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EFFECT OF RHODAMINE-B ON THE ELECTRODEPOSITION
OF LEAD ON COPPER

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ABSTRACT

Rhodamine-B chloride (10 μ M) has been used as a model substance of plating additives in a study of the electrodeposition of Pb from 1 M NaClO₄, 0.5 and 5 mM Pb⁺⁺ (pH 3) on Cu. Ellipsometer measurements during cyclic voltammetry have shown that the addition of dye results in a more compact bulk deposit than obtained in its absence. It also prevents complete monolayer coverage during formation of the Pb underpotential deposit and shifts the bulk deposition peak to more cathodic potentials during the first potential cycle. Dye effects on potential and micromorphology disappear during subsequent cycling, but reappear after relaxation periods at open circuit. Depletion and readsorption of dye on the surface have been confirmed by spectroscopic ellipsometry. Different optical film models have been investigated for the interpretation of spectroscopic ellipsometer measurements by use of multidimensional analysis.

Surface active agents (additives, inhibitors) have long been used on an empirical basis to control surface finish in electrolytic metal deposition and indications are that uncontrolled impurities often cause similar effects. Few fundamental studies have been undertaken to define the role of these agents during the initial stages of electrocrystallization, despite the great technical importance of their use (1). The objectives of this work were to correlate variations in adsorbate coverage on the electrode with differences in overpotential and micromorphology of the deposit. Deposit thicknesses investigated ranged from a monolayer (the underpotential deposit, UPD) to thicknesses of about 1,000 Å. The principal experimental techniques used were cyclic voltammetry and ellipsometry (both spectroscopic and fixed-wavelength). A self-compensating instrument with rapid spectral scanning capabilities for the visible range and automated data collection has been built for this purpose and is discussed elsewhere (2).

I. Selection of Electrochemical System

The materials used in this study were selected to satisfy electrochemical and optical criteria. Most of the experimental investigations were conducted with Cu as the electrode substrate, Pb as the deposited metal, and Rhodamine-B chloride as the model inhibitor. The supporting electrolyte was composed of 1 molar sodium perchlorate, acidified to a pH of 3 by use of perchloric acid. Inhibitor concentrations were typically 10 micromolar and Pb^{++} ion concentration was 5.0 millimolar (as nitrate).

The materials chosen have optical constants which are distinguishable over the spectral range accessible to the optical instrumentation (370 to 720 nm). Cu shows a distinctive absorption in the visible (around 550 nm), which is

believed to be due to electronic transitions from the filled d bands into the sp conduction bands (3-5). In contrast to Cu, Pb shows no such characteristic in the visible and has relatively flat optical constants (6). Dissolved Rhodamine-B has a characteristic absorption band at about 555 nm, believed to be due to an electronic transition from a singlet ground state to an excited triplet state (7). The electronic transition moment related to this absorption process lies parallel to the three conjugated rings of the Rhodamine-B molecule. Rhodamine-B can be expected to adsorb on the surface with a preferential orientation of the transition moment, resulting in a birefringent (dichroic) adsorbate layer. This birefringence, and its spectral dependence, can be interpreted in terms of molecular orientation of the adsorbate on the surface (8,9).

Electrochemically, the substrate had to be inert under the conditions of interest (1). Cu is stable to dissolution and oxidation at the reversible potential for Pb deposition from acidic solutions (10) and Pb forms a well-defined underpotential deposit on Cu substrates (11). It was also necessary that substrate and deposit did not form electrochemical alloys at the interface, which was found by cyclic voltammetry to occur with Au and Pt, two other substrates considered. Gold substrates also have been reported to change their optical properties due to the adsorption of another material (12). Another requirement was that the deposit should form at potentials anodic to that of hydrogen evolution. Pb deposition occurs at sufficiently cathodic potentials to prevent significant interference of the Cl^- anion introduced with the Rhodamine-B cation. Pb adatoms also inhibit other cathodic reactions, such as oxygen reduction, making Pb electrodeposition insensitive to the presence of such species (13,14).

The choice of Rhodamine-B resulted from screening studies with over 30 materials, 14 of which were indicators or laser dyes. Laser dyes were investigated because they are strongly light absorbing and can therefore be detected in small amounts on the electrode surface; also, they adsorb strongly on the surface, are well-characterized both optically and structurally, and are commercially available in high purity. Of the compounds investigated, Rhodamine-B was found to induce the largest increase in overpotential (125 mV) for bulk Pb deposition. Furthermore, it was found that Rhodamine-B was adsorbed with sufficiently great affinity that the surface was relatively insensitive to other impurities possibly present. However, as most inhibitors used in practice (1), Rhodamine-B is reduced on the electrode prior to metal deposition.

