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Authors

Allison, Steven D
Goulden, Michael L

Publication Date

2017-04-01

DOI

10.1016/j.soilbio.2017.01.001

Peer reviewed

1 **Consequences of drought tolerance traits for microbial decomposition in the**
2 **DEMENT model**

3

4 Steven D. Allison^{a,b}

5 allisons@uci.edu

6

7 Michael L. Goulden^{b,a}

8 mgoulden@uci.edu

9

10^aDepartment of Ecology and Evolutionary Biology

11 University of California, Irvine

12 Irvine, CA 92697

13 USA

14

15^bDepartment of Earth System Science

16 University of California, Irvine

17 Irvine, CA 92697

18 USA

19

20 Correspondence:

21 Steven D. Allison

22 321 Steinhaus

23 University of California, Irvine

24 Irvine, CA 92697

25 USA

26 allisons@uci.edu

27 949 824-2341

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29

30Abstract

31The frequency and intensity of drought are expected to increase in the future, yet the
32consequences for soil microbial communities and functioning remain unclear. Processes
33such as decomposition could be maintained if microbial communities become more
34drought tolerant. However, increased drought tolerance might involve physiological costs
35with uncertain consequences for ecosystem processes. Here we used the trait-based model
36DEMENT to quantify the sensitivity of microbial traits, community dynamics, and litter
37decomposition to variation in drought tolerance costs. These costs were imposed as a
38physiological tradeoff between drought tolerance and carbon use efficiency. We ran
39simulations across a range of drought tolerance costs and with climate forcing from
40ambient and drought treatments in a Southern California grassland that experiences
41seasonal summer drought. As expected, zero or low costs of tolerance allowed drought-
42tolerant taxa to increase in abundance under ambient simulation conditions. More drought
43tolerant communities had greater microbial biomass but lower extracellular enzyme
44investment due to biological feedbacks involving enzyme production. These two responses
45counteracted one another, leaving decomposition unchanged relative to virtual microbial
46communities with no drought tolerance. Simulated decomposition rates were one-third
47lower under drought treatment, but there were no differences in microbial drought
48tolerance compared to simulations forced with ambient climate. This model result suggests
49that seasonal drought is a more important environmental filter than reduced precipitation
50during the wet season in our Mediterranean climate system. Overall, our simulations
51indicate that microbial community responses to drought are not likely to increase
52decomposition rates, even if CUE costs are low. Using the simulation approach described

53 here, the DEMENT model could be modified to incorporate additional mechanisms of
54 microbial drought tolerance and their associated physiological costs as new empirical data
55 become available.

56

57 **Keywords:** Carbon use efficiency; Drought tolerance; Extracellular enzyme; California
58 grassland; Litter decomposition; Trait-based model

59

601. Introduction

61 Microbes regulate multiple aspects of ecosystem response to environmental variation,
62 including climate change (Allison and Martiny, 2008; Bardgett et al., 2008). In many areas
63 of the globe, especially southwestern North America, drought frequency and intensity are
64 increasing (Cayan et al., 2010; Cook et al., 2015; Seager et al., 2007). These climatic changes
65 could alter microbial communities (Cregger et al., 2012; Sheik et al., 2011) and inhibit
66 microbial processes such as decomposition and respiration that determine carbon fluxes in
67 surface soils (Allison et al., 2013; Evans and Burke, 2013; Manzoni et al., 2012a; Zeglin et
68 al., 2013).

69

70 On the other hand, microbes have evolved mechanisms to survive and metabolize at low
71 water potential (Potts, 1994). Such mechanisms could enable microbial communities to
72 sustain biogeochemical fluxes in the face of drought. For example, microbes can accumulate
73 osmolytes (Harris, 1981; Schimel et al., 2007; Warren, 2014), produce exopolysaccharides
74 (EPS) (Roberson and Firestone, 1992), form thick cell walls, or enter a dormant state (Jones
75 and Lennon, 2010; Potts, 1994). At the same time, desiccation tolerance mechanisms could
76 trade off against other aspects of physiology (Raven, 1985; Schimel et al., 2007). For
77 example, microbial taxa that survive better under drought might have lower growth
78 efficiency due to increased metabolic costs (Killham and Firestone, 1984a) or fewer
79 resources to invest in enzymatic machinery (Sardans and Peñuelas, 2010).

80

81 Predicting biogeochemical responses to drought requires a framework for linking microbial
82 physiology with community and ecosystem processes (Schimel et al., 2007). Desiccation

83tolerance and associated physiological tradeoffs should affect microbial competitive ability
84and community interactions (Lennon et al., 2012). Changes in the microbial community
85should in turn influence ecosystem processes such as decomposition under drought
86(Bouskill et al., 2016). The goal of this paper is to develop a theoretical basis for predicting
87how microbial physiological responses might structure communities and their associated
88decomposition rates under drought. To accomplish this goal, we incorporate drought
89tolerance mechanisms and tradeoffs into a trait-based model of microbial community
90dynamics. We aim to generate model predictions that can be compared with molecular-
91based surveys of microbial drought tolerance strategies (Evans and Wallenstein, 2014;
92Placella et al., 2012) and field data on decomposition rates under drought conditions
93(Allison et al., 2013).

94

95Trait-based models are relevant for this aim because they can account for tradeoffs among
96environmental tolerance and other physiological traits that affect biogeochemical cycling
97(Bouskill et al., 2012; Follows et al., 2007). Building on prior models of drying and
98rewetting responses with simplified soil microbial communities (Evans et al., 2016; Zhang
99et al., 2014), here we update the DEMENT model (Allison, 2014, 2012) to represent drought
100tolerance traits and tradeoffs in diverse microbial communities with explicit spatial
101structure. To mimic real communities, DEMENT represents feedbacks and interactions with
102enzymatic traits involved in decomposition of organic compounds found in litter and soil.
103Here we focus on predicting decomposition rates in surface leaf litter in Southern California
104because microbial decomposers in this environment likely experience very low water
105potentials for much of the year (Dirks et al., 2010; Newell et al., 1991).

106

107Using DEMENT as a conceptual tool, we tested four hypotheses related to microbial drought
108tolerance and litter decomposition (Fig. 1). Because greater ability to tolerate desiccation
109should reduce microbial mortality under drought, we hypothesized that 1) introducing trait
110variation for drought tolerance into the model community should increase litter
111decomposition rates. However, if there are physiological costs associated with drought
112tolerance (i.e. a trait tradeoff), the positive effects on decomposition might diminish.
113Therefore we hypothesized that 2) community drought tolerance, microbial biomass, and
114litter decomposition should decline with increasing tradeoff costs in terms of carbon use
115efficiency (CUE). We framed the tradeoff this way because CUE may decline with increasing
116osmolyte production (Killham and Firestone, 1984a), but we recognize that different costs
117may apply to other drought tolerance mechanisms (such as dormancy). Because lower
118moisture levels should select for microbial taxa with greater drought tolerance, we
119additionally hypothesized that 3) drought treatment (a ~50% reduction in precipitation)
120would increase the average level of drought tolerance in the microbial community.
121Following the same rationale, we hypothesized that 4) increasing the sensitivity of
122microbial death rate to desiccation would increase average drought tolerance.

