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Carbon nanotube–mediated DNA delivery without transgene integration in intact plants

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Abstract

Exogenous biomolecule delivery into plants is difficult because the plant cell wall poses a dominant transport barrier, thereby limiting the efficiency of plant genetic engineering. Traditional DNA delivery methods for plants suffer from host-species limitations, low transformation efficiencies, tissue damage, or unavoidable and uncontrolled DNA integration into the host genome. We have demonstrated efficient plasmid DNA delivery into intact plants of several species with functionalized high-aspect-ratio carbon nanotube (CNT) nanoparticles (NPs), enabling efficient DNA delivery into a variety of non-model plant species (arugula, wheat, and cotton) and resulting in high protein expression levels without transgene integration. Herein, we provide a protocol that can be implemented by plant biologists and adapted to produce functionalized single-walled CNTs (SWNTs) with surface chemistries optimized for delivery of plasmid DNA in a plant species-independent manner. This protocol describes how to prepare, construct, and optimize polyethylenimine (PEI)-functionalized SWNTs and perform plasmid DNA loading. The authors also provide guidance on material characterization, gene expression evaluation, and storage conditions. The entire protocol, from the covalent functionalization of SWNTs to expression quantification, can be completed in 5 d.

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Author contributions

G.S.D. and M.P.L. designed the experiments. G.S.D., H.Z., and N.S.G. performed the experiments. G.S.D. analyzed the data and created the figures. G.S.D., H.Z., N.S.G., and E.G.G. wrote the manuscript. All authors approved the manuscript.

Reporting Summary

Further information on research design is available in the Nature Research Reporting Summary.

Additional information

Supplementary information is available for this paper at <https://doi.org/10.1038/s41596-019-0208-9>.

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Competing interests

The authors declare no competing interests.

Introduction

Plant genetic transformation is an important component of agricultural engineering, small-molecule synthesis, and bioenergy efforts^{1–4}. Maximizing the throughput of testing and generating genetically engineered plants is of core importance in both academic research and agro-industry, in which a toolset is needed that is (i) plant species independent and (ii) capable of high performance despite the physical barriers presented in intact plant tissues such as the plant cell wall. The two traditionally used plant DNA delivery tools either limit the range of plant species that can be transformed (*Agrobacterium*)^{5,6} or exhibit low transformation efficiencies and tissue damage from the use of high external force (biolistic DNA delivery)^{7–9}. Furthermore, both methods yield uncontrolled transgene integration of the plant genome, and even if care is taken to deliver DNA with engineered *Agrobacterium* in a non-integrating manner, its nature as a plant pathogen elicits strict regulatory oversight of the plant product as a genetically modified organism (GMO). To our knowledge, there has yet to be a plant transformation method that enables high-efficiency DNA delivery, without transgene integration, in a plant species-independent manner.

Recently, gene and protein delivery into intact plant cells without external force or aid has been reported with nanomaterials such as CNTs^{10,11}, mesoporous silica NPs (MSNs)¹², DNA nanostructures¹³, fusion peptide-based nanomaterials¹⁴, and layered double hydroxide clay nanosheets¹⁵. Among these techniques, SWNTs exhibit several characteristics that are optimal for intact plant cell delivery: (i) high aspect ratio and exceptional tensile strength for efficient plant cell wall traversal, (ii) biocompatibility, and (iii) cargo biomolecule protection from cellular metabolism and degradation for optimal activity of the delivered cargoes^{16,17}. Therefore, SWNTs are a promising candidate for plasmid DNA delivery into intact plants.

In this protocol, we describe the preparation, characterization, and optimal usage of a SWNT-based DNA delivery platform. This platform can efficiently transport plasmid DNA or linear DNA amplicons into both model and crop plants—without external mechanical aid, in a non-toxic manner, and without transgene integration—a combination of features that is not attainable with existing plant transformation approaches¹⁸. Specifically, the SWNT-based transient plant transformation approach demonstrated herein is beneficial for plant biotechnology applications in which transient protein production without transgene integration is desired. This approach may also aid high-throughput screening in mature plants so as to rapidly identify genotypes that result in desired phenotypes, mapping and optimization of plant biosynthetic pathways, and maximization of plant-mediated natural product synthesis.

Overview of the procedure

In this protocol, we detail the use of SWNTs as a tool to deliver DNA plasmids to intact plants (Fig. 1). The general method for DNA plasmid loading on modified SWNTs is through electrostatic grafting, in which carboxylated SWNTs (COOH-SWNTs) are first covalently modified with a cationic polymer (PEI) carrying a net positive charge (Steps 1–22). Next, positively charged CNTs (PEI-SWNTs) are incubated with negatively charged DNA plasmid vectors (Steps 23–25). The covalent attachment of PEI and

electrostatic adsorption of DNA to SWNTs is confirmed by atomic force microscopy, X-ray photoelectron spectroscopy, and zeta potential measurements. We highlight checkpoint steps for assessing procedural quality before proceeding with applications of the protocol. After preparation of DNA plasmid-loaded PEI-SWNT conjugates, DNA-PEI-SWNTs are infiltrated into the true leaves of mature plants (Steps 26–29). Negative controls include delivery of free plasmid DNA, DNA-PEI without SWNTs, and PEI-SWNTs without plasmid DNA; positive-control studies include delivery of the same gene with *Agrobacterium*. Confocal microscopy, qPCR, droplet digital PCR (ddPCR), western blot, GFP-Trap Agarose, and Qubit assays are implemented to evaluate and quantify gene expression (Step 30). Throughout the procedure, we highlight the parameters that can be tuned for optimization or modification of the protocol and discuss how to troubleshoot issues that might be encountered during the procedure.

Development of the approach

SWNTs were first reported to passively penetrate the cell wall and cell membrane of intact plant cells in 2009 by Liu et al.¹⁰. Since then, SWNTs have been used in exploratory studies of biocompatibility and sensing of small molecules in plant tissues by introducing SWNTs complexed to synthetic fluorescent dyes or oligonucleotides^{16,19,20}. Motivated by these previous studies, we aimed to develop a SWNT-based platform that can, for the first time (to our knowledge), deliver functional plasmids into intact plant cells for gene expression. For biosensing or chirality separation purposes, DNA (or RNA) oligonucleotides are conventionally loaded on SWNTs via non-covalent π - π interactions using direct probe-tip sonication of SWNTs with nucleotides²¹. However, this approach cannot be used to load large double-stranded DNA plasmids, because probe-tip sonication shears the double-stranded DNA, and double-stranded DNA is largely unstable on SWNT owing to base-paired interactions that decrease π - π interactions between the DNA and the SWNT.

Therefore, we developed a new platform, in which we covalently modified the surface of SWNTs with a cationic polymer (PEI) to electrostatically load and deliver plasmid DNA into intact plant cells. In our paper¹⁸, we performed the covalent conjugation of PEI to COOH-SWNTs with a heat-driven reaction, in which the mixture was heated to high temperatures to favor the chemical bonding between the COOH groups on SWNTs and the primary amines on PEI. We have recently further optimized and increased the reaction efficiency by using carboxylic acid activators (*N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide hydrochloride (EDC) and *N*-hydroxysulfosuccinimide sodium salt (NHS)) rather than heat. We showed that in both the heat and activator-driven methods, the covalent conjugation, DNA loading, and transgene expression took place (Supplementary Fig. 1), but higher reaction yields and transgene expression efficiencies were achieved with the EDC–NHS-driven reaction as compared to the heat reaction. Published in tandem with our study, Kwak et al.²² used a different cationic polymer (chitosan) covalently functionalized to SWNTs for gene delivery specifically targeted to intact plant chloroplasts.

Applications and impact of the method

We have verified that our SWNT-based transformation platform yields high-efficiency expression of gene vectors delivered without external force to leaves of a variety of

model and crop plant species: *Nicotiana benthamiana*, *Eruca sativa* (arugula), *Triticum aestivum* (wheat), and *Gossypium hirsutum* (cotton)¹⁸, and is thus useful for a diverse range of plant genetic engineering applications. SWNT-based DNA delivery is amenable to multiplexing, whereby multiple gene vectors are delivered and screened rapidly in a combinatorial manner and in parallel. The ability to perform transient transformations in a high-throughput and combinatorial manner for target genes offers the capability to map important plant biosynthetic pathways rapidly, which can then be used to augment in planta production of valuable small molecules, recombinant proteins, and human therapeutics. Therefore, this protocol may be of interest to plant biologists and crop scientists who wish to utilize this tool for fast and reliable transient genetic manipulation of non-model plants and for the discovery and engineering of plant metabolic pathways to synthesize molecules endogenously in a plant host that can improve agriculture and human health.

