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# How Disoriented Chiral Condensates Form: Quenching vs. Annealing \*

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#### Abstract

We demonstrate that semiclassical fluctuations, their relaxation, and the chiral phase transition are automatically incorporated in the numerical simulations of the classical equations of motion in the linear  $\sigma$ -model when longitudinal and transverse expansions are included. We find that domains of disoriented chiral condensate with 4–5 fm in size can form through a quench while an annealing leads to domains of smaller sizes. We also demonstrate that quenching cannot be achieved by relaxing a chirally symmetric system through expansion.

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One of the proposed explanations for the Centauro events [1] in high energy cosmic ray experiments is the coherent emission of pions from a large domain of disoriented chiral condensate (DCC) [2]. However, if the system has to go through an equilibrium phase transition, quark masses, though much smaller than the intrinsic QCD scale, prevent DCC domains from reaching a size larger than  $1/T_c$  [3]. As an alternative, Rajagopal and Wilczek [4] proposed that a nonequilibrium phase transition through quenching can generate large DCC domains. Their numerical simulation indeed observed the amplification of the long wavelength modes of the pion fields, but did not shed light on the size of DCC domains. Similar simulations by Gavin, Gocksch, and Pisarski [5] are, however, not conclusive about the exact size of DCC domains, since they only looked at the pion fields averaged over the transverse dimensions which cannot reveal domains smaller than the lattice size.

To model a quench, Rajagopal and Wilczek argued that one can evolve the classical fields according to the zero temperature equations of motion from a chirally symmetrical initial condition with short correlation lengths. In this Letter, we shall argue that semiclassical fluctuations introduced in the initial configuration actually render the effective potential to a non-zero temperature one. The interaction between the mean fields and the semiclassical fluctuations as well as their evolution can be automatically included in the numerical simulations of the equations of motion. Using an ensemble averaging technique, we demonstrate the relaxation of the fluctuations and the occurrence of the chiral phase transition due to the longitudinal [6] and transverse expansions which are consistently included in our study, whereas in earlier simulations [4,5] the system only evolves in an approximately constant [7] but non-zero temperature effective potential. By choosing different initial configurations (both the mean fields and the fluctuations), we study the evolution of the system in both quenching [4] and annealing [8,9] scenarios.

In the standard linear  $\sigma$ -model, the equations of motion are given by,

$$\left(\frac{\partial^2}{\partial t^2} - \nabla^2\right)\phi = \lambda(v^2 - \phi^2)\phi + Hn_{\sigma},\tag{1}$$

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where  $\phi \equiv (\sigma, \pi)$  is a vector in internal space,  $n_{\sigma} = (1, 0)$ , and  $Hn_{\sigma}$  is an explicit chiral

symmetry breaking term due to finite quark masses. In the following, we shall use  $\lambda = 19.97$ , v = 87.4 MeV, and  $H = (119 \text{ MeV})^3$ , with which  $m_{\pi} = 135$  MeV and  $m_{\sigma} = 600$  MeV. We emphasize that the  $\phi$  fields in the above equations can include both the mean fields and the semiclassical fluctuations. Thus, we can separate  $\phi$  into two parts,

$$\phi = \langle \phi \rangle + \delta \phi, \tag{2}$$

where  $\langle \phi \rangle$  are the mean fields and  $\delta \phi$  are the semiclassical fluctuations around  $\langle \phi \rangle$ . Using Eq. (2) and taking the average of Eq. (1), we obtain the equations of motion for the mean fields in the Hartree approximation [8],

$$\left(\frac{\partial^2}{\partial t^2} - \nabla^2\right) \langle \phi \rangle = \lambda (v^2 - \langle \phi \rangle^2 - 3 \langle \delta \phi_{\parallel}^2 \rangle - \langle \delta \phi_{\perp}^2 \rangle) \langle \phi \rangle + H n_{\sigma}, \tag{3}$$

where  $\langle \phi \rangle^2 = \langle \phi_i \rangle \langle \phi_i \rangle$ ,  $\delta \phi_{||}$  is the component of the fluctuation parallel to  $\langle \phi \rangle$ , and  $\delta \phi_{\perp}$  is the orthogonal component. These equations imply that the motion of the mean fields is determined by an effective potential,

$$V(\langle \phi \rangle) = \frac{\lambda}{4} (\langle \phi \rangle^2 + 3\langle \delta \dot{\phi}_{\parallel}^2 \rangle + \langle \delta \phi_{\perp}^2 \rangle - v^2)^2 - H\langle \sigma \rangle, \tag{4}$$

