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Lipid Nanoparticles Deliver mRNA to the Brain after an Intracerebral Injection

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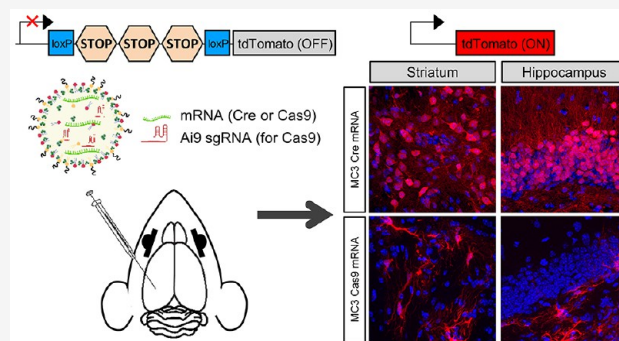
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ABSTRACT: Neurological disorders are often debilitating conditions with no cure. The majority of current therapies are palliative rather than disease-modifying; therefore, new strategies for treating neurological disorders are greatly needed. mRNA-based therapeutics have great potential for treating such neurological disorders; however, challenges with delivery have limited their clinical potential. Lipid nanoparticles (LNPs) are a promising delivery vector for the brain, given their safer toxicity profile and higher efficacy. Despite this, very little is known about LNP-mediated delivery of mRNA into the brain. Here, we employ MC3-based LNPs and successfully deliver Cre mRNA and Cas9 mRNA/Ai9 sgRNA to the adult Ai9 mouse brain; greater than half of the entire striatum and hippocampus was found to be penetrated along the rostro-caudal axis by direct intracerebral injections of MC3 LNP mRNAs. MC3 LNP Cre mRNA successfully transfected cells in the striatum (~52% efficiency) and hippocampus (~49% efficiency). In addition, we demonstrate that MC3 LNP Cas9 mRNA/Ai9 sgRNA edited cells in the striatum (~7% efficiency) and hippocampus (~3% efficiency). Further analysis demonstrates that MC3 LNPs mediate mRNA delivery to multiple cell types including neurons, astrocytes, and microglia in the brain. Overall, LNP-based mRNA delivery is effective in brain tissue and shows great promise for treating complex neurological disorders.



While mRNA-based therapeutics have excellent potential for treating neurological disorders, delivery challenges have limited their clinical impact.^{1–4} Lipid nanoparticle (LNP) and mRNA (LNP mRNA) complexes have great potential for transfecting brain tissue. LNP mRNA complexes have excellent biocompatibility and can transfect a variety of tissues and organs.^{5–9} In addition, several studies in the brain have been performed with lipid/mRNA complexes, which demonstrate the potential of lipid-based delivery vectors for transfecting the brain with mRNA.^{6,7,10} Kariko et al. demonstrated that lipofectin-complexed luciferase mRNA can transfect brain tissue after intracerebral injections into rat brains.¹⁰ Lipofectin is a complex of the cationic lipid *N*-(2,3-bis(oleoyloxy)propyl)-*N,N,N*-trimethylammonium chloride (DOTMA) and dioleoylphosphatidylethanolamine (DOPE).¹¹ Although lipofectin complexes mRNA via electrostatic and hydrophobic interactions, it lacks the PEG-lipid, is formulated via an aqueous process, is a larger size, and has a different structure than lipid nanoparticles (LNPs).^{8,11,12} In addition, LNPs have an ionizable lipid, which is predominantly neutral at physiological pH, as opposed to the quaternary lipid DOTMA, and this allows them to have an excellent combination of *in vivo* efficacy and low toxicity.^{8,13} As such, LNPs are promising delivery vectors for the brain.^{14,15} Rungta et al. demonstrated that LNPs encapsulating siRNA have been injected into the brain

after a direct intracerebral or intracerebroventricular (ICV) injection and were able to efficiently knock down neuronal gene expression.¹⁶ Further studies by Tanaka et al. and Li et al. demonstrated that mRNA can also be delivered using LNPs after ICV injection into mouse brain tissue.^{6,7} Tanaka et al. employed LNPs comprising thiol cleavable lipids to deliver luciferase mRNA and eGFP mRNA into the cerebroventricular region of the brain.⁶ They also demonstrated that LNPs can deliver eGFP mRNA into neurons and astrocytes post-ICV injections.⁶ More recently, Li et al. infused their LNPs, which contained Cre mRNA, into the lateral ventricle of adult Ai14 mice continuously from day 1 to day 4 using an osmotic pump connected to a brain cannula.⁷ As a result of Cre recombination, tdTomato expression was observed in the cells lining the injected lateral ventricle (hypothesized to be ependymal cells) and in the hippocampus, specifically in the stratum oriens of field CA1, CA2, and CA3.⁷ Taken together,

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these pioneering studies have demonstrated that mRNA delivery by LNPs is possible after the direct ICV injection into the brain. However, transfected cells appeared to be localized to the restricted brain regions, primarily to the cells lining the ventricle wall with small levels of transfection in the brain tissue. This implicates that the tissue penetration of LNP mRNA complexes after an ICV injection is thought to be low for most potential therapeutic applications. In addition, the transfection distance and delivery efficiency for mRNAs of larger proteins such as Cas9, which are considered to be significantly more challenging to deliver, were not fully investigated in these studies.

Intracerebral injections of LNP mRNA complexes represent an alternative approach for transfection of brain tissue. Intracerebral injections are clinically feasible and are performed in a variety of clinical scenarios,^{17–23} as well as in various preclinical settings.^{4,24–27} An intracerebral injection avoids the tissue barrier found in the blood–cerebrospinal fluid (CSF) barrier or blood–brain barrier (BBB) and consequently should lead to significantly higher brain transfection levels by specifically targeting local regions of the brain.^{4,20,22,26,27} In addition, a previous study estimated that human brain extracellular space has pores even larger than 200 nm in diameter, although more than 95% of all pores have diameters of 175 nm or smaller.²⁸ In mice, nanoparticles densely coated with PEG measuring up to 200 nm in diameter were able to spread within brain tissue,²⁸ a size range achievable for LNPs.^{9,29} Notably, PEGylated polymer nanoparticles have previously been able to transfect DNA into brain tissue after an intracerebral injection; these nanoparticles were similar in size and surface chemistry as LNPs, suggesting that LNPs will be able to transfect brain tissue after intracerebral injection.^{28,30}

CRISPR/Cas9 is one of the most widely used gene editing tools due to the simple design of the sgRNA giving it a combination of precision and ease of use. Studies employing CRISPR have already exhibited treatment efficiency in preclinical models of neurological disorders.^{31–36} Several pioneering studies have demonstrated that gene editing in the brain with Cas9 using nonviral delivery vehicles is possible. Specifically, Staahl et al. demonstrated that direct injection of the Cas9 protein fused to 6 SV40 nuclear localization signals (4xNLS-Cas9-2xNLS) complexed to sgRNA (4xNLS-Cas9-2xNLS RNPs) into the striatum and hippocampus of Ai14 mice was able to edit genes in brain tissue efficiently.³⁷ Lee et al. further demonstrated that CRISPR-Gold, which contains gold nanoparticles and Cas9 RNPs, was able to successfully edit target gene and rescue increased repetitive behaviors in a mouse model for fragile X syndrome, a neurodevelopmental disorder.³³ This was achieved by injecting directly into the striatum.³³ More recently, there have been significant advancements made in delivering mRNA into the brain using nonviral delivery vehicles. A study by Abbasi et al. demonstrated that polyplexes based upon poly[*N'*-[*N*-(2-aminoethyl)-2-aminoethyl]aspartamide] (P[Asp(DET)]) were also capable of delivering mRNA expressing Cas9 along with sgRNA.³⁸ These polyplexes were able to edit genes in the cortex after a direct intracerebral injection, using the Ai9 mouse model.³⁸ Notably, there has been an effort of Cas9 mRNA/sgRNA delivery using LNPs in cultured cells by Li et al.; however, Cas9 mRNA has not been tested using LNPs in the brain yet.⁷

In this Article, we demonstrate that LNP mRNA complexes can efficiently transfect brain tissue after an intracerebral injection into the striatum or hippocampus. We constructed

LNPs containing 4-(dimethylamino)-butanoic acid and (10Z,13Z)-1-(9Z,12Z)-9,12-octadecadien-1-yl-10,13-nonadecadien-1-yl ester (DLin-MC3-DMA; MC3), named MC3 LNPs, and prepared them along with Cre mRNA or Cas9 mRNA/Ai9 sgRNA. We subsequently injected these LNPs into the striatum and hippocampus of Ai9 mice and then performed extensive analysis, which determined the transfection distance, total number of transfected cells, local delivery efficiency, and cell types transfected. We observed that MC3 LNP Cre mRNA transfected more than half of the entire striatum and hippocampus based on the rostro-caudal transfection distance analysis. Furthermore, we found that MC3-based LNPs transfected Cre mRNA to more than 1×10^5 brain cells with an approximate 52% and 49% local transfection efficiency in the striatum and hippocampus, respectively. In addition, MC3 LNPs also delivered Cas9 mRNA/Ai9 sgRNA and edited $1.5\text{--}3.3 \times 10^3$ cells with approximately 7% and 3% local transfection efficiency in the striatum and hippocampus, respectively. These results demonstrate that MC3 LNPs were able to deliver mRNAs for larger sizes of proteins into the brain tissue. We further demonstrate that MC3 LNPs deliver mRNAs to multiple brain cell types, namely, neurons, astrocytes, and microglia. Collectively, our results demonstrate that MC3 LNPs hold great potential for mRNA delivery in the brain while having both the transfection efficiency and tissue penetration properties needed for a variety of therapeutic applications.

■ MATERIALS AND METHODS

Materials. Paraformaldehyde (PFA) and D(+)-sucrose were purchased from ACROS Organics; phosphate buffered saline (PBS) was purchased from Fisher BioReagents. Superfrost Plus Microscope Slides were purchased from Fisher Scientific; Tissue-Plus O.C.T. Compound was purchased from Fisher Healthcare. Isoflurane was purchased from Vetone; Prolong Gold Antifade Reagent was purchased from Invitrogen. Embedding Molds were purchased from ThermoSCIENTIFIC; DAPI (4,6-diamidino-2-phenylindole) was purchased from Invitrogen. Cre mRNA (L-7211) and Cas9 mRNA (L-7606) were purchased from TriLink BioTechnologies; Ai9 sgRNA was purchased from Integrated DNA Technologies. MC3 was purchased from MedKoo; DOPE and 1,2-dimyristoyl-rac-glycero-3-methoxypolyethylene glycol (DMG-PEG) were purchased from Avanti Polar Lipids, and cholesterol was purchased from Sigma-Aldrich.