This protocol describes the delivery of plasmids encoding fluorescent proteins such as GFP, because fluorescent proteins provide an easily scorable phenotype and enable expression quantification at the level of (i) fluorescence (via confocal microscopy), (ii) mRNA (via qPCR), and (iii) protein (via western blotting and Qubit). However, the protocol is fundamentally generic for plasmid loading to SWNTs; therefore, users can substitute the vector used herein by their own plasmid of interest. For instance, owing to the non-integrating nature of PEI-SWNT-based DNA delivery, one of the most useful applications will be to implement SWNTs for delivery of plasmids coding for nuclease-based genome-editing proteins such as zinc finger nucleases, transcription activator-like effector nucleases, and Cas (CRISPR-associated) nucleases. When leveraged to deliver nuclease-encoding plasmids, SWNTs could endow transient expression of these nucleases, which may generate permanent (stable) edits. As such, SWNT-based nuclease plasmid delivery could enable high-efficiency genome modification without transgene integration and is particularly beneficial for heterogeneous plant species such as cassava, cacao, and sugarcane, in which crossing cannot be used to remove integrated transgenes. In addition, delivery of CRISPR genome-editing cargoes to achieve permanent editing without the integration and stable expression of the nuclease proteins has been shown to result in lower off-target editing and toxicity²³. Delivery of CRISPR genome-editing cargoes with SWNT may also be a way to circumvent GMO labeling, owing to the non-integrating nature of the transgene and non-pathogenic nature of the delivery tool.

This protocol describes delivery of plasmids for expression in the plant cell nucleus. Moreover, SWNTs can also be used to deliver plasmids to plant chloroplasts^{18,22}, which house genes coding for many photosynthetic proteins. Genome transformation of plastids such as the chloroplast offers orthogonal advantages over nuclear transformations, including lack of gene silencing, which enables high efficiency and stable expression of transgenes. This feature makes chloroplasts an attractive target for biosynthetic production of commodity chemicals, drugs, and even larger protein products such as antibodies and biologics. Notably, the chloroplast is not transformable with *Agrobacterium*; thus PEI-SWNTs present an opportunity to perform genetic transformation of chloroplasts through the current protocol with a promoter and codon-optimized plasmid that express only in the chloroplast.

Last, SWNTs can also be used to deliver RNA (siRNA and single-guide RNA) directly (i.e., without an RNA-encoding DNA vector) but with a different process altogether that does not involve covalent modification of the pristine SWNT surface¹⁷.

It has been shown that polymer-functionalized SWNTs (at the SWNT working concentrations used for gene delivery and expression studies, $<10 \text{ mg L}^{-1}$) do not cause cytotoxicity or tissue damage to plants^{18,22}. Regarding genetic transformation of edible plants with SWNTs, we note that the transformed plant material constitutes the experimental generation T_0 . Plants genetically edited using SWNT-based delivery would undergo several generations of progeny production before their seeds are brought to market, and thus edible plants would constitute generations that have never undergone direct exposure to nanomaterials.

Comparison with other methods

Despite the utility of plant biotechnology, many plant species and tissue types remain difficult to transform genetically² and are subject to species- or tissue-dependent heuristic optimizations of genetic transformation procedures. Limitations in plant genetic transformation are due to (i) the difficulty of delivering DNA, RNA, or protein across the rigid cell wall, which has a small ($\sim 20\text{-nm}$) size exclusion limit²⁴; and (ii) challenges in efficient plant regeneration technologies. Currently, two well-established DNA delivery platforms (*Agrobacterium* and biolistics) exist to transfer biomolecules into plant cells, but these techniques suffer from host-species limitations, low transformation efficiencies, tissue damage, or unavoidable and uncontrolled DNA integration into the host genome (Table 1). The most commonly used tool today for plant genetic transformations—*Agrobacterium*-mediated transformation technology—is unable to perform species-independent transgene-free editing, cannot be used for chloroplast transformation, and yields random DNA integration. Similarly, DNA delivery methods that utilize a gene gun²⁵ or other external forces, such as ultrasound²⁶ or vortexing²⁷, can cause cell damage, which leads to increased rates of transgene integration and lower efficiency of edited plant regeneration.

Nanomaterials have emerged as a promising candidate for delivery of genetic cargoes to intact plant cells. Recently, several reports have described passive uptake of nanomaterials by intact plant cells: MSNs¹², DNA nanostructures¹³, and peptide carriers²⁸ have demonstrated the possibility of nanoscale internalization into walled plant cells without strong mechanical aid to deliver functional biological cargoes (Table 1). These methods have achieved DNA delivery and gene expression across various tissues (leaves and roots) in intact plants, as well as immature tissues and protoplasts. Compared to *Agrobacterium*-mediated gene delivery, DNA delivery for all NP methods can be executed in less time, expediting gene expression studies. Because NP-based delivery methods are nascent to the field of plant genetic engineering, most successful DNA delivery has been demonstrated only in model plants; more testing must be done to ascertain the target species limitations of NP-based methods.

The SWNT-based delivery platform we present here enables DNA plasmid delivery without transgene integration in both model and crop plants—and in both dicot and monocot plants—with high efficiency and without toxicity or tissue damage. The SWNT-mediated delivery

platform described here is well suited for transient gene-editing applications because it is easy, fast, cost effective, non-destructive, species independent, and scalable. SWNTs also protect DNA cargo against nuclease degradation in cells¹⁸, a feature of SWNT-based delivery that may be extended to the protection of other biological cargoes of interest. Furthermore, SWNTs for gene delivery and their associated chemistries cost <US\$3 per transformation (including labor costs) and circumvents the high cost of gene gun equipment and gold particles commonly used in biolistic delivery or the molecular biology tools needed for use of *Agrobacterium*. Although their optimal storage conditions are at 4 °C, SWNTs used for genetic transformation of plants remain stable at room temperature (20–21 °C) for a month post synthesis, and are thus amenable to distribution and use in locations devoid of standard laboratory equipment.

Limitations

This SWNT-based plant gene delivery platform may be of interest for use in diverse applications in plant biology. Although this protocol demonstrates DNA plasmid delivery in plant leaves, certain parameters may need to be optimized (e.g., DNA-SWNT ratio, exposure time, buffer conditions, and sterility) to apply SWNT-based delivery to different plant tissues, such as embryonic tissue, germ-line cells, or callus. The protocol described herein is optimized for the delivery of an ~5-kb DNA plasmid. Although we have also obtained successful expression of proteins from ~12-kb plasmids, optimization of DNA loading for larger plasmids may be needed, and it is possible that there is an as-yet-undetermined upper limit on the maximum plasmid size for delivery with PEI-SWNTs.

The aforementioned optimizations might also be needed for plant species that are different in size or morphology from the plant tissues assayed herein. In addition, although SWNTs could be used for delivery of biomolecules other than DNA vectors, such as proteins and RNA¹⁷, surface chemistry optimization of the SWNT would probably be needed for other cargo attachments and their efficient delivery.

Materials

Biological materials

- In the example described in this protocol, we use wild-type *N. benthamiana* plants (seeds obtained from the Staskawicz lab at UC Berkeley).
- We have also successfully used our approach with wild-type *E. sativa* (seeds from Renee's Garden, cat. no. 5450), wheat (seeds from Botanical Interests, cat. no. 3037), and cotton plants (seedlings from Cottonman, cat. no. 6WS). We expect that our protocol will be compatible with many monocot and dicot plant species; however, the infiltration process becomes more challenging for plant species with very thick and hydrophobic leaves (such as maize and kale). We recommend testing a range of DNA-PEI concentrations and volumes for transformations in different tissue types.