II. Experimental Procedure

The automatic spectroscopic ellipsometer used in this study employed the polarizer-compensator-sample-analyzer optical configuration. It was self-nulling by use of a magneto-optic polarizer and analyzer, and could be operated in either a spectroscopic or fixed wavelength mode (2). The spectral range was 370 nm to 720 nm, the angle-of-incidence used was 75 degrees. A complete scan of spectroscopic measurements (δ and ψ at different wavelengths) could be made in 3 seconds, although improved signal-to-noise ratios were obtained with slower scanning (e.g., one scan per 15 seconds) or by averaging multiple scans. Slower scanning was essential to observe dye adsorption whereas faster scanning gave sufficient signal quality for resolution of deposit micromorphology. Data acquisition was fully automated with a LSI-11/02 microcomputer system, which was also used for instrument calibrations and to interpret measurements by use of optical models.

The electrochemical cell used for experiments with Cu substrates was made of Teflon. It was designed for clean operation and low light absorption in the solution with a small liquid volume (2 ml) and a short optical path (2.5 cm) through the solution. The cell was pie-shaped, with windows made of polished and annealed optical quality quartz, oriented normal to incident and reflected light beams for operation at an angle of incidence of 75 deg.

The round working electrodes were machined from polycrystalline oxygen-free Cu with an exposed surface of 1.27 cm diam. The electrodes were polished mechanically with a final alumina abrasive of 0.05 micron. Air-formed oxide on the electrode surface was removed by prepolarization in the acidic electrolyte. The counter electrode consisted of a Pb wire (99.999% purity) wound into a flat spiral, positioned 0.8 cm from the working electrode and of equal diameter. Lead oxide resulting from the corrosion of the counter electrode was removed by cathodic pre-polarization, using a second Pb wire electrode and an initial electrolyte charge. The initial electrolyte charge was replaced when the Cu working electrode was inserted, without exposing the anode to air.

A double-junction Ag/AgCl reference electrode (Dow-Corning 476067), inserted into a Teflon reference electrode compartment, was connected to the electrochemical cell with a Teflon capillary (0.16 cm o.d.). The reference electrode was connected to the potentiostat via an electrometer probe (PAR).

Two syringes (15 ml each) were used to supply electrolyte to and withdraw from the cell. The electrolyte consisted of 5 mM $\text{Pb}(\text{NO}_3)_2$, 1 M NaClO_4 at pH 3, with 10 μM Rhodamine-B addition. It was prepared from deionized, singly distilled (10 megohm) water and analytical grade salts. The electrolyte was nitrogen-stripped for about 15 minutes prior to experiments, and the cell was purged with nitrogen before filling. The syringes and a nitrogen purge stream

were connected with Teflon capillaries.

Another electrochemical cell system was used for the experiments with the Ag(111) substrates. Details of this cell and preparation of electrodes are given elsewhere (15). Results obtained with Ag substrates will not be discussed in detail here because the conclusions were similar to those derived from measurements with Cu substrates.

The potential of the working electrode was controlled with a potentiostat (PAR 173) and the charge passed was determined with a digital coulometer (PAR 179). The coulombic efficiencies between deposition and dissolution were always greater than 95%. Film thickness d_q based on charge is that given for a compact lead layer. Cell potential and current, together with the measured ellipsometer parameters δ and ψ (measured as Faraday cell currents), were recorded by the LSI microcomputer system via an 8-channel analog-to-digital converter (Datel MST-LSI2).

III. Ellipsometry and Cyclic Voltammetry

Cyclic voltammetry and ellipsometry measurements (at 550 nm wavelength) were conducted simultaneously. A potential ramp (+100 to -800 mV vs. 4 M Ag/AgCl reference, 2 V/min) and current response are shown in Fig. 1. The corresponding ellipsometer measurements are given in Fig. 2. During the first potential cycle, the optical measurements indicate that the bulk Pb deposit is about 300 Å thick, and is only 10% porous. However, deposits formed during subsequent potential cycles are similar to those obtained in the absence of dye (15), with a much higher porosity. (2nd cycle, 420 Å thick, 34% porous). Depletion of dye at the electrode surface is the reason for this behavior. The effect of Rhodamine-B on deposit porosity reappears after relaxation periods at open circuit.

