

UC Davis

UC Davis Previously Published Works

Title

The effects of local irradiation on circulating lymphocytes in dogs receiving fractionated radiotherapy.

Permalink

<https://escholarship.org/uc/item/60s4b7f9>

Journal

Veterinary and comparative oncology, 18(2)

ISSN

1476-5810

Authors

Kent, Michael S
Emami, Shaheen
Rebhun, Rob
[et al.](#)

Publication Date

2020-06-01

DOI

10.1111/vco.12531

Peer reviewed

1The effects of local irradiation on circulating lymphocytes in dogs receiving
2fractionated radiotherapy

3

4Running Title: Lymphopenia in dogs receiving radiotherapy

5

6Michael S. Kent¹, Shaheen Emami², Rob Rebhun¹, Alain Theon¹, Katherine
7Hansen¹ and Ellen Sparger³

8

9From the Departments of Surgical and Radiological Sciences, University of
10California Davis¹, the College of Agricultural and Environmental Sciences,
11University of California Davis² and Department of Medicine and
12Epidemiology, School of Veterinary Medicine, University of California Davis³,
13One Shields Avenue, Davis, CA 95616, USA

14

15Word count: 3787

163 Figures, 1 Table

17Corresponding author: Michael S. Kent, University of California, Department
18of Surgical and Radiological Sciences, 1 Shields Avenue, Davis, CA 95616,
19mskent@ucdavis.edu

20

21**Abstract:**

22Localized radiation therapy can be an effective treatment for cancer but is
23associated with localized and systemic side effects. Several studies have
24noted changes in complete blood count (CBC) parameters including
25decreases in the absolute lymphocyte count (ALC) and increases in
26the neutrophil:lymphocyte ratio (NLR). These changes could reflect
27immunosuppression and may contribute to decreased efficacy of
28immunotherapies used to treat cancer. We hypothesized that dogs would
29demonstrate decreased ALCs during a course of radiotherapy. A
30retrospective study was conducted on 203 dogs receiving definitive-intent
31radiotherapy. Demographic information, CBC values and details of the
32radiotherapy protocol were collected. The mean lymphocyte count pre-
33treatment was 1,630.68 cells/ μ l (SD \pm 667.56) with a mean NLR of 3.66 (SD
34 \pm 4.53). The mean lymphocyte count mid-treatment was 1,251.07 cells/ μ l
35(SD \pm 585.96) and the mean NLR was 6.23 (SD \pm 4.99). There was a
36significant decrease in the mean lymphocyte count by 351.41 lymphocytes/ μ l
37(SD \pm 592.32) between pre-treatment and mid-treatment ($p < 0.0001$), and a
38corresponding significant increase in the mean NLR of 0.93
39($p = 0.02$). Lymphopenia grade increased in 33.5% of dogs and was significant
40($p = 0.03$). The ALC decrease was not correlated with the volume irradiated
41($p = 0.27$), but correlated with the irradiated volume: body weight ratio
42($p = 0.03$). A subset of patients ($n = 35$) with additional CBCs available beyond
43the mid-treatment time point demonstrated significant and sustained

44downward trends in the ALC compared to baseline. Although severe
45lymphopenia was rare, these decreases, especially if sustained, could impact
46adjuvant therapy for their cancer.

47

48Keywords: Dog, canine, radiation therapy, lymphopenia, immunosuppression

49Introduction:

50 While most side effects related to therapeutic irradiation occur in the
51 volume of tissue treated, systemic effects can also be observed. These
52 systemic effects can have a negative impact on patients such as weight loss,
53 fatigue and anorexia. In rare instances systemic effects may have a positive
54 effect leading to distant tumor regression¹. Lymphocytes have long been
55 known to be amongst the most radiosensitive cell types^{2,3}. Among circulating
56 cells in the blood, lymphocytes are thought to be the most sensitive followed
57 by neutrophils, then monocytes, platelets and erythrocytes³. Lymphocytes
58 are an important cell type in developing an immune response to cancer and
59 treatment-related lymphopenia may confer a negative impact on anti-tumor
60 immunity and on a patient's prognosis in general^{4,5}.

61 In radiotherapy, the total prescribed radiation dose is often
62 fractionated, i.e., delivered in multiple doses. Definitive-intent fractionation
63 involves smaller doses per treatment, while hypofractionated radiation
64 involves larger doses delivered over only a few treatments⁶. Several studies
65 in human medicine reported decreases in the absolute lymphocyte count
66 (ALC) and increases in the neutrophil:lymphocyte ratio (NLR) in patients
67 undergoing both definitive intent and hypofractionated courses of localized
68 radiotherapy^{7,8}. The most common theory proposed for these changes relates
69 to the irradiation of circulating lymphocytes and their subsequent apoptotic
70 death over the course of radiotherapy. These changes can persist beyond
71 the time a patient is irradiated and can persist for up to 12 months or longer

72after completion of a course of radiotherapy in humans^{3,7,8}. Also of note,
73NLR has been used as a biomarker for subclinical inflammation. A recent
74metanalysis of human studies found that an increased pre-treatment NLR in
75patients undergoing radiotherapy was associated with a poorer survival
76rate⁹.