Antibodies. The chicken IgY polyclonal RFP antibody (cat. #: 600-901-379S) was purchased from Rockland and the mouse IgG₁ monoclonal NeuN antibody (cat. #: MAB377), from Millipore. The rabbit polyclonal GFAP antibody (cat. #: AB5804) was purchased from Sigma-Aldrich and the rabbit polyclonal Iba1 antibody (cat. #: 019-19741), from WAKO Chemicals. The Cy3 AffiniPure Donkey Anti-Chicken IgY (cat. #: 703-165-155), Alexa Fluor 647 AffiniPure Donkey Anti-Mouse IgG (cat. #: 715-605-151), and Alexa Fluor 488 AffiniPure Donkey Anti-Rabbit IgG (cat. #: 711-545-152) were purchased from Jackson ImmunoResearch Laboratories, Inc.

MC3 LNP Synthesis and mRNA Preparation. For MC3 LNP preparation, a stock solution (10 mg mL^{-1}) of MC3 (MedKoo, cat. #: 555308), DOPE (Avanti Polar Lipids, cat. #: 850725), cholesterol (Sigma-Aldrich, cat. #: C8667), and DMG-PEG (Avanti Polar Lipids, cat. #: 880151) was made by dissolving each lipid separately in ethanol, and then, they were stored at $-30 \text{ }^\circ\text{C}$. Just before the LNP formation, the lipids

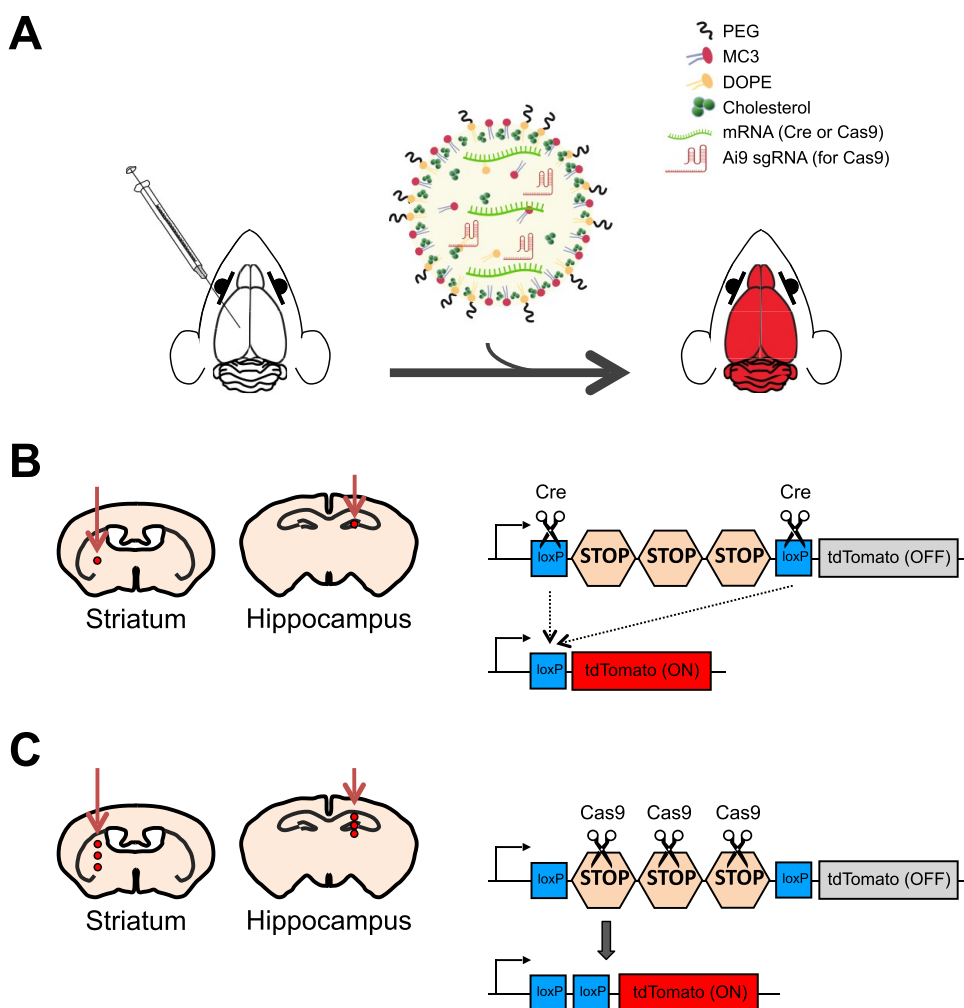


Figure 1. Scheme of the procedure. (A) Schematic showing the injection procedure. MC3 LNPs containing either Cre mRNA or Cas9 mRNA/Ai9 sgRNA injected into the brain were able to deliver mRNA and induce gene recombination or editing in Ai9 mice. (B) Left: Scheme of the injection site for MC3 LNP Cre mRNA and controls (saline or Cre mRNA) in either the striatum or hippocampus of adult Ai9 mice. Saline, Cre mRNA (0.125 or $0.250 \mu\text{g } \mu\text{L}^{-1}$), or MC3 LNP Cre mRNA (0.125 or $0.250 \mu\text{g } \mu\text{L}^{-1}$) was injected into the striatum or hippocampus of Ai9 mice. Right: Scheme of Cre-mediated recombination of the tdTomato gene. (C) Left: Schematic showing the injection site for MC3 LNP Cas9 mRNA/Ai9 sgRNA and controls (saline or Cas9 mRNA) in either the striatum or hippocampus of adult Ai9 mice. Saline, Cas9 mRNA (0.125 or $0.250 \mu\text{g } \mu\text{L}^{-1}$), or MC3 LNP Cas9 mRNA/Ai9 sgRNA (0.125 or $0.250 \mu\text{g } \mu\text{L}^{-1}$) was injected into the striatum or hippocampus of Ai9 mice. Right: Scheme of Cas9-mediated editing of the tdTomato gene.

were taken out, kept on ice, and vortexed whenever necessary. The cholesterol solution was warmed up to dissolve the crystals that form during cold storage. Then, MC3, DOPE, cholesterol, and DMG-PEG were mixed in 36.8:23.8:38.2:1.2 molar ratios, respectively. RNAs ($1 \mu\text{g } \mu\text{L}^{-1}$ Cre mRNA and Cas9 mRNA/Ai9 sgRNA) were dissolved in 200 mM citrate buffer (pH 4) and mixed with MC3 LNPs in a 1:3 ratio (volume/volume). The resulting MC3 LNP mRNA complexes were gently vortexed and incubated at room temperature for 15 min for immediate use or stored at 4°C for shipping and handling. Size distribution and polydispersity of MC3 LNPs with Cre mRNAs were determined by a Malvern Zetasizer (Malvern) with an average size of 162 nm, as shown in Figure S1. A schematic diagram of the formulation process for MC3 LNPs with mRNA is shown in Figure 1A. The Ai9 sgRNA targeting sequence that was used with Cas9 mRNA is AAGTAAAACCTCTACAAATG.

Animal Care and Use. Ai9 mice (B6.Cg-Gt(ROSA)-26Sortm9(CAG-tdTomato)Hze/J; Cre-dependent tdTomato reporter mice in C57BL/6J background) were obtained from

Jackson Laboratory (stock #: 007909). The use and care of animals in this study followed the guidelines of the UTHSCSA Institutional Animal Care and Use Committee.

Stereotaxic Injection. Male and female Ai9 mice aged 2–3 months were used in this study. Animals were anaesthetized using isoflurane (4% for induction and 2% for maintaining during the surgery) and injected with either saline (as a control), Cre mRNA (with MC3 LNPs or without MC3 LNPs as a control; 2 different concentrations), or Cas9 mRNA/Ai9 sgRNA (with MC3 LNPs or without MC3 LNPs as a control; 2 different concentrations) into the striatum (AP: +0.50 mm; ML: +1.87 mm or -1.87 mm depending on the experiment) and hippocampus (AP: -2.56 mm; ML: +1.55 mm or -1.55 mm depending on the experiment) (Figure 1). The saline control was injected as a single injection (for striatum DV: -3.47 mm; for hippocampus DV: -2.00 mm) with a volume of $1.5 \mu\text{L}$ per injected site ($0.5 \mu\text{L } \text{min}^{-1}$). The Cre mRNA control and MC3 LNP Cre mRNA were injected (DV for striatum: -3.47 mm; DV for hippocampus: -2.00 mm) with either low ($0.125 \mu\text{g } \mu\text{L}^{-1}$) or high ($0.250 \mu\text{g } \mu\text{L}^{-1}$) dosage

with 1.5 μL per injection site (0.5 $\mu\text{L min}^{-1}$, as a single injection; Figure 1B). The Cas9 mRNA/Ai9 sgRNA control and MC3 LNP Cas9 mRNA/Ai9 sgRNA were injected (DV for striatum: -3.47 , -3.07 , and -2.67 mm with a 0.4 mm interval; DV for hippocampus: -2.00 , -1.85 , and -1.70 mm with a 0.15 mm interval) with either low (0.125 $\mu\text{g } \mu\text{L}^{-1}$) or high (0.250 $\mu\text{g } \mu\text{L}^{-1}$) dosage with 2.1 μL (0.5 $\mu\text{L min}^{-1}$, 0.7 μL per injection site X 3 injection sites while pulling out the needle; Figure 1C). Injections were performed using a Hamilton Neuros syringe (Hamilton Company). After infusion, the injector was left at the injection site for 5 min and then slowly withdrawn. A period of 21 days was given before perfusion and brain analysis.

Sample Processing. The brain collection was performed 21 days after stereotaxic injection. Mice were anaesthetized by isoflurane and were perfused with ice-cold PBS followed by 4% PFA in PBS. The brains were postfixed for 4 h in 4% PFA, washed twice with PBS, and then cryoprotected by 30% sucrose in PBS at 4 °C for 2–3 days. After cryoprotection, the brains were embedded in the O.C.T. compound, frozen, and then stored at -80 °C until further processing. The brain sections were obtained by a cryostat (CM1860 UV; Leica Microsystems). Slices with striatum and hippocampus were sectioned on the coronal plane at 20 μm , mounted on glass slides, and stored at -20 °C until further use. The sections for DAPI staining without further immunostaining were washed three times in PBS. After washing, the sections were incubated with DAPI diluted in PBS (1:1500 of 5 $\mu\text{g } \mu\text{L}^{-1}$ stock solution) for 10 min. Next, the sections were washed three times in PBS and mounted with the Prolong Gold Antifade Reagent for imaging.