which clearly differs from the zero temperature one in the presence of the fluctuations. By varying the level of fluctuations, chiral symmetry can be restored or spontaneously broken. The above effective potential is very generic since no assumption has been made for the fluctuations except that they are only of a classical nature. If the fluctuation terms in Eq. (4) are replaced by their counterparts in a finite temperature field theory, the well-known one loop effective potential at finite temperature [10] is recovered. Therefore, for the mean fields, and as we shall show for the fluctuation fields as well, the classical equations of motion have already included the effect of fluctuations present in the effective potential. This might look surprising, but can be easily understood in a thermal equilibrium case. In a finite temperature field theory, the temperature dependence of the effective potential arises from the on-shell part of the propagator. Since no contribution from virtual particles is involved, all thermal corrections at one loop level are purely classical. Since we shall neglect the corrections due to quantum fluctuations [9,11], we can also derive the equations of motion for the fluctuation fields  $\delta\phi$  by subtracting Eq. (3) from Eq. (1). These two sets of coupled equations for  $\langle\phi\rangle$  and  $\delta\phi$  can, therefore, be consistently solved through numerical simulations [4,5] of the classical equations of motion. Since the fluctuations and their squared ensemble averages can evolve with time according to a given relaxation mechanism [6,8,9], the time evolution of the field configuration obtained from Eq. (1), already includes the effect of the time dependence of the effective potential. The use of equations of motion does not ensure that the effective potential takes its zero temperature form or that chiral symmetry is spontaneously broken. What matters most is the initial fluctuation of the system.

When  $\delta^2 \equiv (3\langle \delta \phi_{\parallel}^2 \rangle + \langle \delta \phi_{\perp}^2 \rangle)/6$  is large enough, chiral symmetry is restored (approximately, due to  $H \neq 0$ ). If the explicit chiral symmetry breaking term is neglected, the phase transition takes place at the critical fluctuation,  $\delta_c^2 \equiv v^2/6$ . For  $\delta^2 < \delta_c^2$ , the effective potential takes its minimum value at  $\langle \phi \rangle = (\sigma_e, 0)$ , where  $\sigma_e$  depends on  $\delta^2$ . When the mean fields are displaced from this equilibrium point to the central lump of the "Mexican hat"  $(\langle \phi_i \rangle \sim 0)$ , modes below the critical momentum,

$$k_{c} = \left[\lambda(v^{2} - \langle \phi \rangle^{2} - 3\langle \delta \phi_{\parallel}^{2} \rangle - \langle \delta \phi_{\perp}^{2} \rangle)\right]^{1/2}, \qquad (5)$$

become unstable and thus DCC domains can form. Since the domain size is directly related to the time scale during which these modes are unstable, it strongly depends on the initial condition,  $\delta^2$  and  $\langle \phi \rangle$  of the system.

Let us now consider three different scenarios. (i) In a quenching scenario, the initial fluctuation is below the critical value,  $\delta^2 < \delta_c^2$ , and  $\langle \phi_i \rangle \sim 0$ . As the mean fields roll down from the central lump of the potential, pion modes below  $k_c$  will be amplified. In the meantime, as the system cools down and the fluctuation decreases via, e.g., an expansion, the effective potential will also change and the equilibrium point of the potential ( $\sigma_e, 0$ ) moves towards the zero temperature value ( $f_{\pi}, 0$ ). This will increase the roll-down time and lead to a larger domain size. (ii) On the other hand, if we initially choose  $\langle \phi \rangle$  to be

very close to the equilibrium point of the effective potential,  $(\sigma_e, \mathbf{0})$ , the mean fields and the effective potential may both evolve so that the system always oscillates around the equilibrium position. We refer to this scenario as a cold annealing since the system starts with an effective potential in which chiral symmetry is spontaneously broken. (iii) What we shall call a hot annealing scenario is similar to the cold annealing except that the initial fluctuation is much larger than the critical value,  $\delta^2 \gg \delta_c^2$ , so that chiral symmetry is almost restored. In both annealing cases, the mean fields can evolve almost synchronously with the effective potential so that the system only oscillates around the equilibrium point, i.e.,  $\langle \phi \rangle^2 \approx v^2 - 3 \langle \delta \phi_{\perp}^2 \rangle - \langle \delta \phi_{\perp}^2 \rangle$ . One can expect then that the low momentum modes are less amplified and the domain size is smaller than in the quenching case.

