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1 Quantifying Charge Carrier Localization in PBTTT using Thermoelectric and 2 Spectroscopic Techniques

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15 Abstract: Chemically doped poly[2,5-bis(3-alkylthiophen-2-yl)thiop[3,2-b]thiophene] (PBTTT) shows promise for many organic electronic applications, but rationalizing its charge transport properties is 16 challenging because conjugated polymers are inhomogeneous, with convoluted optical and solid-state 17 transport properties. Herein, we use the semi-localized transport (SLoT) model to quantify how the charge 18 transport properties of PBTTT change as a function of iron (III) chloride FeCl₃ doping level. We use the 19 SLoT model to calculate fundamental transport parameters, including the carrier density needed for metal-20 like electrical conductivities and the position of the Fermi energy level with respect to the transport edge. 21 We then contextualize these parameters with other polymer-dopant systems and previous PBTTT reports. 22 23 Additionally, we use grazing incident wide-angle x-ray scattering (GIWAXS) and spectroscopic 24 ellipsometry (SE) techniques to better characterize inhomogeneity in PBTTT. Our analyses indicate that 25 PBTTT obtains high electrical conductivities due to its quickly rising reduced Fermi energy level, and this rise is afforded by its locally high carrier densities in highly ordered microdomains. Ultimately, this report 26 27 sets a benchmark for comparing transport properties across polymer-dopant-processing systems.

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Keywords: redox doping, charge transport, electrical conductivity, organic electronics, conjugated
 polymers

31 ToC Image:



33 Introduction:

34 Semiconducting polymers are used in a variety of optical and electronic applications because of their synthetic tunability, mechanical compliancy, and processability.¹ One example is poly[2,5-bis(3-35 36 alkylthiophen-2-yl)thiophene] (PBTTT), which shows promise in many applications, such as thermoelectrics²⁻⁴ and transistors.^{5, 6} PBTTT is used in these applications because it is a solution processible 37 conjugated polymer that has remarkably high carrier mobilities (μ ranging from ~ 0.1 to 10 cm² V⁻¹ s⁻¹, 38 measured using multiple techniques)⁷⁻¹⁰ and electrical conductivities (σ , ranging from 10¹ to \gg 10³ S cm⁻ 39 ¹).¹⁰⁻¹⁹ depending on the doping and processing conditions (**Figure 1a**). Despite these high performing 40 41 transport properties, it is not fully and quantifiably understood how and to what extent side-chain chemistry, 42 dopants, and other processing conditions affect transport in PBTTT. Quantifying key parameters and 43 characteristics that lead to these macroscopic transport properties is needed for the rational development of 44 polymer-dopant-processing systems.

45 Charge transport models contextualize macroscopically measurable transport properties to 46 microscopic spatial and energetic distributions and can provide insights into rational design. We have 47 previously demonstrated that a semi-localized transport (SLoT) model can isolate and quantify localized 48 (hopping-like) and delocalized (metal-like) contributions to the measurable electrical conductivity (σ) and 49 Seebeck coefficient (*S*).²⁰ Central to charge transport models is the assertion of a transport function; for the 50 SLoT model, the transport function, $\sigma_{\rm F}(E, T, c)$, is given as:

$$\sigma_{\rm E}(E,T,c) = \begin{cases} 0, E_{\rm t} < 0\\ \sigma_0 \exp\left(\frac{-W_H(c)}{k_B T}\right) \times \left(\frac{E-E_{\rm t}}{k_B T}\right), E_{\rm t} \ge 0, W_{\rm H} \ge 0\\ \sigma_0 \times \left(\frac{E-E_{\rm t}}{k_B T}\right), E_{\rm t} \ge 0, W_{\rm H} < 0 \end{cases}.$$
(1)

51

This transport function quantifies the contributions of charge carriers at a specific electron energy level (*E*), temperature (*T*), and carrier ratio (*c*, the number of charge carriers per site, which is linearly related to the carrier density, n)^{20, 21} to the measurable transport properties, σ and *S*.²²⁻²⁴ Eq. 1 states that charge carriers at some energy level (*E*) less than the transport edge (*E*_t, which can be synonymous with a band edge and

the reference zero energy level, see illustrations in **Figure 1b**) do not contribute to the transport function 56 nor to charge transport. In contrast, charge carriers that have some E greater than E_t contribute meaningfully 57 to charge transport and their contribution is weighted by $\sigma_0 \exp\left(\frac{-W_H(c)}{k_BT}\right) \times \left(\frac{E-E_t}{k_BT}\right)$. σ_0 is independent of 58 59 doping level, can ideally be related to the effective mass and energy independent mobility, and laterally shifts the $S(\sigma)$ curve.²² The exp $\left(\frac{-W_H(c)}{k_BT}\right)$ term captures the hopping-like contribution to charge transport 60 61 due to the spatial and electrostatic localization of charge carriers in an inhomogeneous medium, such as a semiconducting polymer like PBTTT (see illustrations in Figure 1b).²⁵⁻²⁸ For example, charge transport is 62 63 likely greater along the conjugated backbones and between more ordered $\pi - \pi$ stacks, rather than between alkyl side chains and less ordered regions.²⁹⁻³² $W_H(c)$ is the localization energy, and it is calculable from 64 macroscopic transport measurements; therefore, $W_H(c)$ represents a bulk ensemble average. $W_H(c)$ 65 generally decreases as the carrier ratio, or carrier density, increases because the carriers' electrostatic 66 potential wells increasingly impinge on one another and the barrier for hopping decreases (see illustrations 67 in **Figure 1b**).^{27, 29, 33, 34} Eventually, at some carrier ratio, $W_{\rm H}$ may be near negligible ($\leq k_{\rm B}T$ or zero), but 68 all polymer-dopant-processing systems do not necessarily obtain these low $W_{\rm H}$ values.³⁴⁻³⁶ If $W_{\rm H} \lesssim 0$, then 69 localization is not the dominant physical contribution that explains $\sigma(T)$, the SLoT model asserts 70 $\exp\left(\frac{-W_H(c)}{k_BT}\right) = 1$, and the transport function is dependent on only σ_0 and $\left(\frac{E-E_t}{k_BT}\right)$. Lastly, $\left(\frac{E-E_t}{k_BT}\right)$ captures 71 72 the electron energy dependent contribution to the transport function, akin to delocalized and metal-like transport formalisms.²²⁻²⁴ As the charge carrier ratio and density increases, the energy levels which charge 73 74 carriers occupy (E) and the Fermi energy level ($E_{\rm F}$) increase with respect to the transport edge (see 75 illustrations in Figure 1b).

