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Davis–Beirut Reaction: Diverse Chemistries of Highly Reactive Nitroso Intermediates in Heterocycle Synthesis

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CONSPECTUS:

Indazoles are an important class of nitrogen heterocycles because of their excellent performance in biologically relevant applications, such as in chemical biology and medicinal chemistry. In these applications, convenient synthesis using commercially available and diverse building blocks is highly desirable. Within this broad class, *2H*-indazoles are relatively underexploited when compared to *1H*-indazole, perhaps because of regioselectivity issues associated with the synthesis of *2H*-indazoles. This Account describes our unfolding of the synthetic utility of the Davis–Beirut reaction (DBR) for the construction of *2H*-indazoles and their derivatives; parallel unfoldings of mechanistic models for these interrelated N–N bond forming reactions are also summarized.

The Davis–Beirut reaction is a robust method that exploits the diverse chemistries of a key nitroso imine or nitroso benzaldehyde intermediate generated in situ under redox neutral conditions. The resulting N–N bond-forming heterocyclization between nucleophilic and electrophilic nitrogens can be leveraged for the synthesis of multiple classes of indazoles and their derivatives, such as simple or fused indazolones, thiazolo-indazoles, 3-alkoxy-*2H*-indazoles, *2H*-indazole *N*-oxides, and *2H*-indazoles with various substitutions on the ring system or the nitrogens. These diverse products can all be synthesized under alkaline conditions and the various strategies for accessing these heterocycles are discussed. Alternatively, we have also developed methods involving mild photochemical conditions for the nitrobenzyl → *aci*-nitro → nitroso imine sequence. Solvent consideration is especially important for modulating the chemistry of the reactive intermediates in these reactions; the presence of water is critically important in some cases, but water's beneficial effect has a ceiling because of the alternative reaction pathways it enables. Fused *2H*-indazoles readily undergo ring opening reactions to give indazolones when treated with nucleophiles or electrophiles. Furthermore, palladium-catalyzed cross coupling, the Sonagashira reaction, EDC amide coupling, 1,3-dipolar cycloadditions with nitrile oxides, copper-catalyzed alkyne–azide cycloadditions (click reaction), as well as copper-free click reactions, can all be used late-stage to modify *2H*-indazoles and indazolones. The continued development and applications of the Davis–Beirut reaction has provided many insights for taming the reactivity of highly reactive nitro and

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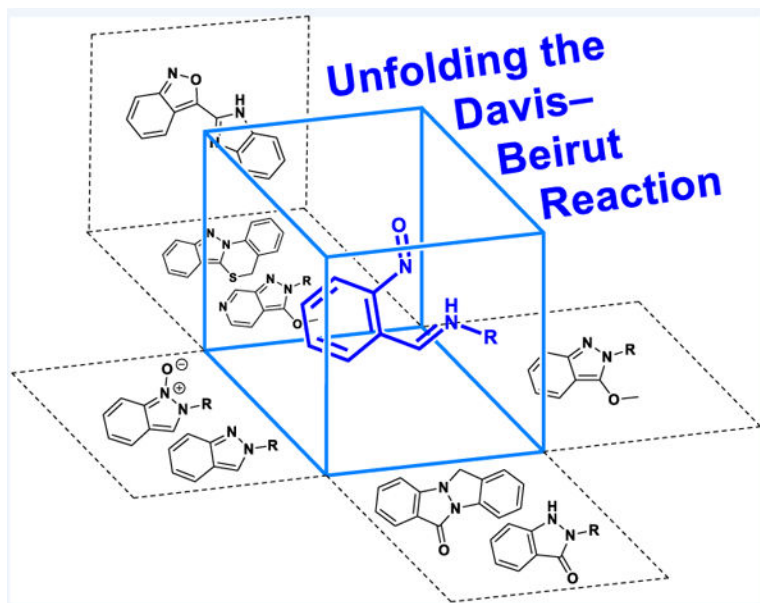
The authors declare no competing financial interest.

DEDICATION

The authors dedicate this Account of the Davis–Beirut reaction to Prof. George S. Zweifel (UC Davis), a wonderful colleague and gracious mentor, on the occasion of his 93rd birthday.

nitroso groups, which still has a plethora of underexplored chemistries and challenges. For example, there is currently a limited number of nonfused *2H*-indazole examples containing an aryl substitution at nitrogen. This is caused by relatively slow N–N bond formation between *N*-aryl imine and nitroso reactants, which allows water to add to the key nitroso imine intermediate causing imine bond cleavage to be a competitive reaction pathway rather than proceeding through the desired N–N bond-forming heterocyclization.

Graphical abstract



INTRODUCTION

The broad interest in nitrogen heterocycle methodology development is a direct result of their exceptional properties and widespread applications in multiple areas of chemical research. As such, reactions for accessing various heterocyclic architectures from diverse building blocks are valuable. The indazole core is composed of a 6–5 fused ring system with an N–N bond embedded in the 5-membered ring (Figure 1). Indazoles are highly privileged in pharmaceutical applications (Figure 2)¹ because of their structural similarities² with indoles,³ benzimidazoles,⁴ and pyrazoles.⁵ Similar to the synthesis of pyrazoles, regioselectivity is an important consideration when selecting synthetic routes to indazoles, especially for the more challenging *2H*-indazole scaffold.⁶ In general, the synthesis of indazoles and their derivatives are enabled by the use of hydrazine and its derivatives, often involving protecting groups,^{6,7} because methods for forming N–N bonds are scarce compared to those for forming C–N bonds. Hydrazine's hazards are well documented in the literature and advances dedicated to improving their safety profile are still being made.^{7e,8} Alternatively, N–N bonds may be constructed using methods involving redox manipulation.⁹ One well-known reductive method for accessing *2H*-indazoles is the Cadogan reaction.¹⁰ Although the reaction conditions were traditionally harsh for the Cadogan reaction

(>150 °C), recent advances have made this transformation milder.¹¹ However, even these modern variations allow only minimal substitution at C3.¹¹

Our reinvestigation of a reported method for preparing anthranils from *o*-nitrobenzyl compounds¹² resulted in a structure reassignment from anthranils to indazolones, which was the hydrolysis product of a 3-alkoxy 2*H*-indazole precursor.¹³ This initial study ultimately culminated in the discovery of the Davis–Beirut reaction (DBR), which has since emerged as a robust and reliable method for building the 2*H*-indazole core under redox neutral conditions (Scheme 1). The initially proposed mechanism of the Davis–Beirut reaction involves conversion of *o*-nitrobenzyl amine **1** to highly reactive nitroso imine intermediate **2**, which triggers an N–N bond forming heterocyclization to form 2*H*-indazole **3**. This Account summarizes our recent efforts on expanding both the utility and mechanistic understanding of the Davis–Beirut reaction.

APPLICATIONS OF THE DAVIS–BEIRUT REACTION

Our initial survey of this indazole-forming reaction (Scheme 1)¹⁴ identified several generalities, as well as substrate scope limitations. For example, using alkyl amines and primary alcohols typically resulted in good indazole yields. On the other hand, using secondary alcohols, allyl/propargyl alcohols, or anilines drastically reduced the efficiency of the reaction. It was noted in this initial report that changing the alcohol solvent properties noticeably influenced the progression of the Davis–Beirut reaction.