Immunostaining. Every third brain section collected from the striatum and the hippocampus was immunostained and used for analyses. The sections for immunostaining were washed three times in PBS, and then, antigen retrieval was performed by steaming in a citrate buffer (0.294% sodium citrate, 0.05% Tween 20 in distilled water, pH 6.0) for 15 min with subsequent cooling over ice for 10 min. The sections were rinsed in PBS and blocked (5% goat serum, 0.2% Triton X-100 in PBS) at room temperature for 1 h. The sections were next incubated in the same blocking solution with primary antibodies (1:1000 chicken IgY anti-RFP, 1:500 mouse IgG₁ anti-NeuN, 1:500 rabbit anti-GFAP, and 1:500 rabbit anti-Iba1) overnight at 4 °C. The sections were washed four times in PBS before incubation with secondary antibodies (1:500 Cy3 AffiniPure Donkey Anti-Chicken IgY, 1:500 Alexa Fluor 647 AffiniPure Donkey Anti-Mouse IgG, and 1:500 Alexa Fluor 488 AffiniPure Donkey Anti-Rabbit IgG) for 2 h. After the incubation with secondary antibodies, the sections were washed four times in PBS and then incubated with DAPI diluted in PBS (1:1500 of 5 $\mu\text{g } \mu\text{L}^{-1}$ stock solution) for 10 min. Next, the sections were washed three times in PBS and mounted with Prolong Gold Antifade Reagent.

Imaging. The stained brain sections were imaged using a Zeiss Axio Observer 7 microscope with dry 10 \times , 20 \times , or oil 40 \times objectives and captured with a camera AxioCam 503 mono (Carl Zeiss Microscopy GmbH). For each experiment, the exposure time was kept consistent to allow for a comparative analysis between images within the experiment. Apotome.2 (Carl Zeiss Microscopy GmbH) was utilized to prevent scattered out-of-focus light and improve resolution. Post-processing including Apotome processing or stitching processing was done using Zen 2.3 (Carl Zeiss Microscopy GmbH).

For whole brain slide images, images were taken using the 10 \times objective and were stitched together. For the transfection distance analysis, every third brain slice was stained with DAPI and the transfection distance was defined by identifying the brain slices with the presence of tdTomato and DAPI copositive (tdTomato⁺; DAPI⁺) cells. For determining the number of total tdTomato⁺; DAPI⁺ cells in the injected area, every fourth DAPI-stained brain slice (every 12th brain slice per mouse) was imaged for analysis using the 20 \times objective and then stitched together. For determining the local transfection efficiency and for counting specific cell types (neurons, astrocytes, or microglia), DAPI stained and/or immunostained brain slices (10–15 images from 2–3 mice, close to the injection site) were imaged with z-stack (10 μm thickness; 1 μm intervals) using a 40 \times objective.

Image Analysis. Transfection distance was quantified by identifying the brain slices of the coronal sections with presence of tdTomato⁺; DAPI⁺ cells as defined in the previous section and calculated in the rostro-caudal axis. Specifically, the total number of stitched images containing tdTomato⁺; DAPI⁺ cells was then multiplied by 60 μm , the thickness of 3 brain slices, to obtain the transfection distance in the striatum or hippocampus given every third brain slice was imaged as described above. For determining the number of total tdTomato⁺; DAPI⁺ cells, tdTomato⁺; DAPI⁺ cells were counted in every fourth DAPI stained brain slice (every 12th brain slice per mouse) through the entire rostro-caudal extent of the striatum or hippocampus. Then, the total number of cells counted from every fourth DAPI stained brain slice was multiplied by 12 to obtain the estimate of the total number of tdTomato⁺; DAPI⁺ cells in the striatum or hippocampus, similar to previously reported methods.³⁷ We analyzed the whole brain area except the inner wall of ventricle to avoid the possibility that vectors were transported by ventricular CSF. The number of cells was counted using ImageJ software (NIH).

Quantifications of local transfection efficiency and cell type-specific analyses were performed in defined Regions of Interest (ROIs) of the heaviest transfected area of striatum or hippocampus, which was identical in size (219.74 \times 165.71 μm) among all animals. Analyzed ROI images originated from 5 different brain sections per mouse, 10–15 images from 2–3 mice. The cells were quantified along the z-stack using the optical dissector to avoid the over/undercounting of superimposed cells. To analyze the percentage of edited cells in the ROIs, tdTomato⁺ cells were counted and normalized with the number of DAPI⁺ cells. Total number of DAPI⁺ cells in Ai9 mice was also counted and presented. To determine the percentage of each cell type (neurons, astrocytes, or microglia) among edited cells, NeuN⁺; tdTomato⁺, GFAP⁺; tdTomato⁺, and Iba1⁺; tdTomato⁺ in ROIs were counted and normalized by a number of tdTomato⁺ cells. Each cell count before normalization (non-normalized raw value) is also presented. All contrast/brightness parameters were kept identical between ROI images, and the counting was performed as a blind experiment. The number of cells was counted using ImageJ software (NIH).

Statistical Analysis. Statistical analyses were conducted with data acquired from a total of 5 images per animal, a total of 10–15 images per group. The data were analyzed using a two-tailed permutation *t*-test with 10,000 permutations. The significance level was defined as $p < 0.05$. Statistical analyses

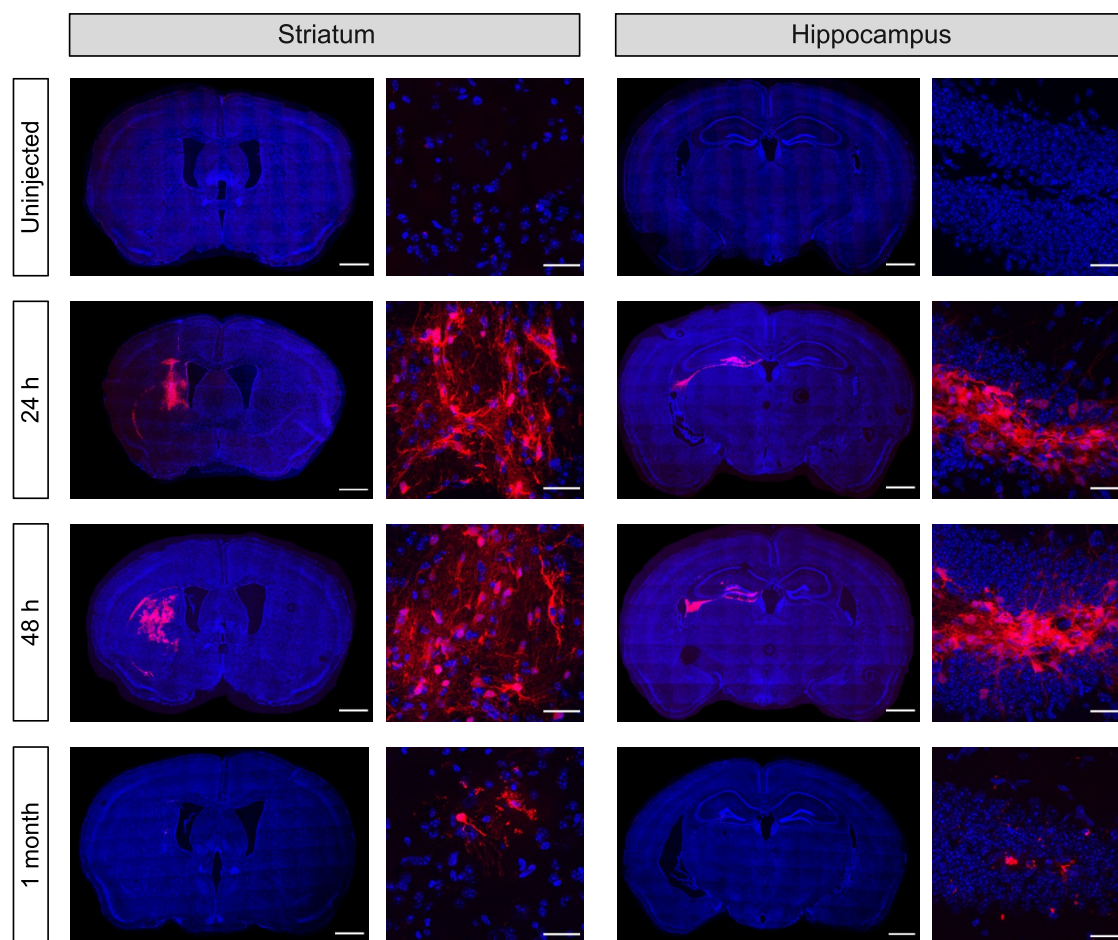


Figure 2. Postsynthesis stability of MC3 LNPs. MC3 LNP Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$; stored at 4°C) was injected 24 h, 48 h, and 1 month after the MC3 LNP synthesis into the striatum (Left) or hippocampus (Right) of Ai9 mice. The presence of tdTomato (red) expression and DAPI (blue) nuclear staining were analyzed 21 days after the MC3 LNP Cre mRNA injection. Scale bars represent $100 \mu\text{m}$ (Left) for whole brain images and $30 \mu\text{m}$ (Right) for higher magnification images.

were conducted using RVAideMemoire packages for R version 3.6.0. All data are presented as mean \pm SEM.

