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Ablative therapies for hepatic and biliary tumors: endohepatology coming of age

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Abstract: Ablative therapies refer to minimally invasive procedures performed to destroy abnormal tissue that may arise with many conditions, and can be achieved clinically using chemical, thermal, and other techniques. In this review article, we explore the different ablative therapies used in the management of hepatic and biliary malignancies, namely hepatocellular carcinoma (HCC) and cholangiocarcinoma (CCA), with a particular focus on radiofrequency ablation (RFA) and photodynamic therapy (PDT) techniques.

Keywords: Ablative therapies; radiofrequency ablation (RFA); photodynamic therapy (PDT); cholangiocarcinoma (CCA); hepatocellular carcinoma (HCC)

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Introduction

Ablative therapies refer to minimally invasive procedures performed to destroy abnormal tissue that may arise with many conditions. Ablation can be achieved clinically using chemical, thermal, and other techniques. Chemical ablation is usually performed using ethanol or 5% acetic acid. It causes tissue ischemia by inducing coagulation necrosis, and is usually a simple and low-cost procedure; however, has relatively high recurrence rates, which limits its use to smaller lesions. Chemical ablation has been described mainly for hepatocellular carcinoma (HCC) and neuroendocrine tumors. Thermal ablation can be achieved using cryotherapy or heat in the forms of radiofrequency [radiofrequency ablation (RFA)], photodynamic therapy (PDT), microwave [microwave ablation (MWA)] or laser. Thermal ablation has been used for numerous lesions, including benign hepatic masses, Barrett's esophagus, cardiac arrhythmogenic foci, uterine fibroids, breast, kidney, pancreas, biliary, liver, and bone malignancies, and sarcomas, among other abnormal tissues (1-3). These respective thermal therapy techniques utilize various forms of thermal for curative and/or palliative treatment of primary and secondary hepatobiliary malignancies and nonmalignant lesions. Irreversible electroporation (IRE) is a nonthermal ablative technology that applies a high voltage electrical current across the tumor inducing cellular death. IRE is used mainly for hepatic metastasis and HCC, though it can also be used for pancreatic and other tumors (1,4).

In this review article, we explore the different ablative

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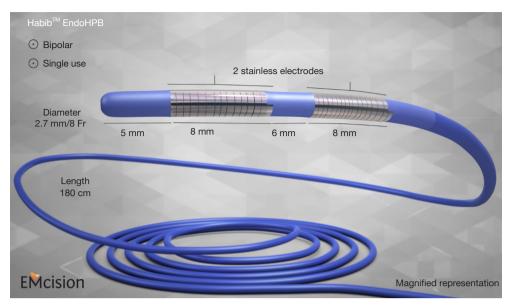


Figure 1 Habib ERCP probe: single use 180 cm length, 8 Fr (2.6 mm) diameter, fits over 0.035" guidewire (picture used with permission from Boston Scientific, MA, USA). ERCP, endoscopic retrograde cholangiography.

therapies used in the management of hepatic and biliary malignancies, namely HCC and cholangiocarcinoma (CCA), with a particular focus on RFA and PDT techniques.

RFA procedure

RFA employs high-frequency alternating current, which initiates temperatures ranging from 60-100 °C, triggering frictional heating, resulting in a change in direction of ionic flow within the tissue, with heat conduction homogenously in all directions, to destroy solid tumor tissue. Three types of electrodes can be used: multitined expandable, internally cooled, and perfusion electrodes. RFA is a feasible and overall safe procedure that can provide local tumor control in patients with unresectable hepatobiliary tumors and/ or can be performed intra-operatively as an adjuvant to surgery. RFA is also used to treat tumor ingrowth in patients with uncovered self-expanding metallic stents (SEMSs), thus improving biliary stent patency. The 5-year survival rates in HCC are estimated at 39.9-68.5% (5-10). In a 10-year study of 1,170 primary HCC patients in whom 2,982 RFA treatments were performed, survival rates were 60.2 % and 27.3 % at 5 and 10 years, respectively (5). Local tumor progression (LTP) rates after RFA range from 2.4% to 27.0% (5-10). Various studies have reported mortality from RFA to be between 0.9% and 7.9% (5-10). The Habib™ EUS-RFA probe (1 Fr diameter,

190-cm long) is a novel device that can be used for RFA, passed through instrument channel of echoendoscope, to achieve coagulation of target tissue (HCC or intrahepatic CCA). A similar device is available now for use with endoscopic retrograde cholangiography (ERCP), as depicted in *Figure 1*.

PDT procedure

During PDT, a laser is used to activate a chemical photosensitizer that accumulates in malignant cells, thereby ablating the malignant tissue (3). PDT is well described in the treatment of various neoplastic lesions. Preparation for PDT involves intravenous administration of the photosensitizing agent, 2 mg/kg of porfirmer sodium (Photofrin; Axcan Pharma Inc., Birmingham, AL, USA), administered 48 hours before laser activation, that preferentially accumulates in malignant cells. Activation can be delivered percutaneously or endoscopically via ERCP. Antibiotic prophylaxis is usually given for those with expected incomplete biliary drainage (11). With the endoscopic approach, after a cholangiogram is performed to better delineate the location of the malignant stricture, balloon or bougie dilation of the stricture(s) is performed. Then, a 3.0-m-length PDT optical fiber is inserted using radiographic visualization or direct visualization using cholangioscopy (12-14). With the catheter in place, photoactivation is delivered and plastic stent is placed afterwards. If performed using percutaneous approach, a guidewire followed by a guiding catheter can be used to traverse the stricture, followed by insertion of optical fiber under fluoroscopic guidance. After light activation, a percutaneous biliary tube is inserted to ensure biliary drainage (11,15,16).

CCA

CCAs are tumors that arise from the cells that line the biliary tree, account for about 3% of gastrointestinal (GI) neoplasms, and its overall incidence has been increasing across the world (17,18). CCAs can be classified according to its anatomical location as intrahepatic (iCCA), perihilar (pCCA), and distal (dCCA). Further classification of pCCA was described by Bismuth and Corllete as follows: type I (tumor involving common hepatic duct below the biliary confluence), type II (tumor affecting hepatic bile duct confluence, no invasion above the confluence), type III (tumor involving right or left hepatic duct in addition to the confluence), type IIIa (tumor involving right hepatic duct and biliary confluence), type IIIb (tumor involving left hepatic duct and biliary confluence), and type IV (tumor involving the confluence, both right and left hepatic ducts, and in addition secondary intra-hepatic system or involving multiple discontinuous sites in the right and left ducts). This system was first described in 1975 (19) and has been since then the most commonly used tool to classify pCCA. DeOliveira and colleagues recently published a new system for CCA classification taking into account tumor size and extent within biliary system, vascular (hepatic artery/portal vein) and lymph node involvement, distant metastases, and estimated post-resection hepatic volume (20).

