diamidino-2-phenylindole
T.T.C.	triphenyl tetrazolium chloride
dsRed	constitutively fluorescent red fluorescent protein
TUNEL	Terminal deoxynucleotidyl transferase dUTP nick end labeling

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Clinical Perspective

What is new?

- Mechanistic demonstration of the process of forced cardiomyocyte proliferation using a combination of four cell cycle factors on a single-cell level.
- Development of a transient gene therapy using non-integrating lentivirus to target four cell cycle factors to cardiomyocytes to treat ischemic heart failure.
- Demonstrating the efficacy of this transient gene therapy in the treatment of ischemic heart failure in rats and pigs.

What are the clinical implications?

- Advances the clinical feasibility of the four cell cycle factors in the treatment of ischemic heart failure.
- These promising findings in large animals will pave the road for a first-in-human trial to test the efficacy of this gene therapy in patients with ischemic cardiomyopathy.
- Further clinical developments for other transient gene therapies, which could include NIL, modRNA, or direct protein delivery, could be targeted for specific tissues or cell subtypes for therapeutic purposes.

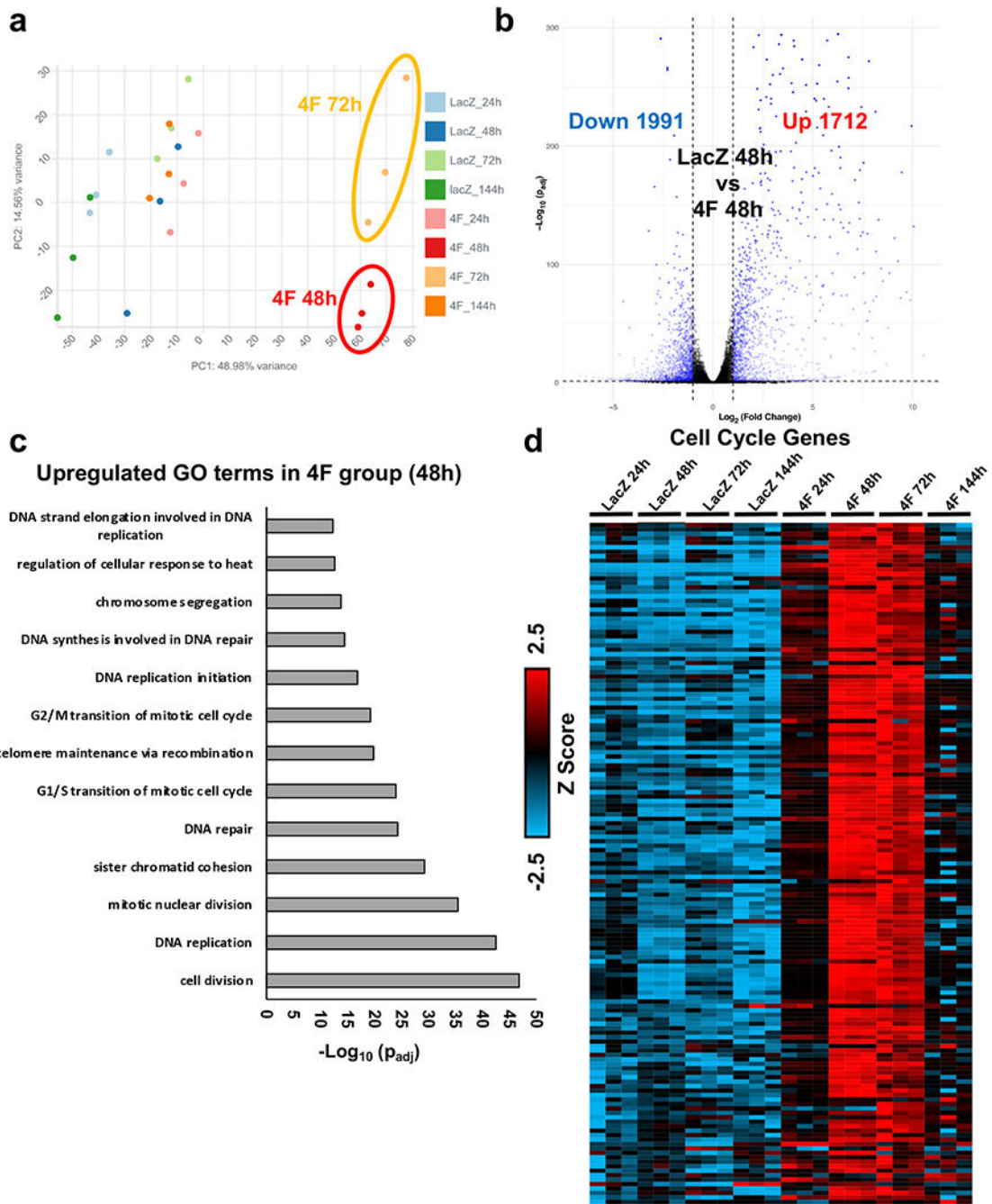


Fig. 1. 4F overexpression induces cell cycle reprogramming in hiPS-CMs.

