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## Title

Representation of Atmospheric Water Budget and Uncertainty Quantification of Future Changes in CMIP6 for the Seven U.S. National Climate Assessment Regions

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Peer reviewed

1	<b>Representation of Atmospheric Water Budget and Uncertainty</b>
2	Quantification of Future Changes in CMIP6 for the Seven U.S. National
3	<b>Climate Assessment Regions</b>
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#### ABSTRACT

20 Observation-based climate model evaluation and future projections help policymakers in 21 developing action plans for efficient management of water resources and mitigation of the 22 impacts of hazardous extremes. Apart from this socioeconomic importance, the scientific 23 value cannot be overstated, especially in light of the upcoming Fifth U.S. National Climate 24 Assessment (NCA) report. In this study, we evaluate the realism of hydroclimate variability 25 in the historical simulations of a suite of coupled general circulation models (CGCMs) 26 participating in the Sixth and Fifth phases of the Coupled Model Intercomparison Project 27 (CMIP6 and CMIP5). Our results demonstrate systematic biases in the simulated seasonal 28 precipitation - most prominently, wet bias over the mountainous West in winter, and dry bias 29 over the Central Plains in summer. A distinctive feature of this work is our focus on the 30 examination of the atmospheric water budget, in particular, the relative importance of remote 31 and local contributions - convergence of moisture fluxes and local land surface processes 32 (evapotranspiration) respectively – in helping produce precipitation. This diagnosis reveals 33 that the leading contribution of the remote influence in winter is overestimated by the CMIP6 34 multi-model mean (MMM), whereas the local influence which is more influential in summer 35 is underestimated. Our results aid in understanding the drivers of seasonal precipitation over 36 the U.S., where precipitation will likely increase by the end of the century but with significant 37 model disagreement for the summer and fall. In support of ongoing NCA efforts, our study 38 aims to contribute a comprehensive, regional-level analysis of the moisture budget and 39 emphasizes the importance of realistically simulating its major components in CGCMs.

#### 40 1. Introduction

41 Changes to the water cycle in a warming world can have profound impacts on humanity and the environment because its atmospheric and terrestrial components are integral to life on 42 43 land and influence circulation in the atmosphere and the oceans. These changes especially 44 those exceeding the threshold of natural variability are of great scientific interest (Easterling 45 et al. 2017; USGCRP 2017). They include, but are not limited to, variations in precipitation, 46 evapotranspiration, atmospheric humidity, and horizontal moisture flux (Bosilovich et al. 47 2005; Held and Soden 2006; Huntington 2006; Rodell et al. 2015). Due to the heterogeneity 48 in the distribution of climate controls over the planet, these changes, however, are not 49 uniform and have distinct regional patterns. It is this regional manifestation that determines 50 the distribution of sustainable water supply, and the potential for operational management of

water resources, including planning for and responding to, prevention of extreme episodes.
Therefore, a clear understanding of the hydroclimatic controls of current and future climate
on the regional scale is of fundamental societal and scientific importance.

54 At a global level, projections of future change in our climate system are provided by the 55 Intergovernmental Panel on Climate Change (IPCC) through its Assessment Reports (ARs). 56 For instance, Collins et al. (2013) presents the long-term projections for the end of the 21<sup>st</sup> 57 century based on global climate models participating in the Coupled Model Intercomparison 58 Project Phase 5 (CMIP5) under various Representative Concentration Pathways (RCP) 59 scenarios. Meanwhile, national assessments of future climatic changes under multiple 60 scenarios of increasing greenhouse gas concentrations have been conducted in the United 61 States [through its National Climate Assessment (NCA), the most recent one being the Fourth 62 NCA (NCA4; USGCRP 2017) Report], United Kingdom (Murphy et al. 2018), Australia 63 (CSIRO and Bureau of Meteorology, 2015), India (Krishnan et al. 2020), and others. The 64 fundamental basis of these climate assessments are fully-coupled Earth-system models driven 65 by potential future emissions and socioeconomic-development scenarios. For further scientific understanding, and to devise and evaluate potential mitigation and adaptation 66 67 strategies, it is essential to closely examine the fidelity of these global coupled climate 68 models in representing important Earth system processes and related feedbacks.

69 The water cycle through its fluxes and reservoirs forms an integral component of the 70 Earth system, as well as a critical enabler of human activities whose historical characteristics 71 are threatened by climate change (Tabari et al. 2021). Several global water cycle analyses 72 have been conducted starting with the earliest analyses (Nace 1969; Korzoun 1974) which 73 relied on limited observations to estimate globally averaged fluxes of precipitation and 74 evapotranspiration. More comprehensive water cycle assessments have been conducted in the 75 recent decades (e.g., Chahine 1992; Oki et al. 1999; Oki and Kanae 2006; Trenberth et al. 76 2007; Waliser et al. 2007; Rodell et al. 2015), which, through rigorous accounting of errors, 77 reveals the extent to which the water budget can be closed over multiple regions with the 78 present observational resources; besides this, these notable global assessments provide a 79 benchmark for Earth system model evaluations.

With the goal of informing the upcoming Fifth NCA (NCA5) report, the present study
focuses on the atmospheric water budget and the realism of associated simulations by
coupled models participating in the most recent phase (phase 6) of the CMIP project (CMIP6;

83 Eyring et al., 2016). The domain of the study is the continental United States (CONUS) — a region that includes a variety of hydroclimatic regimes and is densely observed, providing 84 85 avenues for comprehensive model assessment. It comprises of seven NCA regions — 86 Northwest, Southwest, Northern Great Plains, Southern Great Plains. Midwest, Northeast, 87 and Southeast; all outlined in Fig. 1. Across the U.S., the annual precipitation has increased by 5% over the 1901–2012 period as reported in the Third National Climate Assessment 88 89 (NCA3; Walsh et al. 2014) report, with the more recent NCA4 reporting an increase of 4% 90 over the 1901–2015 period. These changes are far from uniform and have important regional 91 and seasonal differences; the Northeast, Midwest, and Great Plains have experienced 92 increases, while parts of the Southwest and Southeast have had decreases in precipitation

93 (Easterling et al. 2017).



94





105 of the central U.S. during the warm season (e.g., Trenberth and Guillemot 1996; Barlow et al. 106 2001; Schubert et al. 2004; Ruiz-Barradas and Nigam 2005, 2006; Feng et al. 2016), and for 107 the western U.S. (Guan et al. 2010; Dettinger et al. 2011; Baker and Huang 2012, 2014; 108 Gershunov et al. 2017; Massoud et al. 2020a; McKinnon and Deser 2021). In this context, 109 Cook and Seager (2013) noted a shift in the seasonality of the North American Monsoon to 110 late summer under global warming. More recently, Massoud et al. (2020b) documented the 111 CMIP5 end-of-the-century projections of precipitation over CONUS by constraining the 112 spread of model uncertainty using Bayesian model averaging. Watterson et al. (2021) 113 analyzed the atmospheric moisture budget in CMIP6 models and discussed implications for 114 future projections of mean and heavy rainfall; however, only ten models were analyzed for a 115 specific experiment — the idealized rising-CO2 (1pcCO2), and no other CMIP6 forcing 116 scenarios. With the above studies in mind, the present study is novel in the level of detail it 117 provides in regard to diagnosing the components of the atmospheric water budget for each individual U.S. NCA region and evaluating the fidelity of the state-of-the-art simulations 118 119 from a much larger suite of CMIP6 and CMIP5 coupled climate models. Specifically, this 120 investigation seeks to unravel the relative contributions of atmospheric water budget terms — 121 local and remote influences (evaporation and moisture fluxes, respectively) — in generating 122 precipitation over individual NCA regions.