RESULTS AND DISCUSSION

MC3 LNP Cre mRNA Complexes Induce Efficient Cre-Mediated Recombination in the Striatum and Hippocampus of Ai9 Mice. Cationic lipid mRNA complexes have been injected intracerebrally, and LNP mRNA complexes have been injected via the ICV route;^{6,7,10} however, LNP mRNA complexes have not been fully investigated for transfection efficiencies and tissue tropism after intracerebral injections into the brain tissue. In order to determine the transfection efficiency of MC3 LNPs in brain tissue, we injected MC3 LNP Cre mRNA into two brain regions, the striatum and hippocampus, of Ai9 mice (Figure 1B). We selected MC3 as the ionizable lipid for our LNPs because of its well-characterized low level of toxicity, excellent clinical track record, and high efficiency.^{14,39,40} LNPs containing MC3 were formulated using a standard two-phase mixing protocol, where mRNA dissolved in citrate buffer (pH 4) was mixed with an ethanol solution containing the lipids, similar to previously reported methods.^{39,41} The mixing was performed by hand pipetting and generated MC3 LNP Cre mRNA of approximately 150–170 nm diameter (Figure S1). Genetically engineered Ai9 mice have a fluorescent tdTomato gene

containing upstream loxP-flanked STOP cassettes.⁴² Once the Cre recombinase activates the transgene, tdTomato is expressed, and the gene-edited cells appear as tdTomato-positive cells (Figure 1). First, we injected Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$) with MC3 LNPs (MC3 LNP Cre mRNA) into the brains (striatum or hippocampus) of Ai9 mice and collected the brains 21 days after the injection (the uninjected group was used as a control). Our pilot *in vivo* experiment demonstrated that MC3 containing LNPs were stable and able to transfect brain cells for at least 2 days after synthesis if stored at 4°C (Figure 2); therefore, our experiments were performed within 2 days after LNP formulation.

After demonstrating that MC3 LNP Cre mRNA was able to transfect brain cells, we next checked the transfection efficiency of MC3 LNP Cre mRNA by determining the transfection distance and the total number of transfected cells. We injected saline and low ($0.125 \mu\text{g } \mu\text{L}^{-1}$) or high ($0.250 \mu\text{g } \mu\text{L}^{-1}$) dose of Cre mRNA with or without MC3 LNPs into the brains (striatum or hippocampus) of Ai9 mice and collected the brains 21 days after the injection (saline and Cre mRNA without MC3 LNPs-injected group were used as controls). Brain slices were sectioned on the coronal plane at a thickness of $20 \mu\text{m}$ and DAPI stained before imaging. For determining the transfection distance, we identified brain slices containing tdTomato⁺; DAPI⁺ cells and counted as described in the Image

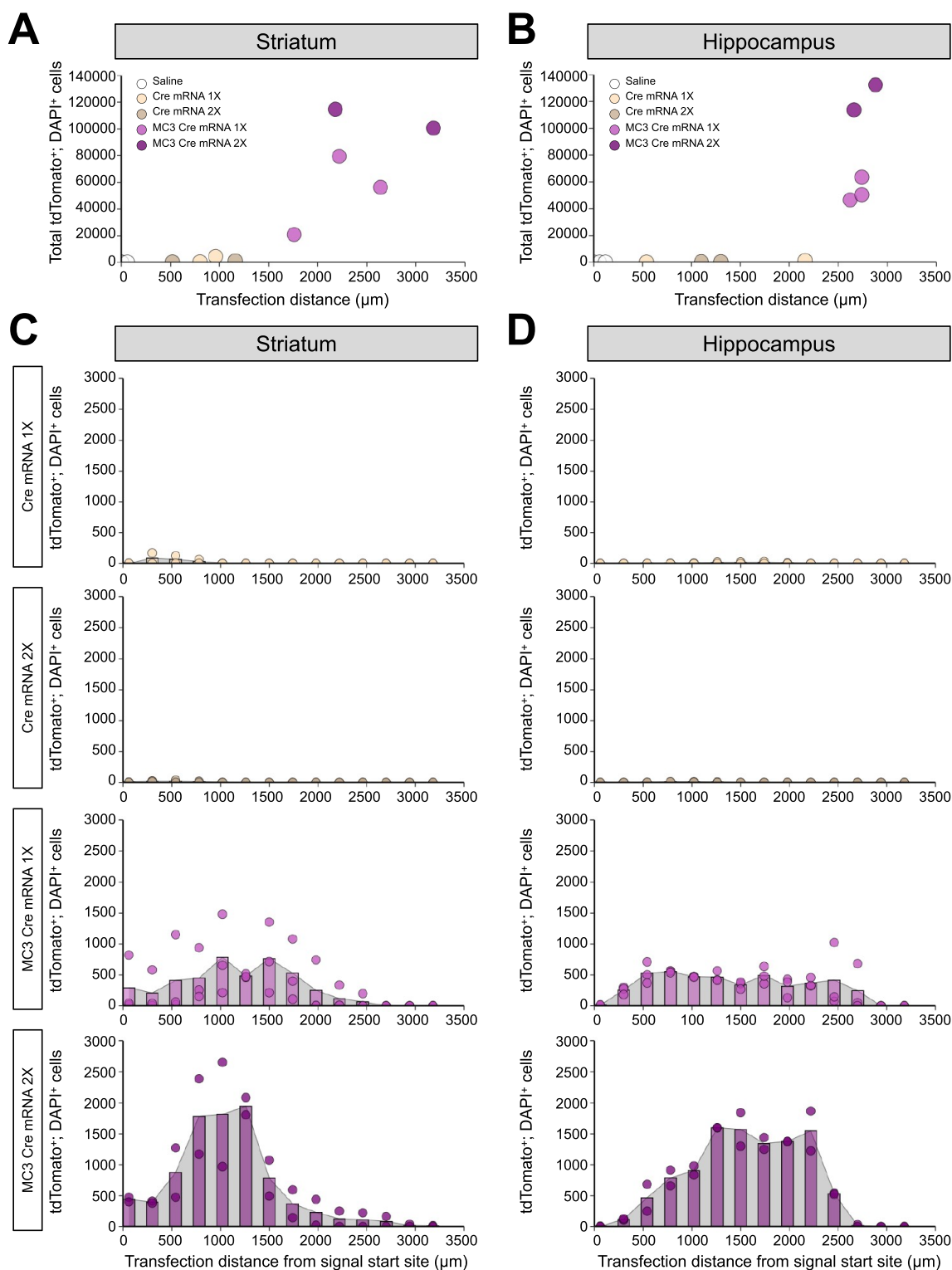


Figure 3. Transfection distance and efficiency after MC3 LNP-mediated Cre mRNA delivery in the striatum and hippocampus. (A, B) Transfection distance and total tdTomato⁺; DAPI⁺ cells in striatal injected samples (A) and hippocampal injected samples (B). (C, D) Quantification of tdTomato⁺; DAPI⁺ cells in every 12th brain slice in striatal injected samples (C) and hippocampal injected samples (D). Saline (*n* = 3 mice), low dose of Cre mRNA (0.125 μg μL⁻¹; Cre mRNA 1X; *n* = 2 mice), high dose of Cre mRNA (0.250 μg μL⁻¹; Cre mRNA 2X; *n* = 2 mice), low dose of MC3 LNP Cre mRNA (0.125 μg μL⁻¹; MC3 Cre mRNA 1X; *n* = 3 mice), and high dose of MC3 LNP Cre mRNA (0.250 μg μL⁻¹; MC3 Cre mRNA 2X; *n* = 2 mice).

Analysis section. In the striatum, the transfection distance for low and high doses of Cre mRNA were 880 ± 80 and 840 ± 320 μm, respectively, and for low and high doses of MC3 LNP Cre mRNA were 2207 ± 254 and 2680 ± 500 μm, respectively

(Figure 3A). In the hippocampus, the transfection distance for low and high doses of Cre mRNA were 1350 ± 810 and 1200 ± 100 μm, respectively, and for low and high doses of MC3 LNP Cre mRNA were 2700 ± 40 and 2770 ± 110 μm,