(a) Principal component analysis (PCA) of RNA-seq data from mature hiPS-CMs (60 day old) infected with either LacZ (control) or 4F for 24, 48, 72 or 144 h (n = 3). (b) Volcano plot demonstrating the number of genes that are significantly upregulated or downregulated 48 h post-4F adenovirus overexpression compared to the LacZ group ($p_{adj} < 0.05$). (c) The bar graph shows the top GO terms for the significantly upregulated genes from RNA-seq data comparing hiPS-CMs infected with either LacZ or 4F for 48 h ($p_{adj} < 0.05$). The GO terms reflect mostly upregulation of cell cycle genes. (d) Row normalized Z score heatmap

shows a temporal expression of over 200 genes related to the cell cycle (n=3 in each sample). Source data 1 lists FPKM values for all RNAseq data and for the heart maps. Source data 2 contains the full list of the GO terms and the genes included in each GO term.

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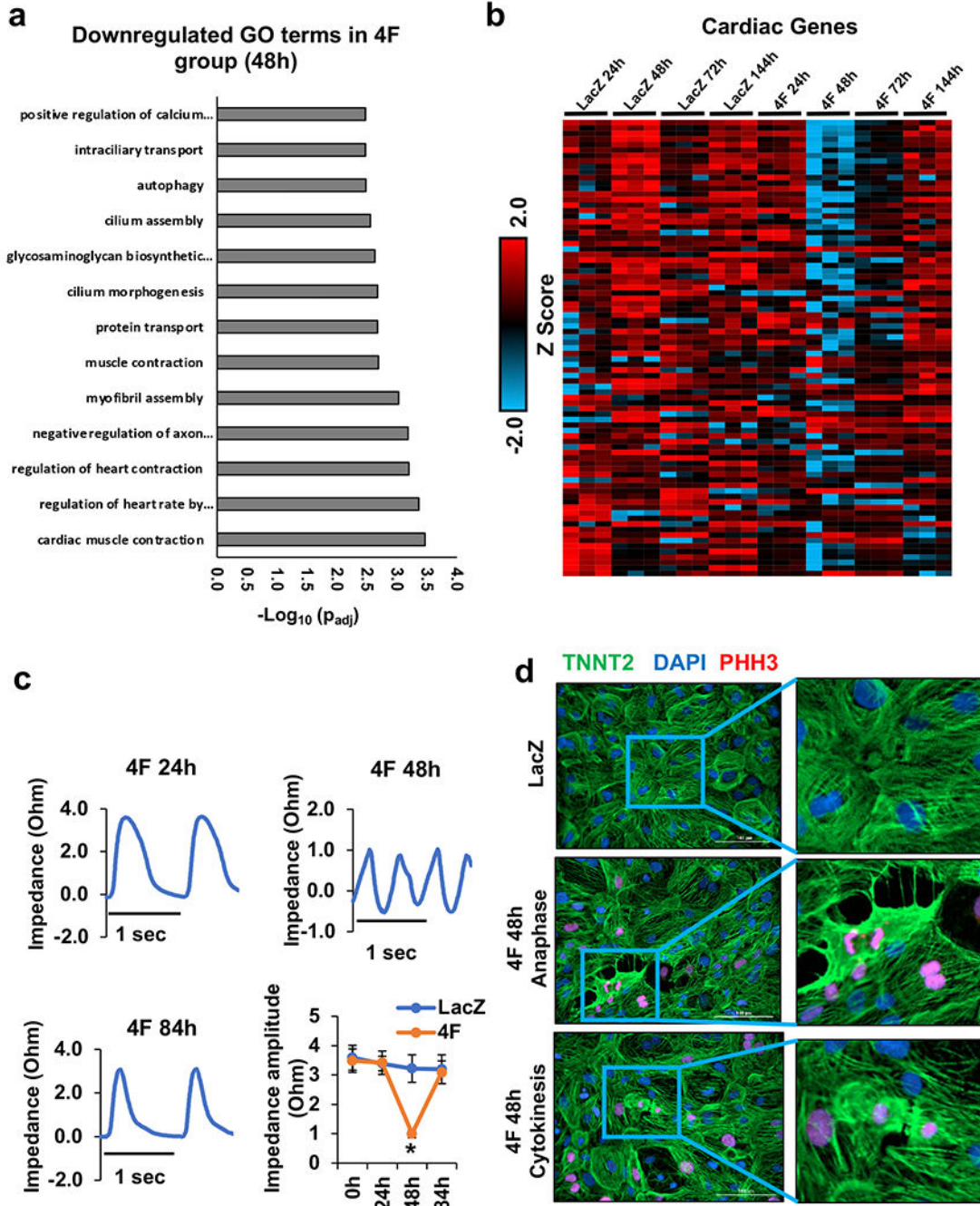


Fig. 2. Overexpression of 4F for 48 h induces sarcomere disassembly in hiPS-CMs.