Evaluating the transport function with the Boltzmann transport equation yields expressions that relate the macroscopic and measurable σ and *S* values to these microscopic parameters and distributions detailed in Eq. 1.²²⁻²⁴ The electrical conductivity expression is,

$$\sigma = \sigma_0 \exp\left(\frac{-W_H(c)}{k_B T}\right) \times \int_0^\infty \left(\frac{E-E_t}{k_B T}\right) \left(-\frac{df}{dE}\right) dE,$$
(2)

and Eq. 2 is a function of the energetic distribution of the charge carriers and their localization. As the extent of doping increases, localization decreases and the energy levels that charge carriers occupy increases, leading to large increases in σ . The Seebeck coefficient expression is,

$$S = \frac{k_{\rm B}}{e} \frac{\int_0^\infty \left(\frac{E-E_{\rm t}}{k_{\rm B}T}\right) \left(\frac{E-E_{\rm t}}{k_{\rm B}T} - \eta\right) \left(-\frac{df}{dE}\right) dE}{\int_0^\infty \left(\frac{E-E_{\rm t}}{k_{\rm B}T}\right) \left(-\frac{df}{dE}\right) dE},\tag{3}$$

where $\eta = \frac{E_{\rm F} - E_{\rm t}}{k_{\rm B}T}$, is known as the reduced Fermi energy level, and represents the energetic distance between 82 83 the Fermi energy level (E_F) and the transport edge (see illustrations in **Figure 1b**). Notably, Eq. 3 is only a function of the energetic distribution of the charge carriers and is not a function of σ_0 nor $W_{\rm H}$. Therefore, 84 there is only one η value and one set of Fermi integral values for Eq. 3 to be true at a fixed and measured 85 Seebeck coefficient.²⁴ Furthermore, by measuring $\sigma(T)$ and S(T) and by calculating the Fermi integral 86 values, $W_{\rm H}$ and σ_0 in Eq. 2 are calculable at each doping level. Therefore, all SLoT model parameters are 87 88 calculable from experimental measurements. With the SLoT model, one can isolate the interrelationships between macroscopic transport properties, fundamental transport parameters (e.g. σ_0 , $\eta(c)$, $W_H(c)$), and 89 90 other physical observables (e.g. interchain distances, doping level, dopant intercalation).

91 Herein, we measure the charge transport properties of a n-dodecyl functionalized PBTTT doped 92 with iron(III) chloride (FeCl₃) and use the SLoT model to benchmark its charge transport properties for the 93 first time (Figure 1 a,b). Furthermore, we contextualize the PBTTT SLoT parameters by comparing with 94 other polymer-dopant-processing systems. Lastly, we expand the SLoT model and its physical significance 95 by performing grazing incidence wide angle x-ray scattering (GIWAXS) and spectroscopic ellipsometry (SE) measurements (Figure 1c). With these techniques, we find that the localization energy is independent 96 97 of paracrystalline disorder and that the carrier density in metal-like microdomains are likely higher than 98 that of the macroscopic average.



100 101 Figure 1: Visual summarizing the key facets of this study. (a) Neutral PBTTT-C12 (purple) is sequentially doped with FeCl₃ at 102 various concentrations to control the extent of oxidation and resulting thermoelectric properties. Oxidatively doped PBTTT (blue) 103 contains polaronic charge carriers (likely a combination of polarons and bipolarons) and distinctly different electronic and optical 104 properties (see inset for a digital photograph of neutral PBTTT with a doped circular region). (b) The SLoT model is used to 105 contextualize the thermoelectric properties of PBTTT at various doping levels. At low FeCl3 concentrations and low extents of 106 oxidation, PBTTT is lightly doped, the density of states, g(E), is lightly filled with mobile charge carriers, has a low reduced Fermi 107 energy level, η , and the charge carriers are spatially localized with high hopping activation energies, $W_{\rm H}$ (see leftmost figures in 108 red). In contrast, at high FeCl₃ concentrations and high extents of oxidation, PBTTT is heavily doped, the density of states is heavily 109 filled with mobile charge carriers, has a high reduced Fermi energy level, and the charge carriers can be thought as spatially 110 delocalized with little-to-no hopping activation energies. (c) Cartoon illustrating different measurement techniques and their 111 interaction area and conditions. Thermoelectric measurements, represented by gold square contact pads and dashed gold percolated 112 transport pathway, are indicative of the appropriately weighted bulk ensemble average charge transport in all inhomogeneous microdomains along a closed circuit and percolated pathway. In contrast, scattering and spectroscopic measurements can glean 113 114 insight on microstructure and transport properties in specific microscopic domains that do not require a closed circuit and percolated 115 pathway but may require other physical conditions to be met (e.g., periodic ordering for Bragg diffraction).