In order to confirm our arguments, we have carried out numerical simulations of Eq. (1) including both the longitudinal and transverse expansions. We assume boost invariance in the longitudinal direction so that the longitudinal expansion is automatically included. To consider the transverse expansion, we use a cylindrical boundary condition. The initial  $\phi$  fields are randomly distributed according to a Gaussian form with the following parameters:

$$\langle \sigma \rangle = (1 - f(r))(f_{\pi} - \sigma_0) + \sigma_0$$
  

$$\langle \pi_i \rangle = 0,$$
  

$$\langle \sigma^2 \rangle - \langle \sigma \rangle^2 = \langle \pi_i^2 \rangle = \delta_0^2 f(r),$$
  

$$\langle \dot{\sigma} \rangle = \langle \dot{\pi}_i \rangle = 0,$$
  

$$\langle \dot{\sigma}^2 \rangle = \langle \dot{\pi}_i^2 \rangle = 4\delta_0^2 f(r),$$
(6)

where  $r = (x^2 + y^2)^{1/2}$  is the radial coordinate, the dot stands for the derivative with respect to the proper time  $\tau = (t^2 - z^2)^{1/2}$ ,  $\sigma_0$  and  $\delta_0^2$  are constants which we can vary for different scenarios. We have introduced an interpolation function,

$$f(r) = \left[\exp\left(\frac{r-R_0}{\Gamma}\right) + 1\right]^{-1},\tag{7}$$

to describe the boundary condition.  $R_0$  is the radius of the initially excited region where fluctuations exist and the mean fields are different from their vacuum expectation values. Outside this region, the vacuum configuration,  $\phi = (f_{\pi}, \mathbf{0})$ , is imposed.  $\Gamma$  is the thickness of the transient region. The results presented in this Letter are obtained with  $R_0 = 5$  fm and  $\Gamma = 0.5$  fm.

In our numerical simulations, we have used two-step Lax Wendroff method, a version of the leap frog method [12]. We have used an initial correlation length,  $\ell_{\rm corr} = 0.5$  fm. Usually the spacing *a* of the lattice on which numerical simulations are carried out has been identified to  $\ell_{\rm corr}$  [4,5]. To include the initial correlation and to reduce the finite size effect, we have adopted a lattice spacing smaller than  $\ell_{\rm corr}$  [13]. The initial fields are therefore uniform within  $\ell_{\rm corr} \times \ell_{\rm corr}$  squares. Since domain formation is caused by the amplification of low momentum modes, the domain size should not be very sensitive to the value of  $\ell_{\rm corr}$ . We have actually confirmed this in our numerical simulations [12].

For the three scenarios we consider here, we take (i)  $\delta_0^2 = \delta_c^2 = v^2/6$ , with which the system is about to go through a phase transition. The initial field configuration is set to  $\sigma_0 = 0$  for the quenching case. (ii) For a cold annealing case, we take  $\sigma_0 = \sigma_e = 44$  MeV, in order to have an equilibrium initial configuration with the given fluctuation. (iii) For a hot annealing case, we take  $\delta_0^2 = v^2/4$  and  $\sigma_0 = \sigma_e = 20$  MeV.

We define a correlation function  $C(r, \tau)$  as

$$C(\mathbf{r},\tau) = \frac{\sum_{i,j} \boldsymbol{\pi}(i) \cdot \boldsymbol{\pi}(j)}{\sum_{i,j} |\boldsymbol{\pi}(i)| |\boldsymbol{\pi}(j)|},\tag{8}$$

where the sum is taken over those grid points *i* and *j* such that the distance between *i* and *j* is *r*. In Fig. 1(a), we show the time evolution of the correlation function for the quenching case. Throughout the calculations shown in this Letter, we take the initial time  $\tau_0 = 1$  fm and a lattice spacing a = 0.25 fm. At  $\tau = \tau_0$ , there is no correlation beyond the initial correlation length  $\ell_{corr}$ . We can clearly see that a long range correlation emerges at later times. Note that the apparent shrinking of correlation length at  $\tau = 7$  fm is a little misleading. Since the resultant pion distributions only depend on  $\pi_i^2$ ,  $C(r, \tau) < 0$  should be regarded as the manifestation of the correlation as long as  $C(r, \tau) \not\approx 0$ . At  $\tau = 7$  fm, the typical correlation length is as long as  $r \sim 2.5$  fm. In Fig. 1(b), we compare the results of the quenching, hot and cold annealing scenarios at  $\tau = 7$  fm. We observe that quenching gives the largest correlation among the three cases. We have also checked that changing  $\sigma_0$  to 0 in the hot annealing case does not help much to create larger domains, since the system has moved to the equilibrium position before the chiral phase transition takes place. In other words, a quenching condition can never be realized through a hot annealing. The situation does not improve much even if a second order phase transition is assumed (H = 0), because the expansion time scale is too short for any long range correlation to develop. In the quenching case, the expansion of the system reduces the fluctuation, and as a result, the evolving effective potential provides a longer roll-down time for the system to form larger domains. We have in fact checked that for larger  $\tau_0$  cases, where relative longitudinal expansion is slower, a smaller correlation is generated [12].

