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ELECTRON INJECTOR STUDIES AT LBL *

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Abstracts

Two recent LBL design studies, (1) an rf gun with a laser driven photocathode, (2) and a conventional thermionic gun with three stages of bunching, are summarized and compared. The rf gun was designed as a possible injector to a 1 GeV test experiment consisting of a high gradient rf structure and a relativistic klystron. The rf gun cavity had $(1/2 + 2)$ cells. Analytic calculations and computer simulations suggest that emittance growth was mainly caused by space-charge effects and the rf fringe field effects at the exit of the last cell. Emittance growth was found to be strongly dependent on the size and the length of the pulse. The conventional gun is being considered as an injector for the proposed Infra-Red-Free-Electron-Laser (IRFEL) facility. In order to reduce the space charge effects the final bunching is done in a tapered L-band tank where acceleration and bunching occur at the same time. Beam emittance of a conventional gun was measured at the ALS gun-test-stand and found to be satisfactory for the IRFEL application.

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1. Introduction

There has been great interest in bright electron sources because they are required (1) for future high energy physics applications, (2) for free-electron-laser (FEL) applications, and (3) for studying beam dynamics of intense bright electron beams. X-ray holography using an x-ray FEL requires an extremely low emittance high intensity electron beam which is beyond the presently available technology. We have recently made two injector design studies which are summarized and compared in this paper.

An rf gun with a laser-driven photocathode was investigated as a possible injector to a 1 GeV high gradient rf linac⁽¹⁾. This work was a part of the LLNL-SLAC-LBL collaboration on demonstrating the feasibility of a compact rf linac (1 GeV, < 5 m). The "1 GeV test experiment" would consist of a bright electron injector, an efficient high-power microwave source such as the relativistic klystron, and a high-gradient accelerating structure. Interesting beam dynamics calculations and some scaling laws came out of this study which are described in section 2.

Section 3 describes a design of a highly stable rf linac with a conventional injector consisting of a thermionic electron gun and three low-frequency bunchers^{(2),(3)}. The 50 MeV linac was designed for the Infra-Red-Free-Electron-Laser (IRFEL) facility of the proposed Chemical Dynamics Research Laboratory (CDRL) at LBL. The CDRL is an integrated user facility for research in chemical dynamics and is a part of a broader initiative called the Combustion Dynamics Facility (CDF) which is being proposed jointly with Sandia National Laboratory to address outstanding problems in combustion science. The IRFEL can be synchronized with the Advanced Light Source (a synchrotron radiation facility under construction at LBL) and with other advanced lasers, providing a powerful tool to study chemical reaction dynamics. The conventional technology was chosen for the injector because of its reliability and stability. Careful beam line design and stability analysis were necessary to achieve the required brightness and to assure the stability.

2. Injector for the 1 GeV Test Experiment

The desirable injector parameters for the "1 GeV Test Experiment" are summarized in Table I. They were specific to the particular use of the LLNL induction linac and the design of the high gradient structure.

The structure of the rf gun cavity is schematically shown in figure 1. The cavity consisted of (1/2+2) cells which are driven in the π mode: The first cell has a half wavelength cell so that electrons see the maximum electric field as they are emitted from the cathode. The two full cells accelerate electrons to a high enough energy so that the undesirable space charge effects and the nonlinear exit optics are reduced.

Table I.

Linac fundamental frequency	11.4 GHz
Charge per micropulse	1 - 4 nC
Micropulse duration	2 - 6 pS
Peak Current	300 - 600 Amps
Macropulse duration	20 nS
Number of Micropulses in one macropulse	5- 10 pulses
Emittance ($\gamma\sigma_x\sigma_x'$)	8 - 30 mm-mrad

