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## **Reversion of the Malignant Phenotype of Human Breast Cells in Three-Dimensional Culture and In Vivo by Integrin Blocking Antibodies**

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## Abstract

In a recently developed human breast cancer model, treatment of tumor cells in a 3-dimensional culture with inhibitory  $\beta$ 1-integrin antibody or its Fab fragments led to a striking morphological and functional reversion to a normal phenotype. A stimulatory  $\beta$ 1-integrin antibody proved to be ineffective. The newly formed reverted acini re-assembled a basement membrane and re-established E-cadherin–catenin complexes, and re-organized their cytoskeletons. At the same time they downregulated cyclin D1, upregulated p21<sup>cip,waf-1</sup>, and stopped growing. Tumor cells treated with the same antibody and injected into nude mice had significantly reduced number and size of tumors in nude mice. The tissue distribution of other integrins was also normalized, suggesting the existence of intimate interactions between the different integrin pathways as well as adherens junctions. On the other hand, nonmalignant cells when treated with either  $\alpha$ 6 or  $\beta$ 4 function altering antibodies continued to grow, and had disorganized colony morphologies resembling the untreated tumor colonies. This shows a significant role of the  $\alpha$ 6/ $\beta$ 4 heterodimer in directing polarity and tissue structure. The observed phenotypes were reversible when the cells were disassociated and the antibodies removed. Our results illustrate that the extracellular matrix and its receptors dictate the phenotype of mammary epithelial cells, and thus in this model system the tissue phenotype is dominant over the cellular genotype.

The extracellular matrix (ECM)1 modulates breast tissue homeostasis in vivo, and has been shown to regulate growth, differentiation, and apoptosis of normal murine and human mammary epithelial cells (MEC) in culture (Barcellos-Hoff et al., 1989; Petersen et al., 1992; Strange et al., 1992; Boudreau et al., 1995*b*; for review see Damsky and Werb, 1992; Adams and Watt, 1993; Roskelley et al., 1995). Moreover, perturbations in cell-ECM interactions are a consistent feature of mammary tumors and cells in vivo and in culture (Petersen et al., 1992; Bernfield et al., 1993; Zutter et al., 1993; Sympton et al., 1994; Bergstraesser and Weitzman, 1994; Howlett et al., 1995). The mechanism by which ECM-mediated signal transduction events can lead to diverse changes in gene expression is now being unraveled. For example, it has been shown that the ECM mediates both biochemical and biomechanical signaling events (Roskelley et al., 1994), and that cell shape in turn can profoundly influence the phenotypic response of cells to the ECM (Dhawan and Farmer, 1994; Ingber et al., 1995). However, how alterations in these pathways can lead to mammary tumors is at present unknown. Because tumor cells have an altered response to the ECM and their microenvironment, we have argued that ECM-signaling pathways contain tumor suppressor checkpoints which impinge upon, and direct, cell and tissue architecture (Petersen et al., 1992; Howlett et al., 1994, 1995). If this were so, then the context and integration of adhesion-derived signaling networks could dictate the final tissue phenotype (Bissell et al., 1982).

Epithelial tissue architecture and function are orchestrated and maintained through multimeric adhesion complexes known to interact directly with elements of the actin and intermediate filament cytoskeleton, as well as with kinases and phosphatases (Schmidt et al., 1993; Hodivala and Watt, 1994; for review see Gumbiner 1996; Drubin and Nelson, 1996; Giancotti and Mainiero, 1994; Dedhar, 1995; Ashkenas et al., 1996). The mechanism whereby these adhesive interactions control the spatio-temporal fate of cells, and direct higher order tissue structure is poorly understood, although a dynamic competition between integrins and cadherins for polymerized actin microfilaments, and a modulation of this interaction by tyrosine kinases

appears likely (Adams and Watt, 1993; Drubin and Nelson, 1996). Thus, the coordinated regulation of integrins and adherens junctions has been described during keratinocyte differentiation (Hodivala and Watt, 1994; Braga et al., 1995; Hotchin et al., 1995), while cell–cell adhesion may be modulated by molecules such as fascin, an actin bundling/motility-associated protein (Tao et al., 1996). Furthermore, the importance of  $\beta$ 1-integrins in directing cell–cell and cell-ECM polarity and differentiation has been shown by studies in kidney cells (Ojakian and Schwimmer, 1994; Schoenenberger et al., 1994) and keratinocytes (Symington et al., 1993; Watt et al., 1993). Also, the redistribution of  $\alpha$ 6/ $\beta$ 4-integrins has been reported to be associated with the establishment of epithelial cell polarity (Giancotti, 1996; Borradori and Sonnenberg, 1996), and integrin knockout studies have documented profound tissue degeneration and disorganization in  $\beta$ 4-integrin null mice (Dowling et al., 1996). Thus, there is evidence which suggests that integrin and adherens receptor pathways direct the organization of epithelial tissue structure. However, how these events are coordinated, and whether there is a direct reciprocity or hierarchy in these interactions is not known.

A common feature of mammary epithelial tumors *in vivo* is a disruption of tissue organization and polarity. Consistent with their structural function, catenin-cadherin–cytoskeletal interactions have been shown to be frequently altered in breast cancers, and loss of cell–cell interactions is associated with altered tissue organization and increased tumor invasiveness (for review see Takeichi, 1993; Sommers, 1996; Alford and Taylor-Papadimitriou, 1996). Although cadherins and catenins are often downregulated or absent in invasive breast cancers, there are many examples of mammary carcinoma *in situ* and aggressive, metastatic breast tumors *in vivo* that express the full complement of adherens proteins. Instead the cells in these tissues have lost their ability to assemble adherens junctions (Birchmeier and Behrens, 1993; Moll et al., 1994; Sommers, 1996). While the precise cause(s) of this perturbation have yet to be determined, it has been shown that the assembly of adherens junctions can be disrupted by growth factors and oncogenes, and also modified by kinases and phosphatases (Warren and Nelson, 1987; D'Souza and Taylor-Papadimitriou, 1994; Hoschuetzky et al., 1994; Ochiai et al., 1994; Kinch et al., 1995). This suggests that connections exist between adherens junctions and signal transduction pathways and implies the potential for structural plasticity.

The disrupted tissue architecture observed in mammary adenocarcinoma is also frequently associated with alterations in integrin heterodimer profiles (D'Ardenne et al., 1991; Natali et al., 1992; Zutter et al., 1993; Gui et al., 1995; Howlett et al., 1995). Changes in  $\beta$ 1-,  $\beta$ 4-,  $\alpha$ 2-,  $\alpha$ 3, and  $\alpha$ 6-integrins have been reported for mammary tumor cell lines and in tissue sections, and were shown to be associated with tissue disorganization, loss of polarity, increased tumor aggressiveness, and metastasis (Natali et al., 1992; Berdichevsky et al., 1994; Rossen et al., 1994; Gui et al., 1995; Zutter et al., 1995). A relationship between altered signal transduction via integrins and the adherens junction pathways, and its relevance to the origin of the tumor phenotype has not been directly examined. This is mainly due to the lack of appropriate model systems in which such changes can be studied (Weaver et al., 1995).

We have thus taken advantage of a unique epithelial cell model of breast cancer developed by Briand and coworkers (Briand et al., 1987, 1996). The HMT-3522 breast cancer series was established under chemically defined conditions from a breast biopsy of a woman with a nonmalignant breast lesion (Briand et al., 1987; Nielsen and Briand, 1989). A subline of these

cells, generated from passage 118, became spontaneously tumorigenic after an additional 120 passages (passage 238), while the parental line remained nontumorigenic for greater than 400 passages (Nielsen et al., 1994; Briand et al., 1996). In this study we used nonmalignant S-1 cells at passage 50 (“normal”; S-1) and the tumorigenic progeny at passage 238 (T4-2; referred to as mt-1 in Briand et al., 1996). The latter cells were shown to have a trisomy of chromosome 7p in addition to other genetic alterations such as a p53 mutation and a *cmyc* amplification (Madsen et al., 1992; Moyret et al., 1994; Nielsen et al., 1994; Briand et al., 1996). These two cell lines, one originating from the other by spontaneous genetic events, therefore, provide a unique tool for addressing the specific mechanisms involved in malignant conversion in the breast. In this paper, we postulated that if there were a cause and effect relationship between perturbed tissue organization, loss of cell–cell interactions and altered ECM-signaling through integrins on the one hand, and tumor formation on the other hand, it should be possible to modify morphology and behavior of these malignant cells by altering cell-ECM interactions.

Here we show that modification of cell surface  $\beta$ 1- and  $\beta$ 4-integrins in a 3-dimensional (3-D) basement membrane (BM) assay (Petersen et al., 1992), influences mammary tissue morphogenesis and also regulates cell growth and signal transduction. Furthermore, cellular integrins, when normalized, promote the assembly of adherens junctions and influence the cytostructure of these cells, thereby implying that these two adhesion systems may be interconnected. Finally, our results suggest that growth as well as malignant behavior is regulated at the level of the tissue (acini) organization, i.e., the tissue structure appears to determine the phenotype which in turn overrides the cellular genotype.