123 The CONUS lies between the high-latitude regions, which are projected to become wetter, and the subtropical zone, which is projected to become drier (Collins et al. 2013). As 124 125 such, there exists considerable uncertainty in the future projected changes in precipitation, in 126 particular for the midlatitude regions. On the other hand, evaporation rates have already 127 increased by 10% globally in recent decades (Pascolini-Campbell et al. 2021) and are 128 projected to increase in a warmer world with major impacts on the hydrological cycle 129 (Kundzewicz 2008; IPCC 2013). As a result of increasing evaporation coupled with higher 130 atmospheric water vapor, the frequency and intensity of landfalling atmospheric rivers, which 131 are influential on a suite of hydrometeorological extremes, are projected to increase for the 132 U.S. West Coast (e.g., Gao et al. 2015; Warner et al. 2015; Espinoza et al. 2018). On the 133 other hand, mesoscale convective systems - the primary mechanism of warm-season 134 precipitation in the central U.S. — are projected to increase in frequency and intensity 135 (medium model confidence) (Easterling et al. 2017; USGCRP 2017). NCA4 also reported a 136 projected increase in the intensity (with medium model confidence) and frequency (with low 137 confidence) of hurricanes in the North Atlantic. Thus, there exist significant uncertainties in

the future projections, especially over regions where changes of the opposite sign are projected across models. Sources of such uncertainties include inadequacies in model formulations, future emission scenarios, the extent of human influence, technological advancements, social/government actions. With simulations and projections now available from the latest CMIP6 archive, understanding how models represent the various components of the water cycle presents an opportunity for tracking progress across the CMIP phases of experiments and refining related regional hydroclimate projections.

145 The present study is motivated by the lack of comprehensive NCA-focused analyses 146 using a moisture-budget framework. Section 2 discusses the observational and reanalysis 147 datasets, CMIP6 and CMIP5 model simulations and projections, and analysis methods. The 148 representation of the atmospheric water budget components in the historical climate 149 simulations over the U.S. NCA regions is critiqued in section 3, including an evaluation of 150 the models' skill in replicating the annual mean and annual cycle of observed precipitation. 151 This approach facilitates the diagnosis of systematic model biases and tracks improvements 152 made across the latest two phases of CMIP experiments. The relative contributions from 153 moisture flux convergence (remote) and evaporation (local) to precipitation variability are 154 also compared in this section. The uncertainties in model simulations of precipitation and 155 evaporation are presented in section 4, while projected future changes for the end of the 21<sup>st</sup> 156 century and associated uncertainty are described in section 5. Concluding remarks, including implications of this analysis for the upcoming NCA5 report, follow in section 6. 157

#### 158 **2. Datasets and analysis method**

The spatial domain of this investigation involves the continental United States, while the temporal scale focuses on the mean monthly to mean annual hydroclimate during the three decades (1981–2010) of historical coupled climate simulations, and end-of-century (2071– 2100) projections of future climate.

#### 163 a. Historical Climate Simulations and Future Projections

164 The historical simulations of the twentieth-century climate are evaluated in this study, 165 where GCMs are forced by greenhouse gas emissions, volcanic and anthropogenic aerosol 166 loadings, and solar irradiance. The pertinent features of the coupled models from the major 167 climate research centers of the world, as part of World Climate Research Programme 168 (WCRP)'s CMIP6 and CMIP5, assessed in this study (32 CMIP6 and 20 CMIP5 CGCMs) 169 are noted in Table 1 and Table S1 (of the supplemental material) respectively. To assess the future change and associated uncertainty, we analyze the projections from the Shared 170 171 Socioeconomic Pathway (SSP)5-8.5 in the CMIP6 archive (O'Neill et al. 2016). The SSP5-172 8.5 scenario is an update of the CMIP5 version of the representative concentration pathway 173 8.5 (RCP8.5); it lies at the higher end of future pathways and assumes that greenhouse gas emissions are high enough to reach a radiative forcing of 8.5 W m<sup>-2</sup> in 2100. The atmospheric 174 water budget components analyzed here involve precipitation, evapotranspiration, vertically 175 176 integrated horizontal moisture transport, and water vapor path, in addition to the atmospheric 177 circulation. The multi-model mean (MMM) of the ensemble of models (for their first run, i.e., 178 "r1" member) is used for deriving the climatological mean. Analysis of the MMM is 179 performed by interpolating the individual model fields onto a common spatial resolution, 180 identical to that of the given baseline observation, or reanalysis dataset. 181 The historical model simulations generally start in the second half of the 1800s and end in 182 the mid-2010s, while the future projections usually extend out to at least the end of the  $21^{st}$ 183 century. The period of evaluation here will focus on the recent three full decades of available

data (1981–2010) to determine the realism of the present-day climate simulations compared
to the observations. The future change computed here refers to the change of the projected
climatological mean for the end-of-century (2071–2100) period relative to that simulated for

187 their corresponding historical (1981–2010) period.

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Modeling Institution (Country)	CMIP6 model name	Resolution (lon x lat)
Australian Community Climate and Earth System	ACCESS-CM2	192x144
Simulator (Australia)	ACCESS-ESM1-5	192x145
Beijing Climate Center (China)	BCC-CSM2-MR	320x160
	BCC-ESM1	128x64
Canadian Centre for Climate Modelling and Analysis (Canada)	CanESM5	128x64
Chinese Academy of Science (China)	CAS-ESM2-0	256x128
National Center for Atmospheric Research (USA)	CESM2	288x192

	CESM2-FV2	144x96
	CESM2-WACCM	288x192
	CESM2-WACCM-FV2	144x96
	E3SM-1-0	360x180
Energy Exascale Earth System Model (E3SM) Project (USA)	E3SM-1-1	360x180
	E3SM-1-1-ECA	360x180
Chinese Academy of Science (China)	FGOALS-g3	180x80
Geophysical Fluid Dynamics Laboratory (USA)	GFDL-ESM4	288x180
	GISS-E2-1-G	144x90
NASA Goddard Institute for Space Studies (USA)	GISS-E2-1-G-CC	144x90
	GISS-E2-1-H	144x90
Institute for Numerical Mathematics (Russia)	INM-CM5-0	180x120
Institut Pierre Simon Laplace (France)	IPSL-CM6A-LR	144x143
National Institute of Meteorological Sciences,	KACE-1-0-G	192x144
Korea Meteorological Administration (Korea)		
Manabe Climate Model, University of Arizona (USA)	MCM-UA-1-0	96x80
Japan Agency for Marine-Earth Science and Technology/		256 120
Atmosphere and Ocean Research Institute, the University of Tokyo (Japan)	MIROC6	256x128
	MPI-ESM-1-2-HAM	192x96
Max Planck Institute (Germany)	MPI-ESM1-2-HR	384x192
	MPI-ESM1-2-LR	192x96
Meteorological Research Institute (Japan)	MRI-ESM2-0	320x160
Nanjing University of Information Science and Technology (China)	NESM3	192x96

Norwagian Climata Contar (Norway)	NorCPM1	144x96
(Norwegian Chinate Center (Norway)	NorESM2-LM	144x96
Seoul National University (Korea)	SAM0-UNICON	288x192
Research Center for Environmental Changes, Academia Sinica (Taiwan)	TaiESM1	288x192

189

Table 1. Description of CMIP6 models used in the present study.

