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One contribution to a special feature 'Ant interactions with their biotic environments'.

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# The acacia ants revisited: convergent evolution and biogeographic context in an iconic ant/plant mutualism

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Phylogenetic and biogeographic analyses can enhance our understanding of multispecies interactions by placing the origin and evolution of such interactions in a temporal and geographical context. We use a phylogenomic approach—ultraconserved element sequence capture—to investigate the evolutionary history of an iconic multispecies mutualism: Neotropical acacia ants (*Pseudomyrmex ferrugineus* group) and their associated *Vachellia* hostplants. In this system, the ants receive shelter and food from the host plant, and they aggressively defend the plant against herbivores and competing plants. We confirm the existence of two separate lineages of obligate acacia ants that convergently occupied *Vachellia* and evolved plant-protecting behaviour, from timid ancestors inhabiting dead twigs in rainforest. The more diverse of the two clades is inferred to have arisen in the Late Miocene in northern Mesoamerica, and subsequently expanded its range throughout much of Central America. The other lineage is estimated to have originated in southern Mesoamerica about 3 Myr later, apparently piggy-backing on the pre-existing mutualism. Initiation of the *Pseudomyrmex/Vachellia* interaction involved a shift in the ants from closed to open habitats, into an environment with more intense plant herbivory. Comparative studies of the two lineages of mutualists should provide insight into the essential features binding this mutualism.

## 1. Introduction

An outstanding question in the study of multispecies symbioses concerns the influence of phylogeny and biogeography on the origin, evolution and functional dynamics of such interactions. By considering multispecies interactions in a phylogenetic and geographical context, we gain insight into factors propelling, impeding or modifying the associations, and the extent to which selective pressures vary across different landscapes and phylogenetic lineages [1–6]. Phylogenies also allow instances of convergent evolution of symbiotic associations to be more rigorously identified and analysed [6,7].

Recent years have seen a proliferation of studies on ant/plant mutualisms, often employing phylogenetic approaches to make inferences about the extent of coevolution between partners, the biogeographic context in which evolution has occurred, the factors favouring elaboration or dissolution of the mutualism and the effects of the mutualism on diversification rates [7–15]. When we consider ant/plant mutualisms that involve domatia-bearing plants and their specialized, protective ants, two contrasting aspects are evident: on the one hand, there are unique features associated with particular taxa and geographical settings [16–18]; on the other hand, we see replication of pattern and process across different sets of interacting partners [6,18]. Multiple case studies, employing a phylogenetic/historical framework, are needed to provide a balanced perspective on these contrasting elements of contingency and convergence.

Here we examine the evolutionary history of a classic ant/plant mutualism: the association of Central American ants in the *Pseudomyrmex ferrugineus* group with swollen-thorn acacias (*Vachellia* species). In this system, the ants receive nesting

space in the form of swollen stipular thorns, and food from extrafloral nectaries and specialized leaf-tip food bodies (Beltian bodies). The ants in return protect their host plant from herbivores and competing plants, by patrolling aggressively, removing or repelling intruders and clipping competing vegetation [16,19–23]. The system has also been invaded to a limited degree by parasitic (non-protective) ants [24,25], although certain features of the ants and plants appear to constrain this [23,26–28]. There are aggressive *Pseudomyrmex* associated with other domatia-bearing plants, such as *Triplaris* and *Tachigali* [11,13,29], but most species in this genus have very different habits: they are timid ants, nesting opportunistically in dead, insect-bored twigs of many species of woody plants [16,30]. Similarly, other species of *Vachellia* do not display the constellation of mutualism-associated traits—inhabitable swollen thorns, food bodies and enlarged extrafloral nectaries—shown by the Mesoamerican ant-acacias [20].

In this study, we employ a phylogenomic approach, ultraconserved element (UCE) sequence capture [31,32] and comprehensive taxon sampling to address the following questions: (i) what are the phylogenetic relationships of the obligate acacia ants? More specifically, do phylogenomic data support recent inferences [13,23], based on Sanger sequencing, that the acacia ants evolved more than once? (ii) what is the biogeographic history of the acacia ants? Where and when did they originate? By what sequence of events did they come to occupy much of Mesoamerica? and (iii) what has been the role of habitat and host plant use during the evolution of acacia ants? Although our focus is on the ants, we take into account the available information about the phylogeny and distribution of the plant partners. Our findings highlight a strong biogeographic component to the evolution of this system, and potent forces of convergent evolution in the ants. These results generate additional questions about the selective forces driving and restraining this iconic mutualism.

## 2. Material and methods

### (a) Taxon sampling

We sampled all 10 species of obligate acacia ants in the *P. ferrugineus* group [33]; two other generalist species recently implicated as part of the *P. ferrugineus* group [13]; and five other closely related species of *Pseudomyrmex*. Based on current taxonomic knowledge [13,33–36], this represents a comprehensive sampling of the species of *Pseudomyrmex* that are related to the acacia ants. For eight of the 10 species of acacia ants, we sampled multiple populations, drawn from across the known range of the species [33]. Our taxon set comprises 29 samples belonging to 18 species. Details of the species, sample names and voucher specimens are given in the electronic supplementary material, table S1 (see also the electronic supplementary material, figure S1). *Pseudomyrmex depressus*, determined from previous work [13] to be the most distantly related of the six outgroup species, was used to root the tree.

### (b) DNA extraction, library preparation and target enrichment

DNA was extracted from single ants, usually workers or worker pupae, using the DNeasy Blood and Tissue Kit (Qiagen Inc., Valencia, CA, USA), and quantified using a Qubit fluorometer (HS Assay Kit, Life Technologies Inc., Carlsbad, CA, USA). We sheared 10–138 ng input DNA to a target size of approximately 600 bp by sonication using a Diagenode BioRuptor (Diagenode Inc., Denver,

NJ, USA), and this product served as input for a modified genomic DNA library preparation protocol (Kapa Hyper Prep Library Kit, Kapa Biosystems) that included SPRI bead cleanup using an AMPure substitute [37] and custom dual-indexing barcodes [38].