This lead-in work was followed up by a reoptimization study of Davis–Beirut reaction conditions. It was found that the addition of water to the reaction mixture dramatically increased the 2*H*-indazole yield—from 27% with anhydrous *n*-propanol to 65% with 15% water added (Scheme 2).¹⁵ This beneficial effect was also observed when the solvent was methanol or ethanol. However, when the amount of added water surpassed 20–25%, the yield of the reaction sharply decreased. At 50% added water, the yield of the Davis–Beirut reaction carried out in methanol, ethanol, and 1-propanol dropped to 40%, 28%, and 15%, respectively.

Despite using these newly optimized reaction conditions, the synthesis of *N*-aryl substituted 2*H*-indazoles **3** was still poor yielding. However, by using the most reactive solvent, methanol, and by making the nitro-containing ring more electron-poor, yields of the *N*-aryl products **4** were significantly boosted (Scheme 3A).¹⁶ Although useful insights were gained about the electronic preference of the nitroso intermediate in the Davis–Beirut reaction, this strategy for accessing *N*-aryl indazoles restricts the substrate scope and limits the Davis–Beirut reaction’s overall synthetic utility.

Even though *N*-alkyl amines reliably delivered the corresponding indazole products, the low reactivity of anilines in the Davis–Beirut reaction was disappointing. It was reasoned that an intramolecular version of the reaction would more smoothly deliver the *N*-aryl indazole products due to a favorable cascade of ring closing reactions (**5** → **6**, Scheme 3B).¹⁷ Indeed, when 2-aminobenzyl alcohol derivatives were used as substrates, polycyclic indazole

products were isolated in up to 90% yield—likely because the internal nucleophile thwarted the unfolding of the nonproductive pathways.

While sulfur is known to reduce both nitro and nitroso groups, multiple classes of thio-containing polycyclic indazoles were successfully synthesized via the Davis–Beirut reaction.¹⁸ This suggests that the nitroso intermediate is relatively short-lived when the nucleophile is tethered due to a fast cyclization cascade (**8** → **9**; Scheme 3C). It should also be noted that these thiol reactions were not as straightforward as their oxygen counterpart, largely due to thioaminal formation impeding the synthesis of starting material **7**. This issue could be circumvented, however, by trityl protection of the thiol prior to reductive amination. The trityl group was easily removed using TFA/Et₃SiH, followed by treatment with KOH to initiate the Davis–Beirut reaction and delivery of the corresponding thiazolo/thiazino/thiazepino-2*H*-indazoles.

These 6–5–6–6-fused indazoles tolerate a number of different late-stage modifications (Scheme 4), which grants a certain degree of synthetic flexibility when planning their synthesis/applications. For example, a Sonagashira coupling sequence followed by dipolar cycloadditions can be used to further functionalize the Davis–Beirut product (**10**; Scheme 4A); when these ring systems are decorated with a carboxylic acid, they can be modified late-stage using EDC coupling (**11**; Scheme 4B).^{17b}

On the other hand, these polycyclic indazoles are not stable toward alkoxide¹⁹ or thiolate²⁰ nucleophiles for extended times (Scheme 4C–E), as these fused ring system undergo ring-opening reactions when treated with these nucleophiles. Increasing the steric bulk around the electrophilic carbon of **12** (see site of reaction denoted with an asterisk in Scheme 4C) significantly decreased the rate of this ring-opening, as demonstrated by the reduced yield of **14** versus **13**. Likewise, when polycyclic indazole was heated in the presence of potassium iodide, the iodide nucleophile facilitated a rearrangement of the 6–5–6–6 fused indazole system (**15**) to a 6–5–5–6 fused indazolone system (**16**; Scheme 4D). Considering the high electron density at the N¹-position, it was then hypothesized that various electrophiles would be suitable reaction partners for 2*H*-indazoles. For example, reaction of **17** with propargyl bromide gives indazolone intermediate **18**, which could be trapped by azide (Scheme 4E). The close proximity of the alkyne and azide in **19** enabled an intramolecular cycloaddition to occur under copper-free conditions to generate **20**, a structurally complex indazolone containing a fused 7-membered ring.²¹ Also, treatment of **21** with various alkylating, acylating, and sulfonylating reagents gave the corresponding 1-substituted indazolones in 70–95% yield (Scheme 4F). In particular, indazolone **22** (R = propargyl) was useful for facile late-stage generation of a library of triazole-containing analogues using intermolecular copper catalyzed alkyne–azide cycloaddition.²²

The proposed mechanism of the Davis–Beirut reaction involves addition of an oxygen nucleophile to nitroso imine intermediate **2** (Scheme 5A).¹³ This mechanistic model was studied computationally¹⁶ and found to be consistent with experimental results. However, this simple mechanistic model did not fully explain why the nucleophilic species prefers to be alkoxide/alcohol rather than hydroxide/water. Indeed, indazolones are not formed directly in the typical Davis–Beirut reaction. Through additional mechanistic work,²³ it was found

that hydroxide/water does add to nitroso imine **2** to give hemiaminal **23**, but was unable to deliver indazolone directly in the presence of alcohol/alkoxide due to unproductive C–N bond cleavage²⁴ generating nitrosobenzaldehyde **24** (Scheme 5A). This competition between C–N bond cleavage and heterocycle formation is most apparent in the double Davis–Beirut reaction (Scheme 5B), where bisindazole **25** was only formed in 6% yield while monoindazole **26** was obtained in 36% yield. In contrast, when the two oxygen nucleophiles are tethered to diamine starting material **27**, this problem was alleviated; double Davis–Beirut spiro-fused bisindazole product **28** was obtained in 72% yield using this strategy to minimize hydroxide/water addition.

With these new mechanistic insights, we aimed to exploit the idea that *o*-nitrobenzyl alcohol **29** could be used to generate nitrosobenzaldehyde **24** in situ (Scheme 6). Amine reaction with **24** generates nitroso imine **2**, and by using isopropanol as the reaction solvent, *2H*-indazole formation was effectively shut down due to slow addition of isopropanol/isopropoxide to the nitroso imine intermediate. Consequently, this allows nitrosobenzaldehyde to cycle between nitroso imine **2**, nitroso hemiaminal **23**, and nitrosobenzaldehyde **24** until it reaches a thermodynamic sink—that is, cyclization to give indazolone **30**. On the basis of this idea, two sets of reaction conditions were developed for this chemistry: one mediated by base²⁵ and heat and the other mediated by light (Scheme 6).²⁶ High heat (100 °C) was required when the reaction was performed under basic conditions, and the use of allyl, propargyl, or benzyl amines did not deliver the expected indazolones due to C–H bond acidity issues (resulting in cinnoline **31** and quinazoline **32** side-product formation; Scheme 7). Using UV light from a readily available and affordable light source²⁷ alleviates this problem of C–H bond acidity since there is no strong base present. However, aryl halides were no longer tolerated due to light-mediated scission of the carbon–halogen bonds. In contrast to the base-mediated method, the light-mediated reaction could be conducted at just 30 °C in aqueous phosphate-buffered saline (PBS) solution, a biologically relevant solvent system. But, again consistent with the original Davis–Beirut reaction, using aniline unfortunately resulted in minimal heterocycle formation.

