

1 **Simulating Space Radiation-Induced Breast Tumor Incidence Using Automata**

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11 **Abstract:**

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14 Estimating cancer risk from space radiation has been an ongoing challenge for decades primarily
15 because most epidemiological data showing evidence of cancer risk from ionizing radiation are derived
16 from studies of atomic bomb survivors, where individuals were exposed to acute dose of gamma-rays
17 instead of chronic exposure of high-LET cosmic radiation. In this work, we introduce a formalism using
18 cellular automata to model the long-term effects of ionizing radiation in human breast for different
19 radiation quality. We first validate and tune parameters for an automata-based two stage clonal
20 expansion model which simulates the age dependence of spontaneous breast cancer incidence in
21 unexposed US population. We then test the impact of radiation perturbation in the model by modifying
22 parameters to reflect both targeted and non-targeted effects of ionizing radiation.

23 Targeted effects (TE) reflect the immediate impact of radiation on cell's DNA with classic endpoints
24 being gene mutations and cell death. They are well known and are directly derived from experimental
25 data. In contrast, non-targeted effects (NTE) are persistent radiation effects affecting both damaged and
26 undamaged cells, they are non-linear with dose and they are not well characterized in the literature. TE
27 is first introduced in the model and predictions are compared to epidemiologic data of the A-bomb
28 cohort. TE alone is not sufficient to induce enough cancer and genomic instability which last ~100 days
29 post-exposure independently of dose needs to be added to predict accurately the dose dependence of
30 breast cancer induced by gamma-rays. Finally, by integrating experimental RBE for TE and keeping
31 radiation-induced genomic instability constant with dose and LET, the model predicts that RBE for breast
32 cancer induced by cosmic radiation would be maximum at 220 keV/ μm . This work is well suited to
33 explore next the impact of chronic low dose exposure, inter-individual variation and more complex
34 space radiation scenarii.

35 **1. Introduction**

36 Space programs are currently shifting to planetary exploration, in particular missions to the moon and
37 Mars. However, the continuous exposure of astronauts to Galactic Cosmic Rays (GCR) is one of the main
38 concerns for long term missions because of increased risk of cancer and other degenerative diseases.
39 The GCR spectra contains a large component of high-LET particles, such as He ions and heavier ions such
40 as carbon and iron (HZE particles, i.e. particles with high charge and energy) (1). Despite the low
41 frequency of GCR, they are a major contributor to cancer risk because of their high ionization density
42 which can lead to severe mutational events. High-LET ionizing radiation have been shown to induce
43 relative biological effectiveness (RBE) as high as 40 in animal models (2). Also of concern are solar
44 particle events (SPE) (3) whose unpredictable nature and high doses pose a risk for out-of-spacecraft
45 tasks.

46 Unfortunately, estimating cancer risk from space radiation remains a challenge primarily because most
47 epidemiological data showing evidence of cancer risk from ionizing radiation are derived from studies of
48 atomic bomb survivors (4). Classic risk models rely on scaling variables, such as radiation-quality factor
49 Q, RBE and dose and dose-rate effectiveness factors, extrapolating risk from gamma radiation (main
50 radiation in A-bomb blast) to high-LET radiation in space.

51 This poses the question of whether risk estimates derived from sparsely ionizing radiation can be used
52 to assess risks associated with HZE. In this work, we introduce a formalism using cellular automata, to
53 test mechanisms that can reproduce cancer incidence, by modeling the short-term and long-term
54 effects of ionizing radiation in tissue. Cellular automata are stochastic models where each cell is
55 represented by an algorithmic entity with basic individual properties representing the variety of cellular
56 behaviors (5, 6). We first establish a relationship between the dose from gamma-radiation and cell
57 death, cell senescence, and genomic instability for various time scale. This relationship is tuned so that

58 we can predict accurately breast cancer incidence in humans (A-bomb cohort vs unexposed population).
 59 In a second phase, the model is used to test new mechanisms of DNA misrepair and cell death from
 60 high-LET (7) to predict high-LET response and RBE for various cosmic radiation. This model is a first step
 61 for the growing demand of a deeper knowledge of biological processes underlying carcinogenesis and
 62 their disruption by heavy ions (1).

63 2. Material and methods

64 2.1 Multistage expansion model: theoretical considerations

65 We focused on the concept of the multistage expansion model which provides an analytical solution to
 66 epidemiological cancer incidence (8). This model assumes that malignant tumors arise from a series of
 67 modifications of a single progenitor cell and that cancer is the last of a series of k sudden and
 68 irreversible changes. For a cell which has already experienced $(i-1)$ changes, the event rate for the next
 69 change is μ_i . The exact solution can be derived from Bateman's solution of successive radioactive decays
 70 and the stage $p_{m-1}(t)$ can be expressed as:

$$71 \quad p_{m-1}(t) = c_m \sum_{j=1}^m X_{j,m} e^{-\mu_j t} \quad (1)$$

72

73 with $c_m = \alpha \prod_{j=1}^{m-1} \mu_{j-1,j}$ and $X_{j,m} = \prod_{\substack{l=1 \dots m \\ l \neq j}} (\mu_l - \mu_j)^{-1}$. The hazard rate is then $h(t) = N \mu_k p_{k-1}(t)$

74 with N as the total number of affected cells. The first non-vanishing term in a Taylor serie of $p_{k-1}(t)$ gives
 75 the well-known Armitage-Doll model (9):

$$76 \quad h(t) = at^{k-1} \text{ with } a = \frac{\prod_{j=1}^k \mu_j}{(k-1)!}. \quad (2)$$

77 However this simpler model gives a power law for the age-dependent incidence and it is known that the
 78 cancer incidence flattens above age 60 and falls below the predicted curve. Pompei and Wilson
 79 proposed a modified version of this model by adding a senescence factor and assuming that malignant
 80 cells are mortal in the sense of Hayflick (i.e. cell divisions are not infinite) (10). If a malignant cell is
 81 completely senescent, this cell does not produce observable cancer. The hazard function better fits the
 82 epidemiological data at high age (11) and takes the following form:

$$83 \quad \mathbf{h(t) = at^{k-1}(1 - \beta t).} \quad (3)$$

84 However not all the initiated cells can progress to cancer as some of them can be repaired or removed.
 85 This lead to a more refined model involving only two stages (k=0,1,2) and a death rate for intermediate
 86 cells (12, 13). The Moolgavkar, Venzon and Knudson (MVK) model or two stage clonal expansion (TSCE)
 87 model gives then a hazard of the form:

$$88 \quad \mathbf{h(t) = \frac{X_m(e^{(\gamma+2q)t}-1)}{q(e^{(\gamma+2q)t}+1)+\gamma}} \quad (4)$$

89 where X_m , γ and q can be related to actual biological parameters using the following transformations:

90 $X_m = \mu_2 v$; $\gamma = \alpha - \beta - \mu_2$; $q = \frac{\mu_2}{1-A}$ with $A = \frac{b+\sqrt{b^2-4\alpha\beta}}{2\alpha}$ and $b = \alpha + \beta + \mu_2$. Here v is the
 91 proportion of healthy cells that will acquire a first mutation, μ_2 is the rate of the second mutation, α and
 92 β are growth and death or differentiation rate for intermediate cells respectively. This model can be
 93 thought of as the initiation-promotion-progression paradigm of carcinogenesis.

94 **2.2. Non exposed tissue**

95 **2.2.1. Tissue description** Because deterministic models are not well suited to simulate
96 heterogeneous tissue and as our lab is establishing a long-term computer framework for more complex
97 radiation simulations, we use instead automata to simulate cancer incidence via the principle of TSCE.
98 An important reason for this choice is the fact that it is easy to add new rules or different geometrical
99 configurations in automata, making them an ideal framework for evolving simulations.