(a) The bar graph shows the top GO terms for the significantly downregulated genes from RNA-seq data comparing gene expression between the hiPS-CMs infected with either LacZ or 4F for 48 h ($p_{adj} < 0.05$). The GO terms reflect the downregulation of cardiac contractile and sarcomeric genes. (b) Row normalized Z score heatmap shows the contractile and sarcomeric gene expression in a time-dependent manner following 4F expression ($n=3$ in each sample). Source data 1 lists FPKM values for all RNAseq data and for the heart maps. Source data 2 contains the full list of the GO terms and the genes included in each GO term.

(c) Representative traces of impedance recording for contractile function from hiPS-CMs at 24, 48, and 84 h after infection with 4F. The bottom right panel shows the quantification of the contractile force amplitude comparing LacZ- and 4F-treated hiPS-CMs, 24, 48, and 84 h post-infection (n=3 independent experiments each in triplicate, *p<0.05 compared to LacZ treated cells). (d) representative images of sarcomere disassembly during G2/M phase in hiPS-CMs infected with lacZ (top panel), or 4F adenovirus for 48 h (middle (anaphase example) and bottom (cytokinesis example) panels) and stained with antibodies against the sarcomeric protein (troponin-T) (green), G2/M phase marker (PHH3) (red), and nuclear DAPI (blue).

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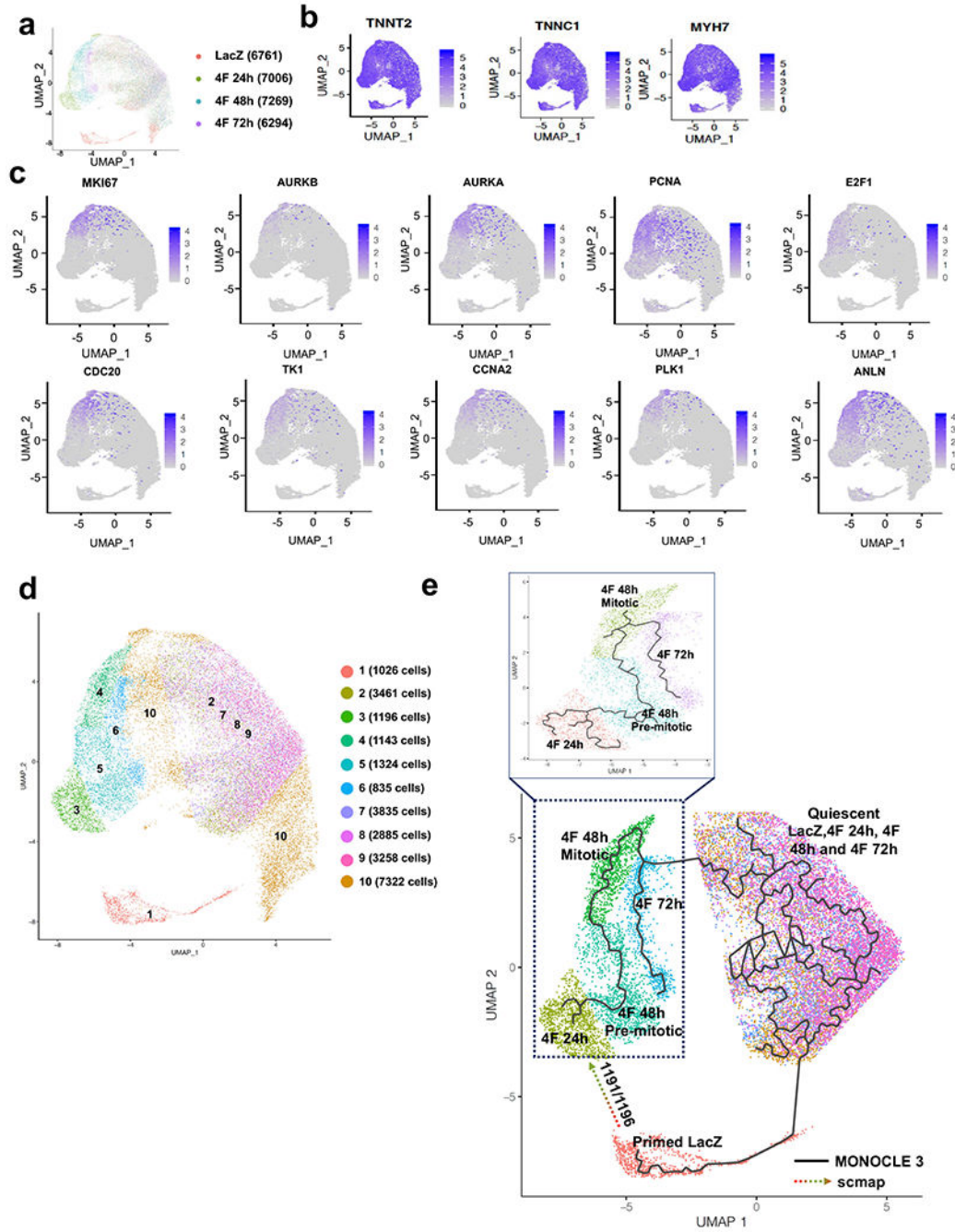