#### 190 b. Observed Precipitation

191 The baseline evaluation utilizes four different gridded precipitation datasets for the 192 observed seasonal and regional distribution of precipitation, including its annual cycle, and 193 associated uncertainty estimates. Compared to individual station-based data, gridded in situ 194 products are usually preferred for model evaluation as it represents the precipitation averaged 195 over a grid cell thereby facilitating comparison against corresponding climate model 196 simulation (Zhang et al. 2011, Gibson et al. 2019). In situ products draw from rain gauge 197 networks employing different interpolation schemes, elevation corrections, and other 198 gridding and processing methods.

199 The National Oceanic and Atmospheric Administration (NOAA) Climate Prediction 200 Center (CPC)'s Unified CONUS dataset (CPC Unified; Xie et al. 2007, Chen et al. 2008) is a 201 gridded precipitation product available at 0.25° by 0.25° resolution over the domain 20°N-49.5°N, 233.75°E-292.75°E for the period January 1948-present. The project was 202 203 developed with the goal of unifying the suite of available precipitation products at CPC, including station data from the U.S. rain gauge network, over the land while taking advantage 204 205 of the optimal interpolation algorithm. The second precipitation dataset used is the Oregon 206 State University Parameter-Elevation Regressions on Independent Slopes Model (PRISM; 207 Daly et al. 2008), available at a 4-km spatial resolution. It draws station data from the Cooperative Observer Program (COOP) and Snowpack Telemetry (SNOTEL) networks and 208 209 uses linear precipitation–elevation correction scheme that applies weights based on elevation. 210 Third, the German Meteorological Service's (DWD) Global Precipitation Climatology Centre 211 Full Data Product (GPCC; Schneider et al. 2018) on a 0.25° continental grid is used in this 212 study. It is a quality-controlled, global land-surface precipitation dataset for the January 213 1891–December 2016 period, derived from ~85,000 stations worldwide featuring record 214 durations of 10 years or more. Last, the analysis draws from the Climatic Research Unit

- 215 Time-Series Version 4.02 (CRU-TS4.02; Harris et al. 2020) available at 0.5° by 0.5°
- 216 resolution. It is a high-resolution, global, gridded data of month-by-month variation in
- 217 climate over the land points for the January 1901–December 2017 period.

To assess the uncertainty in the observational datasets, we computed the climatological means, both annually and seasonally, by taking area averages over each of the seven NCA regions; all documented in Table S2 (of the supplemental material). This dataset intercomparison reveals that the inter-product deviations are modest in the observed record, within 5% of the climatological means. This leads to our preference for the CPC Unified product for the assessment of historical model simulations, given its CONUS-centric focus and spatial resolution ensuring computational efficiency.

#### 225 c. ERA5 Reanalysis

226 The fifth generation of the European Centre for Medium Range Weather Forecasting's 227 (ECMWF) Reanalysis (ERA5; Hersbach et al., 2020), a state-of-the-art global reanalysis 228 product obtained from a 4-dimensional variational (4D-Var) data assimilation system, is used 229 for the diagnosis of atmospheric water budget constituents and to characterize the circulation associated with the seasonal precipitation. This global reanalysis generated via assimilation of 230 231 historical observations (satellite and in situ) is available at a monthly resolution on a  $0.25^{\circ}$  by 232 0.25° grid from the year 1979-present. While reanalyses in the past have had deficiencies in 233 their water budget (Berrisford et al., 2011), ERA5 has an improved global hydrological 234 budget compared to ERA-Interim (Hersbach et al. 2020, their Fig. 23). This leads us to our 235 choice of ERA5 as the target dataset for comparative assessment of evapotranspiration, zonal 236 and meridional winds, total column water vapor, vertical integrals of horizontal moisture 237 fluxes, and their divergences against corresponding fields from CMIP6.

#### 238 d. Analysis Method

In this study, the comparative significance of the components of the vertically integrated
moisture conservation equation is analyzed; the atmospheric moisture budget which can be

241 written as (e.g., Watterson et al. 2020):  $P = E + MFC - \frac{\partial W}{\partial t}$ , (1)

where P is precipitation, E is evaporation from the surface,  $MFC = -\nabla \cdot F$  is the convergence of vertically integrated horizontal water vapor flux, and W is the total column water vapor or precipitable water. Also,  $\frac{\partial}{\partial t}$  is the local rate of change,  $\nabla$  is the gradient operator. Meanwhile,  $F = \frac{1}{g} \int_0^{p_s} q V_h dp$ , and,  $W = \frac{1}{g} \int_0^{p_s} q dp$ , where *q* is specific humidity, *g* is gravity,  $p_s$  is the pressure at the Earth's surface, and  $V_h$  is the horizontal wind vector. Here, we estimate the contributions from the right-hand terms of Equation (1) towards precipitation variability for each U.S. NCA region.

249 A common approach in regional hydroclimate studies focusing on future changes is to 250 consider the GHG-induced "thermodynamic influence" of increased atmospheric water vapor 251 in a warmer world. However, this GHG-induced thermodynamic effect, which potentially 252 increases precipitation, also stabilizes the atmosphere via top-heavy heating, thereby reducing 253 convection mass flux and, ultimately, precipitation. In other words, the two effects of the 254 thermodynamic influence tend to offset each other (Vecchi and Soden 2007; Chadwick et al. 255 2016; Jin et al. 2020). As demonstrated in Wang et al. (2020), the GHG radiative forcing 256 generates non-uniform warming, which may drive changes in atmospheric circulation that 257 ultimately determines the likely future regional precipitation change. Therefore, in our 258 present analysis of future projected precipitation changes (Section 5), we include a focus on 259 the "dynamic influence" of changes in atmospheric circulation. In other words, our discussion of the future precipitation changes provides supporting evidence from likely changes in 260 atmospheric circulation. 261

### 262 **3.** Atmospheric water budget in historical climate simulations

263 a. Precipitation

#### 264 1) SIMULATION OF SEASONAL PRECIPITATION AND CIRCULATION

265 The twentieth-century (i.e., historical) simulations of climate provide unique avenues for 266 evaluation of models whose projections of future climate will form the foundation of the most recent IPCC report, the IPCC-AR6. The spatial distribution of precipitation and associated 267 268 850-hPa winds in observations/reanalysis and the bias in the corresponding CMIP6 and 269 CMIP5 multi-model ensemble is displayed at seasonal resolution in Fig. 2. The observed 270 winter mean precipitation is characterized by the maximum over the northwestern and western U.S. with notably large magnitude (~ 4.2 mm day<sup>-1</sup>) in coastal Washington, Oregon, 271 272 and northern California. On the other hand, the Southeast experiences similar precipitation year-round with a weak spring maximum (~ 3.6-3.9 mm day<sup>-1</sup>). The warm-season (summer) 273 months of June–August exhibits a peak (~ 3.3-3.6 mm day<sup>-1</sup>) over the central United States 274