Therapy for CCA is guided by the anatomical location of the tumor. Overall, curative surgical resection is the goal for resectable lesions. Chemotherapy and/or radiation therapy are used for non-curative/unresectable resections or as (neo)adjuvant modality for resectable lesions. The use of loco-regional therapies such as RFA, transarterial chemoembolization (TACE) and transarterial radioembolization (TARE) have been described for unresectable iCCA, which have a particularly poor prognosis and limited therapeutic options. Select patients with pCCA can be offered liver transplantation (LT), especially if associated with primary sclerosing cholangitis (PSC). Systemic or local chemoradiation have been described for unresectable pCCA, as well as local ablation therapies, as described further in this article. Systemic chemotherapy and palliative biliary drainage are the main stay of therapy for unresectable dCCA (17,18), which can be achieved with plastic stents or SEMS. A large number of patients present with jaundice, and biliary drainage is often needed even in resectable tumors, while awaiting surgical intervention. Plastic stents are known to obstruct earlier than SEMS due to biofilm formation and smaller caliber; SEMS usually remain patent for 6–12 months and are generally preferred in patients pursuing a palliative approach (21).

Role of RFA in CCA

RFA has been used as neoadjuvant therapy for malignant biliary strictures to prolong stent patency. Its use is described in intrahepatic and extrahepatic CCA, pancreatic cancer, HCC, gallbladder cancer and ampullary neoplasms (22). The majority of reports describe biliary RFA to manage strictures before placing a stent, while a few propose RFA for the management of obstructed SEMS.

Both endoscopic-guided and percutaneous RFA are shown to be feasible and safe procedures, with high technical success and few procedure-related complications. Post-procedure abdominal pain, which is usually mild and subsides with analgesics, is one of the main complications described in both techniques, more prominent with the percutaneous than endoscopic approach. Percutaneous RFA is shown to have higher association with bleeding, whereas endoscopic RFA is associated with higher post-procedure pancreatitis. Table 1 lists the various studies utilizing RFA, and various reported adverse events, including cholangitis, sepsis, hemobilia, hepatic abscess, and stent migration. One study reported partial liver infarction as a result of thermal injury induced by RFA (23). There were 2 deaths related to hemobilia (occurred 4-6 weeks after RFA) (24) and 2 deaths associated with biliary sepsis (23).

A biliary stent is placed after ablation in the majority of cases (in all studies reviewed, except for one), with a SEMS comprising the majority. Sharaiha *et al.* noted no statistically significant association between stent type and stricture improvement (P=0.35), albeit a higher complication rate in those with plastic stents (P=0.007) (33). Stent patency at 30 days was 95–100% among various studies (32,33,36,37), though long-term patency varied considerably, with a median of 84.5 to 270 days (average 160 days).

Three studies have compared RFA plus stenting versus stenting alone. A retrospective study of 26 patients by Li *et al.*, where 12 underwent RFA and stenting and 14

| Duck Oth Percencicity Si Percencicity | Study | Year | Type | z | Technique | Location | # of sessions (mean) | TB before | TB after | Stent type | Stent occlusion | Patency of stent (days, median) | Survival | Adverse events |
|--|--------------------------------|------|-------------------------------|----|---------------------|--|----------------------------|-------------------|-------------------|---|--|--|---|---|
| 014 Retrospective obtort 12 Endoscopic CocA[2;: CocA[| Dolak et al. (23) | 2014 | Retrospective cohort | | utaneous oscopic | l [5]; 6]; listal | 1.44 | 1 | 1 | Plastic [19]; SEMS [35]; no stent [4] | 21 | 170 | 10.6 months (median) | Cholangitis [5]; hemobilia [3]; sepsis [2]; gallbladder empyema [1]; hepatic coma [1]; hepatic infarction [1]. 2 deaths (cholangiosepsis) |
| 2017 Ferrospective 71 Percutaneous Disal 1 - - Covered Uncovered Covered Uncovered Covered Cove | Tal et al. (24) | 2014 | | 40 | | tic | 1.58 | I | I | Plastic (all) | I | I | 6.4 months (median) | Hemobilia [3]; cholangitis [3]. 2 deaths (hemorrhagic shock) |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$ | Wu et al. (25) | 2017 | Retrospective case control | | | | ÷ | 1 | 1 | Covered SEMS [7]; uncovered SEMS [28] | Uncovered SEMS [10]; covered SEMS [5] | d ared | Uncovered SEMS (245 days, median); covered SEMS (278 days, median) | Abdominal pain [27] |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$ | Wang et al. (26) | 2016 | Retrospective cohort | | Endoscopic | | 1.66 | 297.5 | 134.3 | Plastic [7]; SEMs [4] | 5 | 125 | 7.7 months (median) | Fever [2]; pancreatitis [1] |
| 2016 Case series 12 Endoscopic Bismuth I [4]; 1.63 - Used 3 - 12.3months 1 1 3 1 1 3 1 12.3months 1 | Wang et al. (27) | 2016 | Retrospective cohort | | Percutaneous | Bismuth IIIa [1]; IIIb [1]; IV [7] | | DB 87.88±18.99 | DB 62.06±17.21 | SEMS | | 100 | 5.3 months (median) | Abdominal pain [3]; cholangitis [4] |
| 2015 Retrospective 26 Percutaneous - 287.2±123.5 154.3±76.1 SEMS (all) RFA group RFA group - cohort [12], stenting [0]; control 100%; group [3] control alone [14] group [14] group [3] control 31 group 78% at 90 days | Laquière <i>et al.</i> (28) | 2016 | | 12 | | uth I [4]; III [2]; | 1.63 | 1 | ı | Used plastic and metallic (does not quantify) | ო | | 12.3 months (mean) | Sepsis [1]; early stent migration [1]; late stent migration [1]; cholangitis [4] |
| | Li <i>et al.</i> (29) | 2015 | | | | | I | 287.2±123.5 | 154.3±76.1 | SEMS (all) | RFA group [0]; control group [3] | RFA group 100%; control group 78% at 90 days | I | Cholangitis [3] |