117 Methods:

118

PBTTT-C12 was prepared via a Migita-Kosugi-Stille (Stille) polymerization, as outlined in **Note** S1. The repeat unit structure was confirmed with ¹H-NMR, as shown in **Figure S1**, and is consistent with previous reports.³⁷ The molecular weight and dispersity ($M_n = 102 \text{ kg/mol}$, D = 1.8, seen in **Figure S2**) of this polymer were estimated by gel permeation chromatography (GPC) in 1,2,4- trichlorobenzene (TCB) at 140 °C relative to narrow polystyrene standards. Electrochemical measurements show that the onset of electrochemical oxidation, and the redox estimated ionization potential value dictating it, is comparable to previous reports (**Figure S3**).³⁷

126 PBTTT-C12 thin films (ca. 100 nm thick) were prepared by wire bar coating from chlorobenzene 127 onto glass substrates and then thermally annealed at 180 °C for 20 minutes, unless otherwise stated. Films 128 were sequentially doped by dropping a FeCl₃-acetonitrile solution onto the film and allowing the film to 129 oxidize. After oxidation, films were rinsed with excess acetonitrile to remove excess dopant and doping 130 byproducts and then vacuum dried to remove solvent. Figure 1a shows the chemical structures and a representative digital photograph that shows pristine PBTTT with a subsection doped with and 50 mM 131 132 FeCl₃. Cursorily, we note that doping alters the optical absorbance of the film, which will be detailed in subsequent sections. In general, sets of films were doped and then immediately measured to mitigate 133 ambient environment and temporal effects. Additional procedural details and pristine PBTTT 134 135 characterizations are in Note S1.

136

Results and Discussion

140 SLoT Modeling of PBTTT

Figure 2a shows σ and S for PBTTT-C12 as a function of the sequential doping FeCl₃ solution 141 concentration. The FeCl₃ solution concentration was systematically swept from 0.5 to 50 mM to access a 142 143 wide range of extents of oxidation and thermoelectric transport properties. At 0.5 mM FeCl₃ the PBTTT films show low σ (~ 0.01 S cm⁻¹) and high S (~ + 200 μ V K⁻¹), indicating that these films are p-type and 144 lightly doped. With increasing FeCl₃ concentration, σ increases and S decreases, which is consistent with 145 146 the expected $S - \sigma$ anticorrelation and indicates higher carrier densities.²⁰ At 50 mM, PBTTT films show a high σ (420 ± 45 S cm⁻¹) and low S (20 ± 2.3 μ V K⁻¹), consistent with several other PBTTT reports.^{10, 13,} 147 ^{14, 17} We note that higher σ and lower S values have been reported in highly oriented thin films^{11, 12, 18} that 148 can be attributed to a more ordered microstructure (improved inter-chain transport) and to a broadened 149 electronic structure with better orbital overlap.^{38, 39} 150

151 To quantify the effects of FeCl₃ doping concentration on the electronic structure and extent of 152 doping, ultraviolet-visible-near infrared (UV-Vis-NIR) and X-ray photoelectron spectroscopic (XPS) 153 measurements were performed. Figure 2b shows the UV-Vis-NIR spectra for PBTTT films at each FeCl₃ solution concentration. As the FeCl₃ concentration increases, the pristine $\pi - \pi^*$ optical transition at 2.4 154 155 eV bleaches and polaronic absorbances at 1.5 eV emerges and increases, consistent with previous reports¹³ and our measured thermoelectric trends. Additionally, in the range of 3 to 4 eV, two peaks emerge and 156 increase in intensity with increasing doping level. These peaks are attributed to FeCl₄ counterions, which 157 are Coulombically associated with positive polaronic charge carriers.¹⁵ In Figures S5, S6 we deconvolute 158 these peaks and use known extinction coefficents^{15, 40} to calculate the molar ratio of FeCl₄⁻ counterions to 159 BTTT repeat units and then the charge carrier volumetric density.¹⁵ At 50 mM FeCl₃, we calculate a molar 160 ratio of 0.80 ± 0.08 charge carriers per BTTT monomer. This molar ratio is equivalent to a charge carrier 161 ratio of 0.4 (assuming two charge carriers per PBTTT monomer),¹⁴ and a carrier density of 7.6×10^{20} 162 163 carriers cm⁻³ (see **PBTTT-SLoT.xlsx** supporting information for calculations).¹⁴ The value of 0.80 ± 0.08 164 charge carriers per BTTT monomer when doped with 50 mM FeCl₃ and measured using optical 165 spectroscopies is consistent and within error of the XPS measurements and analysis (Figure 2c,d, Figure $\mathbf{S7}$), and the presence of FeCl_x counterions in the films are confirmed using survey and elemental spectra 166 (Figure S8, Table S1). These XPS measurements show that oxidizing PBTTT with 50 mM FeCl₃ results 167 168 in an increased signal at higher binding energies with respect to the pristine and neutral S- $2p_{3/2}$ signal. Using previously established deconvolution procedures,^{20, 29, 35, 41-43} we calculate that this oxidized sulfur signal is 169 approximately 24% of the total signal in the S-2p spectra (S/S_{ox} ~ 0.24, Figure 2d). Therefore, the XPS 170 171 deconvolution indicates that approximately 1 out of every 4 sulfurs in PBTTT are oxidized, or a molar ratio 172 of 0.96 charge carriers per BTTT monomer. Additionally, this maximum carrier density of 7.6×10^{20}

- 173 carriers cm⁻³ is approximately $2 \times$ greater than those in PBTTT-F4TCNQ studies,^{7, 10, 40} and a PBTTT-TFSI
- 174 OECT study,¹⁴ and BTTT copolymer doped with F4TCNQ (ranged from $3-5 \times 10^{20}$),²¹ but this carrier
- density is consistent with several PBTTT-FeCl₃ studies (ranging from 5.8×10^{20} carriers cm⁻³ to 9×10^{20}
- 176 carriers cm⁻³). ^{12, 15, 44} While some of the differences in the calculated carrier densities could be attributed to
- 177 measurement methods and assumptions (e.g., UV-Vis-NIR, XPS, AC Hall), this spread in carrier densities
- is also likely a function of processing conditions (e.g., dopant chemistry and reduction potential) and the
- 179 resulting microstructure (*vide infra*).