123

124**2. Material and Methods**

1252.1. Modeling drought responses

126In the DEMENT model, a large number of bacterial and fungal taxa (combined $n = 100$)
127compete on a spatial grid representing the surface of a decomposing leaf. Microbial growth
128in DEMENT is a function of multiple factors, including substrate type and stoichiometry,

129enzyme production rates, uptake investment, and temperature. Cells divide when they
130reach a threshold biomass and disperse to adjacent grid points. Enzymes produced by the
131microbial taxa interact locally with substrates to generate monomers for uptake. Simulated
132extracellular enzymes have a range of kinetic properties, substrate specificities, and
133constitutive versus uptake-driven mechanisms. Model parameters are described in Table 1,
134and model code is available on GitHub (<https://github.com/stevenallison/DEMENT>).

135

136The updated version of DEMENT used here introduces moisture sensitivity of microbial
137mortality, enzyme kinetics, uptake, and abiotic pathways of monomer loss (leaching,
138gaseous emissions, physical movement, etc.). Microbial death rates (τ) are assumed to
139increase as water potential (Ψ , in MPa) declines:

$$\tau = \tau_B (1 - \beta \cdot \Psi (1 - \alpha)) \quad (1)$$

140where τ_B is the bacterial death rate at $\Psi = 0$ (τ_F is the analogous rate for fungi), α is a scalar
141that represents death rate sensitivity to water potential, and β is a drought tolerance
142parameter that can vary between zero and 1. Increasing values of β imply that death rates
143increase more sharply as water potential declines. As β approaches 1, sensitivity to water
144potential approaches zero, and τ converges on τ_B . The parameter α is intended to represent
145drought tolerance, whereby values approaching 1 represent increasing investment in
146drought tolerance mechanisms. The exact mechanism (osmolytes, EPS, cell walls, etc.) is not
147specified, so the current representation of drought tolerance is intended to be generic.

148

149Moisture sensitivity of enzyme and uptake kinetics is represented through modification of
150the per-enzyme reaction velocity V :

$$V = \frac{V_{max}[S]f(T)e^{k_v\Psi}}{K_m + [S]} \quad (2)$$

151 where V_{max} is the maximum reaction velocity per enzyme mass, $f(T)$ is an Arrhenius
 152 function of temperature T , K_m is the half-saturation constant, $[S]$ is substrate concentration,
 153 and k_v is a scalar on the water potential. For instance, a value of 0.05 for k_v would result in a
 154 92% decline in V at $\Psi = -50$ MPa (very dry) compared to $\Psi = 0$ MPa (very wet). Zero values
 155 for k_v result in no moisture sensitivity of V . Abiotic monomer loss rates L are parameterized
 156 with a similar moisture sensitivity function:

$$L = L_0 e^{k_L\Psi} \quad (3)$$

157 where k_L is a scalar on the water potential that results in $L = L_0$ when set to zero. The one-
 158 parameter moisture sensitivity functions for V and L are highly simplified and only
 159 intended to represent a general reduction in process rates as water potential declines. We
 160 do not attempt to parse out changes in diffusion rates, tortuosity, and effective substrate
 161 concentrations. Although relevant, these mechanisms would require a substantial increase
 162 in model complexity to parameterize. However, we do assume that moisture constraints
 163 are more severe for uptake and abiotic monomer loss than for extracellular enzymes that
 164 may still interact with substrates in thin water films (Zhang et al., 2014). Therefore k_v for
 165 uptake and k_L were set to 0.10 as opposed to 0.05 for k_v of extracellular enzymes (Table 1),
 166 meaning that a 92% decline in uptake or abiotic monomer loss occurs at -25 MPa.

167

168 Costs for drought tolerance were implemented through a tradeoff with carbon use
 169 efficiency (CUE). CUE is defined here as 1 - the fraction of carbon uptake that is associated
 170 with growth respiration (Allison, 2014); it does not account for other processes such as

171cellular maintenance or enzyme production that also generate respiration (Manzoni et al.,
1722012b). CUE (ϵ) is assumed to decline with increasing drought tolerance (α) according to:

$$\epsilon = \epsilon_0 - m_D \alpha \quad (4)$$

173where ϵ_0 is the reference CUE and m_D is the parameter that controls the cost of drought
174tolerance.

175

1762.2. Model forcing

177Simulations were forced with temperature, moisture, and litter chemistry data from a
178grassland ecosystem at Loma Ridge, CA, USA (Allison et al., 2013; Parolari et al., 2015). A
179drought manipulation at this site achieves a 40-50% reduction in precipitation by excluding
180selected storm events during the winter rainy season (Fig. S1, Parolari et al. 2015).

181DEMENT requires daily temperature and water potential data for the litter layer (Fig. S1).

182Water potentials were estimated with fuel moisture sensors that detect the water content
183of a standardized 1 cm diameter wooden dowel (Campbell Scientific, CS506-L). In each of
184the ambient and drought treatments, water contents (θ) were averaged from two sensors
185with continuous records from 14 December 2010 to 13 December 2013, aggregated to daily
186averages, and converted to water potential values (MPa) based on birch wood relationships
187described in Dix (1985):

$$\psi = -10^{0.118 - 0.114 \log_{10} \theta} \quad (5)$$

188Water contents generally ranged from 0.05 to 0.70 g water g⁻¹ wood. The fuel moisture
189sensors are subject to instrument drift that could bias the calculated water potentials
190across the treatments. To correct for this potential bias, we scaled the datasets such that
191ambient and drought treatments reached equivalent minimum water potentials during the

192driest summer (2013). Litter chemistry data were taken from ambient conditions in a
193previous study (Allison et al., 2013) and are also given in Table S1.

194

1952.3. Model simulations

196Simulated microbial communities were initiated with 50% bacteria and 50% fungi (by
197biomass) and total biomass densities of $\sim 1 \text{ mg cm}^{-3}$. Although bacteria dominate leaf litter
198in our system (Alster et al., 2013), simulations were initiated with 50% fungal biomass
199because fungi in the model are more vulnerable to extinction due to their larger cell sizes
200and correspondingly smaller population sizes. Note that DEMENT simulates saprotrophic
201fungi, as mycorrhizal fungi are rare in grassland leaf litter (Matulich et al., 2015).

202

203Trait values for each taxon were assigned at random from uniform distributions as in
204Allison (2012, 2014). The limits of the distributions were based on literature values where
205available, and some traits were assigned based on correlations with other traits. A negative
206relationship is assumed between enzyme specificity and enzyme efficiency, and a positive
207relationship is assumed between V_{max} and K_m as in Allison (2012). In contrast to the original
208model, we do not assume a positive relationship between CUE and enzyme production;
209there is no direct effect of enzyme traits on CUE. However, the metabolic costs of enzyme
210production tend to reduce growth efficiency and likely trade off indirectly with drought
211tolerance. Initial trait values are fixed for each taxon, but community-average trait values
212change throughout simulations as taxa with different trait values shift in abundance.

213

214For each field treatment, ambient and drought, we conducted 9 simulation scenarios with
21513 replicates each (Table 2). The “base” scenario assigned a drought tolerance of zero to all
216taxa. For the remaining 8 scenarios, drought tolerance was assigned to taxa based on a
217uniform distribution between zero and 1. Each of the 8 scenarios corresponds to a different
218magnitude of drought tolerance cost ranging from zero ($m_D = 0$) to high cost ($m_D = 0.35$). We
219chose this range because the true costs are poorly known but probably cannot represent
220more than 35% of the substrate uptake rate without severely constraining growth. We also
221tested the consequences of an increase in drought sensitivity of mortality by conducting
222additional simulations (10X Beta) under ambient forcing in which β was increased from 0.2
223to 2.0, and β_B (and β_F) were decreased by a factor of 5.

