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# Disruption of the *ASTN2/TRIM32* locus at 9q33.1 is a risk factor in males for autism spectrum disorders, ADHD and other neurodevelopmental phenotypes

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**Rare copy number variants (CNVs) disrupting *ASTN2* or both *ASTN2* and *TRIM32* have been reported at 9q33.1 by genome-wide studies in a few individuals with neurodevelopmental disorders (NDDs). The vertebrate-specific astrotactins, *ASTN2* and its paralog *ASTN1*, have key roles in glial-guided neuronal migration during brain development. To determine the prevalence of astrotactin mutations and delineate their associated phenotypic spectrum, we screened *ASTN2/TRIM32* and *ASTN1* (1q25.2) for exonic CNVs in clinical microarray data from 89 985 individuals across 10 sites, including 64 114 NDD subjects. In this clinical dataset, we identified 46 deletions and 12 duplications affecting *ASTN2*. Deletions of *ASTN1* were much rarer. Deletions near the 3' terminus of *ASTN2*, which would disrupt all transcript isoforms (a subset of these deletions also included *TRIM32*), were significantly enriched in the NDD subjects ( $P = 0.002$ ) compared with 44 085 population-based controls. Frequent phenotypes observed in individuals with such deletions include autism spectrum disorder (ASD), attention deficit hyperactivity disorder (ADHD), speech delay, anxiety and obsessive compulsive disorder (OCD). The 3'-terminal *ASTN2* deletions were significantly enriched compared with controls in males with NDDs, but not in females. Upon quantifying *ASTN2* human brain RNA, we observed shorter isoforms expressed from an alternative transcription start site of recent evolutionary origin near the 3' end. Spatiotemporal expression profiling in the human brain revealed consistently high *ASTN1* expression while *ASTN2* expression peaked in the early embryonic neocortex and postnatal cerebellar cortex. Our findings shed new light on the role of the astrotactins in psychopathology and their interplay in human neurodevelopment.**

## INTRODUCTION

Genomic studies driven by the recent advances in microarray and next-generation sequencing technology have begun to uncover the architecture of genetic risk for autism spectrum disorder (ASD) (1,2). Rapid implementation of these genome-wide screening methods in the clinical diagnostic and research settings has facilitated the identification of etiologic variants in some 15% of ASD cases (2). Particularly prominent among these genetic findings have been rare *de novo* and inherited copy number variants (CNVs) and single-nucleotide variants (SNVs) impacting genes encoding cell-adhesion and scaffolding proteins at the neuronal synapse including those from the neurexin (3–5), neuroligin (6), SHANK (7–10), contactin (11–14) and contactin-associated (14–16) protein families. The parallel discoveries of rare mutations affecting several of these and other synaptic genes in conditions such as schizophrenia and intellectual disability (ID) have highlighted the disruption of synaptic homeostasis as a key overarching etiologic factor underlying clinically diverse neurodevelopmental disorders (NDDs) (17–20).

In addition to disruption of synaptic pathways, dysfunction of proteins participating in embryonic neuronal migration has been linked to the etiology of several neurocognitive disorders (21). Notable examples include the disruption of key signaling molecules that stimulate neuronal migration such as *BDNF* deletions in patients with behavioral disorders (22), reelin (*RELN*) as a risk factor for several NDDs including ASD and schizophrenia (23), and the implication of neuregulin (*NRG1*) and its receptor *ERBB4* in risk for schizophrenia (24). The *NRG1/ERBB4* complex is a key facilitator of neuronal migration along radial glial fibers during cortical development of the cerebrum and cerebellum.

Another well-characterized molecule of critical functional relevance to glial-guided neuronal migration is the integral membrane protein astrotactin 1 (*ASTN1*), which forms adhesions between neurons and astroglia as a neuronal cell-surface antigen (25–27). Mouse *Astn1* is highly expressed in migrating granule neuron cells in the cerebellum and also in other brain regions featuring formation of laminar structures via glial-guided neuronal migration including the cerebral cortex, hippocampus and olfactory bulb (28). *Astn1* null mice exhibit impaired migration of cerebellar granule cells, smaller cerebellar size, reduced glial-neuron binding, abnormal Purkinje cell morphology and poorer balance and coordination in behavioral assays compared with wild-type (29). A second member of the astrotactin protein family, astrotactin 2 (*ASTN2*), has recently been found to interact with *ASTN1* in the neuronal membrane and regulate its expression on the neuronal surface, thus mediating the formation and release of neuronal-glial adhesions during migration (30).

Rare CNVs affecting *ASTN2* or both *ASTN2* and *TRIM32*, a small gene nested within an intron of *ASTN2* and transcribed from the opposite strand, at the 9q33.1 locus were the most intriguing findings in our recent genome-wide rare CNV scan for shared risk factors between ASD and ADHD (31). These rare genetic events were significantly enriched in individuals from the ADHD and ASD cohorts (exonic CNVs in 5/597 probands) (Supplementary Material, Fig. S1) compared with a collection of 2357 population-based controls, in which they were absent.

Other genome-wide scans have also detected very rare exonic CNVs at the *ASTN2/TRIM32* locus in a handful of individuals with diverse neurodevelopmental diagnoses (Supplementary Material, Fig. S1) including 3 with ASD (32), 2 with schizophrenia (one patient also had epilepsy) (33), 2 with Tourette syndrome (34), 10 with ID (35,36) and 1 with bipolar disorder (37). All of these CNVs impacted one or more exons of *ASTN2*, while a subset also encompassed *TRIM32*. There have been no reports to date of mutations at the *ASTN1* locus at 1q25.2.

The intriguing preliminary human genetic findings and the well-established functions of the astrotactins in mammalian brain development highlight *ASTN1* and *ASTN2* as promising candidate risk genes for NDDs. We exploited the availability of massive clinical microarray databases to screen systematically for novel mutations affecting these two genetic loci. We sought to elucidate their prevalence and role in human psychopathology, investigate their patterns of transmission and penetrance, and delineate their associated clinical phenotype.

## RESULTS

### Rare CNV findings at *ASTN2/TRIM32* and *ASTN1* regions

We examined microarray data from 89 985 individuals referred for postnatal genetic testing across 10 different sites, including 64 114 NDD subjects (Table 1 and see Materials and Methods). We identified three individuals (two deletions and one duplication) with exonic CNVs overlapping *ASTN1* and 58 individuals with CNVs impacting exons of *ASTN2* (Figs 1 and 2, Table 2; Supplementary Material, Fig. S2). One individual with an exonic *ASTN2* deletion (patient 18) was obtained from the DECIPHER database and was not included in the CNV counts and enrichment analysis, since it was not part of data from the 10 molecular diagnostic sites. The exonic *ASTN2* CNVs used in the analyses included 46 deletions (patients 1–17 and 19–47 in Fig. 1 and Table 2) and 12 duplications (patients 25 and 48–58 in Supplementary Material, Fig. S2 and Table 2). Except for patient 3, who possessed a whole gene deletion completely overlapping all *ASTN2* transcript isoforms, all other individuals had partial deletions or duplications of *ASTN2*. One individual was seen to possess both a deletion and duplication at the *ASTN2* locus (patient 25). Twenty seven of 46 of the deletions but none of the duplications also affected *TRIM32*. There were no exonic CNVs in the clinical dataset that impacted only *TRIM32* without simultaneously affecting one or more exons of *ASTN2*. However, such CNVs could have gone undetected due to being smaller than the resolution of the microarray platforms.

We were able to determine inheritance for 20 of the exonic *ASTN2* deletions (Supplementary Material, Table S1). The deletions were inherited from the mother in 10 (50%) and from the father in 8 (40%) individuals. In two individuals (10%), the deletions arose *de novo* (patients 6 and 36). Although caution is required given the small numbers involved, this observed rate of *de novo* deletions in the cases (2/20) was significantly higher (one-sided binomial test  $P = 0.017$ ) than the expected genome-wide background rate of 1% for *de novo* deletions in the general population. The latter rate was derived from findings in control individuals by previous work (38–40), which used microarrays of similar resolution to those in this study. There have also been recent reports of *de novo* *ASTN2* deletions in

**Table 1.** Clinical case cohorts

Cohort <sup>a</sup>	Total no. of cases	Total no. exonic <i>ASTN1</i> CNVs <sup>b</sup>	Total no. exonic <i>ASTN2</i> CNVs <sup>b</sup>	No. of NDD individuals (males/females)	No. of exonic <i>ASTN2</i> CNVs in NDD individuals
Alberta Children's Hospital	1619	0	1 (1 loss)	1170 (675/495)	1 (1 loss)
BBGRE	14 847	2 (1 loss, 1 gain)	3 (3 losses)	9650 (6486/3164)	1 (1 loss)
Boston Children's Hospital	7320	1 (1 loss)	8 (6 losses, 2 gains)	6623 (4152/2471)	6 (5 losses, 1 gain)
Credit Valley Hospital	3552	0	2 (1 loss, 1 gain)	3098 (2055/1043)	2 (1 loss, 1 gain)
Hospital for Sick Children	7411	0	5 (5 losses)	4863 (3267/1596)	5 (5 losses)
Italian diagnostic labs <sup>c</sup>	6626	0	6 (3 losses, 3 gains)	5568 (3272/2296)	6 (3 losses, 3 gains)
Mayo Clinic	19 131	0	7 (6 losses, 1 gain)	11 208 (7282/3926)	6 (5 losses, 1 gain)
Odense University Hospital	551	0	2 (2 losses)	289 (182/107)	2 (2 losses)
Signature Genomics	26 973	0	17 (13 losses, 4 gains)	19 690 (11 617/8073) <sup>d</sup>	13 (11 losses, 2 gains)
The Centre for Applied Genomics <sup>e</sup>	1955	0	7 (6 losses, 1 gain)	1955 (1450/505)	7 (6 losses, 1 gain)
Total	89 985	3 (2 losses, 1 gain)	58 (46 losses, 12 gains)	64 114 (40 438/23 676)	49 (40 losses, 9 gains)

BBGRE, brain and body genetic resource exchange (<http://bbgre.org>); NDD, neurodevelopmental disorders.