## Materials and Methods

### Substrates and Antibodies

Commercially prepared EHS matrix (Matrigel, Collaborative Research, Waltham, MA) was used for reconstituted basement membrane assays, and Vitrogen (rat tail collagen 1), ~3 mg/ml (Vitrogen 100, Celtrix Laboratories), was used for thinly coating the surfaces of culture dishes. Antibodies used for biochemical analysis and immunostaining studies were as follows: for immunostaining, immunoblotting, and immunoprecipitation of E-cadherin,  $\alpha$ -catenin, and  $\beta$ -catenin, we used clones 36, 29, and 14, respectively (Transduction Laboratories, Lexington, NY); for immunostaining of type IV collagen we used clone PHM-12 (Biogenex, San Ramon, CA); for immunostaining of  $\beta$ 1- and  $\alpha$ 6-integrins we used clones AIIB2 and J1B5 (C. Damsky); for immunostaining of  $\alpha$ 3-integrin we used clone P1B5; for immunostaining and immunoprecipitation of  $\beta$ 4-integrin we used clone 3E1; for immunoblot analysis of  $\beta$ 1-integrin we used clone DF5; for immunoblot analysis of  $\beta$ 4-integrin we used polyclonal rabbit serum; for immunoprecipitation of  $\beta$ 1-integrin we used polyclonal rabbit serum (all from Chemicon International, Temecula, CA); for immunostaining of Ki-67 we used clone MIB; for immunoblot analysis of cyclin D-1 we used clone 17A6-4; and for immunoblot analysis of p21<sup>cip,waf-1</sup> we used clone EA10 (all from Oncogene Science, Uniondale, NY). Fluorescence and alkaline phosphatase–conjugated, unlabeled, and nonspecific rat and mouse IgG’s were from Jackson Laboratories (West Grove, PA) and HRP-conjugated secondaries were from DAKO (Carpinteria, CA). Antibodies used for integrin function-altering studies within the 3-D reconstituted basement membrane assay were as follows: for  $\beta$ 1-integrin function-inhibition we used clone AIIB2 (C.

Damsky) and clone JB1a (Chemicon International); for  $\beta$ 1-integrin function-stimulating we used clone TS2/16 (a kind gift of M. Hemler); for  $\beta$ 4-integrin function-altering we used clone 3E1 (Chemicon International); and for  $\alpha$ 6-integrin function-blocking we used clone GoH3 (Chemicon International).

## Cell Culture

The HMT-3522 mammary epithelial cells (Briand et al., 1987, 1996) were grown in H14 medium (for further description see Blaschke et al., 1994) consisting of DMEM:F12 medium (GIBCO BRL, St. Louis, MO), containing 250 ng/ml insulin (Boehringer Mannheim, Indianapolis, IN), 10  $\mu$ g/ml transferrin (Sigma, St. Louis, MO), 2.6 ng/ml sodium selenite (Collaborative Research),  $10^{-10}$  M estradiol (Sigma),  $1.4 \times 10^{-6}$  M hydrocortisone (Collaborative Research), and 5  $\mu$ g/ml prolactin (Sigma). The S-1 cells were propagated as monolayers on plastic in the presence of 10 ng/ml epidermal growth factor (EGF; Collaborative Research); the T4-2 cells were grown as monolayers on dishes coated with collagen type I in the absence of EGF. Three dimensional (3D) cultures were prepared by growing S-1 and T4-2 cells to confluence as monolayers, followed by trypsinization and embedment into EHS matrices as single cells ( $8.5 \times 10^5$  cells/ml). Cultures were routinely grown for 10–12 d in serum-free medium as described above.

## Indirect Immunofluorescence and Immunocytochemistry

EHS cultures were fixed in either 2% paraformaldehyde at ambient temperature for 20 min or in 1:1 methanol-acetone at  $-20^{\circ}\text{C}$  for 2–3 min. Specimens were embedded in sucrose, frozen in Tissue-Tek OCT compound (Miles Laboratories, Elkhart, IN), and 5  $\mu$  frozen sections were prepared for immunostaining as described previously (Streuli et al., 1991). Sections were incubated with primary antibodies for 60 min followed directly by either FITC or Texas red–conjugated secondaries (45 min), or by biotinylated secondary antibodies (45 min), and either Texas red–conjugated streptavidin or HRP-conjugated streptavidin (30 min). Nuclei were counterstained with di-amino-phenyl-indole (DAPI, Sigma) or hematoxylin (5 min). Control sections were stained with second antibodies only.

## Morphogenesis Criteria

Quantitative analysis of tumor cell conversion efficiency by  $\beta$ 1-integrin function blocking antibodies was determined by calculating the percentage of colonies converted to a “nontumorigenic morphology.” Percent conversion was determined by directly examining the morphology, uniformity, and size of 2,000–4,000 colonies of S-1, T4-2, and  $\beta$ 1-inhibitory antibody-treated T4-2 cells (T4- $\beta$ 1). Morphology was assessed in situ by examining the degree of colony organization visually by phase contrast microscopy, and by measuring colony diameter using an eyepiece equipped with a micrometer spindle. Colonies were deemed disorganized if they were both irregular in shape and the colony length exceeded the width by greater than twofold. Colony organization was also suggested by a lateral and radially distributed cytoarchitecture, which was visualized by immunostaining for the distribution of actin microfilaments and cytokeratin 18 intermediate filaments, and related to the distribution observed in acini formed by normal mammary epithelial cells. Polarity was indicated by the

presence of a basally organized BM as determined by collagen IV and laminin immunostaining, and by basally immunolocalized  $\alpha 6$ -,  $\beta 4$ -, and  $\beta 1$ -integrins. Morphogenic reversion was scored positive by the deduced presence of adherens junctions as determined by colocalization of E cadherin and  $\alpha$ - and  $\beta$ -catenins at cell–cell contacts.

### **Analysis of Cellular Growth and Apoptosis**

The proliferative rate of cells in 3-D EHS was assessed by measuring the thymidine incorporation index. Cryosections (5  $\mu$ ) of 3D cultures were pulse-labeled for 24 h with [ $^3$ H]TdR (20 Ci/mmol, New England Nuclear Research Products, Dupont, Wilmington, DE), air dried, coated with Kodak NTB2 emulsion, developed, and scored visually (100–400 cells) for radiolabelled nuclei. Indices were calculated by expressing this value as a percentage of the total number of cells scored (Petersen et al., 1992). Cell growth was determined in monolayers or in 5 $\mu$  cryosections after a 12-h incubation with 10  $\mu$ M BrdUrd followed by fixation in 70% ethanol, and staining with anti-BrdUrd using a kit (Boehringer Mannheim), and indices were calculated as above. Proliferative potential of 100–400 cells was assayed by immunostaining and calculating the Ki-67 labeling index in 5  $\mu$  cryosections of 3D EHS cultures. Proliferative status was determined also by counting and immunostaining the number of cells per colony as well as the average colony size. The colony size was measured directly in 3-D cultures by phase contrast microscopy using an eyepiece equipped with a micrometer spindle, which had been previously calibrated with a ruler. Cell number per colony was determined by counting the number of DAPI stained nuclei per colony in cryosections of cells in 3-D. Cell cycle status was determined by immunoblotting equal amounts of total cell protein lysates for cyclin D1 and p21<sup>cip,waf-1</sup> protein levels after separating the proteins by reducing Laemmli gels. Apoptosis was assessed by detection of FITC-labeled 3'OH DNA ends using an in situ apoptosis kit (Boehringer Mannheim) in 5  $\mu$  cryosections (Boudreau et al., 1996). Cells were scored (150–200 cells) for the presence of DNA nicks and positively scored cells for each condition were expressed as a percentage of the total number of cells scored (apoptotic index).

### **Determination of $\alpha$ - and $\beta$ -Catenin-E-Cadherin Adherens Junction Assembly**

For the determination of total E-cadherin,  $\alpha$ -catenin, and  $\beta$ -catenin levels, RIPA lysates (1% NP-40, 0.5% deoxycholate, 0.2% SDS, 150 mM sodium chloride, 50 mM Tris-HCl, pH 7.4 containing 20 mM sodium fluoride, and 1 mM sodium orthovanadate, 10  $\mu$ g/ml leupeptin, 10  $\mu$ g/ml pepstatin, 1 mM Pefabloc [Boehringer Mannheim], 10  $\mu$ g/ml E64, 25  $\mu$ g/ml aprotinin, and 0.5 mM benzamide) were prepared from cells isolated from EHS by PBS/EDTA, separated on reducing Laemmli gels and immunoblotted. For the assessment of the soluble and cytoskeletal-associated E-cadherins,  $\alpha$ -catenins and  $\beta$ -catenins, S-1, and T4-2 colonies were grown for 12 d in 3-D reconstituted basement membranes and colonies were isolated using icecold PBS/EDTA (0.01 M sodium phosphate, 138 mM sodium chloride, 5 mM EDTA), homogenized and fractionated into Triton X-100 soluble and insoluble lysates essentially as described by Nathke et al. (1994). Lysates were separated on Laemmli reducing gels and immunoblotted for adherens junction proteins. Densitometric analysis of scanned enhanced chemiluminescence (ECL) film was used for the estimation and calculation of relative protein levels. For the assessment of the  $\beta$ -catenin-(or  $\alpha$ -catenin)-E-cadherin interaction index, S-1, T4-2, and T4- $\beta 1$  integrin-reverted cells were grown for 12 d in 3-D EHS. RIPA lysates of equal numbers of cells in EHS were prepared

by directly homogenizing in RIPA, and were immunoprecipitated with anti-E-cadherin antibodies. Immunoprecipitants were separated on reducing Laemmli gels and immunoblotted for E-cadherin,  $\alpha$ -catenin, and  $\beta$ -catenin proteins. ECL films were scanned and subjected to densitometric analysis for assessment of the relative protein levels. Interaction index values were calculated by dividing the densitometric value determined from the catenin protein coprecipitated with E-cadherin by the value calculated for the level of E-cadherin protein immunoprecipitated. For the assessment of in situ cytoskeletal-associated and soluble adherens junctions proteins, day 12 cultures of S-1 and T4-2 colonies were frozen (see above) without prior fixation, and fresh 8  $\mu$  cryosections were extracted with fresh CSK buffer (50 mM sodium chloride, 300 mM sucrose, 10 mM Pipes, pH 6.8, 3 mM  $MgCl_2$ , 0.5% Triton X-100, containing a cocktail of protein inhibitors as described above). Sections were extracted for 40 min, fixed in 2% paraformaldehyde for 20 min and immunostained for E-cadherin,  $\alpha$ -catenin, and  $\beta$ -catenin proteins.

