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Authors

Wallace, Meredith L
Stone, Katie
Smagula, Stephen F
et al.

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ORIGINAL ARTICLE

Which Sleep Health Characteristics Predict All-Cause Mortality in Older Men? An Application of Flexible Multivariable Approaches

Meredith L. Wallace, PhD¹, Katie Stone, PhD^{2,3}, Stephen F. Smagula, PhD¹, Martica H. Hall, PhD¹, Burcin Simsek, PhD¹, Deborah M. Kado, MD, MS⁴, Susan Redline, MD, MPH⁵, Tien N. Vo, MS⁶,

Daniel J. Buysse, MD¹, for the Osteoporotic Fractures in Men (MrOS) Study Research Group

¹Department of Psychiatry, University of Pittsburgh, Pittsburgh, PA; ²California Pacific Medical Center, Research Institute, San Francisco, CA; ³Department of Epidemiology and Biostatistics, University of California, San Francisco, CA; ⁴Department of Family Medicine & Public Health, University of California, La Jolla, San Diego, CA; ⁵Departments of Medicine, Brigham and Women's Hospital and Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA; ⁶Division of Epidemiology and Community Health, University of Minnesota, Minneapolis, MN

Study Objectives: Sleep is multidimensional, with domains including duration, timing, continuity, regularity, rhythmicity, quality, and sleepiness/alertness. Individual sleep characteristics representing these domains are known to predict health outcomes. However, most studies consider sleep characteristics in isolation, resulting in an incomplete understanding of which sleep characteristics are the strongest predictors of health outcomes. We applied three multivariable approaches to robustly determine which sleep characteristics increase mortality risk in the osteoporotic fractures in men sleep study.

Methods: In total, 2,887 men (mean 76.3 years) completed relevant assessments and were followed for up to 11 years. One actigraphy or self-reported sleep characteristic was selected to represent each of seven sleep domains. Multivariable Cox models, survival trees, and random survival forests were applied to determine which sleep characteristics increase mortality risk.

Results: Rhythmicity (actigraphy pseudo-*F* statistic) and continuity (actigraphy minutes awake after sleep onset) were the most robust sleep predictors across models. In a multivariable Cox model, lower rhythmicity (hazard ratio, HR [95%CI] = 1.12 [1.04, 1.22]) and lower continuity (1.16 [1.08, 1.24]) were the strongest sleep predictors. In the random survival forest, rhythmicity and continuity were the most important individual sleep characteristics (ranked as the sixth and eighth most important among 43 possible sleep and non-sleep predictors); moreover, the predictive importance of all sleep information considered simultaneously followed only age, cognition, and cardiovascular disease.

Conclusions: Research within a multidimensional sleep health framework can jumpstart future research on causal pathways linking sleep and health, new interventions that target specific sleep health profiles, and improved sleep screening for adverse health outcomes.

Statement of Significance

Most studies use individual sleep characteristics to predict health outcomes. However, sleep can be characterized along multiple dimensions such as duration, continuity, and rhythmicity. We applied three multivariable modeling approaches in a large sample of older men to examine which sleep characteristics are most important for predicting mortality. Across approaches, lower sleep-wake rhythmicity and lower sleep continuity were the sleep characteristics that conferred the strongest risk for mortality among older men. These findings can help us to clarify how sleep affects health, how sleep problems should be evaluated, and how sleep treatments might improve health. Ultimately, studying multidimensional sleep could improve public health by promoting healthy sleep for the entire population, rather than only those with sleep disorders.

Keywords: sleep health, circadian rhythm, multivariable analyses, mortality, men, late-life, survival tree, random survival forest.

INTRODUCTION

Measures of sleep duration,^{1–11} quality,^{5,12,13} timing,^{14,15} continuity,^{16–19} circadian rhythmicity,^{20,21} regularity,^{22–24} and daytime sleepiness^{25–27} have been shown to predict important health outcomes. Many of these findings are based on models that consider only one of these sleep characteristics at a time,^{7–9,12–14,16–18,21,22} or at most two or three of them simultaneously.^{3,4,20,23–27} The clinical reality, however, is that sleep is a multidimensional construct that can be characterized across several domains.^{28,29} Ignoring the multidimensional nature of sleep makes it difficult to organize findings across the literature to determine which aspects of sleep are most important for predicting health outcomes. Moreover, a combination of characteristics is more likely to be associated with health and behavioral outcomes than any individual characteristic. Thus, studies that consider multidimensional sleep health are warranted.