For UCE enrichment, we pooled 8–10 libraries together at equimolar concentrations and adjusted pool concentrations to 147 ng  $\mu\text{l}^{-1}$ . For each enrichment, we used a total of 500 ng of DNA (3.4  $\mu\text{l}$  each pool), and we performed enrichments using a custom RNA bait library developed for ants [39] and synthesized by MYCROARRAY (Ann Arbor, MI, USA). The probe set includes 9446 probes, targeting 2524 UCE loci. Although these loci are likely to be present across Hymenoptera, this set is ant-specific because the probes used were designed from ant genomes (*Harpegnathos saltator* and *Atta cephalotes*). For each enrichment, we hybridized the RNA bait libraries to sequencing libraries at 65°C for 24 h and we followed a standardized, in-solution enrichment protocol (v. 1.5; protocol available from <http://ultraconserved.org>). Following enrichment, we quantified the DNA concentration of enriched pools using qPCR and we used these values to make an equimolar pool-of-pools, containing up to 102 individual samples, which were submitted to the High Throughput Genomics Core Facility, Huntsman Cancer Institute, University of Utah, where they were quality checked, quantified with qPCR and sequenced on an ILLUMINA HiSeq 2500 (125 cycle paired-end sequencing, v. 4 chemistry).

### (c) Assembly and alignment

We performed initial bioinformatics steps, including read cleaning, assembly and alignment, using the software package PHYLUCE v. 1.5 [40]. Demultiplexed FASTQ output was cleaned and trimmed using ILLUMIPROCESSOR [41], a wrapper program around TRIMMOMATIC [42]. Cleaned reads were assembled de novo using TRINITY (v. trinityrnaseq\_r2013-02-25) [43]. After assembly, we used PHYLUCE to identify UCE loci from the assembled pool of contigs and to remove any potential paralogs. We performed this step using default settings (80% for min-coverage and min-identity). We then separated and aligned individual loci using a wrapper script around the alignment program MAFFT [44]. We performed this step using default PHYLUCE settings, except for the 'incomplete-matrix' and 'no-trim' flag, which we used to allow for missing data and to prevent default alignment trimming. Each alignment was trimmed using a wrapper around GBLOCKS v. 0.91b [45], run with reduced stringency settings of  $b1 = 0.5$ ,  $b2 = 0.5$ ,  $b3 = 12$  and  $b4 = 7$ . To reduce missing data, we chose a subset of trimmed UCE alignments in which the loci were represented in at least 95% of taxa (more than 27 out of 29 taxa). This subset with 95% taxon completeness had 1672 loci, and 10.8% missing data across all cells in the concatenated matrix. The complete dataset included 1 321 987 bp of sequence data, of which 58 173 were informative. Assembly and matrix stats are provided in the electronic supplementary material, table S2.

### (d) Phylogenetic analyses

Most phylogenetic analyses, including divergence dating, were performed using either the CIPRES Science Gateway [46] or the Smithsonian Institution's high-performance computer cluster (Hydra). For concatenated maximum-likelihood (ML) analysis, we used RAXML v. 8.2 [47] with the GTR +  $\Gamma$  model and we performed best tree plus rapid bootstrap searches using 100 bootstrap replicates. We ran analyses under three partitioning schemes: (i) unpartitioned, (ii) the best-fit scheme chosen by PARTITIONFINDER v. 1.1.1 [48] under the hcluster algorithm [49], with each UCE locus corresponding to a separate data block, and (iii) the best-fit scheme chosen by PARTITIONFINDER v. 2.0 [50] under the kmeans algorithm [51]. To reduce the possible influence of nucleotide frequency heterogeneity and saturation, we also ran an unpartitioned ML treatment with RY-coding.

We used EXABAYES v. 1.4.1 [52] for Bayesian analysis of the concatenated data matrix, with the GTR +  $\Gamma$  model and the same three partition schemes employed in RAXML. Each EXABAYES analysis included two independent runs, each having four coupled chains, and each run was performed for 500 000 generations, sampling every 100 generations. Burnin was set to 25% and Markov chain Monte Carlo (MCMC) convergence was confirmed with TRACER v. 1.6.0 [53].

For species tree analysis, we employed ASTRAL [54] using v. 4.8.0, which allows multiple individuals to be assigned to the same species. We ran one analysis with the 500 best genes (those with highest average bootstrap scores) and one analysis with all 1672 loci. We also ran a third analysis with a newer version of ASTRAL (v. 4.10.8) which generates branch lengths in coalescent units but does not allow for species assignment; this third analysis used the 500 best genes. All analyses were performed using 100 multi-locus bootstrap replicates [55].

### (e) Divergence dating

As input for divergence dating with BEAST v. 1.8.3 [56], we used several different constraint topologies: (0) all 29 taxa, kmeans-partitioned ML topology; (1) 18 taxa, kmeans-partitioned ML topology and (2) 18 taxa, kmeans-partitioned Bayesian (EXABAYES) topology. Hereafter we refer to these as ‘topology 0’, ‘topology 1’ and ‘topology 2’, respectively. The 18-taxon topologies included only a single exemplar for each species, and we generated these trees by pruning taxa from the trees containing all taxa using the R package APE [57]. We pruned taxa arbitrarily except for the two ‘paraphyletic’ species, *P. ferrugineus* and *Pseudomyrmex mixtecus*, in which we retained the population that was closest to the divergent ingroup species (*Pseudomyrmex janzeni* and *Pseudomyrmex veneficus*, respectively).