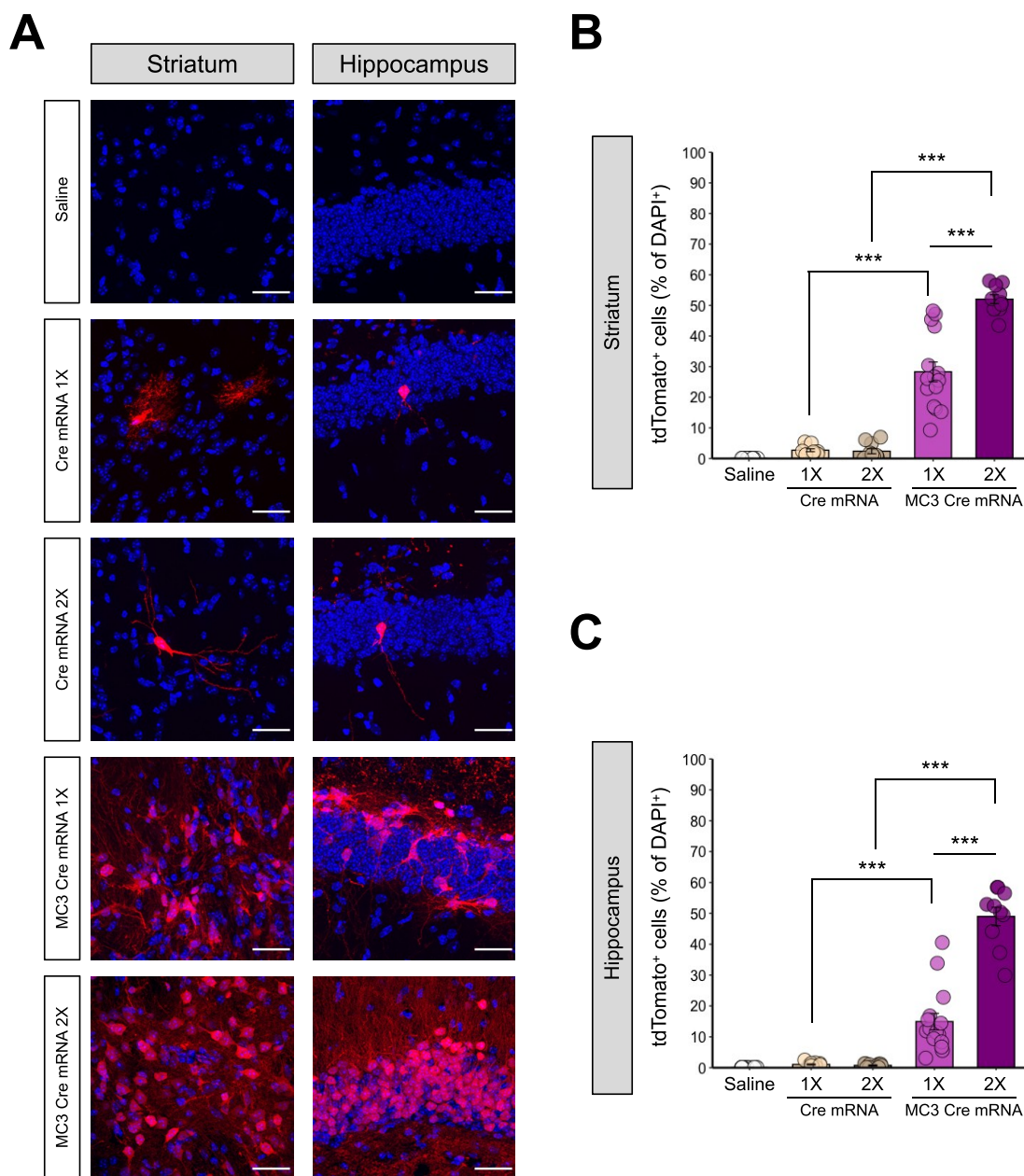


Figure 4. MC3 LNPs can deliver Cre mRNA and enhance Cre-mediated recombination in the mouse striatum and hippocampus. (A) tdTomato (red) expression and DAPI (blue) nuclear staining were analyzed 21 days after the saline, Cre mRNA, or MC3 LNP Cre mRNA injection in the striatum (Left) and the hippocampus (Right). Cre mRNA or MC3 LNP Cre mRNA was injected with two doses: $0.125 \mu\text{g } \mu\text{L}^{-1}$ (1X) or $0.250 \mu\text{g } \mu\text{L}^{-1}$ (2X). The scale bar represents $30 \mu\text{m}$. (B, C) Quantification of the percentage of tdTomato⁺ cells among DAPI⁺ cells in the striatum (permutation one-way ANOVA: $F_{(4,55)} = 129.20, p < 0.001$) (B) and the hippocampus (permutation one-way ANOVA: $F_{(4,55)} = 110.80, p < 0.001$) (C). All data are presented as mean \pm SEM; ** $p < 0.01$, *** $p < 0.001$ by *post hoc* permutation *t*-test. *Post hoc p* values were calculated between Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$ or $0.250 \mu\text{g } \mu\text{L}^{-1}$) and MC3 LNP Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$ or $0.250 \mu\text{g } \mu\text{L}^{-1}$) as well as between dosages of $0.125 \mu\text{g } \mu\text{L}^{-1}$ vs $0.250 \mu\text{g } \mu\text{L}^{-1}$ within Cre mRNA or MC3 LNP Cre mRNA. Saline ($n = 15$ images from 3 mice), low dose of Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$; Cre mRNA 1X; $n = 10$ images from 2 mice), high dose of Cre mRNA ($0.250 \mu\text{g } \mu\text{L}^{-1}$; Cre mRNA 2X; $n = 10$ images from 2 mice), low dose of MC3 LNP Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$; MC3 Cre mRNA 1X; $n = 15$ images from 3 mice), and high dose of MC3 LNP Cre mRNA ($0.250 \mu\text{g } \mu\text{L}^{-1}$; MC3 Cre mRNA 2X; $n = 10$ images from 2 mice).

respectively (Figure 3B). Further, for determining total Cre-recombined cells, tdTomato⁺; DAPI⁺ cells were counted in every fourth DAPI stained brain slice (every 12th brain slice per mouse) (shown as histogram in Figure 3C,D) and multiplied by 12 to obtain the estimate of the total number of tdTomato⁺; DAPI⁺ cells (Figure 3A,B) as described in the Image Analysis section. As a result, in the striatum, the total tdTomato⁺; DAPI⁺ cells for low and high doses of Cre mRNA

were 2316 ± 2040 and 672 ± 468 cells, respectively, and for low and high doses of MC3 LNP Cre mRNA were $52,160 \pm 17,075$ and $107,742 \pm 7050$ cells, respectively (Figure 3A). In the hippocampus, the total tdTomato⁺; DAPI⁺ cells for low and high doses of Cre mRNA were 648 ± 576 and 324 ± 12 cells, respectively, and for low and high doses of MC3 LNP Cre mRNA were $53,484 \pm 5158$ and $123,210 \pm 9366$ cells, respectively (Figure 3B). We did not observe a noticeable

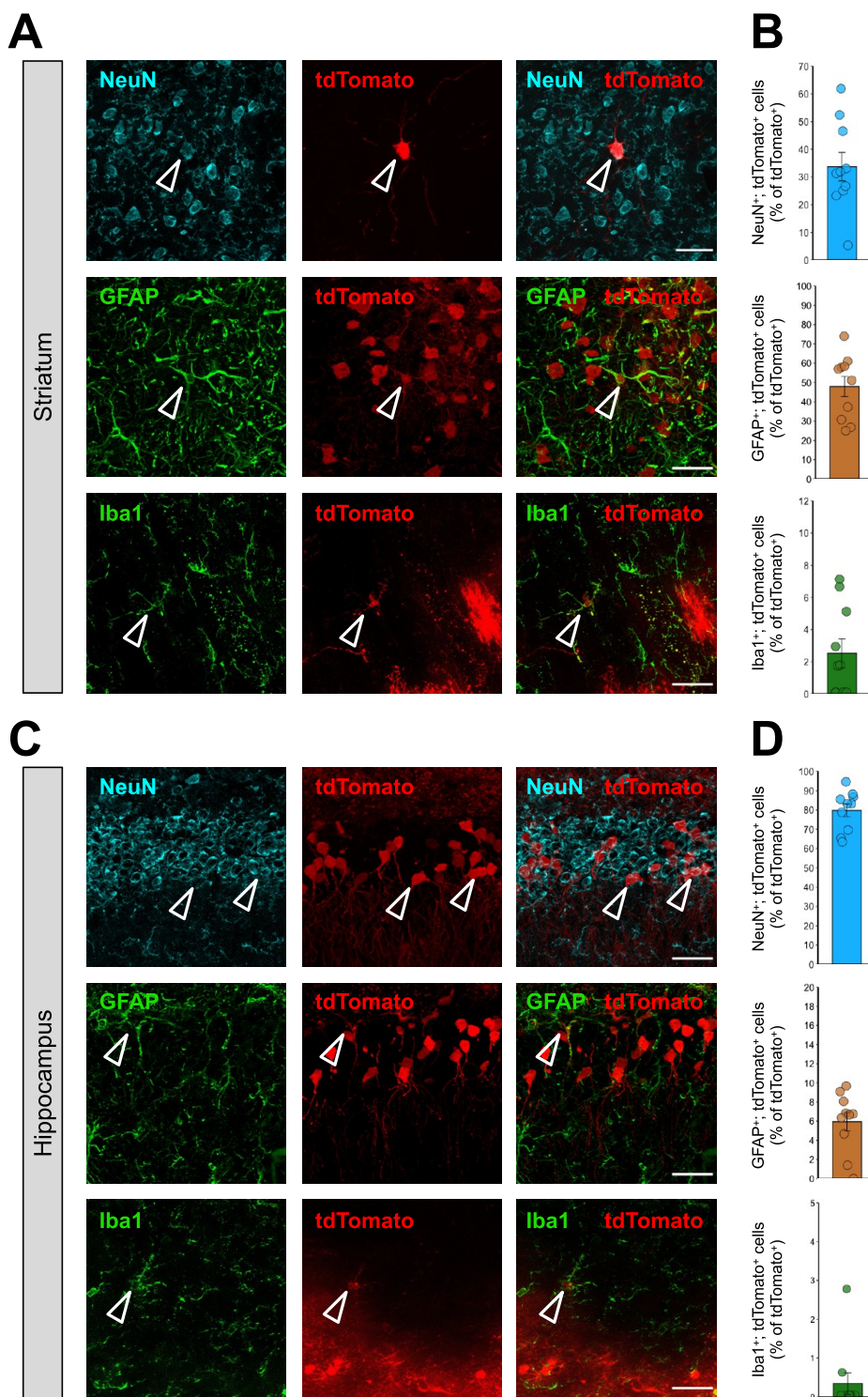


Figure 5. The cell type specificity after the injection of MC3 LNP Cre mRNA in the striatum and hippocampus of Ai9 mice. (A, C) Immunostaining of tdTomato⁺ (red) and either NeuN⁺ (cyan), GFAP⁺ (green), or Iba1⁺ (green) cells 21 days after stereotaxic injection of MC3 LNP Cre mRNA (0.250 μg μL⁻¹) in the striatum (A) and the hippocampus (C). The scale bar represents 30 μm. (B, D) Quantification of NeuN⁺; tdTomato⁺, GFAP⁺; tdTomato⁺, or Iba1⁺; tdTomato⁺ cells among total tdTomato⁺ cells (%) in the MC3 LNP Cre mRNA (0.250 μg μL⁻¹)-injected striatum (B) and hippocampus (D). All data are presented as mean ± SEM. MC3 LNP Cre mRNA (0.250 μg μL⁻¹; n = 10 images from 2 mice).

number of tdTomato⁺; DAPI⁺ cells by saline injection as a baseline control in both the striatum and hippocampus. Taken together, these results demonstrate that MC3 LNPs deliver Cre mRNAs efficiently after intracerebral injection into

striatum and hippocampus. Notably, a high dose of MC3 LNP Cre mRNA transfected approximately 2-fold more cells than a low dose of MC3 LNP Cre mRNA did in both striatum