Reagents

- Milli-Q water (Millipore Sigma, cat. no. ZR0Q008WW)

- Nuclease-free water (Qiagen, cat. no. 129114)
- 10× PBS (Corning, cat. no. 46-013-CM)
- Carbon nanotubes (single-walled, carboxylic acid functionalized; Sigma-Aldrich, cat. no. 652490) **!CAUTION** Because dry nanomaterials are easily dispersed into the air, they pose a respiratory health hazard. All dry nanomaterials should be handled in a fume hood or nanomaterial enclosure. Any solid or liquid waste resulting from nanomaterial handling should be disposed of as hazardous chemical waste.
- MES hydrate (Sigma-Aldrich, cat. no. M5287)
- Polyethylenimine (PEI, branched, molecular weight (MW) 25,000; Sigma-Aldrich, cat. no. 408727)
- Hydrochloric acid (HCl, 37% (vol/vol); Sigma-Aldrich, cat. no. 320331)
- Sodium hydroxide (NaOH; Sigma-Aldrich, cat. no. S8045)
- Magnesium chloride hexahydrate ($\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$; Sigma-Aldrich, cat. no. M2670)
- *N*-(3-dimethylaminopropyl)-*N*'-ethylcarbodiimide hydrochloride (EDC; Sigma-Aldrich, cat. no. E1769)
- *N*-hydroxysulfosuccinimide sodium salt (NHS; Sigma-Aldrich, cat. no. 106627-54-7)
- Pierce 660nm Protein Assay (Thermo Fisher Scientific, cat. no. 22660)
- GFP-Trap Agarose (ChromoTek, cat. no. GTA-10)
- iScript cDNA synthesis kit (Bio-Rad, cat. no. 1708891)
- PowerUp SYBR Green Master Mix (Applied Biosystems, cat. no. A25742)
- Qubit Protein Assay (Thermo Fisher Scientific, cat. no. Q33211)
- RNeasy Plant Mini Kit (Qiagen, cat. no. 74904)
- Plasmid DNA containing the gene of interest: in this example, we use HBT95::sGFP(S65T)-NOS²⁹
- GFP primers: forward 5'-CGCCGAGGTGAAGTT-3', reverse 5'-GTGGCTGTTGTAGTTGTAC-3' (IDT, custom order)
- EF1 primers: forward 5'-TGGTGTCCCTCAAGCCTGGTATGGTTGT-3', reverse 5'-ACGCTTGAGATCCTTAACCGCAACATTCTT-3' (IDT, custom order)
- Liquid nitrogen **!CAUTION** Handle liquid nitrogen carefully. Protect hands at all times with cryo gloves. Protect your eyes with safety goggles and wear appropriate personal protective equipment (lab coat, closed-toe shoes, and long pants).
- Tris-HCl (Sigma, cat. no. T5941-100G)
- NaCl (Sigma, cat. no. S7653-1KG)

- EDTA (RPI, cat. no. E57040-100)
- NP-40 (Fisher Scientific, cat. no. T01164-500g)
- Glycerol (Fisher Scientific, cat. no. G33-500)
- Protease inhibitor cocktail (Sigma, cat. no. P9599-1ML)

Equipment

- Delicate task wipes (Kimberly-Clark, cat. no. 06-666)
- Sterile syringe filter (0.45 μm ; VWR, cat. no. 28145-481)
- Microcentrifuge tubes (1.5 mL; VWR, cat. no. 89000-028)
- Conical tubes (50 mL; Olympus, cat. no. 28-106)
- Pipette tips (low-retention 10, 200, and 1,000- μL filter tips; USA Scientific, cat. nos. 1181-3710, 1180-8710, and 1182-1730)
- Extended-length pipette tips (1,000 μL ; Eppendorf, cat. no. 0030073614)
- Analytical balance (Radwag, model no. AS 60/220.R2)
- Ultrasonic bath (Branson, cat. no. 15-336-100)
- Ultrasonic homogenizer with 6-mm tip (Cole-Parmer, cat. nos. UX-04711-70 and UX-04712-14)
- Vortex mixer (Fisher Scientific, cat. no. 02-215-365)
- pH meter (Spectrum, cat. no. 242-97839)
- Orbital shaker (Waverly, cat. no. S1CE)
- 100,000-MWCO filter units (Amicon, cat. no. UFC910024)
- NanoVue Plus spectrophotometer (GE Life Sciences, cat. no. 28-9569-61)
- Visible spectrophotometer (Thermo Fisher Scientific, cat. no. 14-385-445)
- Tabletop centrifuge (Eppendorf, cat. no. 5418000017)
- Dynamic light-scattering instrument (Zetasizer Nano ZS; Malvern Instruments)
- Folded capillary zeta cell (Malvern Instruments, cat. no. DTS1070)
- Microscope cover glass (no. 1; Fisher Scientific, cat. no. 12-542B)
- Microscope slides (VWR, cat. no. 16004-422)
- Tweezers (VWR, cat. no. 63042-518)
- Scissors (VWR, cat. no. 82027-582)
- Mortar and pestle (Cole-Parmer, cat. no. EW-63100-54)
- Syringe (1 mL; BD, cat. no. 14-823-434)
- Plastic beakers (Nalgene, cat. no. 1201-0600)

- Cryo gloves (US Solid, cat. no. JFLNT00008)
- Sharpie marker (Sharpie, cat. no. 37001)

Software

- ZEN Blue v.2.6 (<https://www.zeiss.com/microscopy/us/downloads.html>)
- GraphPad Prism v.7.0a (<https://www.graphpad.com/scientific-software/prism/>)
- Fiji ImageJ v.2.0.0 (<https://imagej.net/Fiji/Downloads>)

Reagent setup

5 M HCl solution—▲ CRITICAL Milli-Q water should be used wherever water is mentioned, unless otherwise specified. 5 M HCl solution

Add 4.2 mL of 37% (vol/vol) HCl dropwise to 40 mL of Milli-Q water. Bring the volume to 50 mL with water. Store the solution at room temperature for up to 3 months. Sterilization is not necessary **!CAUTION** Always add acid to water and not vice versa.

10 N NaOH solution—To 80 mL of H₂O, slowly add 40 g of NaOH pellets, stirring continuously. As an added precaution, place the beaker on ice after the addition of NaOH pellets. When the pellets have dissolved completely, adjust the volume to 100 mL with H₂O. Store the solution in a plastic container at room temperature for up to 3 months. Sterilization is not necessary **!CAUTION** The preparation of 10 N NaOH is highly exothermic, which can cause breakage of glass containers. Prepare this solution with extreme care in plastic beakers.

100 mM MgCl₂ solution—To prepare 100 mL of 0.1 M MgCl₂ solution, dissolve 2.03 g of MgCl₂·6H₂O in 80 mL of nuclease-free water. Adjust the volume to 100 mL using H₂O. Sterilize with a 0.45- μ m sterile syringe, filter, and store the solution at room temperature for up to 1 month **!CAUTION** MgCl₂ is extremely hygroscopic. Buy small bottles and do not store opened bottles for long periods of time. Once the crystals become saturated with H₂O, dispose of the chemical properly or release the water under vacuum via heat treatment at <50 °C.

500 mM MES buffer solution (pH = 4.5–5.0)—Prepare 80 mL of nuclease-free water in a suitable container. Add 9.762 g of MES hydrate to the solution. The starting pH for 0.5 M MES solution is ~3.2. Add 10 N NaOH to adjust the pH to 4.5–5.0. Add nuclease-free water until the volume is 100 mL. Sterilize with a 0.45- μ m sterile syringe filter and store the solution at room temperature for up to 1 month.

MES delivery buffer: 25 mM MES, 15 mM MgCl₂ at pH 6—Mix 5 mL of 500 mM MES buffer solution and 15 mL of 100 mM MgCl₂ solution in a suitable container. Bring the volume to 80 mL with nuclease-free water and fix the pH to 6 with 10 N NaOH. Add nuclease-free water until volume is 100 mL. Sterilize with a 0.45- μ m sterile syringe filter and store the solution at room temperature for up to 1 month.

Procedure

!CAUTION All SWNT waste (SWNT solutions, leaves infiltrated with SWNTs, flow-through solutions from 100,000-MWCO filters) should be discarded as hazardous chemical waste and should be disposed of per your institution's guidelines.

COOH-SWNT suspension preparation ● Timing 2 h 30 min

1. Weigh out 30 mg of dry COOH-SWNTs into a 50-mL conical tube inside a chemical fume hood and add 30 mL of nuclease-free water.

!CAUTION All dry CNTs must be handled in a chemical fume hood and their waste should be discarded as hazardous chemical waste.

2. Bath-sonicate for 10 min at room temperature. COOH-SWNTs should be visibly suspended in water to form a dark black solution.
3. Probe tip-sonicate continuously for 30 min at 10% amplitude (with a 6-mm probe tip) in an ice bath using an ultrasonic homogenizer. The typical power we observe for these sonication parameters is ~30–40 W. After probe-tip sonication, the COOH-SWNT suspension should still be dark black.

▲ **CRITICAL STEP** Make sure that the ice does not completely melt during the course of sonication. If the ice is melting, replace the ice bath and continue sonication.