### **Determination of Total Levels and Cell Surface Integrin Expression**

For the assessment of total  $\beta$ 1- and  $\beta$ 4-integrin levels, cells were grown in 3D EHS cultures for 10–12 d, and colonies were isolated using ice-cold PBS/EDTA. Colonies were lysed in RIPA and equal amounts of lysate proteins were separated on reducing Laemmli gels and immunoblotted. ECL films were scanned and subjected to densitometric analysis for assessment of the relative protein levels. For the determination of colony surface  $\beta$ 1- and  $\beta$ 4-integrins, cells were grown in 3-D EHS cultures for 10–12 d and colonies were then released from the matrix by 60–90 min incubation at 37°C with dispase (5,000 U/ml caseinolytic activity, Collaborative Research), washed three times in fresh DMEM:F12 medium and once in ice-cold PBS. Released colonies were incubated and rocked at 4°C for 60 min with 1 mg/ml sulfo-NHS-biotin (Pierce, Rockford, IL) in PBS to label the cell surface proteins, washed twice in ice-cold PBS containing 50 mM glycine, and incubated for 10–15 min on ice in PBS/glycine to stop the reaction. Colonies were washed three more times in ice-cold PBS/glycine and lysed in 1% NP-40 lysis buffer also containing 120 mM sodium chloride, 50 mM Tris-HCl and 10 mg/ml leupeptin, 10  $\mu$ g/ml pepstatin, 1 mM Pefabloc (Boehringer Mannheim), 10  $\mu$ g/ml E64, 25  $\mu$ g/ml aprotinin, and 0.5 mM benzamidine. Equal numbers of cells (determined by counting the cells in parallel cultures) or equivalent amounts of extracted proteins, were immunoprecipitated with anti- $\beta$ 1- or  $\beta$ 4-integrin monoclonal antibodies, separated on nonreducing Laemmli gels, and immunoblotted for the presence of biotinylated proteins using HRP-conjugated streptavidin antibody and ECL detection. Irrelevant mouse or rat IgG's served as nonspecific controls. Exposed films were scanned and subjected to densitometric analysis for the determination of intensity differences and relative quantification.

### **Preparation of Fab Fragments**

Rat monoclonal AIIB2 IgG<sub>1</sub>'s were isolated using Affi-Gel Protein A Maps II system (Bio-Rad Labs, Hercules, CA). After purification of rat IgG<sub>1</sub>, the material was put through a 10DG desalting column, concentrated by centrifugation and dialyzed against 100 mM sodium acetate buffer, pH 5.0. Fab fragments were generated after 20 h of papain digestion (20 mU enzyme/5–10  $\mu$ g IgG protein, in 100 mM sodium acetate, pH 5.0, 50 mM cysteine, 1 mM EDTA) and reactions were terminated by addition of iodoacetamide. The presence of Fab fragments was



assayed by Coomassie blue staining of acrylamide gels as well as by Western blotting using secondary antibodies. Completion of the reaction was monitored by the disappearance of high molecular weight intact antibody and the appearance of low molecular weight proteins comigrating with commercial Fab's. Western blotting with anti-[Fab]<sub>2</sub> antibodies verified the absence of the latter fragments in the digest. Intact AIIB2 antibody was efficiently competed out by the Fab preparation, as shown by solution competition and sequential immunostaining of S-1 acini. Once the presence and activity of the Fab's was established, samples were treated with sodium azide and dialyzed into DMEM:F12 before cell culture experiments.

### **Integrin Function-altering Assays**

Antibodies and Fab's and control non-immune mouse and rat IgG's were introduced into the cell-embedded substratum at the time of EHS gelation. For the crude ascites of  $\beta$ 1- and  $\beta$ 4-integrins, we used a range of 25–200  $\mu$ g ascites protein/ml; for purified  $\beta$ 1- and  $\alpha$ 6-integrins and purified mouse or rat IgG's we used 2–25  $\mu$ g/ml, ~10–150 nM. Cultures were scored visually after 12 d. 10–20 random fields were viewed by phase contrast microscopy and the number of normal spheres or tumor-like colonies were counted in each field and related to the behavior of control cultures either without antibodies or in the presence of irrelevant antibodies. To compare the reversion efficiency of various  $\beta$ 1-integrin antibodies and Fab fragments on T4-2 cells, the diameter of 200 S-1 nonmalignant acini, as observed directly by phase contrast microscopy,  $\pm$ SEM was calculated and the ratio of the tumor colony diameter to the nonmalignant S-1 acini diameter  $\pm$ SEM was determined.

### **Reversion Assay**

Equal densities of cells were seeded into 3-D basement membranes and were subjected to either  $\beta$ 1-inhibitory integrin or mock-antibody (nonspecific rat IgG) treatment. After 12 d, cells were photographed, released from their matrices, and propagated as monolayers. They were then recultured within the 3-D basement membrane assay with or without the  $\beta$ 1-integrin inhibitory antibody treatment. These steps were repeated two more times.

### **Tumor Formation In Vivo**

Tumor cells were propagated as monolayers, grown for 3–4 d in a 3-D-reconstituted basement membrane in the absence of antibody, released by dispase (Collaborative Research), washed three times in DMEM:F12, and incubated with 100  $\mu$ g/ml function blocking  $\beta$ 1-integrin antibody (clone AIIB2), mock antibody (nonspecific rat IgG's), or no treatment (control group) for 3 h. Cell colonies were then washed three times in PBS and 1–4  $\times 10^6$  cells were subcutaneously injected into the rear flanks of 4–6-wk-old BalbC nu/nu mice, without further antibody treatment. Nontreated, nonmalignant S-1 cells were also injected into nude mice as negative controls. Statistics were done by "unistat V 4.0 for windows."

### **Experimental Subjects**

In conducting research using animals, the investigators adhered to the "Guide for the Care and Use of Laboratory Animals," prepared by the Committee on Care and Use of Laboratory

Animals of the Institute of Laboratory Animal Resources, National Research Council (NIH publication no. 86-23, revised 1985). In the conduct of research where human specimens were used, the investigators adhered to the policies regarding the protection of human subjects as prescribed by 32 CFR 219 and subparts B, C, and D.

## Results

### **S-1 and T4-2 Cells in a 3-D Basement Membrane Culture Assay Recapitulate the Phenotypic Characteristics of Normal and Malignant Breast Tissue In Vivo**

Only small differences in morphology and growth rates could be observed between S-1 and T4-2 cells on tissue culture plastic (not shown), but profound differences became evident after only 4 d following their culture within a 3-D reconstituted basement membrane. Within 10 d, S-1 cells underwent morphogenesis and formed organized acini reminiscent of those formed by cells from reduction mammoplasty, while T4-2 cells formed large, loosely disorganized invasive colonies of cells similar to primary tumor cells tested in this assay previously (Fig. 1, a and a'; Petersen et al., 1992). In addition, S-1 cells were able to basally deposit and organize a basement membrane, as shown by immunostaining of type IV collagen (Fig. 1 b, and laminin, not shown), thereby demonstrating that the cells were able to form polarized structures. The irregular T4-2 colonies, while staining for basement membrane components had no discernible organized basement membrane (Fig. 1, b and b'). The failure of T4-2 cells to undergo morphogenesis was also indicated by their compromised cell-cell adhesion. This was shown by the absence of lateral E-cadherin immunostaining (Fig. 1, c and c'), an increase in cytoplasmic localization of E-cadherin (cell fractionation and extraction studies, not shown) and reduced interaction of  $\alpha$ - and  $\beta$ -catenins with E-cadherin (co-immunoprecipitation, Fig. 1 d). Nevertheless, the two cell types expressed essentially the same levels of the three cell adhesion proteins (Fig. 1 e) indicating that the malignant conversion was associated with compromised assembly of adherens junctions rather than with down-regulation of these adhesion proteins. Coincident with the formation of acini, S-1 cells became growth arrested and exited the cell cycle, as demonstrated by negligible thymidine incorporation and low immunostaining for Ki-67. Consistent with their loss of structural organization, the tumorigenic T4-2 cells failed to growth arrest by these criteria (Fig. 1, f and g).

### **S-1 and T4-2 Cells Differ in the Localization and Expression of ECM Integrin Receptors**

We have postulated previously that tumor cells have lost their ability to respond correctly to ECM-induced signals (Petersen et al., 1992; Howlett et al., 1995). To determine if the loss of structural organization and growth control exhibited by the tumor cells was related to an alteration in their integrins, we characterized the integrin receptors for laminin in the two cell lines. While both S-1 and T4-2 cells expressed integrins  $\beta$ 1,  $\beta$ 4,  $\alpha$ 3, and  $\alpha$ 6, their distribution patterns were radically different in 3-D cultures (Fig. 2, a and a' through d and d'). S-1 acini had basally distributed  $\beta$ 1-,  $\beta$ 4-, and  $\alpha$ 6-integrins and basolateral  $\alpha$ 3-integrin, consistent with their polarized phenotype. In contrast, all of these integrins were found to be randomly distributed and disorganized in the T4-2 colonies. Western blot analysis of the tumor colonies showed over-expression of both  $\beta$ 1- and  $\beta$ 4-integrins relative to the levels observed in the S-1 acini (Fig. 3, a and b). Cell surface labeling and immunoprecipitation experiments revealed that the surface

levels of  $\beta$ 1-integrins were slightly higher (12.5%) in the T4-2 cells (Fig. 3 c) whereas the surface  $\beta$ 4-integrin levels were much lower (60%) in T4-2 than in S-1 colonies (Fig. 3 d). However, the ratio of  $\beta$ 1- to  $\beta$ 4-integrins at the cell surface was increased by more than 2.8-fold in the T4-2 cell colonies than in the S-1 acini (Fig. 3 e).