100 Simulations were performed using Matlab software (The MathWorks, Natick, MA, USA) and the
101 advanced imaging platform DIPimage (Image Processing Toolbox for Matlab, Delft University of
102 Technology, Delft, The Netherlands). The simulated tissue consists of an array of 100 X 100 pixels, with
103 each pixel labeled with a particular stage. Fig 1A depicts conceptually the progression of a normal cell via
104 successive mutations towards becoming a tumor cell, highlighting the importance of tissue proliferation
105 for cancer to occur. The automata implementation of this progression is depicted in Fig. 1B with a flow
106 chart showing decision algorithms. Stage 1 represents a normal cell (green pixel), Stage 2 (labeled in
107 blue) is a cell harboring a potentially dangerous mutation in the context of cancer induction (i.e.
108 initiated) and Stage 3 (red pixel) is a cell harboring the two necessary mutations to expand into a full-
109 blown cancer. Fig. 1C shows snapshots of one simulation where tissue is progressing towards cancer
110 over many years.

111 With the TSCE assumption, cell death is a necessary condition for neighboring cells to be dividing and
112 potentially acquiring mutations. The automata approach assumes additionally that the tissue is in
113 homeostasis which means that dead cells are rapidly replaced by newly dividing cells. Consequently,
114 division and death rate are identical ($\alpha=\beta$). It can be noted in Fig. 1B that all cells touching a dying cell
115 are eligible to fill the gap that is left behind. The selection of which neighboring cell will fill the gap is
116 drawn randomly. Thus, whenever a cell divides, the new cell filling this gap has an opportunity to

117 acquire a mutation related to carcinogenesis. In a general implementation of this model, if the mother
118 cell carries n mutations, there is a probability that the daughter cell will carry $n+1$ mutations. A cell
119 harboring a lot of mutations is likely to be more unstable genetically. Because there is no clear law
120 defining the relationship between progression and genomic instability, for now we are imposing a
121 mutation rate proportional to the cell stage. This assumption allows us to reduce the number of
122 mutation parameter to only one value: i.e. μ , the spontaneous mutation rate in a healthy cell. Note that
123 both stage 2 and 3 can be reached via various unique combinations of genes being mutated, but details
124 on genetic changes that lead to this pre-cancer states are not necessary in this model, as it is fully
125 encompassed by determining μ . Mutation model can be summarized as:

$$126 \quad \mu_n = n \cdot \mu. \quad (5)$$

127 In this approach, division is therefore driven by the turnover of the tissue being simulated. In the case of
128 breast, it has been shown that the cell death rate β is periodic due to the menstrual cycle of estrogen
129 and progesterone. Rising progesterone levels drive mammary cells in ducts and alveoli to multiply for
130 possible pregnancy. If not pregnant, progesterone levels drop and induce cell death of newly formed
131 tissue. If we assume a 28-day cycle with an apoptotic peak between days 28 and 0, the death rate
132 pattern for different ages can be modeled (Fig. 2A). The amplitude and average values used here are
133 derived from the literature and they are lower with increasing age (14-16) with a rate β in the order of
134 10^{-3} /day/cell. At menopause, the death rate is considered flat and lower than the pre-menopause value
135 (17). For each simulated person, the age at menopause for an *in silico* individual is established based on
136 a triple Gaussian distribution (centers: 50.3 y.o., 42.9 y.o and 35.3 y.o.) as previously suggested (18)
137 leading to a smooth drop of cell death in simulations as one can visualize in Fig. 2B. Note that
138 parameters for normal cell turnover in the breast are not changed for the rest of this model since they
139 are directly derived from the literature.

140 **2.2.2. Senescence** Senescent cells are also considered in this model. They are represented as
141 pixels that are unable to divide nor die (i.e. Stage -1). In other words, senescent pixels no longer divide
142 and have acquired resistance to apoptotic signals. Our senescence model takes into account the age of
143 the tissue being simulated. Telomere-initiated cellular senescence is also included in the model by
144 generating senescence in only dividing cells. Briefly, at each time step, a random number is generated
145 for each stage 1 and stage 2 pixel. This number is compared to the senescence probability which
146 changes as the square of the age of the tissue (19):

$$147 \quad p_{senescence} = sen_{factor} * age^2. \quad (6)$$

148 If the random number is less than $P_{senescence}$, the cell is set to stage -1. Running a parameter sweep on the
149 senescence factor sen_{factor} , a value of 5×10^{-9} /day led to a curve matching the literature for primates (19)
150 (Fig 2C). In addition, a baseline of 2% senescence was imposed on the tissue at the starting age of 20
151 y.o. to reflect the primate data. Note that compared to primates, the age scale has been expanded to
152 reflect the human life span. We also assumed that stage 3 pixels (cancer cells) cannot senesce anymore
153 since they have acquired mutations that allow them to avoid telomere-dependent and oncogene-
154 dependent senescence (20).

155 **2.2.3. Parameter calibration to match breast cancer data** Key parameters in the
156 TSCE model are the mutation rates: i.e. initiation (with probability $\mu_1=\mu$) and transformation ($\mu_2=2\mu$).
157 Because of our assumption about increase of genomic instability with progression, we only need to
158 determine μ . It turns out that cancer incidence frequencies are not only dependent on μ but also on the
159 size of the tissue being simulated. In order to understand this relationship, we performed a parameter
160 sweep on μ for different number of cells considered in each modeled duct, and determined values of μ
161 that led to simulations matching published spontaneous cancer incidence. Note that Age-Specific SEER
162 Breast Cancer Incidence Rates were taken from SEER cancer registry records 2008-2012
163 (http://seer.cancer.gov/csr/1975_2012/) (21). Fig. 2D shows simulated cumulated cancer incidence
164 predicted by the model for various initial tissue size being considered against SEER records (diamonds
165 and dash-line for fit). Simulations were repeated 10 times with group of 50 *in silico* people and
166 parameter sweep on μ was conducted to lead to the lowest mean square error between prediction and
167 published data. We show that simulations fit very accurately epidemiologic data for various tissue size
168 as long as the mutation rate is adjusted consequently, noting that the larger the number of cells being
169 simulated in the tissue, the lower μ needs to be. This relationship was well behaved with a power
170 dependence of μ over the number cells being simulated ($R^2>0.999$, data now shown). Ideally, one would
171 like to simulate tissue of realistic sizes, however this would be extremely time consuming for simulations
172 and our data suggest as long as μ is set accordingly with the tissue size, the model behaves correctly. We
173 therefore used going forward for our radiation prediction an initial tissue size of 100x100, leading to a μ
174 value of 3.8×10^{-6} . Each individual was simulated as a branch of a mammary duct made of 10,000 cells
175 (22).

176 Parameters having the greatest impact on the final curve are μ and β . Cell death rate β is defined by the
177 menstrual cycle for normal cells only (stage 1), which represents the majority of the cells at the
178 beginning of simulation (age 20) and is fixed by experimental data (Fig. 2A). On the other hand, once a

179 cell has become mutated, it becomes hormonal independent and cell death is only driven by genetic
180 instability which increases with progression (see Fig. 1A). For example, high grade tumors have higher
181 level of apoptosis and genomic instability which is usually correlated with poor prognosis (23-25). A
182 parameter sweep was performed on the β value for stage 2 and stage 3 to best fit experimental
183 incidence and values are summarized in Table 1, confirming β needs to increase with progression to get
184 accurate cancer prediction.