224

225All simulations were initiated on 14 December 2010 and run on a daily timestep. A new
226cohort of litter was input to the model every 365 days. There is no dispersal of new taxa
227into the simulations; however, cell locations are randomized at the start of each year, and
228taxa that go extinct (reach zero biomass) during a given year may in some cases be
229reintroduced at the start of the next year. Reintroduction can occur because taxa are
230assigned to the model grid at the start of each year based on their average frequencies (not
231their final frequencies) from the prior year. Simulations were run for 3 years (through 13
232December 2013) except for the simulations under ambient conditions which were extended
233to 12 years and forced with the ambient climate record recycled 4 times. The extended
234simulations were run to test whether communities and average trait values would continue
235to change after 3 years.

236

2372.4. Model output analyses

238 Output variables for analysis included drought tolerance, CUE, microbial biomass, enzyme
239 investment, and litter mass loss. All analyses were conducted on the third year of the
240 simulation. For drought tolerance, CUE, and enzyme investment, we calculated average
241 values for the initial community as well as community averages weighted by taxon biomass
242 integrated across the third litter cohort. Our metric of enzyme investment was calculated as
243 the sum of constitutive and inducible enzyme production rates across all enzymes,
244 weighted by each enzyme's V_{max} . Microbial biomass is reported as a total carbon
245 concentration (mg cm^{-3}) averaged across the third simulation year. Litter mass loss is the
246 percentage of initial litter mass lost by the end of the simulation (for the third litter cohort);
247 microbial by-products were not counted as mass lost. We also analyzed shifts in microbial
248 trait values with seasonal changes in moisture by plotting biomass-weighted drought
249 tolerance versus enzyme investment for taxa from all 13 ambient replicates at the end of
250 the wet versus dry seasons.

251

252 Across all scenarios and treatments, replicate number was treated as a random factor, such
253 that all simulations with the same replicate number started with the same random number
254 seed and thus the same initial conditions (taxon traits, cell positions, etc.). Paired t -tests
255 were therefore used to compare means among scenarios and treatments. To account for
256 multiple comparisons, we used $0.05/n$ as the threshold for statistical significance where $n =$
257 the number of comparisons (Bonferroni correction).

258

2593. Results

2603.1. Model dynamics

261 Microbial activity in DEMENT was greatest late in the wet season (Fig. 2). Turnover of litter
262 chemical substrates and formation of microbial byproducts was greatest between March
263 and June (Fig. 2C). Likewise, microbial biomass (Fig. 2B) and respiration (Fig. 2D) were
264 elevated during this time period as substrate was converted into microbial biomass and
265 CO₂. In most simulations, there was a pulse of respiration in October corresponding to the
266 first rain event of the wet season that mobilized monomers accumulated during the
267 preceding dry season.

268

2693.2. Drought tolerance

270 Relative to the base scenario with no drought tolerance, the inclusion of drought tolerance
271 traits in the microbial community had consequences for DEMENT predictions of microbial
272 functioning. After three simulation years, drought tolerance increased in the ambient
273 community only if there was no tradeoff with CUE (i.e. drought tolerance cost = zero, Fig. 3).
274 With weak tradeoffs (low cost scenarios, $m_D = 0.05-0.15$), drought tolerance did not differ
275 significantly from the initial community average. Stronger tradeoffs (costs of 0.20 or
276 greater) resulted in significant selection against drought tolerance.

277

2783.3. Carbon use efficiency

279 Average CUE of the initial community reflects the tradeoff with drought tolerance imposed
280 in the model (Fig. 4). With zero tradeoff, there was no effect of including drought tolerance
281 on CUE. As the CUE cost of drought tolerance approached 0.15 in the ambient simulations,
282 biomass-weighted CUE declined to 0.437 ± 0.005 (mean \pm SEM), which was not significantly

283different from the initial community value. As tradeoff costs increased beyond 0.15,
284biomass-weighted CUE stabilized around 0.434, meaning that taxa with high drought
285tolerance and therefore low CUE were increasingly selected against.

286

2873.4. Microbial biomass, enzyme investment, and litter mass loss

288Relative to the base scenario with no drought tolerance, including zero-cost tolerance in the
289ambient simulations resulted in greater microbial biomass, although the difference was
290only marginally significant ($P = 0.00625$) after Bonferroni correction (Fig. 5A). With further
291cost increases, microbial biomass declined. In contrast to microbial biomass, enzyme
292investment declined under low-cost scenarios but then rebounded as costs increased
293further (Fig. 5B). Trends in litter mass loss reflected offsetting changes in microbial
294biomass and enzyme investment. Despite higher biomass, there was no significant effect of
295zero- or low-cost drought tolerance on mass loss (Fig. 5C). Higher costs of drought
296tolerance reduced mass loss relative to the base scenario, consistent with reduced
297microbial biomass.

298

2993.5. Drought responses

300Simulated drought had almost no effect on biomass-weighted physiological traits yet had
301strong negative effects on microbial biomass and litter mass loss. Relative to ambient
302conditions, drought treatment elicited no significant differences in drought tolerance, CUE,
303or enzyme investment under any of the model scenarios (Fig. 3, Fig. 4, Fig. 5B). In contrast,
304drought treatment significantly reduced microbial biomass by 24-34% (Fig. 5A) and
305significantly reduced mass loss by 28-37% (Fig. 5C) across the model scenarios.

306

3073.6. Increased moisture sensitivity of mortality

308Increasing the sensitivity of microbial death rate to desiccation resulted in significantly
309greater selection for drought tolerance (Fig. 3) but had no significant effect on litter mass
310loss (Table S2). Biomass-weighted drought tolerance was significantly greater than the
311initial community average under zero- and low-cost scenarios (Fig. 3). Under the non-zero
312cost scenarios, increased sensitivity of death rate to desiccation resulted in lower CUE
313relative to the ambient and drought simulations with less sensitive death rates (Fig. 4).
314Although variation in enzyme investment across the cost scenarios was more pronounced,
315there were no major effects of increased sensitivity to desiccation on trends in enzyme
316investment, microbial biomass, or decomposition (Table S2).

317

3183.7. Seasonal changes in traits

319Biomass-weighted trait values shifted across wet versus dry seasons (Fig. 6). Although a
320tradeoff between drought tolerance and CUE is imposed in the model, a tradeoff also
321emerges between drought tolerance and enzyme investment due to the metabolic costs of
322enzyme production. After the wet season, communities were dominated by taxa with low
323drought tolerance and high values for CUE and enzyme investment (Fig. 6A). After the dry
324season, communities shifted to have higher drought tolerance but lower values of enzyme
325investment and CUE (Fig. 6B). This seasonal shift was observed consistently across the
326extended simulations, and there was no evidence for a continued directional change in trait
327values after 3 years. After only 1 year, drought tolerance and enzyme investment traits
328continued to converge on similar wet and dry season values year after year (Fig. 6C).