77 While a veterinary toxicity scoring system exists for radiotherapy
78effects as well as for chemotherapy or biological antineoplastic therapies in
79dogs, neither system specifically addresses lymphopenia^{10,11}. However, the
80Common Terminology Criteria for Adverse Events (CTCAE) v5.0 in humans
81grades lymphopenia as follows: grade 1, ALC between 800 cells/mm³ and the
82lower limit of normal; grade 2, ALC from 500 cells/mm³ to < 800 cells/mm³;
83grade 3, ALC from 200 cells/mm³ to < 500 cells/mm³; and grade 4, ALC <
84200cells/mm³ ¹².

85 Studies have demonstrated variable changes in ALC depending on the
86anatomic location and volume of tissue or tumor being irradiated^{13,14}.
87Patients receiving chemotherapy or corticosteroids in addition to radiation
88showed an even greater decrease in the ALC¹³. Other factors affecting the
89ALC include the total dose of radiation, dose per fraction, number of fractions
90and radiotherapy technique¹⁵. Grade 3 and 4 lymphopenias were also
91associated with an increased rate of mortality in human cancer patients
92receiving radiation and chemotherapy¹³.

93 Localized radiation-induced tumor cell death can initiate an adaptive
94host immune response against metastatic cancers through abscopal effects,

95although it is uncommon^{16,17}. Possibly coupling a radiation-induced primed
96immune response along with immunotherapy could prove to be an effective
97combination therapy against cancer progression¹⁸. While this is an emerging
98field, the optimal dosing and timing of radiotherapy for use in such
99combination therapies is unknown. Check point inhibitors combined with
100radiotherapy may potentially increase the chance of inducing an abscopal
101effect and improve outcomes. Other combinations of immunotherapies
102combined with radiation therapy include anti-tumor vaccines, Adoptive T cell
103transfer, intratumoral CpG administration, intratumoral dendritic cells and
104natural killer cell therapies¹⁹. However these have not been fully investigated
105and negative effects can be seen. For example, human patients who
106demonstrated severe lymphopenia after a palliative course of radiotherapy
107for solid tumors with metastatic disease were more likely to have severe
108lymphopenia when starting adjuvant therapy, which was associated with an
109increased mortality with subsequent treatment of a PD-1 immune checkpoint
110inhibitor²⁰. These findings support the importance of continued investigation
111of the impact of radiotherapy on circulating immune cell populations.

112 The primary objective of this retrospective study was to evaluate
113changes in the ALC and NLR in a series of dogs undergoing fractionated
114radiotherapy. We hypothesized that dogs would demonstrate a decreased
115ALC and an increased NLR during a course of radiotherapy. A secondary
116objective was to evaluate factors that may influence such changes.

117**Material and Methods**

118 The electronic medical records system at the Veterinary Medical
119Teaching Hospital at the University of California Davis from October 2013 to
120November 2018 was searched for dogs receiving a definitive intent course of
121radiotherapy. Definitive intent radiation plans were defined as one of the
122following protocols: 2.3 Gy/fraction for 20 daily fractions, 2.5 Gy/fraction for
12320 daily fractions, 3 Gy/fraction for 16 daily fractions or 3 Gy/fraction for 17
124daily fractions. October 2013 was chosen as the start date for this study as
125this was the date that a new linear accelerator and record and verify system
126(Aria patient management system, Varian Oncology Systems, Palo Alto, CA,
127USA), were installed at the facility. Information abstracted from the medical
128records included demographic information, location of tumor, complete blood
129counts (CBC) including the date of assessment and absolute differential
130numbers, concurrent medications, type of radiation, total dose delivered,
131fractionation, volume irradiated, and radiation planning type. Exclusion
132criteria included concurrent chemotherapy, if they were started on a course
133of steroids within the two weeks prior to beginning radiotherapy, absence of
134CBC data, and lack of CBC data within two fractions of the mid-treatment
135time point.