For each constrained topology, we had two node age calibrations: the root node was assigned a normal prior, with mean  $20.3 \pm 10$  Ma, based on results in Chomicki *et al.* [13]; and the Dominican amber fossil *Pseudomyrmex baros* was used to calibrate stem *Pseudomyrmex haytianus*, an internal node to which we assigned the same gamma prior as Chomicki *et al.* [13] (offset = 15 Ma; shape  $\alpha = 3$ ; scale  $\beta = 3.8$ , median = 25 Ma). For each analysis, we chose 50 randomly sampled loci from the complete (1672 locus) dataset (same locus set used for topology 1 and 2, with alignments pruned to 18 taxa; different locus set for topology 0); and we performed three independent BEAST runs, each for 100 million generations, sampling every 2000 generations, under a GTR +  $\Gamma$  substitution model and uncorrelated lognormal clock. We also performed one MCMC run in which only the prior was sampled. For all runs, we used a birth–death model for the tree prior and we turned off all tree search operators, thus constraining tree topology to the user-supplied input tree. Convergence and run performance was assessed with TRACER v. 1.6.0 [53] by examining post-burnin parameter values across all runs.

### (f) Biogeographic analysis

We used the R package BioGeoBEARS [58,59] for ancestral range estimation of the ants, evaluating six models: dispersal–extinction–cladogenesis (DEC) [60], DEC + J, where J is a parameter allowing for founder event speciation [58], DIVALIKE [61], DIVALIKE + J, BAYAREALIKE [62] and BAYAREALIKE + J. We recognized five areas, based on the distribution ranges of the ants [33] and historical biogeographic barriers in the region [63–65]: (i) Mexico north and west of the Isthmus of Tehuantepec, (ii) northern Central America: Isthmus of Tehuantepec to northern Nicaragua, (iii) southern Central America: central Nicaragua to eastern Panama, (iv) South America, and (v) the Caribbean (figure 2). We used the BEAST chronograms from the two 18-taxon analyses (topology 1 and topology 2), where each species is represented by a single exemplar. We coded each species for its entire range, except that two outgroup species

(*Pseudomyrmex perbosicii*, *Pseudomyrmex obtusus*), which are subtended by long branches and whose main distribution is in South America, were coded as occurring in area D only.

For all models, we created two time intervals, one from 0 to 5 Ma (approximating the time of close proximity of Central and South America, culminating in final closure of the Isthmus of Panama about 3 Ma [65]) and 5–25 Ma (reduced proximity). For dispersal multipliers, we used a value of 1.0 for all adjacent, connected areas, 0.5 for southern Central America and South America prior to 5 Ma, and 0.01 for distant unconnected areas. We ran two sets of analyses: (i) in analysis 1 we allowed all area possibilities; (ii) in analysis 2 we excluded range combinations containing DE, because the outcome of analysis 1 was an ancestral range of DE at the base of the tree—a result that is implausible and seemed to be driven by the occurrence among the outgroup species of a single Caribbean endemic. Use of 0–5 Ma as the period of close proximity of Central and South America was based on current thinking about the formation of the Isthmus of Panama [65], but we ran additional sensitivity analyses in which we employed two other sets of time intervals: 0–8 Ma/8–25 Ma and 0–3 Ma/3–25 Ma. Results (not shown) yielded very similar inferences about biogeographic history, with the few differences having no effect on our overall conclusions.

We also estimated ancestral ranges in the domatia-bearing *Vachellia* and their relatives, using the same six models in BioGeoBEARS and the same five biogeographic areas, time intervals (0–5 Ma/5–25 Ma) and dispersal multipliers. Information on plant distributions was taken from GBIF [66], and filtered for dubious records, i.e. those not based on verified specimen records. We carried out three sets of analyses: (i) all areas allowed; (ii) all disjunct continental areas disallowed, but all connected continental areas containing E allowed; and (iii) all areas that included E disallowed except for widespread ranges: ABCDE, BCDE, ABCE and CDE. Our input tree was the *Vachellia* chronogram generated by Chomicki *et al.* [13].