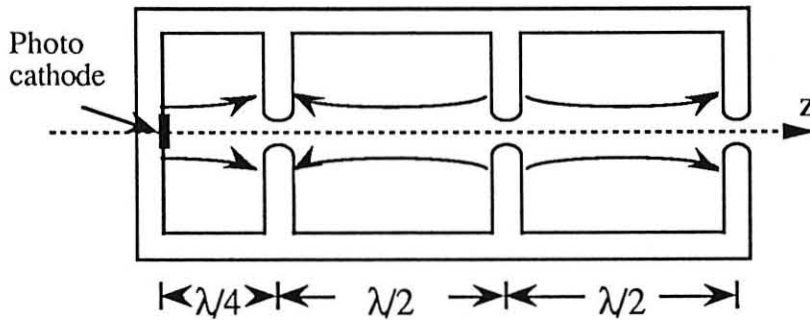


Figure 1. Schematic diagram of the rf gun

Beam dynamics in the rf gun was studied analytically⁽⁴⁾ and by simulation.⁽⁵⁾ The analytic model provides a simple formulae for the rf and space charge contributions to the transverse and longitudinal emittances:

$$\epsilon_x^{\text{rf}} = (\alpha k / \sqrt{2}) \sigma_x^2 (k \sigma_z)^2 \quad (1)$$

$$\epsilon_z^{\text{rf}} = \sqrt{3} (\langle \gamma_f \rangle - 1) k^2 \sigma_z^3 \quad (2)$$

$$\epsilon_i^{\text{SC}} = [1/\sin(\phi_0)] [I/I_A] \mu_i(A), \quad (i=x \text{ or } z) \quad (3)$$

where λ is the rf wave length, $k=2\pi/\lambda$, E_0 is the maximum gradient, $\alpha k = eE_0 / (2mc^2)$ is the normalized maximum electric field gradient, σ_x and σ_x are transverse size and length of the electron beam, $A = \sigma_x / \sigma_z$ is the aspect ratio of the bunch, I_A the Alfvén current, I the peak

current, and ϕ_0 initial rf phase of the beam center. The space-charge form factor, $\mu_i(A)$ are given as:

$$\mu_x(A) = \sqrt{\langle E_x^2 \rangle \langle x^2 \rangle - \langle E_x x \rangle^2} \quad (4)$$

$$\mu_z(A) = \sqrt{\langle E_z^2 \rangle \langle \Delta z^2 \rangle - \langle E_z \Delta z \rangle^2} \quad (5)$$

where $E_i = (4\pi\epsilon_0 / n_0) E_i^{SC}$ ($i = x, z$) is the normalized electrostatic field and n_0 is the line charge density. The $\mu_i(A)$ factors depend only on the aspect ratio of the bunch. The results agree reasonably well with the PARMELA simulations. The total emittance of the beam is expected to be

$$\sqrt{[(\epsilon_i^{rf})^2 + (\epsilon_i^{sc})^2]} < \epsilon_i < \epsilon_i^{rf} + \epsilon_i^{sc} \quad (6)$$

PARMELA, modified by McDonald to include the emission of electrons from a photocathode by a laser pulse⁽⁶⁾, has been used to simulate beam dynamics in the rf cavity. Six different cases were simulated for the cavity geometry shown in figure 1 for different frequencies, field gradients, and the laser pulse durations⁽⁵⁾. The results are summarized in Table II.

Table II. PARMELA runs for the rf gun. Charge per micropulse was 1 nC and the laser spot size was 3 mm rms for all the cases. No external focusing was assumed.

Frequency (MHz)	1269	1269	1269	1269	2856	2856
Max Field on cathode (MV/m)	30	30	60	60	60	60
Injection Phase, ϕ_0 (°)	58	58	70	70	47	47
laser pulse half width (ps)	2	6	2	6	2	6
peak current (Amps)	133	82	212	118	212	106
Initial Emittance ($\gamma\sigma_x\sigma_{x'}$ mm-mrad)	0.56	0.56	0.56	0.56	0.56	0.56
Final Emittance ($\gamma\sigma_x\sigma_{x'}$ mm-mrad)	17	13	13	8	14	10
Beam energy (MeV)	5	5	10	10	4	4
energy jitter (10^{-4})	4	2	2	2	4	8
energy spread $\Delta\gamma/\gamma$ (%)	0.7	0.6	0.3	0.2	0.5	0.3
final x' (mrad)	9	8	6	5	13	12
exit x_{rms} (mm)	4.1	3.7	3.0	2.8	3.2	3.0
exit dz_{rms} (mm)	0.8	1.3	0.5	0.9	0.5	1.0

In light of the simple model, the transverse emittance growth were mainly caused by the space charge effects and the longitudinal emittance growth by the rf fields.