While the initially proposed mechanistic model for synthesizing 3-alkoxy *2H*-indazoles via the Davis–Beirut reaction was deceptively straightforward (Scheme 8, pathway B), recent advances have demonstrated a willingness of the key nitroso imine intermediate to engage alternative reaction pathways A and C. Only productive reaction pathways involving nitroso imine **2** are shown in Scheme 8, with less productive pathways omitted for clarity. For example, the pathway where the amine reacts with the nitroso imine at the nitroso first to generate the corresponding azo compound is not shown. Also, the conversion of *o*-nitrobenzyl alcohol to *o*-nitroso benzaldehyde is abridged and the possibility of amine addition to the nitroso imine is excluded. Indeed, the synthetic utility of these alternative reaction pathways are currently limited, but, with additional optimization efforts, these pathways may yet prove to be valuable. The network of reactions detailed in Scheme 8 illustrates the rich and diverse reactions of the nitroso group, which has allowed us to extend the reach of the Davis–Beirut reaction to multiple classes of 6–5-fused N–N bond-containing heterocycles.

Recently, pathway A for synthesizing 2*H*-indazole *N*-oxide (**33**; Scheme 9A) was optimized under basic conditions in DMSO.²⁸ The synthesis of these *N*-oxide heterocycles has significant implications on the mechanisms of both the Davis–Beirut reaction and the Cadogan cyclization—a well-established method for preparing 2*H*-indazoles from nitro imines through a reductive cyclization mechanism.^{10a} Phosphorus reagents are typically employed for the Cadogan cyclization, and it is thought that the nitro group is completely deoxygenated to a nitrene which then undergoes cyclization.^{10a} Typically, the Cadogan cyclization is carried out under harsh conditions with high heat and excess phosphorus. At process scale, this reaction relies on an azide rather than phosphorus reduction of a nitro group to generate the nitrene intermediate.²⁹ With the realization that the Davis–Beirut reaction and Cadogan cyclization both share nitroso imine **2** as a reactive intermediate^{10a,13} and the consideration that the Davis–Beirut reaction does not require nitrene for N–N bond heterocyclization, we wondered whether the nitrene pathway was the only operational mechanism in the Cadogan process. Indeed, *N*-hydroxy products that are inconsistent with a nitrene mechanism have been observed in the related Sundberg reaction,^{10b} which has been studied computationally.³⁰

When we carried out reactions of nitroso imine **2** under nonreductive and minimally deoxygenating conditions, 2*H*-indazole *N*-oxides were synthesized (Scheme 9). Unsurprisingly, 2*H*-indazole *N*-oxides derived from anilines were not successfully synthesized. Treatment of the synthesized *N*-oxide heterocycle **34** with either zinc/HCl or MeOH/KOH smoothly delivered the corresponding Cadogan or Davis–Beirut products, respectively (Scheme 9B). Taken together, these results suggest that, in addition to nitroso imine **2**, 2*H*-indazole *N*-oxide **33** is also a common reactive intermediate in both the Cadogan and Davis–Beirut reactions. Interestingly, the nitroso imine → 2*H*-indazole *N*-oxide → 2*H*-indazole sequence is formally a Cadogan cyclization carried out at room temperature using chemistry discovered through the Davis–Beirut reaction. While it is currently impossible to rule out nitrene formation in the Cadogan reaction, the mechanism for 2*H*-indazole *N*-oxide formation was studied computationally using several levels of theory and found to be kinetically feasible, as well as exergonic; the formation of these *N*-oxides is competitive with regards to phosphorus-mediated 2*H*-indazole synthesis.

Since our discovery that the Davis–Beirut reaction was rooted in an anthranil synthesis claim,¹³ it is only fitting that anthranils were serendipitously synthesized when we treated the benzimidazole derivative of *o*-nitrobenzyl compounds **35** with KOH (Scheme 10).³¹ We selected **35** as a Davis–Beirut substrate in an attempt to use the benzimidazole’s nucleophilic nitrogen under basic conditions to trap the nitroso to generate 6–6–5–6 fused benzo[4,5]imidazo[1,2-*b*]cinnolin-12(5*H*)-one; anthranil **36** was obtained instead. The key for enabling this chemistry was replacement of the benzyl amine or alcohol moiety with C2 of benzimidazole, which shuts down the deprotection mechanism (pathway C in Scheme 8). The mechanism of this unexpected anthranil-forming reaction (Scheme 11) was studied computationally and found to be a cascade of reactions involving a 6 π electrocyclization, ring-fragmentation, [1,5]-sigmatropic shift, and concerted proton transfer/electrocyclization, followed by aromatization. The synthesis of these N–O bond-containing heterocycles highlights the potential usefulness of the intermediates shown in Scheme 9 for methodology

development research. Indeed, there is evidence of a nitroso intermediate in the anthranil synthesis, as demonstrated by the formation of dimer side-product **37**—the result of condensation between the anion of starting material **35** and the nitroso intermediate derived from **35**.

SUMMARY AND PERSPECTIVE

This Account provided an overview of the unfolding of the Davis–Beirut reaction, a reliable method for accessing multiple classes of substituted *2H*-indazoles in good yields. This reaction affords the opportunity to exploit an in situ generated nitroso intermediate in a multitude of different reactions to access several classes of heterocycles (Scheme 12). The fact that many of these important heterocycle products can then be converted into a second or third class of heterocycles is very consistent with our group’s interest in heterocycle-to-heterocycle synthetic strategies.³² Indeed, the synthesis of simple or fused indazolones, 3-alkoxy *2H*-indazoles, *2H*-indazole *N*-oxides, and *2H*-indazoles have been demonstrated.

That said, the chemistries of the nitro and nitroso groups are not yet fully defined. Many of the advances reviewed here are directly a consequence of efforts to unfold the mechanistic details operative in the Davis–Beirut reaction. Although it originally seemed unlikely that such a reliable method of delivering *2H*-indazoles could be interrupted or modified, being open-minded about the original mechanistic model culminated in the discovery of many new modes of reactivity. Our current efforts are focused on the direct synthesis of nonfused *N*-aryl indazoles and indazolones, as their chemistry remains challenging. The high reactivity of the nitroso intermediates provides many opportunities for discovery, future methodology development, mechanistic investigations, and contributions to chemical biology and medicinal chemistry.

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Biography

Jie S. Zhu obtained his M.S. from California State University Long Beach under the guidance of Prof. Young-Seok Shon and Ph.D. from University of California Davis under the guidance of Prof. Mark J. Kurth. He will conduct postdoctoral research at Stanford University with Prof. Justin Du Bois.

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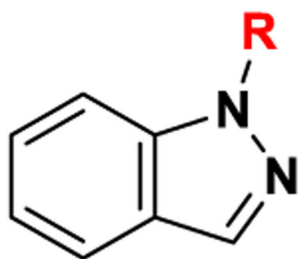
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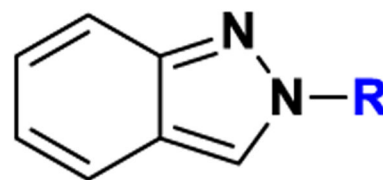
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1H-indazole
(thermodynamically favored)



2H-indazole

Figure 1.
Indazole ring system.