185 Note that during parameter sweep, increasing either μ or β_2 and β_3 led to higher cancer incidence and
186 thus multiple solutions for the same final cumulated incidence at age 80. However, a single solution was
187 obtained by minimizing the error along the full age dependence between the published data and the
188 simulations. This was done by finely tuning β_2 and β_3 down while increasing μ . Note that a cancer
189 growth factor is also present in the model and was based on the assumption that it takes 20 years
190 between an initiating event and a detectable cancer. The growth factor is a metric representing the
191 ability of neoplastic cells (stage 3) to grow and expand over neighboring healthy cells. After a set
192 number of iterations, all stage 3 cells take over their immediate neighbors. This process reflects the loss
193 of contact inhibition in cancer cells and loss of checkpoints regulating mitosis. The tumor growth
194 parameter was set to once a year for breast cancer and is easily tunable to model other types of more
195 aggressive cancers and is relatively arbitrary since a cancer is scored in our model once 5% of the tissue
196 has become stage 3.

197 **2.2.4. Impact of senescence on cancer incidence** We investigated the hypothesis that
198 senescent cells can slow down cancer progression. The senescence response is widely recognized as a
199 potent tumor suppressive mechanism (26-28). The senescent factor parameter was thus increased to
200 reach various level of senescence at age 80 and the impact on cancer incidence was assessed. Our
201 baseline level of senescence that was kept for the rest of the simulations gives around 13% senescence
202 in the whole tissue and 11.2 ± 1.31 % incidence at age 80. Increasing the final level of senescence to 40%
203 only reduces the incidence of breast cancer to 9.4 ± 1.27 %. The effect is more noticeable when
204 senescence hits unrealistic values of 70% and above, leading to breast cancer incidence below 6%.

205 **3. Results**

206 **3.1. Targeted effects**

207 After calibrating parameters to fit spontaneous cancer incidence from epidemiological data, our model
208 was then used to predict level of excess breast cancer one would expect from exposure to low-LET. This
209 was done by modifying transiently mutation and cell death rates using published data in human cells
210 exposed to low-LET.

211 The additional death rate from radiation was derived from clonogenic data of Lin *et al.* who studied the
212 response of nonmalignant MCF10A mammary epithelial cells (29) and dose dependence was simulated
213 by using the alpha/beta fit model (see Table 2). However, cells are not expected to die readily after X-ray
214 exposure, as this is not what is observed in cell culture and even less *in vivo*. Rather the cells undergo a
215 few cell cycles before dying either through apoptosis, necrosis or mitotic catastrophe. Mitotic
216 catastrophe is not a cell death mechanism *per se*, but the process by which the cell will lose its
217 reproductive capacity: i.e. following exposure to radiation, some cell lines and cancer cell lines in
218 particular will continue to divide despite harboring DNA damage. These uncontrolled divisions lead to
219 the loss of chromosome material, up to the point that daughter cells are no longer able to divide. The

220 time it takes for a cell to die was therefore modeled in two ways. First, we assume that death was
221 spread evenly through a 14-day period based on previous work (30). For example, implementation of
222 this model led to an additional 5.7% of all cells being deleted randomly every day for 14 days following 3
223 Gy X-rays (Fig. 3A – “beta const” model) before returning to the normal β value of Fig. 2A. The other
224 death model we used assumed death rates change over time post-exposure with an exponential
225 attenuation as suggested by *in vitro* work (31, 32). This was implemented by assuming an exponential
226 decay over 14 days, imposing the same overall amount of death during the 14 day period following
227 exposure. We tested two conditions: either 2 or 3 fold increased death at day 0 compared to the “beta
228 const” model (i.e. “beta X2” model has 11% excess death at day 0 and “beta X3” model has 17% excess
229 death at day 0 for 3 Gy exposure). Fig 3B illustrates the exponential model for “beta X3”.

230 In the two stage clonal expansion model (TSCE), mutation rates encompass many possible genetic
231 targets to obtain an initiated (μ_1) or transformed (μ_2) cell. To predict the impact of radiation
232 perturbation on the TSCE we now need to propose a model affecting the mutation rate after exposure
233 to ionizing radiation. We will assume radiation induces a transient increase of μ which is proportional to
234 dose for 24 hours post-exposure. Let us explain why in the next paragraph.

235 As we and others have previously shown in great length, mutation rates are a function of radiation dose
236 with larger genes being more likely mutated (33-35). In addition, gene location in the nucleus probably
237 plays a role in mutation frequency since damage production and DNA repair are modulated by
238 chromatin territories (36, 37) and therefore individual genetic predisposition are at play here. However,
239 as a first gross approximation, one can argue that initiation and transformation mutation rates are
240 mainly the result of point mutations or small deletions of a large and unknown DNA target and that
241 large deletions induced by two separate DNA double strand breaks can be neglected since they often
242 lead to cell death due to deletion of vital genes (35). This simplifies greatly the model by not requiring a

243 quadratic dose term and by assuming mutation rate is increased linearly with dose during exposure. The
244 amplitude of such increase can be approximated using experimental data measuring DNA double strand
245 break (DSB) levels in human cells. According to our previous work and literature data, baseline damage
246 in peripheral blood lymphocytes (PBL) range from 0.004 foci/cell in children up to 0.2-1 foci/cell in
247 healthy adult donors when measured either using the γ -H2AX assay or 53BP1 assay (38-41). Let us chose
248 the mid-range value (0.5 foci/cell) as a baseline damage level without radiation in a healthy population.
249 Thus, this level of endogenous damage is directly correlated to the spontaneous mutation rate μ . Next,
250 low linear energy transfer (LET) exposure yields approximately 30 DSB/cell/Gy (42). This gives a 30/0.5 =
251 60 ratio for foci levels between control cells and cells irradiated by 1 Gy. This dose dependence can be
252 generalized as followed in the TSCE model:

$$253 \quad \mu(D) = 60 \cdot \mu_n \cdot D \quad (7)$$

254 where D is in Gy and μ_n is increased only for 24 hour post-exposure. Such perturbation is depicted in Fig
255 3C for various doses.

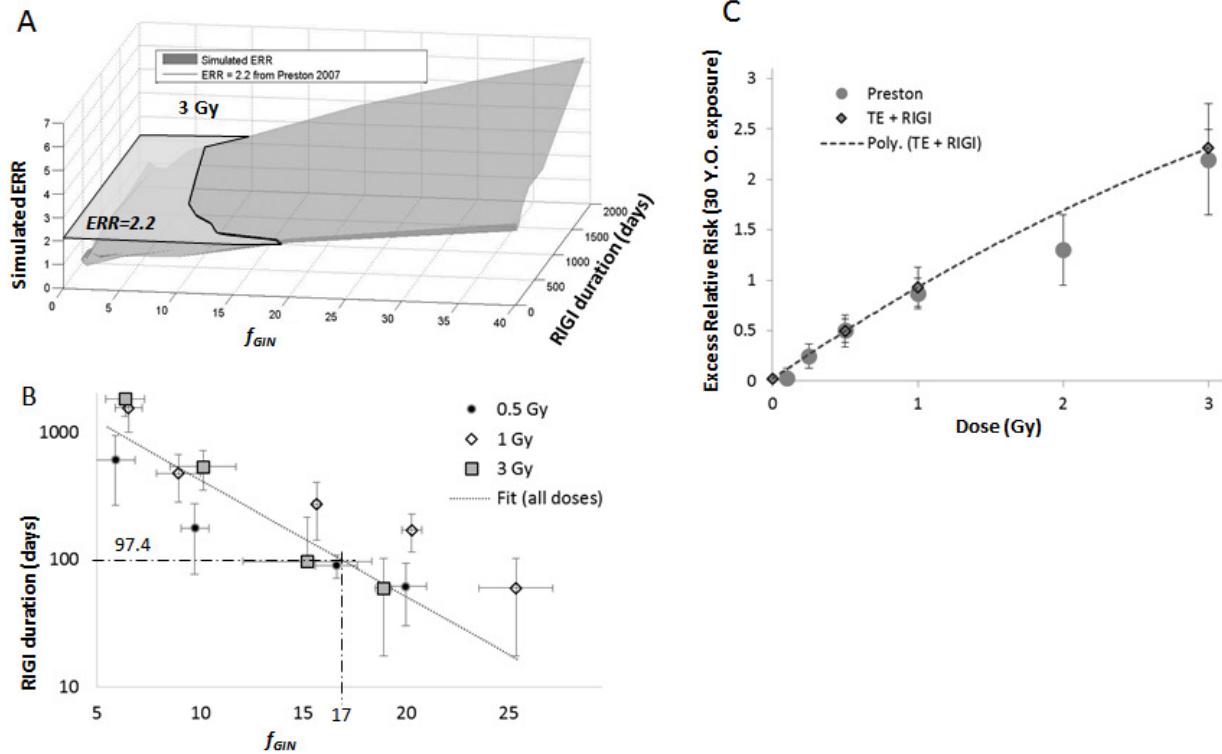
256 Radiation perturbations of μ and β parameters in the TSCE model were simulated for doses of X-rays
257 ranging from 0.05 to 3 Gy. Note that targeted effects were entirely modeled from experimental *in vitro*
258 data and they were integrated into the TSCE model, making our simulations true predictions and not
259 fits. The predicted excess relative risk (ERR) was compared to breast cancer ERR in atomic bomb
260 survivors (4). Preston *et al.* computed ERR at age 70 for individuals irradiated at age 30 following
261 Hiroshima and Nagasaki bombardments. Our simulations were therefore stopped at age 70 to match
262 Preston reference, and the three different death models were tested (death rate constant – “beta
263 const”, death rate decreasing exponentially – “beta 2X” and “beta 3X”). Simulations were carried out for
264 10 groups of 50 people. Predicted ERR shown in Fig. 3D indicate that the exponential cell death rate
265 models predict accurately the A-bomb data for large doses (2 and 3 Gy). This is not true for lower doses,