We recently developed a working definition of “sleep health” as a “multidimensional pattern of sleep-wakefulness...that promotes physical well-being.”²⁹ Although the exact number of relevant sleep health dimensions is open to debate, we here emphasize seven potential domains: (1) Duration: the total

amount of sleep obtained per 24 hours; (2) Continuity: the ease of falling asleep and returning to sleep; (3) Timing: the placement of sleep within the 24-hour day; (4) Sleepiness/Alertness: the ability to maintain attentive wakefulness; (5) Quality: the subjective assessment of “good” or “poor” sleep; (6) Regularity: the consistency of sleep timing; and (7) Rhythmicity: the strength of the overall sleep-wake pattern in a 24-hour cycle. Although other potential domains could be considered (e.g., sleep depth or adaptability), these seven were selected based on prior literature indicating their independence from one another as well as their potential roles in important health outcomes.²⁹

Because sleep health is relevant for everyone, not just those with sleep disorders, research within a multidimensional sleep health framework has the potential to inform large-scale public health initiatives by improving screening and clinical recommendations.^{29–31} It could also inform which specific sleep characteristics should be considered in mechanistic studies and ultimately lead to the development of targeted sleep treatments that may reduce mortality and morbidity. But despite its potential impact, few previous studies have utilized a multidimensional

sleep health framework. One possible reason is that complex, nonlinear relationships are likely to exist among sleep characteristics, nonsleep risk factors, and health outcomes. Traditional multivariable regression has numerous advantages, including the ability to quantify the added risk of having a more extreme level of one sleep characteristic while adjusting for other sleep and nonsleep risk factors. However, a weakness of multivariable regression is that it is not conducive to modeling complex, nonlinear associations such as those that may be observed within a multidimensional sleep health framework.

Two flexible, nonlinear multivariable modeling approaches that could facilitate research within a multidimensional sleep health framework are conditional inference tree-structured analyses³² and random forests.^{33,34} Conditional inference tree-structured analysis is a data-driven approach that recursively divides the sample into covariate-defined subsamples with similar outcomes. Given a set of potential predictors, the algorithm first identifies the single predictor with the strongest association with the outcome and then identifies the binary split-point on the selected predictor that best divides the sample into two subsamples with different outcomes. This splitting procedure continues iteratively on each successive subsample until there are no remaining significant predictors or the subsample size is too small. A random forest is comprised of hundreds of trees fit to bootstrap samples. The trees in the “forest” are averaged to get predictions for new individuals. A variable importance (VIMP) index³⁴ can be extracted from the random forest and used to rank each variable (or a set of variables) based on its relative predictive importance. Multivariable regression, tree-structured analysis, and random forests are three complementary