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| Study | Year | Type | z | Technique | Location | # of sessions (mean) | TB before | TB after | Stent type | Stent occlusion | Patency of stent (days, median) | Survival | Adverse events |
|--|------|----------------------------|----|--------------|---|----------------------------|------------|------------|---|----------------------------|---|--------------------------------|---|
| Sharaiha <i>et al.</i> (30) | 2015 | Retrospective cohort | 69 | Endoscopic | Hilar [23]; proximal CBD [7]; distal CBD [7]; Bismuth I III [2]; Bismuth N [5] | 1.3 (0.65) | 1 | 1 | Plastic [49]; metallic [20] | m | 95% at 30 days | (mean) | Pancreatitis [1]; cholecystitis [2]; hemobilia [1]; pain [3] |
| Wu e <i>t al.</i> (31) | 2015 | Retrospective cohort | 47 | Percutaneous | Hilar [7], distal CBD [16] | 1.38 | 239.9±99.1 | 137.2±66.7 | SEMS [47] | 5 | 149 | 25 weeks 6 days (median) | Abdominal pain [23]; intra- abdominal hemorrhage [1] |
| Strand et al. (32) | 2014 | Retrospective 16 cohort | 16 | Endoscopic | 1 | 1.19 (0.4) | 1 | 1 | Plastic [3]; fully covered SEMS [3]; uncovered SEMS [11] | 0.06 (0.10) [mean (SD)] | 1 | 9.6 months (median) | Stent migration (0.02); cholangitis (0.13); hepatic abscess (0.02); need for percutaneous drainage (0.01) |
| Sharaiha et al. (33) | 2014 | Retrospective cohort | 64 | Endoscopic | I | | I | I | Covered SEMS [8]; uncovered SEMS [7]; plastic [11] | 0 | 100% at 30 days | 5.9 months (median) | Abdominal pain [5]; pancreatitis [1] cholecystitis [1] |
| Mizandari et al. (34) | 2013 | Retrospective cohort | 39 | Percutaneous | Bismuth I [5]; II [1]; IIIa [4]; IV [7] | ÷ | 129±61 | 52±32 | SEMS (all) | ÷ | 84.5 | 3 months (median) | Abdominal pain [15] |
| Alis <i>et al.</i> (35) | 2013 | Retrospective cohort | 10 | Endoscopic | Bismuth I [4]; Distal CBD [6] | ÷ | I | I | Covered SEMS (all) | 0 | 270 | I | Pancreatitis [2] |
| Figueroa- Barojas <i>et al.</i> (36) | 2013 | Retrospective cohort | 20 | Endoscopic | I | 1.25 | I | I | Plastic [6]; covered SEMS [13]; uncovered SEMS [1] | 0 | 100% at 30 days | I | Abdominal pain [5]; Pancreatitis [1]; cholecystitis [1] |
| Steel et al. (37) | 2011 | Prospective cohort | 22 | Endoscopic | I | I | I | I | Uncovered SEMS | 4 | 95% at 30 days; 82% at 90 days | I | Pancreatitis [1]; cholecystitis [2]; rigors [1] |

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underwent stenting alone, stent patency at 6 months was noted to be significantly higher in the RFA plus stenting group than in stenting alone group (81% vs. 35%, P<0.05) (29). Similarly, Wu *et al.* demonstrated that patients in RFA group had longer patency of uncovered SEMS (P=0.001); and in addition, a higher functional wellbeing assessed using the Functional Assessment of Cancer Therapy-Hepatobiliary (FACT-Hep) questionnaire (25). Sharaiha *et al.* reported similar stent patency in both the RFA and RFA plus stent (SEMS) group, and also noted a significant increase (3.5 mm) in bile duct diameter post-RFA (P<0.0001) (33).

As shown in *Table 1*, survival rates were variable among studies, ranging between 3 to 10.6 months. Median survival was not statistically significant in a study from Wu *et al.* comparing RFA and controls; in addition, they also reported similar survival rates between covered and uncovered SEMS (31). The Sharaiha group reported increased survival with RFA, in a study from 2015 (17.7 *vs.* 6.2 months, P=0.0001). They also reported RFA as a prognostic sign for survival [hazard ratio (HR) 0.29 (0.11–0.76), P=0.012] (30,33). Strand *et al.* compared RFA versus PDT in patients with unresectable CCA and found comparably improved overall survival in patients with unresectable CCA (32).

As a caveat, it should be noted that these studies are based on retrospective cohorts with a small number of patients. Additionally, limitations of RFA should be mentioned, including that it: (I) requires direct contact with the tissue, which prevents the treatment of inaccessible sites; (II) cannot target only malignant cells and thus damage of normal epithelium is a consequence; (III) cannot be used in pregnancy or patients with cardiac pacemaker or coagulopathy (38). Benefits of RFA, when compared with PDT, are notable and include: more cost-effective (cost of the RFA catheter is approximately USD \$1,295) (21), easier to perform (catheter can be inserted over a guidewire), and more practical for the patient (procedure done in one day and no need to avoid sunlight exposure).

Role of PDT in CCA

Similar to RFA, PDT has been used a palliative therapy for CCA in conjunction with biliary endoprostheses (22). Its use for biliary malignancies was first reported by McCaughan *et al.* in 1991, when the group used PDT for the treatment of a patient with common bile duct (CBD) adenocarcinoma over a course of 4 years (39). Procedure feasibility appears to approach 100%, with biliary drainage improving following PDT; studies have found a decrease in post-procedure serum bilirubin levels when compared to pre-procedure, as illustrated in *Table 2* (12,16,40,46). A common procedure-related adverse effect of PDT is a photosensitivity reaction, though this is usually mild and self-limited. Other adverse effects (relevant to essentially all ablative procedures) include abdominal pain, cholangitis, sepsis, liver abscess and less likely hemobilia (12-16,40-47). There were 9 deaths related to biliary sepsis following PDT in the reviewed studies (44,47).

Compared to biliary drainage procedures alone, survival appears to be longer in patients that receive PDT. Yang et al. performed a prospective evaluation where patients received PDT plus stenting versus stenting alone, and reported a statistically significant higher overall survival in the PDT plus stenting group (14.9±5.8 vs. 8.0±2.5, P=0.002) (12). Three other trials were in accordance with these results: Kahaleh et al. (14) (better survival PDT vs. stent placement alone); Zoepf et al. (46) [significantly longer survival in the PDT group vs. biliary endoprosthesis group (21 vs. 7 months, P=0.01)]; Ortner et al. (47) [improved survival in PDT plus stenting vs. stenting alone (median 493 vs. 98 days, P=0.0001)]. Two studies have compared PDT and surgical resection: Matull et al. reported that survival was similar in those undergoing palliative PDT compared to those undergoing curatively intended R1/R2-resections; surgery conferred survival benefit only when R0-resection margins were achieved (48); Cheon et al. reported that levels of CA19-9, bilirubin, and T-stage were statistically significant predictors of survival in a univariate analysis of patients treated with PDT plus stent vs. stent alone (16). They identified higher T-stage and no biliary drainage as independent predictors of poor survival in patients with advanced hilar CCA (16).

The data comparing RFA and PDT is limited. In a retrospective study on unresectable CCA patients by Schmidt *et al.*, 14 patients underwent RFA and 20 patients underwent PDT, to evaluate short-term effects of biliary drainage and adverse events as primary end-points. The authors reported a significant decrease in bilirubin levels 14 days after the procedure in the RFA group (P=0.046) but no significant decrease in the PDT group (P=0.67). More premature (<3 months) stent replacements were necessary in the PDT group when compared to the RFA group (P<0.01), although adverse events were comparable between groups (49).