 $(35^{\circ}-45^{\circ}N, 100^{\circ}-90^{\circ}W)$  and an even wetter one (~ 4.2 mm day<sup>-1</sup>) along the southeastern 275 coast. Also evident in Fig. 2 are regions of fall season precipitation focused primarily along 276 the Gulf coast states (aggregating ~ 3.3-3.6 mm day<sup>-1</sup>). A salient feature of the seasonal 277 278 circulation is the primarily zonal flow in the mid-latitudes over the northern states. Interestingly, the warm season months of June-August - the wettest part of the year for the 279 Great Plains – sees the advent of onshore southerly winds ( $\sim 4-5 \text{ m s}^{-1}$ ) from the Gulf of 280 281 Mexico, which also helps to explain the climatological aridity gradient around 100°W 282 (Seager et al. 2018). The historical climate simulations of both the CMIP6- and the CMIP5 283 models demonstrate significant large-scale biases: wet biases over the leeward side of the 284 mountainous regions of the West (e.g., the Cascades and the Sierra) in winter, and dry biases 285 in the Gulf Coast states with extension into the Great Plains in summer. The dipole structure 286 of the bias in the Pacific Northwest and the Sierra Nevada, notably in the climatological wet 287 season (winter), suggests a lack of model resolution of orography in this region. The other notable deficiency – an expansive summer precipitation deficit (~0.6-0.9 mm day<sup>-1</sup>) in the 288 289 Great Plains – may result from an underestimation of remote and local contributions to 290 precipitation. The simulated 850-hPa circulation reveals northeasterly/northerly wind biases  $(\sim 2-3 \text{ m s}^{-1})$  over the Southeast in the CMIP5 MMM across all seasons. This acts to weaken 291 the prevailing southerly/southwesterly flow that transports moisture from the Gulf of Mexico. 292 These wind biases are much weaker (~  $1 \text{ m s}^{-1}$ ) in the CMIP6 MMM, which indicates an 293 294 improvement in the representation of the regional atmospheric circulation. The precipitation 295 bias is, however, almost unchanged between CMIP5 and CMIP6, which prompts an 296 investigation into the model representation of local contributions to the precipitation in the 297 Southeast (see Section 3e).



299

Fig. 2. Seasonal mean precipitation (mm day<sup>-1</sup>; from NOAA CPC-Unified) and 850-hPa winds (m s<sup>-1</sup>; from ERA5 Reanalysis) in observations/reanalysis (first column), and CMIP6 and CMIP5 differences, i.e., their respective multi-model ensemble mean (MMM) minus observations (second and third columns). The period of analysis is 1981–2010 for observations and the CMIP6 models, and 1981–2004 for CMIP5. The number in bold in the second and third columns represent the area-averaged precipitation bias values over the seven NCA regions.

#### 307 2) SIMULATION OF PRECIPITATION ANNUAL-MEAN

The individual models' skill in simulating the mean annual precipitation, obtained as
biases from the observed climatological precipitation in 32 historical simulations, is assessed

310 in Fig. 3; the CPC-Unified (Fig. 3i) is the validation target for these simulations. These

- 311 models display a varying degree of fidelity with some systematic regional biases evident
- across a majority of them. Focusing on the southeastern United States, specifically the Gulf
- 313 Coast, and extending into the central Plains, the bias is predominantly negative and
- 314 widespread (0.6–0.9 mm day<sup>-1</sup>; e.g., in the BCC-CSM2-MR, BCC-ESM1, CESM2-WACCM,
- 315 FGOALS-g3, MCM-UA-1-0, SAM0-UNICON). Over the vast swaths of the western United
- 316 States, the models generally portray positive bias ( $\sim 0.3-0.6$  mm day<sup>-1</sup>; as in the E3SM-1-0,
- 317 E3SM-1-1, E3SM-1-1-ECA, GFDL-ESM4, GISS-E2-1-H, INM-CM5-0, IPSL-CM6A-LR,
- 318 MRI-ESM2-0, NESM3). The annual mean precipitation simulations in the eastern United
- 319 States are relatively good in many models (e.g., BCC-CSM2-MR, BCC-ESM1, CESM2-
- 320 FV2, the three E3SM models, KACE-1-0-G, the two MPI models, NorESM2-LM), while
- being overestimated in others (INM-CM5-0, IPSL-CM6A-LR, MRI-ESM2-0, NESM3). The
- 322 CMIP6 MMM has systematic biases mostly of the same sign but weaker amplitude: there are
- 323 wet biases in the western half of the country, and dry biases along the Gulf Coast. For
- 324 reference purposes, the annual mean precipitation assessed in twenty CMIP5 historical model
- 325 simulations is shown in Supplemental Fig. 1, which reveals a similar structure of the bias
- 326 patterns. This indicates significant model deficiencies in simulating precipitation across the
- 327 contiguous United States.



328

Fig. 3. The biases in the simulated annual-mean precipitation (mm day<sup>-1</sup>) from the historical climate model simulations (1981–2010) of the 32 CMIP6 models analyzed in this study. The climatological precipitation from NOAA CPC-Unified — the observational target — is shown in the top-left panel; contour threshold and shading follow the same convention as Fig. 2 (left column). Annual mean rainfall is contoured and shaded at 0.3 mm day<sup>-1</sup> interval for values up to +/-1.5 mm day<sup>-1</sup>, and at 0.5 mm day<sup>-1</sup> for higher values. The biases in the CMIP6 MMM with respect to the observational reference is shown in bottom-right panel.

337 The model-to-model and model-to-observations agreement in CMIP6 and CMIP5 for the 338 annual mean of precipitation is displayed in Fig. 4 through a display of its area-averaged 339 mean over the contiguous United States (CONUS; Figs. 4a-b), pattern correlation, and 340 standardized deviation (Figs. 4c-d). Although there exist discrepancies on a regional scale (cf. 341 Fig. 3), the CMIP6 model representation of the area-averaged values over CONUS is within 342 15% of the observed value. The bias in the CMIP5 MMM is slightly larger (an 343 overestimation by ~20%). In general, however, most CMIP6 and CMIP5 models 344 overestimate the magnitude of overland-mean precipitation. The model skill in replicating the 345 observed spatial pattern varies widely amongst the GCMs, with the correlation coefficients in 346 the range of 0.3–0.8 for CMIP6, and 0.2–0.5 for CMIP5. Almost all models overestimate the 347 magnitude of the spatial variability of precipitation as displayed by the standardized 348 deviations (Figs. 4c-d). From the CMIP6 models, the NorESM2-LM exhibits the highest 349 correlation (0.76) and the smallest magnitude of bias (Fig. 4a), as well as yielding a smaller 350 RMSE than other GCMs. Please note that the distance between the REF and individual model 351 points represents the RMSE in a Taylor diagram (Taylor 2001). INM-CM5-0 and CESM2 352 exhibit higher correlations (0.75 and 0.70) than other CMIP6 models albeit with large RMSE 353 (Fig. 4c); CESM2, however, shows relatively small bias (Fig. 4a). Amongst the CMIP5 354 models analyzed, the MPI-ESM-MR yields the highest correlation coefficient (0.51) in 355 simulating the spatial pattern followed by FGOALS-g2 (0.49) and the CanCM4 (0.47) 356 models; however, the RMSE in these CMIP5 models is much higher than the leading CMIP6 357 models.





File generated with AMS Word template 2.0

surface only) are shown with respect to the observed (from CPC-Unified) data in (a) and (b).
The spatial pattern correlations and standardized deviations are shown in panels (c) and (d)
using Taylor diagrams (Taylor 2001). The distance between the REF point and individual
model values (blue dots) represents the model RMSE.

#### 366 3) SIMULATION OF THE ANNUAL CYCLE OF PRECIPITATION

The annual progression of monthly precipitation is presented in Fig. 5a-g through a display of its annual cycle in the seven NCA regions. The mean variation of the annual cycle is represented by the solid thick/thin lines for observations/models while the upper and lower bounds of the green shading denote the  $\pm 1\sigma$  range about the observed mean for a given month. Notable features of the observed cycle include the maximum in the winter months (November–March) over the northwestern and southwestern United States, a peak in the summer months over the Northern and Southern Great Plains, and the U.S. Midwest.