### **Function Blocking $\beta$ 1-Integrin Antibodies Cause Dramatic Phenotypic Reversion of the T4-2 Cells**

Since T4-2 cells had both a higher total level and an elevated ratio of cell surface  $\beta$ 1- to  $\beta$ 4-integrins, we wondered whether the aberrant malignant behavior may be a reflection of the changes in these integrins. Accordingly, we examined the consequences of treatment in 3-D with varying concentrations of a previously characterized rat monoclonal  $\beta$ 1-integrin antibody (clone AIIB2) which has been shown to inhibit ligand binding (Werb et al., 1989). This antibody caused massive apoptosis in S-1 cells, as shown previously (Howlett et al., 1995), while T4-2 cells were refractory (Fig. 4). Remarkably however, in addition to resistance to apoptosis, almost all the antibody-treated T4-2 tumor cells assumed a morphology which was indistinguishable from that observed in S-1 cultures and was discernible as early as 4 d after incubation. When examined by light microscopy after 12 d, these cultures appeared as if they had truly reverted to a “nonmalignant” phenotype. We therefore cryosectioned the colonies and examined their morphology by immunofluorescence confocal microscopy. As markers of normal acinar formation, we examined both cytoskeletal organization and superimposition and distribution of cadherins and catenins. Sections of S-1 acini revealed uniform and polarized nuclei (stained with propidium iodide; red), well-organized filamentous actin (FITC phalloidin; green) (Fig. 5 a), and uniformly superimposed E-cadherin and  $\beta$ -catenin at the lateral cell–cell junctions (Fig. 5 b). In contrast, untreated or IgG-treated tumor cells had polymorphic nuclei and a grossly disorganized actin cytoskeleton, visualized as random, hatched bundles (Fig. 5 a’). Additionally, E-cadherin and  $\beta$ -catenin were no longer colocalized (Fig. 5 b’). In contrast,  $\beta$ 1-treated T4-2 cells (referred to as T4- $\beta$ 1) revealed striking rearrangements of cytoarchitecture as demonstrated by their well-organized actin (Fig. 5 a’'), and cytokeratin 18 intermediate filament (not shown) networks. Furthermore, organized adherens junctions became evident in T4- $\beta$ 1 acini (Fig. 5 b’') and were accompanied by the re-establishment of E-cadherin–catenin complexes (not shown). These changes were shown to occur in greater than 95% of the tumor colonies treated with blocking antibody, as quantified by analyzing the numbers of disorganized vs organized spheroids in relation to the S-1 and the mock-treated T4-2 cells (Fig. 5 c). Viability and growth assays conducted on cells grown as monolayers ruled out toxicity (not shown). Interestingly, while treatment with the inhibitory  $\beta$ 1-integrin antibody reduced cell adhesion and retarded the rate of cell proliferation when T4-2 cells were grown in two-dimension, culture in a three-dimensional reconstituted basement membrane was required for complete expression of the reverted phenotype (not shown).

An examination of markers of proliferation and cell cycle status in T4- $\beta$ 1 cells revealed that they were growth arrested as indicated by both a decrease in thymidine incorporation and the size of the acini which was now composed of only 6–8 cells, similar to that observed for S-1 cells (Fig. 5, d and e). This was in marked contrast to the average of 18–22 cells observed for nontreated T4-2 tumor colonies and the high mean number of T4-2 nuclei which incorporated [ $^3$ H]thymidine (Fig. 5, d and e). T4- $\beta$ 1 colonies also had dramatically decreased cyclin D-1

levels (Fig. 5 *f*) again comparable to that seen in S-1 cultures and markedly reduced Ki-67 levels (not shown), suggesting that most reverted cells had exited the cell cycle and therefore had a reduced propensity to proliferate.

Cryosections of T4- $\beta$ 1 colonies incubated with antibodies against either collagen IV (Fig. 5 *g*') or laminin (not shown) revealed deposition of a basally distributed, almost continuous basement membrane, with characteristics similar to that observed in the S-1 acini (Fig. 1 *b*). In contrast, punctuate and inversely polarized collagen IV (Fig. 5 *g*) and laminin immunostaining (not shown) were observed in the mock-treated tumor colonies. Thus, these tumor cells had retained the ability to deposit a basement membrane and to form polarized structures if the correct structural cues could be received.

### **The Inhibitory $\beta$ 1-Integrin Activity Is Necessary for Phenotypic Reversion**

The AIIB2 monoclonal antibody (mAb) recognizes a region connecting the two putative extracellular ligand binding sites of the  $\beta$ 1-integrin receptor, thereby inducing a conformational change consistent with inhibition of receptor activity (Takada and Puzon, 1993). To distinguish between integrin binding per se or a requirement for inhibition of signaling, we examined whether the T4-2 cells would phenotypically revert upon treatment with a nonfunctional (neither activating nor inhibiting) anti- $\beta$ 1-integrin mAb (clone DF5; Korhonen et al., 1991), an alternate inhibitory anti- $\beta$ 1-integrin mAb (clone JB1a or J10; Stupack et al., 1991), and an activating anti- $\beta$ 1-integrin mAb (clone TS2/16; Weitzman et al., 1995), whose epitope was mapped to the same region as the AIIB2 antibody (Takada and Puzon, 1993). Only the  $\beta$ 1-integrin function blocking antibodies were found to be capable of inducing phenotypic reversion of the T4-2 cells (Table I), implying that overexpression or altered signaling through a  $\beta$ 1-integrin-specific pathway is at least in part responsible for the malignant phenotype of these tumor cells. To investigate the possibility that the inhibitory antibodies may have facilitated reversion by inducing clustering of the integrin receptors by divalent mAb-mediated cross-bridging, we purified the rat IgG<sub>1</sub> AIIB2 mAb and made AIIB2 Fab fragments (Miyamoto et al., 1995a,b). Significantly, both the purified AIIB2 mAb and its Fab fragments were capable of inducing the same phenotypic reversion of the T4-2 tumor cells (Table I), indicating that it was the  $\beta$ 1-integrin function at the cell surface that needed to be reduced for reversion to occur, rather than augmentation of integrin signaling by receptor clustering per se.

### **The Reversion Is Phenotypic and Reversible**

To distinguish between a reversible, microenvironmentally induced change as opposed to selection of possible nonmalignant contaminants or genetically altered mutants, we undertook a series of "reversion rescue" studies. Despite two rounds of reversion, rescue, monolayer propagation, and reculturing in 3-D, these cells were able to resume their original tumorigenic phenotypes when cultured in the absence of antibody (Fig. 6).

## **Treatment with $\beta$ 1-Inhibitory Antibody Is Sufficient to Significantly Reduce Malignancy In Vivo**

To find out whether phenotypic reversion of tumor cells would be sufficient to reduce tumorigenicity in vivo, we injected tumor cells treated in suspension with  $\beta$ 1-integrin blocking mAb, mock mAb, or no treatment for 3 h, as well as S-1 cells into nude mice. Within two weeks small nodules were observed in all injected sites including the S-1 controls (not shown). Whereas these nodules regressed rapidly in the S-1 and T4- $\beta$ 1 groups, actively growing tumors were observed in greater than 75–90% of the mock mAb or vehicle-treated T4-2 mice. Upon sacrifice we observed both a significantly reduced tumor number and tumor size in the T4- $\beta$ 1 group (Table II). These data suggest that “normalization” of the tumor cell phenotype in culture has a counterpart in vivo where the malignant potential is reduced or lost.

## **Malignant Conversion Is Associated with Alterations in $\alpha$ 6- and $\beta$ 4-Integrins**

The ability of T4- $\beta$ 1 cells to re-form a basally organized basement membrane (Fig. 5 g') indicated the re-establishment of acinar polarity. Polarity has been shown to be associated with a basal localization of  $\alpha$ 6/ $\beta$ 4-integrin heterodimers in keratinocytes (DeLuca et al., 1994). T4- $\beta$ 1 reverted acini had polar, basally localized  $\alpha$ 6- and  $\beta$ 4-integrins (compare Fig. 7, a–7 a'), increased cell surface  $\beta$ 4-integrin heterodimers (Fig. 7 b), and higher p21<sup>cip,waf-1</sup> levels (Fig. 7 c). These findings showed that the  $\beta$ 1-integrin signaling pathway was not only interconnected with catenin-E-cadherin adherens junction assembly, but was also connected to the  $\alpha$ 6/ $\beta$ 4-integrin signaling pathway. We therefore examined whether an alteration of  $\alpha$ 6/ $\beta$ 4 signaling in the nonmalignant cells might lead to abrogation of growth control, disruption of morphogenesis and loss of polarity. We used clone 3E1 (Hessle et al., 1984), a  $\beta$ 4-integrin mAb that was shown to influence the function of colon adenoma and prostatic carcinoma cells (Jewell et al., 1995), and a protocol similar to that described for the  $\beta$ 1-integrin inhibitory antibody studies. Treatment of S-1 cells with this antibody led to the abrogation of normal morphogenesis and loss of growth control. Thus,  $\beta$ 4-integrin mAb-treated S-1 cells formed disorganized, large structures similar to T4-2 colonies (Fig. 7 e vs 7 d'). Interestingly the treatment had little or no effect on T4-2 colonies (not shown). The heterodimer partner for  $\beta$ 4 is the  $\alpha$ 6-integrin (Sonnenberg et al., 1988a). Treatment of nonmalignant cells with GoH3 (Sonnenberg et al., 1988b), an  $\alpha$ 6-integrin function-blocking antibody, led to similar results (not shown). These findings suggest that the  $\alpha$ 6/ $\beta$ 4 pathway not only influences morphogenesis but is also involved in growth regulation in nonmalignant MEC's. Furthermore, these results support the notion that the tumorigenic conversion of the HMT-3522 cells may be associated with an alteration in the  $\alpha$ 6/ $\beta$ 4 signaling pathway. If this were so, then treatment of T4- $\alpha$ 1 acini with  $\beta$ 4 function-altering antibodies (or simultaneous treatment with  $\beta$ 1 and  $\beta$ 4 function-altering antibodies) should not alter the T4- $\beta$ 1 reverted phenotype. This in fact was found to be the case (not shown).