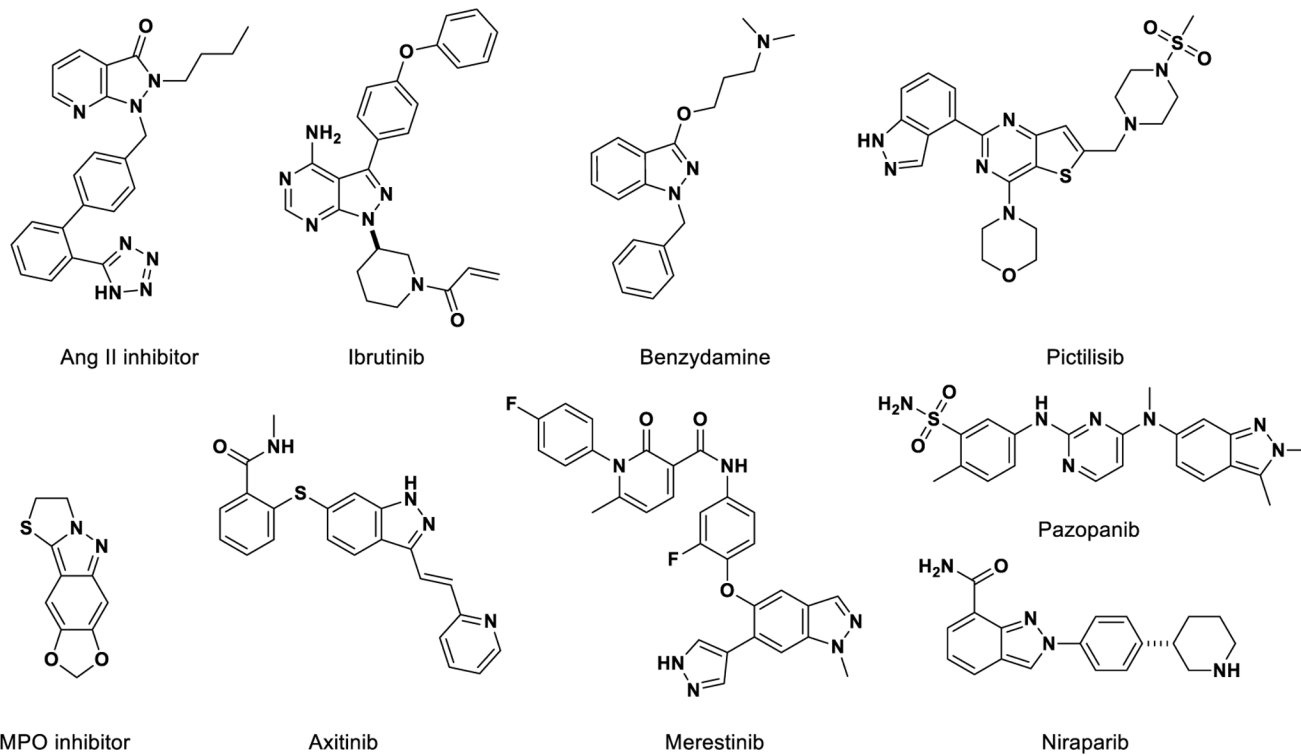
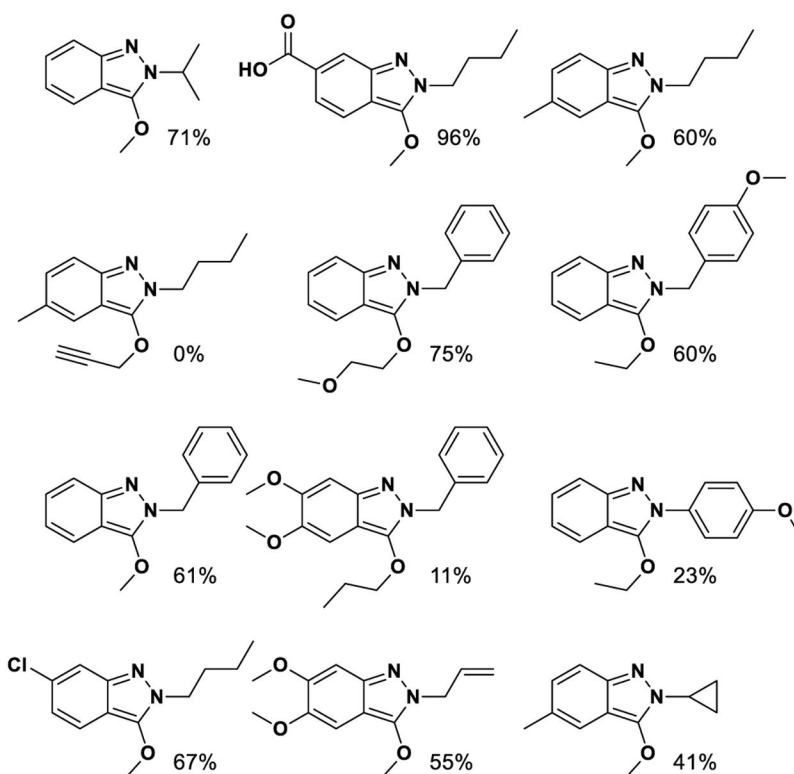
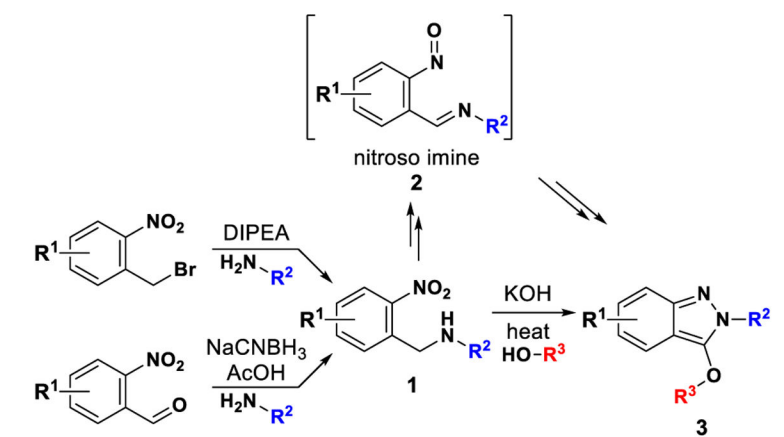
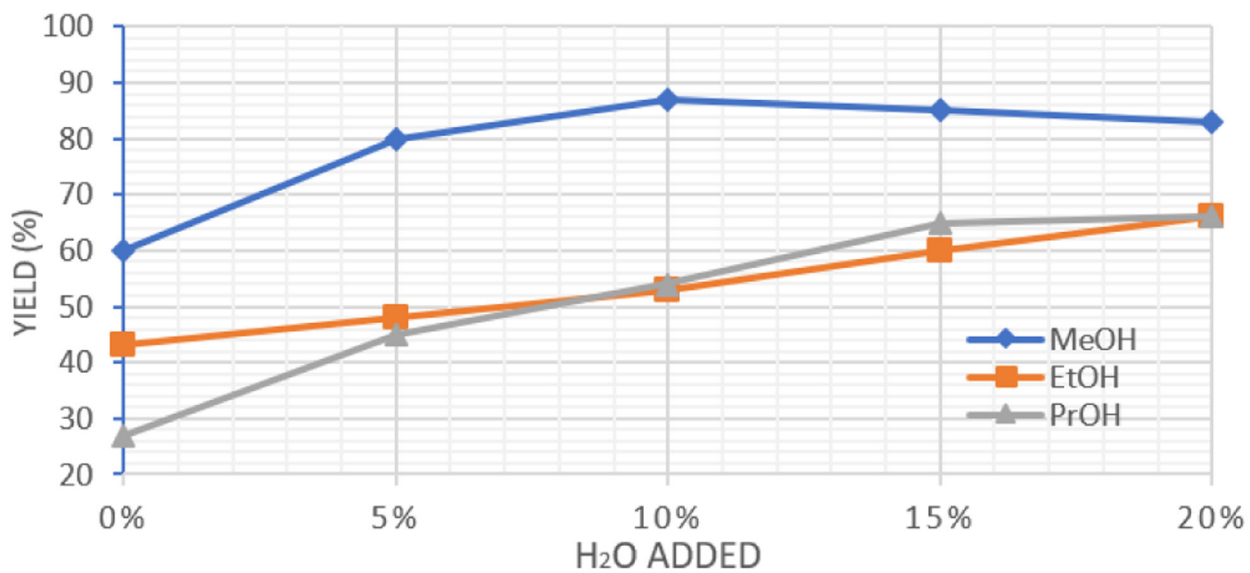
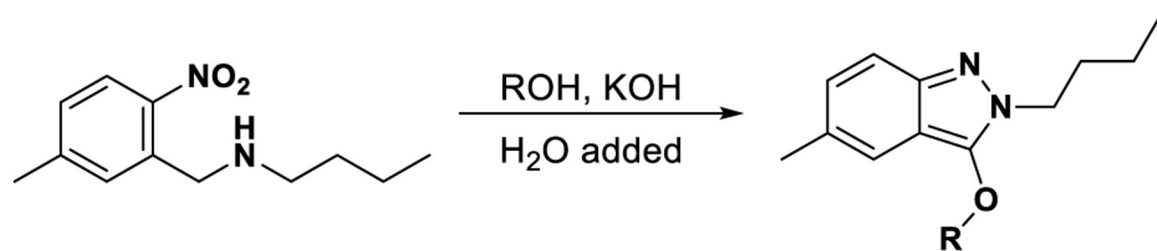