374 Despite the large inter-model variation and the differing degree of accuracy in the CMIP6 375 models analyzed in the study, the MMM portrays the seasonality of precipitation, especially 376 its phase, fairly well in most NCA regions. While the MMM captures the timing of the winter 377 maximum over the Northwest NCA region (Fig. 5a), it significantly overestimates the 378 amplitude by as much as 30% in the winter months. In the Southwest NCA region (Fig. 5b), 379 almost all GCMs overestimate the observed precipitation with the MMM coinciding with the 380 upper bound of the  $\pm 1\sigma$  range of the observation. The annual cycle in the Northern Great 381 Plains and the Midwest regions (Figs. 5c and e) is more realistic in the MMM, although it 382 overestimates the summer peak in the former. The timing of the observed maximum in June 383 over the Southern Great Plains (Fig. 5d) is also erroneous in the MMM, which peaks a month 384 earlier in May. The weak annual cycle in the Northeast and Southeast NCA regions, where 385 the observed variability is also considerably large, pose additional problems for the models, 386 which display rather large inter-model fluctuations (Figs. 5f-g). The key finding here is that 387 the variance of the models about the mean is almost directly correlated to the seasonal values, 388 i.e., a high mean value often corresponds to high variance in the models independent of the 389 region and the season.

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Fig. 5. The annual cycle of precipitation (mm day<sup>-1</sup>) in the CMIP6 historical climate model simulations (1981–2010) for the seven U.S. NCA regions. The solid green line denotes the climatology from the observational target (NOAA CPC-Unified), while the green shading represents the  $\pm 1$  standard deviations of the reference data. The annual cycle from the CMIP6 MMM is displayed using the solid blue line with open circles, while that from individual models are shown using thin grey lines.

399	The skill of CMIP6 models in simulating the annual cycle is further summarized for all
400	the NCA regions using portrait diagrams in Figure 6. The fidelity of the CMIP6 GCMs in
401	simulating the phase of the annual cycle, represented by correlation coefficients between the
402	observed and simulations (Fig. 6a), is generally higher for the Northwest ( $r$ >0.90) and
403	Northern Great Plains ( $r$ >0.80) compared to other NCA regions. Fig. 6a shows that the model
404	skill is especially low in the Northeast and the Southeast NCA regions, where the correlation
405	scores of many models are even less than 0.5. These results suggest that the models exhibit
406	shortcomings in simulating precipitation in regions of elevated precipitation, e.g., the
407	southeastern United States where an intensification of variability characterized by intense
408	summer droughts and wet events in recent decades has been associated with greater
409	equatorial Atlantic SST variability and SST warming (Wang et al., 2010). The normalized
410	root-mean-square error (RMSE) plot (Fig. 6b) for the models reveals that the RMSE is $< 40$ -
411	50% of the observed mean for most NCA regions with the exception of the Southwest
412	(normalized RMSE values greater than 0.7 in most GCMs). The correlation and normalized
413	RMSE skill metrics reveal that the MMM consistently ranks among the best performers
414	compared to individual models (cf. last column entries in Fig. 6a, b).
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Fig. 6. Comparison of the model simulated annual cycle of precipitation in the 32 CMIP6
coupled climate models for the 1981–2010 period over the seven NCA regions of the
CONUS. The correlation coefficients between the simulated and the observed annual cycle
are shown in the upper panel. The RMSE normalized by the observed annual-mean values is
shown in the bottom panel.

#### 433 b. Evapotranspiration

The fidelity of CMIP6 coupled models in simulating evaporation is examined in Figure 7; the target benchmark for the evaluation is the ERA5 reanalysis, whose climatology is shown on the left panel for reference. Evapotranspiration follows the seasonal cycle of solar radiation and vegetation growth, attaining a peak in the summer months with a minimum in the winter (Fig. 7, left panel), in accordance with the findings of Rodell et al., 2015, their Fig. 4.

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Fig. 7. Seasonal mean evapotranspiration (mm day<sup>-1</sup>) over the CONUS for the reference
dataset (ERA5 Reanalysis; left panel) and departure of the CMIP6 MMM from the observed
(right panel). Results are shown for winter (December–February), spring (March–May),
summer (June–August), and fall or autumn (September–November). The period of analysis is
1981–2010.

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Evapotranspiration in the CMIP6 MMM of its coupled simulations exhibits varied biases,
most notably a dry bias (~ 0.6–0.9 mm/day) in the summer in the Northern and Southern
Great Plains, extending into the Midwest. Interestingly, the spatial structure of evaporation

biases bears a close resemblance to that of its precipitation counterpart in summer (cf. Fig. 2,
middle panel). The departure from the observed evapotranspiration is more modest in the fall
and winter seasons, whereas spring is marked by the advent of dry bias over the southern
states in addition to an overestimation over the Southwest NCA region (~0.75 mm/day).

#### 455 *c. Moisture fluxes*

456 The vertically integrated moisture fluxes and their associated convergence/divergence 457 assessed from ERA5 reanalysis and in the CMIP6 MMM in winter and summer seasons are 458 shown in Fig. 8. There is a striking dissimilarity in the convergence fields over the 459 contiguous U.S. between these two seasons. In winter, intense zones of moisture flux 460 convergence (red shading) dominate along the U.S. west coast, and eastern and southeastern 461 parts of the country, whereas divergence centers are situated offshore. In summer, although 462 the moisture fluxes are moderate (cf. vectors in JJA panel, Fig. 8), there are broad swaths of 463 weak divergence/near-zero convergence (blue shading) located over land. Consistent with 464 Watterson et al. (2020; their Fig. 3) and Ryu and Hayho (2013), the summer moisture flow is 465 part of the North Atlantic Subtropical High, the western branch of which carries moisture 466 from the Gulf of Mexico to the eastern half of the country via the Great Plains Low-Level Jet 467 (GPLLJ). Significant intensity increases in the GPLLJ are expected, concentrated in spring 468 and autumn (Zhou et al. 2020).

469 Simulated moisture fluxes are in broad agreement with the reanalysis target with zonal 470 moisture flow dominant in the winter season, and anticyclonic flow persistent over the South 471 and Southeast in summer. In winter, the structure of the maximum moisture flux convergence 472 zones is mostly in line with ERA5, although the MMM overestimates (by 1-2 mm/day) the 473 magnitude of convergence over the Northwest and the Southwest NCA regions. The 474 simulated moisture flux convergence by the CMIP6 MMM in summer is of the opposite sign 475 (convergence) compared to ERA5 (weak divergence or, near-zero convergence) over the Northeast NCA region. 476





#### 486 d. Precipitable water

487 The evaluation of precipitable water (prw) in CMIP6 historical simulations is shown in 488 Fig. 9 for the winter and summer seasons. In nature, prw is muted in winter with relatively low values (5–10 kg m<sup>-2</sup>) prevailing over the CONUS, with largest values in the Southeast 489  $(\sim 12.5-17.5 \text{ kg m}^{-2})$ . In summer, high values (> 35 kg m $^{-2}$ ) of prw are observed in the 490 491 Southern Great Plains and Southeast, likely related to moisture inflow from the Gulf of 492 Mexico, as also noted in Fig. 8 earlier, and consistent with the findings of Watterson et al. 493 (2020); their Fig. 2. The model differences (Fig. 9) reveal inaccuracy in terms of capturing 494 the high prw values over the southern states in summer. The most notable MMM dry bias, of the order of 1-2 kg m<sup>-2</sup>, occurs in the areas of the Southwest affected by the North American 495 496 Monsoon. However, the MMM shows better skill in simulating prw in the winter, spring, and 497 fall seasons. Fig. S2 (of the Supplemental Material) displays the climatological prw and

498 model differences in the latter two seasons.