136 Per standard practice at our facility, all dogs had a CBC done prior to
137beginning a course of radiotherapy and again half way through the course of
138radiotherapy as part of their general health screening and anesthesia
139assessment. In cases where the CBC was done at a referring veterinarian's
140practice prior to starting radiotherapy, results were collected from the paper

141records. Subsequent CBCs after completing radiotherapy were done as
142clinically indicated and ordered by the attending clinicians. To evaluate
143effects over time in the subset of cases where more than two CBCs were
144available for review, CBC data for each subject was grouped according to
145pre-treatment, days 5-15, days 16-30, days 30-60 or days 60-140 after the
146start of radiotherapy. In cases where the original paper copy of the CBC
147could not be located, the referring veterinarian was contacted in order to
148obtain the original CBC data. The normal reference range for lymphocyte
149counts at our laboratory is 1,000 - 4,000 cells/ μ l. Lymphopenia was graded
150according to the CTCAE v.5.

151 Tumor location was defined by the area irradiated and was stratified
152into the following groupings: brain, head and neck, trunk, extremity,
153intracavitary (including intrathoracic, abdominal, and pelvic) and spinal. All
154dogs were treated on a linear accelerator (TrueBeam, Varian Oncology
155Systems, Palo Alto, CA, USA). For cases that were computer planned, volume
156irradiated was defined as volume of the PTV. The PTV volume was calculated
157using the measure volume function in the treatment planning software. For
158photon cases treated with manually calculated fields, the port film was
159exported into an image processing program (Image J, National Institute of
160Mental Health, Bethesda, Maryland, USA). The patient area within the field
161was outlined and the area calculated. This area value was multiplied by the
162prescribed depth in order to calculate a volume. For electron fields, the 80%
163isodose line for the chosen electron beam energy is commonly used to

164ensure target coverage at this institution. Therefore, the open area of the
165electron cone was calculated for these plans, and the area value was then
166multiplied by the depth of the 80% isodose line for the treatment beam
167energy.

168*Statistical analysis*

169 Descriptive statistics were generated. To compare changes in ALC and
170NLR, a paired t-test was done to investigate for changes between the pre-
171treatment and mid-treatment values. To determine if changes in the ALC or
172NLR were dependent on factors such as irradiated volume, weight and the
173irradiated volume to weight ratio, a linear regression analysis was
174conducted. A Fischer's exact test was done to determine changes in
175lymphopenia grade. In the subset of cases where more than two CBCs were
176available, linear regression was used to examine for changes in lymphocyte
177counts over time. Statistical analysis was done using a commercially
178available software program (Stata 14.2, Stata Corporation, College Station,
179TX). A p-value of <0.05 was set as the level for significance.

180

181**Results**

182 A total of 203 dogs met inclusion criteria for the study. The initial
183search of the electronic medical records system identified 225 cases.
184Twenty-two cases were excluded because of the following reasons:
185undergoing concurrent chemotherapy (n = 4); absence of CBC data (n = 6);
186involvement in a clinical trial utilizing medications with potential effects on

187CBC values (n = 6); and absence of mid-treatment CBC data (n = 6). The
188data that support the findings of this study are openly available in the
189supplemental data for this study²¹.

190*Patient Demographics*

191 The median age was 8.97 years (range 0.7 - 15.1 years). The sample
192included 18 intact males, 94 castrated males, 4 intact females, and 87
193female spayed dogs. The median weight was 26.4 kg (range 2.8 - 66.5kg). A
194total of 53 mixed breed dogs were included in the study. The pure breed
195dogs were as follows: Labrador retrievers (n = 24), golden retrievers (n =
19614), boxers (n = 9), pit bull terriers (n =7), English bull dogs (n = 5),
197Chihuahuas (n = 5), Australian cattle dogs (n =4), Boston terriers (n = 4),
198Australian shepherds (n = 3), dachshunds (n = 3), German shepherd dogs (n
199= 3), German shorthaired pointers (n = 3), great Danes (n = 3), miniature
200poodles (n = 3), miniature schnauzers (n = 3) and Siberian huskies (n = 3).
201There were 14 other breeds with two dogs each and 26 breeds with one dog
202each. 21 dogs were on steroids at the time of presentation, and by tumor
203location, included brain (n=6), head and neck (n=8), extremity (n=3), trunk
204(n=1), and spine (n=3).

205*Irradiation Procedures*

206 Irradiated areas included intracranial (n=11), head and neck (n=75),
207extremities (n=68), trunk (n=22), spinal (n=5) and intracavitary (n=22). 69
208cases were hand planned and 134 cases were computer planned. The
209median volume irradiated was 137.9cm³ (range 6.43 - 2,507.6cm³). The

210median irradiated volume to weight ratio was 6.38cm³/kg (range 0.23 -
211175cm³/kg). The median prescribed dose was 48 Gy. One case received 45
212Gy, one case received 46 Gy, 138 cases received 48 Gy, 41 cases received
21350 Gy and 22 cases received 51 Gy. A median of 16 (range 12-20) fractions
214were delivered, with a median dose of 3 Gy (range 2.3 - 4 Gy) per fraction.