Overall, PDT for CCA is well-tolerated and seems to provide survival benefit over biliary decompression through endobiliary stenting alone. The biggest advantage

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| Table |

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|-------------------------------|----------|---------------------------|-----|---|--|---|---|--|-----------------------------------|---|--|
| Study | Year | Type | z | Technique | Location | # or sessions (mean) | TB before | TB after | Stent type | Survival | Adverse events |
| Yang et al. (12) | 2016 | Prospective controlled | 39 | Endoscopic PDT + stent [12] vs. stent alone [27] | Bismuth I–II [24]; III–IV [15] | 3.5-4.8 | PDT + stent: 245.4±86.5. Stent: 265.8±96.8 | PDT + stent: 21.7±7.9. Stent: 32.8 ±9.6 | Plastic | PDT + stent: 14.9±5.8. Stent: 8.0±2.5 | Cholangitis, stent migration |
| Wentrup et al. (13) | 2016 | Retrospective | 68 | Endoscopic PDT monotherapy [35] vs. PDT + chemotherapy [33] | Bismuth I [1]; II [4]; III [4]; IV [59] | 1-4 | I | I | Plastic | PDT-M: 374 days. PDT-C: 520 days. (mean) | Cholangitic complications [52] |
| Kahaleh <i>et al.</i> (14) | 2008 | Retrospective | 48 | Endoscopic PDT + stent [19] vs. stent alone [29] | Bismuth I [3]; II [9]; III [17]; IV [19] | 1–3 (1.8) | I | I | Plastic | PDT + stent: 16.8 months. Stent: 7.4 months. (mean) | Cholangitis (17, 2 deaths in the stent group), liver abscess [2], pancreatitis [4], hemobilia [2], cholecystitis [2] |
| Hong <i>et al.</i> (15) | 2014 | Retrospective cohort | 74 | PDT monotherapy [58] vs. PDT + chemotherapy [16] (endoscopic 15, percutaneous 59) | Bismuth II [2]; IIIa [11]; IIIb [18], IV [39] | - - - | I | 1 | Plastic | PDT-M: 11.1 months. PDT-C: 17.9 months (median) | Cholangitis [4], phototoxicity [2], liver abscess [2], percutaneous tube occlusion [2] and leakage [2] |
| Cheon et al. (16) | 2012 | Retrospective | 143 | PDT + stent [72] vs. stent alone [71] (endoscopic 13, percutaneous 59) | Bismuth II [31]; IIIa [29]; IIIb [38]; IVa [31]; IVb [34] | 1-4 [1] | PDT + stent: 11.3; stent 10.5 | PDT + stent: 2.8; stent: 3.6 | Plastic [54], metallic [18] | PDT + stent: 9.8 months. Stent: 7.3 months (median) | Skin hyperpigmentation [10], biliary leakage [1], sepsis [1], liver abscess [1], infected biloma [2], stent migration [10] |
| Park <i>et al.</i> (40) | 2014 | RCT | 43 | PDT monotherapy [22] vs. PDT + chemotherapy 21]. (endoscopic 32, percutaneous 11) | Bismuth II [6]; III [9]; IV [28] | 2.2-2.9 | PDT-M: 8.4±9.3; PDT-C: 6.7±6.4 | PDT-M: 1.7±1.9; PDT-C: 1.6±0.9 | Plastic | PDT-M: 8 months. PDT-C: 17 months (median) | Cholangitis, liver abscess, photosensitivity |
| Pereira <i>et al.</i> (41) | 2012 | Prospective cohort | 36 | Endoscopic PDT + stent | Bismuth I [1], II [2], III [12], IV [7] | T- | 1 | I | I | 12 months (median) | Abdominal pain [17], sepsis [5], photosensitivity [4] |
| Table 2 (continued) | ttinued) | | | | | | | | | | |

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| Table 2 (continued) | ttinued) | | | | | | | | | | |
|--------------------------------|------------|--|---------|--|--|----------------------------|-------------------------------------|------------------------------------|-------------------------------|---|---|
| Study | Year | Type | z | Technique | Location | # of sessions (mean) | TB before | TB after | Stent type | Survival | Adverse events |
| Weigt et al. (42) | 2011 | Retrospective | 53 | PDT + stent [25] vs. stent alone [28] | 1 | 1-8 [2] | I | I | 1 | PDT + stent: 478±71 days. Stent: 220±61 days | Cholangiosepsis [4]; liver abscess [3]; phototoxicity [1] |
| Quyn et al. (43) | 2009 | Prospective controlled | 50 | Resection [10] vs. stent + chemo [17] vs. PDT [23] (endoscopic 19, percutaneous 4] | Bismuth II [4]; IIIa [17]; IIIb [6]; IV [23] | F | I | ı | Plastic or metallic | Resection: 1,278 days. Chemo ± stent: 173 days. PDT + stent: 512 days (mean) | Abdominal pain [10], hemobilia [2], sepsis [5], photosensitivity [4] |
| Fuks et al. (44) | 2009 | Prospective cohort | t 4 | PDT + stent (endoscopic 12, percutaneous 2) | Bismuth II [2]; III [4]; IV [1]; CBD [4]; gallbladder [2]; intra- hepatic [1] | 1-6 [2] | I | 1 | Plastic [12], metallic [2] | 13.8 months (median) | Abdominal pain [1], phototoxicity [2], cholangitis (8, with 1 death), stent migration [3], contained duodenal perforation [1] |
| Witzigmann 2006 et al. (45) | 2006 ר | Prospective controlled | 184 | Resection [60]; PDT + stent [68]; stent alone [56] | Bismuth I [14]; II [22]; IIIa [22]; IIIb [26]; IV [100] | 1-6 [2] | I | I | Plastic | PDT + stent: 12 months. Stent: 6.4 months (median) | Cholangitis [70], phototoxicity [2], pancreatitis [2]; bleeding [2]; perforation [1] |
| Zoepf et al. (46) | 2005 | RCT | 32 | PDT + stent [16] vs. stent alone [16] (endoscopic 18, percutaneous 14) | Bismuth II [1]; 1–2 IV [31] | 1-2 | Stent: 3.6; PDT + stent: 2.75 | Stent: 2.4; PDT + stent: 1.3 | Plastic | PDT + stent: 21 months. Stent: 7 months. (median) | Cholangitis [1], biloma [3], cholecystitis [1] |
| Ortner et al. (47) | 2003 | RCT | 39 | PDT + stent [20] vs. stent alone [19] | Bismuth II [2]; III [6]; IV [31] | 1–5 (2.4) | I | I | Plastic | PDT + stent: 493 days. Stent: 98 days. (median) | Cholangitis [10], photosensitivity [2], 8 deaths related to cholangiosepsis |
| TB, total bil | irubin; P[| TB, total bilirubin; PDT, photodynamic therapy; PDT- | ic ther | apy; PDT-M, photody | M, photodynamic monotherapy; PDT-C, photodynamic therapy + chemotherapy. | apy; PDT- | C, photodyna | mic therapy + o | chemotherapy | | |

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scores such as the Karnofsky Index can help evaluate and

approximate the odds of survival (54).

of PDT when compared to RFA is the ability to target the malignant cells, potentially sparing the healthy epithelium from damage, and the ability to refract through bile and treat obstructed biliary ducts that cannot be accessed. The disadvantages of PDT technique include: (I) the need to avoid direct and indirect light exposure for 4–6 weeks after the procedure in order to prevent photosensitivity; (II) the high-cost (single-dose of porfimer sodium for a 75-kg patient may cost about USD \$37,208) (21).