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501 Fig. 9. Same as Fig. 7, but for precipitable water (prw; in units of kg m<sup>-2</sup>) during two 502 seasons: (top) winter (December–February) and (bottom) summer (June–August).

503 e. Atmospheric water budget in the NCA regions

504 In this section, the relative contributions of the local land surface processes and remote 505 sources in producing precipitation are discussed for the models over the seven NCA regions 506 and contrasted with observations/reanalysis. While it is important for climate models to have 507 a robust simulation of precipitation, it is perhaps even more essential to assess if the remote 508 and local processes responsible for producing precipitation are well-simulated. Here, an area 509 average of the three water budget terms—precipitation (P), evapotranspiration (ET), 510 convergence of vertically integrated moisture flux (MFC)-are computed for each NCA 511 region. The column moisture tendency term, which is typically small and providing only a 512 small contribution to the budget equation, is not separately diagnosed. Instead, the balance or 513 residual (RES = P - ET - MFC) is assessed and compared against the observed/reanalysis 514 data for each region; all shown in Fig. 10.





522 evapotranspiration in the generation of precipitation in all of the seven NCA regions. The

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523 former term accounts for up to 80% of the precipitation in the Northwest and the Northeast, 524 while the latter accounts for ~12% and 20% of the precipitation. MFC during the winter also 525 accounts for up to up to 60% of the precipitation in the Southwest and Southeast, while 526 evapotranspiration accounts for ~33% in both these regions. Interestingly, these areas also 527 happen to be the zones of core winter precipitation (cf. Fig. 2 above) due to their moisture 528 capacity and the positioning of storm tracks. During the summer, recycling of precipitation 529 through land surface processes dominates the moisture budget terms. Evapotranspiration is 530 the greatest in the eastern and northern parts of the country (aggregating between 2.7 mm/day 531 and 3.8 mm/day), where precipitation is highest and vegetation thickest. The summer 532 climatological P – ET is negative in six of the seven NCA regions (Fig. 10), consistent with 533 previous studies (e.g., Baker and Huang 2014, their Fig. 6); P and ET are almost comparable 534 (3.93 mm/day and 3.71 mm/day respectively) in the Southeast. On the other hand, MFC 535 during this season is of the opposite sign (implying moisture flux divergence) and much 536 smaller in magnitude (ranging between 0.10 mm/day and 1.2 mm/day) compared to ET 537 across all the NCA regions in the ERA5 reanalysis. The moisture budget equation is almost 538 balanced (RES  $\sim 0$ ) across the NCA regions in the observed, which attests to the smallness of 539 the column moisture tendency term.

540 The realism of the atmospheric water balance in the models is also investigated in Fig. 10. 541 Over the Northwest and the Southwest, where remote influences play a more vital role in 542 generating winter precipitation than local processes, MFC is overestimated by almost 55% 543 and 70% in the CMIP6 MMM (climatological MFC values are 2.7 mm/day and 0.9 mm/day 544 respectively). As a result of these variations, the model moisture budget equation is 545 unrealistic, especially in the Northwest (RES ~ -0.53 mm/day). We, therefore, attribute the 546 wet bias in winter precipitation (of about 30% and 70%) over the Northwest and the 547 Southwest, noted also in Fig. 2, to model overestimation of remote moisture fluxes in 548 addition to lack of resolution of orography.

549 During summer, when the land surface processes via ET dominates over MFC, the 550 models underestimate ET in the Southern Great Plains by 24% (as also seen earlier in Fig. 7, 551 JJA panel for model differences); here, the background climatological ET is 3.19 mm/day 552 respectively. The negative MFC in the Southern Great Plains is also underestimated by about 553 50% in summer; model simulated value is 0.31 mm/day against a climatology of 0.61 554 mm/day respectively. Based on these two findings, we conclude that the summer

precipitation deficit noted over the Southern Great Plains (in Fig. 2) results primarily from an 555 underestimation of local processes (ET) as well as remote influences (MFC) - the former 556 557 term playing a more influential role over the latter in summer. The assessment also suggests 558 that although the MMM accurately portrays the ET field in the Northeast and Southeast 559 during summer, the observed weak moisture flux divergence is not captured; rather, the term 560 is positive implying moisture flux convergence. Please note that although taking an area 561 average is beneficial in summarizing the water budget terms over the NCA regions, the 562 aggregated numbers may mask out important variations at subregional scales, particularly in 563 cases where regions contain fields of opposite sign, as for the Southeast MFC in summer (cf. 564 Fig. 8).

### 565 4. Uncertainty in water cycle simulations

The simulations of the twentieth century (i.e., historical) climate provide avenues for the 566 evaluation of models whose future projections directly inform IPCC's Assessment Reports. 567 568 Before estimating the sign and magnitude of future changes of the regional water cycle, it is 569 important to assess the degree of agreement among the models in representing the historical 570 period, especially for quantities having reliable, long-term observations. Among the 571 atmospheric water budget terms, simulations of precipitation and evaporation have better 572 observational constraints and will be of primary focus in this section. Previous studies (e.g., 573 Waliser et al., 2007) have documented that that model representation of these quantities also 574 benefits from indirect constraints, such as connections between the energy (via top-of-the-575 atmosphere energy balance) and water cycles.

576 Figs. 11 and 12 show a measure of model performance with respect to observations as 577 well as the level of agreement among the models across each of the seven NCA regions for 578 precipitation and evapotranspiration respectively. In the upper panels (a-b), the box for each NCA region contains data between the 25<sup>th</sup> and 75<sup>th</sup> percentile (i.e., 50% of the data), while 579 580 the dashed line in the vertical encompasses the range between the minimum and maximum 581 values of the simulated quantities in the models. Looking at Fig. 11 (upper panels) and 582 focusing on where the box plot for the distribution of modeled values lies vis-à-vis the 583 observational target (red asterisk), the greatest model underperformance is seen in the winter, 584 especially in the Northwest, Southwest, Northern Great Plains, and the Northeast; the 585 observed (target) values here are even outside the upper and lower bounds of the model-586 simulated values.