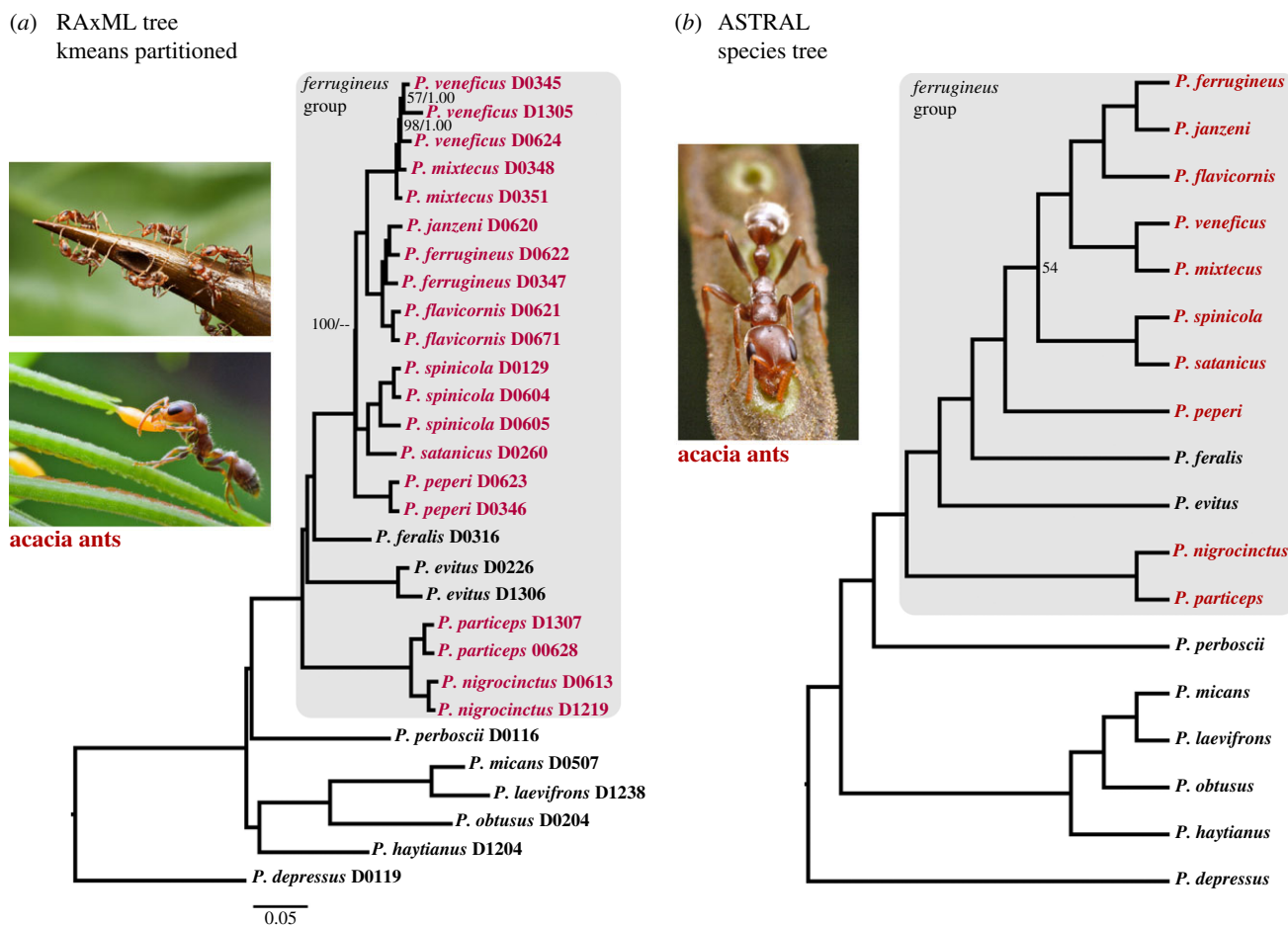
### (g) Ancestral trait reconstruction

We used an ML approach as implemented in the Ace function of APE [57] to estimate the history of changes in habitat use among ant species, comparing equal rates (ER), symmetrical rates (SYM) and all rates different (ARD) models. Ant taxa were coded according to whether they occur predominantly in (0) closed habitats (rainforest and tropical moist forest) or (1) open habitats (tropical dry forest, pastures, roadsides). As the input tree we used the BEAST topology 1 chronogram. We evaluated the best trait model by performing likelihood ratio tests among competing models. We used the same approach for estimating ancestral nesting habits in the ants, using the following three discrete states: (0) nesting in dead twigs of various plants, non-aggressive; (1) nesting in *Vachellia* domatia, aggressive, and (2) nesting in live branches of various trees, non-aggressive. Trait data on the ants were taken from published sources [13,20,33–36].

## 3. Results

### (a) Phylogenetic relationships

Except for the position of one species, *Pseudomyrmex peperi*, the same tree topology was obtained in nearly all analytical treatments, with maximum support at most nodes (figure 1; electronic supplementary material, figure S2). The *P. ferrugineus* group is shown to be a strongly supported clade (ML bootstrap percentages 100, Bayesian posterior probabilities 1.00, ASTRAL multi-locus bootstraps 100) that includes the 10 species of obligate acacia ants and also contains two species of generalist (dead twig-inhabiting) ants, *Pseudomyrmex evitus*



**Figure 1.** Phylogeny of *Pseudomyrmex* acacia ants and related species, derived from genomic data. (a) ML tree from kmeans-partitioned RAXML analysis of 1672 UCE loci. All nodes have 100% bootstrap support and 1.00 posterior probability (from a separate kmeans-partitioned Bayesian analysis—see the electronic supplementary material, figure S2f), except where indicated otherwise and then given as bootstrap percentage/posterior probability. (b) Species tree from ASTRAL (v.4.8.0) analysis of 500 best loci (those with highest average bootstrap support). All nodes have 100% bootstrap support except where indicated otherwise. Taxon names in dark red refer to obligate acacia ants. Photographic images courtesy of Alex Wild ([www.alexanderwild.com](http://www.alexanderwild.com)).

and *Pseudomyrmex feralis*. This effectively splits the acacia ants into two different subgroups, here termed the *Pseudomyrmex nigrocinctus* subgroup and the *P. ferrugineus* subgroup, separated by two maximally supported nodes (figure 1). This result was also obtained by Chomicki *et al.* [13] with a 10-gene dataset, although the two critical nodes upholding this arrangement had less than full support.

The *P. nigrocinctus* subgroup comprises only two species, with the remaining eight acacia ants falling in the *P. ferrugineus* subgroup. At the base of this subgroup is a poorly resolved three-way split among *P. peperi*, and two other lineages, here referred to as the *Pseudomyrmex spinicola* complex (two species) and the *P. ferrugineus* complex (five species). Most treatments recover *P. peperi* as sister to all other members of the *P. ferrugineus* subgroup (kmeans-partitioned ML bootstrap 100, RY-coding ML bootstrap 86, ASTRAL bootstraps 45, 54, 54), but in some analyses *P. peperi* is situated one node shallower, as sister to the *P. ferrugineus* complex (electronic supplementary material, table S3). Within the *P. ferrugineus* complex, there are two sister groups, with two and three species, respectively, and each sister group contains an example where one species is embedded within another, rendering the latter paraphyletic (figure 1a).