A Nd-YaG laser (532 nm) was considered on a Cs₃Sb photocathode surface with a quantum yield of 10^{-2} . A 0.5 μ J / pulse laser would produce 2 nC / pulse of electrons. The laser micro-pulses are 15 ps FWHM 423 MHz with a timing jitter of 1 ps absolute. The life time of the cathode is expected to be about 20 hours.

3. Electron Injector for the IRFEL / CDRL

The IRFEL requirements and parameters were determined carefully after a number of workshops in which many prospective users of CDRL and accelerator designers have participated. Table III summarizes the IRFEL parameters, which are characterized by the unusually stringent stability requirements. The micropulse repetition rate of 36.56 MHz was chosen such that every third IRFEL pulse coincide with one of the ALS pulses if the storage ring has 8 equally spaced electron bunches. This frequency is also close to those of commercially available advanced lasers.

Table III. IRFEL Design Requirements

Wave Length (continuously tunable)	3 - 50 μ
Micropulse energy (for 3 m)	100 μ J
Micropulse duration	10 - 50 ps
Micropulse repetition rate ($3 \times f_{ALS}$)	36.56 MHz
Macropulse duration	100 μ s
Macropulse repetition rate	60 Hz
Average Power	20 W
Wave length jitter, $\delta\lambda/\lambda$ (without gratings)	$< 10^{-3}$
Wave length jitter, $\delta\lambda/\lambda$ (with gratings)	$< 10^{-4}$
Intensity Jitter, $\delta I/I$	$< 10^{-1}$

The accelerator system was designed specifically to meet these IRFEL requirements. A schematic diagram of the accelerator system is shown in figure 2. It consists of a conventional thermionic electron gun, a 146 MHz buncher, a 512 MHz buncher, an L-band buncher and two L-band 25 MeV linac tanks. The linac parameters and beam parameters at linac exit are summarized in Table IV. In order to meet the stability requirements of FEL, various sources of jitter were identified and schemes to stabilize them were studied.

The electron gun has a conventional thermionic cathode with quite moderate requirements as shown in Table V. The time structure of FEL pulses mimics that of the electron pulses. The

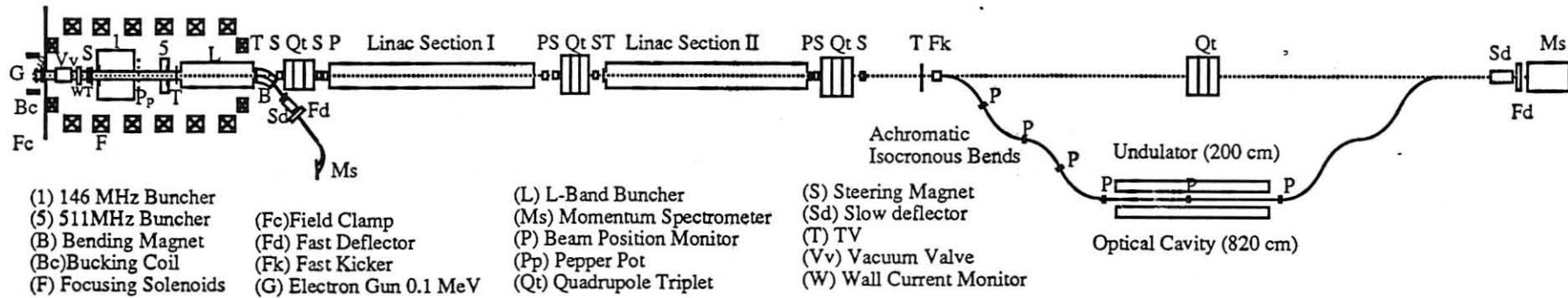


Figure 2. Schematic diagram of the accelerator system

Table IV. The linac and beam parameters

Energy	23 - 51 MeV
Micropulse peak current	100 A
Number of electrons / micropulse	1 - 2 nC
Pulse Duration (FWHM)	5 - 20 psec
Gun frequency, F_{gun} ($3 \times f_{ALS}$)	36.56 MHz
Linac rf frequency ($35 \times f_{gun}$)	1279. 6 MHz
Emittance ($\gamma\sigma_x\sigma_{x'}$)	2.0×10^{-5} m-rad
Energy spread, $\delta E/E$ (50 MeV)	5×10^{-3} FWHM
Macropulse repetition rate	< 60 Hz
Energy jitter, $\delta E/E$ (< 0.3 MHz)	5×10^{-4}
Time jitter, $\delta\tau$ (< 0.3 MHz)	0.1 ps
Transverse position jitter	< $0.1 \sigma_x$
Transverse angular jitter	< $0.1 \sigma_{x'}$