<sup>a</sup>Ten different molecular diagnostic sites that contributed clinical microarray data for this study. Further descriptions are available in the following references: Chen *et al.* (97) for Boston Children's Hospital data, Hodge *et al.* (53) for Mayo Clinic data, Rosenfeld *et al.* (98) for Signature Genomics data and Ahn *et al.* (99) for BBGRE data. The microarray platforms utilized at each site and their corresponding number of probes within *ASTN1* and *ASTN2* are summarized in Supplementary Material, Table S9.

<sup>b</sup>All CNVs in the clinical cohorts <6 Mb that overlapped one of more exons of *ASTN1* or *ASTN2* were included in the counts above.

<sup>c</sup>Italian cohort includes data from individuals tested at five different molecular diagnostic sites: Cremona, Pavia, San Giovanni Rotondo, Tor Vergata and Troina.

<sup>d</sup>Sex distribution of the Signature Genomics cohort was extrapolated from that found in a sampling cross-section of the data by Ernst *et al.* (22).

<sup>e</sup>The Centre for Applied Genomics cohort includes 415 Canadian individuals with ADHD (Lionel *et al.* (31)) genotyped on the Affymetrix 6.0 ( $n = 248$ ) and the Affymetrix CytoScan HD ( $n = 167$ ), 174 individuals with OCD genotyped on the Illumina Omni2.5M-quad and 1366 Canadian individuals with ASD (Sato *et al.* (7)) genotyped on one of the following microarray platforms: Affymetrix 6.0, Agilent 1M, Illumina 1M or Affymetrix CytoScan HD.

individuals with ID (35,36). Inheritance testing was performed for 7 of the individuals with exonic duplications. These events were maternally inherited in five (71%) individuals and paternally inherited in the other two (29%). Both deletions at the *ASTN1* locus were found to be *de novo*, and the duplication was seen to be paternally inherited.

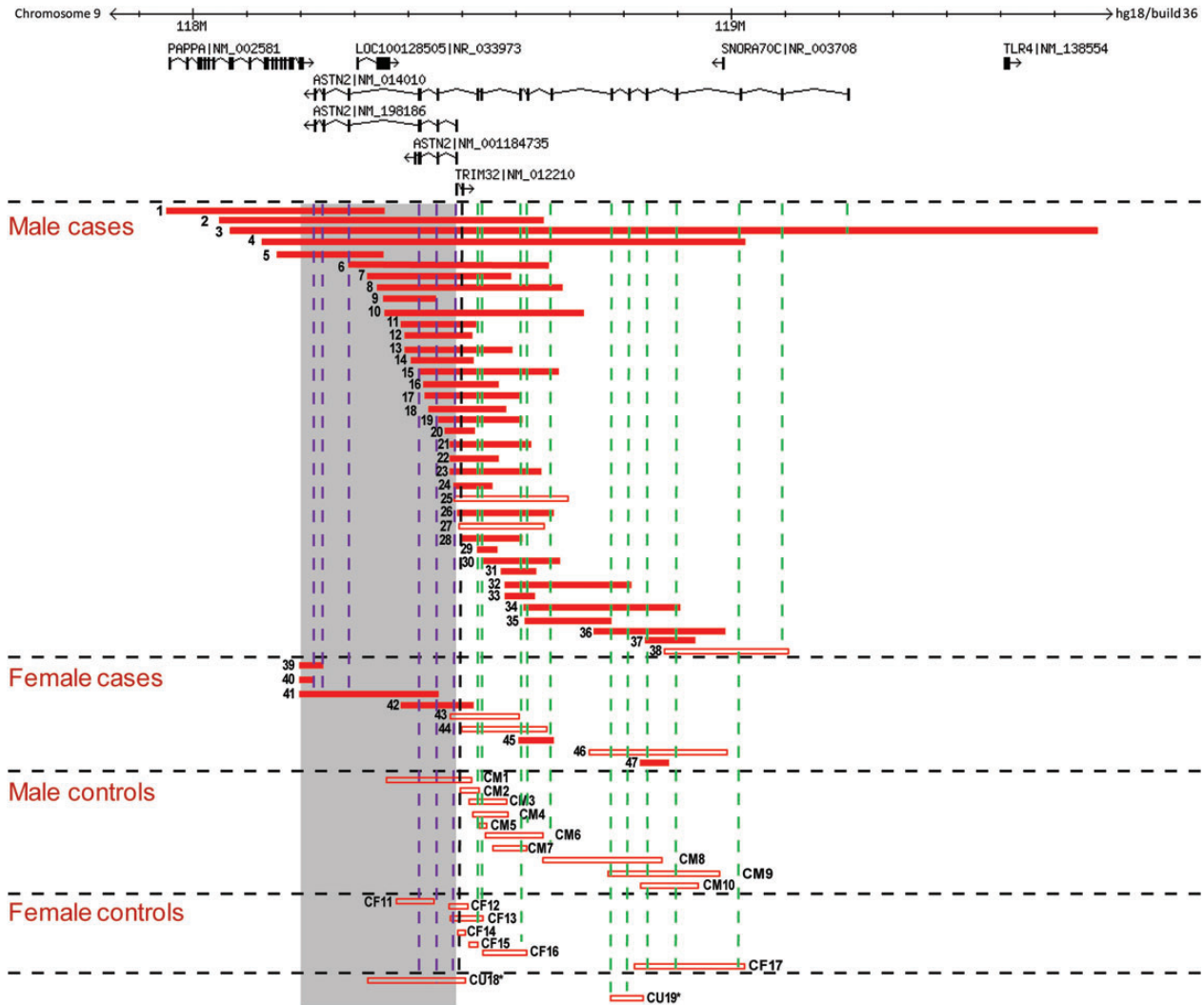
Other rare CNV findings in the individuals with exonic variants at *ASTN2* or *ASTN1* were inspected and categorized (Supplementary Material, Table S1) based on American College of Medical Genetics guidelines for CNV interpretation (41). Of the 46 individuals with *ASTN2* exonic deletions, only one had another CNV that could be classified as 'Pathogenic' or 'Uncertain clinical significance: likely pathogenic (UCS-LP)'. Male patient 16 had a 2.5 Mb microdeletion at the 22q11.2 Velocardiofacial syndrome locus. None of the 12 individuals with *ASTN2* duplications had other CNVs in the pathogenic or UCS-LP categories. One of the individuals with a *de novo* deletion overlapping *ASTN1* (patient 61) had an additional *de novo* deletion on chromosome 1 in the UCS-LP category.

### Exonic deletions affecting multiple isoforms of *ASTN2* and/or *TRIM32* are significantly enriched in NDD cases

The majority of the individuals with exonic *ASTN2* CNVs (40/46 with deletions and 9/12 with duplications) belonged to the case subset of 64 114 with NDD phenotypes (Table 2). We observed significant enrichment of *ASTN2* exonic deletions ( $P = 0.01$ ; OR = 2.691; 95% CI = 1.134–7.767) in the NDD cases compared with the 25 871 non-NDD cases in the clinical cohort but not for exonic duplications ( $P = 0.531$ ; OR = 1.211; 95% CI = 0.302–6.954). Two of the three individuals with CNVs overlapping *ASTN1* presented with NDD phenotypes (Table 2).

Upon inspection of microarray data from 44 085 control individuals (Supplementary Material, Table S2 and Materials and

Methods), we discovered 18 exonic deletions and five duplications at the *ASTN2* locus, one deletion exonic solely to *TRIM32* and one deletion affecting *ASTN1* (Figs 1 and 2, Supplementary Material, Fig. S2 and Table S3). The frequency of exonic *ASTN2* duplications in controls did not differ significantly from that of either the NDD or non-NDD case cohorts (Table 3). The relative frequency of exonic *ASTN2* deletions in NDD cases compared with controls was above the threshold for significance ( $P = 0.084$ ). In a secondary analysis, we observed a strong enrichment of deletions around the 3' end of *ASTN2* (Fig. 1) that disrupted multiple transcript isoforms of *ASTN2* in NDD cases versus controls ( $P = 0.002$ ; OR = 3.714; 95% CI = 1.41–12.356) but not in non-NDD cases versus controls (Table 3). To test the robustness of this secondary analysis, we performed a permutation-based multiple test correction. After permuting 70 000 times the case–control labels of the 64 114 NDD cases and 44 085 controls, we found only 133 of 70 000 permutations with an FET  $P$ -value of  $\leq 0.002$ , corresponding to a type I error estimate of 0.0019 that is almost identical to the real test  $P$ -value. Considering the expanded set of tests for losses and gains overlapping the multiple isoform or long isoform region or any of the two ( $2 \times 3 = 6$  tests), we found 457 of 70 000 permutations with at least one test with FET  $P$ -value  $\leq 0.002$ , corresponding to a multiple test permutation-corrected association  $P$ -value of 0.007. This indicates that the significant enrichment we observe is robust to the type of multiple tests that were performed. Deletions near the 5' end of *ASTN2* that affected only the long *ASTN2* transcript isoform (NM\_014010) were not enriched in NDD or non-NDD cases versus controls (Table 3). Deletions affecting *TRIM32* were significantly enriched in NDD cases ( $P = 0.019$ ; OR = 2.636; 95% CI = 1.043–7.916) but not in non-NDD cases compared with controls (Table 3).