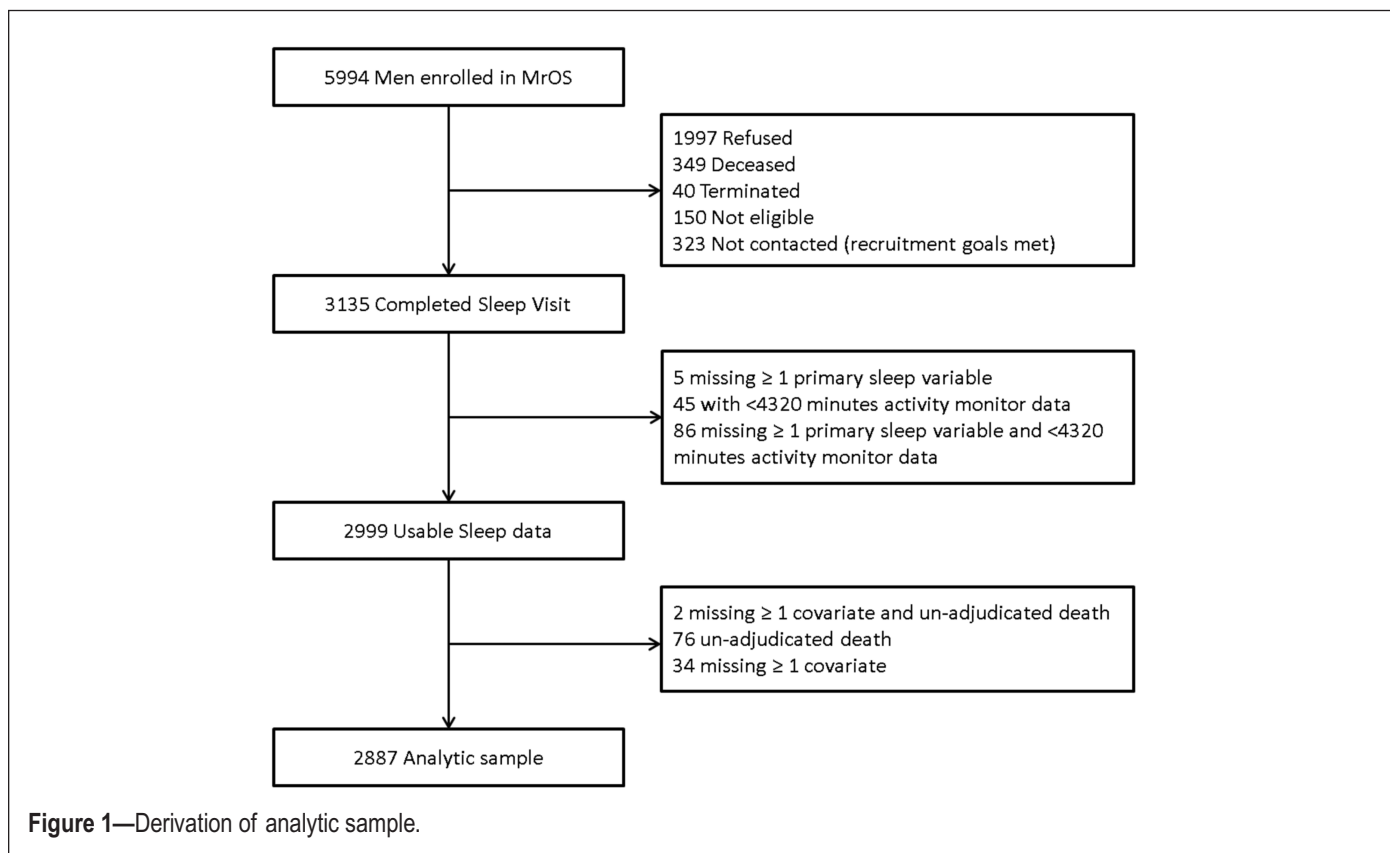
approaches that can provide a comprehensive assessment of the associations between multivariable sleep and health outcomes.

The primary goal of this manuscript is to use data from the Outcome of Sleep Disorders in Older Men (MrOS) Sleep study,³⁵ a large multisite cohort study of older men, to robustly determine which sleep characteristics predict time to all-cause mortality in older men. Given the potentially complex associations among sleep risk factors, nonsleep risk factors, and health outcomes, we accomplish this goal by comparing findings from three complimentary multivariable modeling approaches for survival endpoints: Cox regression, tree-structured survival analysis, and a random survival forest. Moreover, we expect that the demonstration of these three multivariable approaches will promote the study of multidimensional sleep health with other samples and outcomes.

METHODS

Participants

The full MrOS cohort consists of 5,994 community dwelling, ambulatory men aged 65 years and older, recruited at six clinical centers across the United States between March 2000 and April 2002. Inclusion criteria were as follows: (1) ability to walk unassisted, (2) absence of bilateral hip replacements, (3) ability to provide self-reported data, (4) absence of a medical condition resulting in imminent death, and (5) ability to understand and provide informed consent. All participants provided written informed consent. The initial wave of the MrOS Sleep study was completed between December 2003 and March 2005. It recruited 3,125 participants from the full MrOS cohort for a comprehensive sleep assessment. Men were screened and



generally excluded for nightly use of mechanical devices during sleep, mouthpiece for snoring or sleep apnea, or oxygen therapy. Full details of the sample are published elsewhere.³⁵⁻³⁷

Our analytic sample consists of 2,887 men who took part in the sleep study and for whom all relevant sleep and covariate data were observed (Figure 1). The mean (*SD*) age of the analytic sample at the baseline sleep visit was 76.3 (5.5) years, ranging from 67 to 96. The sample primarily consists of Caucasian men (89.9%, $N = 2,595$) and most have at least some college education (78.9%, $N = 2,277$).