Table V. Gun parameters

extraction voltage	100 kV
current	1 - 2.5 Amp
micropulse duration	1 - 2 nsec.
micropulse repetition rate	36.56 MHz
macropulse duration	100 μ sec.
emittance ($\gamma\sigma_x\sigma_{x'}$)	2.5×10^{-6} m-rad
Charge jitter, $\delta Q/Q$	0.02
Current jitter, $\delta I/I$	0.02
Extraction Voltage jitter, $\delta V/V$	0.002
Grid voltage jitter, $\delta V/V$	0.01
Gun timing jitter	< 10 ps

146 and 512 MHz bunchers have the standard reentrant-cavity geometries. The frequencies of the bunchers and the linac are integral multiples of the gun frequency (4, 14, and 35 times the gun frequency, respectively). Rf voltages of < 80 kV zero-to-peak are required for the bunchers.

Longitudinal space charge effects are very strong at the end of the second buncher, which suggests that further bunching must be done at a higher beam energy. The purpose of the L-band buncher is to combine acceleration and bunching such that emittance growth caused by space-charge effects are reduced. The L-band buncher is a standing-wave tank consisting of nine side-coupled-cavities⁽⁸⁾ whose lengths varies to accommodate the increasing velocity of electrons as shown in figure 3. The 4-th cell is longer than others so that the additional phase shift in that cell places the electron bunches closer to the rf crest from the 5-th cell for a brisk acceleration.

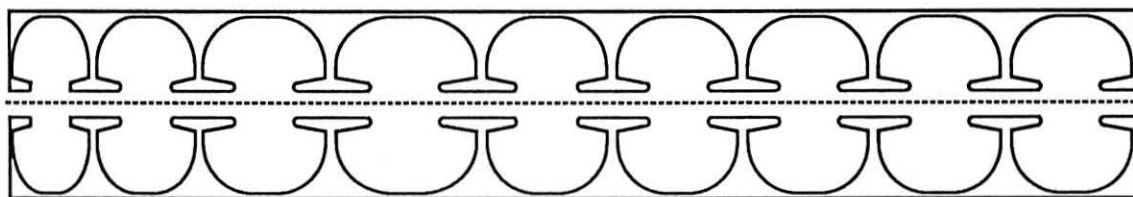


Figure 3. A schematic diagram of the L-band buncher. The lengths of the cells are:
7.5, 9.8, 11.7, 13.5, 11.7, 11.7, 11.7, 11.7, 11.7 cm.

Solenoidal focusing is used from the gun to the end of the L-band buncher, and the quadrupole focusing thereafter. The beam energy at the end of the L-band buncher gives enough beam rigidity (6 MeV) to traverse the long drift space for beam diagnostics and transition to quadrupole focusing.

Each linac tank is an L-band standing wave structure consisting of 27 side-coupled-cavities. This configuration provides efficient acceleration with a high degree of mode stability for heavy beam loading. The strong inter-cell coupling assures that the phases and amplitude of the rf in each accelerating cell is identical with all the other ones in a given tank. Therefore, a sample of the rf field in one cell is representative of the whole accelerating tank. One coupling loops placed in each tank provides signals for precise feedback control and monitoring of each structure.

Particle simulation code PARMELA was used to study beam dynamics in the accelerator. The two low frequency bunchers are modelled as infinitely small accelerating gaps. The rf fields in the side-coupled cavities are calculated using fourteen Fourier-Bessel coefficients which were obtained from a SUPERFISH⁽⁹⁾ run. The same Fourier-Bessel coefficients with an appropriate scaling were used for calculating fields for cavities with slightly different

dimensions as the L-band buncher cavities. Possible numerical errors were checked by simulating a simple laminar flow. From these test runs we found that numerical errors can cause emittance growth if the number of particles used in the simulation is too small or if the time step is too large.