## **Discussion**

The use of a 3-D BM assay and two related human MEC lines, one phenotypically “normal” and the other malignant, have allowed us to examine the fundamental role of integrins and their intimate relationship to adherens junctions in the regulation of growth and tissue morphogenesis.

The results described in this paper demonstrate not only that misregulation of integrins may play a causal role in the expression of malignancy in human epithelial breast cells, but also that manipulations from the cell surface (such as modification of the transmembrane signaling receptors), can restore tissue form and function and reduce tumorigenicity *in vivo*. Thus, analogous to the effects following retinoid treatment of leukemias, cell surface ECM-receptor manipulations may offer a plausible alternative therapeutic modality for solid epithelial tumors.

Our results showed that the T4-2 tumorigenic cells have increased  $\beta$ 1-integrin expression and cell surface levels which are associated with loss of growth control and perturbed morphogenesis. Moreover, a reduction of  $\beta$ 1- cell surface integrin activity was found to be sufficient to revert this tumor phenotype. These observations are consistent with the reciprocal relationship shown to exist between proliferation and differentiation and changes in levels of  $\beta$ 1-integrins in keratinocyte cells (Jones et al., 1995), and the anchorage-free growth described for kidney cells overexpressing a downstream integrin signaling protein (Frisch et al., 1996). Overexpression and perturbed signaling through  $\beta$ 1-integrin heterodimers have previously been reported in primary and metastatic cancers of the breast, colon, skin, and prostate (Cress et al., 1995; Fujita et al., 1995; Leppa et al., 1995; Mortarini et al., 1995; Shaw et al., 1996). Enhanced  $\beta$ 1-integrin expression was also shown to be associated with increased tumor aggressiveness, invasiveness, and metastatic potential, and was found to correlate with decreased patient survival (Friedrichs et al., 1995). However, a direct effect of the altered integrin expression on the tumor phenotype was not demonstrated in these studies, although our results may offer a plausible explanation for this relationship. Furthermore, the reported inhibition of invasion and metastasis of bladder and gastric carcinoma cells, by inhibitory  $\beta$ 1-integrin antibodies or Arg-Gly-Asp peptides may be by a mechanism similar to that described in this paper (Saiki et al., 1990; Fujita et al., 1992).

Despite dramatic differences in morphology, both the nonmalignant and tumorigenic HMT-3522 cell lines expressed comparable levels of cell–cell adhesion proteins, but the ability to form cytoskeletal-associated adherens complexes was found to be compromised in the T4-2 cells. This is a condition which has been shown to characterize mammary carcinoma *in situ* and has been described for several aggressive metastatic breast cancers *in vivo* and cell lines in culture (Takeichi, 1993; Birchmeier and Behrens, 1994; Sommers, 1996). By inhibiting tumor cell  $\beta$ 1-integrins we were able to rescue adherens junction assembly and revert the tumor phenotype of the T4-2 cells. These results are in agreement with the metastasis-suppressor/epithelial-promoting role of enhanced cell–cell interactions previously shown in metastatic MB-435S/1 breast cells (Frixen et al., 1991) and in HeLa cells (Doyle et al., 1995) transfected with cell adhesion molecules. These observations also emphasize the plasticity of cell–cell junction perturbations, and establish a definite link between integrin signaling, adherens junction assembly and the coordinated formation of a differentiated tissue structure, as has been previously implied by studies in keratinocytes and kidney cells (Hodivala et al., 1994; Ojakian and Schwimmer, 1994; Schoenenberger et al., 1994; Braga et al., 1995). While our findings suggest an integrated mechanism, the key intermediates still need to be identified, although perturbed adherens junction assembly can be restored by kinase inhibitors suggesting downstream signal transduction molecules are involved (Kinch et al., 1995). The possibility that cytoskeletal proteins such as fascin, a new  $\beta$ -catenin–binding protein which competes against junction assembly, and is thought to interact with the actin cytoskeleton to promote filament

bundling and enhance cell motility, may play a role is intriguing and is under investigation (Tao et al., 1996). Also, whether a manipulation of the catenin-E-cadherin pathway would reciprocally affect integrins, or whether direct restoration of adherens assembly would be sufficient to lead to phenotypic reversion and inhibition of tumor formation in this system remain important questions.

Polarity is associated with a basal localization of  $\alpha 6/\beta 4$ -integrin heterodimers in keratinocytes (DeLuca 1994), which has been shown to direct intermediate filament organization (Spinardi et al., 1993; Guo et al., 1995), while its activity correlates with an increase in the level of p21<sup>cip,waf-1</sup> (Clarke et al., 1995), a gene important in growth arrest (Harper et al., 1993). Our data showing reorganized intermediate filaments, increased levels of p21<sup>cip,waf-1</sup> and polarized  $\alpha 6$ - and  $\beta 4$ -integrins in T4- $\beta 1$  acini, are consistent with these findings and emphasize the existence of coordinate  $\beta 1$ - $\beta 4$ -integrin pathway interactions. Since we showed that  $\alpha 6$ - and  $\beta 4$ -integrin perturbing antibodies were able to disrupt normal MEC cell morphogenesis, this also strongly implicates  $\alpha 6/\beta 4$ -integrins in establishing mammary gland morphogenesis and tissue organization, as has been previously reported for kidney cells (Sorokin et al., 1990; Matter and Laurie, 1994) and in  $\beta 4$ -integrin knockout mice (Dowling et al., 1996). How these interactions occur has yet to be determined although a role for actin and intermediate filament-associated proteins, interacting with the  $\beta 4$ -integrin cytoplasmic tail, such as that described for neural BPAG1 should be considered (Yang et al., 1996). Finally, because we were unable to perturb the morphogenesis induced by  $\beta 1$ -integrin inhibition in the T4- $\beta 1$  reverted acini either by sequential or simultaneous incubation with function-altering  $\beta 4$ -integrin antibodies, this indicates to us that a genetic defect in a facet of  $\alpha 6/\beta 4$ -integrin signaling pathway may indeed be responsible for the observed loss of growth control and tissue organization observed in the T4-2 cells. Further experiments will be required to clarify the validity of this argument.

We used a well studied parameter of cell cycle progression, cyclin D1, and of quiescence, p21<sup>cip,waf-1</sup>, in addition to standard DNA replication and Ki-67 assays, to determine how the reverted tumor cells compared to functionally normal acini. By all these criteria, T4- $\beta 1$  revertant colonies behaved like growth-arrested S-1 acini. It was also clear from these studies that the formation of a BM accompanied the cessation of growth, confirming our previous findings of an inverse relationship between the presence of a BM and proliferation (Petersen et al., 1992; Howlett et al., 1994; Desprez et al., 1995). It is therefore plausible that the repression of tumorigenicity in vivo, as documented in these studies may have been directly related to the observed growth arrest in culture. Thus, it is reasonable to postulate that it is the balance of signals transduced by the  $\beta 1$ - and  $\beta 4$ -integrin receptors (or the corresponding  $\alpha$ -integrin subunits) that allows these breast cells to sense their microenvironment appropriately and drive tissue organization and function. Therefore, integrins must function in concert with growth factor signaling pathways to determine the decision to grow or to undergo morphogenesis. Very recently, Sastry et al. (1996) overexpressed chimeric  $\alpha 5$ - and  $\alpha 6/\beta 1$ -integrins in quail muscle cells and concluded that it is the cytoplasmic domain of these integrins which determines proliferation or growth arrest. Clearly then both direct and indirect evidence in the literature points to the fact that integrins, much like other biological parameters, are cell and tissue context specific. While the detailed molecular mechanism of  $\beta 1$ -integrin signaling in breast cells remains to be elucidated, it is safe to conclude that a change in the surface-associated  $\beta 1$ -integrin sets into motion global changes in growth and higher order tissue organization. The model system

described here offers the opportunity to elucidate how  $\beta 1$ - and  $\alpha 6/\beta 4$ -integrin heterodimers, catenin-cadherin adherens junction proteins, and growth factor pathways communicate within and between epithelial cells in the 3-D tissue.

The demonstrated plasticity of T4-2 cells, their ability to revert to a near normal phenotype and remain quiescent for up to one month in culture, as well as their reduced tumorigenicity in vivo is reminiscent of the “reversion” of mouse teratocarcinoma cells by a normal embryonic microenvironment. In these seminal experiments, embryonal carcinoma cells which were fused with normal blastocysts were able to give rise to phenotypically normal, genetically mosaic mice (Mintz and Illmensee, 1975; for review see Mintz and Fleischman, 1981). While the conclusion of these studies was that the teratocarcinoma cells did not possess cancer-associated genetic defects, we show in our system that despite the presence of genetic defects they could be restrained by the normal tissue structure. A similar dominant, tumor suppressor role of the embryonic microenvironment was shown by our previous work in which active pp60<sup>src</sup> constructs attached to a lacZ gene, packaged in a replication-defective virus, when injected into stage 24 chick embryos appeared as apparently normal blue cells in a well formed background of feathers and tissues (Stoker et al., 1990). Significantly, once these cells were removed from the embryos, trypsinized and placed in culture, they became rapidly transformed (Boudreau et al., 1995a). These findings may also provide a possible mechanistic explanation for the poorly understood phenomenon of both spontaneous breast tumor reversion, tumor cell dormancy, and the sporadic occurrence of an auxiliary nodal metastasis in which a true ductal carcinoma in situ (DCIS), complete with a central necrosis and a surrounding intact basement membrane is recapitulated (Barsky et al., 1994).