Fig. 3. Single-cell RNAseq identifies a unique mitotic subpopulation that appears at 48h post-infection with 4F.
 (a) UMAP plots considering global gene expression for all single cardiomyocytes sequenced from LacZ (control group) and 4F-overexpressing cardiomyocytes at 24, 48 h, or 72 h after viral transduction (approximately 7000 cells/sample). (b) All cells show high expression of the cardiac markers, TNNT2, TNNC1, and MYH7, indicating the cardiomyocytes' purity. (c) A unique cell population appears only at 48 h post-infection with 4F, which express mitotic/cytokinesis genes; this population was identified as the mitotic population that

expresses high levels of the G2/M markers (Ki67, Aurora Kinase A and B, PCNA, E2F1, CDC20, TK1, CCNA2, PLK1, and ANLN). **(d)** re-clustering the cells according to their expression of the mitotic genes and location of the subpopulation of cells associated with a given time-point in the UMAP space. Consistent with our published data, the mitotic population represents ~15% of the total cardiomyocyte population within the 48 h sample. **(e)** Trajectory analysis using MONOCLE (solid line) and scmap (dotted arrow) algorithms to predict the pseudo time trajectory of each unique population at each time point.

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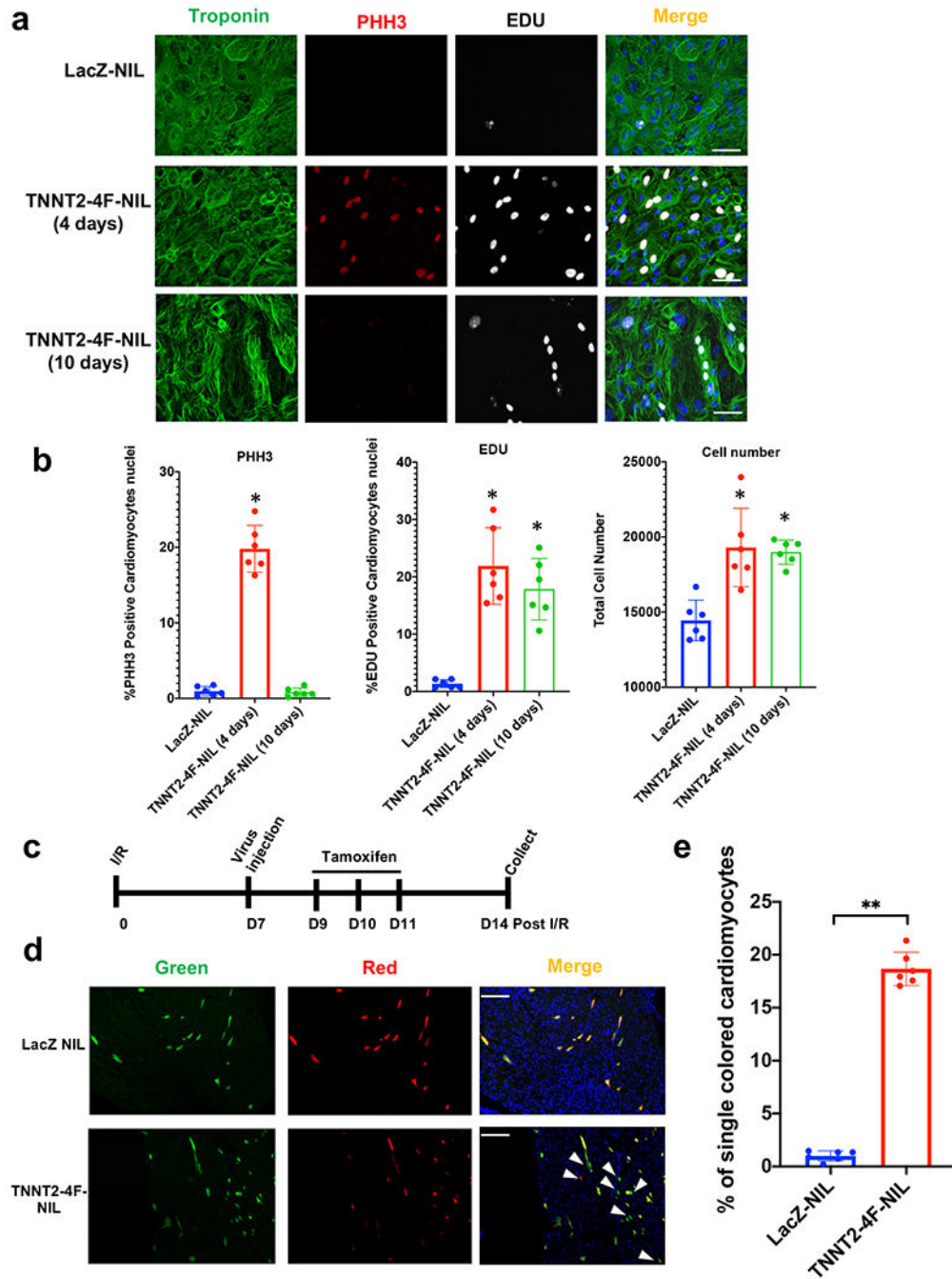