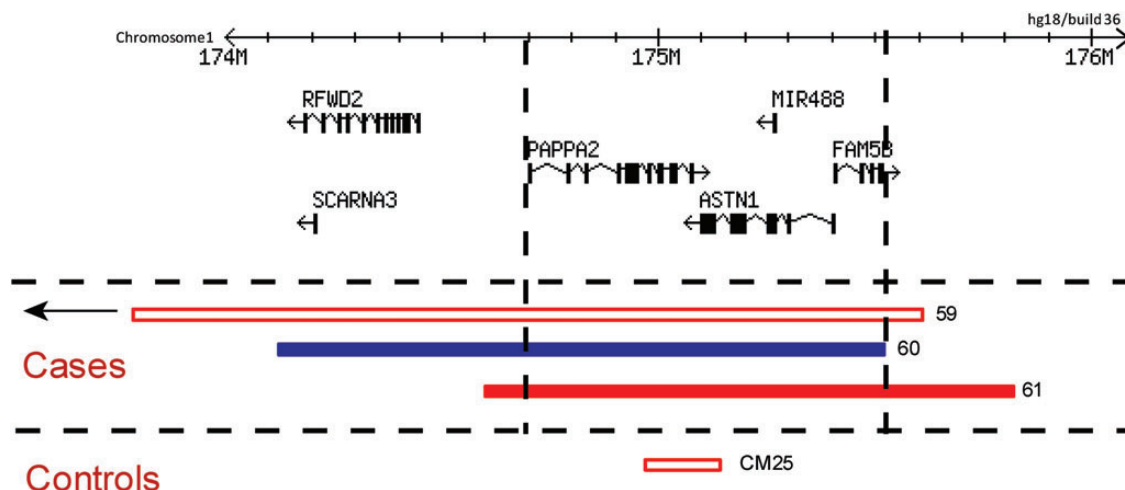
**Figure 1.** Exonic deletions found at the *ASTN2/TRIM32* locus in clinical and control cohorts. Exonic deletions identified in 46 of 89 985 cases and 19 of 44 085 controls are depicted. Filled red bars represent deletions detected in individuals with NDD phenotypes. Empty red bars denote deletions in cases without known NDD phenotypes (from available clinical information) and in controls. Shaded gray region denotes the critical region defined by deletions that disrupt multiple isoforms of *ASTN2*. Numbers adjacent to the bars are the randomized sample identifiers of individuals with the deletions and correlate with information in Table 2, Supplementary Material, Tables S1 and S3. Gender information was not available for the two control individuals marked with \* at the bottom of the figure. Dashed purple lines intersect deletions that overlap exons shared by multiple *ASTN2* isoforms and dashed green lines intersect those affecting only the long isoform. Dashed vertical black line intersects deletions that overlap an exon of *TRIM32*. Genomic locations and coordinates are based on hg18 (NCBI36). Information about genes and transcript isoforms was obtained from the RefSeq database. The three transcript isoforms of *ASTN2* possessing different numbers of exons are depicted including the long isoform (NM\_014010) and two shorter isoforms (NM\_198186 and NM\_001184735). The three other shorter isoforms of the gene (NM\_198187, NM\_198188 and NM\_001184734) have the same number and location of exons as NM\_198186 but differ slightly in the length of their first and terminal exons and UTRs.

The functional impact of two independent deletions affecting multiple *ASTN2* isoforms on *ASTN2* expression was tested in lymphoblast cell lines from six individuals with such deletions including patients 14 and 22 (Supplementary Material, Fig. S3A). Expression was significantly lower in *ASTN2* deletion carriers (Supplementary Material, Fig. S3B) compared with expression levels in nine individuals with two copies of *ASTN2*.

#### Exonic deletions affecting multiple isoforms of *ASTN2* and/or *TRIM32* are significantly enriched in male NDD but not in female NDD individuals

We observed a difference in sex-specific frequencies for *ASTN2* exonic deletions among the NDD cases, with an excess of such

events in male cases compared with female cases (two-tailed  $P = 0.003$ ; OR = 3.32; 95% CI = 1.377–9.672), but not for duplications ( $P = 0.168$ ; OR = 4.684; 95% CI = 0.628–207.702). We did not see a sex-specific difference in deletion frequencies among the controls. The sex-specific difference was also observed for the deletions at the 3' end of *ASTN2* (Fig. 1) that disrupted multiple transcript isoforms of the gene. Such deletions were enriched in the male NDD cases compared with male controls ( $P = 0.005$ ; OR = 8.509; 95% CI = 1.381–350.06). On the contrary, the frequency of these events in female NDD cases did not differ relative to female controls (Table 3). We tested the robustness of the enrichment in male cases using a permutation-based multiple test correction. After permuting 70 000 times the case–control labels of the



**Figure 2.** Exonic CNVs found at the *ASTN1* locus in clinical and control cohorts. Red and blue bars represent deletions and duplications, respectively, that overlap *ASTN1*. Empty bars denote CNVs in cases without known NDD phenotypes (from available clinical information) and in controls. Dashed black lines outline the common region of overlap shared among the three CNVs detected in the clinical case dataset. Genomic locations and coordinates are based on hg18 (NCBI36). Information about genes and transcript isoforms was obtained from the RefSeq database.

individuals with sex information, we found only 668 of 70 000 permutations with an FET  $P$ -value of  $\leq 0.005$ . This corresponds to a multiple-test corrected  $P$ -value (type I error estimate) of 0.009 and provides evidence that such deletions are indeed NDD risk factors in male individuals.

We specifically tested the significance of the effect of sex with respect to the NDD case versus control association results. The logistic regression model interaction analysis (interaction coefficient  $P$ -value: 0.094) and the Tarone's test for odds ratio heterogeneity ( $P$ -value: 0.061) supported a trend for the interaction of sex with NDD phenotype in individuals with deletions affecting multiple *ASTN2* isoforms. These results were observed to be robust upon reassessment after randomly re-assigning sex to subjects within each of the case and control cohorts: logistic regression (768/70 000 permutations  $\leq 0.094$ ; multiple test corrected  $P$ -value = 0.011) and Tarone's test (4877/70 000 permutations  $\leq 0.061$ , multiple test corrected  $P$ -value = 0.069). We additionally confirmed these trends by re-testing the Fisher's exact test for enrichment of deletions affecting multiple isoforms in males (4045/70 000 permutations  $\leq 0.005$ ; multiple test corrected  $P$ -value = 0.058), showing that the sex label permutation (in cases and controls separately) decreases the significance of the association. Overall, these results suggest sex as a key modifier of the association of 3'-terminal *ASTN2* deletions to NDD risk, but an expanded cohort is required to conclusively prove this trend.

#### Rare SNV findings at *ASTN2/TRIM32* and *ASTN1*

Screening of additional Canadian ASD individuals by whole-exome sequencing (WES) and whole-genome sequencing (WGS) identified several rare (present at  $< 1\%$  frequency in the 1000 genomes data) missense coding variants (Supplementary Material, Tables S4–S6) at the three genes of interest: *ASTN1* (seven SNVs in eight individuals), *ASTN2* (five SNVs in five individuals) and *TRIM32* (one SNV in one individual). No nonsense or frame-shift mutations were detected in the

three genes. Seventy-seven percent of the 13 SNVs were predicted to be damaging by at least one of either the SIFT or PolyPhen software programs. However, these variants were all found to be inherited from parents with no reported ASD phenotypes (Supplementary Material, Table S4–S6). There were no *de novo* or loss-of-function mutations reported at *ASTN1*, *ASTN2* or *TRIM32* in published exome sequencing data from ASD individuals (42–45).

In addition, we accessed the NIH National Heart, Lung and Blood Institute (NHLBI)-ESP database to evaluate the presence of loss-of-function mutations in *TRIM32* and *ASTN2*. *TRIM32* has one stop-gain (rs199664043) supported only by 1 of 13 005 alleles, which affects only 41 of 653 amino acids. *ASTN2* has only one putative splice variant, also supported only by 1 of 13 005 alleles, potentially affecting the last two coding exons of isoform NM\_198188.2, but not the other isoforms. We can conclude that loss-of-function SNVs disrupting the gene products of *TRIM32* and *ASTN2* are extremely rare and almost never observed.