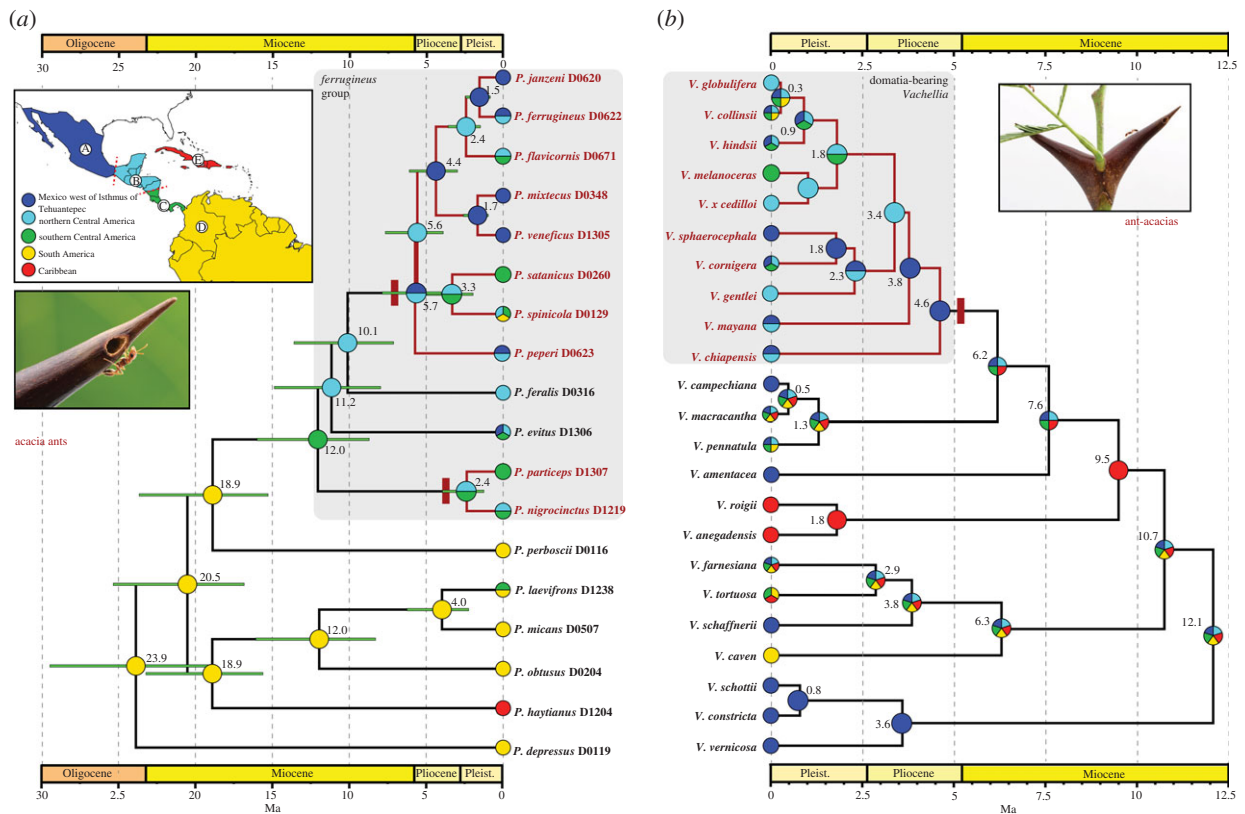
The sister group of the *P. ferrugineus* group is *P. perbosicii*, a species occurring from Costa Rica to Brazil, which nests in the live branches of a wide variety of plants including *Albizia*, *Cordia*, *Bombax*, *Pseudobombax* and *Macrobium*. This species is neither a dead twig inhabitant nor a specialized ant–

plant mutualist; rather it is a timid, non-specific live-stem nester, that apparently does not provide protection to the plants it inhabits [30,34]. Sister to this more inclusive group of (*P. perbosicii* + *P. ferrugineus* group) is a clade comprising (i) *P. haytianus*, an isolated species endemic to Hispaniola, and (ii) the *Pseudomyrmex goeldii* group, which is centred in South America (figure 1a). These more distantly related species are all generalist inhabitants of dead twigs [36].

### (b) Divergence dating

Divergence dates from the BEAST analyses are similar across three alternate topologies (table 1 and figure 2; electronic supplementary material, figure S3), with slightly older ages being estimated with topology 0 (29 taxa) than topologies 1 and 2 (18 taxa). We estimate the crown age of the *P. ferrugineus* subgroup to be 6.7 Ma (95% highest posterior density (HPD) 9.0–4.8 Ma) with topology 0, 5.7 Ma (95% HPD: 7.9–4.0 Ma) with topology 1, and 5.7 Ma (95% HPD: 7.8–4.1 Ma) with topology 2. Equivalent estimates for the other clade of obligate acacia ants, the *P. nigrocinctus* subgroup, are 2.5 (3.7–1.5) Ma, 2.4 (3.9–1.3) Ma and 2.4 (3.9–1.3) Ma, respectively.

Within the *P. ferrugineus* subgroup, the *P. ferrugineus* complex has estimated crown ages of 4.3–4.8 Ma, and for the *P. spinicola* complex these estimates are 3.3–4.5 Ma (table 1). For the two paraphyletic–monophyletic species pairs within the *P. ferrugineus* complex, the divergence dates are quite



**Figure 2.** Chronogram and ancestral range estimation of acacia ants and relatives (a) and New World *Vachellia* (b). The ant chronogram is based on a BEAST analysis of topology 1 (figure 1b); the plant chronogram is from Chomicki *et al.* [13]. Ancestral range estimates are from the model with the highest likelihood in BioGeoBEARS analyses (DEC + J for both ants and plants), with one area constraint for the ants (ant analysis no. 2) and disjunct continental areas disallowed for plants (plant analysis no. 2) (see text). The ancestral range(s) of highest probability is depicted for each node. Results are similar using other models and with alternate topologies and area constraints (see the electronic supplementary material, figures S4 and S5). Photographic images courtesy of Alex Wild (www.alexanderwild.com).