A summary of optical measurements and interpretations is given in Table I for the experiments in which simultaneous cyclic voltammetry and ellipsometry were employed. Properties for substrate, underpotential layer and bulk deposits of different thicknesses, obtained with and without dye, are shown. It can be seen that the presence of the dye results in a higher volume fraction (lower porosity) of lead in the bulk deposit. The effect of the dye gradually disappears in subsequent cycles, and volume fractions close to those without dye are obtained. The optical constants of compact lead $\hat{n}_s = 2.1 - 4.2 i$ were used here for the underpotential layer and served to compute the properties of the porous deposits by use of the Bruggeman equation. The optical constants of the Cu substrate were $0.7 - 3.4 i$. The deposit thickness d_q derived from the charge passed is that of a compact layer based on a thickness of $3.52 \text{ \AA}$ for a monolayer. The thickness d and volume fraction of lead θ_{pb} were derived from the ellipsometer measurements. Although the cathodic underpotential deposit peak which is well-defined in the absence of the inhibitor, does not appear in the voltammogram with the Rhodamine-B addition, the ellipsometer measurements show the formation of a partial monolayer for the underpotential deposit during the cathodic sweep in the presence of the dye and an anodic stripping peak for the UPD still appears with the dye. Integration of the stripping peaks indicates that the UPD formed in the presence of Rhodamine-B involves only 33% of the charge per unit area of that without the additive.

The amount of lead in the bulk deposit derived from the ellipsometer measurements (product of thickness d and volume fraction θ_{pb}) is always less than the amount based on the charge passed (thickness d_q), as shown in Table II. The ratio is lower for deposits without dye, in agreement with the formation of more dendritic islands, which are not measured optically (2).

IV. Spectroscopic Ellipsometry

Complementary to the cyclic voltammetry studies discussed above, spectroscopic ellipsometer measurements were also conducted.

1. Electrochemical Deposition of Pb Films

Thin films of lead on copper substrates were prepared by potentiostatic deposition (-600 mV vs. Ag/AgCl) from an electrolyte consisting of 5 mM $Pb(NO_3)_2$ and 1 M $NaClO_4$ at a pH of 3.

Based upon charge passed, the film thicknesses d_q would have been 31, 60 and 110 nm if the deposit had formed uniformly and had been compact. However, it was found that the deposit was not homogeneous and compact, and multilayer optical models had to be used to explain the spectroscopic ellipsometer measurements.

2. Single-Layer Optical Models

Film models investigated for the interpretation of measurements are illustrated in Fig. 3 (2). Examples of interpretations given below illustrate the use of the high information content of spectroscopic ellipsometer measurements for the derivation of wavelength-independent film parameters. Predictions based upon the following three optical models for single layer films

(Fig. 3a-c) are compared to experimental spectroscopic ellipsometer measurements in Figs. 4-6. In the compact film model, the entire deposit was treated as bulk Pb and there was only one adjustable parameter, the deposit thickness. Figure 4 shows spectroscopic simulations of delta for various assumed thicknesses (solid lines labeled 0, 1, 5, 10 nm, etc.). Comparison with experimental measurements also shown on the figure (dotted lines for 31, 60, and 110 nm compact thicknesses) illustrates that this model is inadequate.

The second single-layer optical model was that of a porous film in which porosity was taken into consideration by use of an effective refractive index. Pores were treated as microinclusions of electrolyte in a Pb host medium and the optical properties of an isotropic homogeneous film were computed by use of the Maxwell-Garnett or Bruggeman equations. These equations have been used in the literature for modeling rough surfaces and particulate films (16-19). This model involved two adjustable parameters, the deposit thickness and porosity. Spectroscopic simulations of the ellipsometer parameter delta for this model are shown in Fig. 5 for an amount of deposit corresponding to a 31 nm thick, compact Pb layer (based upon coulometric measurements) redistributed on a Cu substrate as deposits of various porosities. Simulations are represented by solid lines and experimental measurements are represented by dotted lines. It is evident that this model alone is also inadequate to explain experimental measurements of delta and deposit thickness based on charge.