Figure 2.
Biologically valuable indazoles.

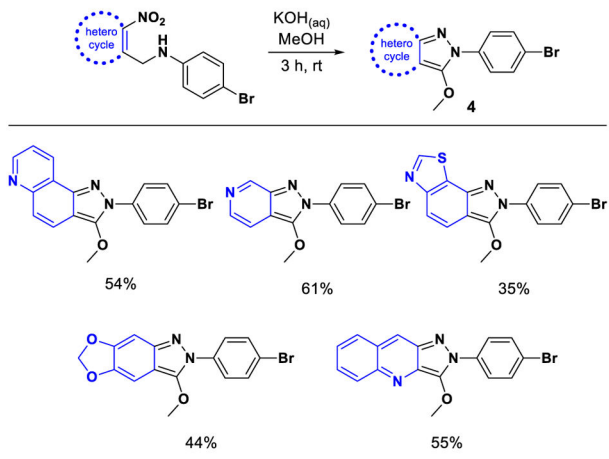


Scheme 1.
Typical Davis-Beirut Reaction Products

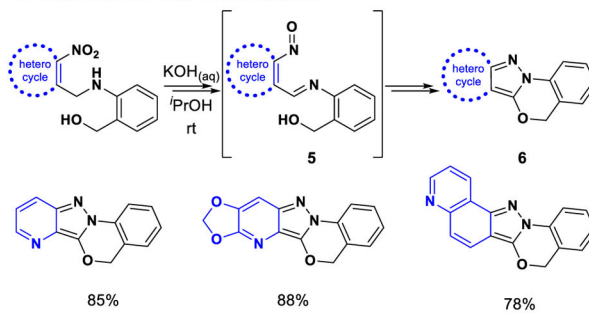


Scheme 2.
Effect of Water on the Davis-Beirut Reaction

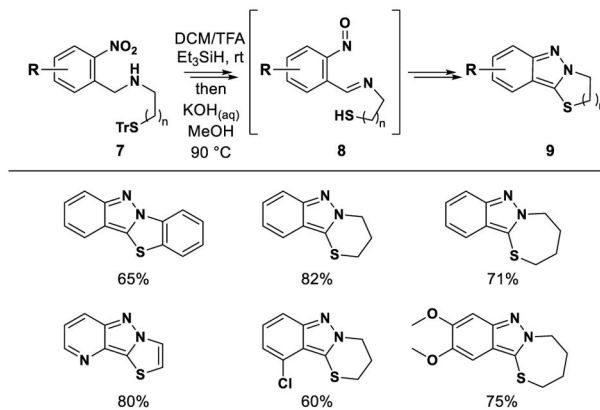
A. Heterocycle derived indazole products.



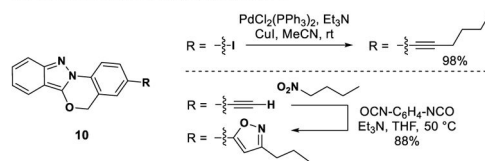
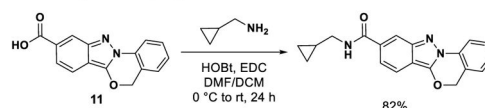
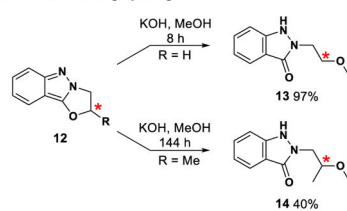
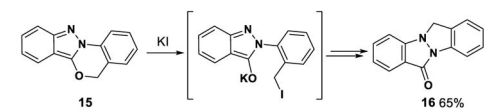
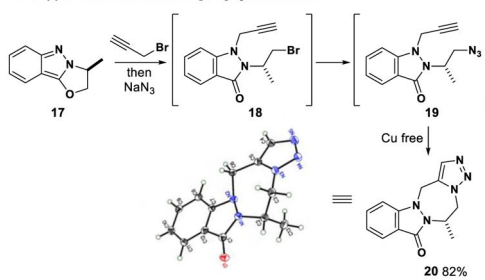
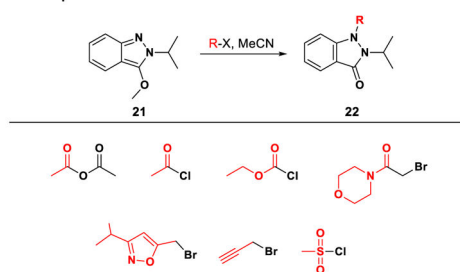
B. Intramolecular Davis–Beirut reaction.