Other ablative techniques for CCA

Intraluminal brachytherapy (ILBT), high-intensity intraductal ultrasound (IDUS), and MWA have also been described for palliation of CCA. ILBT involves seeding of the tumor with Iridium-192 and can be performed endoscopically and percutaneously. Studies reporting the use of ILBT for unresectable CCA have reached variable conclusions in terms of survival benefit (3). Another novel approach for unresectable hepatobiliary malignancies is the use of IDUS, performed by advancing a specialized ultrasound probe over a guidewire during ERCP, and applying several treatments throughout the lesion (38). Prat et al. reported their experience with using IDUS in 10 patients with biliary tumors, demonstrating tumor reduction in half of the patients (n=3) that were treated for CCA (n=6) (50). MWA is known to be efficient for HCC palliation and has now been described as an alternate approach for iCCA. Yu et al. suggested that MWA is a relatively safe and effective method to treat iCCA, with only minor periprocedural complications within 30 days (2).

HCC

HCC, the third highest cause of cancer-related mortality globally, continues to be the leading cause of death in patients with cirrhosis (51,52). Common causes of HCC vary by geographical location: Infection with fungal aflatoxin B1 and chronic hepatitis B virus (HBV) account for most cases in the African sub-continent and East Asia, while most cases in North America, Japan and Europe can be attributed to alcoholism and hepatitis C (51,53). The diagnosis of HCC can be made using magnetic resonance imaging (MRI) and computerized tomography (CT), with classical features being evidence of arterial contrast uptake followed by washout in the delayed venous phase seen in the hepatic lesion in a patient with known cirrhosis. A number of factors, such as, residual liver function, tumor burden and

The Barcelona Clinic Liver Cancer (BCLC) scoring system has been widely accepted for staging and making management decisions. Candidates for resection, ablation or transplantation are stage BCLC-A patients (early-stage HCC with a solitary lesion without vascular invasion). The treatment of choice for patients with stage BCLC-B with preserved liver function (multifocal HCC with no evidence of vascular invasion or extrahepatic spread) is TACE. BCLC-C stage includes patients with extrahepatic spread and/or vascular invasion, and benefit from sorafenib therapy, while BCLC-D is at the end of the clinical spectrum with a poor prognosis. Spontaneous bacterial peritonitis (SBP), refractory ascites, hyponatremia, episodes of encephalopathy indicate end-stage cirrhosis classifying these patients as BCLC-D and precluding any anticancer therapy (55). The introduction of the Milan criteria brought about a global surge in the number of LTs for HCC, with a subsequent rise in the requirement of treatment options for patients on the waiting list (56-58). The Model for End-Stage Liver Disease (MELD) score accurately predicts poor short-term outcome in cirrhosis and allows for the patients with the highest risk of imminent mortality to be moved up the transplant list.

Treatment options for HCC include LT, liver resection (LR), or local ablative therapies (59). A patient meeting the Milan criteria warrants a LT (60), which is dependent on donor availability, and may often have to endure long waiting periods on the transplant list with up to a year in the United States and Europe. During this time, tumors may progress and eventually prevent transplantation (61,62). The importance of procedures such as TACE, RFA and percutaneous ethanol injection (PEI) lies in delaying the progression of tumors during this time interval (63).

Ablative therapies for HCC

Various local ablation techniques have been increasingly employed to overcome this clinical dilemma. Among these, image-guided percutaneous ablation is considered the best in the treatment of early-stage HCC, and may include ethanol injection (64,65), MWA (66), RFA (67-69), IRE, and cryoablation. Ablation can be curative, is minimally invasive, and can be repeated for recurrence. For patients with Child-Pugh A or B cirrhosis with not more than three tumors measuring 3 centimeter each, ablation is the treatment of choice. RFA provides a safe, minimally invasive

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and technically simple option for these patients.

Györi *et al.* compared single versus multimodality locoregional treatment (LRT) strategies on outcomes after LT in 150 patients (*Table 3*) (70). They demonstrated that patients who underwent multimodality LRT (mmLRT) did worse after LT, as compared to those exposed to one LRT. They concluded that a single LRT showed better survival outcomes up to 5 years after LT, and TACE and RFA showed comparable post-LT survival.

Role of RFA in HCC

RFA is widely accepted as the treatment of choice for HCC patients that are not candidates for resection, and additionally as bridge therapy, to delay the progression of tumor in patients on the transplant list (80-88). The success of RFA is inversely proportional to the tumor size. Complete remission (CR) after RFA for HCC ≤ 2 cm is approximately 90% (89), while for HCC > 2 cm, the LTP rate is substantial, varying from 20% to 40% (90,91). Prevention of LTP and distant HCC recurrence depends on the ability to obtain complete coagulation necrosis of the tumor and a sufficient safety margin. RFA is a relatively safe procedure with a procedure-related mortality rate of 0.2% and complication rate approximately 2.2% (92).

Hao *et al.* showed that RFA for typical HCC's resulted in a slowing of rate of tumor progression (71). Interestingly, while post RFA progression of early-HCC (n=50), defined as those in early stages of carcinogenesis (75,93-95), was minimal (2/50), about 24.6% (46/187) typical HCCs exhibited local recurrence after RFA (71). Liao *et al.* described the benefit of RFA in reducing tumor incidence in patients with small HCC secondary to cirrhosis (72). In 96 patients randomized to undergo wide margin ablation (WM =10 mm ablation margin, n=48) or normal margin ablation (NM >5 mm but <10 mm ablation margin, n=48), 3-year incidences of LTP was 14.9% vs 30.2%, intrahepatic recurrences (IHR) was 15.0% *vs.* 32.7%, and recurrencefree survival (RFS) was 31.7±12.1 *vs.* 24.0±11.7 months respectively.

Rajyaguru *et al.* compared the effectiveness of RFA (n=3,684) *vs.* stereotactic body radiotherapy (SBRT, n=296) in 2018 (73), and noted increased survival in RFA group for stage I and II HCC. Similarly, Parikh *et al.* compared RFA (n=408) with SBRT (n=32) (74), and noted improved survival with RFA, and additionally identified increasing age, advanced disease and decompensated cirrhosis with poor survival. Praktiknjo *et al.* evaluated the response to

local ablative therapy by measuring elastography using real-time 2D shear-wave elastography (RT 2D-SWE), and reported significant improvement in stiffness as early as three days after ablative therapy and proposed the use of RT 2D-SWE in helping identify HCC lesions amenable to ablative treatment (96).