215*Changes in Lymphocyte Values*

216 The values compared in the study were derived from the pre-treatment
217CBC (median 26 days before the start of radiotherapy) and the mid-
218treatment CBC (median of 10 days after the start of radiotherapy). The mean
219ALC from the pre-treatment CBCs was 1,630.68 cells/ μ l (SD \pm 667.56) with a
220mean NLR of 3.66 (SD \pm 4.53) (Figure 1). At the time of the pre-treatment
221CBC, 169 cases had an ALC that was at or above the lower end of the normal
222reference interval and 34 cases showed some degree of lymphopenia. The
223grade of lymphopenia from the pre-treatment CBCs are presented in Table 1.
224The mean ALC in the mid-treatment CBC was 1,251.07 cells/ μ l (SD \pm 585.96)
225and the mean NLR was 6.23 (SD \pm 4.99) (Figure 1). A mean decrease of
226351.41 lymphocytes/ μ l (SD \pm 592.32) observed for the ALC between the pre-
227treatment and mid-treatment time points was significant ($p < 0.0001$), and a
228corresponding mean increase in the NLR of 0.93 was also significant
229($p = 0.02$) (Figure 2). The grade of lymphopenia from the mid-treatment CBCs
230is presented in Table 1. The one case with lymphocytosis on the pre-
231treatment CBC returned to the normal range by the mid-treatment CBC. Six
232cases had decreased severity of their lymphopenia grade by 2 grades, and

23311 cases had decreased severity of their lymphopenia by 1 grade. A total of
234117 cases had no change in their grade. In contrast, 30 cases had increased
235severity of their lymphopenia by 1 grade, 31 cases had increased severity of
236their lymphopenia by 2 grades, and 7 cases had increased severity of their
237lymphopenia by 3 grades. This corresponds to a decrease in lymphopenia
238grade for 8.87% of cases, no change in grade for 57.67% of cases, and an
239increase in grade for 33.5%. There was a significant increase in the grade of
240lymphopenia between the pre-treatment lymphocyte and mid-treatment
241lymphocyte counts ($p=0.03$).

242 The decrease in ALC was also compared with the anatomic location
243irradiated and no significant difference was found in the degree of the
244decreased ALC based on the region irradiated ($p=0.14$). Based on the
245volume irradiated, there was no correlation with the decrease in ALC
246($p=0.61$) nor the increase in the NLR ($p=0.59$). However, comparison of
247increases in the irradiated volume: body weight ratio with decreases in ALC
248using linear regression showed a statistically significant but weak correlation
249($p=0.04$, Coef 4.49, $R^2=0.03$), although no correlation was found when
250comparing this ratio with the NLR ($p=0.85$). An increasing irradiated volume:
251body weight ratio was correlated with the anatomic location irradiated
252($p<0.0001$, $R^2=0.1861$) with the ratio increasing in the following order: spine,
253brain, head and neck, intracavitary, extremity, and trunk.

254 Patients with more than the two CBCs ($n=35$) were examined for
255longer term effects of radiotherapy on their ALC. The patients were divided

256based on the days of their CBCs after the start of radiotherapy into the
257following time frames: **pre-treatment; n=35, mid-treatment; n=37, Day 16-**
258**30; n=11, Day 31-60; n=33, and Day 61-140; n=33.** Statistical analysis
259showed significant downward trends in the ALC for mid-treatment ($p=0.001$,
260Coef.= -315.0533, 95% CI= -496.7952 - -133.3114), Day 16-30 ($p=0.001$,
261Coef.= -595.1221, 95% CI= -925.2996 - -264.9446), Day 31-60 ($p<0.0001$,
262Coef.= -683.3039, 95% CI= -954.8986 - -411.7092), and Day 61-140
263($p<0.0001$, Coef.= -511.6372, 95% CI= -747.6681 - -275.6063) compared to
264pre-treatment with no recovery to baseline within the study period of up to
265140 days (Figure 3).