The third model was based on the coherent superposition of polarization states resulting from reflection of adjacent film-covered and bare elements of a patchwise covered surface (20- 22). The island film may be compact, porous or anisotropic. In the latter case, at least six unknown film parameters would have to be determined, which results in unacceptable parameter variances. The dimensions of the islands are assumed to be smaller than the transverse and longitudinal coherence of the incident light at the specimen surface. For the present spectroscopic ellipsometer, the longitudinal coherence ranges from $16\mu\text{m}$ at 400 nm to $49\mu\text{m}$ at 700 nm (23); the transverse (lateral) coherence ranges from $10\mu\text{m}$ at 400 nm to $17\mu\text{m}$ at 700 nm (24). These estimates assume (conservatively) a bandwidth twice that of the source (20 nm). Since complementary SEM studies of the films investigated here have shown the dimensions of dendritic islands to be less than $15\mu\text{m}$ use of the coherent superposition model is justified for the present experiments.

Spectroscopic simulations of δ for films corresponding to a 31 nm thick, uniform, compact Pb deposit (based upon coulometric measurements), redistributed on a Cu substrate as compact islands, are shown in Fig. 6. The island model used for these simulations employed two

adjustable parameters, fractional surface coverage and island thickness. Combinations of these two parameters which were consistent with the amount of Pb known to be in the film from coulometric measurements were used. It can be seen that this model is also incapable of explaining the experimental measurements.

For all single-layer optical models, disagreement of the computed spectral dependence of ψ was similar to that shown for δ . Note that interpretations of measurements at a single wavelength often appear possible, although the entire spectral range cannot be fitted.

3. Multiple-Layer Optical Models

A three-layer model (2) is illustrated in Fig. 3d. The first layer was assumed to be an isotropic film representing the underpotential deposit. Optical properties of this layer were determined in a separate study (22).

The second layer was assumed to be a granular, porous deposit with optical constants computed from the properties of Pb and electrolyte, by use of the Bruggeman theory for a binary mixture, Eqs. 1-5. Incorporation of this layer into the optical model was motivated by light scattering measurements (15).

$$\frac{\hat{\epsilon}_{\text{Pb}} - \hat{\epsilon}_f}{\hat{\epsilon}_{\text{Pb}} + 2\hat{\epsilon}_f} \theta_{\text{Pb}} + \frac{\hat{\epsilon}_s - \hat{\epsilon}_f}{\hat{\epsilon}_s + 2\hat{\epsilon}_f} (1 - \theta_{\text{Pb}}) = 0 \quad (1)$$

$$\hat{\epsilon}_f = \frac{1}{2} [-\hat{A} \pm (\hat{A}^2 - 4\hat{B})^{1/2}] \quad (2)$$

$$\hat{A} = \frac{1}{2} [\hat{\epsilon}_{pb}(1-3\theta_{pb}) + \hat{\epsilon}_s(3\theta_{pb} - 2)] \quad (3)$$

$$\hat{B} = -\frac{1}{2} [\hat{\epsilon}_{pb} \hat{\epsilon}_s] \quad (4)$$

$$\hat{n}_f = \hat{\epsilon}_f^{1/2} \quad (5)$$

The root with the largest modulus was used as solution of Eq. 1 (25).

The third layer represents dendritic Pb islands, visible in scanning electron micrographs, and was modeled by an island film and coherent superposition of polarization states (Eq. 6) resulting from reflection on adjacent surface elements with and without island coverage.

$$\hat{r}_v = \theta_f \hat{r}_{v,f} + (1-\theta_f) \hat{r}_{v,s} \quad (6)$$

Use of coherent superposition implies that the diameter of islands is smaller than the spacial coherence of the illuminating light. The total amount of Pb contained in the three layers was adjusted to agree with the coulometric measurements.

Although the three-layer model provides very good agreement between predictions and measurements, the computations have shown that the optical effect of the third (dendritic island) layer is often negligible, because the surface coverage by islands is small (5-10%). A simplified two-layer model was therefore used for interpretations. Only 3 unknown parameters have to be determined with this model (thickness of the first, compact layer, thickness and porosity of the second, porous layer) as opposed to six parameters required for the three-layer model. Despite the insignificant optical effect of the dendritic island layer, it usually contained about 2/3 of the Pb deposit known to be present from coulometric measurement. All optical constants were determined experimentally from independent measurements, and were not treated as adjustable parameters.