Developments of new RFA techniques allow for a onesession complete ablation rate of >90% for tumors <5 cm in diameter. Laparoscopic RFA can be used for tumors in unusual locations and small lesions unidentifiable by preoperative imaging. Chen *et al.* (97), were the first to demonstrate comparable efficacy of RFA and surgical resection for single-nodule HCC <5 cm in diameter. Liu *et al.* reported an increased post-RFA recurrence rate at the previous site and a lower recurrence rate at new sites when compared to surgical resection (98).

Multicentric tumor lesions limit the efficacy of surgical treatment for HCC. While Elias et al. demonstrated good outcomes with the use of RFA for ablation of microscopic lesions in patients undergoing hepatectomy (99,100), Choi et al. reported 1-, 3- and 5-year survival of laparoscopic resection (LR) + RFA comparable to surgical removal (101). Santambrogio et al. prospectively compared hepatic LR with laparoscopic ablative therapies (LAT) in 264 patients (76), and noted thermoablation to be an adequate alternative in patients with nodules that would require complex surgical resections, and in those with a poor prognosis. Recurrent HCC when treated with a repeat hepatectomy has shown poor outcomes and low 5-year survival rates (102-105). TACE and ethanol ablation was previously employed to treat recurrences in patients with low hepatic reserve, not amenable to surgery (106), RFA today is the treatment of choice for this patient population (107-115). The synergistic response of RFA with hepatectomy/LR has long been studied (116). Its use is limited by the high rate of intra and postoperative complications such as liver failure and death (117,118), and additionally may leave behind treated tumor tissue in situ, and residual tumors or satellite nodules which can drastically reduce the success of this approach. When compared to LR, RFA offers the prospect of a minimally invasive approach with the added benefit of avoiding the development of postoperative intra-abdominal adhesion. Patients with recurrence on follow-up imaging studies (119) may still remain candidates for curative therapies and these above factors may be critical in patients who undergo subsequent LT.

RFA is limited by the heat-sink effect, defined as difficulties due to heating of charred tissue, often seen

| Study | Year | Type | z | Technique | # of sessions | Follow-up | Outcomes | Survival | Adverse events | Deaths | Recurrence |
|--------------------------|------|-------------------------|-----|--|------------------|---|--|---|--|---------------------------|---|
| Györi <i>et al.</i> (70) | 2017 | Retrospective | 150 | 61% (n=92) underwent OLT, 54% (n=81) received TACE-based locoregional therapy, 26% (n=39) PEI/RFA regimen, and regimen, and no treatment while on the waiting list | 1 | Multimodality LRT group showed a lower 1-, 3-, and 5-year post- transplant survival than single LRT (68%, 58%, and 58% vs. 82%, 75%, and 70%; (P=0.05) | TACE- and RFA-based regimens showed equal outcomes in terms of transplantation rrate, tumor response, and post- transplant survival | No difference in overall survival after liver transplantation when comparing TACE- and RFA- based regimens. Patients receiving multimodality locoregional therapy had lower overall survival after transplantation (P=0.05) | 1 | ω | 1 |
| Hao <i>et al.</i> (71) | 2017 | Retrospective | 237 | Fifty pathologically early HCCs, 187 typical HCCs | 1 | Early HCCs: 49 (98%) of the nodules did not exhibit local tumor progression (LTP) vs. 46 (24.6%) in typical HCCs | Rate of LTP for early HCCs after RFA was significantly lower than typical HCCs (P=0.002) | 1 | Fever, abdominal pain and elevated liver enzyme levels were observed after treatment, but no serious complications | 1 | Early HCC: 1 nodule (2%). Typical HCC: 46 (24.6%) |
| Liao et <i>al. (72</i>) | 2017 | Prospective standomized | 96 | 48 patients wide margin WM (10 mm ablation margin) and 48 normal margin NM (>5 mm but <10 mm ablation margin) | 1 | 3-year LTP: WM: 14.9%; NM: 30.2% Intrahepatic recurrence (IHR): WM: 15.0% NM: 32.7% Recurrence-free survival (RFS): WM: 31.7±12.1 | WM-RFA may reduce the incidence of tumor recurrence among cirrhotic patients with small HCCs | The 1-, 2-, and 3-year survival rates: WM: 95.8%, 91.6%, and 74.6%; NM: 95.8%, 78.4%, and 60.2% | Post-RFA complication = 8.3% (8/96); however, no significant differences between two groups | 32 (WM =13 and NM =19) | WM: 3, NM: 9 The 1-, 2-, and 3-year incidences of LTP: WM: 2.1%, 8.5%, and 15.0%; NM: 6.8%, 16.0%, and 30.2% |

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| Table 3 (continued) | (pəı | | | | | | | | | | |
|---------------------------|------|-----------------------------|------|--|------------------|---|--|---|----------------|---|--------------------------|
| Study | Year | Type | z | Technique | # of sessions | Follow-up | Outcomes | Survival | Adverse events | Deaths | Recurrence |
| Rajyaguru et al. (73) | 2018 | Observational | 3980 | RFA [3,684] and SBRT [296] | 1 | 5-year overall survival: RFA: 29.8% (95% CI, 24.5–35.3%); SBRT: 19.3% (95% CI, 13.5– 25.9%) (P=0.001) | Treatment with RFA yields superior survival compared with SBRT for nonsurgically managed patients with stage I or II HCC | RFA was associated with a significant OS benefit [hazard ratio (HR), 0.67; 95% Cl, 0.55- 0.81; P<0.001]; the 5-year OS was 29.8% (95% Cl, 24.5-35.3%) in the RFA group vs. 19.3% (95% Cl, 13.5-25.9%) in the SBRT group (P<0.001) | 1 | 1 | 1 |
| Parikh et al. (74) | 2018 | Retrospective 440 cohort | 440 | RFA [408] and SBRT [32] | T | RFA patients had better overall survival (P<0.001) | SBRT group received significantly less subsequent treatments | Multivariate analysis = advanced age, higher stage, decompensated cirrhosis, and treatment with SBRT (HR 1.80; 95% CI: 1.15– 2.82) associated with worse survival | 1 | 1 | 1 |
| Praktiknjo et al. (75) | 2018 | Prospective | 4 | Used real-time 2D shear-wave elastography (RT 2D-SWE) to examine stiffness of HCC lesion before and 3, 30 and 90 days after local ablative therapy | 1 | Stiffness of HCC nodules and liver showed no significant difference prior to local ablative therapy. As early as three days after treatment, stiffness of responding HCC was significantly higher compared to non- | Nodule stiffness in general and RT 2D-SWE in particular could provide a useful tool for early prediction of HCC response to local ablative therapy | 1 | 1 | 1 (gallbladder perforation); 1 (progressive tumor disease) | 8 (non-target lesion) |
| | | | | | | - | | | | | |