266**Discussion**

267 Findings from this study shows that local irradiation can be associated
268with systemic decreases in circulating lymphocyte counts with the mean ALC
269significantly decreasing and the mean NLR increasing from the pre-treatment
270CBC to the mid-treatment CBC. It has long been known that whole body
271irradiation can lead to rapid and prolonged lymphopenia in dogs, whereas
272the systemic effects of localized radiotherapy on ALC has been less
273appreciated²²⁻²⁴. Although there was a significant decrease in the ALC seen,
274the mean ALC in the mid-treatment CBC was still within the reference range.
275Further, 108 cases showing an initial ALC within the normal range
276maintained a normal ALC based on the mid-treatment CBC. These findings
277are in contrast to the over 33% of cases that showed an increase or
278worsening in their lymphopenia grade between the two time points. These

279 results are similar to the findings reported in human medicine and the one
280 veterinary study that looked at changes in lymphocyte counts resulting from
281 radiotherapy²⁵. There is one veterinary study that looked at changes in CBC
282 values at the midpoint and conclusion of radiotherapy compared to CBC
283 values taken prior to starting radiotherapy. They found significant
284 reductions in hematocrit, total white blood cell count, neutrophils,
285 eosinophils, monocytes, lymphocytes and platelets occurred during definitive
286 radiotherapy in 103 dogs²⁵. The ALC significantly decreased and the mean
287 lymphocyte count at the end of radiotherapy ($0.906 \pm 0.65 \times 10^3$ cell/ μ l) was
288 below the lower limit of their laboratory's reference interval (1.1×10^3
289 cell/ μ l). This paper however was not focused on changes in lymphocyte
290 counts, but rather myelosuppression, and did not provide detailed
291 information beyond the mean ALC and standard deviations at the different
292 time points evaluated. This study also did not try to evaluate the effects of
293 radiotherapy on systemic lymphocyte counts beyond the last day of
294 treatment.

295 While our study shows that severe lymphopenia is not common at least
296 at the midpoint through radiotherapy, the findings suggest that radiotherapy
297 may decrease the ALC which could have important implications for adjuvant
298 therapies¹³. Furthermore, findings from a subset of dogs with additional CBCs
299 available beyond the pre-treatment and mid-treatment timepoints revealed
300 significant and prolonged decreases in the ALC. These results are similar to

301those of other human studies and suggest a protracted effect of irradiation
302on lymphocyte counts^{3,7,8}.

303 Other studies looking at changes in the NLR found that post-treatment
304NLR was more indicative of a poor clinical outcome as opposed to the pre-
305treatment NLR, often due to the decrease in ALC⁸. While we did find an
306increased NLR based on mid-treatment CBCs, assessment of clinical outcome
307was beyond the scope of this paper. Association of radiotherapy-induced
308changes in the NLR with prognosis warrants further evaluation.

309 A human study noted that the tumor volume irradiated in non-small
310cell lung carcinoma was significantly associated with a decrease in ALC¹⁴. In
311our study we found no association between tumor volume and changes in
312the either the ALC or NLR. Given the relatively large weight range in the dogs
313studied, we created an irradiated volume: body weight ratio to try to account
314for this variability. Although the irradiated volume: body weight ratio was
315significantly associated with the decrease in the ALC (P=0.04), the linear
316regression model yielded a low R² value (0.03), indicating a weak but
317positive relationship (Coef = 4.49). Results of our study did not show an
318association of the anatomic location irradiated with decreases in the ALC or
319increases in the NLR, although the local site irradiated may play a role in the
320systemic immune response after radiotherapy. Not surprisingly the volume
321irradiated did correlate with the anatomic location irradiated, whereby
322intracranial and spinal locations had smaller volumes irradiated than tumors
323located in other anatomic locations. It will be important for future research to

324 explore if there are stronger correlations between changes in the ALC,
325 anatomic location, and the irradiated volume: body weight ratio in dogs, and
326 to determine how the location and ratio together may affect the ALC.

327 Dogs were included in the study if they were receiving corticosteroids
328 for greater than two weeks prior to starting radiotherapy. This cutoff was
329 chosen as anti-inflammatory doses of prednisone, while leading to decreases
330 in the absolute lymphocyte count at two weeks, do not cause further drops in
331 the ALC with further chronic administration²⁶. Additionally it has been shown
332 that anti-inflammatory doses of prednisone do not effect neutrophil counts
333 when receiving them daily at two weeks²⁶.

334 Radiation therapy is known to have immunomodulating effects on the
335 local area irradiated that could lead to an anti-tumor response. These effects
336 include inducing an immunogenic tumor cell death, release of antigens for T-
337 cell priming, attraction of T-cells to the local tumor, and destruction of
338 immunosuppressive stromal cells. These effects have led to a theory that
339 radiotherapy may act as an in-situ vaccination against a tumor, which has
340 been clinically demonstrated as the abscopal effect¹. The abscopal effect is
341 described as a systemic immune response targeting distant metastasis after
342 local irradiation of a tumor. This was first reported in the 1950s and given
343 that there are approximately 21 such cases in the literature, this is a
344 relatively rare event¹⁶. Combining radiation with other immunotherapies to
345 more reliably induce an abscopal effect is a promising approach, and several
346 veterinary studies have been published using this strategy^{27,28}.