MrOS Sleep Study

In the MrOS Sleep study, sleep characteristics were captured through retrospective self-report, actigraphy, daily sleep diary, and one night of in-home polysomnography. Our primary focus herein is on sleep as captured through retrospective self-report and actigraphy. Briefly, the Sleepwatch-O (Ambulatory Monitoring, Inc., Ardsley, NY) was used to capture actigraphy data. Actigraphs are wristwatch-like devices that provide an estimate of the sleep-wake cycle via rest-activity patterns. They summarize the frequency of motions into epochs of specified time duration and store the summary in memory. These data are then downloaded and scored to generate various sleep characteristics. The actigraphy data used herein were analyzed using Action W-2 software with Proportional Integration Mode and the University of California, San Diego scoring algorithm.³⁸ Circadian rhythm variables (e.g., Pseudo-*F* statistic [PsF]) were scored using an extended cosine model.³⁹ Full details of these actigraphy methods have been published previously.^{37,40} Men wore the actigraphs for a minimum of four consecutive 24-hour periods. In our analytic sample, each participant had a mean (*SD*) of 5.3 (0.8) nights of sleep data. Additional details regarding the inter- and intra-subject variability of the relevant actigraphy measures are provided in Supplementary Material.

Outcome

Although numerous health outcomes could be studied, we selected time to all-cause mortality (confirmed by centralized review of death certificates) as an unequivocal “hard” outcome of importance to patients and society. In our analytic sample, 36.7% ($N = 1,060$) of participants died during follow-up, 3.9% ($N = 112$) terminated the study, and 59.4% ($N = 1,715$) were still alive and being followed. As fewer than 50% of individuals died during follow-up, the median (50th percentile) years to all-cause mortality was not observed. The 25th percentile (95% CI) years to all-cause mortality was 8.0 (7.7, 8.3), with a maximum of 11.2 years of follow-up.

Sleep Characteristics and Domains

Our primary aim was to robustly determine which sleep health characteristics predict time to all-cause mortality by comparing findings across three complementary multivariable approaches: Cox regression, tree-structured survival analysis, and random survival forest. In Cox regression, inclusion of variables that are too highly correlated (i.e., multicollinearity) can lead to unstable estimates. Therefore, we used clinical and scientific justification to select one sleep characteristic to represent each of the seven proposed domains (duration, continuity, timing, sleepiness/alertness, quality, regularity, and rhythmicity)

and then examined correlations to ensure that the selected characteristics were not too highly correlated. Although random survival forests and tree-structured survival analysis can accommodate highly correlated variables, our strategy was to use the same clinically meaningful subset of variables across all three approaches. This strategy facilitates a direct comparison of findings across approaches and enhances our ability to obtain meaningful results. Finally, when making our selections, we prioritized measures that were objective, stable, representative of an individual’s usual sleep pattern, and clinically relevant. To this end, we gave preference to estimates from actigraphy (based on multiple nights of sleep in an individual’s usual environment) over polysomnography (based on a single night of sleep in a lab) or self-report measures when possible.

We selected actigraphy-assessed average total sleep time (TST; minutes of actual asleep at night) to represent duration, actigraphy-assessed wake after sleep onset (WASO; the number of minutes an individual is awake after falling asleep) to represent continuity, and actigraphy-assessed mean sleep midpoint (midpoint of bed and wake time) to represent timing. We selected the Epworth Sleepiness Scale⁴¹ (ESS) to represent sleepiness/alertness. The ESS is a self-report scale including eight questions, with total scores ranging from 0 (no sleepiness) to 24 (severe sleepiness). We selected the Pittsburgh Sleep Quality Index (PSQI) sleep quality item to represent the quality domain.⁴² The PSQI sleep quality item asks “During the past month, how would you rate your sleep quality overall?” with possible responses: “Very Good” (0), “Fairly Good” (1), “Fairly Bad” (2), and “Very Bad” (3). This single item was selected over the total PSQI score because the total PSQI score also incorporates information about other domains of sleep (e.g., sleep timing and continuity).