329

3304. Discussion

331The physical effects of seasonal and experimental drought were well-represented in
332DEMENT. Consistent with empirical data from Loma Ridge, CA (Allison et al., 2013; Alster et
333al., 2013), live microbial biomass declined sharply and there was almost no microbially-
334driven litter decomposition during the dry season (Fig. 2B, C). However, the decline in
335microbial biomass may be unique to litter because microbial biomass measured by
336chloroform fumigation does not decline during the dry season in California grassland soils
337(Boot et al., 2013). Following the first rains, DEMENT predicted a pulse of respiration due to
338metabolism of labile organic carbon accumulated throughout the dry season, consistent
339with observations and models of ongoing enzymatic activity during drought periods in
340semi-arid soils (Zhang et al., 2014). In the drought treatment, which is only imposed during
341the wet season, empirical data from Loma Ridge show that litter decomposition rates
342decline by ~25%, which is consistent with the magnitude of decline predicted by DEMENT
343(Fig. 5C). Thus even small reductions in moisture availability during the wet season have a
344large impact on decomposition because microbial activity is relatively high.

345

346Surprisingly, model outputs did not support the hypothesis that introducing drought
347tolerance would increase litter decomposition rates, even when there was no cost for
348tolerance. Instead our results suggest that biological feedbacks may constrain the effects of
349drought adaptation on decomposition, consistent with previous studies in which
350antagonistic microbial interactions limit functioning (Allison, 2005; Gore et al., 2009).
351Although microbial biomass increased somewhat in communities with drought tolerance

352 traits, biological feedbacks led to an offsetting reduction in enzyme investment (Fig. 5). The
353 feedback involves a shift toward “cheater” strategies in the microbial community, whereby
354 taxa with lower enzyme production (and lower associated costs) increase in abundance.
355 Cheating is favored because higher biomass densities increase access to the enzymatic
356 products of neighboring cells (Allison, 2012). Reductions in enzyme activity have also been
357 observed empirically in response to drought (Sardans and Peñuelas, 2010, 2005).

358

359 Consistent with hypothesis 2, our results indicate that drought tolerance traits and
360 decomposition rates decline with increasing tradeoff costs in terms of CUE. CUE is an
361 important determinant of growth rate and therefore competitive ability in real microbial
362 populations and the DEMENT model (Allison, 2014; Sinsabaugh et al., 2013). Our model
363 formulation reflects evidence that strategies such as osmolyte and EPS production require
364 additional metabolic machinery whose maintenance reduces growth efficiency (Killham
365 and Firestone, 1984a, 1984b; Schimel et al., 2007). Still we recognize that our version of the
366 drought tolerance-CUE tradeoff is a simplification of many physiological mechanisms
367 potentially involved in drought tolerance (Manzoni et al., 2014).

368

369 Nonetheless, any drought tolerance strategy is likely to involve physiological costs. Under
370 desiccating conditions, microbial respiration declines (Manzoni et al., 2012a) and cells
371 must maintain protein conformation, membrane integrity, and other vital functions to avoid
372 death. In a classic review, Potts (1994) described the physiological challenges of desiccation
373 and emphasized osmolyte and EPS production as strategies to stabilize proteins and
374 membranes through water replacement. More recently, osmolyte production has been

375observed in drying soils, but with increased costs in terms of carbon demand (Bouskill et
376al., 2016; Warren, 2016, 2014). Biofilm production also promotes drought tolerance but
377reduces microbial growth potential under culture conditions (Lennon et al., 2012).

378Alternative strategies such as dormancy may entail unique physiological costs, such as
379synthesis and maintenance of spore structures (Lennon and Jones, 2011).

380

381Aside from drought tolerance costs, other factors also influenced the average level of
382drought tolerance observed in our model simulations. Even with cost-free tolerance and
383high sensitivity to desiccation, not all taxa remaining in the community were completely
384drought tolerant after 3 years. The maximum biomass-weighted average drought tolerance
385achieved by a single community was 0.927, and the average maximum across communities
386was 0.776, not 1.0 (Fig. 3). Our extended simulations suggest that these values do not
387increase further over time (Fig. 6C), meaning that the simulated communities will never
388become completely drought tolerant. The reason is probably that in DEMENT and in real
389communities, multiple traits determine taxon performance (Martiny et al., 2015). Due to
390stochastic trait assignment in DEMENT and evolutionary history in real microbes, taxa with
391optimal drought tolerance traits (i.e. $\square = 1.0$) need not have optimal values for other traits,
392such as resource acquisition potential.

393

394In contrast to our third hypothesis, simulated drought treatment had essentially no effect
395on drought tolerance traits, although there were clear negative effects of drought on
396microbial biomass and decomposition rate. This result can potentially be explained by
397strong seasonal variation in moisture availability. Microbial taxa in DEMENT, and in the

398field, experience intense drought every summer season. Seasonality explains the majority
399of variation in microbial community composition at Loma Ridge (Matulich et al., 2015), and
400seasonal shifts in drought tolerance and enzyme investment are evident in DEMENT.
401Together these patterns suggest that drought treatment (40-50% reduction in annual
402precipitation) is a much weaker selective force on microbial communities than seasonal
403drought (Matulich et al., 2015). Microbial taxa that can survive the seasonal drought are
404probably pre-adapted to survive experimental drought, especially given the high degree of
405interannual precipitation variation in this system (Parolari et al., 2015).

406

407Consistent with hypothesis 4, increasing the sensitivity of microbial death rate to
408desiccation resulted in stronger selection for drought tolerance across cost scenarios. This
409result provides insight into the level of CUE cost that microbes might tolerate under
410different conditions. Reducing the baseline death rate while increasing the desiccation
411sensitivity of mortality effectively increased the survival benefit of the drought tolerance
412trait by a factor of two. This benefit can be expressed as the change in death rate for an
413increment in drought tolerance, or the derivative of Eq. 1 with respect to β , which equals
414 $\frac{\partial \lambda}{\partial \beta}$. This value is two-fold greater under the high-sensitivity scenario, explaining why
415more sensitive communities tolerated nearly two-fold greater costs for the same level of
416drought tolerance (Fig. 3).

417

4184.1. Conclusions

419This modeling exercise shows how tradeoffs in microbial traits might affect ecosystem
420processes such as respiration and litter decomposition. Although the true costs of drought

421tolerance are uncertain, the DEMENT model predicts that moderate to high CUE costs
422severely constrain drought tolerance within the microbial community. Surprisingly, at low
423—even zero—costs, increasing drought tolerance may not help maintain decomposition
424rates under dry conditions. Although DEMENT predicts increased survival and greater
425biomass in microbial communities with traits conferring drought tolerance, microbial
426interactions in the model reduce enzyme investment, effectively canceling out any biomass-
427driven impacts on decomposition. These feedbacks suggest a potential mechanism for
428sustaining carbon storage in surface litter under drought. Future empirical studies of
429drought tolerance mechanisms, physiological tradeoffs, and community consequences
430would be useful for validating and generalizing DEMENT model predictions.

431

432**5. Acknowledgements**

433Funding: This work was supported by the Office of Science (BER), US Department of Energy
434Programs in Ecosystem Research and Microbial Communities and Carbon Cycling (DE-
435SC0016410). Funding agencies had no role in the design, analysis, or publication of this
436study. We thank Scot Parker for assistance maintaining the field experiment and collecting
437forcing data. We also thank three anonymous reviewers for comments that improved the
438clarity of the manuscript.

439

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574

575Table 1. Values and units for model parameters.