**Table 1.** Estimated crown ages and 95% highest probability density (HPD) values for selected taxa in the *P. ferrugineus* group. (Results are taken from BEAST analyses across three alternate topologies: (0) all 29 taxa, kmeans-partitioned ML topology; (1) 18 taxa, kmeans-partitioned ML topology and (2) 18 taxa, kmeans-partitioned Bayesian (ExaBayes) topology. Topology 1 was also obtained with ASTRAL species tree analyses (figure 1b).)

clade	topology 0		topology 1		topology 2	
	age (Ma)	95% HPD	age (Ma)	95% HPD	age (Ma)	95% HPD
<i>P. ferrugineus</i> group	13.5	17.5–10.1	12.0	16.0–8.7	12.0	15.9–8.8
<i>P. ferrugineus</i> subgroup	6.7	9.0–4.8	5.7	7.9–4.0	5.7	7.8–4.1
<i>P. nigrocinctus</i> subgroup	2.5	3.7–1.5	2.4	3.9–1.3	2.4	3.9–1.3
<i>P. spinicola</i> complex	4.5	6.3–3.0	3.3	5.0–2.0	3.4	5.1–2.0
<i>P. ferrugineus</i> complex	4.8	6.5–3.3	4.4	6.1–3.0	4.3	6.0–3.0

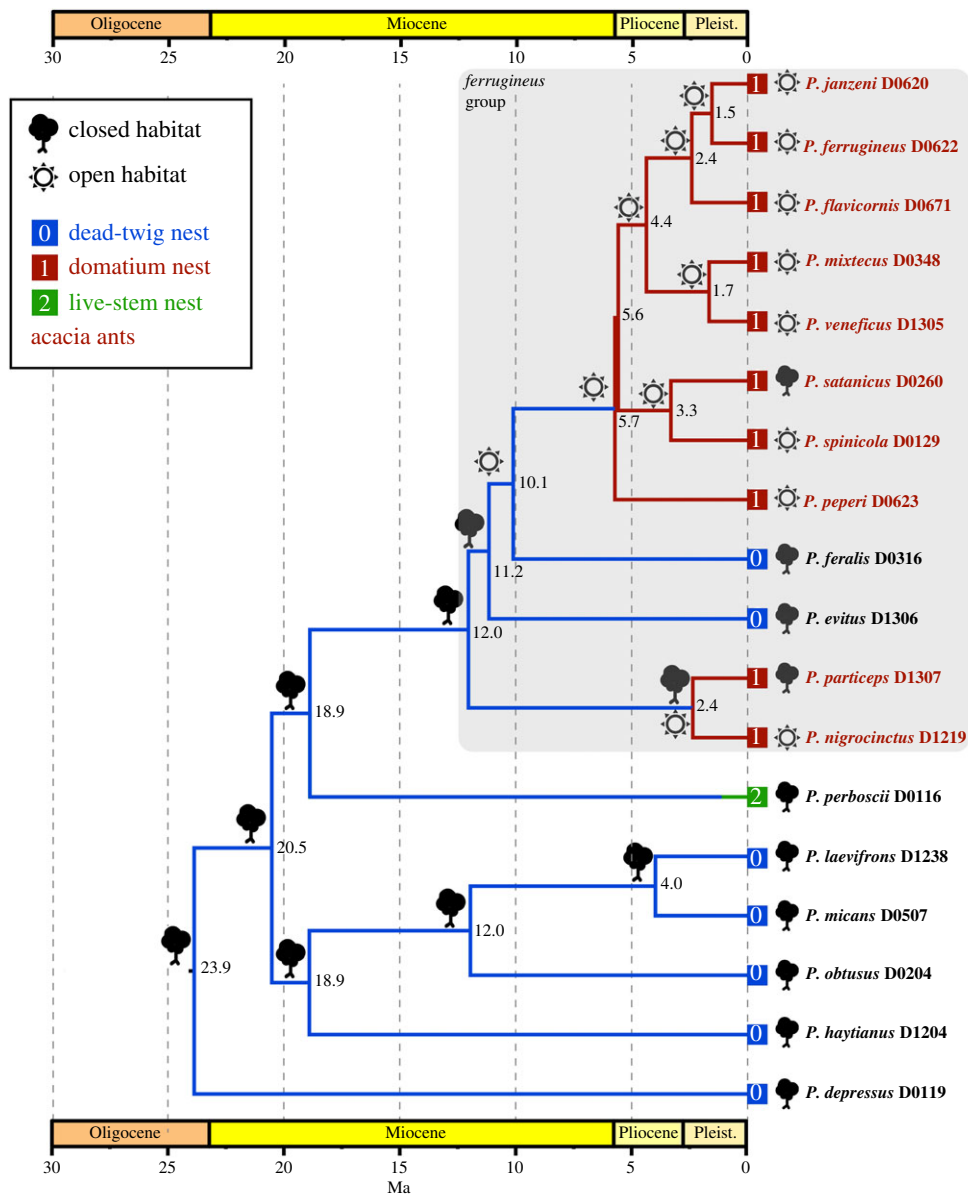
recent, in the order of 1.5 Ma (figure 2; electronic supplementary material, figure S3). The *P. ferrugineus* group as a whole appears to have a crown age of about 12–14 Ma.