Fig. 11. Uncertainty in the historical simulations of precipitation in the CMIP6 models 589 assessed for this study over the seven U.S. NCA regions displayed using two different 590 591 measures: (upper panel) model spread about the observed mean, and (lower panel) model-tomodel agreement in the simulated mean values. The period of analysis is 1981–2010 for two 592 593 seasons: (left) winter (December-February) and (right) summer (June-August). For the upper panel, the minimum, 25<sup>th</sup> percentile, median, 75<sup>th</sup> percentile, and maximum values of 594 simulated precipitation are shown for each box-whisker plot. Also, shown are the 595 596 climatological mean from the observed (using red asterisks) and the multi-model mean (using blue solid dots). For the lower panel, model disagreement is displayed using deviations (M') 597 of individual model values (M) from the multi-model mean ( $\overline{M}$ ), where,  $M' = \frac{(M - \overline{M})}{\overline{M}} *$ 598 599 100%. The box plot here shows the maximum and minimum values of M', represented by 600 the ends of the error bars, while the box that extends about zero denotes the +1 standard 601 deviation of M'. 602 In the summer, the distribution of historical simulations and their multi-model mean are

603 closer to the observations, except for the Southern Great Plains. Thus, we can conclude that

604 the range of simulated precipitation conforms more closely with observations in the local summer compared to the winter. For the lower panels (c-d), the multi-model mean,  $\overline{M}$ , is 605 606 computed from the distribution of individual modeled quantities, M. Thereafter, the deviation of each model's value from the multi-model mean,  $\overline{M}$ , is computed as:  $M' = \frac{(M - \overline{M})}{M} * 100\%$ . 607 The box plot here represents the maximum and minimum deviation in these modeled values 608 609 from the multi-model mean (shown as the ends of the error bars), and the standard deviation 610 in M' (as the box that extends about zero). This inter-model agreement analysis follows the 611 strategy outlined in Waliser et al. (2007). The bottom panel of Fig. 11 indicates that the model disagreement (as a measure of standard deviation) for regionally averaged 612 613 precipitation is roughly  $\pm 20\%$  in winter, and  $\pm 40\%$  in summer. The Northwest and 614 Southwest, which are climatologically dry in the summer, have the largest deviations from  $\overline{M}$ , possibly indicating sensitivity to the model outliers; for instance, the maxima in the modeled 615 value in NW summer is ~2 times greater than  $\overline{M}$  (Fig. 11b) which would lead to a much 616 higher M'. Based on the lower panels of Fig. 11, we can draw the following conclusions. 617 First, the inter-model agreement is greater in the winter compared to summer. Second, a 618 619 better model agreement is observed where climatological values are higher. This is possibly 620 due to differences in spatial scales of the precipitation generating mechanisms, e.g., synoptic 621 in winter, and convective in the summer.

622 Shifting the focus to evapotranspiration (Fig. 12), the distribution of model simulated 623 values mostly reveals an overestimation vis-à-vis the observational targets (red asterisk) in 624 the winter. ET, however, is mostly muted in this season with climatological values <1.2 625 mm/day across the NCA regions. In summer, the box plots for model representation of ET containing data between the 25<sup>th</sup> and the 75<sup>th</sup> percentile encompasses the climatological 626 values (red asterisks). The Northern and Southern Great Plains are an exception, where most 627 628 models and in particular, their multi-model mean (blue solid dots), underestimate the 629 climatological values. Thus, from the upper panels of Fig. 12, we can conclude that the 630 distribution of model simulated ET agrees more closely with the observational targets across 631 the NCA regions in summer than in winter. The lower panels of Fig. 12 display the level of 632 inter-model agreement in simulating regionally averaged ET for winter and summer seasons. 633 In winter, the model disagreement (as a measure of standard deviation) is greatest (roughly  $\pm$ 30-40%) for the Northwest, Northern Great Plains, and the Midwest, and least ( $\pm$ 10-20%) 634 635 for the Southern Great Plains and Southeast, which are also most active in terms of ET in this 636 season (climatological values are ~0.8 mm/day and 1.2 mm/day respectively). In summer, the 637 model disagreement is of the order of  $\pm 15$ -25% across the seven NCA regions. In 638 conclusion, the inter-model agreement is greater in summer for ET compared to winter; this 639 is contrary to the finding for precipitation in Fig. 11. Second, the eastern NCA regions 640 (Northeast, Southeast) exhibit greater model agreement in simulated ET relative to the other

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regions.

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Fig. 12. Same as Fig. 11, but for model simulations of evapotranspiration.

### 645 **5. Projected future changes and associated uncertainty**

646 In this section, we examine the future changes in precipitation by region and by647 seasons, as projected by the global climate models whose historical simulations are the focus

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648 of investigation in the previous sections. Figure 13 shows the simulated historical mean and 649 the projected changes (2071–2100 relative to 1981–2010) in the seasonal precipitation and 650 850-hPa winds over each NCA region according to CMIP6. Over the western and 651 northeastern United States, the projected winter precipitation is characterized by increases in 652 the future. Precipitation is projected to increase in the Northwest by 0.4 mm/day (historical 653 mean is 3.0–4.2 mm/day), in northern California by 0.6 mm/day (against a base climatology 654 of >4.2 mm/day), and in the Northeast by 0.8–1.0 mm/day (historical climatology of 3.0–3.3 655 mm/day). The projected increase is consistent with the increase in cyclonic circulation over 656 the east coast, characterized by amplified easterlies and southeasterlies which facilitate 657 enhanced moisture transport. The enhanced precipitation in spring is mainly concentrated 658 over the Midwest and Northeast; increase of 0.6–0.8 mm/day relative to a historical 659 climatology of 2.7-3.3 mm/day for the former, and 3.3-3.6 mm/day for the latter. The 660 circulation pattern changes in this season tend to favor weakened zonal flow and enhanced 661 southerly flow over these two NCA regions. In summer, the precipitation change is 662 characterized by a meridional dipolar distribution with widespread drying in the Northern 663 Great Plains (of the order of 0.2–0.4 mm/day) and the Midwest (by 0.2–0.6 mm/day), and 664 increase in the Southeast (by 0.2–0.6 mm/day). The projected changes are generally in good 665 agreement with the enhanced anticyclonic flow in the southeast transporting moisture from 666 the Gulf of Mexico and weakened meridional southerly flow over the northern and 667 midwestern states resulting in the precipitation decline. For the fall season, the projected precipitation changes are modest, localized over the Southeast and parts of the Northwest 668 669 (0.4–0.6 mm/day). The results here are in good agreement with the previous CMIP5 based 670 projections under the RCP8.5 scenario, reported in the Fourth NCA Report (USGCRP 2017, 671 their Fig. 7.5), which favored an increase in winter precipitation over the northern and 672 western swaths of the contiguous U.S., and decrease in summer precipitation in the northern 673 Great Plains and the Midwest.

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Fig. 13. Projected change in seasonal mean precipitation (shading; mm day<sup>-1</sup>) and
850-hPa winds (vectors; m s<sup>-1</sup>) from CMIP6 for the end-of-the-century (2071–2100) under
the SSP5-8.5 scenario. The climatological mean in the CMIP6 MMM over the historical
period (1981–2010) is shown on the left, and future change (2071–2100 relative to the 1981–
2010 average) is shown on the right. Results are shown for winter (December–February),
spring (March–May), summer (June–August), and fall or autumn (September–November).

The projected precipitation sensitivity (percentage change per Kelvin global mean
surface air temperature change) under SSP5-8.5 is displayed in Fig. 14. The confidence level
of the CMIP6 MMM results is presented via the lower and upper bounds of the box

representing data between the 25<sup>th</sup> and 75<sup>th</sup> percentile, while the ends of the vertical dashed 687 line denote the range between 5<sup>th</sup> and 95<sup>th</sup> percentile. In other words, the vertical dashed line 688 689 encompassing 90% of the projected data represents the range of "very likely" future 690 occurrence, as per definition presented in the IPCC Fifth Assessment Report (Mastrandrea et 691 al. 2010). In winter, the projected mean precipitation very likely increases over five of the seven NCA regions (NW, NGP, MW, NE, and SE; their 90% ranges being above the zero 692 line). The greatest precipitation sensitivities occur for NGP (6.17% K<sup>-1</sup>) and NE (6.55% K<sup>-1</sup>). 693 694 Model agreement is also found for the spring projected precipitation change with very likely increases favored for the same five NCA regions noted above. Model uncertainty is more 695 substantial over SW and SGP in winter and, even more so, in spring, with both positive and 696 697 negative projected changes in the distribution of CMIP6 MMM projections. For summer, the 698 models do not fully agree on the sign of the likely future occurrence for any of the NCA 699 regions. The mean of the summer projected precipitation sensitivities, however, favor a decrease for NW (1.42% K<sup>-1</sup>), NGP (3.12% K<sup>-1</sup>), and MW (2.45% K<sup>-1</sup>). Meanwhile, the NW 700 701 and SW exhibit the largest inter-model spreads. The uncertainties in projected changes are also substantial in the fall season, with the models divided in terms of the sign of the 702 703 projected sensitivities. The spreads of the modeled projected values are also large in this season ranging between -4%  $K^{-1}$  and +7%  $K^{-1}$ . From Fig. 14, it is evident that the confidence 704 705 in projected precipitation sensitivities, measured by the inter-model spread and the model 706 agreement on the sign of projected changes, is the greatest for the winter season and least for 707 the summer season over the CONUS.