Variable	Value	Units	Interpretation (with reference if available)
t	365	day	number of iterations
N_E	50		number of enzymes in community
N_S	12		number of substrates
N_U	14		number of uptake transporters
N_B	100		number of taxa
E_a	35	kJ mol^{-1}	activation energy for uptake
E_{aK}	20	kJ mol^{-1}	activation energy for K_m (German et al., 2012)
$K_{mESlope}$	1	$\text{mg enzyme day cm}^{-3}$	slope for $K_m - V_{maxE}$ relationship
K_{mEInt}	0	mg cm^{-3}	intercept for enzyme $K_m - V_{maxE}$ relationship
$K_{mUSlope}$	0.2	$\text{mg biomass day cm}^{-3}$	slope for $K_m - V_{maxU}$ relationship
K_{mUInt}	0	mg cm^{-3}	intercept for uptake $K_m - V_{maxU}$ relationship
V_{maxE}	5 - 50	$\text{mg substrate mg}^{-1}$ enzyme day^{-1}	V_{max} for enzymes
V_{maxU}	1 - 10	$\text{mg substrate mg}^{-1}$ biomass day^{-1}	V_{max} for uptake
λ_{Slope}	-0.8		fractional change in cellulose decay per unit lignocellulose index
E_S	1		minimum number of enzymes capable of degrading each substrate
U_M	1		minimum number of uptake transporters capable of taking up each monomer
E_{max}	40		maximum number of enzymes a taxon may produce
S_E	1		coefficient determining strength of specificity-efficiency tradeoff
α	0 - 1		drought tolerance level
ϵ_0	0.5	mg mg^{-1}	intercept for C use efficiency function (Thiet et al., 2006)
m_T	-0.016	$\text{mg mg}^{-1} \text{ } ^\circ\text{C}^{-1}$	C use efficiency temperature sensitivity (Allison et al., 2010)
m_E	0	mg mg^{-1}	C use efficiency change with enzyme investment
m_U	0	mg mg^{-1}	C use efficiency change with uptake investment
m_D	-0.35 - 0	mg mg^{-1}	C use efficiency change with drought tolerance
Z_{EC}	$1 \square 10^{-6}$ - $1 \square 10^{-5}$	mg C mg^{-1}	per enzyme production cost as a fraction of C uptake rate (inducible)
B_{EC}	$1 \square 10^{-6}$ - $1 \square 10^{-5}$	$\text{mg C mg}^{-1} \text{ day}^{-1}$	per enzyme production cost as a fraction of biomass C (constitutive)
R_{EC}	5	$\text{mg C mg}^{-1} \text{ enzyme C}$	respiration cost of enzyme production
B_{UC}	0.01 - 0.1	$\text{transporter mg}^{-1}$ biomass C	allocation to each uptake transporter as a fraction of biomass
R_{UC}	0.01	$\text{mg C transporter}^{-1}$ day^{-1}	respiration cost of uptake transporters
Z_{EN}	0.3	mg mg^{-1}	per enzyme N cost as a fraction of C cost (Sternner

L_0	0.1	day ⁻¹	and Elser, 2002)
τ_E	0.04	day ⁻¹	abiotic monomer loss rate
τ_B	0.001,	day ⁻¹	enzyme turnover rate (Allison, 2006)
	0.005		bacterial death rate
τ_F	$0.2 \square \square_B$	day ⁻¹	fungal death rate
F_{MS}	0	mg mg ⁻¹	initial monomer present as a fraction of initial substrate
D_B	0.01		initial bacterial cell density per lattice point
D_F	0.0004		initial fungal cell density per lattice point
C_B	0.825	mg mg ⁻¹	bacterial C fraction (Sternier and Elser, 2002)
N_B	0.160	mg mg ⁻¹	bacterial N fraction (Sternier and Elser, 2002)
P_B	0.015	mg mg ⁻¹	bacterial P fraction (Sternier and Elser, 2002)
C_F	0.900	mg mg ⁻¹	fungal C fraction (Sternier and Elser, 2002)
N_F	0.090	mg mg ⁻¹	fungal N fraction (Sternier and Elser, 2002)
P_F	0.010	mg mg ⁻¹	fungal P fraction (Sternier and Elser, 2002)
C_l	0.090	mg mg ⁻¹	tolerance on C fraction
N_l	0.040	mg mg ⁻¹	tolerance on N fraction
P_l	0.005	mg mg ⁻¹	tolerance on P fraction
C_{min}	0.086	mg cm ⁻³	threshold C concentration for cell death
N_{min}	0.012	mg cm ⁻³	threshold N concentration for cell death
P_{min}	0.002	mg cm ⁻³	threshold P concentration for cell death
C_{Bmax}	2	mg cm ⁻³	C concentration threshold for bacterial reproduction
C_{Fmax}	50	mg cm ⁻³	C concentration threshold for fungal reproduction
β	0.2, 2	MPa ⁻¹	desiccation sensitivity of death rate
k_{VE}	0.1	MPa ⁻¹	moisture sensitivity of enzyme V_{max}
k_{VU}	0.05	MPa ⁻¹	moisture sensitivity of uptake V_{max}
k_L	0.1	MPa ⁻¹	moisture sensitivity of abiotic monomer loss rate
F_B	0.5		initial biomass fraction of fungi
ρ_y	0.05		probability of fungi dispersing in γ direction
δ	1	lattice point	maximum dispersal distance
x	100		lattice length
y	100		lattice width

576

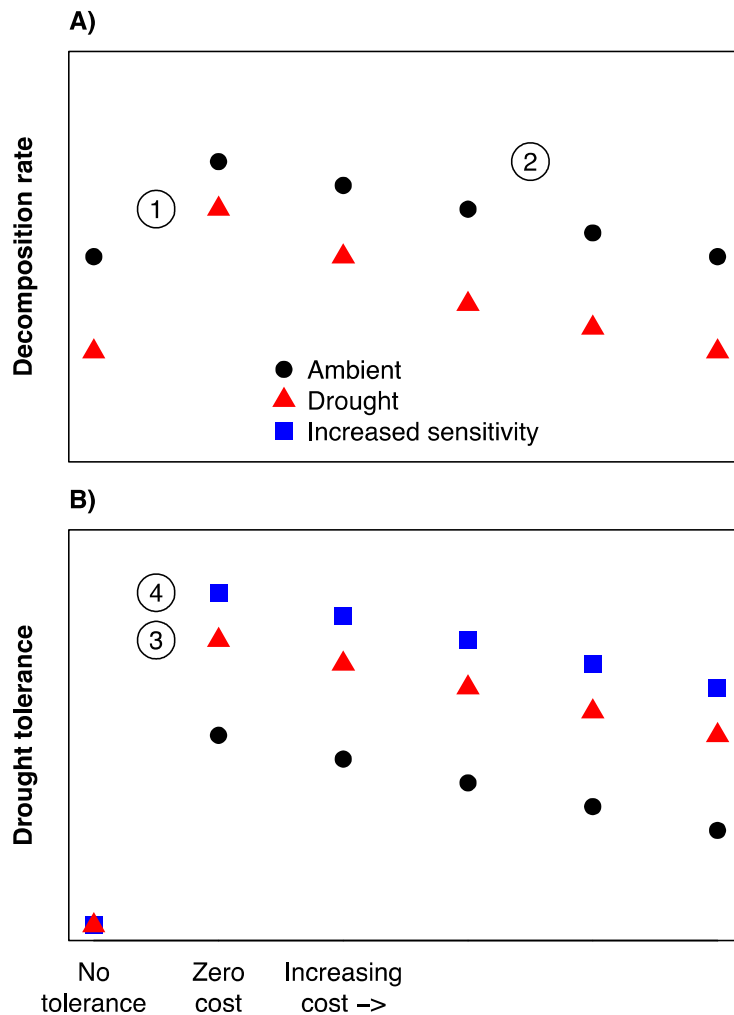
577

578 Table 2. DEMENT model simulation set-up and forcing.

Simulation type	Parameters	Forcing	Replicates	Scenarios
Ambient	Ambient	Ambient	13	9 ^a
Drought	Ambient	Drought	13	9
10X Beta	$\beta=2$, $\beta=0.001$	Ambient	13	9
12-year extended ^b	Ambient	Ambient	13	9