#### Clinical phenotypes observed in individuals with rare CNVs of interest

The reasons for referral for genetic testing, together with more detailed clinical phenotypes when available, were obtained (Supplementary Material, Table S1) for the 61 individuals with exonic CNVs at the *ASTN2/TRIM32* and *ASTN1* loci (Table 2). Given the enrichment of exonic *ASTN2* deletions in cases relative to controls, we examined the clinical features of individuals with such CNVs for phenotypic trends. The major commonality among these individuals was some form of an NDD phenotype, which was observed in 87% of *ASTN2* deletion cases ( $n = 41$ ). The most common NDD diagnoses were speech–language delay ( $n = 18$ ), ASD ( $n = 12$ ), ADHD ( $n = 9$ ), generalized developmental delay (DD) ( $n = 9$ ), anxiety ( $n = 9$ ), obsessive compulsive disorder (OCD) ( $n = 6$ ) and learning disability ( $n = 8$ ) (Table 2). Gross motor delay was

**Table 2.** Genetic and clinical information for individuals with rare CNVs of interest

Case no.	Sex	Site <sup>a</sup>	CNV coordinates (hg18)	CNV size	CNV	NDD phenotypes <sup>b</sup>
1	M	TCAG	chr9:117 954 428–118 356 243	401 816	Loss	ADHD, Anx, LD, SD
2	M	OUIH	chr9:118,055 333–118 646 904	591 572	Loss	DD, MD
3	M	ACH	chr9:118 069 649–119 679 670	1 610 022	Loss	Chiari I malformation
4	M	MC	chr9:118 130 121–119 029 857	899 737	Loss	Hydrocephalus, Mac
5	M	HSC	chr9:118 164 272–118 358 705	194 434	Loss	ADHD, ASD, DD, LD, Mic, MD, OCD, SD
6	M	SG	chr9:118 291 060–118 661 674	370 615	Loss	Anx, ASD, LD, Mac, MD, OCD, SD
7	M	SG	chr9:118 327 395–118 595 433	268 039	Loss	ASD, DD
8	M	CVH	chr9:118 342 936–118 685 436	342 501	Loss	DD, seizures
9	M	BCH	chr9:118 358 646–118 459 563	100 918	Loss	Anx, DD, Mac
10	M	ITA	chr9:118 358 837–118 728 270	369 434	Loss	ASD, SD
11	M	HSC	chr9:118 390 436–118 524 432	133 997	Loss	DD, ID, LD, MD, SD
12	M	SG	chr9:118 395 767–118 520 501	124 735	Loss	ADHD, SD, CNS disorder
13	M	SG	chr9:118 395 767–118 595 433	199 667	Loss	Hydrocephalus
14	M	TCAG	chr9:118 407 129–118 523 510	116 382	Loss	Anx, ASD, SD
15	M	SG	chr9:118 421 170–118 683 092	261 923	Loss	Behavioral problems
16	M	SG	chr9:118 430 585–118 569 556	138 972	Loss	DD, MD, seizures, SD
17	M	SG	chr9:118 430 585–118 610 907	180 323	Loss	DD, MD, SD
18	M	DEC	chr9:118 440 935–118 584 415	143 481	Loss	ID, Mac
19	M	MC	chr9:118 459 294–118 616 407	157 114	Loss	Chronic static encephalopathy
20	M	BCH	chr9:118 469 713–118 524 312	54 600	Loss	DD, LD, tics
21	M	TCAG	chr9:118 479 893–118 627 637	147 745	Loss	ADHD, Anx, OCD, tics
22	M	TCAG	chr9:118 480 042–118 570 447	90 406	Loss	ADHD, ASD, Mac, OCD, SD, seizures
23	M	TCAG	chr9:118 481 308–118 654 031	172 724	Loss	Anx, OCD
24	M	BCH	chr9:118 488 204–118 558 274	70 071	Loss	ASD, seizures
25	M	BCH	chr9:118 488 204–118 700 657	212 454	Loss	–
			chr9:118 814 591–118 867 559	52 969	Gain	
26	M	TCAG	chr9:118 493 276–118 670 608	177 333	Loss	ADHD, Anx, LD
27	M	BBG	chr9:118 497 759–118 661 673	163 915	Loss	–
28	M	MC	chr9:118 502 294–118 616 407	114 114	Loss	ADHD, Anx, ASD, Mac, SD
29	M	SG	chr9:118 530 143–118 569 556	39 414	Loss	Mic
30	M	MC	chr9:118 541 180–118 685 465	144 286	Loss	Dizziness, dysgraphia, migraines
31	M	BCH	chr9:118 572 937–118 637 250	64 314	Loss	DCD, DD, MD, SD
32	M	ITA	chr9:118 580 317–118 814 591	234 275	Loss	ASD, structural brain anomaly
33	M	SG	chr9:118 580 891–118 630 518	49 628	Loss	DD
34	M	HSC	chr9:118 616 347–118 907 058	290 712	Loss	Anx, ASD, hydrocephalus, LD, Mac, MD, structural brain anomaly
35	M	SG	chr9:118 620 063–118 781 101	161 039	Loss	ASD
36	M	BCH	chr9:118 743 266–118 991 977	248 712	Loss	ASD, DD
37	M	ITA	chr9:118 840 027–118 935 027	95 001	Loss	Behavioral problems, ID, OCD, SD
38	M	SG	chr9:118 874 947–119 109 618	234 672	Loss	–
39	F	SG	chr9:118 199 243–118 248 950	49 708	Loss	ADHD, DD, Mic, SD
40	F	BBG	chr9:118 202 805–118 227 359	24 555	Loss	MD, plagiocephaly, SD
41	F	HSC	chr9:118 202 811–118 459 563	256 753	Loss	Mac
42	F	HSC	chr9:118 390 436–118 524 432	133 997	Loss	ID, MD, SD, seizures
43	F	SG	chr9:118 481 080–118 610 907	129 828	Loss	–
44	F	BBG	chr9:118 497 759–118 661 673	163 915	Loss	–
45	F	OUIH	chr9:118 608 198–118 669 889	61 692	Loss	ADHD, MD, SD
46	F	MC	chr9:118 728 240–118 992 036	263 797	Loss	–
47	F	MC	chr9:118 829 818–118 890 615	60 798	Loss	Septo-optic dysplasia
48	M	TCAG	chr9:118 263 609–118 308 641	45 033	Gain	Anx, ASD, MD, seizures
49	M	BCH	chr9:118 899 840–121 893 169	2 993 330	Gain	ADHD, ASD, DD, LD
50	M	ITA	chr9:118 916 018–119 875 655	959 638	Gain	ASD, ID, LD, Mic, MD, SD
51	M	ITA	chr9:118 934 968–119 903 304	968 337	Gain	Anx, ID, LD, MD, SD
52	M	MC	chr9:118 934 968–121 071 351	2 136 384	Gain	Mac
53	M	SG	chr9:118 982 145–121 054 922	2 072 778	Gain	Encephalocele
54	M	SG	chr9:118 982 145–121 054 922	2 072 778	Gain	LD
55	M	SG	chr9:118 991 976–119 281 150	289 175	Gain	–
56	M	ITA	chr9:119 042 541–119 297 292	254 752	Gain	ADHD, ID, LD, MD, Mic, SD
57	M	SG	chr9:119 115 694–119 500 725	385 032	Gain	–
58	F	CVH	chr9:118 899 870–120 282 623	1 382 754	Gain	Craniosynostosis, ID
59	F	BBG	chr1:169 964 282–175 595 424	5 631 143	Loss	–
60	M	BBG	chr1:174 117 247–175 518 085	1 400 839	Gain	Anx, ASD, LD, Mac, MD
61	M	BCH	chr1:174 591 306–175 817 067	1 225 762	Loss	Agnesis of corpus callosum, DD, Mic, seizures

<sup>a</sup>Molecular diagnostic testing site of origin of the case: ACH, Alberta Children's Hospital; BBG, Brain and Body Genetic Resource Exchange (BBGRE); BCH, Boston Children's Hospital; CVH, Credit Valley Hospital; DEC, DECIPHER database; HSC, The Hospital for Sick Children; ITA, Italian diagnostic labs; MC, Mayo Clinic; OUIH, Odense University Hospital; SG, Signature Genomics; TCAG, The Centre for Applied Genomics.

<sup>b</sup>NDD trait abbreviations: ADHD, attention deficit hyperactivity disorder; Anx, anxiety; ASD, autism spectrum disorder; CNS, central nervous system; DCD, developmental coordination disorder; DD, developmental delay; ID, intellectual disability; LD, learning disability; Mac, macrocephaly; MD, motor delay; Mic, microcephaly; NDD, neurodevelopmental disorder; OCD, obsessive compulsive disorder; SD, speech delay. '–' indicates non-NDD cases (no NDD terms were present in their reasons for referral for genetic testing).