4. Optimization of the Two-Layer Model

Optimized values of the wavelength-independent parameters of the two-layer model (thicknesses and porosity) were obtained by minimizing the sum-of-squares error between measurements and model predictions over the entire spectral range. As outlined in a previous paper (15) parameter

confidence intervals are calculated from the variance of the measurements by use of the student t-statistic at a 95% confidence level for " $2N-P$ " degrees of freedom, where " N " is the number of delta-psi measurements over the spectral range (from a single spectroscopic scan) and " P " is the number of adjustable parameters. Wavelengths of individual measurements are spaced at intervals greater than the source bandwidth; measurements at each wavelength are treated as independent observations of the same surface.

Optimization of the wavelength-independent parameters required to specify only the first two layers resulted in smaller parameter variances than if all three layers were included, because the variance for any parameter increases dramatically as the total number of model parameters P approaches the number of data points ($2N$) used in the optimization. This strategy is based on the results of optical computations which had shown that the third (island) layer has an insignificant optical effect. All deposited Pb not accounted for in the first two layers after optimization was assumed to be contained in the dendritic islands.

Results of the optimization are shown in Figs. 7 and 8 (delta and psi vs. wavelength). The solid lines represent experimental measurements and the circles represent points calculated by a multidimensional optimization routine. Very good agreement was obtained by optimization of the thickness and porosity of the second layer, which are wavelength-independent adjustable parameters. Table III summarizes the results of the optimization. Measured and calculated values of delta and psi at

particular wavelengths are tabulated for three thicknesses. The amount of material, based on coulometric measurements, present in these films corresponds to compact layers of thickness 31, 60 and 110 nm. By minimizing the sum-of-squares error (26) between the model predictions and measured values of δ and ψ over the entire spectral range, one determines the optimum values of the wavelength-independent adjustable parameters (thickness and porosity). Table III also shows the values of the sum-of-squares error, the thickness and porosity of the second layer with their statistically-determined confidence interval. Properties of the first compact layer are those of the underpotential deposit (22,15). Thicknesses and porosities of the second layer are thus found to be 31 nm and 41 percent; 50 nm and 22 percent; and 87 nm and 3 percent, respectively.

V. Inhibitor Effects

Conclusions about the effect of Rhodamine-B on deposit porosity were also derived from spectroscopic ellipsometer measurements by the methods discussed in the preceding section. Figures 9 and 10 show, for a thin deposit (31 nm, based on charge), how the distinctive spectral properties of the Cu substrate, which are still visible through the deposit obtained without dye, were completely masked by a deposit of equal lead content obtained in the presence of the dye. The large optical difference is due to differences in porosity (45% without dye, <10% with dye). Spectral scans of δ for a thicker deposit (110 nm, based on charge) are shown in Fig. 11. Here, deposits made with and without Rhodamine-B show similar

optical characteristics which can be interpreted as Pb layers too thick to be penetrated by the light, even for deposits obtained without dye.

The scanning electron micrographs shown in Fig. 12 illustrate the fine-grained initial (1 min, corresponding to 30 nm compact thickness) deposit obtained with the dye and the dendritic islands obtained without it. At a later stage of deposition (8 min, corresponding to 110 nm compact thickness) the effect of the dye begins to wear off and patches of porous deposit appear, while without dye the dendrites continue to grow into a matted layer. Energy dispersive x-ray analysis has shown that the dendrites are composed of lead and that without dye the deposit between dendrites is very thin while it is thick and uniform with the dye, as had been inferred from the ellipsometer measurements.

VI. Measurement of the Inhibitor Layer

Underpotential and bulk peaks in the cyclic voltammograms are shifted to more cathodic potentials by adsorbed Rhodamine-B. Due to depletion of the dye, this potential shift disappears, however, after several uninterrupted potential cycles, but it reappears after relaxation periods (10 to 60 min) at open circuit, during which adsorption can take place by diffusion from the electrolyte (Fig. 13). The repopulation of the electrode surface with Rhodamine-B adsorbate has also been observed by spectroscopic ellipsometry; differential measurements with and without dye (Figs. 14 and 15)

show the development of spectral features due to the adsorbed dye. These spectral scans were taken immediately preceeding the corresponding cyclic voltammograms of Fig. 13, the relaxation times in minutes are indicated along the curves. A study of the adsorbed dye layer (27) which will be presented elsewhere, has shown that molecules oriented normal to the electrode surface, forming an adsorbate layer of $32 \text{ \AA}$ thickness, appear to be responsible for the inhibition of Pb deposition.

VII. Conclusions

Rhodamine-B acts as a deposition inhibitor for the electrodeposition of Pb on Cu and Ag. Potentials required for deposition are more cathodic in the presence of Rhodamine-B than in its absence.