In conclusion, our results show that changes in tissue structure are critical for the expression of the malignant phenotype and that ECM receptors are important modulators of this effect. Furthermore, these data underscore the concept that it is the relative distribution and proportion of cell surface integrins (undoubtedly in cooperation with other receptors at the cell surface) and their integrated down-stream events which maintain the structural homeostasis of breast and possibly other epithelial tissues. Therefore, tumor cells need not have lost their ability to respond to ECM-generated signals, but rather alterations in the level of the integrated signals can lead to aberrant behavior. Our results may also have a bearing on why some tumor cells retain strong cell-ECM interactions: integrin switching may promote enhanced survival in alternate microenvironments encouraging metastasis.

Most fundamentally, these studies show that despite a number of prominent mutations, amplifications, and deletions, signaling events which are linked to the maintenance of normal tissue architecture are sufficient to abrogate malignancy and to repress the tumor phenotype. It is thus fair to state that cellular and tissue architecture act as the most dominant tumor-suppressor of all, and that the phenotype can—and does—override the genotype as long as the tissue architecture is maintained. These results may explain why breast cancer takes so long to develop even in individuals with inherited susceptibility. Finally, these studies should further aid in the development of therapeutic peptides and antibodies for the treatment of breast and other solid tissue malignancies.



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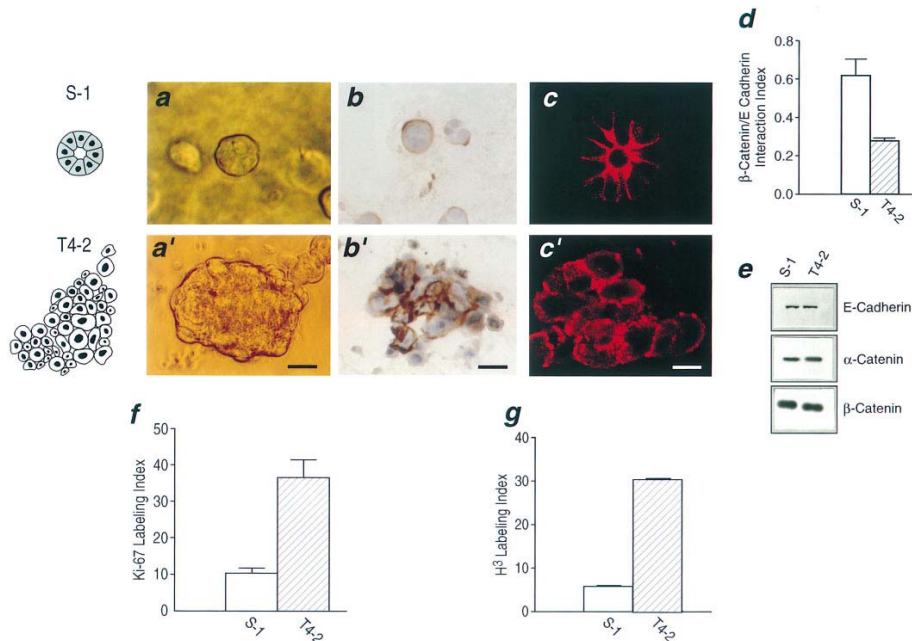
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## Figures and Tables

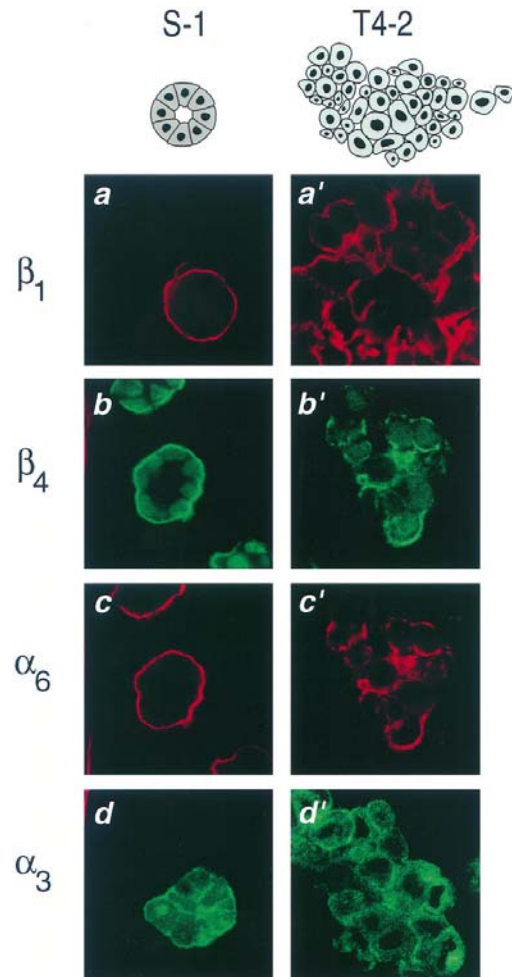
FIGURE 1



Characterization of the HMT-3522 human breast cancer model. (*a* and *a'*) Phase contrast micrographs of nonmalignant S-1 cell colonies (*a*) and tumorigenic T4-2 cell colonies (*a'*) viewed directly inside EHS for morphology: S-1 cells formed spherical structures reminiscent of true acini (*a*), whereas T4-2 cells formed large irregular colonies (*a'*). (*b* and *b'*) Immunostaining for basement membrane components: S-1 acini (*b*) stained for basement membrane proteins at the cell-ECM junctions as expected, while basement membrane deposition in tumor colonies (*b'*) was clearly disorganized and no longer polarized. Comparable results were obtained for laminin (not shown). (*c* and *c'*) Confocal fluorescence microscopy of cryosectioned colonies immunostained for E-cadherin: S-1 acini (*c*) stained for E-cadherin primarily at the cell-cell junctions as is typically observed in normal breast sections. The T4-2 colonies (*c'*) showed punctate, dispersed membrane and intracellular staining. (*d*) The  $\beta$ -catenin-E-cadherin interaction ratio as a measure of adherens junction assembly: There was a 50% decrease in  $\beta$ -catenin protein coprecipitating with E-cadherin in T4-2 cells as compared to S-1 acini. Data are expressed as a ratio of  $\beta$ -catenin to E-cadherin densitometric measurements from duplicates of two separate experiments. Similar results were observed for  $\alpha$ -catenin (not shown). (*e*) Immunoblots of total levels of E-cadherin,  $\alpha$ -catenin, and  $\beta$ -catenin: There were comparable levels of all three adherens proteins in S-1 acini and T4-2 colonies. (*f* and *g*) Percent of thymidine and Ki-67 labeling in S-1 acini and T4-2 colonies, expressed as thymidine and Ki-67 labeling indices from 3–4 separate experiments: Greater than 90% of the S-1 acini were growth arrested (*f*), and had exited the cell cycle (*g*) whereas T4-2 colonies were still actively growing (*f*) and continued to cycle (*g*). All cultures were analyzed after 10–12 d inside EHS. Bars: (*a* and *a'*) 16 mm; (*b* and *b'*) 25 mm; (*c* and *c'*) 8  $\mu$ m.