182 Figure 2: Quantifying nominal thermoelectric properties, optical properties, and extent of doping for PBTTT-C12 doped with **183** FeCl₃. (a) Electrical conductivity and Seebeck coefficient as a function of FeCl₃ solution concentration. Error bars represent the **184** sample-to-sample standard deviation. (b) UV-Vis-NIR attenuation coefficient as a function of photon energy. (c) Pristine PBTTT- **185** C12 XPS measurement and deconvolution. (d) 50 mM FeCl₃ doped PBTTT-C12 XPS measurement and deconvolution. The low **186** χ^2 values, Abbe criterion, and residual signal (see **Figure S6**) provide a high level of confidence in these deconvolutions.

189 With these carrier ratios and thermoelectric measurements, we apply the SLoT model to gain deeper insights. Figure 3a shows $\sigma_{\rm E_0}$ and η as a function of c, as calculated using the thermoelectric and 190 191 spectroscopic measurements from Figure 2 and Eq. 1-3. σ_{E_0} is the transport function prefactor and can be calculated by dividing the measurable electrical conductivity by the calculated electrical integral (see the 192 193 look-up table in **PBTTT-SLoT.xlsx**). Figure 3a shows that as c increases, σ_{E_0} exponentially increases and then plateaus near 25 S cm⁻¹. Figure 3a also shows that as c increases, η increases, and this is interpreted 194 195 as charge carriers occupying increasingly higher electronic states with respect to the transport edge. Note that when $\eta > 0$, $\eta \sim \int_0^\infty \left(\frac{E-E_t}{k_D T}\right) \left(-\frac{df}{dE}\right) dE$, so η alone linearly increases σ . Lastly, we note that η 196 197 increasing to a maximum of 14 (equivalent of $E_{\rm F}$ shifting by ~0.36 eV with respect to $E_{\rm t}$ at 300 K) is consistent with the ~ 0.3 eV shift intensity-weighted binding energies from XPS (Note S3, Figure S7);^{42,} 198 199 ⁴³ this indicates that a linear-energy dependent transport function (Eq. 1) reasonably describes the electronic 200 structure with these chemistries and processing conditions, and that the shifts in η may be used to 201 contextualize the electronic structure.

202 To quantify the extent of localization, the electrical conductivity and Seebeck coefficient were 203 measured as a function of temperature. At each temperature, σ_{E_0} was calculated from dividing the measured electrical conductivity by the Fermi integral value, and then $W_{\rm H}$ was calculated from the Arrhenius plot of 204 $-\ln(\sigma_{E_0}) vs.\frac{1}{k_BT}$ (Figure 3b). Figure 3b shows representative Arrhenius plots at low (0.88 mM), medium 205 (2.5 mM) and high (50 mM) doping levels. Figures 3b,c show that the slope and calculated $W_{\rm H}$ values start 206 high (greater than 100 meV), decrease to the order of 25 meV (k_BT at 300 K), and continue to decrease to 207 negative slopes (metal-like and thermally deactivated electrical conductivities).^{20, 45} These metal-like $W_{\rm H}$ 208 values calculated at high doping (5 and 50 mM) consistent with other reports on PBTTT.¹⁷ Note that 209 210 although $W_{\rm H}$ can be calculated to be less than zero from temperature dependent measurements, $W_{\rm H}$ is always modeled in Eq. 1 to be greater than or equal to zero because $W_{\rm H}$ captures the systematic decrease in 211 σ due to localization effects.^{20, 25} For each film and at each doping level, σ_0 is calculated from temperature 212 dependent measurements, and Figure S9 shows that σ_0 values are *ca*. 25 S cm⁻¹, on average, and do not 213 214 have a statistically significant linear dependence on η . This is consistent with the plateauing of $\sigma_{E_0}(c)$.

Lastly, the $S(\sigma)$ anticorrelation is evaluated. Figure 3d plots the S, σ coordinates for each film and doping level averages. The solid black curve is calculated using the SLoT model (Eq. 1-3), using the experimental thermoelectric and carrier ratio measurements and their $\eta(c), W_{\rm H}(c)$, and σ_0 regression parameters. This SLoT model curve has no freely adjustable values and accurately captures the experimental data. Additionally, the dashed grey curve represents the delocalized $S(\sigma)$ anticorrelation (*i.e.*

- Kang-Snyder s = 1) which assumes that $\sigma_{E_0} = \sigma_0$ at all doping levels and that σ_{E_0} (and ergo W_H) does not 220 change as a function of doping level.²³ Notably, the SLoT model and delocalized models become colinear 221 when $W_{\rm H} \leq k_{\rm B}T$, and captures the data points that have little to no thermal activation at high doping levels. 222 We note that the SLoT fit is calculated with no freely adjustable parameters in Figure 3d, and this fit is 223 224 remarkably consistent with the SLoT fits previously predicted using previous literature data sets and an adjustable $W_{\rm H}(c)$ relationship (Figure S10).^{14, 17, 20} This consistency further affirms the use of the SLoT 225 model to predict transport parameters from $S(\sigma)$ data when temperature and/or carrier dependent data is 226 227 not available.
- 228





230 Figure 3: SLoT modeling of PBTTT-C12 sequentially doped with FeCl3. (a) SLoT model transport function prefactor and reduced 231 Fermi energy as a function of carrier ratio and density. Inset illustrates the interpretation of increasing η values, akin to Figure 1. 232 (b) Representative Arrhenius plots, where the slopes are equal to $W_{\rm H}$. Note that in this narrow temperature range (288 K – 303 K), 233 the electrical conductivity (and ergo σ_{E_0}) oftentimes has a statistically significant temperature dependence while the Seebeck 234 coefficient does not (ergo η does not observably vary). (c) Localization energy as a function of carrier ratio. Inset illustrates that 235 localization decreases as carriers begin to spatially impinge, akin to Figure 1. Note that the carrier densities reported herein assume 236 the carriers have a +1e and do not transport as a pair (i.e., bipolaron); if all charges were bipolaronic in nature, then the *n* values in 237 (a,c) would be halved of what is presently shown. (d) $S(\sigma)$ curve showing doping level average properties (colored squares, error 238 bars represent sample to sample standard deviation), individual films properties (black squares), delocalized transport model (grey 239 dashed line) and the SLoT model fit with no freely adjustable variables (black line). See Note S3 and PBTTT-SLoT.xlsx for 240 additional details.