Less porous deposits are formed in the presence of the dye and the underpotential deposit is less than a complete monolayer. The observed decrease in bulk deposit porosity resulting from the addition of Rhodamine-B can be attributed to an increase in nucleation density on the surface and inhibition of dendrite growth.

If nucleation of the bulk deposit is favored at imperfections of the first monolayer of the underpotential deposit, the partial monolayer formed in the presence of Rhodamine-B may provide a higher density of nucleation sites. Also, because deposition occurs at more cathodic potentials in the presence of the dye, the equilibrium size of nuclei on the surface is smaller and their number larger. Kinetic effects of the adsorbed dye molecules may involve a decrease in the surface diffusion of Pb adatoms which would also result in a higher nucleation density.

The selective reduction of Rhodamine-B at easily accessible (high activity) sites, such as tips of dendrites, in favor of Pb^{++} reduction,

could shift the deposition to less accessible sites close to the surface which would preferentially be supplied by Pt^{++} because of its higher diffusion coefficient.

The dye is reduced at the surface and inhibitory effects on the deposit micromorphology disappear until the surface is repopulated with adsorbate during relaxation periods at open circuit.

Spectroscopic ellipsometry allows one to calculate confidence intervals of wavelength-independent parameters for micromorphological optical models and to justify the use of more sophisticated optical models on the basis of the greater degrees-of-freedom.

For three electrolytically formed Pb deposits (compact thickness 31 nm, 60 nm, and 110 nm) the best agreement between measurements and model predictions was obtained for a three-layer model or a two-layer simplification of it. The distribution of deposited material between compact, porous (granular), and dendritic island layers could be determined.

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NOMENCLATURE

d	thickness of deposit derived from ellipsometer measurements
d_q	thickness of deposit for a compact layer, based on charge
k	imaginary part of complex refractive index
n	real part of complex refractive index
$\hat{n}_f$	complex refractive index of porous film
$\hat{n}_s$	complex refractive index of substrate
$\hat{r}_v$	complex Fresnel reflection coefficient for polarization v (s or p)
$\hat{r}_{v,f}$	complex Fresnel reflection coefficient for film-covered surface
$\hat{r}_{v,s}$	complex Fresnel reflection coefficient for bare surface
$\hat{A}, \hat{B}$	complex parameters for quadratic equation
E	Potential vs. 4M Ag/AgCl
N	number of pairs of Δ and ψ measurements
P	number of model parameters to be fitted
Q	charge passed
α	level of confidence, $1-2\alpha$
$\hat{\epsilon}_f$	complex dielectric function of porous film
$\hat{\epsilon}_{Pb}$	complex dielectric function of metallic Pb
$\hat{\epsilon}_s$	complex dielectric function of electrolyte solution
θ_f	fraction of surface covered by film of refractive index $\hat{n}_f$

θ_{Pb}

volume fraction of Pb in porous film
derived from ellipsometer measurements

Δ

ellipsometer parameter, phase difference
between p and s electric field components
after reflection, relative to the incident
(degrees)

Δ_C

calculated value of Δ

Δ_M

measured value of Δ

ψ

ellipsometer parameter, amplitude ratio of
p and s electric field components after
reflection ($\tan \psi$), relative to the
incident (degrees)

ψ_C

calculated value of ψ

ψ_M

measured value of ψ

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Table I. Optical measurement (550 nm) and interpretation of Pb Deposits on Cu in Cyclic Voltammetry (2V/min 5 mM $\text{Pb}(\text{NO}_3)_2$, 1 M NaClO_4 , pH 3).

Cycle No.	Time (sec)	E (mV)	Q (mC)	d_q (Å)	Δ_M (deg)	Δ_C (deg)	ψ_M (deg)	ψ_C (deg)	d (Å)	θ_{Pb}
NO DYE ADDED										
1	0	-235	0	0	65.29	65.29	38.08	38.08	0	-
1	7*	-304	0.65	3.52†	66.25	66.25	37.63	37.63	5.90	1
1	14	-582	30	163	58.00	57.97	36.42	36.35	75	0.38
1	36	-430	70	379	55.00	54.98	31.68	31.58	506	0.56
2	83	-573	30	163	56.80	56.80	36.42	36.35	86	0.35
2	107	-400	70	379	54.20	53.86	31.68	31.50	509	0.55
10 μM RHODAMINE-B										
1	0	-242	0	0	65.83	65.83	38.93	38.93	0	-
1	7*	-513	**	**	67.71	67.71	38.63	38.63	**	**
1	19	-800	40	217	74.30	74.72	32.00	33.12	113	0.89
1	34	-439	70	379	79.79	79.74	32.00	32.04	296	0.85
2	80	-800	50	271	60.19	60.05	33.37	33.43	244	0.61
2	95	-388	80	433	65.00	65.19	32.00	32.36	420	0.66