**Table 3.** Results of CNV enrichment analysis of *ASTN2*/*TRIM32* locus

CNV type	Total NDD dataset (N = 64 114)		Male NDD NDD males (N = 40 438)		Male controls (N = 14 953) <sup>b</sup>		Female NDD NDD females (N = 23 676)		Female controls (N = 18 218) <sup>b</sup>		Total non-NDD dataset Non-NDD cases (N = 25 871)		P-value <sup>a</sup>
	NDD cases	Controls (N = 44 085)	P-value <sup>a</sup>	NDD males	Male controls (N = 14 953) <sup>b</sup>	P-value <sup>a</sup>	NDD females	Female controls (N = 18 218) <sup>b</sup>	P-value <sup>a</sup>	Non-NDD cases	Controls (N = 44 085)	P-value <sup>a</sup>	
Exonic <i>ASTN2</i> CNVs	49	23	0.079	42	13	0.349	7	7	0.778	8	23	0.933	
Exonic <i>ASTN2</i> gains	9	5	0.463	8	3	0.657	1	1	0.811	3	5	0.619	
Exonic <i>ASTN2</i> losses	40	18	0.084	34	10	0.328	6	6	0.773	6	18	0.927	
Exonic losses affecting only long <i>ASTN2</i> isoform	13	13	0.876	11	9	0.976	2	3	0.883	4	13	0.924	
Exonic losses affecting multiple <i>ASTN2</i> isoforms	27	5	<b>0.002</b>	23	1	<b>0.005</b>	4	3	0.64	2	5	0.798	
Exonic losses affecting <i>TRIM32</i> <sup>c</sup>	23	6	<b>0.019</b>	22	2	<b>0.024</b>	1	3	0.964	4	6	0.54	

NDD, neurodevelopmental disorders.

<sup>a</sup>P-values are from one-sided Fisher's exact test. Values in bold are significant at threshold of  $P < 0.05$ .

<sup>b</sup>Gender information was available for 33 171 control individuals (Supplementary Material, Table S2), and this subset was used for the sex-specific enrichment analysis.

<sup>c</sup>All deletions which affected *TRIM32* in the cases also overlapped exon(s) of *ASTN2*. One of the exonic *TRIM32* deletions in the controls (CF14) did not overlap an *ASTN2* exon.

present in 12 cases and fine motor delay in 6 cases. In addition, a wide range of dysmorphic features were present in *ASTN2* deletion carriers, although macrocephaly was the only feature found to be common in more than 10% of the cases ( $n = 7$ ). Phenotypic information was available for 12 (8 mothers and 4 fathers) of the 17 parents with exonic *ASTN2* deletions. Seventy-five percent of the paternal carriers and 50% of the maternal carriers reported some form of neurodevelopmental trait (primarily anxiety, depression, learning disabilities, dyslexia and in some cases formal adult diagnoses of ASD or ADHD), though usually milder than those seen in the probands.

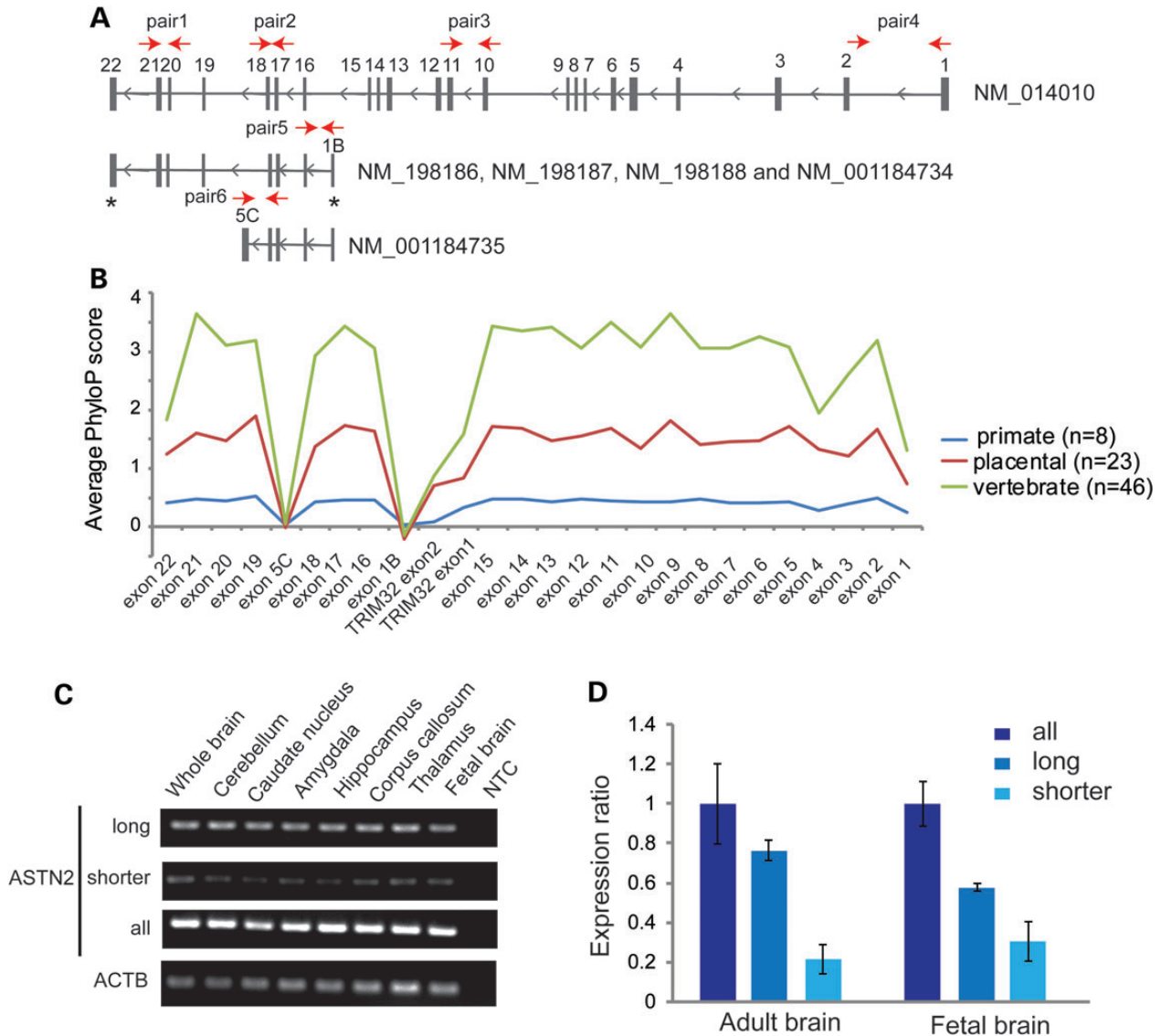
### Alternative transcription start site of *ASTN2* shorter isoforms is of recent evolutionary origin

Given the location-dependent penetrance observed for the *ASTN2* exonic deletions, we assessed the average nucleotide conservation of all exons in *ASTN2* (Fig. 3A) and *TRIM32* across vertebrates, placental mammals and primates (Fig. 3B). Exons with coding sequence were well-conserved across all vertebrate species. Interestingly, the conservation was much lower between humans and other vertebrates for the exons unique to the shorter isoforms (exons 1B and 5C in Fig. 3A). Even though this pattern was partially driven by the UTR regions of these unique exons, their conservation was also lower than the conservation in the UTRs present in the long isoform or in *TRIM32* (Supplementary Material, Fig. S4). Additionally, we did not find any evidence from EST databases for the presence of shorter 3'-terminal *Astn2* isoforms in mouse. These observations suggest that the unique exons of the shorter isoforms (and the alternative transcription site in exon 1B) are of more recent evolutionary origin and are potentially derived in or just prior to the primate lineage. In support of this claim, both exons 1B and 5C contain transposable elements. Recent research has revealed the ability of transposable elements to contribute novel regulatory elements and thus give rise to new transcript isoforms (46).

Although there was no evident difference in the average nucleotide conservation between the coding exons of *ASTN2*, the amino acid alignment of eight *ASTN2* protein orthologs revealed that the C-terminal end exhibits a greater degree of conservation compared with the rest of the protein (Supplementary Material, Fig. S5 and S6). This section of the protein corresponds to the 3'-terminal region of the gene exhibiting the strongest enrichment of exonic deletions in male NDD cases (Fig. 1) and encodes the fibronectin type III domain (Supplementary Material, Fig. S6).

### Expression of *ASTN2* transcript isoforms in the human brain

The expression profile of *ASTN2* and its different isoforms in the human brain has not been described previously. Therefore, we performed reverse transcriptase-PCR (RT-PCR) analysis of the *ASTN2* isoforms in human brain samples using primers with locations as depicted in Figure 3A. We detected abundant expression for primers amplifying exons present in all isoforms (Fig. 3C, *ASTN2* all) and for exons specific to the long *ASTN2* isoform (NM\_014010) (Fig. 3C, *ASTN2* long isoform) in all the brain regions. The shorter transcripts (NM\_198187, NM\_198188, NM\_001184734 and NM\_001184735) were expressed at a lower level (Fig. 3C, *ASTN2* shorter isoforms).