Fig. 4. Transient expression of the 4F using non-integrating lentivirus (NIL) is efficient in inducing cardiomyocyte proliferation *in vitro* and *in vivo*. (a) Representative images of hiPS-CMs treated with LacZ-NIL, TNNT2-4Fpolycistronic-NIL for 4 or 10 days, and immuno-stained for troponin-T (green), PHH3 (red), EDU (gray) (scale bar=100µm). (b) Quantification of percentage increase in proliferation markers (PHH3 and EDU) and total cell number/well (n=6 independent experiments conducted in duplicate, *P<0.05 vs. LacZ NIL, error bars indicate S.D.). (c) Schematic diagram of the experimental design for MADM mice injection with the TNNT2-4Fpolycistronic-

NIL or LacZ-NIL control. **(d)** Representative images show single-colored cardiomyocytes of MADM mice hearts treated with LacZ-NIL or TNNT2-4F-polycistronic-NIL (Scale bar=100 μ m). **(e)** Quantification of the percentage of the single-colored cells to the total labeled cells (n=6 animals per group, **p<0.01 vs. LacZ NIL, error bars indicate S.D.).

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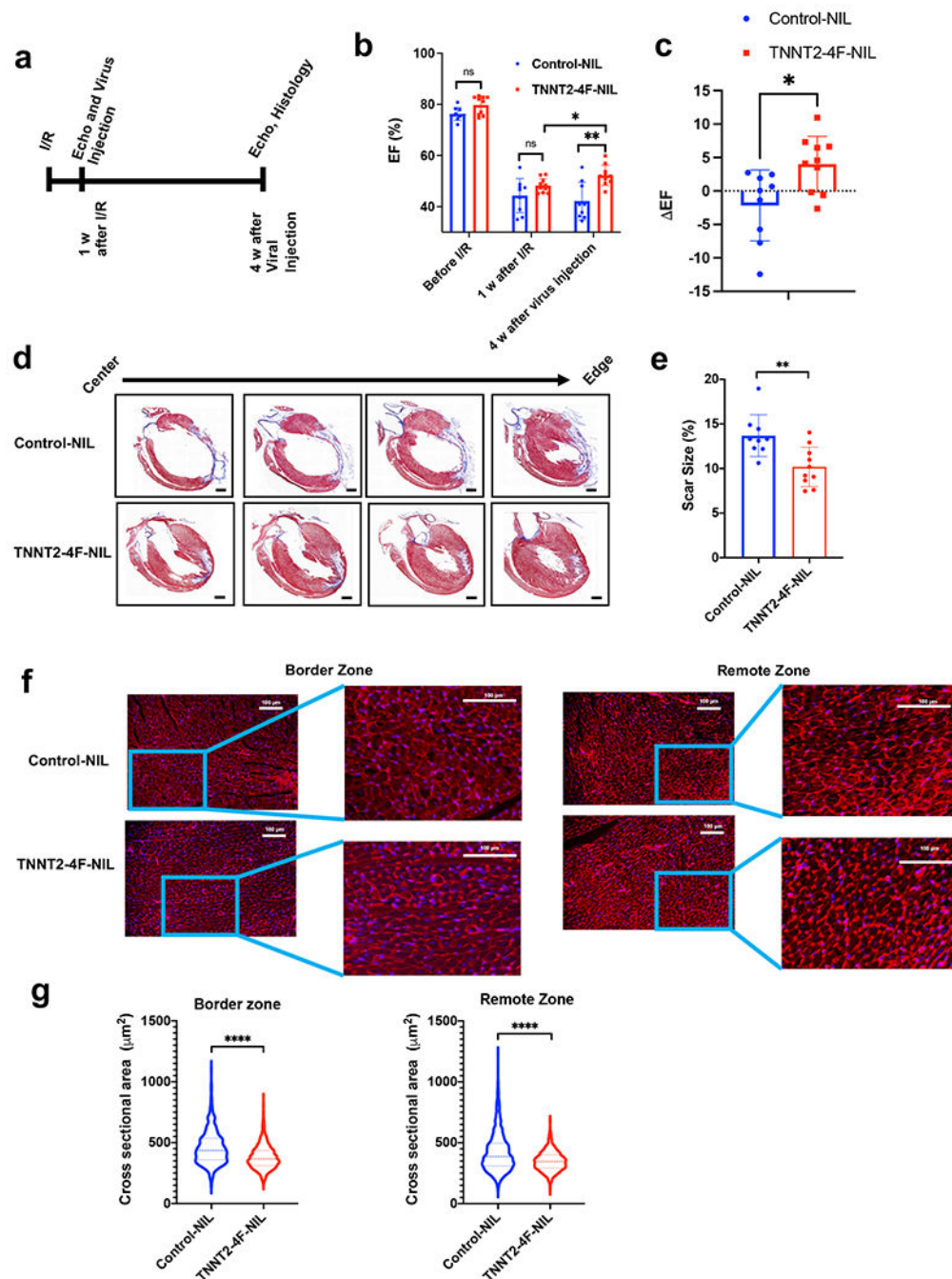