* UPD formation completed

** Peak not well-defined for charge - and optical measurements

† Based on atomic diameter for monolayer

Table II. Charge Balance for Underpotential and Bulk Pb Deposits. Comparison of thickness d_q of bulk deposit derived from charge passed with thickness d obtained from ellipsometer measurements. Electrode Surface Area = 1.33 cm^2 , Calculated Charge for UPD Monolayer = 0.49 mC , Measured Charge for UPD Monolayer = 0.65 mC , Apparent Surface Roughness = 1.33 .

Cycle No.	Time (sec)	$d_q (\text{\AA})$	$d (\text{\AA})$	θ_{Pb}	$\theta_{\text{Pb}}(d/dq)$
NO DYE ADDED					
1	14	163	75	0.38	0.17
1	36	379	506	0.56	0.75
2	83	163	86	0.35	0.18
2	107	379	509	0.55	0.74
10 μM RHODAMINE-B					
1	19	217	113	0.89	0.46
1	34	379	296	0.85	0.66
2	87	271	244	0.61	0.55
2	102	433	420	0.66	0.64

Table III. Spectroscopic Ellipsometry of Pb Deposits: Optimization of 2-Layer Model. Confidence Intervals for Model Parameters given for 95% Confidence Limits.

Amount of Deposit d _q	30 nm				60 nm				110 nm				
Wavelength (Å)	Δ(deg)		ψ(deg)		Δ(deg)		ψ(deg)		Δ(deg)		ψ(deg)		
	meas.	calc	meas.	calc.	meas.	calc.	meas.	calc.	meas.	calc.	meas.	calc.	
4248	58.69	60.54	32.99	28.92	78.01	81.97	32.55	29.30	89.84	94.25	34.62	29.61	
4492	57.24	56.49	31.12	31.68	76.02	75.64	30.14	31.60	88.42	87.64	31.81	31.76	
4756	55.48	54.28	30.88	30.97	73.77	73.63	29.11	30.16	86.53	85.84	30.35	30.30	
5155	52.73	51.89	30.99	30.90	71.42	71.19	28.81	29.18	84.44	83.69	29.42	29.32	
5586	50.07	49.59	31.73	31.48	69.52	69.44	28.68	28.60	82.91	82.44	28.99	28.85	
5998	49.97	49.81	33.58	33.50	66.95	66.71	29.04	28.70	81.14	80.88	28.84	28.77	
6242	51.03	50.91	34.47	34.38	65.91	64.95	29.62	29.25	79.68	79.47	29.10	28.99	
6504	51.85	52.27	35.35	35.24	64.99	63.54	30.59	30.38	78.06	77.90	29.85	29.71	
6748	52.87	53.69	36.39	35.99	64.58	63.04	31.99	31.78	76.99	76.79	30.96	30.81	
6991	53.45	55.14	38.11	36.89	64.09	63.12	34.33	34.53	75.53	75.30	33.65	33.52	
MEAN SQUARES ERROR BETWEEN MEASURED AND CALCULATED Δ AND ψ (deg)		5.359				6.049				6.835			
THICKNESS OF POROUS LAYER (Å)		310 ± 41				500 ± 162				870 ± 2664			
VOL. FRACTION Pb IN POROUS LAYER		0.585 ± 0.139				0.775 ± 0.165				0.968 ± 0.274			
THICKNESS OF COMPACT (UPD) LAYER Å		4.8 ± 1.1				4.8 ± 1.1				4.8 ± 1.1			