We selected the standard deviation of wake time (*SD* wake) to represent regularity. This measure was selected over other possible measures of regularity, such as the standard deviation of sleep midpoint, because it is less resistant to change and specifically relates to the time that people are exposed to morning light, which is a strong circadian zeitgeber. The PsF was selected to represent circadian rhythmicity. PsF captures the extent to which an individual’s sleep-wake activity conforms to an extended cosine model,³⁹ with higher values indicating greater conformity to the cosine shape. An individual could have a strong rhythm that takes a different shape; however, a uniphase circadian rhythm such as that captured by the PsF is the dominant rhythm in most adults. Furthermore, PsF has previously been shown to be related to important health outcomes.^{21,43,44} Although *SD* wake and PsF are similar in some regards, they do address conceptually different entities. That is, *SD* wake reflects the regularity surrounding wake timing specifically, whereas PsF captures the strength of the overall rhythmicity of the rest-activity (or sleep-wake) cycle. The relatively small correlation among these two measures (Spearman $r = -0.21$) further solidified our consideration of regularity and rhythmicity as separate domains.

Motivated by prior sleep health research,⁴⁵ we were also interested in the predictive ability of a clinically meaningful composite measure defined as the number of “extreme” sleep characteristics. To compute this composite measure, we used existing clinical and scientific guidelines to identify values of “extreme” sleep

characteristics wherever possible. In the absence of such guidelines, we empirically identified the most extreme third of the distribution. We defined early sleep midpoint as $\leq 2:00$ am, medium sleep midpoint as 2:01 am–4:00 am, and late sleep midpoint as $>4:00$ am. Extreme sleep midpoint was defined as either early or late sleep midpoint.⁴⁶ Based on the published recommendations,⁴¹ extreme ESS was defined as a total score of >10 . Extreme sleep quality was indicated as “Very Bad” or “Fairly Bad” responses on the PSQI sleep quality item. Extreme WASO, PsF, and *SD* wake were defined as the most extreme third of the distribution (high WASO, low PsF, and high *SD* wake). Because both short and long durations may be related to poor health outcomes,⁴⁷ extreme TST was defined as either the lowest sixth or highest sixth of the distribution, resulting in one-third of the distribution having extreme TST. Further details of selected sleep characteristics and specific cut points are provided in Table 1.

Hereafter, we refer to the selected characteristics by their respective domain names to enhance readability. We provide sleep characteristic names in parentheses when useful for clarification or interpretation of model results.

Nonsleep Risk Factors

Numerous nonsleep risk factors for mortality were captured in the MrOS study and considered in our analyses. Demographic characteristics were age, clinic site, and years of education. Health characteristics were self-reported health status (good or poor), physical activity (Physical Activity Scale for the Elderly;

PASE⁴⁸), depressed mood (Geriatric Depression Scale⁴⁹), smoking status (current, past, or never), caffeine intake (mg per day), alcohol use (>1 drink per week), cognitive function (Teng Modified Mini-Mental State (3MS) Exam⁵⁰), and body mass index (BMI; kg/m²). Self-reported histories of the following medical conditions were considered: arthritis (osteoarthritis or rheumatoid arthritis), cardiovascular disease (CVD), stroke, diabetes mellitus, chronic obstructive pulmonary disease (COPD), and hypertension (HTN). Finally, use of the following medications in the past 30 days was considered: antidepressants, benzodiazepines, and other sedatives or hypnotics, nonsteroidal anti-inflammatory drugs (NSAIDs), and corticosteroids. All medications recorded by the clinics were entered into an electronic medications inventory (San Francisco Coordinating Center, San Francisco, CA). Each medication was matched to its ingredient(s) based on the Iowa Drug Information Service (IDIS) Drug Vocabulary (College of Pharmacy, University of Iowa, Iowa City, IA) and coded based on the published recommendations.⁵¹ Further descriptions of these nonsleep predictors and their associations with all-cause mortality in MrOS are provided in Supplementary Material.

Data Analysis

Cox Proportional Hazards Regression

We fit Cox models with time to all-cause mortality as the outcome, utilizing univariable, multivariable, and composite approaches to modeling sleep characteristics. In univariable approaches (fit primarily for comparison purposes), we modeled

Table 1—Descriptive Statistics for Continuous and Categorical Sleep Characteristics.