242 Developing microstructural-charge transport relationships

The SLoT model becomes more useful when coupled with additional characterization techniques. At minimum, the SLoT model requires temperature dependent thermoelectric and carrier density measurements, but these measurements do not quantify how the atomic structure and/or microstructure affect the resulting observables. Therefore, we now examine how GIWAXS furthers the utility of the SLoT model for this PBTTT-FeCl₃ system.

248 Figure 4a-d show representative two-dimensional diffractograms for pristine, 0.88 mM, 2.5 mM, and 50 mM doped films. These diffractograms show a high extent of ordering for a conjugated polymer 249 system, with lamellar (h00) peaks up to a (400) reflection, consistent with previous reports on PBTTT.^{8, 15} 250 251 Furthermore, these diffractograms show that the crystallite lamellar stacking direction is predominantly 252 along the out-of-plane direction (q_z axis), the (003) intra-chain spacing is predominantly along the nearlyin-plane (q_{xy}) axis. Additionally, there is a distribution of (110) interchain $\pi - \pi$ stacks, with a bimodal 253 predominance near the two poles at all doping conditions. These orientations indicate that these PBTTT 254 255 films are preferentially ordered edge-on with respect to the substrate; however, we note this extent of edge-256 on is qualitatively weaker than that reported in other studies, and may be because these films are thicker 257 (hundreds of nanometers) compared to those commonly used in other studies (tens to hundred of 258 nanometer).¹⁵

259 To gain deeper insight on these diffractograms, we analyzed radially integrated profiles (Figure **4e**). These profiles show that as the extent of doping increases, the lamellar peaks shifts to small q values, 260 261 indicating an expansion in real space from 18.7 Å to 20.6 Å, as shown in **Figure 4f**. Concomitantly, the $\pi - \pi$ stacks contract in real space from 3.58 to 3.45 Å, which suggests dopant intercalation in the side 262 chain region, consistent with previous reports.^{10, 15} The PBTTT-C12 reported here has smaller lamellar 263 spacings compared to PBTTT-C14 (20 Å pristine, 26 Å FeCl₃ doped),¹⁵ as expected, but has notably tighter 264 $\pi - \pi$ stacks compared to previous PBTTT-C_x reports (~3.7 Å pristine, ~3.55 Å doped).^{15, 17} These tighter 265 $\pi - \pi$ stacks may be afforded by the smaller side chains and likely improves charge transport within 266 267 crystalline domains. Furthermore, the quality of the crystalline domain can be evaluated using the

paracrystallinity (g) and the coherence length (L_c) .⁴⁶⁻⁴⁸ Detailed notes on the interpretation and calculations 268 of g and L_c are found in the supporting information (Note S4, Figures S11-12, Table S2), and here we 269 highlight two key findings. First, **Figure 4g** shows that g = 5.5%, on average, for this PBTTT-C12/FeCl₃ 270 271 system in the (110) direction. This is a remarkably low level of paracrystalline disorder for a conjugated polymer (generally ~7–20%).⁴⁹ Therefore, we believe that the ordered domains are exceptionally well 272 273 ordered and tightly packed, but this amount of order does not provide insight on percolation pathways 274 between spatially separated domains. Second, we note that the amount of paracrystallinity (*i.e.* structural 275 disorder) in the (110) direction varies slightly (~ 10%) as a function of doping level; but, the coherence 276 length (*i.e.* crystallite size) in the (100) direction significantly decreases (-44%) with increasing doping 277 level (**Figure 4g**). This indicates that $FeCl_3$ doping substantially decreases the quality of the ordering of the 278 electrically insulating alkyl region, but doping does not as substantially affect the quality of the ordering of 279 the electrically conductive $\pi - \pi$ stacking direction.

280 The fact that g is independent of doping level is quite notable because previous reports have linked (110) paracrystallinity to the broadening of the density of electronic states and the creation of trap and/or 281 282 localized electronic states; these trap states have energetic breadths and field-effect thermal activation energies on the order of ca. 100 meV in the pristine polymer.^{28, 46-50} Similarly, in the dilute carrier limit 283 $(c \sim 0.1)$, the localization energy $(W_{\rm H})$ is within a factor of 2× as predicted using paracrystallinity models. 284 Although g remains effectively constant with respect to doping level, we observe that $W_{\rm H}$ decreases 285 286 significantly with increasing doping level. We hypothesize that this apparent discrepancy between g and $W_{\rm H}$ at higher doping levels is because the reduced Fermi energy levels (and transport properties) probed 287 288 are not at the same energy levels where there is energetic disorder due to paracrystallinity. This hypothesis 289 is reinforced by the fact that when PBTTT is "doped" using a field effect method (i.e. controlling the applied biases), PBTTT oftentimes obtains Seebeck coefficients on the order 700-1000 μ V K⁻¹,⁵¹ which is akin to 290 an η of -7 (~0.2 eV below E_t and ~0.55 eV below 50 mM FeCl₃ doped PBTTT herein). Therefore, this 291 study suggests that the (110) paracrystallinity and structural disorder is not a significant contributing factor 292

to $W_{\rm H}$ at these degenerate doping levels, and we hypothesize the physical mechanisms that limit $W_{\rm H}$ in chemically doped semiconducting polymers are likely the electrostatic attraction of polaronic charge carriers to counteranions, polarization of the local bond order, and the spatial percolation of charge carriers on larger length scales (> ~10 nm).^{20, 25, 27}