C. Strategy for sulfur-containing indazoles.

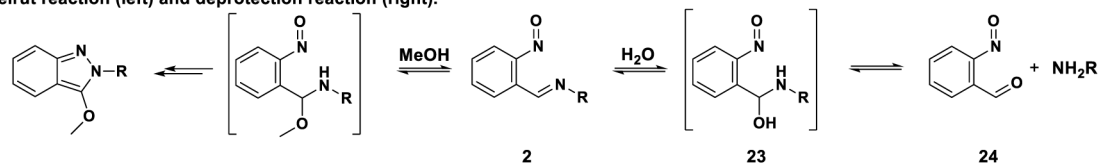


Scheme 3.
Improving the *N*-Aryl Davis–Beirut Reaction

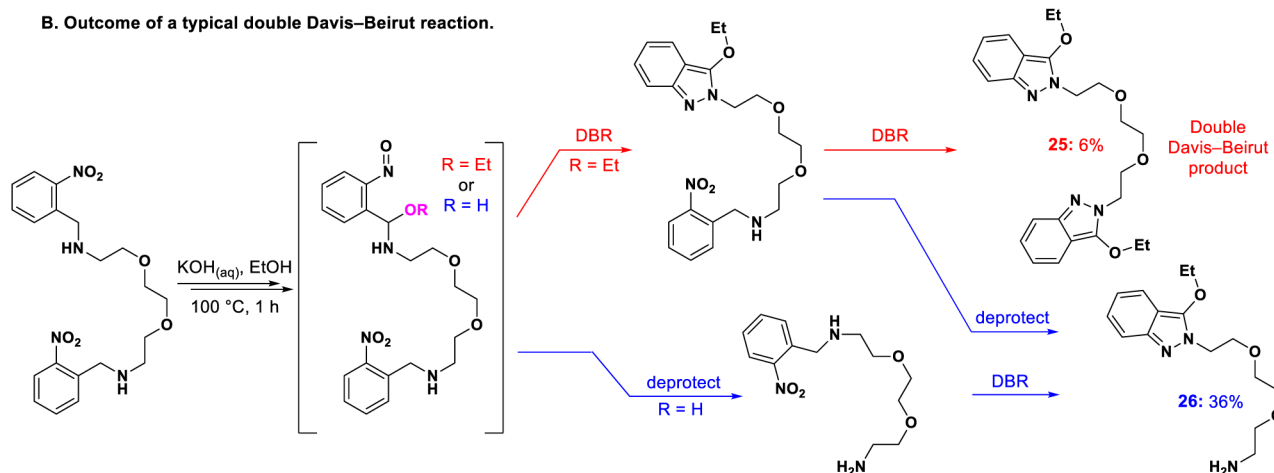
A. Late-stage Sonagashira functionalization.**B. Late-stage EDC coupling.****C. Alkoxide-mediated ring-opening.****D. Iodide-mediated ring-opening/ring-closing.****E. Copper-free intramolecular [3+2] cycloaddition.****F. Electrophile-mediated conversion of indazoles to indazolones.**

Scheme 4.
Various Reactions of 2*H*-Indazoles

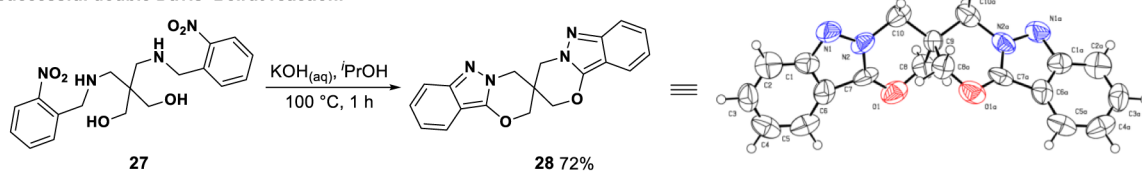
A. Davis–Beirut reaction (left) and deprotection reaction (right).



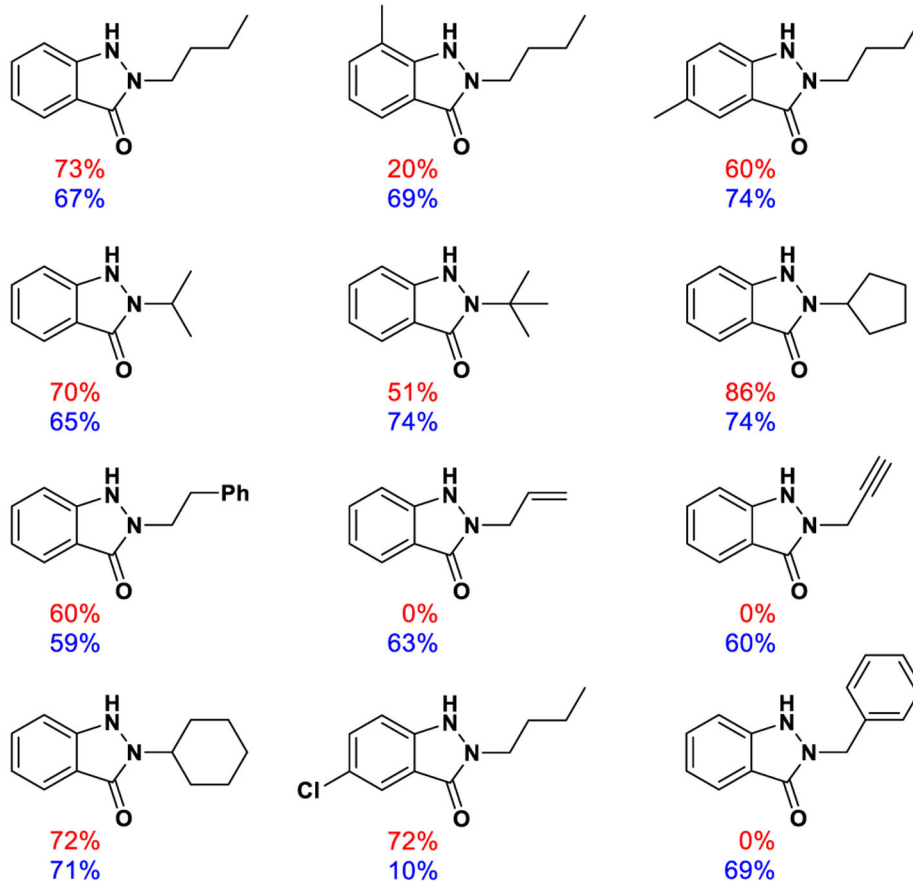
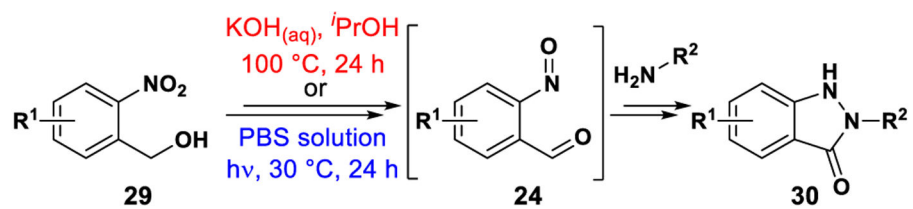
B. Outcome of a typical double Davis–Beirut reaction.