Figure Captions

- Fig. 1. Potential cycles (+100 to -800 mV vs. Ag/AgCl, sweep rate 2 V/min) applied to working electrode (1.13 cm^2) and resulting current response. Pb deposition on Cu from 5 mM $\text{Pb}(\text{NO}_3)_2$, 1 M NaClO_4 , pH 3, 10 μM Rhodamine-B.
- Fig. 2. Ellipsometer measurements collected during potential cycles shown in Fig. 1; wavelength 550 nm (10 nm bandwidth), angle of incidence 75 deg.
- Fig. 3. Schematic of film models investigated for the interpretation of spectroscopic ellipsometer measurements of thin Pb deposits on Cu substrates. Number of adjustable parameters given in parentheses. (a) compact single film (1); (b) porous single film, optical constants of effective medium determined according to Maxwell-Garnett (2) or Bruggeman (2); (c) island single film, reflection coefficient determined by coherent superposition of polarization states, islands compact (2), porous (3) or anisotropic (6); (d) multilayer films, three layers: compact and porous layers, dendritic islands (6) or two layers: compact and porous films (3).
- Fig. 4. Spectroscopic simulations for compact film model (solid lines). Pb deposits of thickness 0, 1, 5, 10, 20, 31, 60, 110 nm. Experimental measurements (dotted lines) for 31, 60, 110 nm equivalent compact Pb deposits (d_q , based upon coulometric measurements) on Cu.

- Fig. 5. Spectroscopic simulations of porous film model (solid lines). Volume fractions of Pb 0.1 to 0.9, amount of deposit corresponding to a 31 nm compact Pb layer on a Cu substrate. Simulations based upon the effective medium approximation (Bruggeman eq.). Experimental measurements (dotted lines) for 0 and 31 nm equivalent compact film.
- Fig. 6. Spectroscopic simulations of island film model (solid lines). Surface coverage 0.1 to 1.0, amount of deposit corresponding to 31 nm compact layer, Cu substrate. Simulations based on coherent superposition of polarization states. Experimental measurements (dotted lines) for 0 and 31 nm equivalent compact film.
- Fig. 7. Spectroscopic simulation for optimum fit of ellipsometer parameter δ for a two-layer film model (circles) and measurements (solid lines) for equivalent deposit thicknesses of 0, 31, 60 and 110 nm. Film parameters derived from optimum fit of model predictions to measurements given in Table III.
- Fig. 8. Spectroscopic simulation for optimum fit of ellipsometer parameter ψ for a two-layer film model; other comments as in Fig. 7.
- Fig. 9. Spectroscopic measurement of ellipsometer parameter δ for thin Pb deposit on Cu (corresponding to 31 nm compact thickness); effect of presence of dye, bare Cu substrate shown for comparison. Potentiostatic deposition, -600 mV vs. Ag/AgCl, electrolyte as in Fig. 1, except for dye. Substrate visible through porous deposit obtained without dye.

- Fig. 10. Spectroscopic measurement of ellipsometer parameter ψ , data as for Fig. 9.
- Fig. 11. Spectroscopic measurement of ellipsometer parameter δ for thick Pb deposit on Cu (corresponding to 110 nm compact thickness). Substrate obscured.
- Fig. 12. Effect of Rhodamine-B on the micromorphology of thin (1 min., 30 nm) and thick (8 min., 110 nm) Pb deposits; scanning electron micrographs. Potentiostatic deposition at -600 mV vs. Ag/AgCl.
- Fig. 13. Re-adsorption of Rhodamine-B on Cu during 5-60 min. relaxation at open circuit after removal by multiple potential sweeps; effect on cyclic voltammograms, 2 V/min, 1 M NaClO₄, 5 mM Pb⁺⁺, 10 μ M dye, pH 3, 1.27 cm².
- Fig. 14. Differential spectroscopic measurements of ellipsometer parameter Δ for re-adsorption of Rhodamine-B on Cu after multiple potential sweeps. (Spectra for bare Cu in electrolyte without dye subtracted.) Relaxation periods at open circuit in minutes given along curves. Experimental conditions as in Fig. 13.
- Fig. 15. Differential spectroscopic measurements of ellipsometer parameter ψ for re-adsorption of Rhodamine-B on Cu. Experimental conditions as in Fig. 13.

XBL 829-9655 A

Fig. 1

Fig. 2

XBL 829-9657 A

(a)

(c)

(b)

(d)

XBL 829-11873 A

Fig. 3

Fig. 4

XBL 828-11282A

Fig. 5

XBL 831-7909A

XBL 828-11288 B

Fig. 6

WAVELENGTH(NM)

Fig. 7

XBL 831-7911A

XBL 831-7912 A

Fig. 8

XBL 828-11272B

Fig. 9

Fig. 10

XBL 828-11276A

Fig. 11

SCALE: 10 MICRONS

XBB831-722B

Fig. 12

XBL 829-11598 A

Fig. 13

Fig. 14

XBL 829-9660A

XBL 829-9661A

Fig. 15

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