299 300 Figure 4: PBTTT-FeCl₃ GIWAXS measurements and analysis. Representative diffractograms for (a) Pristine, (b) 301 0.88 mM FeCl₃ doped, (c) 2.5 mM FeCl₃ doped, and (d) 50 mM doped PBTTT films. Pristine diffractogram shows 302 annotated indices. (e) Radially integrated linecuts. (f) Lamellar (100) and $\pi - \pi q$ values. (g) Coherence length and 303 paracrystallinity. Note that in (f) and (g), the top and bottom x-axes are the same for both plots and that the arrows 304 correspond to the y-axis for each data series. Explicitly, the triangle data points correspond to the left y-axes while the 305 circles correspond to the right y-axes.

Quantifying localization and charge transport using spectroscopic ellipsometry

309 The SLoT model uses macroscopic thermoelectric measurements to define transport parameters 310 that represent an appropriately weighted average of the microscopic ensemble. Though SLoT allows us to 311 model the macroscopic average, conjugated polymers are known to be inhomogeneous. Explicitly, the 312 macroscopic σ is a single value, but it is known that there are regions within the polymer that have larger σ values that are likely electrically insulated (to some extent) by regions with smaller σ values.^{30, 52, 53} This 313 inhomogeneity has been used to improve charge transport and thermoelectric performance; for example, 314 315 Ma *et al.* recently demonstrated that higher thermoelectric power factors are obtained by preferentially doping the ordered regions.⁵³ Although $W_{\rm H}$ is a useful tool to capture the macroscopic effects of 316 317 localization, and GIWAXS is a useful tool to quantify the quality and spacing or molecular packing within 318 crystalline domains, these approaches do not provide information on how the ordered domains are 319 distributed and/or percolated throughout the film and their microscopic transport properties. Measurement techniques, such as atomic force microscopy,⁵² scanning kelvin probe microscopy,⁵⁴ atom probe 320 tomography,⁵⁵ and transmission electron microscopy,⁵⁶ can have sufficient spatial resolution to quantify 321 322 inhomogeneous spatial distributions, but these techniques do not necessarily provide information on the microscopic transport properties. In contrast, there are reports that use thermal^{57, 58} and dielectric 323 324 properties⁵⁹⁻⁶² to contextualize the effects of percolation and effective medium inhomogeneity on the 325 resulting $\sigma(n,T)$ and S(n,T) properties.⁶³ Ultimately, we posit that spectroscopic ellipsometry 326 measurements are useful for characterizing inhomogeneous materials with microscopic regions of varying 327 transport properties.

Here, we explore the use of spectroscopic ellipsometry (SE) to quantify the complex dielectric function in PBTTT, model the dielectric-like and metal-like contributions to the observable optical properties, and relate these contributions to the measurable thermoelectric properties and SLoT parameters.

331 The complex dielectric function is expressed as,

$$\epsilon(\omega) = \epsilon_1(\omega) + i \,\epsilon_2(\omega), \tag{4}$$

where $\epsilon_1(\omega)$ is the real component, and $\epsilon_2(\omega)$ is the imaginary component.⁶⁴ The complex dielectric function can link measurable optical properties, such as reflectivity, to the calculable material parameters, such as carrier densities. Additionally, $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ capture various physical processes such as charge polarization, interband optical transitions, and Drude-like charge transport.^{64, 65}

SE measures the change in the ratio of the polarized light intensities $(tan(\psi))$ and phases (Δ) upon 336 reflection,^{64, 66, 67} and these changes in ψ and Δ are related to $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ through an optical model. 337 338 **Figure 5a** shows representative ψ and Δ values for pristine PBTTT and 50 mM FeCl₃ doped PBTTT with 339 comparable thicknesses, and **Figure S13** shows ψ and Δ at each doping level. Clearly, the measurable ψ and Δ change due to doping, consistent with the prior characterizations, and this suggests that $\epsilon_1(\omega)$ and 340 $\epsilon_2(\omega)$ also change. To quantify $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ in the PBTTT film, an empirical optical model was 341 342 developed to fit the changes in ψ and Δ and extract the film's dielectric function (Note S5 details the optical model). Figure 5a shows the B-Spline fits from the optical model agree well with the measured values, 343 indicating that the $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ values calculated with the optical model for the PBTTT films are 344 345 consistent with the experimental measurements.

Figure 5b-c plots the calculated $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ as a function of doping level and photon energy. ϵ_1 is associated with the ideal polarization of the film without losses, and ϵ_2 is associated with lossy processes such as heat generation, interband absorption, and free charge carrier absorption.^{64, 65} The values for $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ (and their corresponding complex index of refraction values, \tilde{n} and \tilde{k} , Figure S14) are reasonable and consistent with previous measurements on doped and pristine conjugated polymer films.⁶⁶⁻⁶⁸ These consistencies give us a high level of confidence in the $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ calculations.