In Fig. 2, we show the time evolution of the average  $\sigma$  field,  $\langle \sigma \rangle$ , and the average fluctuations,  $\langle \delta \sigma^2 \rangle^{1/2}$  and  $\langle \delta \pi_1^2 \rangle^{1/2}$ .  $\langle \delta \pi_2^2 \rangle^{1/2}$  and  $\langle \delta \pi_3^2 \rangle^{1/2}$  are similar to  $\langle \delta \pi_1^2 \rangle^{1/2}$ . In this calculation, the initial fluctuation,  $\delta_0^2 = 3v^2/8$ , has been taken to be much larger than the critical value so that chiral symmetry is almost restored. For  $\sigma_0$ , we have taken its value to be  $\sigma_e = 9$  MeV. We have used 100 events and averaged over the central region  $r \leq 3$  fm. We see that  $\langle \delta \sigma^2 \rangle$  and  $\langle \delta \pi_1^2 \rangle$  decrease on the average with time due to the longitudinal and transverse expansion. On the other hand,  $\langle \sigma \rangle$  increases, following the equilibrium position of the evolving effective potential. In principle,  $\langle \sigma \rangle$  approaches to its vacuum value,  $f_{\pi}$ , as  $\delta^2$  goes to zero. A very interesting and important point is that  $\langle \delta \sigma^2 \rangle$  and  $\langle \delta \pi_1^2 \rangle$  decouple from each other at about  $\delta = 35$  MeV, which is about the value of the critical fluctuation,  $\delta_c = (v^2/6)^{1/2}$ . This decoupling is nothing but the manifestation of the mass splitting during the chiral phase transition: the pion mass becomes smaller and the sigma mass becomes larger. Obviously, the semiclassical fluctuations also experience an evolving effective potential as well as the mean fields ( as seen in Fig. 2,  $\langle \sigma \rangle$  rapidly increases after the phase transition as the system follows the ever-changing equilibrium position).

Finally, to demonstrate domain formation, we show the contour plot of  $\pi_2$  in Fig. 3 for one event in our quenching case as a function of the transverse coordinates at the initial time  $\tau = \tau_0 = 1$  fm and  $\tau = 5$  fm. We can clearly see two large domains with opposite signs in  $\pi_2$  at  $\tau = 5$  fm in this event, whereas initially there exists no structure inside the chirally restored region. We also note an apparent transverse expansion and decreasing fluctuations in the inner region. The domain formation is more dramatic for a smaller system [12].

In summary, we have shown that the usual prescription for a quench actually already includes the effect of fluctuations. The relaxation of the semiclassical fluctuations and their effect on the effective potential are automatically included in the evolution of the system which undergoes both longitudinal and transverse expansions. Mass splitting of the pion and sigma fields at the classical level during the chiral phase transition has been clearly demonstrated. We have also shown in our numerical calculations that DCC domains of a typical size up to 4-5 fm can form for realistic parameters in the linear  $\sigma$ -model if the quenching initial condition is realized. Furthermore, we have demonstrated that such a quenching condition cannot be achieved by relaxing a system from a chirally symmetrical phase through expansions. We will discuss elsewhere [12] whether and how a quenching initial state can be realized in hadronic or nuclear collisions.

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- [13] This will lead to an artificial cooling of the fluctuations. However, we have checked, by using a lattice spacing equal to  $\ell_{corr}$ , that our conclusions, especially on the domain formation, remain the same. We thank B. Müller for bringing this to our attention.

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#### **Figure Captions**

- Fig. 1 Correlation functions (a) at  $\tau = 1$  fm (initial state), 3 fm, and 7 fm for the quenching initial condition; (b) for the quenching, hot and cold annealing scenario at  $\tau = 7$  fm.
- Fig. 2 Evolution of  $\langle \sigma \rangle$ ,  $\langle \delta \sigma^2 \rangle^{1/2}$ , and  $\langle \delta \pi_1^2 \rangle^{1/2}$  as functions of  $\tau$ . The initial fluctuation is taken to be,  $\delta^2 = 3v^2/8$ , and  $\sigma_0 = 9$  MeV. The averages are made over 100 events and within  $r \leq 3$  fm.
- Fig. 3 Contour plot of  $\pi_2$  field in an event at  $\tau = \tau_0 = 1$  fm and  $\tau = 5$  fm. A quenching initial condition is used as in Fig. 1. The  $\pi_2$  field has opposite signs in the two large domains.





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