Table 3 (continued)

| Study | Year | Type | z | Technique | # of sessions | Follow-up | Outcomes | Survival | Adverse events | Deaths | Recurrence |
|-----------------------------|------|---------------------------|-----|---|---|---|--|--|---|--|---|
| Santambrogio et al. (76) | 2018 | Prospective controlled | 264 | Laparoscopic hepatic resection (LHR =59) vs. laparoscopic ablation therapy (LAT =205) | 1 | LHR (41.7± 31.5 months) vs. LAT (38.7± 32.3 months) | LAT found to be adequate alternative | Survival rates LHR at 1, 3, and 5 years were 93, 82, and 56%. In LAT =91%, 62%, and 40% (P=0.0053) | 1 | No operative deaths in either group at 30 days. 2 in LHR group | LHR =24/59 (41%); LAT =135/205 (66%) (P=0.0001) |
| Kalra <i>et al.</i> (77) | 2017 | Prospective | 20 | RFA alone [25] and RFA + alcohol ablation [25] | 1 | Survival at 6 months in patients who completed at least 6-month follow-up = RFA alone 84%; RFA + alone 84%; | Combined use of RFA and alcohol did not improve the local tumour control and survival | 1 | Hemoperitoneum [1] | 14 in RFA group. 6 in RFA + alcohol group | 11 and 4 patients treated with RFA alone showed local and distant intrahepatic tumour recurrence, respectively |
| Zhang et al. (78) | 2013 | Retrospective 155 | 155 | RFA [78] and MWA [77] | RFA (93 sessions); MWA (91 sessions) | 1-, 3-, and 5-year overall survival rates: RFA: 91.0%, 64.1% and 41.3%; MWA: 92.2%, 51.7%, and 38.5% | RFA and MWA are both effective methods in treating HCC, with no significant differences in CA, LTP, DR, and overall survival | No significant difference between the two groups | RFA group: persistent jaundice (n=1) and biliary fistula (n=1). MWA group: hemothorax and intrahepatic hematoma (n=1) and peritoneal hemorrhage (n=1) | RFA [46] and MWA [51] | RFA: 11/93 (11.8%) and MWA: 11/105 (10.5%) |
| Abdelaziz (79) | 2017 | Retrospective | 67 | TACE-RFA [22] and TACE-MWA [45] | 1 | TACE-MWA showed a higher tendency to complete response than TACE- RFA (P=0.06), especially for lesions sized 3–5 cm (P=0.01) | No difference in survival rates | Survival at 1, 2 and 3 years: TACE-MWA: 83.3%, 64.7%, 64.7%; TACE- RFA: 73.1%, 40.6% and 16.2% (P=0.08) | TACE-RFA: bone metastases 1 (4.5%), Ascites 3 (13.6%), variceal bleeding 5 (22.7%); TACE- MWA: portal vein thrombosis: 1 (2.2%), ascites 6 (13.3%), variceal bleeding: 4 (8.9%) | 24 (35.8%) equally divided between both groups | TACE-RFA: 4 (18.2%); TACE-MWA: 8 (17.8%) |

in tumors located near blood vessels. This can lead to inadequate ablation zones and a consequent higher rate of LTP (120). However, a combination of RFA with TACE may overcome this issue by their synergistic effect (121,122). TACE decreases the heat sink effect by occluding hepatic arterial flow, thereby allowing for a larger RFA ablation zone. On the other hand, RFA induces hyperthermia, which can augment the anti-cancer effect of agents delivered by TACE. Outcomes can be improved with optimization of the duration between deliveries of these two treatments.

RFA is now being used increasingly in the treatment of HCC. Ten-year follow-up data supports it as a curative treatment and allows for long-term survival. Its minimally invasive nature makes it an attractive option, and it can be repeated easily for recurrence. Constant improvements in RFA devices and operation strategies have extended its application far beyond its initial use as a palliative measure (123).

Role of PDT in HCC

Patients with HCC with obstructive jaundice present a management dilemma. The presence of hemobilia, secondary to tumor, upon biliary intervention, often makes biliary drainage futile, thereby imparting a poor prognosis in these patients (124-130). Tang et al. first demonstrated the immunomodulatory effects of Pheophorbide-a PDT (Pa-PDT) on human cancer cells in vitro (131). Pheophorbide-a (Pa) is a chlorophyll derivative, extracted from a Chinese herbal medicine Scutellaria Barbata with antitumor effects on a number of human cancers, and investigators found Pa-PDT to be a significant inhibitor of the growth of HepG2 cells (131). Since then, several studies have reported the immunomodulatory effects of PDT (132-138). Its principle is based on the use of a photosensitizer, activation of which results in interaction with molecular oxygen, producing reactive oxygen species (ROS) (139,140). The ROS are directly cytotoxic to the tumor cells and trigger the immune reorganization of cancer cells, efficacy of which may depend on wavelength/dose of light and type/concentration of photosensitizer used, and also type of treated tissue (141).

Bahng *et al.* (*Table 4*) evaluated the safety and efficacy of PDT in 11 unresectable HCC patients with bile duct invasion in a prospective observational pilot study (142), and noted improvement in jaundice in 70% (7/10) of patients, and hemobilia was successfully controlled in 100% (6/6) of patients. Cholangitis was observed in 6 patients (6/11) after PDT, which resolved with antibiotics. This study also showed that the typical photosensitizer dose is safe even in cases of advanced liver cirrhosis despite hepatic elimination of the photosensitizer. Mirzaei *et al.* evaluated the efficacy of Radachlorin-mediated PDT (2nd generation photosensitizer) on human HCC (143,146), and showed it can induce apoptosis in HepG2 cells with low phototoxic effects on normal liver cells (HFLF-PI4 cells).

Chang *et al.* utilized hematoporphyrin (HP)-modified doxorubicin (DOX)-loaded nanoparticles (HP-NPs) to enhance the PDT effect (144). HP is a ligand binding to low-density lipoprotein (LDL) receptors on tumor cell membrane (147,148), but have poor aqueous solubility and tumor specificity (149), so drug delivery systems (lipid, polymer-based nanoparticles and polymer conjugates) have been developed to mitigate these drawbacks (150-152). Many studies have reported a synergistic antitumor efficacy of PDT and chemotherapy, *in vivo* and *in vitro* (153-156). Ismail *et al.* along with others have reported the enhanced efficacy of PDT with nano-sized formulations in treatment of HCC (157). Studies with other photosensitizers such as Photofrin are currently under way.

Shirata *et al.* developed a novel treatment modality with PDT, involving the use indocyanine green (ICG) and near-infrared (NIR) laser (145). ICG is a photothermal agent, photosensitizer, and fluorescence-imaging probe with a predilection for HCC cells, and has an excellent safety profile (158). ICG causes apoptosis of tumor cells *in vitro* and *in vivo*. ICG-NIR therapy can be employed for diagnosis and treatment of HCC. It can help surgeons identify residual tumors using the ICG fluorescence technique, and subsequent treatment of residual tumor tissue, as well as treatment of disseminated peritoneal lesions. Studies to confirm the survival benefits of PDT and a comparison with radiotherapy as a local treatment are required.

PDT is an attractive alternative treatment modality in patients with HCC with bile duct invasion, especially those complicated by hemobilia. It is a relatively well-tolerated procedure with cholangitis being the most common complication, which can be managed medically.