### (c) Biogeographic inference and trait evolution

Among the six models evaluated with BioGeoBEARS, DEC + J consistently received the highest likelihood, and provided a significantly better fit than its null model, DEC, across two alternate ant topologies and analyses (electronic supplementary material, table S4). The addition of a jump dispersal parameter always improved the models DIVALIKE and BAYAREALIKE too. Here we focus on the ancestral range estimates obtained with topology 1/analysis 2 and the DEC + J model

(figure 2a), but other analytical treatments yielded broadly similar results (electronic supplementary material, figure S4).

In combination with BEAST divergence time estimates, our biogeographic analyses generate a number of well-supported inferences about the evolution of the *P. ferrugineus* group (figure 2a). This clade appears to have originated after dispersal of an ancestral species from South America to Mesoamerica between 12 Ma and 19 Ma, well before formation of the Isthmus of Panama [65]. This was presumably a generalist species, nesting in dead twigs and inhabiting wet tropical forest, as exemplified by the two extant species *P. evitus* and *P. feralis*. This supposition is supported by ancestral state reconstruction of habitat use and nest sites, which shows that use of open habitats and *Vachellia* domatia is derived (figure 3). We



**Figure 3.** Ancestral trait estimation for habitats and nesting sites of acacia ants and relatives. Analyses were conducted with the Ace function in APE [57]. Depicted here are the states with the highest probability under the ER (equal rates) model, the model favoured under a likelihood ratio test (electronic supplementary material, table S6). Outcomes from all three models, ER, SYM (symmetric rate) and ARD (all rates different), are shown in more detail in the electronic supplementary material, figure S6. (Online version in colour.)

also uphold previous inferences [13,23] that inhabitation of domatia arose twice in this group of ants.

We estimate a crown-group origin of the *P. ferrugineus* subgroup—the principal clade of obligate acacia ants—at about 6 Ma, most likely in northern Mesoamerica, and coincident with a shift to more open habitats (figures 2a and 3). This corresponds approximately to the estimated time and place of origin of domatia-bearing *Vachellia* (figure 2b). This was followed by dispersal and diversification of the ants and plants throughout Central America and Mexico. About 2.5 Ma the most recent common ancestor of the *P. nigrocinctus* subgroup appeared, most likely in southern Mesoamerica, apparently becoming a mutualist by exploiting an already existing association. The species that facilitated this was likely *P. spinicola*, a member of the *P. ferrugineus* subgroup, and by then a widespread mutualist species in southern Mesoamerica (figure 2a).

Within the *P. ferrugineus* complex, the two examples of species paraphyly can be explained by the times of origin and geographical distributions of the taxa. Both cases involve ‘daughter’ species (*P. janzeni*, *P. veneficus*) isolated in western

Mexico, with the paraphyletic ‘parent’ species (*P. ferrugineus*, *P. mixtecus*) being more widespread in southern Mexico and northern Central America (range details in [33]). These are estimated to be recent divergences (approx. 1.5 Ma) for which there has presumably been insufficient time for complete lineage sorting in the parent species.

## 4. Discussion

Our findings confirm earlier reports [13,23] that the mutualistic acacia ants belong to two separate lineages, the *P. ferrugineus* subgroup and the *P. nigrocinctus* subgroup (figures 1 and 2). The initial association between ants and plants probably involved the *P. ferrugineus* subgroup, now comprised of eight species, which is inferred to have arisen in northern Mesoamerica at the end of the Miocene, in conjunction with a shift from closed to open habitats (figures 2 and 3). Such a shift in habitat use would have placed the ants in an environment where browsing mammals were a significant selective force on

plants [20,67], arguably to a greater degree than in the ancestral closed forest habitat of these ants. The Late Miocene also corresponds to a time when drier habitats supporting more open vegetation became more widespread in the region [63,64].

The estimated crown age of the *P. ferrugineus* subgroup approximately matches that of the domatia-bearing *Vachellia*, as also observed by Chomicki *et al.* [13]. The *P. nigrocinctus* subgroup, by contrast, has an estimated crown age of only 2.5 Ma, is largely restricted to southern Mesoamerica, and has only two species. Of course, we cannot be certain when the mutualism with *Vachellia* arose in each lineage—the estimated stem age of the *P. nigrocinctus* subgroup is actually older than that of the *P. ferrugineus* subgroup—but the above lines of evidence from biogeography and observed species richness point to the *P. ferrugineus* subgroup as the initiating partner. This was also supported by stochastic character mapping of nesting traits across a comprehensive phylogeny of the entire genus *Pseudomyrmex*, in which domatia inhabitation was inferred to have arisen later on the branch subtending the *P. nigrocinctus* subgroup than on the stem of the *P. ferrugineus* subgroup [13].

An origin of the mutualism in northern Mesoamerica is also consistent with what is known about the phylogeny and distribution of *Vachellia* [13,68]. Based on current understanding, *Vachellia chiapensis* is sister to all other domatia-bearing congeners and is confined to northern Mesoamerica, as is the next-branching species, *Vachellia mayana*. Only in shallower parts of the tree do we find species that occur in southern Mesoamerica, and most of these are also found in northern regions. As expected, ancestral range inference with BioGeoBEARS supports northern Mesoamerica as the origin for domatia-bearing *Vachellia*, both with the DEC + J model (analysis 2) (figure 2*b*) and under alternate models (electronic supplementary material, figure S5 and table S5). It is worth emphasizing, however, that there is little evidence for strict co-cladogenesis between *Vachellia* and *Pseudomyrmex* [13,33]. There has been extensive host-plant switching and expansion by the ants, to the point where most acacia ants now occupy any species of domatia-bearing *Vachellia* occurring within their distribution range.