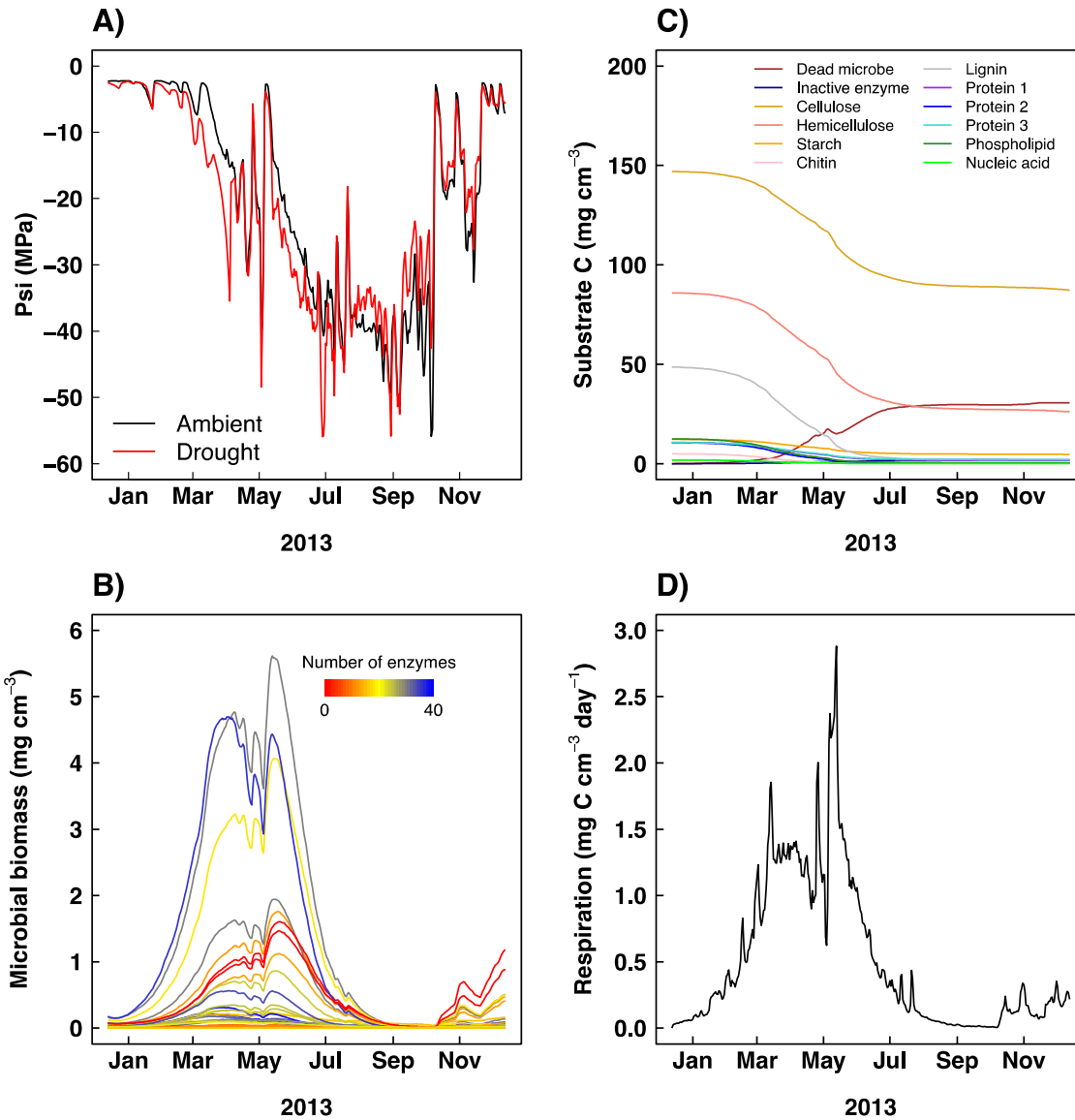
579^a Includes base and $m_D = 0.00, 0.05, 0.10, 0.15, 0.20, 0.25, 0.30, 0.35$

580^b Continuation of the ambient simulations

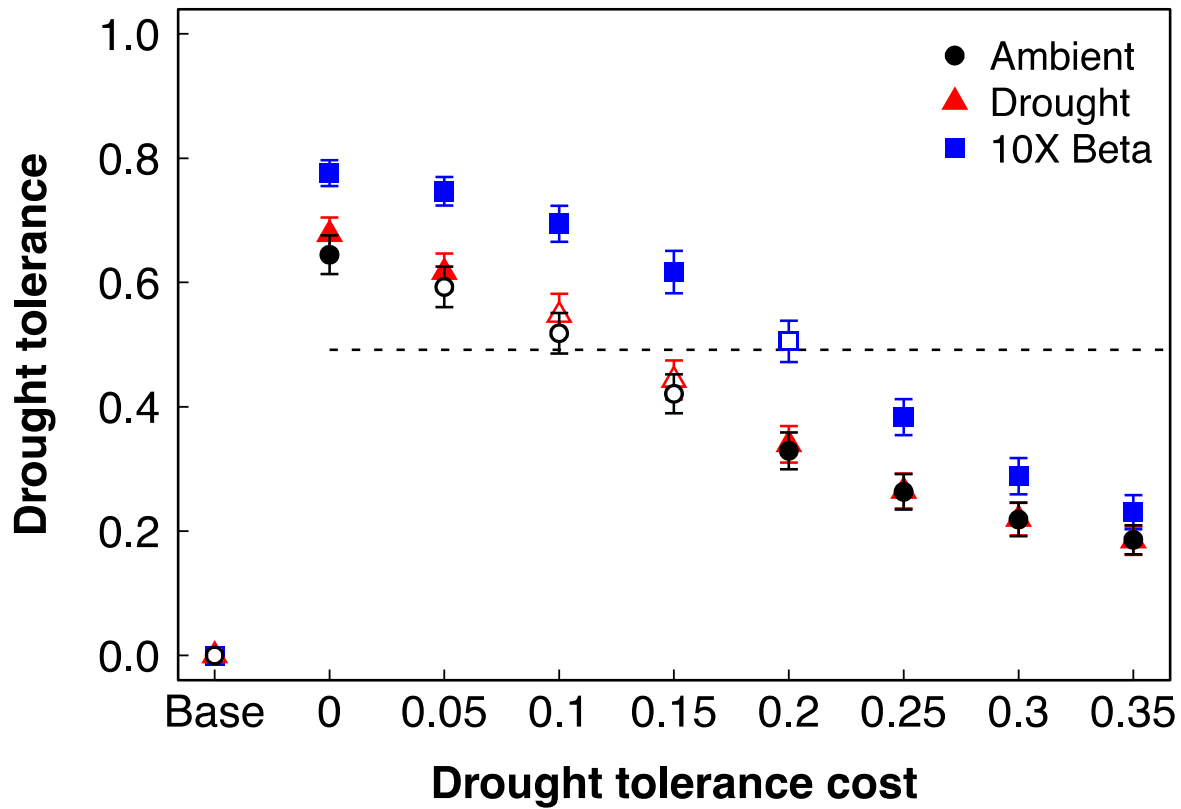


581

582Figure 1. Conceptual illustration of expected patterns in A) litter decomposition rate and B)
583community drought tolerance as a function of differing model assumptions. “No tolerance”
584assumes that there are no drought tolerance traits in the community: mortality rate
585increases similarly with drought stress across all microbial taxa. “Zero cost” assumes that
586members of the microbial community possess varying levels of a drought tolerance trait
587that reduces mortality under dry conditions, but there are no physiological costs.
588“Increasing cost” assumes that greater drought tolerance correlates with greater
589physiological cost, and the magnitude of cost increases from left to right. Circled numbers
590correspond to hypotheses proposed in the main text.