Fig. 5. Transient expression of the 4F using TNNT2-4Fpolycistronic-NIL improves cardiac function and reduces scar size in rats after I/R.

(a) schematic diagram of the experimental design. (b) Ejection fraction (EF), as assessed by echocardiography before Ischemia/reperfusion (I/R), one week after I/R (before viral treatment), and four weeks after viral treatment. (n=9-10 rats per group, *p<0.05, **p<0.01 compared to GFP-NIL control group, error bars indicate S.D.). (c) Quantification of the change in ejection fraction between before and after treatment for each individual rat (n=9-10 rats per group, *p<0.05, compared to GFP-NIL control group, error bars indicate

S.D.). **(d)** Representative images of rat hearts were stained with Masson's trichrome stain (healthy myocardium stains red and fibrotic tissue stains blue) at the end of the experiment (scale bar=2mm). **(e)** The scar size quantification as a percentage of total heart tissue (n=9-10 rats per group, 20-25 heart sections per animal, **p<0.01 compared to the control group, error bars indicate S.D.). **(f)** Representative images of rat heart at the border zone (right panel) or a remote zone (left panel) stained against wheat germ agglutinin, WGA (red), and nuclear DAPI (blue) (scale bar=100 μ m). **(g)** Quantification of the cross-sectional area of cardiomyocytes at border zone (left) or a remote zone (right) (n=1300-1500 cells from each group, 20-25 heart sections per animal ****p<0.0001 compared to control group, error bars indicate S.D.).