347 Radiation may also have a differential effect on different classes of
348lymphocytes. Previous studies have shown that multiple immune cell subsets
349are decreased after irradiation including B lymphocytes, T lymphocytes
350(CD4+ and CD8+) and NK cells, while T regulatory cells appear to be more
351radioresistant than other classes of lymphocytes¹³. Preferential sparing of T
352regulatory cells could have a negative implication for effective anti-tumor
353immune responses and also argues for the use of immune check point
354inhibitors along with radiotherapy as some check point inhibitors may
355decrease the numbers of T regulatory cells. This point remains controversial.
356For example while T regulatory cells are known to express CTLA-4, some
357recent work shows that in mouse tumors anti-CTLA-4 immunotherapy leads
358to both increased T effector cells and decreased T regulatory cells while in
359humans CTLA-4 blockade only increased levels of T effector cells without
360affecting T regulatory cells²⁹. As our study assessed the lymphocyte
361population as a whole, it will be necessary for future research to analyze the
362subpopulations of circulating lymphocytes and their response to irradiation.

363 The presumed systemic immunosuppression that results after localized
364radiotherapy, as has been shown by the decreases in circulating
365lymphocytes seen in this and other studies, may on its own limit the
366effectiveness of radiotherapy to elicit a tumor immune response. This
367immunosuppression also suggests that multi-modality immunotherapies may
368also be affected. Interestingly, lymphocyte counts have been shown to be a
369predictor of clinical benefit and overall survival in human patients with

370advanced refractory melanoma treated with ipilimumab, a monoclonal
371antibody that blocks CTLA-4. Those patients with an ALC <1000/ μ l had
372worse outcomes³⁰.

373 Due to our study being retrospective in nature, our sampled population
374often did not have follow-up CBC's after the midpoint of radiotherapy and
375none beyond 140 days after starting radiotherapy. This means we were not
376measuring the full effect of fractionated radiotherapy on lymphocytes;
377approximately half the total radiation dose was delivered at the time of the
378second measurement which may have underestimated the impact of
379radiation on the lymphocyte values. Many pre-treatment CBCs were done by
380the referring veterinarian and not at the same laboratory as the mid-
381treatment CBC. These laboratories likely used different equipment for
382completing the test, which is a limitation of this study. Further we only had
383limited cases with available lymphocytes values after the course of
384radiotherapy was completed, so the recovery trajectory for the lymphocyte
385values cannot be evaluated. We were also limited to CBC data that does not
386include information on changes in subsets of lymphocytes, which may be
387differentially affected by radiation treatment. In addition, we did not
388measure clinical outcomes in this study nor were dogs tested for
389immunosuppression making it hard to draw direct conclusions if the drops in
390lymphocyte counts seen actually resulted in immunosuppression or affected
391clinical outcome in the study group. Radiation impact on lymphocyte subsets
392has not been adequately explored and may have greater implications than

393just the absolute drops in the ALC. A prospective study evaluating the effects
394of irradiation long term will need to be done in order to evaluate these
395factors.

396 In conclusion we found significant decreases in the ALC and increases
397in the NLR associated with radiotherapy across a large cohort of canine
398patients. The impact of these changes in circulating lymphocyte numbers on
399clinical outcome and survival warrants further research and may also have
400implications for those patients undergoing adjuvant immunotherapy.
401Further, it still remains unknown as to which subclasses of lymphocytes are
402most impacted by definitive courses of radiotherapy, and the duration of
403these effects on the ALC that are seen in canine patients.

404Conflicts of interest:

405The authors declare no potential conflict of interest.

406References

4071. Siva S, MacManus MP, Martin RF, Martin OA. Abscopal effects of
408 radiation therapy: a clinical review for the radiobiologist. *Cancer Lett.*
409 2015;356(1):82-90.
4102. Trowell OA. The sensitivity of lymphocytes to ionising radiation. *J*
411 *Pathol Bacteriol.* 1952;64(4):687-704.
4123. Heylmann D, Rodel F, Kindler T, Kaina B. Radiation sensitivity of human
413 and murine peripheral blood lymphocytes, stem and progenitor cells.
414 *Biochim Biophys Acta.* 2014;1846(1):121-129.
4154. Yovino S, Grossman SA. Severity, etiology and possible consequences
416 of treatment-related lymphopenia in patients with newly diagnosed
417 high-grade gliomas. *CNS Oncol.* 2012;1(2):149-154.
4185. Yovino S, Kleinberg L, Grossman SA, Narayanan M, Ford E. The etiology
419 of treatment-related lymphopenia in patients with malignant gliomas:
420 modeling radiation dose to circulating lymphocytes explains clinical
421 observations and suggests methods of modifying the impact of
422 radiation on immune cells. *Cancer Invest.* 2013;31(2):140-144.
4236. McEntee MC. Veterinary radiation therapy: review and current state of
424 the art. *J Am Anim Hosp Assoc.* 2006;42(2):94-109.