▲ **CRITICAL STEP** Ensure that the conical tube is either clamped or affixed so that the probe tip is in contact with only the COOH-SWNT suspension, not the walls of the conical tube (Fig. 2).

4. Remove the tube from the ice bath and allow it to rest at room temperature for 10 min.
5. Centrifuge at maximum speed (~18,000g) for 1 h at room temperature. Next, collect the supernatant, which contains individually suspended COOH-SWNTs and determine the COOH-SWNTs concentration.

▲ **CRITICAL STEP** Collecting supernatant is challenging because the concentrated nature of SWNTs makes it difficult to differentiate supernatant from pellet. Begin pipetting slowly and carefully; recover all the supernatant except the last ~2–3 mL to ensure minimal disruption of pellet.

▲ **CRITICAL STEP** Measure concentration using absorbance at 632 nm with an extinction coefficient of $0.036 \text{ L mg}^{-1} \text{ cm}^{-1}$. Typical COOH-SWNT solution yields are ~100–150 mg L⁻¹ after centrifugation. Because this solution has very high optical density, dilute a small quantity of it ~10 times for absorbance measurements. See Troubleshooting for yields with substantially lower concentrations.

? TROUBLESHOOTING

■ **PAUSE POINT** The COOH-SWNT suspension can be stored for a month at room temperature or 4 °C for further reactions. Bath-sonicate for 30 min before the reaction, if the COOH-SWNTs have been stored for more than a week.

PEI reaction with COOH-SWNTs ● Timing 3 h + overnight

▲ **CRITICAL** The scale of the reaction should be tuned on the basis of the quantity of the product needed. In this section, we will present a reaction protocol that starts with 2 mg of suspended COOH-SWNT solution and results in sufficient product for 4,000 infiltrations at the quantities suggested below. Be aware that the lower amount of SWNT will wash faster with the filters; hence, adjust the centrifugation time accordingly.

6. Prepare a 500 mM MES buffer solution in nuclease-free water at pH 4.5–5.0 (see ‘Reagent setup’ section).

7. Calculate the volume of COOH-SWNTs needed to react 2 mg. Add the appropriate volume of 500 mM MES buffer solution to 2 mg of suspended COOH-SWNTs to have a final MES concentration of 100 mM. For example, if the final COOH-SWNT concentration is 100 mg L⁻¹, use 20 mL of COOH-SWNTs and add 5 mL of 500 mM MES to it. This will result in 25 mL of COOH-SWNT suspension that has a 100 mM MES final concentration.

▲ **CRITICAL STEP** Make sure the pH of this solution is between 4.5 and 6, because EDC–NHS activation reaction is most efficient in this pH range³⁰.

8. Prepare a fresh EDC–NHS solution in 100 mM MES (pH 4.5–5.0). Add 10 mg EDC and 10 mg NHS to 2.5 mL of 100 mM MES solution. Make sure the EDC and NHS powders are completely dissolved and mixed well.

▲ **CRITICAL STEP** EDC–NHS solution must be prepared fresh for each reaction immediately before the experiment, because the activity of these chemicals in aqueous solutions will decrease substantially over time.

9. Add the complete EDC–NHS solution slowly dropwise to the COOH-SWNT suspension from Step 7 under stirring. Bath-sonicate for 15 min at room temperature. Let the reaction continue for 45 min on an orbital shaker at ~180 r.p.m. at room temperature.

10. Pre-wash two 100,000-MWCO filters once with 15 mL of 0.1× PBS to wet the membranes by centrifuging at maximum speed for 2 min at room temperature.

11. Wash the activated COOH-SWNT solution from Step 9 with 0.1× PBS (pH 7.4) three times using (pre-washed) 100,000-MWCO filters to remove free EDC, NHS, and by-products as follows: split the activated COOH-SWNT solution from Step 9 into two and pour into two filter units. Fill the volume up to 50 mL line in each filter unit with 0.1× PBS (pH 7.4). Centrifuge at 300g for 8 min at 21 °C, discard the flow-through and repeat the wash two more times with 0.1× PBS (pH 7.4), following the same protocol (Fig. 3a,b). Vortex the activated COOH-SWNT solution briefly after each wash step.

▲ **CRITICAL STEP** If the reaction is scaled up to use a higher amount of SWNTs, it may be necessary to use more than two filter units for efficient washing.

? TROUBLESHOOTING

12. After the last wash step, bath-sonicate the two 100,000-MWCO filters (only the top parts) containing the activated COOH-SWNTs for 1 min while pipetting the solution up and down. Place the filters back into the collection tubes, close the tubes with their caps, and vortex briefly. Recover the activated COOH-SWNTs with a 1,000- μ L extended-length pipette tip (Fig. 3c). A typical recovery volume from each filter should be ~4–5 mL. Merge the activated COOH-SWNT solutions in one tube after recovery from filters.
13. Add 0.1 \times PBS (pH 7.4) to the activated COOH-SWNT solution until reaching the initial COOH-SWNT solution volume used in Step 7 before the addition of MES buffer (20 mL in this example). Resuspend the washed and activated COOH-SWNTs via bath sonication for 15 min.
14. Add 40 mg of PEI (25,000 MW, branched) to 5 mL of 0.1 \times PBS and dissolve fully. Fix the pH of this PEI solution to 7.4–7.6 with 5 M HCl.

▲ **CRITICAL STEP** PEI dissolution in PBS can take a long time. Using an inoculation loop and shaking on the orbital shaker for 10–15 min expedites the process. Make sure the PEI is fully dissolved before fixing the pH.
15. Add the activated COOH-SWNTs (from Step 13) to the PEI solution dropwise. Run the reaction overnight (~16 h) at room temperature on an orbital shaker at ~180 r.p.m. It is okay if SWNTs agglomerate slightly after mixing with PEI solution and after the reaction is performed overnight.

▲ **CRITICAL STEP** For less agglomeration, add activated COOH-SWNTs to PEI solution, and not the other way around.

▲ **CRITICAL STEP** This amination reaction is most efficient at a pH range of 7–8. Make sure the solution—after mixing in the PEI and COOH-SWNTs—is still in this pH range; make adjustments with 5 M HCl or 10 N NaOH if necessary.

Washing and suspending the PEI-SWNT product ● Timing 5 h

16. Pre-wash two 100,000-MWCO filters once with 15 mL of nuclease-free water to wet the membranes by centrifuging at maximum speed for 2 min at room temperature.
17. Split the PEI-SWNT reaction solution from Step 15 into two and transfer each solution half into one of the (prewashed) 100,000-MWCO filters. Wash the PEI-SWNT solutions with nuclease-free water six times as follows: fill the volume up to 50 mL line in each filter unit with nuclease-free water. Centrifuge at 1,000*g* for 15–20 min at 21 °C, discard the flow-through, and repeat the wash five

more times with nuclease-free water, following the same protocol. Vortex the PEI-SWNT solution briefly after each wash step.

▲ CRITICAL STEP It is important not to let the PEI-SWNT liquid level go below the membrane level when washing, because this will contribute to PEI-SWNT aggregation and sample loss (Fig. 3b). Adjust the centrifugation speed and time accordingly. Lower centrifugation speeds reduce sample loss on membranes.

▲ CRITICAL STEP If the reaction is scaled up to use higher amount of SWNTs, it may be necessary to use more than two filter units for efficient washing.

18. After the last wash step, bath-sonicate the two 100,000-MWCO filters (only the top parts) containing the PEI-SWNT solution for 1 min while pipetting up and down. Place the filters back into the collection tubes, close the tubes with their caps, and vortex briefly. Recover the PEI-SWNTs with a 1,000- μ L extended-length pipette tip (Fig. 3c) and merge them in one tube.
19. Add nuclease-free water to the PEI-SWNT solution until reaching the initial COOH-SWNT solution volume used in Step 7 before the addition of MES buffer (20 mL in this example). Resuspend the washed PEI-SWNTs through bath and probe-tip sonication. Bath-sonicate the PEI-SWNTs for 15 min (the PEI-SWNT solution should be well dispersed and dark in color after the bath sonication step), and then probe tip-sonicate the PEI-SWNTs at 10% amplitude for 15 min with a 6-mm probe tip in an ice bath using an ultrasonic homogenizer. The typical power we observe for this sonication is ~30–40 W. The final PEI-SWNT solution after probe-tip sonication should still have high optical density (dark black in color).

▲ CRITICAL STEP Replace the ice bath with fresh ice after the first half of the probe-tip sonication to prevent overheating of the solution and continue sonication. Ensure that the conical tube is either clamped or affixed so that the probe tip is in contact with only the PEI-SWNT suspension and not the walls of the conical tube.