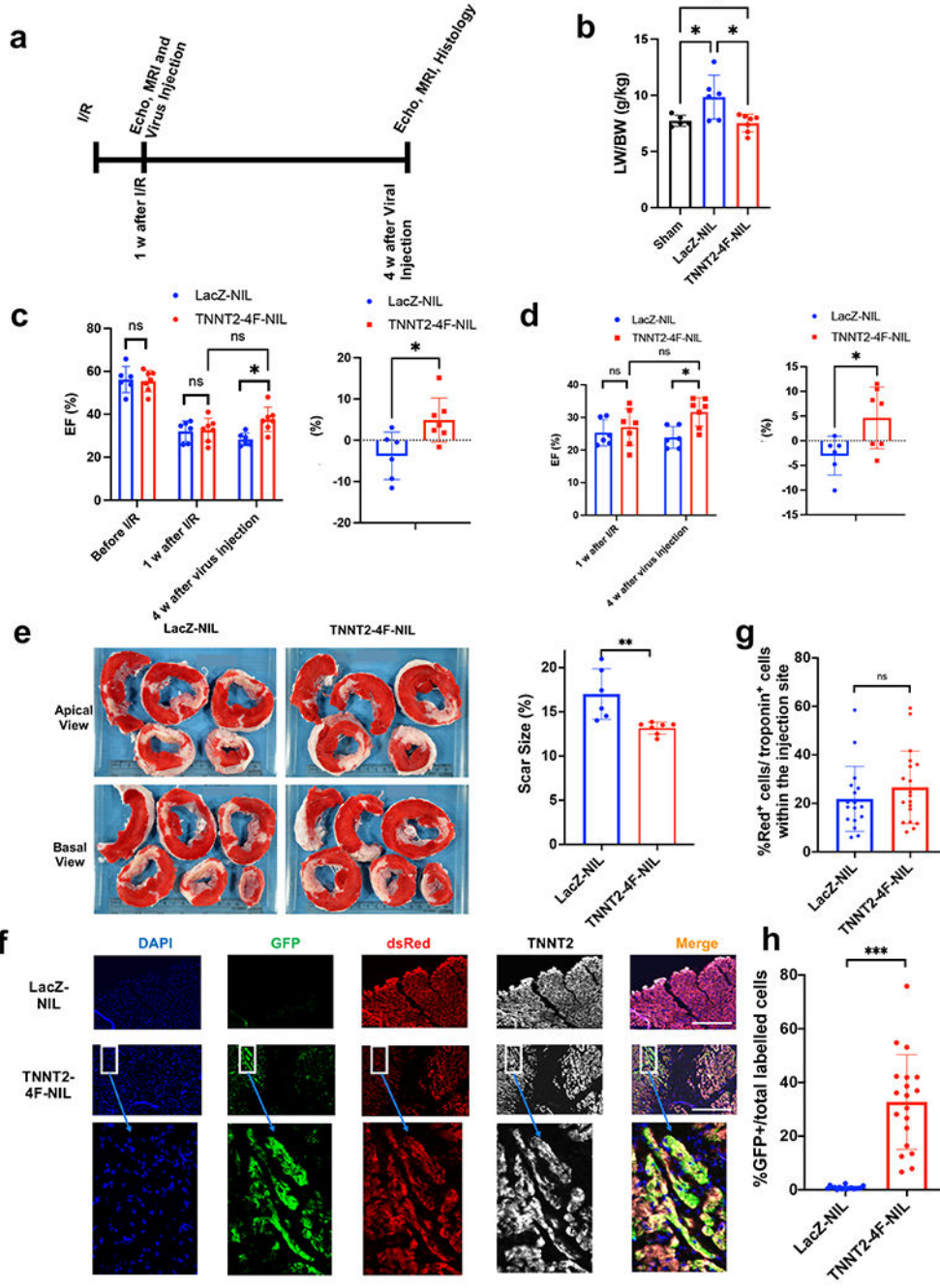


Fig. 6. Transient expression of the 4F using TNNT2-4F polycistronic-NIL improves cardiac function, reduces scar size, and induces cardiomyocyte proliferation in a porcine model of heart failure.

(a) schematic diagram of the experimental design. (b) Quantification of lung weight/body weight ratio (LW/BW). (n=5-7 pigs per group, *p<0.05 vs. control LacZ group, error bars indicate S.D.) (c) Quantification of ejection fraction (EF) as assessed by echocardiography (left panel) before Ischemia/reperfusion (I/R), one week after I/R (before viral treatment), and four weeks after viral treatment. The right panel shows the change in ejection fraction for each pig between before and after the viral injection (n=6-7 pigs per group, *p<0.05

vs. control LacZ group, error bars indicate S.D.). **(d)** Quantification of ejection fraction (EF) (left panel) and individual changes in EF before and after treatment (right panel) as assessed by MRI 1 week after I/R (before viral treatment) and four weeks after viral treatment (n=6-7 pigs per group, *p<0.05 vs. control LacZ group, error bars indicate S.D.). **(e)** Left panel, representative images of pig hearts stained with triphenyl tetrazolium chloride (T.T.C.) (healthy myocardium stains red and fibrotic tissue remains white) at the end of the experiment (scale bar in cm). Right panel, quantification of scar size as a percentage of total heart tissue (n=6-7 pigs per group, **p<0.01 vs. control LacZ group, error bars indicate S.D.). **(f)** Representative images at the site of injection four weeks post-injection in porcine myocardium. LacZ (top panel) or 4F (bottom panel) shows the expression of the proliferation double reporter system GFP and dsRed and their co-localization with cardiomyocytes (TNNT2). **(g)** Quantification of the percentage of dsRed positive cardiomyocytes at the injection site and **(h)** percentage of GFP positive cardiomyocytes over the total labeled cardiomyocytes (n=6-7 pigs per group, 3-4 sections from different injection sites ***p<0.001 vs. control LacZ group, error bars indicate S.D.).