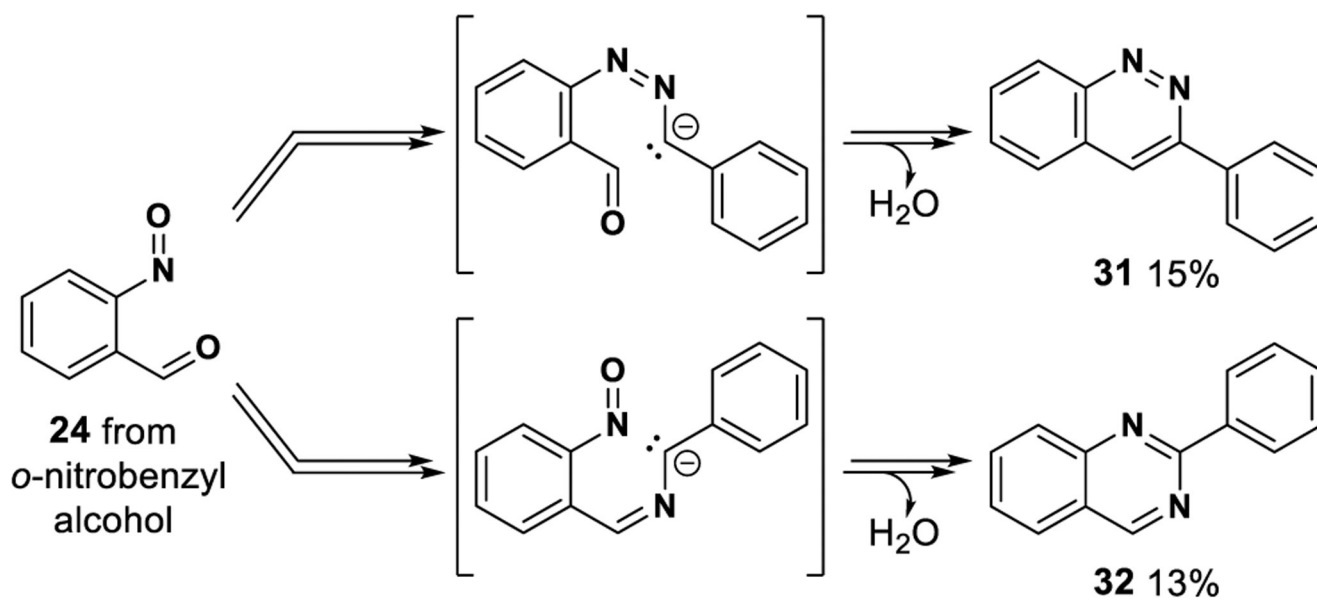
C. A successful double Davis–Beirut reaction.



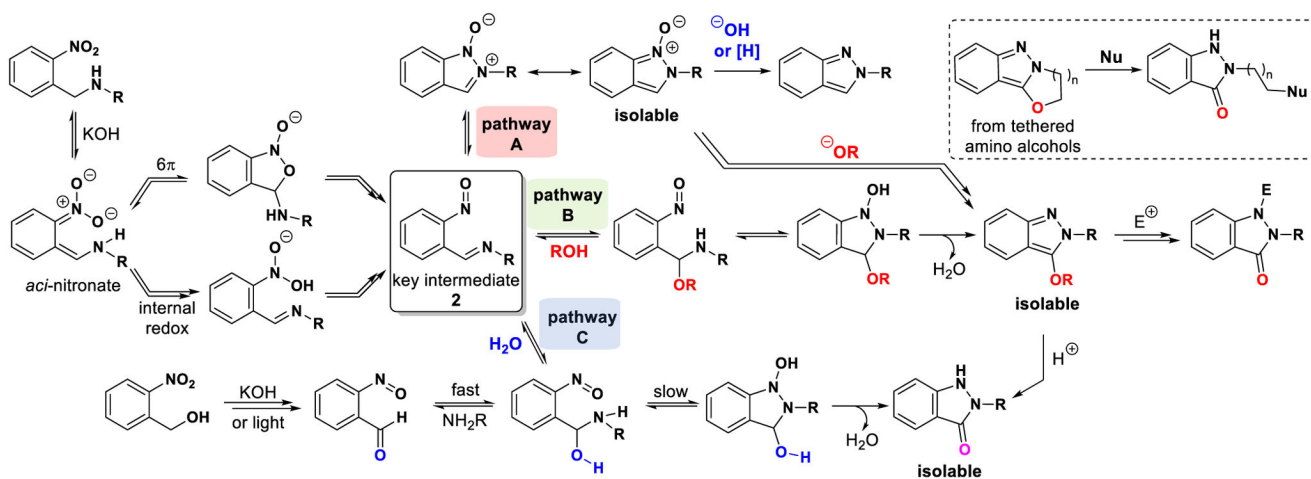
Scheme 5.
Origin of Hydroxide/Alkoxide Selectivity in the Davis–Beirut Reaction



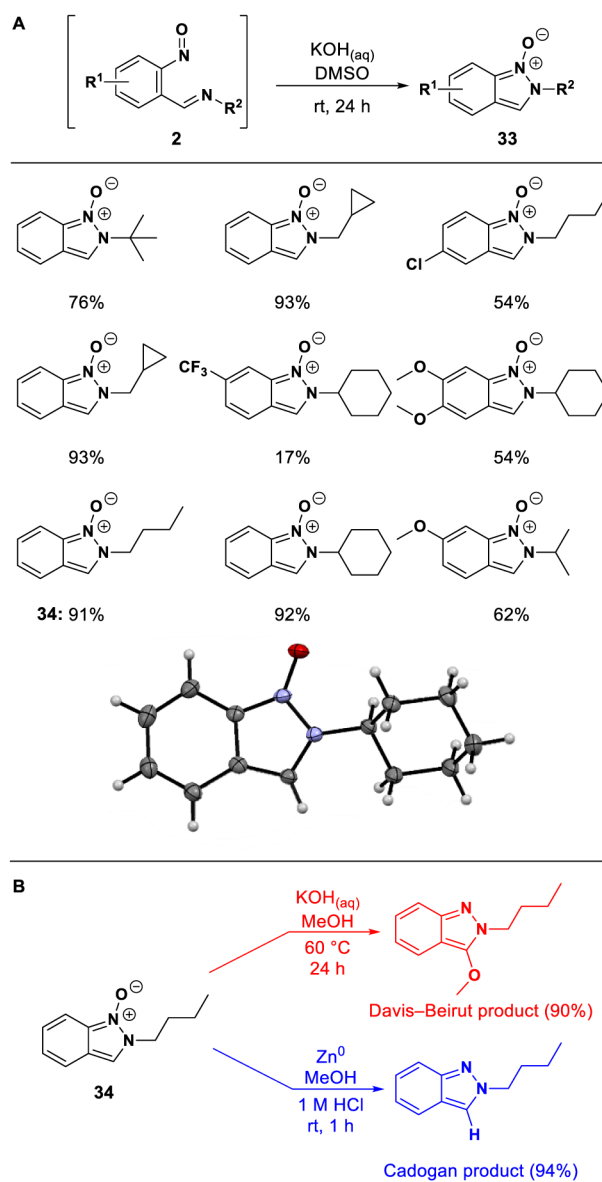
Scheme 6.
Base versus UV-Mediated Indazolone Synthesis