Growths of the transverse and longitudinal beam emittances in the accelerator are calculated using PARMELA. They are shown in figure 4 and 5. Emittance growths were caused by (1) nonlinear space charge forces due to the spatial nonuniformity of the electron density, (2) longitudinal variations of the solenoidal focusing force during bunching, and (3) the time-varying radial rf fields in the accelerating structure. Small beam radius and gentle bunching are beneficial for low emittances. Calculated beam radii and the pulse duration are shown in figure 6 and 7.

We estimated the tolerance requirements for the gun parameters and the rf phases and the amplitudes by computing the sensitivities of beam energy and timing on these parameters. Several PARMELA runs with the identical initial electron distribution but different gun or rf parameters showed the sensitivity as summarized in Table VI. We note that the phase and the amplitude errors of the L-band buncher must be most tightly controlled because of their effect on the timing jitter.

Table VI. Sensitivity of the beam energy and the time-of-arrival on various errors

Error Source	Error Magnitude	dE (keV)	dt (ps)
Gun Timing Error	-15 psec	23	-0.28
Gun Voltage Error	1 %	41	0.39
1st LFB Phase error	-1 °	41	-0.20
2nd LFB Phase error	-1 °	34	-0.15
L-Band buncher Amplitude Jitter	1 %	15	-2.28
L-Band buncher phase Jitter	1 °	-47	-2.28
Linac I Amplitude Jitter	1 %	177	-0.07
Linac I Phase Jitter	1 °	19	0.00

4. Summary

The two design studies were performed independent of each other and were not optimized for the comparison purpose. However it is interesting to compare the parameters achieved and their potentials for future applications. The parameters of the rf gun and the IRFEL injector (column 2 of Table II) are compared in Table VII.

Table VII. Comparison of the rf gun and the IRFEL injector

Error Source	rf gun	IRFEL injector
Energy (MeV)	5	6
Charge/micropulse	1	1
Beam radius (mm rms)	3.7	0.6
Pulse length (mm rms)	1.3	1.3
Initial emittance ($\gamma\sigma_x\sigma_{x'}$ mm-mrad)	0.56	2.5
Exit emittance ($\gamma\sigma_x\sigma_{x'}$ mm-mrad)	13	6
Longitudinal Emittance ($\sigma_z\sigma_{\Delta E/E}$ μm)	30	40
Time jitter (psec)	1	0.1

The rf gun described in this report has parameters which appear to be not much better than those of the IRFEL gun. However, we believe that rf guns have a great potential of providing higher intensity brighter electron sources that the conventional injectors inherently can not achieve. The scaling laws for the rf gun suggest that smaller emittances may be achieved by reducing the beam size, pulse duration, and the bunch aspect ratio. This requires shorter laser pulses of higher intensity and external beam focusing. Reliability, stability, and the life time of the rf gun and the laser system must be improved to be used as a practical injector.

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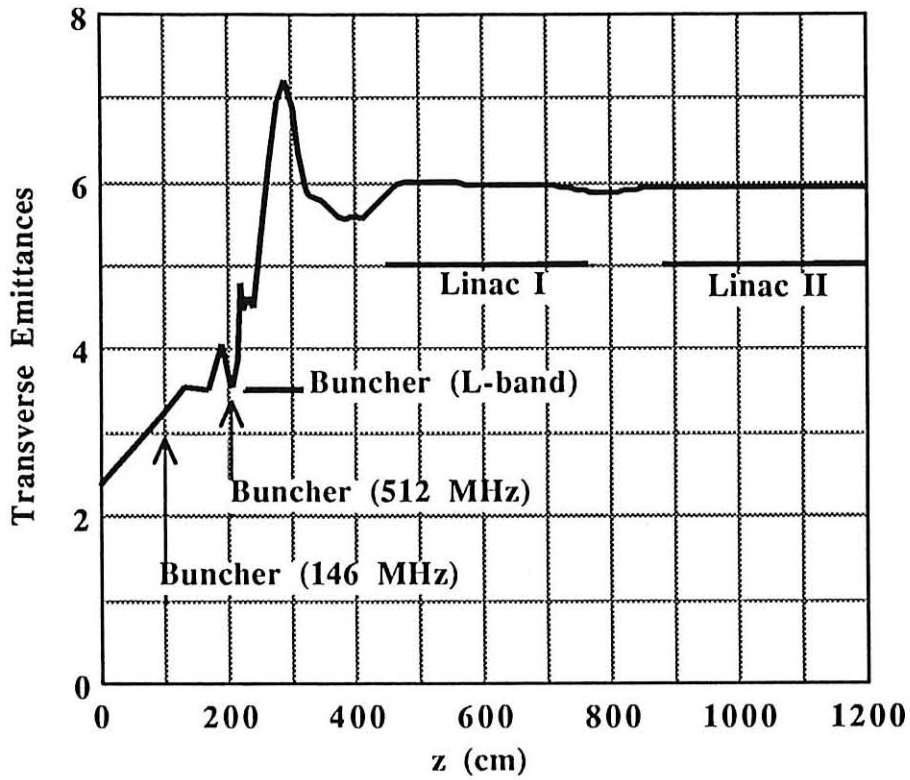