Most notable is that ϵ_1 in the 50 mM PBTTT shows regimes where $\epsilon_1 \leq 0$ (**Figure 5b**). Typically, metals have negative ϵ_1 values due to free carrier attenuation at frequencies less than the plasma frequency and a positive ϵ_1 at frequencies greater than the plasma frequency due to polarization.⁶⁴ In contrast, Lorentzlike oscillators (*e.g.* band gap transitions) typically exhibit $\epsilon_1 > 0$. Because 50 mM doped PBTTT exhibits both positive and negative ϵ_1 values, it is likely that a combination of free-electron and optical transitions 357 are present, consistent with the UV-Vis-NIR measurements and thermoelectric measurements. This is 358 qualitatively represented by the cartoon illustration in Figure 1c, where the spectroscopic ellipsometry is 359 probing and measuring transitions in multiple spatial regimes. Furthermore, previous reports have also observed similar ϵ_1 behavior with PEDOT:PSS and PEDOT:Tos as a function of processing conditions and 360 doping level (where ϵ_1 changes sign multiple times),⁶⁹⁻⁷² but these studies did not systematically vary the 361 doping level from the pristine state to a fully doped state. Lastly, the optical transitions and ϵ_2 values in 362 363 Figure 5 are in good agreement between and the transmission attenuation in Figure 2. Both analyses show that absorption shifts to the infrared region and the visible region is increasingly bleached with increasing 364 doping level. 365







368 369 Figure 5: PBTTT-FeCl₃ spectroscopic ellipsometry measurements, B-spline fits, and complex dielectric function 370 calculations. (a) Representative ψ and Δ measurements for pristine and 50 mM FeCl₃ doped PBTTT films with comparable thickness (~ 180 nm) on glass substrates. One out of every five measured data points are shown for clarity, 371 372 and line represent the B-spline fitting, calculated using known substrate properties, known film thickness, and assumed 373 Kramers-Kronig consistency. (b) Real component (ϵ_1) of the complex dielectric function. (c) Imaginary component 374 (ϵ_2) of the complex dielectric function.

We now turn to deconvoluting $\epsilon(\omega)$ to better quantify the physical phenomena responsible for the optical transitions. By deconvoluting $\epsilon(\omega)$, we obtain fundamental transport properties such as the carrier density. Here, we deconvolute $\epsilon(\omega)$ using the simplest peak deconvolution models that account for polaronic transitions, free charge carriers, and $\pi - \pi^*$ transitions; however, we note that more complicated models exist that account for specific microgeometries (*e.g.*, core-shell, slabs, *etc.*), in- and out-of-plane contributions (*i.e.*, ordinary, and extraordinary), and grating.^{65, 73, 74}

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Overall, $\epsilon(\omega)$ has the functional form of,

$$\epsilon(\omega) \approx \epsilon_{\infty} - \left[\frac{\sigma}{\epsilon_0\left(\frac{\omega^2 m^* \mu}{e} + i\omega\right)}\right] - \left[\sum \frac{A_l}{(\omega^2 - \omega_l^2) + i\omega\gamma_l}\right].$$
⁽²⁾

 ϵ_{∞} is the dielectric constant at approaching infinitely high frequencies, ϵ_0 is the permittivity of free space, 383 384 ω is the angular frequency, A_l is the *l*-th Lorentzian absorption amplitude, ω_l is the resonant frequency of the *l*-th absorber, and γ_l is the absorption broadening. The first bracketed term accounts for Drude-like free 385 carrier contribution, and the second bracketed term accounts for sum of *l*-number of oscillator transitions, 386 such as $\pi - \pi^*$ optical, polaronic, and vibrational transitions.^{73, 74} The second bracketed term is written in 387 388 the form of a Lorentzian oscillator, but depending on the exact mechanism, the broadening of the Lorentz 389 line shape may be more appropriately modeled using a single Gaussian, multiple Gaussians, Tauc-Lorentz, or Voigt distributions, to name a few.⁷⁴ By deconvoluting ϵ_1 and ϵ_2 at each doping level, the contribution 390 391 of each oscillator and free-electron contribution can be systematically quantified.

Figure 6 shows a representative set of spectroscopic ellipsometry deconvolutions, which isolate the physical contributions to the dielectric loss function. Figure 6a shows the deconvolution for pristine PBTTT. The B-spline fit is well modeled using a single Cody-Lorentz peak, which captures the optical band gap, the $\pi - \pi^*$ transition. While Lorentzian peaks are symmetrical about the harmonic frequency, Cody-Lorentzian peaks are asymmetric about the harmonic frequency and account for more transitions above the harmonic frequency and a steeper cut-off for transitions below the harmonic frequency.⁷⁵ Although multiple peaks could be used to deconvolute the $\pi - \pi^*$ transition,⁷⁶ this becomes increasingly 399 cumbersome when deconvoluting the doped films as there are more free variables. Using the peak 400 deconvolution settings from the pristine PBTTT, we then turn to quantifying the polaronic oscillator 401 contribution. Figure 6b shows the deconvolution for 1.25 mM FeCl₃ doped PBTTT. At 1.25 mM, σ , n, and *u* values are likely too low to significantly contribute to the dielectric function in the UV-Vis-NIR region. 402 403 but there is a polaronic peak near 1.5 eV, akin to Figure 2. This peak is well modeled using a simple Gaussian peak, like previous reports.⁷⁶ Lastly, Figure 6c shows 50 mM FeCl₃ doped PBTTT. Using the 404 405 incremental change in the dielectric function at each doping level (Figure 6), we can begin to isolate the free electron contribution to ϵ_2 . Notably, Figure S15 shows that the 50 mM dielectric function cannot be 406 as well modeled using a polaronic and $\pi - \pi^*$ oscillators alone; therefore, we conclude that the Drude free 407 408 electron contribution is likely necessary. Additionally, this Drude-like contribution is needed to explain the 409 slopes and curvatures in the mid-infrared (MIR) as shown in Figure S6. We note that the Drude contribution 410 in the MIR is convoluted with polaronic absorbances (likely a combination of polaron and bipolaron 411 contributions), and therefore this Drude contribution may be responsible for asymmetric peaks that 412 decreases with increasing energy. Future spectroscopic ellipsometry measurements deeper in the mid-413 infrared and on additional highly electrically conductive polymers will increase the certainty of the Drude 414 contribution in spatially inhomogeneous polymers.