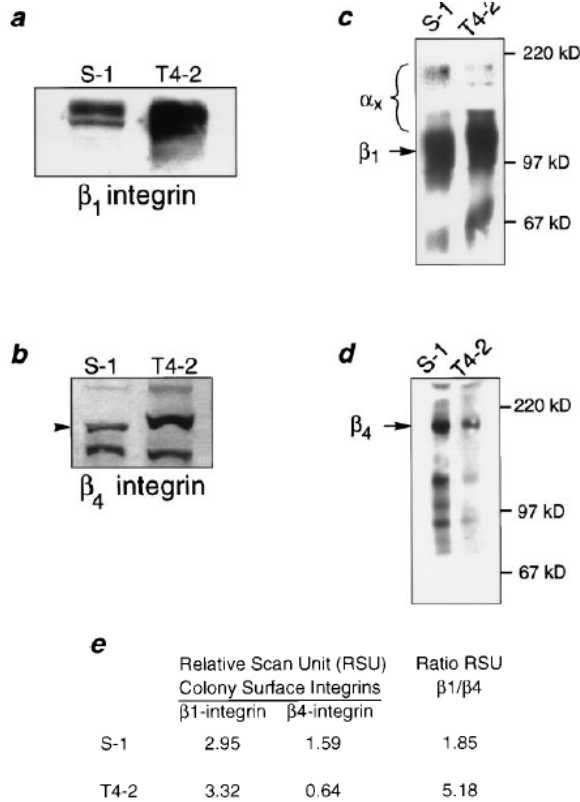


FIGURE 2



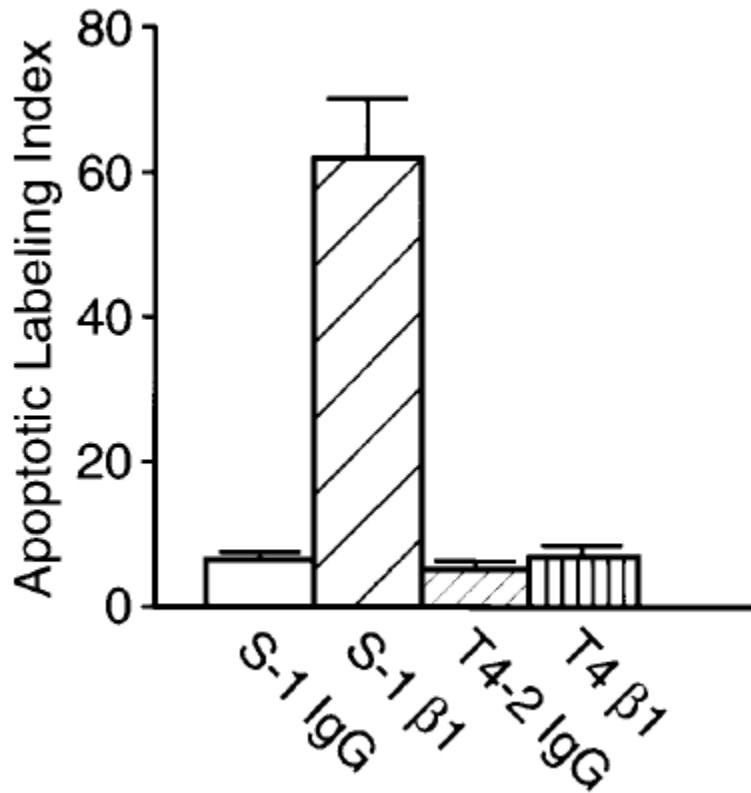
Immunofluorescence characterization of integrins in the HMT-3522 cells in 3-dimensional cultures. (*a-d*) Cryosections of S-1 acini and (*a'-d'*) T4-2 colonies, immunostained and examined by confocal fluorescence microscopy for localization of  $\beta_1$ - (*a* and *a'*),  $\beta_4$ - (*b* and *b'*),  $\alpha_6$ - (*c* and *c'*), and  $\alpha_3$ -integrin (*d* and *d'*) localization:  $\beta_1$ -,  $\beta_4$ -, and  $\alpha_6$ -integrins were targeted to the cell-ECM junction in the S-1 acini (*a-c*), in contrast, in T4-2 colonies (*a'-c'*) this polarized-basal distribution was lost. S-1 acini exhibited basolateral  $\alpha_3$  integrins (*d*), whereas T4-2 colonies (*d*) demonstrated disorganized plasma membrane and cytosolic expression of this integrin. All cultures were analyzed after 10–12 d inside EHS. Bars: (*a-d* and *a'-d'*) 16  $\mu\text{m}$ .

FIGURE 3



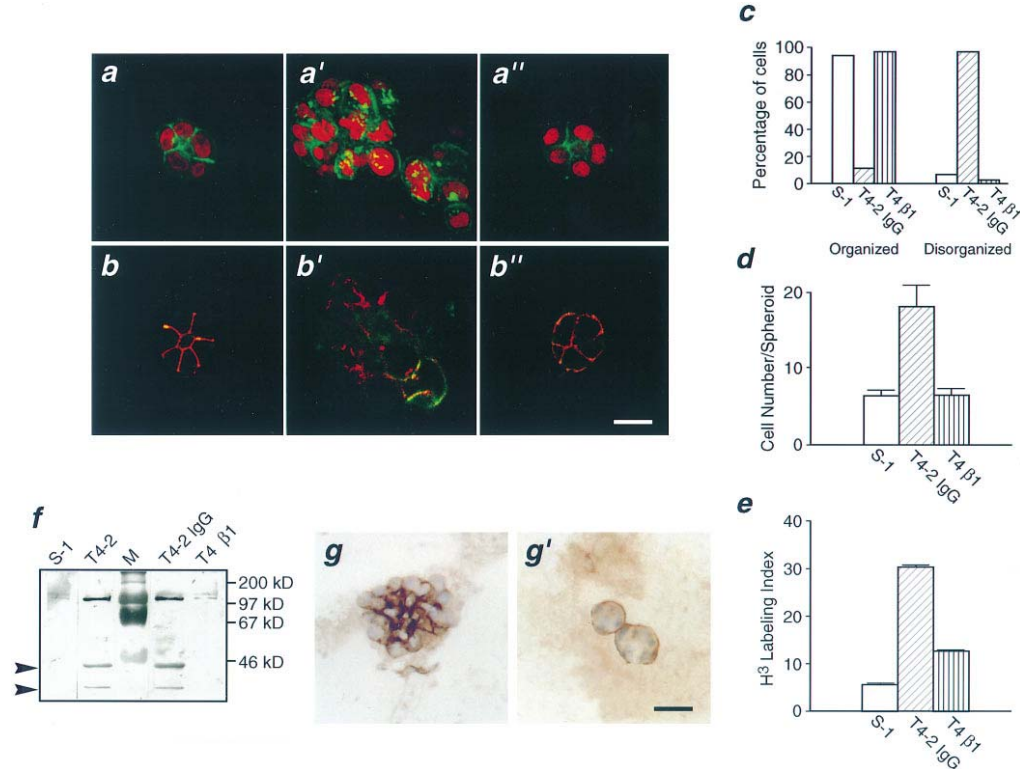
Biochemical characterization of integrins in the HMT-3522 cells after culture in 3-dimensions. (a and b) Western analysis of  $\beta_1$ - and  $\beta_4$ -integrins: Total cell lysates of S-1 and T4-2 colonies showed elevated expression of  $\beta_1$ - (a) and  $\beta_4$ -integrins (b) in the tumor cell colonies. (c and d) Cell surface expression of  $\beta_1$ - and  $\beta_4$ -integrin heterodimers using biotinylation: Tumor colonies had 12.5% higher plasma membrane levels of  $\beta_1$ - (c) but 60% lower levels of  $\beta_4$ -integrin heterodimers (d) than S-1 acini. (e) Relative scan units (RSU) showing relative colony surface levels of  $\beta_1$ - and  $\beta_4$ -integrin heterodimers: There was a 2.8-fold relative increase in the ratio of  $\beta_1$ -integrins to  $\beta_4$ -integrin on the colony cell surface of T4-2 colonies as compared to the S-1 acini. All cells were analyzed after 10–12 d inside EHS.

FIGURE 4



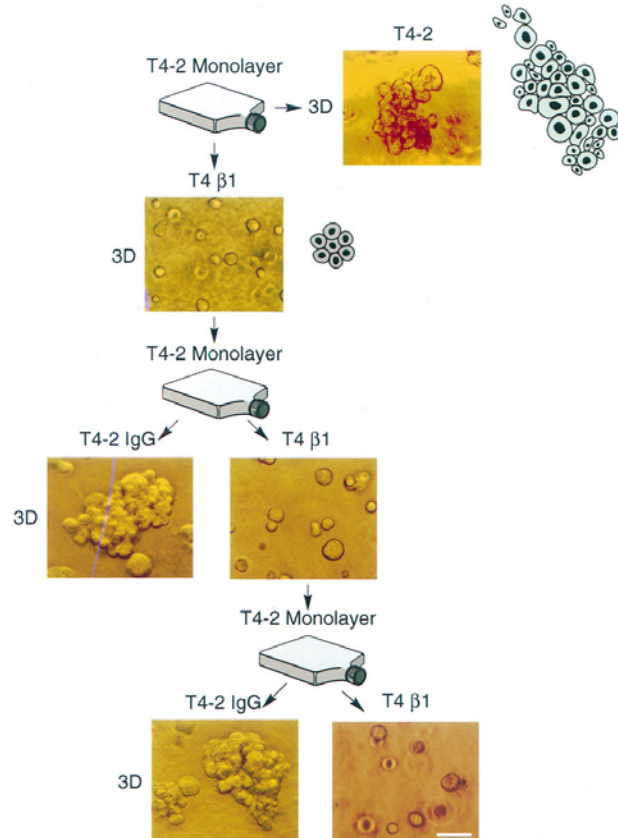
Apoptosis induction in HMT-3522 cells by inhibitory  $\beta$ 1-integrin function blocking antibodies. Apoptotic labeling indices calculated for S-1 and T4-2 cultures treated with inhibitory  $\beta$ 1-integrin antibodies for 4 d in 3-dimensional cultures: Greater than 70% of S-1 cells were induced to undergo apoptosis within 4 d of incubation with  $\beta$ 1-integrin function blocking antibody (S-1  $\beta$ 1) while the level of basal apoptosis was less than 5% in the isotype controls (S-1 IgG). In contrast T4-2 cells similarly treated (T4  $\beta$ 1) were refractory and had an apoptosis rate comparable to basal levels (T4-2 IgG). Results are the mean and SE of 3–6 separate experiments of duplicates.