Figure 4. Transverse Emittance $\gamma\sigma_x\sigma_x'$ (mm-mrad)

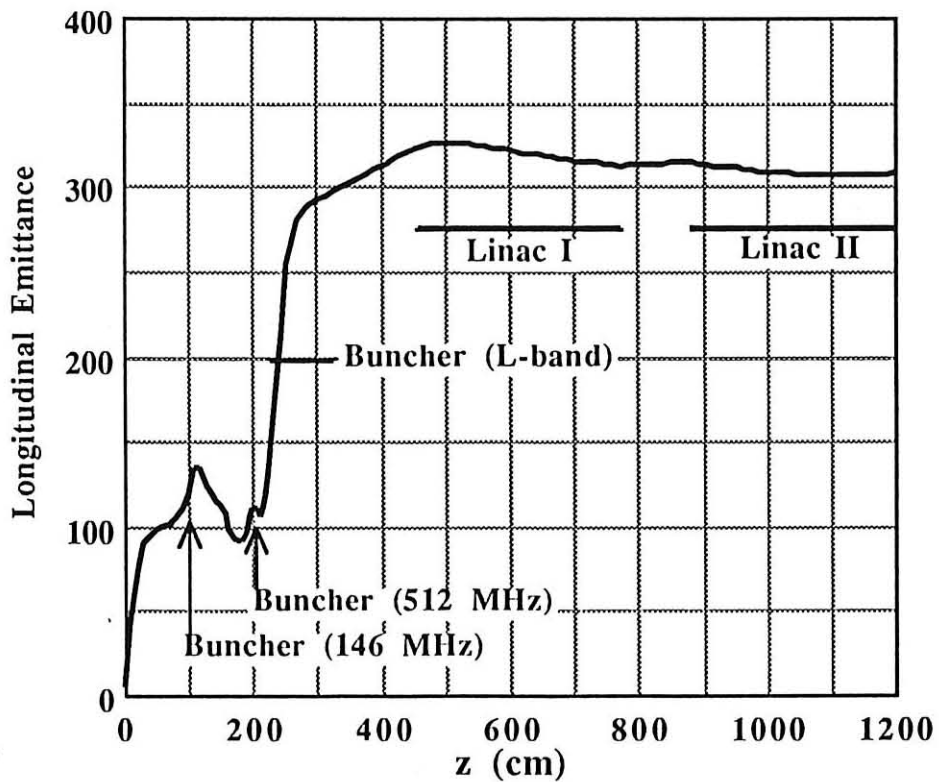


Figure 5. Longitudinal emittance $\sigma_{\delta E}\sigma_{\phi}$ (keV-deg)