266 where predictions are well below the observed ERR. In contrast, constant cell death model leads to
267 underestimation of the reported atomic bomb data for any simulated doses, which suggests that
268 additional mechanisms have to be taken into account to explain the observed levels of cancer. We
269 hypothesize in this case non-targeted effects are at play, which are investigated next.

270 **3.2. Non-targeted effects**

271 Non-targeted effects (NTE) reflect the impact of radiation on modifying cell signaling and the tissue
272 microenvironment following exposure to ionizing radiation which lead to systemic changes in entire
273 organs. These have additional impacts from the classic targeted effects (i.e. direct DNA damage and cell
274 death already simulated in the previous section). We use modeling in this section to evaluate the level of
275 NTE required to explain the lower cancer incidence we predicted in the low dose range by only
276 considering targeted effects (Fig 3C).

277 Two NTE models were tested: radiation induced genomic instability (RIGI) and radiation-induced chronic
278 inflammation (RICI). RIGI was implemented by increasing the mutation rate in the entire tissue in a
279 uniform manner for prolonged periods after irradiation (i.e. $\mu_{GIN} = \mu \cdot f_{GIN}$) where μ_{GIN} is the new mutation
280 rates in tissue when RIGI is active and f_{GIN} is the multiplicative factor induced by radiation. Let us use our
281 model to evaluate f_{GIN} and see how it depends on dose. This can be done by doing a parameter sweep
282 for RIGI duration and f_{GIN} leading to an array of simulated ERR. This is visualized in Fig. 4A, where
283 predicted ERR for 3 Gy irradiation are shown as a plane. Irradiation was delivered *in silico* at age 30 and
284 ERR assessed at age 70 to match the conditions used in the cancer breast A-bomb data (4). The
285 intersection of the plane in Fig. 4A with the published ERR value (i.e. 2.2 at 3 Gy) represents all pair of
286 duration and multiplicative factor f_{GIN} that can lead to the right ERR.



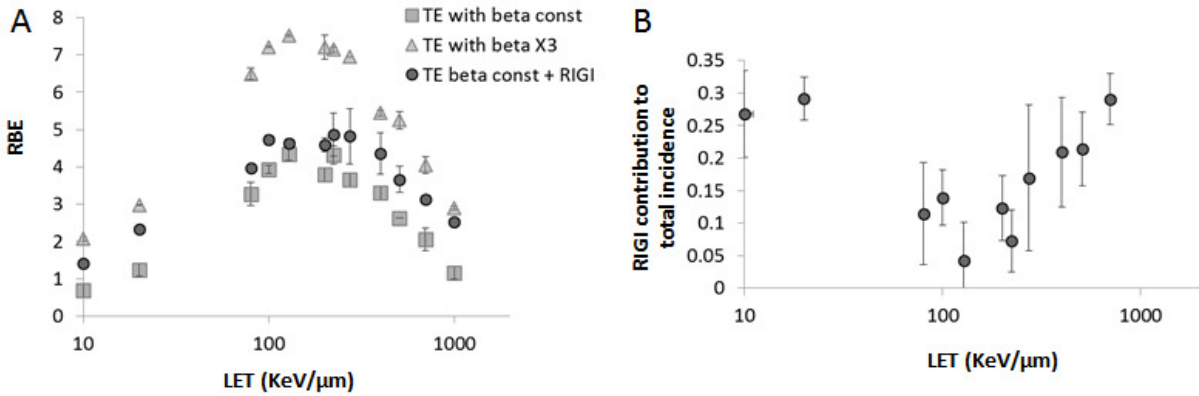
287
 288 Fig 4B shows the resulting iso-ERR curves generated this way for three doses: 0.5, 1 and 3 Gy. One can
 289 note that RIGI duration decreases exponentially with the multiplicative factor f_{GIN} for all three doses
 290 simulated. The iso-ERR curves for all three doses are closest when $f_{GIN} \sim 17$ and RIGI duration is ~ 97 days
 291 (dashed lines in Fig. 4B). Using these parameters, a new set of simulations predicting Preston ERR can be
 292 computed (TE+RIGI scenario - Fig. 4C) clearly showing accurate predictions all the way down to 0.2 Gy.
 293 Therefore our model confirms that RIGI is dose independent and is triggered by low level ionizing
 294 radiation. Note that if we use instead the exponential cell death models (beta X2, beta X3), one cannot
 295 find a set of values that can predict the ERR for all doses mainly because it always leads to overestimate
 296 for doses larger than 1 Gy (data not shown).

297 RICI was implemented by increasing the death rate in the entire tissue by a fold increase in a uniform
 298 manner for prolonged periods after irradiation. The same approach that was applied for RIGI was done
 299 for chronic inflammation (data not shown). Duration of 1825 days and induction fold of 2 were chosen
 300 as the best fit. We noted however that The TE + RICI scenario gives less stable results than the TE + RIGI

301 scenario. This is mainly because there is one more step involved if the chronic inflammation is chosen as
302 non-targeted effect. Indeed, cell mortality is tuned at a higher value, which implies more cell division to
303 fill the gap left by the dead cell. Consequently it also implies more possibility for mutations, not because
304 μ is higher but because there are more daughter cells that can be targeted. In the case of RIGI, only one
305 process is at play: the mutation rate increases, the death rate and the number of targeted cells remain
306 stable. In order to keep less variable outcome in our stochastic model, we chose RIGI as our principal
307 non targeted effect in the rest of this work, allowing to keep the number of simulations reasonable to
308 reach statistical significance.