? TROUBLESHOOTING

20. Centrifuge at 16,000g for 1 h at room temperature and collect the supernatant.
21. Measure concentration of PEI-SWNTs via absorbance at 632 nm with an extinction coefficient of 0.036 L mg⁻¹ cm⁻¹.

It is normal for some SWNT pelleting to occur during the centrifugation step. We typically obtain 40–70 mg L⁻¹ of PEI-SWNTs after centrifugation and observe a reaction efficiency of ~40 ± 10%, with efficiency calculated using Eq. (1).

$$\text{Efficiency} = \frac{\text{Mass of PEI-SWNTs obtained in final step}}{\text{Mass of COOH-SWNTs added to reaction}} \times 100 \% \quad (1)$$

22. Measure the zeta potential of the PEI-SWNTs using the Zetasizer Nano ZS to assess their colloidal stability and to confirm PEI attachment. We typically obtain zeta potential values between +50 and +70 mV.

■ **PAUSE POINT** The PEI-SWNT suspension can be stored at 4 °C for a month for further experiments. Bath-sonicate for 30 min before cargo loading, if PEI-SWNTs have been stored for more than a week.

DNA loading onto PEI-SWNTs ● Timing 1 h

▲ **CRITICAL** For DNA delivery to plants, optimization may be necessary for different plant species, plant tissues, and DNA constructs. Parameters that can be varied are total DNA amount and SWNT/DNA ratio in the infiltration mix. The protocol here is optimized for delivery of ~4.2 kb of plasmid DNA into 1-month-old *N. benthamiana* plant leaves.

23. Prepare MES delivery buffer (see ‘Reagent setup’ section).
24. To load 500 ng PEI-SWNTs with 167 ng plasmid DNA in a 3:1 PEI-SWNT:DNA mass ratio, first dilute 500 ng of PEI-SWNTs in 100 µL of MES delivery buffer per infiltration (account for the volume that DNA will be occupying when diluting the PEI-SWNTs in MES buffer). Add the diluted PEI-SWNTs drop by drop to the 167 ng of plasmid solution. Pipette up and down 10 times to mix.

▲ **CRITICAL STEP** For example, if the starting PEI-SWNT and plasmid DNA concentrations are 40 ng µL⁻¹, add 12.5 µL of PEI-SWNTs to 83.3 µL of MES delivery buffer and mix well. Pipette 4.2 µL of DNA into a separate microcentrifuge tube and add the diluted PEI-SWNT solution to the DNA solution drop by drop.

▲ **CRITICAL STEP** The 100-µL infiltration volume and its constituents can be scaled up, if desired, to infiltrate a larger leaf area or an entire leaf for western blot analysis.

25. Incubate at room temperature for 30 min for the formation of the DNA-PEI-SWNT complex (Fig. 4).

▲ **CRITICAL STEP** If agglomeration of SWNTs is visible (it will look like tiny black specs in the solution), do not continue with the infiltration, because the agglomerated SWNTs will not be able to internalize into cells.

? TROUBLESHOOTING

Infiltration of DNA-PEI-SWNTs into leaves ● Timing 1 min per infiltration

26. After a 30-min incubation, put the DNA-PEI-SWNT solution into a 1-mL needleless syringe. Depending on the plant species, it may help to induce a tiny puncture with a pipette tip on the leaf abaxial surface; thicker and more hydrophobic leaf tissue may require this puncture for infiltration. Center the syringe tip at puncture area and gently push the syringe plunger until all the

liquid is infiltrated. Rest the leaf between your thumb and index finger while infiltrating (Fig. 5).

▲ **CRITICAL STEP** Ensure there are no air bubbles inside the syringe when infiltrating. If air is infiltrated into the leaves, it may cause tissue deformation or damage. To remove air bubbles interspersed with fluid, in a ventilated chemical hood, orient syringe outlet toward the ceiling and gently flick the syringe tip.

▲ **CRITICAL STEP** For protein quantification in Step 30C, we suggest infiltrating the entire leaf area (or multiple leaves) to collect enough leaf sample for quantification.

27. Remove the excess solution from leaf surfaces after the infiltration with a Kimwipe. Gently dab the leaf surface.

▲ **CRITICAL STEP** When delivering DNA encoding for fluorescent proteins, placing the infiltrated plant back in the growth chamber may cause underestimation of expression efficiency. High-intensity growth chamber light may cause photobleaching of the expressed fluorescent protein (depending on the protein photostability and light conditions in the chamber) over 2–3 d.

28. Gently mark the area of infiltration with a Sharpie marker on the leaf to keep note of which areas received the gene of interest.
29. Wait 1 d for plasmid DNA transcription to mRNA, and 2–3 d for mRNA translation into protein.

? TROUBLESHOOTING

Evaluation of gene expression

30. *Evaluate transgene expression.* The choice of the preferred method of evaluating transgene expression largely depends on the properties of the expressed protein. For reporter fluorescent proteins, we suggest performing both qPCR analysis (option A) and confocal microscopy imaging (option B). For evaluating the expression of non-fluorescent proteins, we suggest qPCR (option A) and our modified western blot analysis (option C), if antibodies are available for the given protein. If desired, a ddPCR method can also be used on genomic DNA to test whether the delivered plasmid has been integrated into the plant nuclear genome^{31,32}.

A. qPCR analysis ● Timing 5 h

- i. 24 h after infiltration, cut the infiltrated leaf area (maximum of 100 mg of leaf tissue) and extract total RNA with an RNeasy Plant Mini Kit. After cutting the leaf, immediately proceed with the first step of the RNeasy RNA extraction protocol (i.e., grinding the tissue in liquid nitrogen) to make sure gene expression levels do not change after cutting.

!CAUTION Handle liquid nitrogen carefully. Protect hands at all times with cryo gloves. Protect your eyes with safety goggles and wear appropriate personal protective equipment (lab coat, closed-toe shoes, and long pants).

- ii. Following RNA extraction, measure the concentration and reverse-transcribe 1 µg of total RNA into cDNA with an iScript cDNA synthesis kit.

■ PAUSE POINT The cDNA can be stored at 4 or –20 °C overnight.

- iii. Use PowerUp SYBR Green Master Mix for the qPCR step with 2 µL of cDNA from Step 30A(ii) and specific primers for the target and housekeeping genes.

▲ CRITICAL STEP It is necessary to run a housekeeping gene (such as *EF1*) in addition to the target gene to account for differences in plant/leaf conditions and possibly slightly different amounts of starting leaf tissue mass and total mRNA extracted. We suggest checking the literature for appropriate housekeeping genes, because these may differ for different plant species.

- iv. Analyze the qPCR data using the delta–delta cycle threshold (ddCt) method³³.

? TROUBLESHOOTING

B. Confocal microscopy imaging ● Timing 30 min per sample

- i. Wait for 48–72 h after DNA-PEI-SWNT infiltration to allow protein expression and accumulation to take place.
- ii. Cut a small area of the infiltrated leaf within the infiltration area and put the tissue on a glass slide with the abaxial leaf surface facing upward. Cover with a thickness no. 1 coverslip and add water between the slide and coverslip. Make sure there are no water bubbles and no air bubbles between the leaf and coverslip. If bubbles are present, tap on the coverslip several times to remove air and water bubbles. Image through the abaxial side of the leaf.
- iii. Image the sample with the appropriate filter sets depending on the fluorescent protein of interest.

? TROUBLESHOOTING

C. Protein quantification ● Timing 3 h

- i. Wait for 48–72 h after DNA-PEI-SWNT infiltration for protein expression and accumulation to take place.

- ii. Extract total proteins from the infiltrated leaves as described in ref. ¹⁸. Briefly, grind infiltrated plant leaves in liquid nitrogen to recover dry frozen powders. Transfer the frozen powders to microcentrifuge tubes with pre-prepared lysis buffer containing 10 mM Tris-HCl (pH 7.5), 150 mM NaCl, 1 mM EDTA, 0.1% (vol/vol) NP-40, 5% (vol/vol) glycerol, and 1% (vol/vol) protease inhibitor cocktail. After lysis at 4 °C overnight, centrifuge the tubes at 10,000 r.p.m. for 15 min at 21 °C and collect the supernatants containing whole proteins into a new tube. Quantify the total extracted proteins with a Pierce 660nm Protein Assay.
- iii. A GFP-Trap Agarose (GFP-Trap A) or similar available kits for the protein of interest can be used to purify and concentrate the protein extracted in Step 30C(ii).
- iv. Quantify the protein amount with a Qubit Protein Assay.