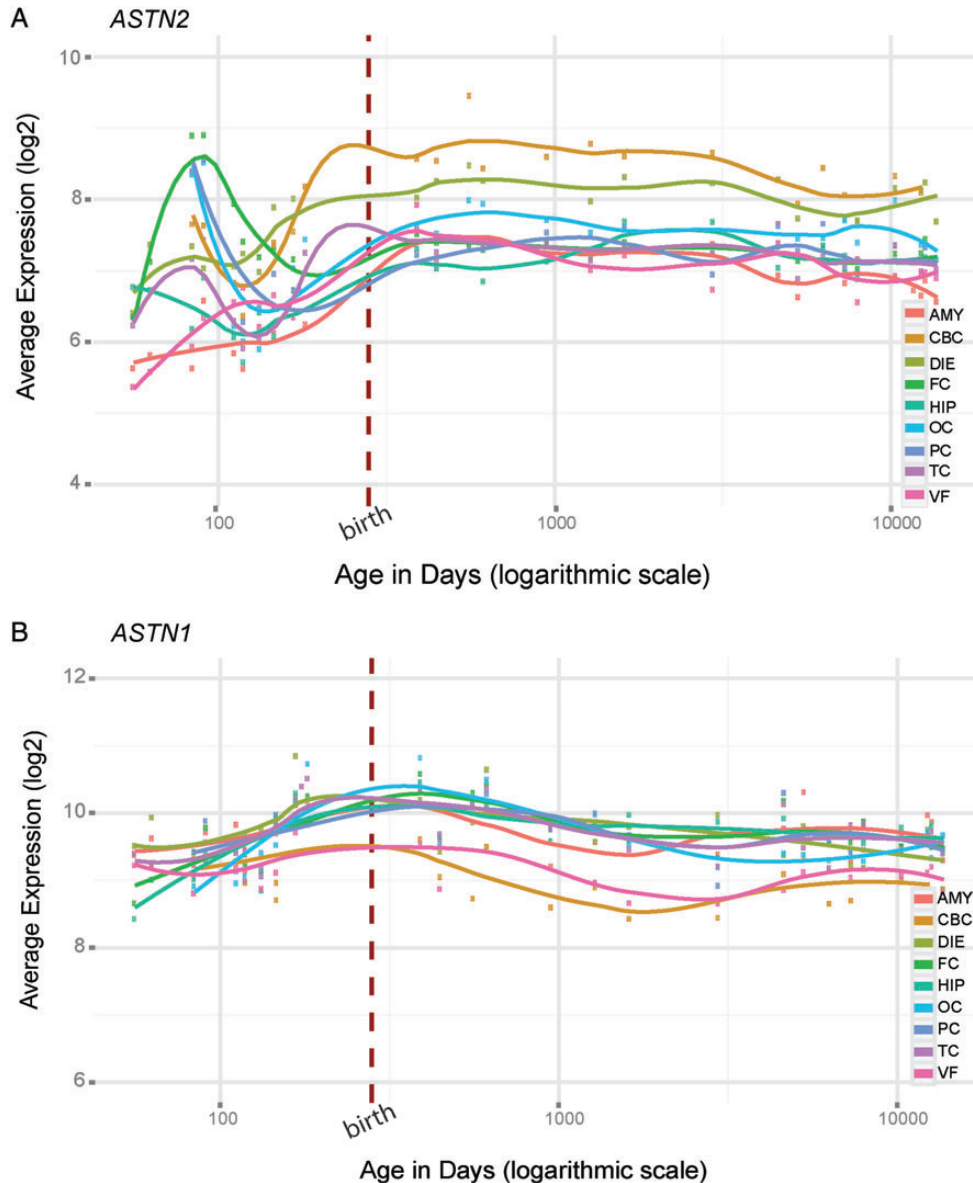
**Figure 3.** Relative expression of *ASTN2* transcript isoforms and exon conservation. (A) Schematic presentation of the *ASTN2* transcript isoforms. Red arrows denote location of the primers used for the RT–PCR and/or qRT–PCR assays (Supplementary Material, Table S10). The “\*” symbol denotes exons with variable length in different isoforms. (B) Conservation profile of *ASTN2* and *TRIM32* exons across vertebrates, placental mammals and primates. (C) The expression of *ASTN2* transcript isoforms (long, shorter and all) in eight different human brain regions. *ACTB* was used as a control gene. (D) Quantification of *ASTN2* isoform expression levels in triplicate from adult brain and fetal brain RNA samples by qRT–PCR (standard curve method). The expression was normalized using *ACTB* as a housekeeping gene, and the expression ratio is relative to the expression from all *ASTN2* isoforms (mean ratio  $\pm$  standard deviation). The results were replicated using *GAPDH* as a housekeeping gene.

We did not detect any expression when using primers specific for NM\_198186. In addition, we measured the mRNA expression ratios of the isoforms in whole brain and fetal brain and observed a change in the ratios between the developmental stages. In the fetal brain, the shorter isoforms accounted for  $\sim 40\%$  of the total *ASTN2* expression, which decreased to 20% in the adult brain (Fig. 3D). This suggests a functional role for the shorter isoforms during early development.

#### Spatiotemporal expression patterns of *ASTN1*, *ASTN2* and *TRIM32* in human brain

We analyzed the pattern of *ASTN2*, *ASTN1* and *TRIM32* gene level expression in different brain regions during human

development by utilizing the comprehensive gene expression data from the BrainSpan database (47). Overall, *ASTN2* is expressed at a moderate level throughout development and exhibits an increase in the late prenatal period and during postnatal development in many of the brain regions (Fig. 4A). The highest level of *ASTN2* expression was observed in the cerebellar cortex (CBC), where the expression increase during infancy and early childhood is in concordance with the expression pattern previously reported in mice (30). Genes exhibiting similar expression patterns in the CBC (as quantified by Pearson correlation, Supplementary Material, Table S7) were more enriched in gene ontology (GO) terms such as synaptic transmission and plasticity (Supplementary Material, Fig. S7A and Table S7). Interestingly, during the prenatal period, *ASTN2* has a dynamic



**Figure 4.** Expression profiles of *ASTN2* and *ASTN1* across human brain development. The gene level expression profiles of (A) *ASTN2* and (B) *ASTN1* across developmental time points in nine regions of the human brain; amygdala (AMY), cerebellar cortex (CBC), diencephalon (DIE), frontal cortex (FC), hippocampus (HIP), occipital cortex (OC), parietal cortex (PC), temporal cortex (TC) and ventral forebrain (VF).

spike in the expression at around 12–13 gestational weeks in the neocortical regions (frontal cortex, parietal cortex and occipital cortex) (Fig. 4A). This expression pattern is enriched in neuronal development GO terms such as ‘axonogenesis’ and ‘neuron differentiation’ (Supplementary Material, Fig. S7B and Table S7). The dynamic expression pattern of *ASTN2* together with the biological annotation of genes with similar expression patterns suggests that *ASTN2* could have an important role in both prenatal and postnatal brain development.

In contrast to *ASTN2*, *ASTN1* has a high and steady level of expression across different brain regions suggesting a constant and fundamental role in human brain development (Fig. 4B). The expression pattern of *TRIM32* differs from the astrotactins and is marked by high expression during early prenatal development,

a decrease after birth followed by an increase during adolescence in all the brain regions studied (Supplementary Material, Fig. S8). Similar to *ASTN2*, *TRIM32* has highest levels of expression in the CBC.

## DISCUSSION

By leveraging information from multiple diagnostic laboratories to aid in the clinical interpretation of rare variants, we detected specific enrichment of exonic *ASTN2/TRIM32* deletions in NDD cases compared with either non-NDD cases or with controls. Follow-up clinical characterization revealed a spectrum of NDD diagnoses, with ASD, ADHD, OCD and speech and

language delay being the most common. A similarly diverse range of phenotypic outcomes from deletions at a single locus have been reported before for *NRXN1* (48–50), *GPHN* (51), *MBD5* (52,53), and other regions (19,54), which could reflect some shared genetic risk for different NDDs, consistent with overlap of clinical phenotypes often observed across diagnostic boundaries in psychiatry (55–57). In addition to the heterogeneity of their clinical presentation, *ASTN2/TRIM32* deletions exhibit reduced penetrance, as demonstrated by their predominantly inherited nature and their presence in a small number of control individuals. SNPs within *ASTN2* have been highlighted by recent genome-wide association studies (GWAS) in risk for ADHD (58), schizophrenia (59,60), bipolar disorder (60), migraine without aura (61), cognitive decline and reduced hippocampal volume (62). These reports of common risk factors at this gene complement our rare variant findings and lend support to the etiological contributions of inherited *ASTN2* variants to a range of neurodevelopmental conditions. While several of the NDD risk gene discovery efforts to date have focused on *de novo* events, the role of inherited rare variants has been less thoroughly explored. It is likely that a combination of both *de novo* and inherited risk factors contribute to the genetic architecture of different NDDs. The presence of deletions in controls might also reflect the absence of stringent psychiatric screening of the control individuals or could arise from false-positive calls, since experimental validation was not possible due to unavailability of DNA from control individuals. Importantly, we note that the relatively lower resolution of the clinical microarray platforms compared with those used for the controls (Supplementary Material, Fig. S9) could imply an underestimation of smaller CNVs at *ASTN2*, *ASTN1* and *TRIM32* in the patient cohorts.