309 **3.3. Modeling exposure to cosmic radiation**

310 For high LET exposure, the mutation and death rate from Fig. 3 were adjusted to reflect the change of
311 radiation quality using published RBE. The change in death rate was made on the basis of our previous
312 model predicting RBE for 10% survival in MCF10A cells exposed to high LET particles using the principle
313 of DSB clustering as the main factor for higher cell death incidence than for low-LET (7). Even though
314 MCF10A cells are immortalized, they are nonmalignant and they show similar response to primary
315 human breast cells. For example, 10% cell survival of MCF10A is observed after 4 Gy (29) against 4.7 Gy
316 for primary breast cells (43). RBE for mutation rate were based on a study that assessed HPRT⁻ mutants
317 in mammalian cells after exposure to a range of high LET particles (44). For non-targeted effect, the RIGI
318 scenario was adopted and a RBE of 1 was used as we showed no dose dependence for RIGI in the
319 previous section for low LET. This is in good agreement with our previous work showing in human breast
320 cells that NTE are not increased with high-LET (43).



321 Fig 5A shows RBE prediction for breast cancer induction at age 70 after exposure to 1 Gy of high-LET
 322 particles ranging from 10 to 1000 keV/μm with age of exposure at 30 y.o, the low-LET cancer incidence
 323 dose dependence to compute the equivalent ERR (Fig 4C). The maximum RBE for breast cancer
 324 induction peaks around 220 keV/μm with a value close to 5. For comparison, we used a mutational RBE
 325 peaking at 100 keV/μm (44) while survival fraction RBE for breast cells peaks around 400 keV/μm using
 326 our previous model (7). This illustrates the relative contribution of both mutational and death events,
 327 leading to a competition between RBE peaks. For comparison, we also computed RBE when we only
 328 have TE with the exponential cell death model (TE with beta X3) as this led to accurate low-LET ERR for
 329 high doses only. As expected, this led to much higher RBE. Finally, in order to better characterize the
 330 contribution of RIGI in RBE, we computed the scenario involving only targeted effects with beta
 331 constant. One can note in Fig. 5A that the addition of RIGI at low and very high LET leads to a 2-fold
 332 increase in RBE for breast cancer induction compared to TE alone (TE with beta const). Another way to
 333 visualize the contribution of RIGI is to compute for each simulated LET the additional number of cancers
 334 generated in the TE+RIGI scenario against TE only (using beta const in both case). This is shown in Fig. 5B
 335 suggesting that nearly 30% of the excess cancers are due to RIGI at low and very high LET, while only
 336 10% at intermediate LET. This is expected as RIGI is dose and LET independent, therefore when TE is
 337 maximum (i.e. intermediate LET), RIGI has the lowest contribution. All radiation parameters are
 338 summarized in Table 2.

340

341 **4. Discussion**

342 Modeling the complexity of the tissue response to ionizing radiation has been challenging because of
343 the heterogeneity of tissue, the large time scale between exposure time and cancer detection, and the
344 lack of experimental data needed to inform computer model. As such, deterministic models have been
345 dominating the field (8, 10-13) with epidemiologic data from the A-bomb survivors remaining the gold
346 standard for risk assessment (4). However, the growing complexity of data from radiation biology being
347 unraveled over the past 20 years needs to be taken into account into outdated models and novel
348 approaches bypassing the limitation of epidemiologic approaches have become a necessity for better
349 risk management.

350 The old paradigm that biological consequences from exposure to radiation arise solely from events
351 occurring at the time of exposure has been challenged in the last two decades by the observation of
352 non-targeted effects (NTE) such as genomic instability, bystander and non-clonal effects, abscopal effect
353 and delayed cell death (45, 46). All have in common that they are displaced in time or space from the
354 initial insult and arise as a consequence of intercellular signaling. The argument has been made that
355 irradiation is not only the initiating lesion but also promotes the acquisition of secondary genetic
356 changes due to NTE, possibly involving long term tissue responses to radiation due to oxidative stress
357 and cytokine production (47). In this work, we chose to concentrate on genomic instability and chronic
358 inflammation for NTE, as they are readily applicable to the cell level used in our *in silico* tissue. Generally
359 there is a lack of evidence for a conventional dose-response relationship for radiation-induced genomic
360 instability (RIGI) with no increased expression at high doses and RIGI is modulated by cell type and
361 genetic predisposition (48).

362 Persistent subclinical inflammation has been reported in Japanese A-bomb survivors (49). In a chronic
363 inflammation context, production of reactive oxygen/nitrogen species by macrophages or neutrophils
364 causes collateral damage in adjacent cells in the form of mutational events. It is thought that this
365 chronic inflammation may confer predisposition to malignancies and has recently been linked to the
366 development of radiation-induced leukemia (42). In addition, phagocytic uptake of apoptotic cells can
367 result in further apoptosis by the release of soluble signals triggering Fas-mediated apoptosis in
368 bystander cells (50). Another study correlated delayed apoptosis with the appearance of neoplastically
369 transformed *foci* (51).

370 Over the years our group has developed approaches that distinguish themselves from the classic
371 deterministic models. Our work has benefited from the usage of agent-based models (ABM), a
372 stochastic approach simulating life and emerging properties of complex interacting entities (5, 7, 22).
373 These modeling approaches are well suited for modeling NTE as they allow us to simulate and modify on
374 the fly information related to spatial structure of a tissue, cell heterogeneity, large time scale and cell
375 signaling. Our ABM models have already spanned from disruption of stem cell self-renewal signaling to
376 three-dimensional breast epithelium reorganization and human breast senescence (6, 22). Others have
377 also shown the efficiency of such approaches in modeling the radiation response (52, 53).

378 In the work presented here, we introduce a simplified agent-based model where a cell is a pixel which
379 cannot move nor interact, but can die or divide to neighboring pixels. We refer to this model as an
380 automaton. Removing the need for tracking individual agents allow us to gain computing speed and to
381 lower memory usage for simulations. This was necessary to produce large *in-silico* cohorts of women
382 exposed to a variety of radiation doses and radiation qualities in an attempt to predict cancer risk from
383 exposure to cosmic radiation. We first implemented the two-stage clonal expansion (TSCE) model with
384 automata to simulate tumor incidence arising spontaneously in human population due to random

385 mutations. As we have done in previous models (22), breast ducts cut along their length can be modeled
386 as simple 2D sheet of one single cell layer. We also assume that initiated cells are still contact-inhibited
387 and are still attached to the basement membrane and thus remain within the 2D sheet just like normal
388 cells (6). On the other hand, proliferation potential and genomic instability is increased in initiated cells
389 in our model. For TSCE, once an initiated cell acquires another set of gene mutations specific to
390 transformation (mutation rate μ_2), it is classified as a neoplastic cell and its interaction with the
391 basement membrane is compromised allowing it to proliferate inside the lumen (54). Lumen invasion
392 has been modeled in sophisticated 3D *in silico* approaches (6, 55, 56) but these later models require
393 large computer frameworks when handling millions of cells and millions of simulations. In order to keep
394 size and simulation time manageable, we therefore kept the model as a 2D sheet where neoplastic cells
395 invade neighboring cells instead of growing within the lumen in the case of 3D models. We found that
396 detection time was a function of invasion parameters and detectable size programmed within the model
397 and modifying these parameters only change the lag-time not the cancer frequencies. Therefore, using a
398 3D model would not have changed our conclusions.