? TROUBLESHOOTING

Troubleshooting

Troubleshooting advice can be found in Table 2.

Timing

Steps 1–5, COOH-SWNT suspension preparation: 2 h 30 min

Steps 6–15, PEI reaction with COOH-SWNTs: 3 h + overnight

Steps 16–22, washing and suspension of the PEI-SWNT product: 5 h

Steps 23–25, DNA loading onto PEI-SWNTs: 1 h

Steps 26–29, infiltration of DNA-PEI-SWNTs into leaves: 1 min per infiltration

Step 30A, qPCR analysis: 5 h

Step 30B, confocal microscopy imaging: 30 min per sample

Step 30C, protein quantification: 3 h

Anticipated results

Using this protocol, we anticipate researchers will be able to generate and characterize PEI-modified SWNTs to deliver plasmid DNA into intact cells of mature model and crop plants for genetic transformations. Using a reporter gene (*35S-GFP*), we have demonstrated SWNT-based gene expression in *N. benthamiana*, *E. sativa* (arugula), *T. aestivum* (wheat), and *G. hirsutum* (cotton) leaves with confocal microscopy imaging (Fig. 6a) and with qPCR analysis (Fig. 6b) at 3 d post infiltration¹⁸. We have also shown that the expression

is transient and disappears at 10 d post infiltration, with confocal imaging and qPCR (Fig. 6b)¹⁸. Furthermore, we have quantified the GFP protein amount that is transiently produced in plant leaf cells via GFP protein extraction, purification, and concentration using a GFP-Trap Agarose kit and a Qubit Protein Assay, which revealed that 13.6 µg of GFP was obtained per gram of fresh leaf¹⁸.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Data availability

All materials are available from commercial sources or can be derived using methods described in this study. All primary data underlying the figures reported in the article can be obtained from the corresponding author upon reasonable request.

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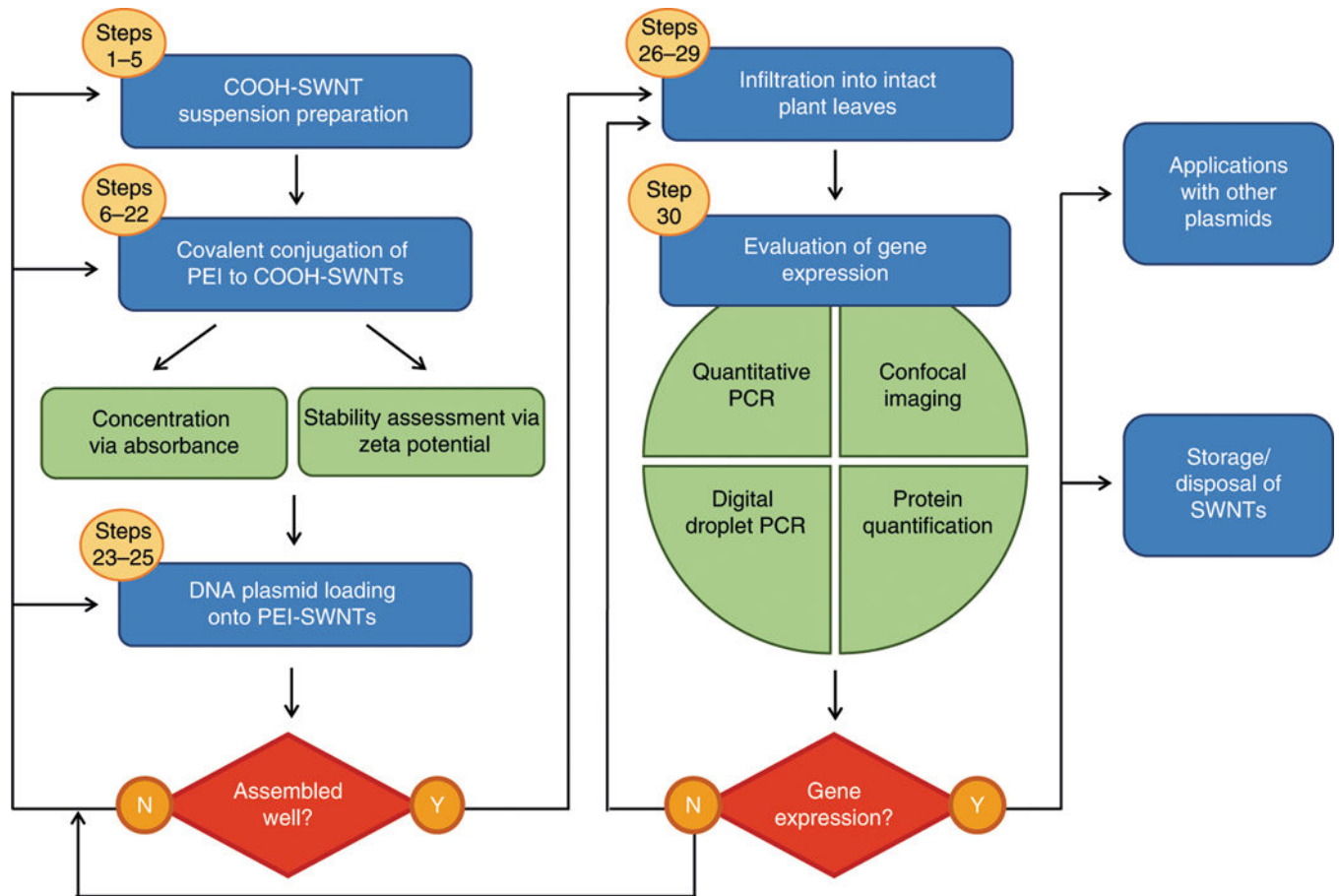


Fig. 1 |. Overview of the procedure for plant transformation with PEI-SWNTs.

Blue boxes represent main experimental steps; green boxes represent characterization and evaluation steps; red boxes represent checkpoint steps to decide whether to continue with the procedure or to return to any previous step for optimization and re-trial (shown by arrows). Yellow circles indicate the corresponding step numbers.

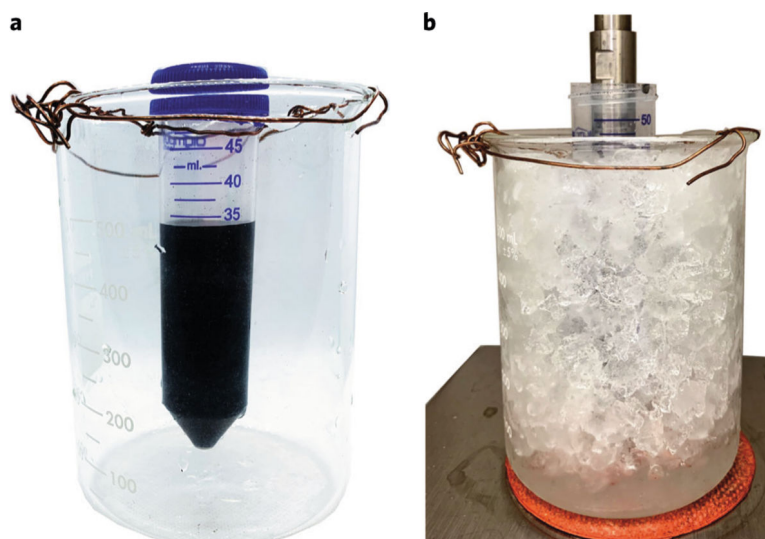


Fig. 2 |. Probe-tip sonication setup.

a, Use a beaker and copper wire to fix the conical tube to the beaker. **b**, Fill the beaker with ice and place the probe tip into the solution, making sure that the tip does not touch the tube bottom or walls and is submerged in the solution (for Steps 3 and 19). For maximum energy dispersal, position the edge of the probe tip in the middle of the solution.