There is strong convergence between the *P. ferrugineus* subgroup and the *P. nigrocinctus* subgroup in several ‘classic’ traits associated with the ant/acacia mutualism [20]: (i) the association is obligate, i.e. the acacia ants nest only in *Vachellia* domatia; (ii) the workers are aggressive and sting much more readily than generalist twig-inhabiting species of *Pseudomyrmex*; (iii) the workers patrol the plants constantly; (iv) the ant colonies subsist on harvested Beltian bodies and extrafloral nectar, i.e. the workers are not generalist scavengers like most species of *Pseudomyrmex*; and (v) the workers have smaller eyes and more slender profemora than related non-mutualistic species [33,36].

This convergence is all the more striking because none of these traits are exhibited by the two generalist species, *P. evitus* and *P. feralis*, which are interpolated phylogenetically between the two acacia ant lineages. These generalists are timid, large-eyed, diurnal species that nest opportunistically in dead twigs and have no association with domatia-bearing *Vachellia* [36]. Although worker and queen morphology is different from that of the acacia ants, the male genitalia suggest an affinity to other members of the *P. ferrugineus* group [33,36], and this relationship is confirmed by the sequence data.

Despite these remarkable convergences there are some differences between the two groups of acacia ants. Workers of *P. nigrocinctus* and *Pseudomyrmex particeps* are smaller in size and more slender in body form than most species in the

*P. ferrugineus* subgroup [33], and there are hints of differences in behaviour. The gaster is held straight by workers in the *P. nigrocinctus* subgroup, for example, whereas it is often curled forward by workers in the *P. ferrugineus* subgroup [69] (figure 1*a*), although the significance of this behaviour is unclear. At least three species in the *P. ferrugineus* subgroup possess derived physiological traits (reduced invertase and protease activity in adult workers) that adapt them to the nutritional rewards of their *Vachellia* hosts, an arrangement that evidently buffers the mutualism against cheaters [23,26,28,70]. We do not know the extent to which these traits are manifested in the *P. nigrocinctus* subgroup. Thus there is considerable scope for probing in greater detail the similarities and differences between these two groups of ant–plant specialists, and this could provide insight into the most essential elements of the symbiosis.

A recent analysis of genome evolution in mutualist and non-mutualist species of *Pseudomyrmex* demonstrated convergent increases in rates of gene evolution in the mutualists [15]. This included a comparison between a species in the *P. ferrugineus* subgroup, *Pseudomyrmex flavicornis*, and the non-mutualist species, *P. feralis* (formerly called *P. psw054*). In our trees, we also find that *P. feralis* manifests consistently shorter branch lengths than its sister group, the *P. ferrugineus* subgroup (figure 1; electronic supplementary material, figure S2), but this appears not to be the case when the comparison is extended to the other closely related non-mutualist, *P. evitus*. Both species, *P. feralis* and *P. evitus*, deserve greater scrutiny: although they are timid species nesting in dead twigs, we know little else about their biology and they are infrequently encountered, suggesting that they may have other unusual or specialized characteristics.

Finally, the identification of *P. perbosicii*, a generalized live-stem nesting ant, as the sister group of the *P. ferrugineus* group highlights the possible significance of this habit as a precursor to the development of more specialized ant/plant relationships [30]. It suggests the possibility that acacia ants evolved in a clade with a predisposition towards nesting in live plant cavities. This hypothesis could be explored by genetic comparisons of this larger clade (*P. ferrugineus* group + *P. perbosicii*) with other related *Pseudomyrmex* clades that are strictly dead twig inhabitants, such as the *P. goeldii* group.

## 5. Conclusion

We investigate the evolutionary history behind the iconic ant/plant mutualism involving the *P. ferrugineus* group and swollen-thorn acacias in the genus *Vachellia*. Our results indicate that the mutualism is relatively young, having developed in the Late Miocene in northern Mesoamerica in a clade of ants, the *P. ferrugineus* subgroup, that shifted from closed to open environments, and from timid to aggressive behaviour. Sometime after this—approximately 3 Myr later—a second clade of ants, the *P. nigrocinctus* subgroup, independently evolved a mutualistic relationship with domatia-bearing *Vachellia*, apparently taking advantage of an already existing association. The arena for this second event was most likely southern Mesoamerica, to which members of the now-diversifying *P. ferrugineus* subgroup had dispersed. Convergence among these two groups of ants can be contrasted with what appears to be a single origin of domatia in the plants [13]. Despite being separated phylogenetically by non-mutualistic (dead twig-inhabiting) species the two clades of ant mutualists are nevertheless relatively closely related, sharing a common



ancestor about 13 Ma, in contrast with several more distantly related congeners that have become obligate non-protective parasites of *Vachellia*, each independently of the other [13,33]. This suggests that there might be features of the *P. ferrugineus* group as a whole that favoured the development of mutualistic interactions. Additional comparisons at multiple phylogenetic depths can help to illuminate the historical context of these interactions, and the factors predisposing the development of either mutualistic or antagonistic relationships with the plants. The *Pseudomyrmex/Vachellia* system and other ant/plant mutualisms [7–18] add to a growing body of evidence for convergent evolution of complex multispecies interactions [6], tempered by particular ecological, phylogenetic and geographical conditions.

**Data accessibility.** Raw sequence reads and TRINITY contig assemblies representing UCE loci are available from the NCBI Sequence Read Archive (SRA) and GenBank, respectively (NCBI BioProject PRJNA357470). The concatenated matrix of 1672 aligned and trimmed loci and accompanying tree files are available from TreeBASE (accession S20346). Additional data, including alignments, alignment supermatrices, tree files, matrix partitioning files, BEAST

xml files, trait and biogeography data files, and tables are available on Dryad: <http://dx.doi.org/10.5061/dryad.3d31q> [71].

**Authors' contributions.** P.S.W. coordinated the study, collected field samples and participated in the generation and analysis of data. M.G.B. participated in data generation and carried out phylogenetic and bioinformatic analyses. P.S.W. and M.G.B. co-wrote the paper.

**Competing interests.** We declare that we have no competing interests.

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