399 After identifying parameters leading to accurate spontaneous rate observed in the female population
400 for breast cancer, we modeled an acute radiation exposure by modifying these parameters based on
401 experimental data. We first modeled targeted effects (TE) by modifying mutation rates and cell death
402 rates for a short duration after an acute exposure (1 and 14 days respectively). Perturbations of the TSCE
403 model led to higher cancer incidence, allowing us to compute an excess relative risk (ERR) for various
404 doses of low-LET. The predicted ERR were lower than A-bomb breast cancer ERR for doses lower than 2
405 Gy, suggesting TE alone cannot fully explain radiation-induced carcinogenesis and that NTE are also
406 contributing. The NTE model that best explained the A-bomb data was the induction of a chronic level of
407 genomic instability ~ 17 times higher than spontaneous levels lasting 97 days following exposure to low-
408 LET. Induction of genomic instability was dose independent and thus added for all simulated doses

409 (>0.1Gy). On the other hand, the model could not let us conclude definitely on the absolute duration
410 and intensity of radiation induced genomic instability (RIGI). For instance, a shorter duration could lead
411 to the same outcome if genomic instability was set higher. To put this result into perspective let us
412 compare the model to experimental observations. For in vitro data, it was shown that RIGI presents the
413 same kind of mutation spectrum than spontaneous mutations and can persist over many cell doublings:
414 i.e. more than 40 divisions in mammary epithelial cells exposed to γ -rays or neutrons (57). Similarly, *In*
415 *vivo* experiments involving mice have reported RIGI lasting up to one year after irradiation (58).

416 Kaiser et al have also looked at the relative contribution of TE and NTE to fit the A-bomb ERR at 1 Gy
417 using empirical models mixed with a deterministic implementation of TSCE (59). In their model, they
418 concluded that the age dependence of ERR could be explained by three different modes of actions for
419 radiation: either direct effect on initiation alone; long-life increase of proliferation of pre-cancerous
420 cells; or long-life increase of genomic instability. In their model however, there are no biological
421 parameters derived from experiments and the model does not represent spatial constraints from a
422 tissue in homeostasis. In our case, we directly visualize the impact of various biological mechanisms on
423 carcinogenesis, giving us more biological insights than simply fitting a curve.

424 Once the NTE model was established for low-LET, we challenged our model to predict breast cancer
425 incidence in an artificial human cohort exposed to various high-LET particles. This was done by simply
426 modifying the TE parameters using published RBE for cell death and mutation. In turn, we predicted RBE
427 for breast cancer induction, which reached a maximum of 5 following 220 keV/ μ m. In contrast, RBE
428 were close to 1 for LET>1000 keV/ μ m or LET<10 keV/ μ m. Note that the LET-dependence used for cell
429 death RBE is based on the concept that DNA double strand breaks (DSB) are naturally gathered into
430 common repair center (36, 60), a paradigm that leads to higher cell death at high dose or higher LET in
431 human breast epithelial cells (7). One could have used published RBE on other cell lines (61) instead of

432 these theoretical RBEs. Using published RBE instead would still lead to similar cancer RBE since values
433 and dose curve looked very similar. The advantage of using theoretical death RBE based on DSB
434 clustering formalism (7) is the fact that we can predict any dose, dose rate and LET scenarii.

435 In order to put these RBE predictions into perspective, we should compare them to the most
436 comprehensive dataset for tumor induction after high LET irradiation (2, 62). LET ranging from ~1.5 to
437 170 keV/ μ m were investigated in mice and RBE values for Harderian gland tumor incidence were
438 measured to be much higher than our models with RBE ~27-40 for ^{56}Fe . The Harderian gland is not an
439 organ present in humans, and these RBE discrepancies illustrate the ongoing challenge of scaling data
440 from mice to humans. However, one potential explanation is the fact that NTE may account for some of
441 these discrepancies reflecting the very distinct microenvironment of tissues and species. In particular,
442 Cucinotta et al. derived an analytical model to fit the Harderian gland tumor prevalence and showed
443 that NTE had a significant impact by increasing RBE for very low and very high LET (63). This result is in
444 agreement with our model where NTE is triggered for any simulated doses in an equal manner, making
445 it relatively more significant also at extreme LET or at low doses (Figs. 4C and 5).

446 **5. Conclusion**

447 At present, our automata model can provide RBE for breast cancer induction with a large panel of
448 particle radiations. Other types of cancers can be implemented in a few steps. First, the calibration for
449 spontaneous cancer induction has to be performed and spontaneous mutation and death rates will be
450 obtained for a specific tissue. Next, the death rate following irradiation has to be adapted. This is easily
451 done on the basis of survival fraction for a specific cell line exposed to X-rays and using our previous
452 formalism on DSB clustering to predict death rate for high-LET radiation (7). However, a knowledge gap
453 exists regarding RIGI with many remaining questions: Is there a dose threshold for RIGI? What is the

454 dependence of RIGI with respect to species and tissues? Is there any dose shape curve for RIGI past the
 455 threshold? How does RIGI change in the context of chronic exposure?

456 Finally, in the context of space missions, in particular incoming missions to Mars where astronauts are
 457 expected to be exposed to more than 1 Sv in the course of a three year mission, risks are currently
 458 poorly determined. Space conditions of chronic low doses of high LET have been an ongoing challenge
 459 for modeling long-term health hazard from space radiation. It may become a reality with our model, as it
 460 provides a tool to simulate real space conditions with both LET and time scales being fully compatible
 461 for chronic exposure over days or months. We believe in the future that physiological information
 462 obtained on Astronauts before, during and after a mission could be integrated into our model to better
 463 inform long-term effects such as NTE and RIGI and create more accurate risk models.

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469 **Table 1 Summary of Input parameters leading to accurate spontaneous breast cancer incidence.**

Input parameters	Value (day ⁻¹)
<i>Baseline</i>	
Death rate β_1 (stage 1 – hormonally driven) average at 20 y.o. (See Fig. 2A for all values)	1.8 e-3
Death rate β_2 (stage 2 – age independent)	3.1 e-3
Death rate β_3 (stage 3 – age independent)	5.6 e-3
Mutation rate μ_n (stage n \rightarrow stage n+1)	n x 3.8 e-6
Senescence factor	5 e-9

Tumor growth

1/365

470

471 Table 2 Radiation parameters

<i>Targeted effects</i>	
Low-LET	
Radiation μ $\mu(D) = 60 \cdot \mu \cdot D$	Multiplicative factor proportional to dose
Radiation β survival = $\exp(-0.084 \cdot D^2 - 0.273 \cdot D)$	Dose-dependent additive factor from clonogenic survival data from Lin et al. (29)
High-LET	RBE for μ (44) and for β (7)
<i>Non targeted effects (LET and dose independent)</i>	
RIGI μ	17 multiplicative factor
RIGI duration	97 days
Inflammation β	2 multiplicative factor
Inflammation duration	1825 days

472

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475 space exploration by human beings. *The Lancet Oncology*. 2006;7(5):431-5.