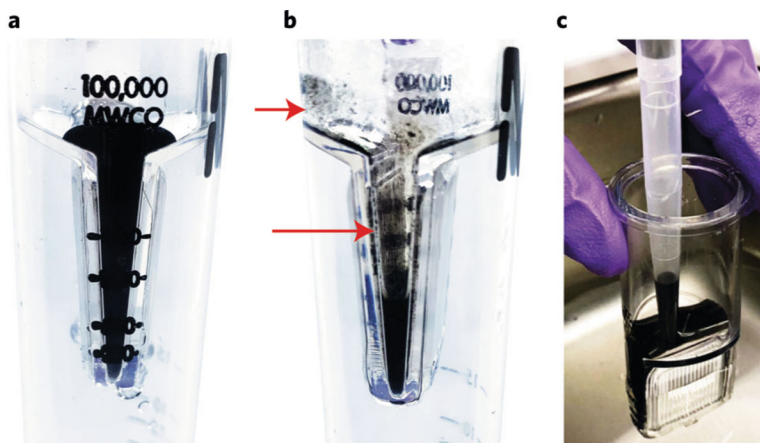


Fig. 3 |. Suggested usage of Amicon 100,000-MWCO filters for washing.

a, Correct amount of solution passing through the membrane leaves enough liquid to cover the membrane surface. **b**, Excessive centrifugation times or speeds cause too much solution to pass through the membrane, which leaves the membrane surface exposed and aggregates the SWNTs on the membrane (shown by red arrows). **c**, Recover the solution from the spin filter using a 1,000- μ L extended-length pipette tip after quick bath sonication and mixing. Descriptions here apply to Steps 11, 12, 17, and 18.

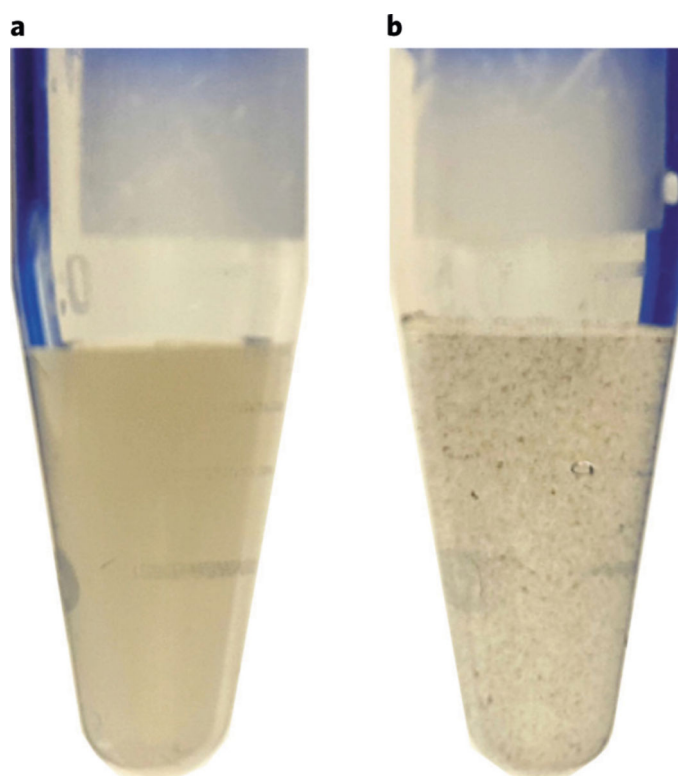


Fig. 4 |. Stability of the DNA-loaded PEI-SWNT suspension.
a, Representative image of stable DNA loading on PEI-SWNTs, showing no SWNT agglomeration (Step 25). **b**, Representative image of unstable DNA loading on PEI-SWNTs, showing substantial SWNT agglomeration (black specks).

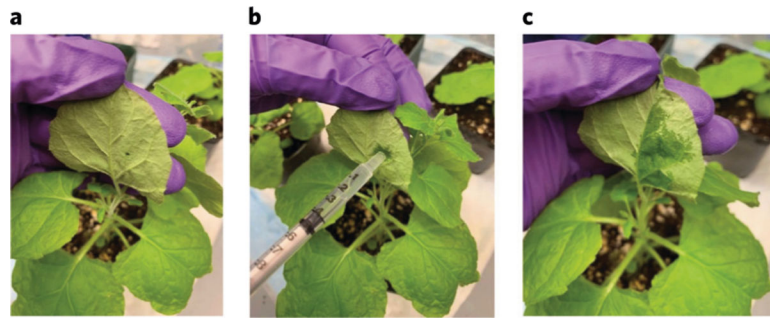


Fig. 5 |. Infiltration of leaves with DNA-loaded PEI-SWNTs.

a, A tiny puncture induced with a pipette tip on the leaf abaxial surface. **b**, Infiltration through puncture area by gently pushing the syringe plunger until all the liquid is infiltrated. **c**, Remove excess solution with a Kimwipe. Area of infiltration can be increased by infiltrating a larger volume of DNA-PEI-SWNT solution (Step 26).

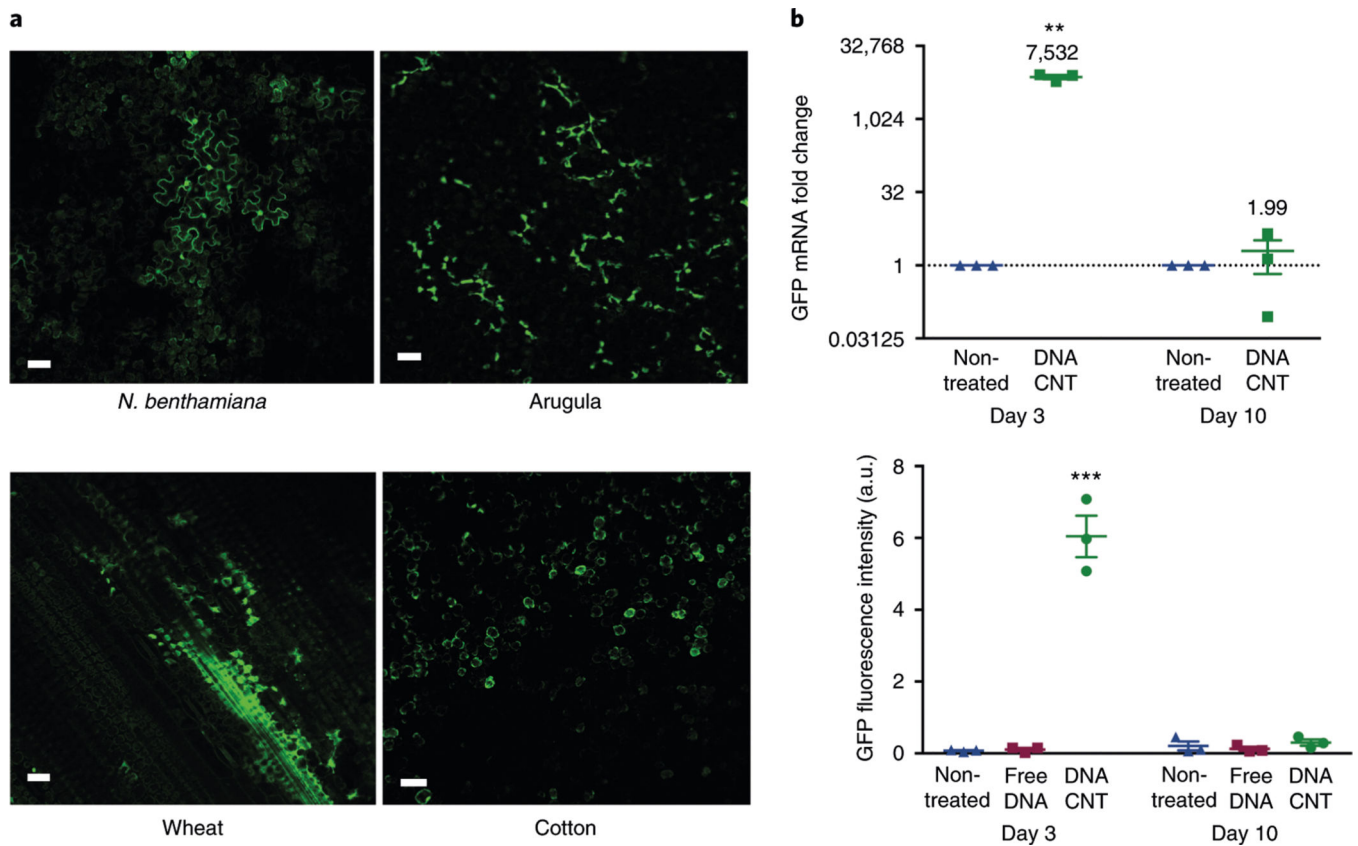


Fig. 6 |. Anticipated results.

a, Wild-type *N. benthamiana* (*Nb*), arugula, wheat, and cotton leaves infiltrated with DNA-PEI-SWNTs are imaged with confocal microscopy to determine GFP expression levels in the leaf lamina of each plant species (Step 30B). **b**, qPCR analysis of GFP mRNA expression levels at days 3 and 10 in DNA-PEI-SWNT-treated *Nb* leaves (top; Step 30A). ** $P = 0.0003$ in two-way ANOVA. Quantitative fluorescence intensity analysis of confocal images at 3 and 10 d post infiltration (bottom). *** $P = 0.0001$ in two-way ANOVA. Scale bars, 50 μm . Error bars indicate s.e.m. ($n = 3$). Image adapted with permission from ref. ¹⁸, Springer Nature Limited.