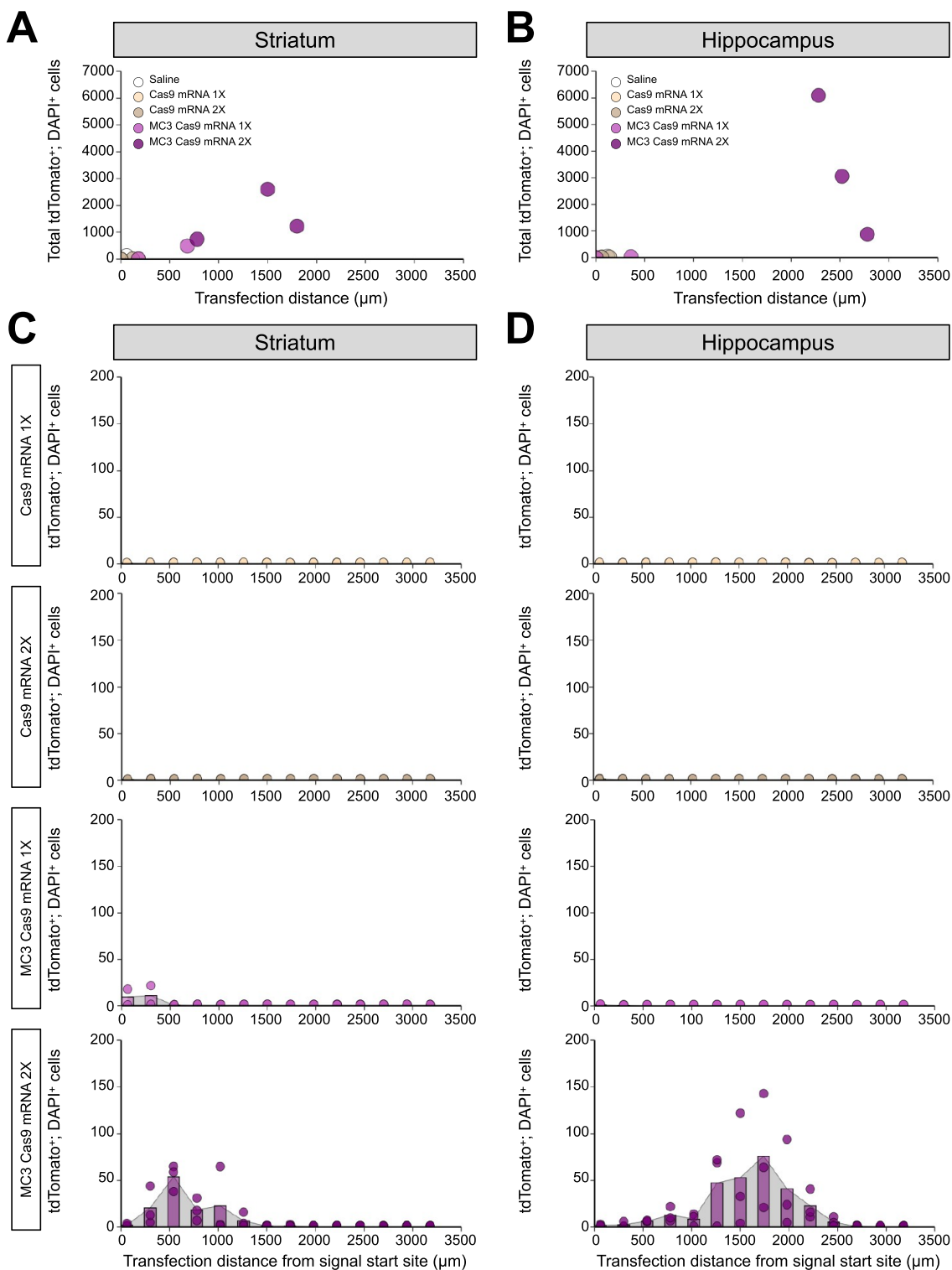


Figure 6. Transfection distance and efficiency after MC3 LNP-mediated Cas9 mRNA/Ai9 sgRNA delivery in the striatum and hippocampus. (A, B) Transfection distance and total tdTomato⁺; DAPI⁺ cells in striatal injected samples (A) and hippocampal injected samples (B). (C, D) Quantification of tdTomato⁺; DAPI⁺ cells in every 12th brain slice in striatal injected samples (C) and hippocampal injected samples (D). Saline (*n* = 3 mice), low dose of Cas9 mRNA/Ai9 sgRNA (0.125 μg μL⁻¹; Cas9 mRNA 1X; *n* = 2 mice), high dose of Cas9 mRNA/Ai9 sgRNA (0.250 μg μL⁻¹; Cas9 mRNA 2X; *n* = 2 mice), low dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA (0.125 μg μL⁻¹; MC3 Cas9 mRNA 1X; *n* = 2 mice), and high dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA (0.250 μg μL⁻¹; MC3 Cas9 mRNA 2X; *n* = 3 mice).

and hippocampus, while low and high doses of MC3 LNP Cre mRNA showed similar transfection distance.

Next, we further analyzed the local transfection efficiency in the highest transfected areas of the striatum and hippocampus

determined by the results from Figure 3. As a result, Ai9 mice injected with MC3 LNP Cre mRNA had significantly increased tdTomato-positive cells in comparison to control groups (saline or Cre mRNA without MC3 LNPs) in the striatum

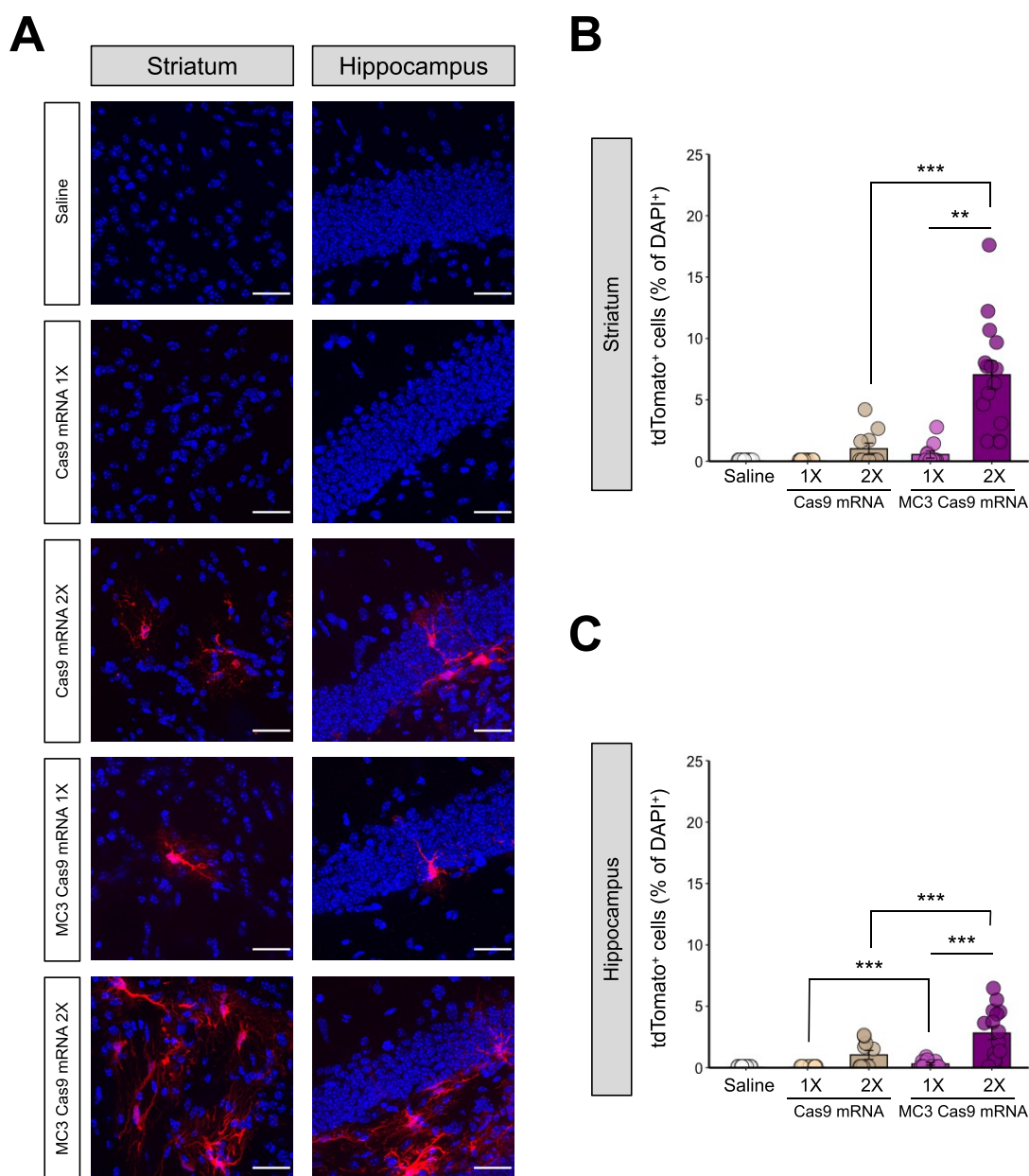


Figure 7. MC3 LNPs can deliver Cas9 mRNA with Ai9 sgRNA to promote gene editing in mouse striatum and hippocampus in a dose-dependent manner. (A) tdTomato (red) expression and DAPI (blue) nuclear staining were analyzed 21 days after the saline, Cas9 mRNA/Ai9 sgRNA, or MC3 LNP Cas9 mRNA/Ai9 sgRNA injection in the striatum (Left) and the hippocampus (Right). Cas9 mRNA/Ai9 sgRNA or MC3 LNP Cas9 mRNA/Ai9 sgRNA was injected with two doses: $0.125 \mu\text{g } \mu\text{L}^{-1}$ (1X) or $0.250 \mu\text{g } \mu\text{L}^{-1}$ (2X). The scale bar represents $30 \mu\text{m}$. (B, C) Quantification of the percentage of tdTomato⁺ cells among DAPI⁺ cells in the striatum (permutation one-way ANOVA: $F_{(4,55)} = 23.36$, $p < 0.001$) (B) and the hippocampus (permutation one-way ANOVA: $F_{(4,55)} = 15.29$, $p < 0.001$) (C). All data are presented as mean \pm SEM; ** $p < 0.01$, *** $p < 0.001$ by *post hoc* permutation *t*-test. *Post hoc p* values were calculated between Cas9 mRNA/Ai9 sgRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$ or $0.250 \mu\text{g } \mu\text{L}^{-1}$) and MC3 LNP Cas9 mRNA/Ai9 sgRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$ or $0.250 \mu\text{g } \mu\text{L}^{-1}$) as well as between dosages of $0.125 \mu\text{g } \mu\text{L}^{-1}$ vs $0.250 \mu\text{g } \mu\text{L}^{-1}$ within Cas9 mRNA/Ai9 sgRNA or MC3 LNP Cas9 mRNA/Ai9 sgRNA. Saline ($n = 15$ images from 3 mice), Cas9 mRNA/Ai9 sgRNA low dose ($0.125 \mu\text{g } \mu\text{L}^{-1}$; Cas9 mRNA 1X; $n = 10$ images from 2 mice), Cas9 mRNA/Ai9 sgRNA high dose ($0.250 \mu\text{g } \mu\text{L}^{-1}$; Cas9 mRNA 2X; $n = 10$ images from 2 mice), MC3 LNP Cas9 mRNA/Ai9 sgRNA low dose ($0.125 \mu\text{g } \mu\text{L}^{-1}$; MC3 Cas9 mRNA 1X; $n = 10$ images from 2 mice), and MC3 LNP Cas9 mRNA/Ai9 sgRNA high dose ($0.250 \mu\text{g } \mu\text{L}^{-1}$; MC3 Cas9 mRNA 2X; $n = 15$ images from 3 mice).