Other ablative techniques for HCC

PEI

First described in the early 1980s (64,65,159), PEI is a welltolerated, cheap, and relatively safe procedure, with patient survival estimated at 38–60% at 5 years (160-163). Kalra *et al.* compared RFA alone versus RFA + PEI for small HCC

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| Table 4 List of studie | s utilizing phot | odynamic therapy | (PDT) |) for hepatocellular | carcinoma (| HCC) |
|------------------------|------------------|------------------|-------|----------------------|-------------|------|
|------------------------|------------------|------------------|-------|----------------------|-------------|------|

| Study | Year | Туре | Ν | Technique | Aim | Outcomes | Survival | Adverse events |
|--------------------------------|------|---------------------------------------|----|--|---|--|---|-----------------------------------|
| Tang <i>et al.</i> (131) | 2010 | In vitro | NA | The underlying action mechanism of Pa-PDT was systemically investigated with a human hepatoma cell line HepG2 | To study and evaluate the immunomodulatory effects of pheophorbide a | Pa-PDT significantly inhibited the growth of HepG2 cells. First evidence that Pa- PDT can trigger both apoptosis and cancer immunity in the tumor host | NA | NA |
| Bahng <i>et al.</i> (142) | 2013 | Prospective observational pilot | 11 | PDT with biliary drainage with plastic stent [5] and uncovered metal stent [6] | To assess the safety and efficacy of PDT in unresectable HCC with bile duct invasion | PDT is an effective alternative treatment option for HCC with bile duct invasion especially complicated by hemobilia | Longer and more effective relief of the biliary obstruction can prolong survival | Cholangitis [6], hemobilia [3] |
| Mirzaei <i>et al.</i> (143) | 2015 | In vitro | NA | Evaluated human liver cancer cells (HepG2) and normal liver cells (HFLF- PI4) for cell viability using the standard 2-(4, 5-dimethyl- 2-thiazolyl)-3,5- diphenyl-2H- tetrazolium bromide (MTT) assay | To determine <i>in vitro</i> efficacy of Radachlorin-based PDT (Radachlorin- PDT) on human hepatocellular carcinoma (HCC) | PDT with Radachlorin can be effective in the treatment of HCC | NA | NA |
| Chang <i>et al.</i> (144) | 2014 | <i>In vitro</i> and <i>In vivo</i> | NA | <i>In vitro</i> phototoxicity in HepG2 (human hepatocellular carcinoma) cells and <i>in vivo</i> anticancer efficacy in HepG2 tumor-bearing mice of free HP and HP- NPs were evaluated | To develop an effective co- delivery system of photosensitizers and anticancer drugs | HP-NPs were more efficient than free HP under the same laser irradiation conditions | NA | NA |
| Shirata <i>et al.</i> (145) | 2017 | <i>In vitro</i> and <i>In vivo</i> | NA | HuH-7 (a well- differentiated hepatocellular carcinoma cell line) cells and male mice | To investigate the mechanisms underlying the anti- tumor effect of ICG- NIR therapy | Apoptosis of HCC cells after ICG-NIR therapy is mediated by photothermal effect and oxidative stress induced by PDT, both <i>in vitro</i> and <i>in vivo</i> . The major advantage of ICG-NIR therapy is its high selectivity for HCC tissues | NA | NA |

NA, not available; Pa-PDT, Pheophorbide-a PDT; HP-NPs, hematoporphyrin-modified doxorubicin-loaded nanoparticles; ICG-NIR, indocyanine green near-infrared.

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prospectively in 50 patients (77), but found no difference in survival. In other studies, RFA and PEI are equally efficacious for solitary tumors less than 2 centimeters (164,165).

Cryoablation

Cryoablation uses extremely low temperature, where both direct and indirect effects destroy tumor tissue (166). While direct toxicity is caused by ice-crystals, which cause cell dehydration and subsequent rupture, ischemic hypoxia as a result of vascular injury is responsible for the indirect toxicity (166). Cryoablation has an advantage given possibility of precise monitoring of ablated area during the procedure by various imaging modalities, such as CT, MRI, or ultrasound (167), therefore optimally controlling the treatment effects.

MWA

In MWA, tumor tissue is destroyed by direct hyperthermic injury produced by electromagnetic wave emitted from the non-insulated portions of the antenna. The first-generation MWA was used in clinical practice in the 1990s (66). MWA is considered to have physical advantages in comparison with RFA: a larger volume of active heating resulting in shorter procedure times, higher tissue temperatures beyond the threshold of water vaporization, and less susceptibility to the heat sink effect of blood flow (168-170). The new generation MWA systems incorporate water or gas antenna cooling and high-power generation (171). Zhang et al. in 2013 showed that RFA and MWA are both effective methods in treating HCC, with no significant differences in LTP, distal recurrence and overall survival (78). Further comparison studies between RFA and new-generation MWA are required.

TACE and TARE

The dominant arterial vascular supply of HCC provides the conduit for treatment with anticancer agents. TACE combines selective arterial obstruction with chemotherapy injection, and is currently the first-line treatment for BCLC-B patients, and decompensated cirrhosis being a contraindication to treatment. It is important to discontinue TACE at the onset of liver failure or no response. Appropriate patient selection can lead to median survival times of greater than four years. The use of drug-eluting beads that obstruct arterial vessels and slowly release chemotherapy have made the procedure well-tolerated (172). On the other hand, TARE is based on the action of beta-radiation delivered via yttriumloaded glass or resin spheres into blood vessels supplying tumor cells (173). It is a relatively well-tolerated procedure with reported survival rates comparable to TACE and sorafenib (174,175). TARE is especially useful in patients with portal vein thrombosis. Koda *et al.* and Kuroda *et al.* compared RFA to RFA combined with TACE and reported no additional functional hepatic damage with combined therapy (176,177). Abdelaziz *et al.* demonstrated higher response rates (P=0.06) in patients receiving treatment with TACE + MWA (n=45) as compared to those that received TACE + RFA (n=22), the effect most pronounced in lesions measuring 3–5 centimeters (P=0.01) (79).

IRE

IRE is a non-thermal ablation treatment that delivers short electric pulses to induce cell death due to apoptosis. The basic principle of IRE is to create irreversible pores in cellular bilipid membranes by subjecting them to a series of high voltage and high intensity electrical pulses for a short duration of time (178). IRE is a good alternative option for tumors located in close proximity to the porta hepatis (179,180). IRE is invasive and requires general anesthesia. Further data on long-term outcomes are evolving.

Conclusions

The techniques of RFA and PDT are fairly established for patients with CCA and HCC and can be selected according to available expertise and various patient and tumor characteristics. Outcomes largely develop on these same variables but have been favorable overall. In addition, several other ablative strategies are starting to gain momentum, thus expanding the armamentarium of therapeutic options available to gastroenterologists, hepatologists, radiologists, oncologists, and surgical oncologists.

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Footnote

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