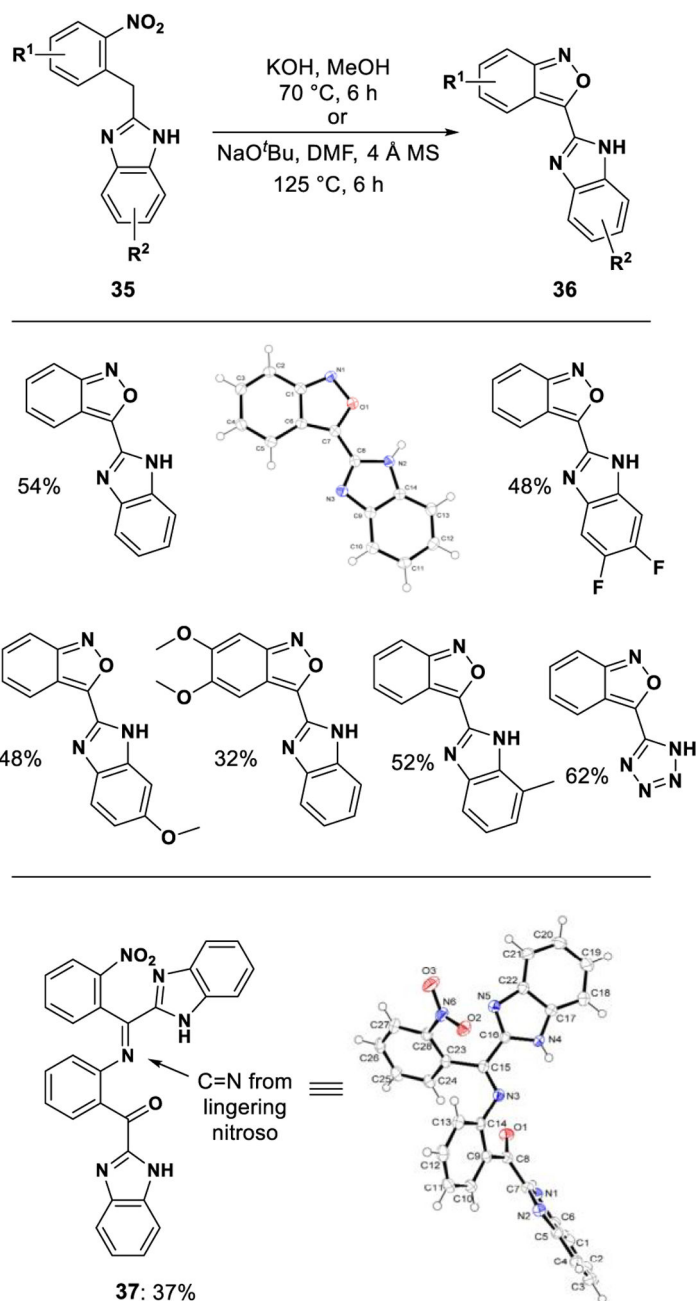
Scheme 7.
Competing C–C and C–N Bond-Forming Reactions



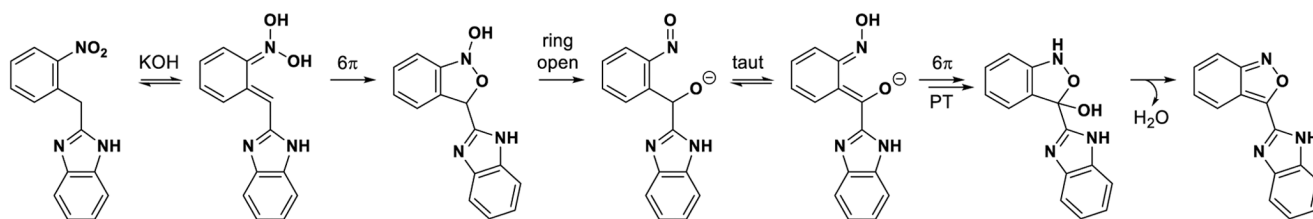
Scheme 8.
Chemistry of *o*-Nitrobenzyl Compounds



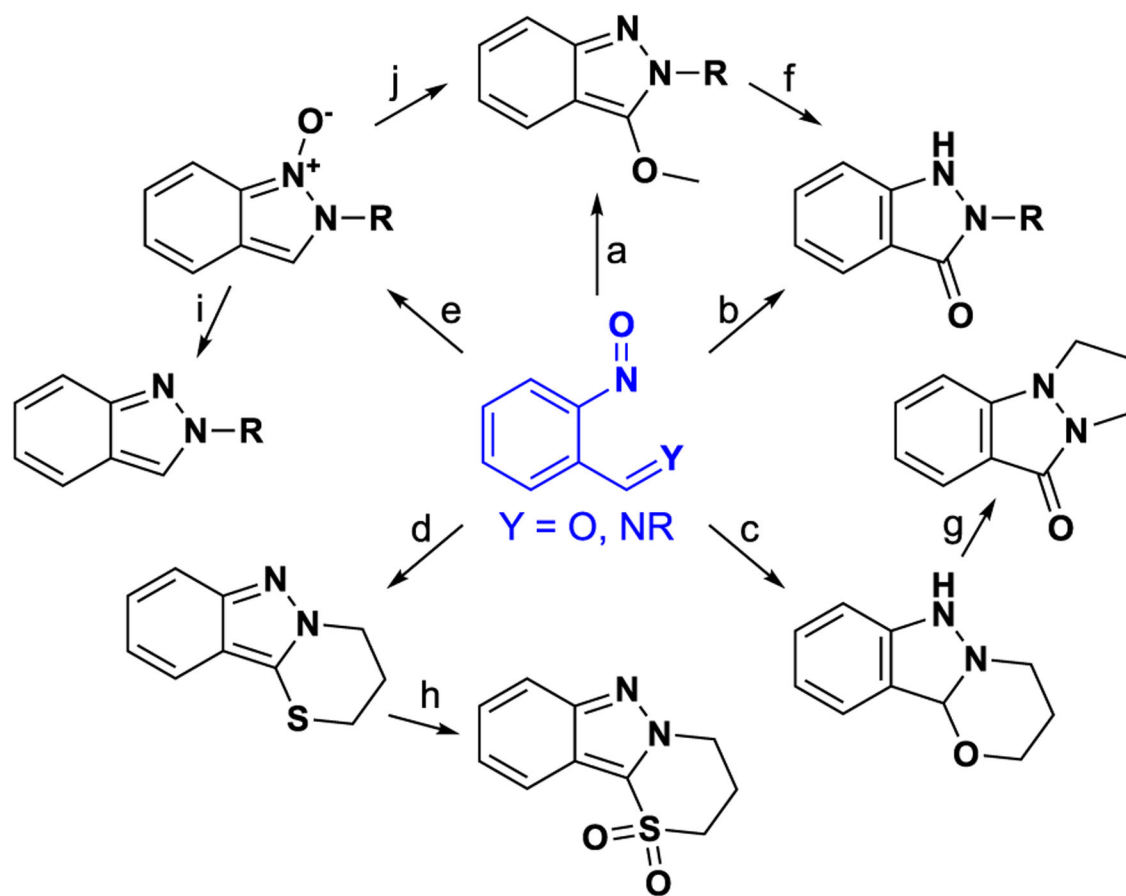
Scheme 9.
2*H*-Indazole *N*-Oxide Synthesis



Scheme 10.
Anthranils from *o*-Nitrobenzyl Chemistry via a Cascade of π -Electron-Mediated Reactions



Scheme 11.
Proposed Mechanism of Anthranil Formation



Scheme 12. Reactions Enabled by Nitroso Group^a

^aConditions: (a) Y = NR, KOH, H₂O, MeOH, 60 °C; (b) Y = O, KOH, H₂O, 100 °C, primary amine; (c) Y = N(CH₂)₃OH, KOH, ^tPrOH, MeOH, reflux; (d) Y = N(CH₂)₃STr, DCM, TFA, SiEt₃H, rt, then KOH, H₂O, MeOH, reflux; (e) Y = NR, KOH, DMSO, H₂O; (f) AcOH, reflux; (g) KI, DMF, 170 °C; (h) Na₂WO₄·H₂O, 30% H₂O_{2(aq)}, H₂O, EtOAc, rt; (i) Zn⁰, 1 M HCl_(aq), MeOH, rt; and (j) KOH, H₂O, MeOH, 60 °C.