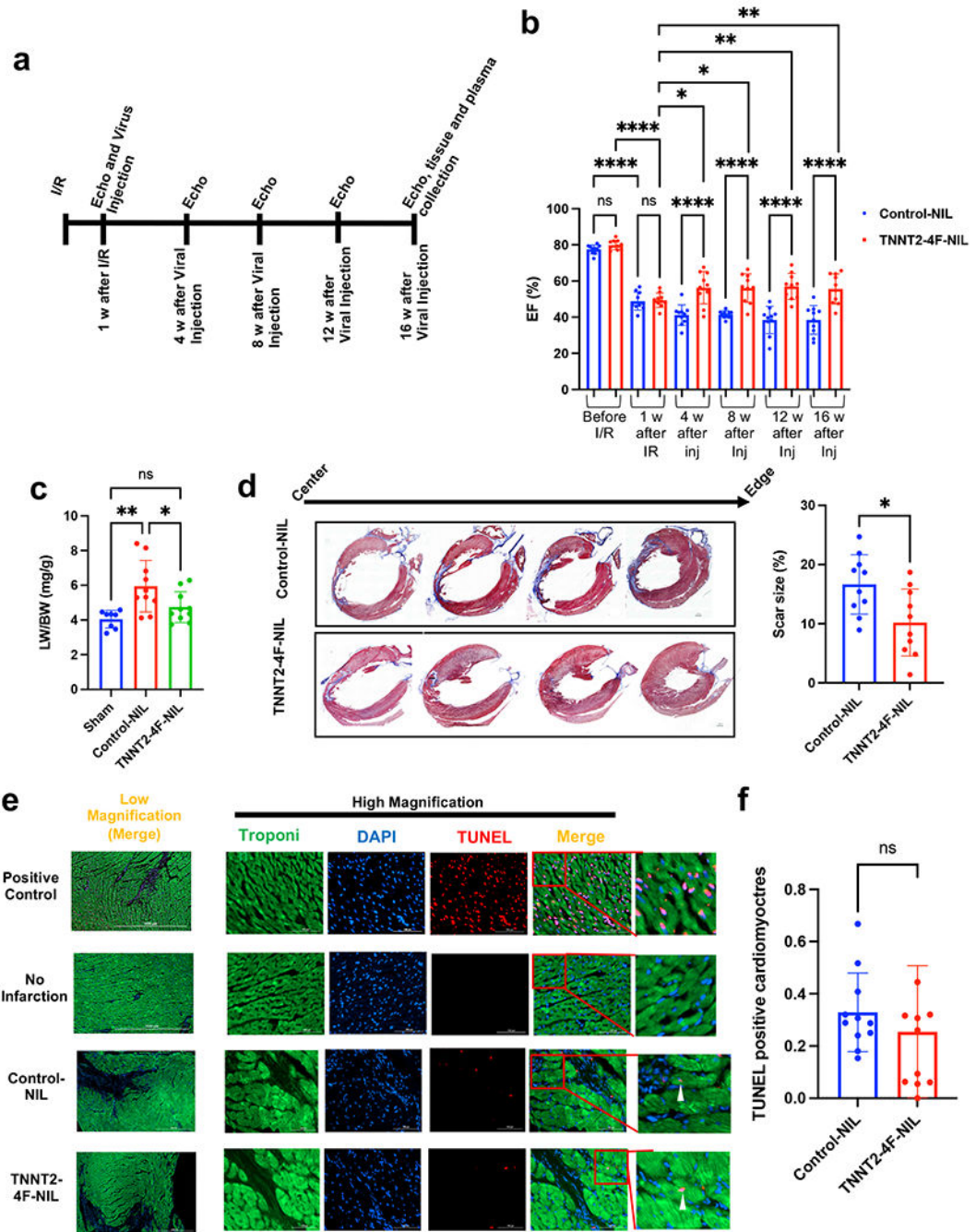


Fig. 7. TNNT2-4F polycistronic-NIL maintained improvement in cardiac function for four months. (a) schematic diagram of the experimental design. (b) Ejection fraction (EF), as assessed by echocardiography before ischemia/reperfusion (I/R), one week after I/R (before viral treatment), and every four weeks after viral treatment up to 16 weeks. (n=10 rats per group, *p<0.05, **p<0.01, ****p<0.0001, compared to GFP-NIL control group, error bars indicate the S.D.). (c) Quantification of the lung weight/body weight (LW/BW) (n=8-10 rats per group, *p<0.05, compared to GFP-NIL control group). (d) Representative images of rat

hearts were stained with Masson's trichrome stain (left panel) (healthy myocardium stains red and fibrotic tissue stains blue) at the end of the experiment (scale bar=2mm). The right panel shows scar size quantification as a percentage of total heart tissue (n=10 rats per group, 20-25 heart sections per animal, **p<0.01 compared to the control group, error bars indicate S.D.). (e) Representative images of rat hearts at the border zone stained for apoptotic nuclei using TUNEL assay (red), Troponin-T (green), and nuclear DAPI (blue) (scale bar=1000 μ m in the low magnification images and 100 μ m in the high magnification images). Positive control was carried out by treating the non-infarct heart section with the DNase-I enzyme. (f) Quantification of the percentage of TUNEL positive cardiomyocytes (n=10 heart sections per animal and 10 animals in each group, error bars indicate S.D.).