(Figure 4A Left panel and Figure 4B) and hippocampus (Figure 4A Right panel and Figure 4C). The dose of the MC3 LNP Cre mRNA had a significant effect on the brain local transfection efficiency, and we observed significantly higher levels of Cre-mediated recombination at the high dose of MC3 LNP Cre mRNA ($0.250 \mu\text{g } \mu\text{L}^{-1}$) compared with the low dose of MC3 LNP Cre mRNA ($0.125 \mu\text{g } \mu\text{L}^{-1}$), approximately 53.0% versus 28.0% efficiency in the striatum (Figure 4B).

Similarly, the Cre-mediated recombination was significantly higher with the high dose ($\sim 49.0\%$ efficiency) compared with the low dose ($\sim 14.9\%$ efficiency) of MC3 LNP Cre mRNA in the hippocampus (Figure 4C). We did not observe the dose-dependent effect with control injections of Cre mRNA without MC3 LNPs in both brain regions, a finding similar to that in Figure 3. Given that we occasionally observed significant differences in the total number of cells (DAPI⁺) between

treatments in the striatum and the hippocampus (Figure S2A), the Cre-recombined (tdTomato⁺) cells were normalized by the number of DAPI⁺ cells.

Taken together, we observed that MC3 LNP Cre mRNA penetrated approximately more than 1/2 of the entire striatum or hippocampus (the entire hilus of the dentate gyrus) based on the transfection area of the highest transfected brain section (Figure 2), as well as based on the rostro-caudal transfection distance analysis (Figure 3). Next, we also demonstrated that MC3 LNP Cre mRNA transfected more than 1×10^5 brain cells (Figure 3) with approximately 53% and 49% of the local transfection efficiency in the highest transfected area of striatum and hippocampus, respectively (Figure 4). Notably, the concentration of LNP mRNA complexes that we used in our study were significantly lower than the previously reported doses used for ICV injections while the transfected brain regions were significantly larger than that observed with an ICV injection.⁷ These results suggest that MC3 LNP Cre mRNA can successfully transfect brain cells after intracerebral injection.

Cre mRNAs Delivered by MC3 LNPs Generate Cre-Mediated Gene Recombination in Neurons, Astrocytes, and Microglia. Next, we analyzed the cellular identity of the transfected cells with MC3 LNPs containing Cre mRNA by analyzing tdTomato expression in neurons, astrocytes, and microglia in the highest transfected areas of the striatum and hippocampus, as determined by the results from Figure 3. The Ai9 mouse brains were injected with a high dose of MC3 LNP Cre mRNA ($0.250 \mu\text{g} \mu\text{L}^{-1}$), sectioned, and stained for the neuronal marker (neuronal nuclear protein (NeuN)), astrocyte marker (glial fibrillary acidic protein (GFAP)), and microglia marker (ionized calcium-binding adaptor molecule 1 (Iba1)). The colocalization of tdTomato-positive neurons (NeuN⁺; tdTomato⁺), astrocytes (GFAP⁺; tdTomato⁺), or microglia (Iba1⁺; tdTomato⁺) demonstrated that approximately 33.7% were neurons, 47.9% were astrocytes, and 2.5% were microglia among Cre-recombined cells (tdTomato⁺) in the striatum (Figure 5A,B; see Figure S3 for non-normalized raw values). In the hippocampus, approximately 78.7% of the transfected cells were neurons, 6.0% were astrocytes, and 0.4% were microglia (Figure 5C,D; see Figure S4 for non-normalized raw values). Overall, Cre-mediated recombination by MC3 LNP Cre mRNA delivery was observed slightly more in astrocytes compared to neurons in the striatum, while Cre-mediated recombination was observed predominantly in the neuronal population in the hippocampus. Taken together, MC3 LNP Cre mRNA is capable of inducing Cre-mediated recombination in various brain cell types including neurons, astrocytes, and microglia with different transfection efficiencies between striatum and hippocampus.

MC3 LNP Cas9 mRNA/Ai9 sgRNA Complexes Edit Genes in the Striatum and Hippocampus of Ai9 Mice. Cas9 mRNA and sgRNA have never been tested in brain tissue using LNPs and, therefore, we investigated whether MC3 LNPs can deliver Cas9 mRNA/Ai9 sgRNA to Ai9 mouse brains after intracerebral injection. The sgRNA used in these experiments targets the STOP sequence upstream of the fluorescent tdTomato gene and enables tdTomato gene expression, resulting in fluorescence (Figure 1C) as we previously reported.⁴³ MC3 LNP Cas9 mRNA/Ai9 sgRNA was stereotactically injected into the striatum and hippocampus at low ($0.125 \mu\text{g} \mu\text{L}^{-1}$) or high ($0.250 \mu\text{g} \mu\text{L}^{-1}$) dose (saline and Cas9 mRNA/Ai9 sgRNA without MC3 LNPs-injected

group were used as controls). Mice were sacrificed 21 days after the injections, and the presence of tdTomato-positive cells was determined. In Figure 6A,B, we quantified transfection distance and total tdTomato⁺; DAPI⁺ cells among different doses of Cas9 mRNA/Ai9 sgRNA with or without MC3 LNPs. We demonstrated that the transfection distance for low and high doses of Cas9 mRNA/Ai9 sgRNA were 90 ± 90 and $60 \pm 60 \mu\text{m}$, respectively, and for low and high doses of MC3 LNP Cas9 mRNA/Ai9 sgRNA, they were 430 ± 250 and $1360 \pm 303 \mu\text{m}$ in striatum, respectively (Figure 6A). In the hippocampus, the transfection distance for low and high doses of Cas9 mRNA/Ai9 sgRNA were 0 and $100 \pm 40 \mu\text{m}$, respectively, and for low and high doses of MC3 LNP Cas9 mRNA/Ai9 sgRNA, they were 180 ± 180 and $2527 \pm 144 \mu\text{m}$, respectively (Figure 6B). Further, we demonstrate that the total tdTomato⁺; DAPI⁺ cells for low and high doses of Cas9 mRNA/Ai9 sgRNA were 6 ± 6 and 6 ± 6 cells, respectively, and for low and high doses of MC3 LNP Cas9 mRNA/Ai9 sgRNA, they were 252 ± 240 and 1524 ± 558 cells in striatum-injected samples, respectively (Figure 6A; shown as histogram in Figure 6C). In the hippocampus, the total tdTomato⁺; DAPI⁺ cells for low and high doses of Cas9 mRNA/Ai9 sgRNA were 0 and 18 ± 6 cells, respectively, and for low and high doses of MC3 LNP Cas9 mRNA/Ai9 sgRNA, they were 18 ± 18 and 3344 ± 1514 cells, respectively (Figure 6B; shown as histogram in Figure 6D). Taken together, a high dose of MC3 LNPs were able to deliver Cas9 mRNA/Ai9 sgRNA into the brain after intracerebral injection. Approximately a 3 to 14-fold increase in transfection distance and approximately a 6 to 185-fold increase in total tdTomato⁺; DAPI⁺ cells in the high dose group of MC3 LNP Cas9 mRNA/Ai9 sgRNA compared to the low dose group were observed, with a more noticeable increase in the hippocampus.

Next, we further analyzed the local transfection efficiency in the highest transfected areas of the striatum and hippocampus determined by the results from Figure 6. In the striatum, the number of edited cells (tdTomato⁺) in mice injected with the low dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA was not significantly different from the control composed of mice injected with saline or injected with the same dose of Cas9 mRNA/Ai9 sgRNA without MC3 LNPs (Figure 7A Left panel and Figure 7B), demonstrating that no significant gene editing occurred. However, after the injection of the high dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA, we observed a significantly increased number of tdTomato⁺ cells compared with the same dose of Cas9 mRNA/Ai9 sgRNA without MC3 LNPs (Figure 7B). The gene editing efficiency increased approximately 12.8-fold (from $\sim 0.5\%$ to $\sim 7.0\%$ efficiency) with the high dose compared to the low dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA injection, while we did not observe any significant changes between two doses in Cas9 mRNA/Ai9 sgRNA without MC3 LNPs (Figure 7B). In the hippocampus, we observed significantly increased gene editing efficiency with both low and high doses of MC3 LNP Cas9 mRNA/Ai9 sgRNA, as compared to the same dose of Cas9 mRNA/Ai9 sgRNA without MC3 LNPs (Figure 7A Right panel and Figure 7C). Figure 7C demonstrated a significantly increased number of tdTomato⁺ cells among all DAPI⁺ cells in the high dose treatment of MC3 LNP Cas9 mRNA/Ai9 sgRNA ($\sim 2.8\%$ efficiency) compared to the low dose treatment of MC3 LNP Cas9 mRNA/Ai9 sgRNA ($\sim 0.3\%$ efficiency), while we did not observe any significant changes between two doses in Cas9 mRNA/Ai9 sgRNA without MC3 LNPs (Figure 7C). We did