4257. Standish LJ, Torkelson C, Hamill FA, et al. Immune defects in breast cancer patients after radiotherapy. *J Soc Integr Oncol*. 2008;6(3):110-121.
- 426
- 427
4288. Lin AJ, Gang M, Rao YJ, et al. Association of Posttreatment Lymphopenia and Elevated Neutrophil-to-Lymphocyte Ratio With Poor Clinical Outcomes in Patients With Human Papillomavirus-Negative Oropharyngeal Cancers. *JAMA Otolaryngol Head Neck Surg*. 2019.
- 429
- 430
- 431
4329. Choi N, Kim JH, Chie EK, Gim J, Kang HC. A meta-analysis of the impact of neutrophil-to-lymphocyte ratio on treatment outcomes after radiotherapy for solid tumors. *Medicine (Baltimore)*. 2019;98(18):e15369.
- 433
- 434
- 435
43610. Veterinary cooperative oncology group - common terminology criteria for adverse events (VCOG-CTCAE) following chemotherapy or biological antineoplastic therapy in dogs and cats v1.1. *Vet Comp Oncol*. 2016;14(4):417-446.
- 437
- 438
- 439
44011. Ladue T, Klein MK, Veterinary Radiation Therapy Oncology G. Toxicity criteria of the veterinary radiation therapy oncology group. *Vet Radiol Ultrasound*. 2001;42(5):475-476.
- 441
- 442
44312. National Cancer Institute. Common Terminology Criteria for Adverse Events (CTCAE) v5.0. https://ctep.cancer.gov/protocoldevelopment/electronic_applications/ctc.htm#ctc_50. Accessed.
- 444
- 445
- 446
44713. Grossman SA, Ellsworth S, Campian J, et al. Survival in Patients With Severe Lymphopenia Following Treatment With Radiation and Chemotherapy for Newly Diagnosed Solid Tumors. *J Natl Compr Canc Netw*. 2015;13(10):1225-1231.
- 448
- 449
- 450
45114. Tang C, Liao Z, Gomez D, et al. Lymphopenia association with gross tumor volume and lung V5 and its effects on non-small cell lung cancer patient outcomes. *Int J Radiat Oncol Biol Phys*. 2014;89(5):1084-1091.
- 452
- 453
45415. Ellsworth SG. Field size effects on the risk and severity of treatment-induced lymphopenia in patients undergoing radiation therapy for solid tumors. *Adv Radiat Oncol*. 2018;3(4):512-519.
- 455
- 456
45716. Baird JR, Monjazebe AM, Shah O, et al. Stimulating Innate Immunity to Enhance Radiation Therapy-Induced Tumor Control. *Int J Radiat Oncol Biol Phys*. 2017;99(2):362-373.
- 458
- 459
46017. Hu ZI, McArthur HL, Ho AY. The Abscopal Effect of Radiation Therapy: What Is It and How Can We Use It in Breast Cancer? *Curr Breast Cancer Rep*. 2017;9(1):45-51.
- 461
- 462
46318. Formenti SC, Demaria S. Systemic effects of local radiotherapy. *Lancet Oncol*. 2009;10(7):718-726.
- 464
46519. Formenti SC, Demaria S. Combining radiotherapy and cancer immunotherapy: a paradigm shift. *J Natl Cancer Inst*. 2013;105(4):256-265.
- 466
- 467
46820. Pike LRG, Bang A, Mahal BA, et al. The Impact of Radiation Therapy on Lymphocyte Count and Survival in Metastatic Cancer Patients
- 469

470 Receiving PD-1 Immune Checkpoint Inhibitors. *Int J Radiat Oncol Biol*
471 *Phys.* 2019;103(1):142-151.

47221. Kent MS, Emami S, Rebhun RB, Theon AP, Hansen KS, Sparger EE. Data
473 set for The effects of local irradiation on circulating lymphocytes in
474 dogs receiving fractionated radiotherapy. *Supplemental material - file:*
475 *Dataset_supportingInfo.* 2019.

47622. Seed TM, Carnes BA, Tolle DV, Fritz TE. Blood responses under chronic
477 low daily dose gamma irradiation: I. Differential preclinical responses of
478 irradiated male dogs in progression to either aplastic anemia or
479 myeloproliferative disease. *Leuk Res.* 1989;13(12):1069-1084.