This Drude contribution at 50 mM is modeled by using $n = 6 \ge 10^{21}$ carriers cm⁻³ and $\sigma = 2,200$ S 415 cm⁻¹ (Figure 6c). Although this Drude contribution is consistent with spectroscopic ellipsometry data, it is 416 seemingly inconsistent with the thermoelectric transport data which shows $n = 7.6 \times 10^{20}$ carriers cm⁻³ 417 418 and $\sigma = 420$ S cm⁻¹ (Figures 2,3). Interestingly however, we note that this ellipsometry carrier density is comparable to the carrier density recently reported using an AC Hall technique.⁴⁴ We believe that these 419 420 substantial differences in transport properties is likely because the ellipsometry measurements are probing 421 optical oscillators which are on smaller length scales compared to the macroscopic thermoelectric transport 422 (Figure 1c). It is likely that the carrier densities and electrical conductivities calculated using the Drude 423 component from ellipsometry deconvolutions are a more accurate representation for the electrically

424 conductive domains (likely crystalline regions of the $\pi - \pi$ stacks) whereas the carrier densities and 425 electrical conductivities in Figure 2,3 are better thought as bulk averages, which are systematically lowered by less ordered domains and insulating side chains. We note that PBTTT is ~50% insulating side chain by 426 427 molecular weight, and we recently demonstrated that removing the side chains in dioxythiophene copolymers increases the measure σ by ~10× and *n* by ~2×.^{29, 77} Furthermore, the Drude electrical 428 429 conductivity modeled using ellipsometry is consistent with several reports that show σ in PBTTT is >10³ S cm⁻¹ when highly ordered.^{12, 18, 19} This ellipsometry analysis suggests that highly electrically conductive 430 PBTTT on the macroscale is afforded by a percolated series of highly ordered and Drude-like domains, and 431 432 less electrically conductive PBTTT on the macroscale is due to highly ordered Drude-like domains being 433 electrically isolated from one another. This percolation picture is akin with previous ellipsometry-charge transport reports that compared the differences in ϵ and σ as a function of evaporated Au film thickness,⁶¹ 434 atomic layer deposited Pt/Ru/Pd film thickness,⁶² and the percolation of Ag nanoparticles in a strained 435 elastomer.⁶⁰ Ultimately, this analysis shows that spectroscopic ellipsometry could be useful for 436 437 complimenting structural and thermoelectric measurements and provides a more holistic picture for charge 438 transport.



442 Figure 6: Representative spectroscopic ellipsometry deconvolutions as a function for PBTTT-FeCl₃ doping level. (a) Pristine PBTTT, modeled using only a Cody-Lorenz oscillator for the $\pi - \pi^*$ band gap transition. (b) PBTTT doped with 1.25 mM FeCl₃, modeled using a compared $\pi - \pi^*$ band gap transition and a Gaussian polaronic absorption. (c) PBTTT doped with 50 mM FeCl₃, modeled using a comparable $-\pi^*$ optical transition and polaronic absorption as well as a Drude free electron contribution. Additional deconvolution notes and methodologies are found in Note S5.

449 **Conclusion:**

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451 The charge transport properties of chemically doped semiconducting polymers are difficult to holistically understand because spatial inhomogeneity leads to charge transport properties that vary 452 453 significantly as functions of doping level and spatial coordinate. In this PBTTT-FeCl₃ study, we used the 454 SLoT model to quantify fundamental transport parameters, such as σ_0 , $W_{\rm H}(c)$, and $\eta(c)$, which can be used 455 to robustly compare and design polymer-dopant-processing systems. Using GIWAXS measurements, we 456 conjecture that PBTTT's highly conductive transport parameters are likely because of the smaller $\pi - \pi$ 457 stacking distances that decrease with increasing doping level and have low levels of paracrystalline 458 disorder. Using spectroscopic ellipsometry measurements and deconvolution, we quantify how the complex 459 dielectric function varies with doping and model the Drude contribution to optical properties. We find that 460 these Drude carrier densities and electrical conductivities are larger compared to the SLoT parameters, and 461 we hypothesize that this could be because only the most electrically conductive and metal-like domains 462 will have Drude like optical signatures, while the SLoT parameters weighted by the electrically insulating 463 domains and are more indicative of macroscopic averages.

Moving forward, this study serves two primary purposes. First, we contextualized the SLoT transport parameters for PBTTT-FeCl₃ against other PBTTT studies and semiconducting polymer systems. This benchmark can be used moving forward to better quantify, understand, and compare other polymerdopant-processing systems. Second, we showed how advanced scattering and spectroscopic measurements can be used in conjunction with SLoT to better understand transport properties. With additional SLoT, GIWAXS, and SE measurements, we can better quantify to what extent microstructure and inhomogeneity affect the resulting transport properties.

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474 **Supporting Information**

- 475 Additional characterization procedures and measurements (PDF). SLoT measurements, calculations, and 476 look-up table (XLSX).
- 477

478 **Author Contributions**

479 S.A.G. led optical and thermoelectric measurements, along with analysis of the results and preparing the 480 manuscript. A.A. prepared thin films, collected and analyzed XPS data. J.F.P. prepared and characterized 481 the PBTTT used in this study. G.F. collected and helped analyze GIWAXS data. G.M.S helped with 482 GIWAXS data analysis and interpretation. M.D.L provided insight on and access to spectroscopic 483 ellipsometry equipment and software. J.R.R. and S.K.Y. provided funding, access to equipment, and critical feedback. The authors would like to thank Joshua M. Rinehart for assistance with DSC measurements and 484 485 procedures.

- 486
- 487 All authors participated in the preparation of this manuscript and agree on its final form.
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Conflicts of Interest

514 There are no conflicts to declare.

515 **References**

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