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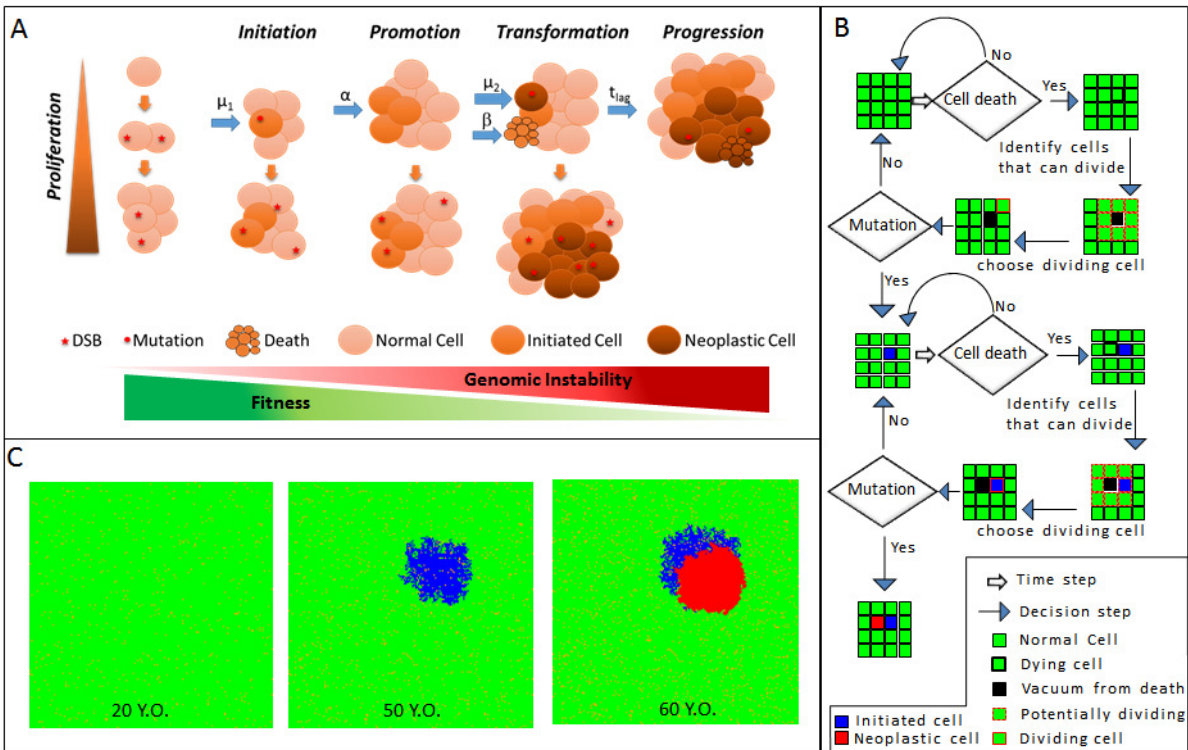
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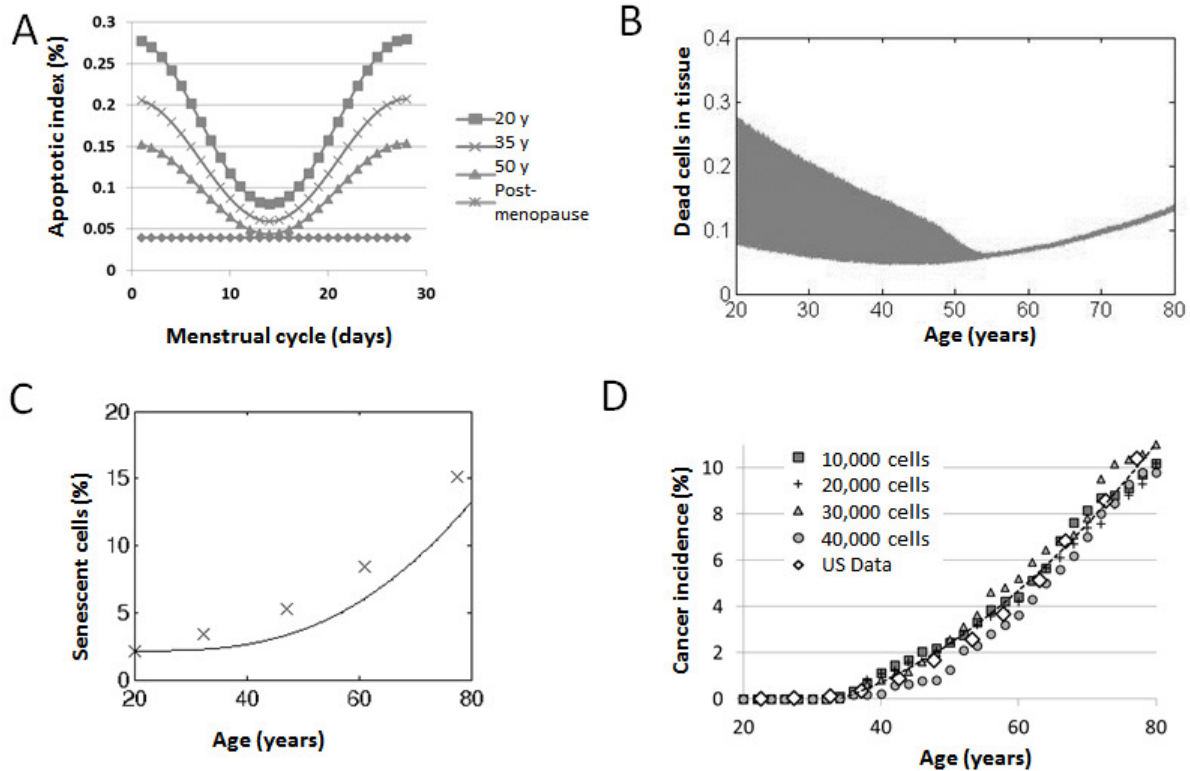
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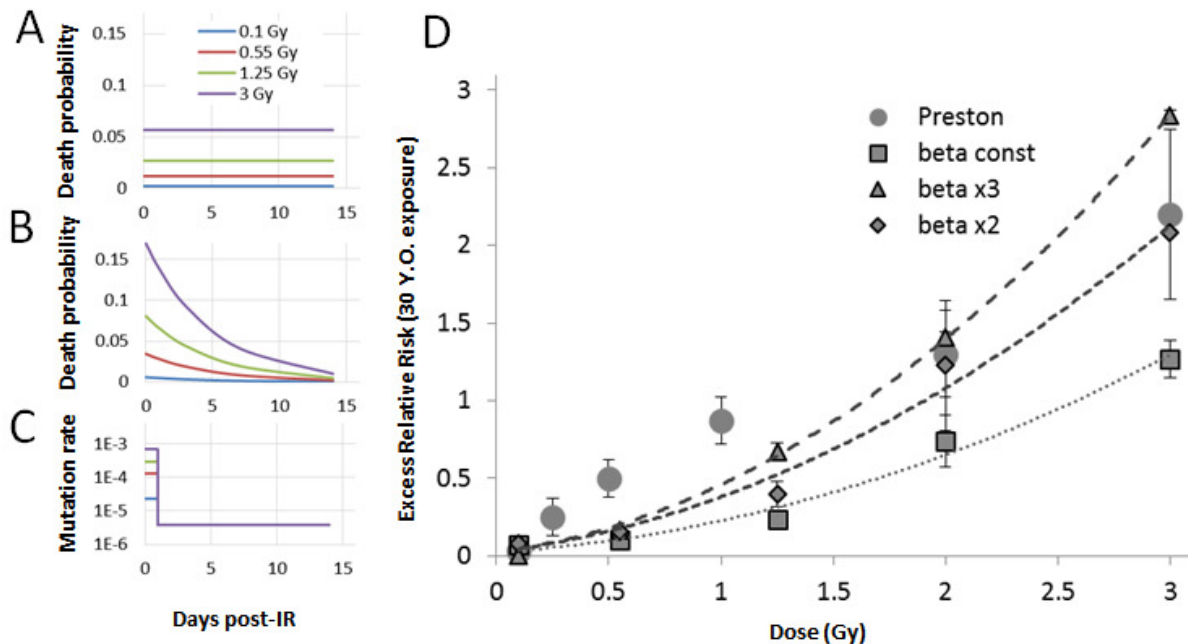
624 **Figure legends**