Fig. 14. Projected precipitation sensitivity under SSP5-8.5, i.e., the percentage change in precipitation (2071–2100 relative to 1981–2010) per 1°C, or 1K global warming (% K<sup>-1</sup>) derived from CMIP6 models for (a) winter, (b) spring, (c) summer, and (d) fall or autumn at each NCA region. In each subplot, the lower and upper ends of the box represent the 25<sup>th</sup> and 714 75<sup>th</sup> percentile, while the ends of the vertical dashed line denote the range between 5<sup>th</sup> and 95<sup>th</sup> percentile. The horizontal line within the box is the median, and the blue circle is the multi-model mean.

#### 717 6. Summary and concluding remarks

718 The present study seeks to examine the structure of the atmospheric water budget 719 components over the seven U.S. NCA regions and the extent to which the observed features 720 are represented in the state-of-the-art climate model simulations. In this regard, attention is focused on the simulated variables available from the new CMIP6 archive, namely,

722 precipitation, evapotranspiration, column integrated horizontal moisture transport and its

- convergence, and precipitable water. The main findings concerning the fidelity of CMIP to
- represent the nature and variability of seasonal and regional hydroclimate over the contiguous
- 725 U.S. are as follows:
- Climatological winter precipitation is reasonably well simulated, with the exception
   of the mountains of the U.S. West (the Cascades and the Sierra), likely stemming
   from model deficiencies in the resolution of orography. Climatological summer
   precipitation is more problematic for the models as evidenced by the expansive deficit
   over the central Plains (Fig. 2).
- The CMIP6 models replicate the spatial pattern of the annual mean precipitation fairly well (Fig. 4; pattern correlations), while the annual cycle is considerably more challenging (Figs. 5 and 6). Models tend to overestimate the amplitude of the winter maxima in the Northwest and the Southwest while failing to capture the timing of the summer peak in the Southern Great Plains. Models exhibit large variance in regions and months of high mean precipitation.
- The simulated evapotranspiration bears a close resemblance to the ERA5 reanalysis
   counterpart, except for the summer season which exhibits a widespread dry bias
   stretching across the Great Plains (Fig. 7). A key finding is a similarity in the location
   of the ET dry bias with that of the corresponding one from precipitation.
- The CMIP6 model representation of the column integrated horizontal moisture flux
   convergence and the precipitable water are in broad agreement with the ERA5
   reanalysis target. An interesting seasonal fluctuation is noted across the climatological
   winter and summer, with intense moisture flux convergence zones located over the
   land in the former and mostly weak divergence in the latter (Fig. 8).

The analysis strategy is precipitation-centric, and as such, revolves around the relative contribution of local (evapotranspiration) and remote (moisture flux convergence) water sources in the generation of precipitation. The relative importance of these two processes is compared in observations and simulations; the key findings are summarized below.

In winter, the diagnosis of the atmospheric water budget reveals that the remote
 contributions via moisture flux convergence play a much more important role than

local evapotranspiration in all seven NCA regions (Fig. 10; upper panel). In fact, it
accounts for four-fifths of the precipitation received in the Northwest, and three-fifths
in the Southwest. The CMIP6 MMM, however, overestimates the remote influence
from the Pacific (cf. Fig. 8) for both these regions resulting in a wet bias in the winter
mean precipitation.

In summer, the local recycling of precipitation via evapotranspiration is larger than
 the convergence of moisture fluxes from remote regions (Fig. 10; lower panel). The
 CMIP6 MMM underestimates the local contribution of evapotranspiration in the
 Southern Great Plains, resulting in the expansive summer precipitation deficit noted
 above (cf. Fig. 2).

The investigation into the uncertainty associated with the water cycle simulations over
 the CONUS demonstrates better inter-model agreement in regions and seasons when
 the climatological values are higher, e.g., for winter precipitation in the U.S. West
 (Fig. 11) and summer evapotranspiration in the East (Fig. 12).

Furthermore, this study provided an NCA-specific view into end-of-century precipitation
changes over the CONUS. Under the SSP5-8.5 warming scenario, the CMIP6 models'
projected changes are summarized as follows:

There is high model confidence that the projected winter mean precipitation will very likely increase over five of the seven NCA regions (NW, NGP, MW, NE, and SE).
 The projected increase is consistent with an increase in cyclonic circulation over the East Coast which facilitates enhanced moisture transport from the Atlantic (Fig. 13).
 The greatest precipitation sensitivities are seen in NGP (6.17% K<sup>-1</sup>) and NE (6.55% K<sup>-1</sup>) (Fig. 14).

In summer, the future projections exhibit a meridional dipolar distribution with a widespread decline in the Northern Great Plains and the Midwest and an increase in the Southeast. These changes are also supported by the circulation setup: enhanced anticyclonic flow in the Southeast transporting surplus moisture from the Gulf of Mexico and weakening of southerly flow into the northern and midwestern states (Fig. 13). Model confidence into the sign of the future projected precipitation sensitivity is, however, the least in the summer with both increases and decreases

projected across the suite of CMIP6 models, and often with large inter-model spread(Fig. 14).

784 In support of the upcoming NCA5 report, our present study provides a comprehensive 785 diagnosis of the atmospheric water budget with quantitative model comparison, multi-model 786 ensemble projections, and seeks to relay these projections from state-of-the-art coupled 787 climate models to stakeholders with adequate uncertainty estimates. To the extent that 788 uncertainty varies across variables, regions, and scales, our work helps foster the ability to 789 discern which projections are most reliable and therefore usable in complex decision-making 790 contexts, as well as identifying those aspects which need further observational and model-791 development work.

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#### 801 Data Availability Statement.

802 All datasets used in this study are publicly available. The CMIP6 and CMIP5 model 803 output data are available from https://esgf-node.llnl.gov/projects/cmip6/ and https://esgf-804 node.llnl.gov/projects/cmip5/ respectively. The precipitation datasets used for model 805 evaluation: (i) NOAA-CPC Unified CONUS dataset is available from 806 https://psl.noaa.gov/data/gridded/data.unified.daily.conus.html, (ii) PRISM dataset is available from https://prism.oregonstate.edu/, (iii) GPCC dataset is available from 807 808 https://www.dwd.de/EN/ourservices/gpcc/gpcc.html, and (iv) the CRU TS4.02 dataset is 809 available from https://crudata.uea.ac.uk/cru/data/hrg/cru\_ts\_4.02/. The ERA5 reanalysis data 810 is downloadable from <u>https://cds.climate.copernicus.eu</u>. Topography data is available from 811 https://www.ngdc.noaa.gov/mgg/global/.

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