The striking enrichment in the NDD cases compared with the controls of exonic deletions at the 3' end of *ASTN2* (corresponding to multiple *ASTN2* isoforms) defines a critical region for pathogenicity that has several functional implications. As suggested by our lymphoblast expression analysis, such deletions could disrupt the expression of all transcript isoforms of *ASTN2* and potentially lead to complete haploinsufficiency of the protein. This may have more severe phenotypic consequences than those deletions affecting only the long transcript isoform. Similar trends of greater penetrance of deletions impacting multiple isoforms of a gene, relative to those overlapping a single isoform, have recently been observed at other loci such as *NRXN1* (49,50,63), *NRXN3* (5) and *AUTS2* (64) in connection with risk for NDDs. Our findings also highlight the importance of the C-terminal end of the protein, which encodes the fibronectin III domain and was observed to be the most conserved part of the protein in our cross-species analysis. Interestingly, this domain is also found in other genes implicated in NDDs such as the contactins (13). In addition, our data suggest that the shorter transcript isoforms are of functional importance especially during early brain development. This claim is supported by our mRNA quantification assays in which the shorter isoforms account for 40% of the total expression of the gene in fetal brain. Our evolutionary conservation analyses suggest that the first exon shared by the shorter *ASTN2* isoforms is of more recent evolutionary origin and could have a function specific to primates. There is no indication from previous functional work (30) or from mouse EST databases for existence of shorter

3'-terminal *Astn2* transcript isoforms in the mouse. Taken together, the recent emergence of the shorter isoforms comprising a functionally important domain and their widespread expression in the human brain could indicate primate-specific involvement in neurodevelopment.

Several of the deletions at the 3' end of *ASTN2* also encompass *TRIM32*, a small two-exon gene nested within an intron of the long isoform of *ASTN2*, which is transcribed from the opposite strand to *ASTN2*. The first exons of *TRIM32* and the *ASTN2* shorter isoforms are only 39 bp apart. *TRIM32* encodes an ubiquitin ligase and is expressed at high levels in a wide range of tissues, including the brain, muscle and skeletal tissue (65). Homozygous point mutations in this gene have been implicated in autosomal recessive disorders such as limb-girdle muscular dystrophy (66,67) and Bardet–Biedl syndrome (68). The latter is a heterogeneous multi-system disorder presenting with retinopathy, obesity and cognitive impairment, among other symptoms. *TRIM32* expression levels in the mouse neocortex have been shown to determine the post-differentiation fates of neuronal stem cells (69) and the TRIM32 protein is a key regulator of neural differentiation (70). It is unlikely that disruption of *TRIM32* alone, and not *ASTN2*, could be primarily responsible for the neurodevelopmental phenotypes observed in our study given the absence of such diagnoses in individuals with heterozygous *TRIM32* missense mutations (66,68) and in a limb-girdle muscular dystrophy patient who possessed both a *TRIM32* nonsense mutation and a deletion of the entire gene (67). The expression of *ASTN2* is also notably higher than that of *TRIM32* in the brain relative to other body tissues (33). Furthermore, we did not detect any deletions in the large clinical dataset that overlapped *TRIM32* alone but did observe several deletions at both the 3' and 5' ends of *ASTN2* that do not affect *TRIM32*. Given the very close genomic proximity of *TRIM32* and *ASTN2*, and the finding that deletions impacting both genes exhibit stronger enrichment in cases versus controls than those affecting either gene alone, the most conservative interpretation of our data would suggest that the joint disruption of both genes potentially contributes to risk for a spectrum of NDD phenotypes.

We observed evidence for a sex-specific effect for the phenotypic expression of exonic deletions affecting *ASTN2* or both *ASTN2* and *TRIM32* (Table 3). These mutations were significantly in excess in the males compared with the females within the NDD case cohort, whereas controls did not exhibit this difference. Deletions affecting the 3' end of *ASTN2* and/or *TRIM32* were also significantly enriched in male NDD cases compared with male controls, whereas the difference in frequencies of such events between female cases and female controls was non-significant. These findings suggest greater penetrance in males relative to females of the NDD phenotypes linked to *ASTN2/TRIM32* deletions, although a larger cohort is required to conclusively prove the significance of this sex-specific effect and the role of such deletions in females. Similar sex-specific effects have been recently reported for CNVs at other autosomal loci including *SHANK1* deletions in ASD risk (7) and 16p13.11 deletions and duplications in NDD risk (71). The discovery of additional autosomal loci with male-biased penetrance (72), together with risk genes on the X chromosome (6,73), could help explain the molecular basis of the skewed sex ratios observed in the prevalence of NDDs such as ADHD, ASD and ID. Although the biological basis of this sex-modulated penetrance is

unknown, theories involving sex hormones have been proposed (74). Interestingly, there is some evidence that *ASTN2* could be regulated by estrogen (75).

The extreme rarity of exonic deletions affecting the *ASTN1* gene is intriguing, especially in comparison with the relatively higher rate of such mutations at *ASTN2*. It is also striking that all the *ASTN1* deletions in the cases were of *de novo* origin and overlapped multiple genes, in contrast to exonic *ASTN2* deletions, which were predominantly of an inherited nature (only 10% of such deletions in families with parental DNA available for testing were *de novo*) and localized to *ASTN2/TRIM32*. These observations suggest stronger selective pressure against disruption of *ASTN1*, relative to *ASTN2*, and are consistent with results from functional characterization of the two proteins in the mouse brain. Although mouse *ASTN1* and *ASTN2* are both integral neuronal membrane proteins with similar domain structures, they have been shown to play non-redundant and complementary roles during neuronal migration (30). The C-terminus of mouse *ASTN1* is exposed on the neuronal surface, enabling it to act as the inter-cellular linker molecule directly binding neurons to glia during migration. On the other hand, the C-terminus of *ASTN2* was not detected on the neuronal surface. Rather than directly participating in neuron-glia adhesion, *ASTN2* reportedly functions as a regulatory molecule by forming a complex with *ASTN1* in the neuronal membrane, thus controlling *ASTN1* surface expression levels and indirectly modulating the rate of neuronal migration. Presumably, the disruption of the key ligand enabling cerebellar neuron-glia binding would be more deleterious for neuronal migration relative to that of an indirect regulator of the process.

The differing functions of the two proteins are also reflected in the spatiotemporal mRNA expression profiles of *ASTN1* and *ASTN2*. Both astrotactin genes are expressed in the developing human brain with highest overall expression during late prenatal development and early childhood. In contrast to *ASTN1*, which has a very static and high expression pattern during development across different regions, *ASTN2* exhibits a wider range of expression levels suggesting region specific roles across different phases of human brain development. These findings, taken together with previous work (30), are in line with a more fundamental, constant role for *ASTN1* protein, regulated at specific time points by varying *ASTN2* protein levels.

Comparison of the human brain expression profile of *ASTN2* with previous work in mice revealed both intriguing differences and similarities. The striking prenatal spike in *ASTN2* expression in the neocortical regions, towards the end of the first trimester, has not been reported in the embryonic mouse brain (30). This finding might indicate transcriptional regulation patterns or additional functions of the protein and/or transcript isoforms specific to primates. Interestingly, this period in the developmental timeline of the human cerebral cortex is marked by extensive neuronal migration, increasing axonal outgrowth and the formation of the early synapses (47,76). Several genes previously implicated in risk for ASD (and also other NDDs) such as *DOCK4* and *NRCAM* also exhibit increasing cortical expression around this time point (15,77). In concordance with the experimental work from mouse (30), we observe the highest *ASTN2* expression in the cerebellar cortex shortly after birth. Several studies investigating the neuropathology of ASD (78,79) and ADHD (80–82) have consistently highlighted the cerebellum as a major

region of interest. Reported neuroanatomical abnormalities include disrupted neuronal migration in the cerebellar cortex (79) as well as reduction in volume of the cerebellar vermis (83), which has been linked to repetitive and stereotyped behavior in ASD (84). Furthermore, the number of Purkinje cells, one of the main cell types in the cerebellum, has been found to be decreased by up to 50% in individuals with ASD (79). Interestingly, the *Astn1* KO mouse has reduced cerebellar volume, slower neuronal migration rates and abnormal development of the Purkinje cells compared with wild type (29). *Astn2* has also been shown to be very highly expressed in the cerebellum in general, and in the Purkinje cells in particular, during both embryonic and postnatal development (30).

While the role of the astrotactins in neuronal migration is well established, their potential involvement in other brain developmental processes remains to be elucidated. Our gene ontology analyses revealed that many of the genes with expression patterns similar to *ASTN2* are involved in synapse-related biological processes. The presence of both astrotactins at high levels in the postnatal and adult brain, well after the completion of most neuronal migration, also suggests that *ASTN2* and *ASTN1* might potentially act together as a receptor system for synapse guidance in addition to their role in neuronal migration. Interestingly, recent studies show that genes important for embryonic neuronal migration such as *PAFAH1B1* (*LIS1*) and *RELN* also participate in guiding and maintaining the synapses in postnatal brain development (23,85). *CNTNAP2*, another ASD risk gene, encodes a neuronal trans-membrane protein with important roles in diverse processes including neuron-glia interactions during migration, maintenance of the connectivity and synchronization of neuronal circuits and the clustering of  $K^+$  channels in myelinated axons (86).

Our data emphasize the need to characterize rare CNVs (and other genetic variants) in the context of large case and control cohorts, in order to extract meaningful genotype and phenotype data necessary for proper clinical genetic interpretation. For the *ASTN2/TRIM32* locus, we show that a neurodevelopmental phenotype ensues preferentially in male patients when deletions of *ASTN2* impact all its transcript isoforms. Functional dissection of the influences gender has on the *ASTN2* isoforms during brain development may also inform on new treatment strategies in psychopathology.