48023. Seed T, Carnes B, Tolle D, Fritz T. Blood responses under chronic low
481 daily dose gamma irradiation: II. Differential preclinical responses of
482 irradiated female dogs in progression to either aplastic anemia or
483 myeloproliferative disease. *Leuk Res.* 1993;17(5):411-420.

48424. Nothdurft W, Fliedner TM, Fritz TE, Seed TM. Response of hemopoiesis
485 in dogs to continuous low dose rate total body irradiation. *Stem Cells.*
486 1995;13 Suppl 1:261-267.

48725. Clermont T, Leblanc AK, Adams WH, Leblanc CJ, Bartges JW.
488 Radiotherapy-induced myelosuppression in dogs: 103 cases (2002-
489 2006). *Vet Comp Oncol.* 2012;10(1):24-32.

49026. Moore GE, Mahaffey EA, Hoenig M. Hematologic and serum
491 biochemical effects of long-term administration of anti-inflammatory
492 doses of prednisone in dogs. *Am J Vet Res.* 1992;53(6):1033-1037.

49327. Canter RJ, Grossenbacher SK, Foltz JA, et al. Radiotherapy enhances
494 natural killer cell cytotoxicity and localization in pre-clinical canine
495 sarcomas and first-in-dog clinical trial. *J Immunother Cancer.*
496 2017;5(1):98.

49728. Monjazeb AM, Kent MS, Grossenbacher SK, et al. Blocking Indolamine-
498 2,3-Dioxygenase Rebound Immune Suppression Boosts Antitumor
499 Effects of Radio-Immunotherapy in Murine Models and Spontaneous
500 Canine Malignancies. *Clin Cancer Res.* 2016;22(17):4328-4340.

50129. Sharma A, Subudhi SK, Blando J, et al. Anti-CTLA-4 Immunotherapy
502 Does Not Deplete FOXP3(+) Regulatory T Cells (Tregs) in Human
503 Cancers. *Clin Cancer Res.* 2019;25(4):1233-1238.

50430. Muto Y, Kitano S, Tsutsumida A, et al. Investigation of clinical factors
505 associated with longer overall survival in advanced melanoma patients
506 treated with sequential ipilimumab. *J Dermatol.* 2019.

507

508Table 1

Pre-treatment CBC †	Change in Grade from Pre-treatment to Mid-treatment CBC†					
Lymphopenia Grade (N)	Lymphocytosis	WRI‡	Grade 1	Grade 2	Grade 3	Grade 4
Lymphocytosis (1)	0	1	0	0	0	0

WRI (168)	0	108	24	29	7	0
Grade 1 (19)	0	10	4	4	1	0
Grade 2 (13)	0	5	1	4	2	1
Grade 3 (1)	0	0	0	1	0	0
Grade 4 (1)	0	0	0	1	0	0
	Mid-treatment CBC [†] lymphopenia Grade (N)	124	29	38	11	1

509 Number of cases for each lymphopenia grade for both the pre-treatment and
510 mid-treatment lymphocyte counts showing change in grade. CBC[†] - complete
511 blood count, WRI[±] within reference interval.

512

513 Figure Legends:

514 Figure 1: Histograms of absolute lymphocyte counts from pre-treatment (A)
515 and mid-treatment (C) Complete blood counts as well as neutrophil to
516 lymphocyte ratios from pre-treatment (B) and mid-treatment (D) in dogs
517 undergoing definitive fractionated radiotherapy. The vertical dashed lines
518 represent the lower and upper limits of the reference interval.

519

520 Figure 2: Box plots for the changes in the absolute lymphocyte counts and
521 neutrophil to lymphocyte ratios between pre-treatment and mid-treatment
522 values for dogs undergoing definitive fractionated radiotherapy. These were
523 both statistically significant ($p < 0.0001$ and $p = 0.02$ respectively). The clear
524 bars represent the median values. The upper and lower quartiles are
525 represented by the ends of the boxes. The ends of the bars represent the
526 range with outliers removed and the circles and triangles represent outliers

527for the absolute lymphocyte count differences and neutrophil to lymphocyte
528count ratios respectively.

529

530Figure 3: Box plots for the changes in the absolute lymphocyte counts
531between pre-treatment and later time period values for dogs undergoing
532definitive fractionated radiotherapy. The changes between the pre-
533treatment lymphocyte count and subsequent time period lymphocyte counts
534were statistically significant ($p=0.001$, $p=0.001$, $p<0.0001$, $p<0.0001$,
535respectively). The clear bars represent the median values. The upper and
536lower quartiles are represented by the ends of the boxes. The ends of the
537bars represent the range with outliers removed and the circles represent
538outliers.

539