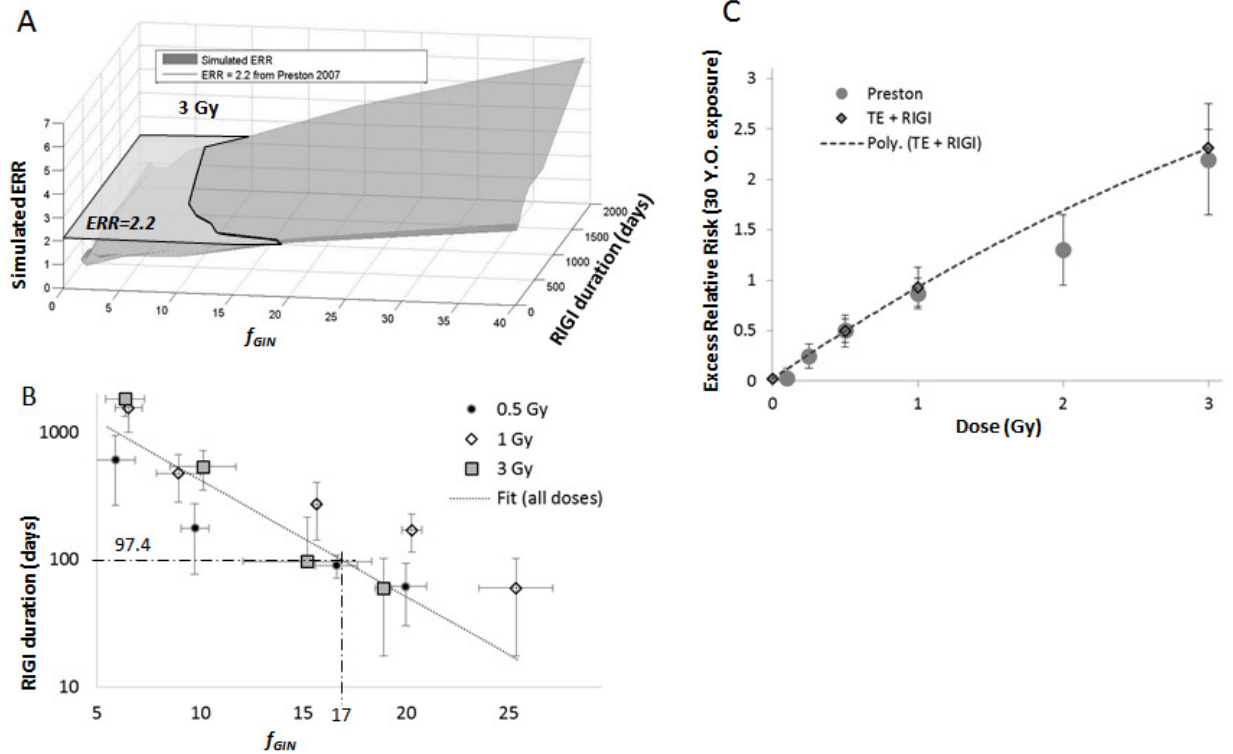
625
 626 **Fig 1. Sketch of the automata carcinogenesis model.** (A) Illustration of TSC, with μ_1 and μ_2 which
 627 represent the mutation rates for initiated and malignant cells respectively. α is the turnover rate
 628 whereas β is the death rate. t_{iag} is the necessary time for a detectable tumor to form. (B) Flow chart of
 629 automata illustrating how a pixel can become a tumor cell. (C) Snapshot of one simulation leading to a
 630 tumor: (Green) Normal cell, (Blue) Initiated cell, (Red) Malignant cell, (Orange) Senescent cell.



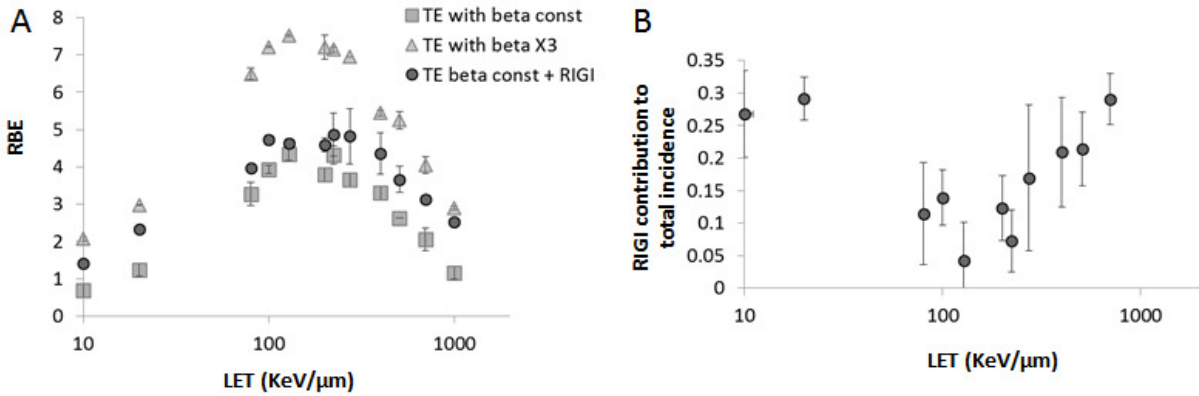
631
 632 **Fig 2. Model calibration on spontaneous breast cancer incidence.** (A) The death rate β is set periodic to
 633 match the menstrual cycle, with an amplitude and baseline that decreases with age until reaching
 634 menopause where rate stabilizes at $0.4e-3$ per day. (B) Average number of dying cells as tissue ages *in*
 635 *silico*. (C) Simulation of the percentage of senescent cells in the tissue compared to published data for
 636 primate (19). Best fit is obtained for a senescence factor = $5e-9$ and was set as a fixed parameter. (D)
 637 Average simulations of 500 tissues *in silico* predicting cumulated incidence of breast cancer at a given
 638 age (21). Calibration parameters that led to the lowest mean error square between predicted cancer
 639 incidence and epidemiological data for the US are given in Table 1. Calibration of mutation rate was
 640 done for various initial tissue sizes (i.e. 100×100 , 200×100 , 200×150 , 200×200), showing large initial
 641 tissue leads to lower mutation rate.



642
 643 **Fig 3. Model calibration for low LET induced breast cancer incidence.** (A) Death levels are set based on
 644 clonogenic data but with death spread evenly over a 14 day period (30). (B) Second death model,
 645 assuming the same overall level of death but with death spread following an exponential decay over 14
 646 day period (31, 32). In this example, initial death at day 0 is 3 times larger than in the constant model in
 647 (A). We also considered 2 fold differences. (C) Mutation rates are assumed to be increased only for one
 648 day after exposure to ionizing radiation. For simplification rate of mutation is set proportional to the
 649 baseline rate found for spontaneous damage based on experimental data using a linear dependence
 650 with dose (see Material and Method). Legend shows some of the tested doses in Gy. (D) Predicted
 651 excess relative risk dose dependence of breast cancer at age 70 assuming exposure at age 30. Each solid
 652 line represents a set of 500 simulated in silico women, exposed at a given age using TE only scenario.
 653 Simulations for various cell death models are compared to A-bomb data (4) (plotted as full circles for age
 654 of exposure equals to 30 y.o.).



655 **Fig 4. Simulated ERR at age 70 using TE + RIGI scenario as a function of mutation rate and duration. (A)**
 656 Simulations for 3 Gy exposure at age 30. Experimental ERR (4) is shown intersecting predicted ERR,
 657 allowing to define a set of mutation rate and duration that lead to accurate ERR. (B) RIGI duration and
 658 mutation rates giving the right ERR for irradiation with 0.5, 1 or 3 Gy X-rays. Dashed line shows the point
 659 couple chosen for subsequent simulations (induction fold of 17 over 97 days) (C) Predicted excess
 660 relative risk dose dependence of breast cancer at age 70 assuming exposure at age 30 using TE+RIGI
 661 scenario.



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 664 **Fig 5. Predicting high-LET RBE.** (A) RBE for breast cancer induction following irradiation with 1 Gy of
 665 charged particles of various LET. (Circles) Targeted effect (beta const) + radiation induced genomic
 666 instability scenario; (Squares) Targeted effect alone (beta const); (Triangles) Targeted effect alone using
 667 exponential decay model for radiation-induced cell death (B) Fractional contribution of non-targeted
 668 effects to breast cancer induction after irradiation with charged particles of various LET.

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