## MATERIALS AND METHODS

### Clinical case cohorts

The clinical case cohorts utilized for this study are summarized in Table 1. These comprise a total of 89 985 postnatal patient samples submitted for clinical microarray testing to 10 different molecular diagnostic centers in Canada, Denmark, Italy, the UK and the USA. The reasons for referral for clinical microarray testing were systematically assessed at each of the study sites and the number of NDD cases was tabulated based on the presence of one or more of the following phenotypes: ADHD, ASD, behavioral disorders, cognitive impairment, developmental delay, ID, learning disability, macrocephaly, microcephaly, neurological disorders, OCD, psychoses, seizures and speech/language disorders. The remaining patients in the clinical cohorts, whose reasons for referral for genetic testing did not contain any of the

NDD terms listed above, were counted as non-NDD cases. The gender composition of each clinical dataset was also tabulated in order to test for sex-biased effects. Individuals with CNVs that spanned one or more exons of the *ASTN2/TRIM32* (Fig. 1) or *ASTN1* (Fig. 2) genetic loci at 9q33.1 and 1q25.2, respectively, were included in the analysis and are listed in Table 2. To avoid bias in the CNV burden analysis by inclusion of large-scale multi-genic chromosomal abnormalities, CNVs >6 Mb in the clinical dataset were excluded. Independent validation was performed for 71% (44/62) of the CNVs presented in Table 2 for which DNA was available, using one of the following methods: quantitative PCR (qPCR), multiplex ligation-dependent probe amplification (MLPA), fluorescence *in situ* hybridization (FISH) or by a second microarray platform (Supplementary Material, Table S1). All attempted assays revealed true positive CNVs. To obtain standardized clinical information from the individuals with the CNVs of interest, a phenotype checklist was sent to referring physicians for completion, based on physical and/or psychological examination, as well as review of the subject's medical history. The frequencies of specific features were tabulated (Supplementary Material, Table S1). In addition to the clinical cohorts described above, we inspected the DECIPHER database (<https://decipher.sanger.ac.uk>) for individuals with exonic deletions and duplications, smaller than 6 Mb, that overlapped *ASTN2* (Supplementary Material, Table S8). We were able to obtain additional phenotype details from one individual (patient 18), who possessed an exonic *ASTN2/TRIM32* deletion. Clinical information from this individual was included in the phenotype summary but this case was not counted in the CNV counts and enrichment analysis described in the Results since it was not part of data from the 10 molecular diagnostic sites. This study was approved by the Research Ethics Board at the Hospital for Sick Children, Toronto.

### Control cohorts

For the purpose of statistical testing of findings in the case cohorts, we compiled exonic CNV findings at the *ASTN2/TRIM32* and *ASTN1* regions in high resolution microarray data from 44 085 individuals from population-based control cohorts and studies of individuals with disorders unrelated to NDDs such as diabetes (Supplementary Material, Table S2). These included individuals from different control datasets analyzed by us (14,31,51,87–90), published genome-wide CNV data (91,92) and published data from controls that were inspected for CNVs affecting *ASTN2* (33,34). Gender information was available for 33 171 control individuals (Supplementary Material, Table S2), and this subset was used for the sex-specific enrichment analysis. Fisher's one-sided exact test was used to test for enrichment of CNVs in cases versus non-NDD cases and versus controls with a significance threshold of  $P < 0.05$ . A challenge in combining data across multiple case and control cohorts is the heterogeneity of microarray platforms and the resulting differences in probe coverage. We compiled probe numbers from the different microarray platforms featured among the clinical and control datasets in the *ASTN2/TRIM32* and *ASTN1* regions (Supplementary Material, Table S9). The array platforms used for the control dataset had much higher probe densities on average, both genome wide and in the specific regions of interest, than those constituting the clinical dataset (Supplementary Material, Table S9 and Fig. S9). The higher

resolution of the control CNV dataset relative to the cases decreases the likelihood of spurious enrichment findings driven by false negatives in the controls and provides a conservative estimate of the significance and effect size of our findings.

### Mutation screening of *ASTN1*, *ASTN2* and *TRIM32* from ASD exome sequencing data

Exons and splice sites of *ASTN1*, *ASTN2* and *TRIM32* were inspected for single-nucleotide changes and small indels in next-generation WES and WGS data from Canadian individuals (of European ancestry) with ASD (Supplementary Material, Tables S4–S6). *ASTN1* was screened in 338 individuals, while *ASTN2* and *TRIM32* were inspected in 182 individuals. The subjects screened for mutations in *ASTN2* and *TRIM32* by WES and WGS were distinct from those in whom the two genes were inspected using Sanger sequencing in our previous study (31). Data generation and SNV analysis were performed as previously described for the WES (51) and WGS (93) data. Rare SNVs detected in the WES and WGS data that were present at <1% frequency in the 1000 genomes data (94) were confirmed by bi-directional Sanger sequencing. Inheritance testing of such variants was also conducted when parental DNA was available. We also examined the published results of four recent ASD exome sequencing studies (42–45) for variants at *ASTN1*, *ASTN2* and *TRIM32*. In addition, we accessed the NHLBI exome sequencing database to evaluate the presence of loss-of-function mutations in *TRIM32* and *ASTN2*.

### *ASTN2* expression analysis using RT–PCR and quantitative reverse transcriptase–PCR

The expression of *ASTN2* mRNA was analyzed by RT–PCR from a panel of eight different human brain RNA samples (adult whole brain, adult cerebellum, adult caudate nucleus, adult amygdala, adult hippocampus, adult corpus callosum, adult thalamus and fetal whole brain purchased from BD Biosciences, Clontech and AMBIO). In addition, expression was measured in lymphoblast cell lines from six individuals with *ASTN2* deletions and nine individuals with two copies of *ASTN2* (detailed description in Supplementary Material, Fig. S3). For all the RNA samples, cDNA was synthesized using Superscript III First strand Synthesis Supermix (Invitrogen, Carlsbad, CA, USA) with 1 µg of poly (A+) or total DNase I treated RNA as a template. RT–PCR was performed under standard PCR conditions using 10 ng of cDNA as template. Eight primer pairs were used to amplify different transcripts of *ASTN2* (Supplementary Material, Table S10). In total, *ASTN2* has six different isoforms which include the long isoform (NM\_014010) and shorter isoforms (NM\_198186, NM\_198187, NM\_198188, NM\_001184734 and NM\_001184735). To quantify the expression ratio between the transcript isoforms, we used five suitable amplicons for quantitative reverse transcriptase–PCR (qRT–PCR) (Supplementary Material, Table S10). The qRT–PCR assay was performed using Brilliant III SYBR<sup>®</sup> Green PCR Master Mix (Agilent, Santa Clara, CA, USA) in a total reaction volume of 15 µl, containing 5 ng of cDNA templates. The reactions were amplified using the Mx3005P qPCR system (Agilent, Santa Clara, CA, USA). The expression ratios were calculated after determining the exact quantities of each isoform

using the standard curve method, normalized against *ACTB* or *GAPDH* expression levels.

### Nucleotide and amino acid conservation analysis of *ASTN2*

The nucleotide conservation scores for each base present in all *ASTN2* and *TRIM32* exons were computed using the PhyloP program based on alignment between 46 vertebrate species including 23 placental mammals and eight primates. The average score was calculated for each exon and for the unique UTRs. The amino acid sequences of 1:1 *ASTN2* orthologs from eight species were downloaded from Ensembl, and the multiple sequence alignment was carried out using ClustalW2. After the alignment, the amino acid conservation was quantified using Scorecons server (95). To check for the presence of the shorter *ASTN2* isoforms in mouse, we screened different databases including AceView, Ensembl and FANTOM.

### Spatiotemporal expression analysis of *ASTN2*, *ASTN1* and *TRIM32* in the human brain

To analyze the expression pattern of *ASTN2*, *ASTN1* and *TRIM32* during human brain development, we utilized expression data from the BrainSpan database ([www.brainspan.org](http://www.brainspan.org)) (47). This dataset contains extensive transcriptome profiles for 16 brain regions from 41 individuals. The age range of the subjects spans from 8 postconception weeks to 40 years (56–13 720 postconception days). Full sample information is available on the BrainSpan website. The data were quantile normalized and the gene level expression was averaged across donors for each time point in the following regions: the frontal cortex (FC), parietal cortex (PC), temporal cortex (TC), occipital cortex (OC), hippocampus (HIP), amygdala (AMY), ventral forebrain (VF), diencephalon (DIE) and cerebellar cortex (CBC). The expression levels of *ASTN1*, *ASTN2* and *TRIM32* in the different brain regions were plotted across age range using the R package ggplot2. Smooth curve lines were computed by loess using a span of 0.4. The BrainSpan dataset was also used to identify genes with expression patterns similar to that of *ASTN2*, and these genes were used for the gene set enrichment analysis (90,96) (Supplementary Material, Table S7).

### SUPPLEMENTARY MATERIAL

Supplementary Material is available at *HMG* online.

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*Conflict of Interest statement.* J.A.R. is an employee of Signature Genomic Laboratories, a subsidiary of PerkinElmer, Inc. E.H. and P.S.E. are employees of Population Diagnostics, Inc. S.W.S. is on the Scientific Advisory Board of Population

Diagnostics, Inc and is a founding scientist of YouNique Genomics, both of which could use data from this study.

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