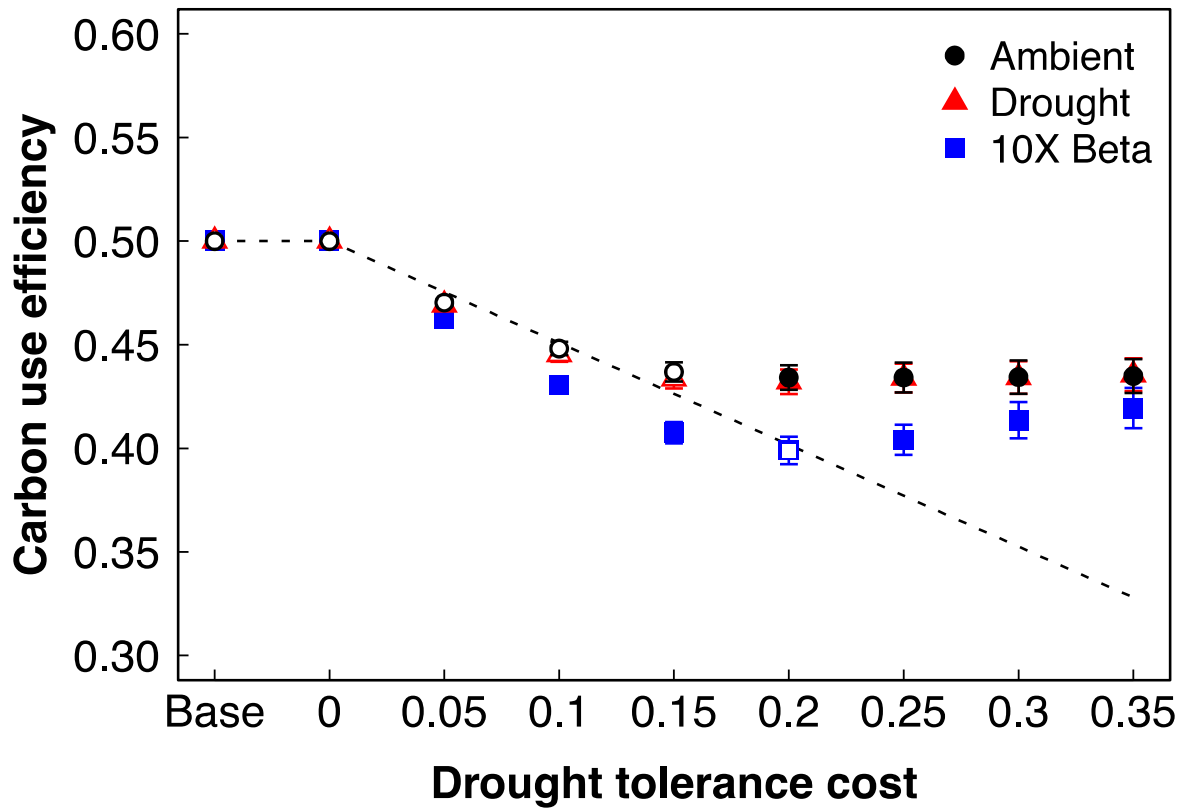
591
 592 Figure 2. DEMENT model forcing and outputs from the third year of simulated litter
 593 decomposition. Time course of A) litter water potential, B) live microbial biomass, C)
 594 substrate pools, and D) respiration. Outputs are from a selected ambient simulation of the
 595 base scenario with no drought tolerance. For microbial biomass, lines correspond to
 596 individual taxa, and colors correspond to the number of enzymes possessed by each taxon.
 597 Total initial biomass density was set to 1 mg cm^{-3} , and initial taxon frequencies were set to
 598 the averages across the prior year.



599

600 Figure 3. Biomass-weighted drought tolerance (mean \pm SEM) as a function of tolerance cost
 601 (Base indicates no drought tolerance in the simulation). Drought simulations were forced
 602 with fuel moisture data from a field experiment. 10X Beta corresponds to simulations with
 603 $\beta_B = 0.001$ and $\beta = 2$. Open symbols indicate no significant difference ($P > 0.005$, paired t -
 604 test) from the initial community average (dashed line).

605



606

607 Figure 4. Biomass-weighted carbon use efficiency (mean ± SEM) as a function of drought

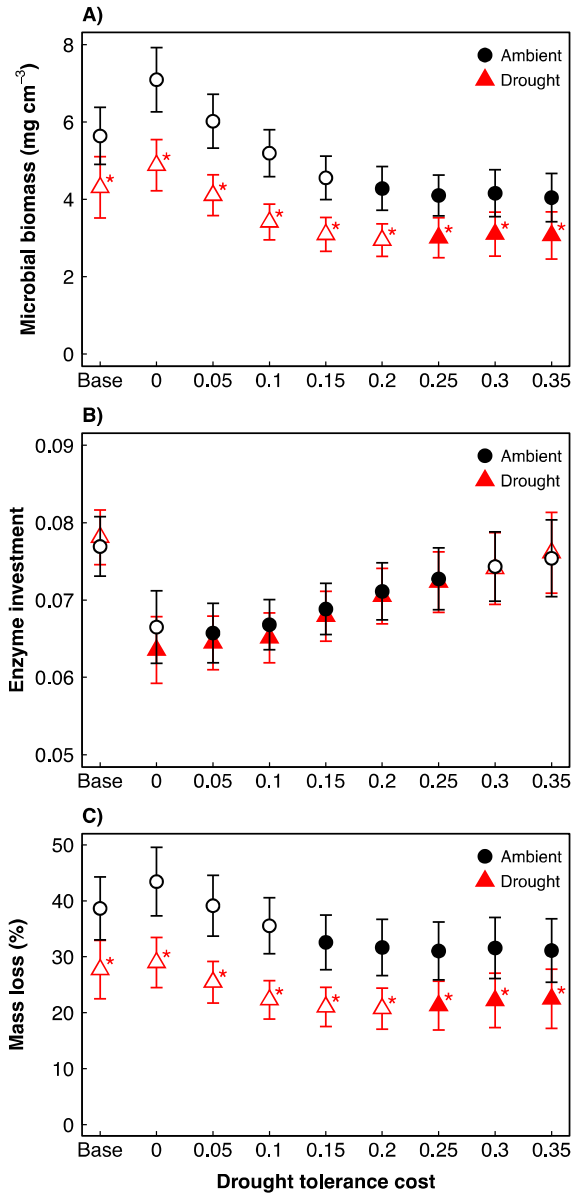
608 tolerance cost (Base indicates no drought tolerance in the simulation). 10X Beta