FIGURE 5



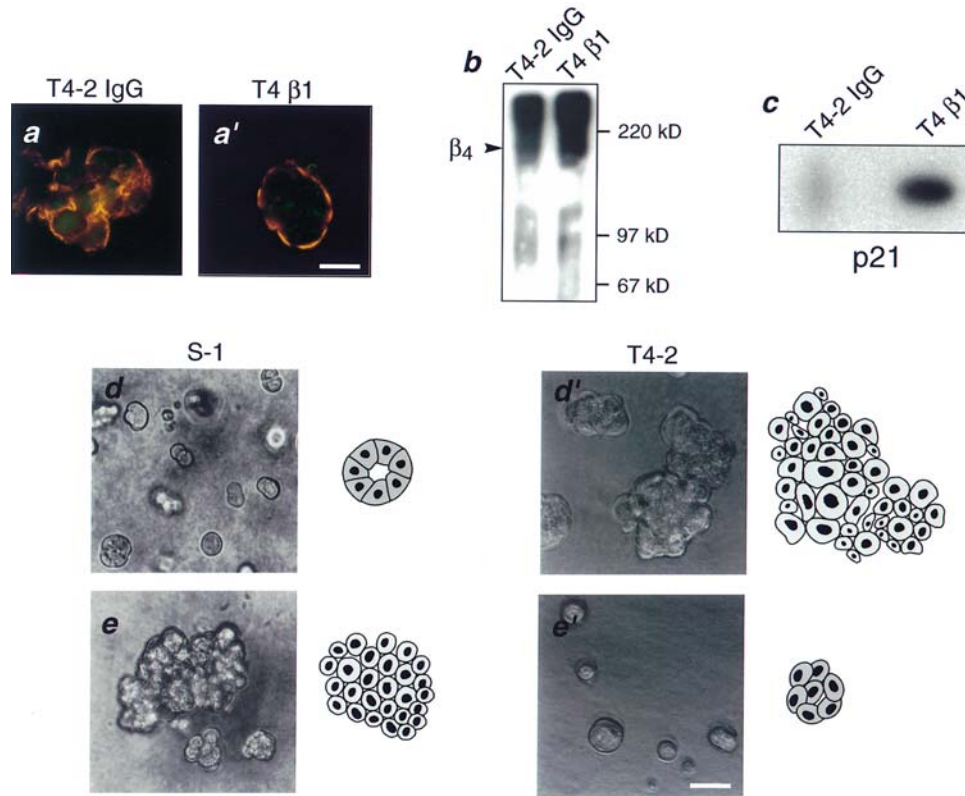
$\beta$ 1-inhibitory antibody treatment of tumor cells leads to the formation of reverted acini. (*a-a''*) Confocal fluorescence microscopy images of F-actin: Both the S-1 (*a*) and T4- $\beta$ 1 reverted acini (*a''*) showed basally localized nuclei (propidium iodide) and organized filamentous F-actin (FITC), while T4-2 mock-treated colonies (T4-2 IgG) had disorganized, hatched bundles of actin and pleiomorphic nuclei (*a'*). (*b-b''*) Confocal immunofluorescence microscopy images of E-cadherin (FITC) and  $\beta$ -catenin (Texas red): In S-1 (*b*) and T4- $\beta$ 1 reverted acini (*b''*), E-cadherin and  $\beta$ -catenins were colocalized and superimposed at the cell-cell junctions. (*c*) Quantitative analysis of tumor cell conversion efficiency by  $\beta$ 1-integrin function blocking antibodies: Greater than 95% of S-1 and T4- $\beta$ 1 colonies were scored as organized, while 97% of T4-2 IgG colonies were considered disorganized. Other reversion criteria, such as scoring for actin and cadherin organization also yielded comparable results (not shown). (*d*) Cell number per colony in S-1 acini, T4-2 IgG colonies and T4- $\beta$ 1 acini from 3–5 experiments: Both S-1 and T4- $\beta$ 1-revertant acini contained 6–8 cells, while T4-2 IgG tumor colonies contained 18–22 cells when scored after 10–12 d (*d*). (*e*) Percent of thymidine-labeled cells in S-1, T4-2 IgG, and T4- $\beta$ 1 colonies: While a high percentage of T4-2 IgG colonies (greater than 30%) were still actively growing, the T4-2  $\beta$ 1 revertant acini had a greatly reduced growth rate similar to that observed in the S-1 acini. (*f*) Immunoblot of cyclin D1 levels in S-1, T4-2, T4-2 IgG, and T4- $\beta$ 1 colonies: The level of D1 cyclin was clearly decreased to the level of S-1 acini after  $\beta$ 1 inhibitory antibody treatment. (*g* and *g'*) Collagen IV deposition as an indicator of basement membrane organization: T4- $\beta$ 1 reverted acini (*g'*) deposited an organized collagen IV-containing BM at the cell-ECM junctions, similar to that observed in S-1 acini (see Fig. 1 *b*). Note the contrast with T4-2 mock-treated tumor colonies (*g*). (Comparable results were obtained for laminin, not shown.) All cultures were analyzed after 10–12 d inside EHS. Bars: (*a-a''* and *b-b''*) 16  $\mu$ m; (*g* and *g'*) 25  $\mu$ m.

FIGURE 6



Phenotypic reversion as opposed to selection. Phase contrast micrographs of T4-2 cells grown in the presence of anti- $\beta 1$ -integrin function blocking antibody (T4  $\beta 1$ ), mock antibody (nonspecific IgG's) (T4-2 IgG) or no antibodies (T4-2) viewed directly inside EHS: Despite two rounds of treatment, these antibody reverted cells were able to resume their original tumorigenic phenotypes when cultured in the absence of antibody. All cultures were analyzed after 10–12 d inside EHS. Bar, 50  $\mu\text{m}$ .

FIGURE 7



Alteration of  $\alpha 6 / \beta 4$ -integrin signaling in S-1 cells leads to the formation of disorganized colonies. (*a* and *a'*) Confocal fluorescence microscopy of double immunostaining for  $\alpha 6$ - (Texas red) and  $\beta 4$ -integrins (FITC): T4- $\beta 1$  revertant acini (*a'*) showed basally polarized  $\alpha 6$ - and  $\beta 4$ -integrins, while T4-2 mock-treated (T-4 IgG) colonies (*a*) demonstrated disorganized, nonpolarized expression. (*b*) Cell surface expression of  $\beta 4$ -integrin heterodimers using biotinylation: Tumor colonies had lower cell surface levels of  $\beta 4$ -integrin heterodimers (60% lower) relative to the S-1 acini (see Fig. 3 *d*), which were restored in the T4- $\beta 1$  reverted acini (40% higher) relative to the T4-2 colonies. (*c*) Immunoblot of p21<sup>cip,waf-1</sup> levels in T4-2 IgG and T4- $\beta 1$  colonies: The level of p21 protein was clearly increased in the T4- $\beta 1$  reverted acini. (*d* and *d'*) Phase contrast micrographs of S-1 nonmalignant acini (*d*) and tumorigenic T4-2 colonies (*d'*) viewed directly inside EHS: S-1 cells formed spherical structures (*d*), whereas T4-2 cells formed large, irregular colonies (*d'*). (*e* and *e'*) Phase contrast micrographs of S-1 nonmalignant cells treated with function altering  $\beta 4$ -integrin antibodies (*e*) and tumorigenic T4-2 cells treated with function blocking  $\beta 1$ -integrin antibodies (*e'*) viewed directly inside EHS: S-1 cells treated with  $\beta 4$ -integrin antibodies formed large, irregular colonies (*e*), while T4-2 cells treated with  $\beta 1$ -integrin function-blocking antibody (*e'*) formed spherical structures similar to S-1 acini (see Fig. 1 *a*). All cultures were analyzed after 10–12 d inside EHS. Bar, 16  $\mu$ m.

TABLE 1

*Table 1. Comparison of Various  $\beta$ 1-Integrin Antibody Treatments on Colony Size in 3-Dimensional Culture*

Description	Mean colony size $\mu$ m	Relative size ratio of T4-2/S-1 colonies*
S-1 50 cells	24.5 $\pm$ 0.7	N/A
Control T4-2 cells (nonspecific rat IgG's) <sup>‡</sup>	74.3 $\pm$ 2.4	3.03 $\pm$ 0.03
Inhibitory $\beta$ <sub>1</sub> -antibody-treated cells, clone AIIB2 crude ascites	24.1 $\pm$ 1.0	0.98 $\pm$ 0.10
Inhibitory $\beta$ <sub>1</sub> -antibody-treated cells, clone AIIB2 purified IgG <sub>1</sub>	28.2 $\pm$ 1.1	1.15 $\pm$ 0.04
Inhibitory $\beta$ <sub>1</sub> -antibody-treated cells, clone AIIB2 Fab fragments	24.5 $\pm$ 1.4	1.04 $\pm$ 0.04
Inhibitory $\beta$ <sub>1</sub> -antibody-treated cells, clone JB1a crude ascites (alternate inhibitory mAb)	32.3 $\pm$ 1.4	1.32 $\pm$ 0.06
Activating $\beta$ <sub>1</sub> -antibody-treated cells, clone TS2/16 crude ascites (stimulatory mAb)	61.7 $\pm$ 3.3	2.52 $\pm$ 0.13

\*The diameter of 200 S-1 acini as observed directly by phase contrast microscopy, were measured after 12 d in 3-D culture using an eyepiece equipped with a micrometer spindle. The same measurements were made on 100–400 T4-2 colonies in the presence of 100  $\mu$ g antibody ascites protein or 25  $\mu$ g purified IgG/ml EHS matrix of various  $\beta$ 1-integrin antibodies (listed above). The average measurements of each of these groups  $\pm$  SEM were calculated and the ratio of T4-2/S-1 diameters  $\pm$  SEM were determined.

<sup>‡</sup>Comparable results were also obtained with nonfunction altering antibodies  $\alpha$ 6- (clone-J1B5) and  $\beta$ 1-integrin (clone DF5), which are not shown.

TABLE 2

*Table II. Effect of  $\beta$ 1-Inhibitory Antibody Induced Phenotype Reversion on Tumorigenicity In Vivo*

Treatment description	Total number of tumors per group	Tumor size		
		Large tumors <sup>*</sup>	Small tumors <sup>‡</sup>	Mice with no tumors
Control nontreated				
T4-2 cells	15/16	14/16	1/16	1/16
Non-immune rat IgG-treated				
T4-2 cells	14/16	11/16	3/16	2/16
$\beta$ 1-integrin function blocking antibody-treated T4-2 cells	7/16	5/16 <sup>§</sup>	2/16	9/16 <sup>§</sup>

\*tumors  $>5 <300 \text{ mm}^3$ .

<sup>‡</sup>tumors  $<5 \text{ mm}^3$ .

<sup>§</sup> $P < 0.005$  by  $\chi^2$  test.