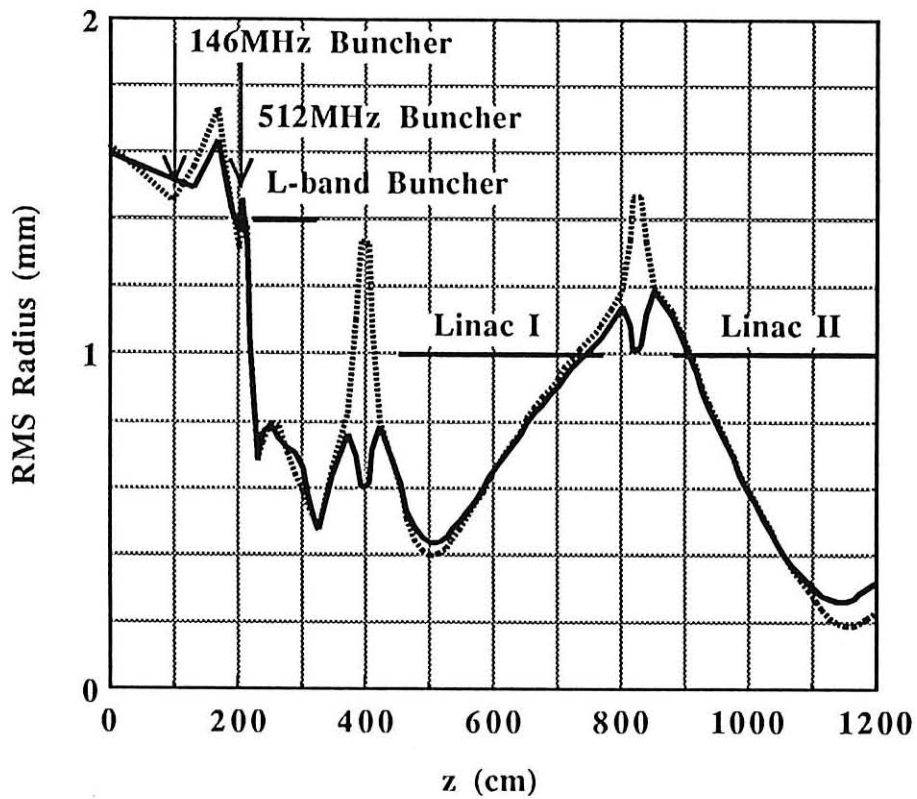


Figure 6 Beam radius (mm rms)

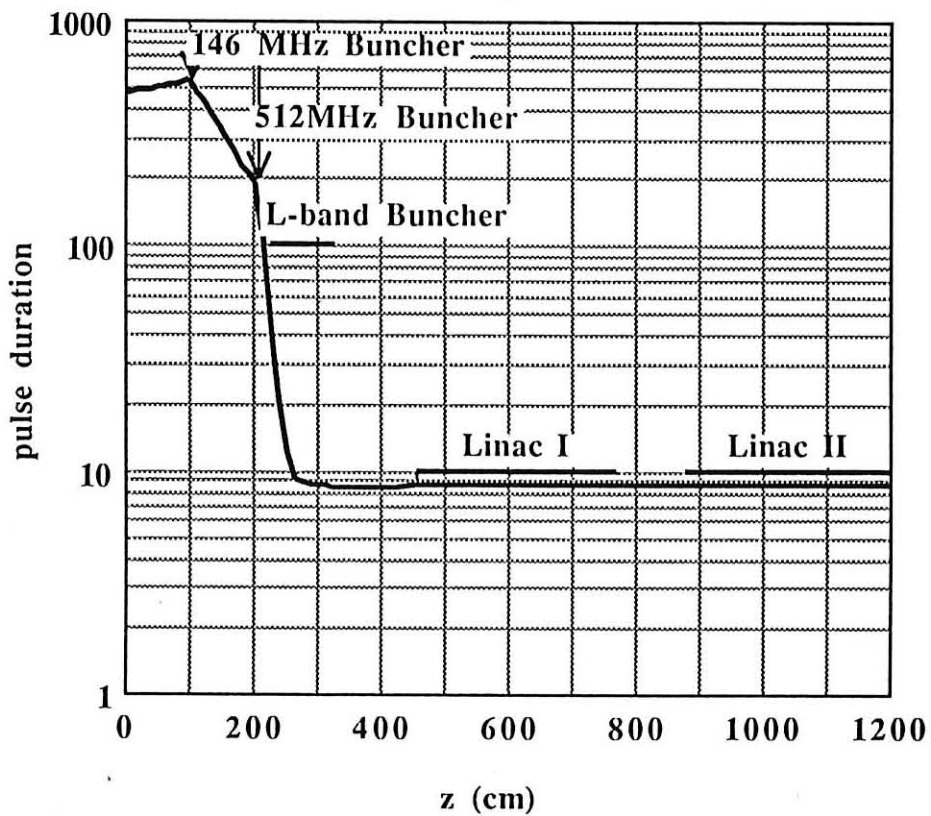


Figure 7. Pulse duration $2\sigma_1$ (psec)