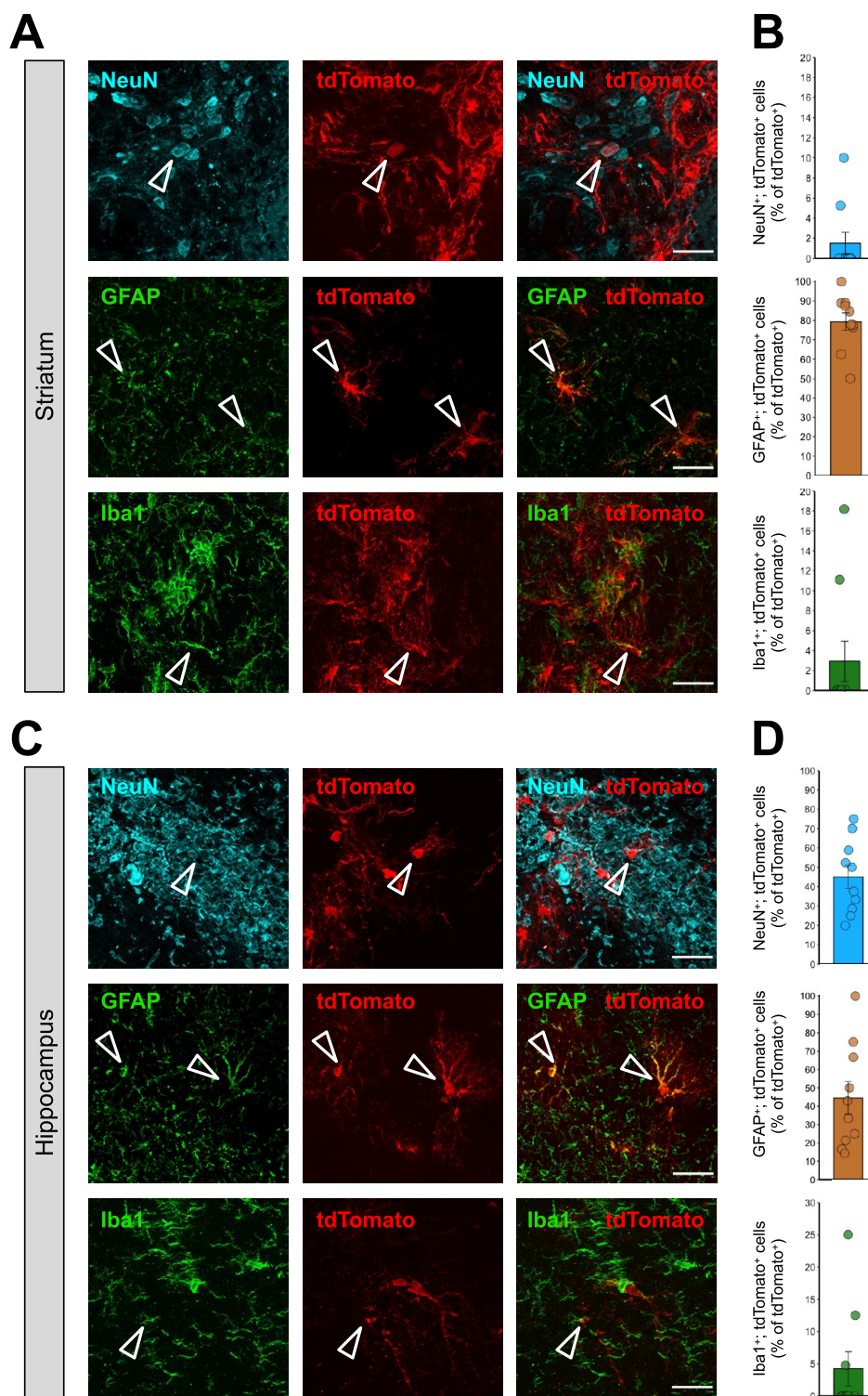


Figure 8. The cell type specificity after the injection of MC3 LNP Cas9 mRNA with Ai9 sgRNA in the striatum and hippocampus of Ai9 mice. (A, C) Immunostaining of tdTomato⁺ (red) and either NeuN⁺ (cyan), GFAP⁺ (green), or Iba1⁺ (green) cells 21 days after stereotaxic injection of MC3 LNP Cas9 mRNA/Ai9 sgRNA (0.250 $\mu\text{g } \mu\text{L}^{-1}$) in the striatum (A) and the hippocampus (C). The scale bar represents 30 μm . (B, D) Quantification of (B) NeuN⁺; tdTomato⁺, GFAP⁺; tdTomato⁺, or Iba1⁺; tdTomato⁺ cells among total tdTomato⁺ cells (%) in the MC3 LNP Cas9 mRNA/Ai9 sgRNA (0.250 $\mu\text{g } \mu\text{L}^{-1}$)-injected striatum (B) and hippocampus (D). All data are presented as mean \pm SEM. MC3 LNP Cas9 mRNA/Ai9 sgRNA (0.250 $\mu\text{g } \mu\text{L}^{-1}$; $n = 10$ images from 2 mice).

not see any significant difference in total DAPI-positive cell numbers in the striatum between any groups (Figure S2B Left panel). However, in the hippocampus, we observed differences in DAPI⁺ cells between Cas9 mRNA/Ai9 sgRNA and MC3

LNP Cas9 mRNA/Ai9 sgRNA in both concentrations (Figure S2B Right panel). Nevertheless, all counted Cas9-edited cells were normalized by the total number of DAPI⁺ cells, and therefore, the results were not affected by the number of cells.

MC3 LNP-Mediated Delivery of Cas9 mRNA/Ai9 sgRNA into the Brain Leads to Cas9-Mediated Gene Editing in Neurons, Astrocytes, and Microglia of Ai9 Mice. Next, we also determined the MC3 LNP Cas9 mRNA/Ai9 sgRNA-mediated gene editing efficiency in the various cell types in the brain by analyzing the tdTomato-positive neurons, astrocytes, and microglia in the highest transfected areas of the striatum and hippocampus, determined by the results from Figure 6. Ai9 mice were injected with the high dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA ($0.250 \mu\text{g } \mu\text{L}^{-1}$), and after 21 days, the brain sections were stained for neurons (NeuN⁺), astrocytes (GFAP⁺), and microglia (Iba1⁺). The colocalization of tdTomato-positive neurons (NeuN⁺; tdTomato⁺), astrocytes (GFAP⁺; tdTomato⁺), or microglia (Iba1⁺; tdTomato⁺) in the striatum demonstrated that, among all edited (tdTomato⁺) cells, approximately 1.5% were neurons, 79.4% were astrocytes, and 2.9% were microglia (Figure 8A,B; see Figure S5 for non-normalized raw values). In the hippocampus, we found that, among the cells edited by MC3 LNP Cas9 mRNA/Ai9 sgRNA, approximately 45.1% were neurons, 44.5% were astrocytes, and 4.2% were microglia (Figure 8C,D; see Figure S6 for non-normalized raw values). Overall, in the striatum, a high dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA induced gene editing predominantly in astrocytes. On the contrary, the gene-edited cell populations in the hippocampus similarly comprise both neurons and astrocytes. In conclusion, MC3 LNPs-mediated Cas9 mRNA/Ai9 sgRNA delivery can induce gene editing in neurons, astrocytes, and microglia with different efficiencies, as we observed with MC3 LNPs-mediated Cre-mRNA delivery.

CONCLUDING REMARKS

LNP-mediated mRNA delivery has shown great therapeutic potential for treating a wide array of diseases due to its safe toxicity profile and transfection efficiency *in vivo*.^{8,15} However, very little is known about LNPs-mediated mRNA delivery in the brain. Here, we demonstrate the successful delivery of Cre mRNA by MC3 LNPs into the striatum and hippocampus of Ai9 mice via robust manifestation of Cre-mediated recombination in the brain. Notably, our results indicate that a single intracerebral injection of MC3 LNP mRNAs can penetrate more than half of the striatum and hippocampus. We also demonstrate that the delivery of Cas9 mRNA/Ai9 sgRNA by MC3 LNPs results in successful gene editing in the striatum and hippocampus of Ai9 mice. MC3 LNP Cas9 mRNA/Ai9 sgRNA demonstrates similar or better local transfection efficiency in the striatum and hippocampus compared to previously demonstrated results using Cas9 RNPs.^{37,43} This implicates that MC3 LNPs can deliver mRNAs for larger proteins, such as Cas9 into the brain. Moreover, we show that the recombination or gene editing efficiencies are dependent on the concentration of Cre mRNA or Cas9 mRNA/Ai9 sgRNA being introduced to the brain. Our extensive quantification result of total transfected cell numbers normalized by the concentration also implicates that delivery of higher concentration of MC3 LNP mRNA could increase a number of Cre-recombined or gene-edited cells (Figure S7); however, the transfection distance between lower and higher doses was similar, giving important insight for potential clinical therapeutic strategies. In addition, we demonstrate that MC3 LNPs can deliver mRNAs to a variety of cell types in the brain including neurons, astrocytes, and microglia. Higher efficiencies were found in neurons and astrocytes compared to

microglia. In conclusion, our investigation reveals that the MC3 LNPs have great potential for delivering various sizes of mRNA after intracerebral injection into the target brain regions. Furthermore, our attempt to deliver Cas9 mRNA/sgRNA *in vivo* will open up new therapeutic opportunities and possibilities.

Our results demonstrate that Cas9-mediated gene editing efficiency was considerably lower than that of Cre-mediated gene recombination; the transfection efficiency between MC3 LNP Cas9 mRNA/Ai9 sgRNA and MC3 LNP Cre mRNA demonstrates a larger difference in the total number of transfected cells and the local transfection efficiency than in the transfection distance. The cause of different transfection efficiency between MC3 LNP Cas9 mRNA/Ai9 sgRNA and MC3 LNP Cre mRNA is hypothesized to be the temporal spacing between Cas9 mRNA expression and complexation of the sgRNA with Cas9 protein, resulting in the sgRNA being degraded in the cytoplasm before complexation with Cas9 protein can occur. We also observe that a high dose of MC3 LNP Cas9 mRNA/Ai9 sgRNA improves gene editing compared to a low dose, which implicates that prolonged expression of Cas9 mRNA/Ai9 sgRNA could increase gene editing efficiency. As such, increasing sgRNA stability *in vivo* could be the way forward. Overall, MC3 LNP-mediated mRNA delivery via intracerebral injection has great promise for treating a wide array of complex conditions.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.biochem.3c00371>.

Size distribution and polydispersity index of MC3 LNP mRNA complexes (Figure S1); quantification of total number of DAPI⁺, tdTomato⁺, NeuN⁺, Iba1⁺, and GFAP⁺ cells as well as total number of NeuN⁺; tdTomato⁺, GFAP⁺; tdTomato⁺, and Iba1⁺; tdTomato⁺ cells in the striatum and hippocampus of Ai9 mice after the injection with MC3 LNP Cre mRNA or with MC3 LNP Cas9 mRNA/Ai9 sgRNA (Figures S2–S6); quantification of tdTomato⁺; DAPI⁺ cells normalized by injection concentration of MC3 LNP mRNA (Figure S7) (PDF)

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Author Contributions

[†]J.T. and Y.-J.C. contributed equally. J.T. wrote the manuscript and designed and performed the experiments including stereotaxic injections, brain sectioning, and imaging. Y.-J.C. wrote the manuscript and performed the experiments including imaging and analysis. M.G.C. assisted with image analysis, writing, and manuscript editing. A.P. assisted with writing and manuscript editing. J.L., H.H., and R.S. synthesized materials and assessed quality checking of the materials. N.M. wrote the manuscript and supervised the project. H.Y.L. wrote the manuscript and led the whole project.

Notes

The authors declare no competing financial interest.

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