609 corresponds to simulations with $\beta_B = 0.001$ and $\beta = 10$. Open symbols indicate no

610 significant difference ($P > 0.005$, paired t -test) from the initial community average (dashed

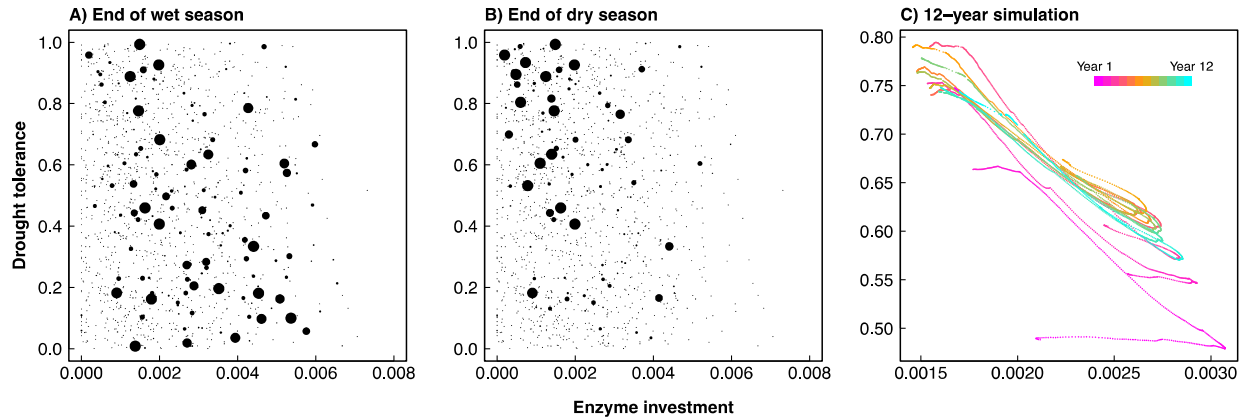
611 line).

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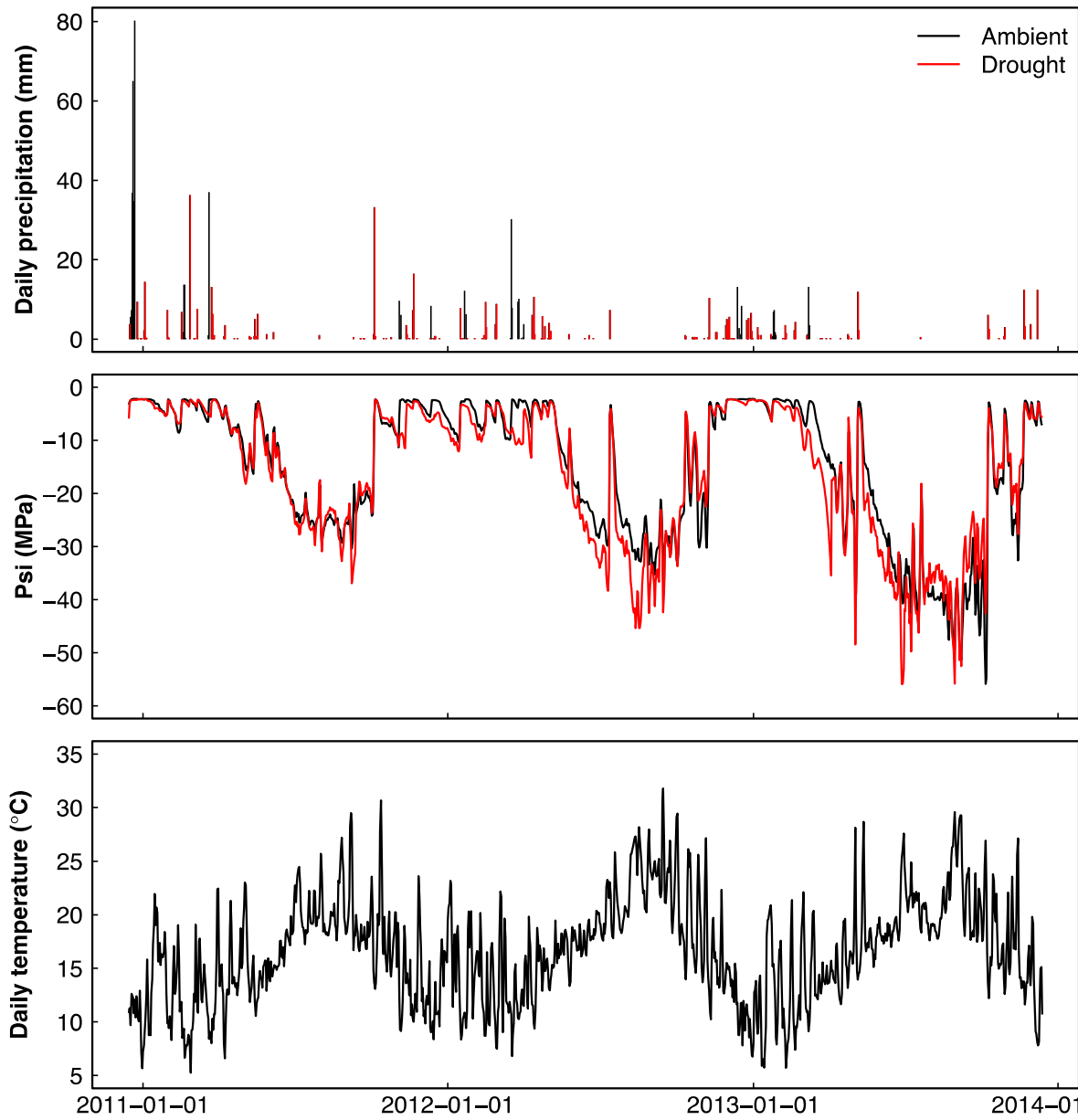
614 Figure 5. Mean (\pm SEM) microbial biomass (A), biomass-weighted enzyme investment (B),
 615 and litter mass loss (C) as a function of drought tolerance cost (Base indicates no drought
 616 tolerance in the simulation). Drought simulations were forced with fuel moisture data from
 617 a field experiment. Open symbols indicate no significant difference ($P > 0.005$, paired t -test)
 618 from the base scenario. Asterisks indicate significant differences between ambient and
 619 drought simulations.



621

622 Figure 6. Community-level tradeoffs among drought tolerance and enzyme investment for
 623 microbial taxa at (A) the end of the wet season (13 March 2013) versus (B) the end of the
 624 dry season (16 September 2013) in 13 replicate ambient simulations (drought tolerance
 625 cost = 0.10). Each point corresponds to an individual taxon, and point sizes are
 626 proportional to taxon biomass normalized to the most abundant taxon within each
 627 simulation at each time point. (C) The biomass-weighted average drought tolerance and
 628 enzyme investment for the same simulations run for 12 years. Points represent daily
 629 averages across the 13 simulations. Discontinuities occur between years because each year
 630 was re-initiated with taxon frequencies averaged across the prior year. Note difference in x-
 631 and y-axis scales.

632



633 2011-01-01 2012-01-01 2013-01-01 2014-01-01
 634 Figure S1. Daily precipitation inputs along with DEMENT forcing data for litter layer water
 635 potential and daily air temperature derived from sensors at Loma Ridge, CA, USA. Water
 636 potential values were derived from fuel moisture content.

637