Table 1 |

Summary and comparison of the DNA delivery methods in intact plants

| Delivery platform | Target range | Genetic fate of target | Advantages | Platform limitations | Time line leading up to delivery to intact plants |
|---|--|--|---|--|--|
| <i>Agrobacterium</i> -mediated delivery | Mature plants, immature tissue (calli, meristems, embryos), protoplasts | Gene integration into genome, transient or stable expression, transformation of germ-line cells | Amenable to large sizes of DNA, high efficiency, stable transformation, no specialized equipment needed | High host specificity, time-consuming protocol, pathogenic and therefore regulated as GMO | Days 1–3: <i>Agrobacterium</i> -competent cell preparation Days 4 and 5: <i>Agrobacterium</i> transformation Days 6 and 7: preparation of <i>Agrobacterium</i> inoculum Day 8: <i>Agrobacterium</i> activation and infiltration |
| Biolistic delivery | Mature plant tissue explants (leaves, petioles), immature tissue | Gene integration into genome; multiple or partial copy insertions, typically requires regeneration and selection (species dependent) | Amenable to large sizes of DNA, rapid protocol pre-delivery, can deliver proteins | Low transformation efficiency, specialized equipment needed, large amount of DNA needed, tissue/cell damage | Day 1: sterilization of macrocarriers, holders Coating of carriers with DNA Particle bombardment ²⁵ |
| NP-mediated passive delivery | Mature tissue (roots), protoplasts | Fate of delivered DNA (genome integration versus transient expression) remains uninvestigated | High DNA-loading capacity | Unknown target species range (validated only in <i>Arabidopsis</i>) | Days 1 and 2: synthesis of MSN Day 3: functionalization of MSN Preparation of DNA-MSN complexes Plant incubation with DNA-MSN |
| Peptide carriers ^{28,34} | Mature tissue (leaves), immature tissue (embryos), targeted delivery to mitochondria ^{35,36} | Fate of delivered DNA (genome integration versus transient expression) remains uninvestigated | Rapid protocol, no specialized equipment needed, no external force required | Unknown target species range (validated only in <i>Nicotiana benthamiana</i> and <i>Arabidopsis thaliana</i>) | Day 1: preparation of peptide-DNA constructs Infiltration into target tissue |
| SWNTs ^{18,22} | Mature tissue (leaves) ^{18,22} , roots and callus (data not shown) ^{18,22} , protoplasts ^{18,22} , for nuclear ^{18,22} or chloroplast ²² expression | Transient expression of gene ^{18,22} , no integration into genome ¹⁸ | No transgene integration, scalable, no external force required | Unknown target species range (validated in tobacco, arugula, wheat, cotton ¹⁸ , <i>Arabidopsis thaliana</i> ²² , sorghum (data not shown)) | Day 1: COOH-SWNT suspension PEI-SWNT reaction Day 2: PEI-SWNT washing Preparation of PEI-SWNT + DNA Infiltration into/exposure to target tissue |

Table 2 |

Troubleshooting table

| Step | Problem | Possible reason | Solution |
|------|---|---|--|
| 5 | Low concentration of COOH-SWNT suspension | Not enough dry COOH-SWNT added | It is hard to accurately measure small amounts of dry CNTs; use more COOH-SWNT powder for making the suspension |
| | | Probe tip was touching the sides of the conical tube or was not optimally placed | Make sure the probe tip is not touching the conical tube and is submerged fully in the solution, resting at a distance halfway from the fluid meniscus |
| | | The solution overheated during the probe-tip sonication | Change the ice bath every 10 min to prevent overheating. If none of these solutions work, the resulting COOH-SWNT suspension can be concentrated using 100,000-MWCO centrifugal filters |
| 11 | Excessive agglomeration or pelleting of activated COOH-SWNTs during washes with PBS (some degree of SWNT destabilization can happen, and it will not affect the next steps, if the SWNTs can be suspended again with bath sonication) | PBS salt concentration is too high | Make sure to use 0.1× PBS for washes, as 1× PBS will affect the colloidal stability of SWNTs adversely because of the high salt concentration |
| | | The starting COOH-SWNT solution was not well suspended or was too old | Suspend the COOH-SWNTs well in water and use only the supernatant of the centrifuged COOH-SWNTs. If using COOH-SWNTs from a previously suspended batch, bath-sonicate for at least 30 min or make a new COOH-SWNT suspension |
| | | Too much EDC and/or NHS was used | EDC-NHS is used in excess for this reaction, but too much of it can agglomerate the SWNTs. Decrease the amount of EDC-NHS until no agglomeration is observed |
| | | Too much solution was passed through the filtration membrane during washing | Always keep the membranes submerged in the reaction solution to prevent pelleting of SWNTs on membranes. If more liquid than desired is passing through and the membrane surface is exposed, decrease the centrifugation speed and/or time |
| 19 | PEI-SWNT reaction product is not suspending in water or has a low final concentration (<20 mg L ⁻¹) | The PEI was not well-dissolved in solution before addition to the activated COOH-SWNTs | After making the PEI suspension, place it on an orbital shaker at maximum speed overnight |
| | | Overheating of the solution during probe-tip sonication | Make sure to change the ice bath at least once or twice during the probe-tip sonication. Alternatively, use the pulse feature of the ultrasonic homogenizer with a '1 s on and 2 s off' setting |
| | | Addition of not enough or too much PEI polymer for the reaction (not enough PEI may cause multiple SWNTs to be covalently bonded together by one PEI polymer, and too much PEI may cause formation of larger particles through non-specific adsorption, both of which will prevent the successful suspension of individual SWNTs) | Use the optimized amount suggested in this paper and, if scaling the reaction up or down, also scale the amount of PEI accordingly. Here, we suggest using 40 mg of PEI (25,000 MW, branched) for 2 mg of COOH-SWNTs |
| 25 | PEI-SWNT agglomeration upon plasmid DNA addition | PEI-SWNT positive charge density is not enough to carry added plasmid negative charge | Either increase the PEI-SWNT amount or decrease the plasmid DNA amount. Alternatively, redo PEI-SWNT synthesis to achieve particles with higher zeta potential values |
| 29 | Observed toxicity or damage in the infiltrated leaves | Too much PEI-SWNT was used for infiltration | For the reaction detailed here, we suggest using 500–1,000 ng of PEI-SWNTs per infiltration, because more may cause damage. Use less PEI-SWNTs if damage is observed with 500 ng |
| | | PEI-SWNT particles contain too much free PEI | If the washing is not performed efficiently, there may be too much free PEI left in the solution. Perform more wash cycles after the PEI reaction |
| | | SWNTs were functionalized with too much PEI | Instead of using 40 mg of PEI for 2 mg of COOH-SWNTs, repeat the reaction with less PEI. We suggest |

| Step | Problem | Possible reason | Solution |
|------------------------------|--|--|--|
| 30A(iv), B(iii), C(iv) | No gene expression was observed with any method | Plants or leaves used for delivery were too old or unhealthy | testing PEI amounts between 20 and 40 mg per 2 mg of COOH-SWNTs to troubleshoot Use 1-month-old healthy plants and young leaves to test the constructs |
| | | Need to optimize the DNA-loading protocol | For DNA-loading optimization, we suggest testing between 500 and 1,000 ng of PEI-SWNTs in a total of 100 μ L of solution per leaf infiltration, with the following SWNT/DNA mass ratios: 6:1, 3:1, 1:1, 1:3, and 1:6 |
| | | PEI-SWNTs may be toxic to cells even though no visible leaf damage is observed | Follow the troubleshooting suggestions for Step 29 |
| | | Plasmid DNA construct is not working | Make sure the plasmid DNA is fully sequenced and induces expression when delivered via <i>Agrobacterium</i> to leaves or via PEG transfection in protoplasts |
| 30B(iii) | No fluorescent protein expression is detected with confocal microscopy | Low laser power | Use high laser power (80–100% of maximum) and a 20 \times objective. Scan the entire area carefully for cells that are expressing the fluorescent protein |
| | | Gene expression was not strong and uniform as with <i>Agrobacterium</i> -mediated delivery | Unlike <i>Agrobacterium</i> -mediated expression, the expression via SWNTs is less strong, and not all cells in the infiltration area will express the protein of interest |