Sleep domain	Representative sleep characteristic	Median (1st quartile, 3rd quartile) for continuous sleep characteristic	Categorical sleep characteristic definition	% (N) for Categorical Sleep Characteristic
Duration	Actigraphy average mean total sleep time (TST) in minutes	391.00 (345.20, 432.20)	≤ 319.6 (short) 319.6–450.3 (medium) $> 450.3^a$ (long)	16.70 (482) 66.68 (1925) 16.63 (480)
Continuity	Actigraphy average mean wake after sleep onset (WASO) in minutes	68.67 (45.60, 101.40)	< 88 $\geq 88^a$	66.47 (1919) 33.52 (968)
Timing	Actigraphy average mean sleep midpoint	03:01 am (02:27 am, 03:38 am)	$\leq 02:00^a$ (early) 02:01–04:00 (middle) $>04:00^a$ (late)	12.23 (353) 71.87 (2075) 15.90 (459)
Sleepiness/alertness	Epworth Sleepiness Scale (ESS)	6 (3, 8)	≤ 10 $>10^a$	87.18 (2517) 12.82 (370)
Quality	Pittsburgh Sleep Quality Index (PSQI) sleep quality item ^b	1 (0, 1)	≤ 1 $> 1^a$	84.21 (2431) 15.79 (456)
Rhythmicity	Actigraphy pseudo-F statistics (PsF)	967.44 (700.45, 1319.43)	$\leq 785.60^a$ > 785.60	66.68 (1925) 33.32 (962)
Regularity	Standard deviation of actigraphy wake time in hours (<i>SD</i> wake)	0.57 (0.37, 0.87)	< 0.75 $\geq 0.75^a$	65.74 (1898) 34.26 (989)
Composite	Number of extreme sleep characteristics	2 (1, 3)	0 1 2 3 ≥ 4	15.48 (447) 28.65 (827) 25.46 (735) 16.56 (478) 13.86 (400)

^a“Extreme” sleep category.

^bPSQI Sleep quality Index sleep quality item coding: 0 = “Very Good,” 1 = “Somewhat Good,” 2 = “Somewhat Bad,” 3 = “Very Bad.”

each sleep characteristic in a separate model. In multivariable approaches, we included all seven sleep characteristics simultaneously in the same model. In composite approaches, we included the number of extreme sleep characteristics, considered continuously in one model and categorically (0, 1, 2, 3, ≥ 4 extreme characteristics) in another. For univariable, multivariable, and composite approaches, we first fit base models adjusted for only age and clinic site, and then fit full models that were adjusted for all nonsleep risk factors (demographics, clinical measures, lifestyle factors, medications, and medical history). Given previous reports of U-shaped or nonlinear associations for timing and duration, quadratic forms of these variables were considered; however, quadratic effects that were nonsignificant in a model were removed. To enhance interpretability of HRs, continuous sleep and nonsleep measures were standardized.⁵²

Tree-Structured Survival Models

A conditional inference tree model empirically identifies the variable and cut-point that best divide the sample into two subsamples with different mortality risks. This splitting process continues iteratively on each successive subsample, resulting in a final set of covariate-defined subsamples with different risks for mortality. We first fit a base tree model that considered only the seven continuous sleep characteristics and the number of extreme sleep characteristics. We then fit a second tree model that considered the full set of sleep and nonsleep risk factors. To maximize stability and interpretability, we required a minimum of $N = 289$ (10% of the sample) in each subsample, allowed a maximum of three successive splits, and required a significance of $p < .05$ after a Bonferroni correction for multiple comparisons.

Random Survival Forest

A random survival forest is comprised of a series of survival trees models fit to bootstrap samples. VIMP statistics can be extracted and used to rank the variables (or sets of variables) from most to least predictive in context of one another. We fit a random survival forest including the seven continuous sleep characteristics, the number of extreme sleep characteristics, and all nonsleep risk factors. After fitting this model, we calculated the VIMP for each individual sleep and nonsleep predictor, the joint VIMP for the seven sleep characteristics considered simultaneously (“7 Sleep”), and the joint VIMP for the seven sleep characteristics plus the number of extreme sleep characteristics considered simultaneously (“7 Sleep + # Extreme”). To provide a clinically meaningful frame of reference for the VIMP, we calculated the percentage of each predictor’s VIMP relative to that of the strongest and most clinically meaningful predictor, age [i.e., (VIMP of predictor)/(VIMP of age) \times 100]. Finally, we assessed the direction and magnitude of the effects of the most highly ranked predictors in the forest (those with VIMPs that were at least 5% of the VIMP of age) by using them to predict time to all-cause mortality in a multivariable Cox regression model.

Sensitivity Analyses

To assess the importance of the sleep characteristics above and beyond sleep apnea, a prevalent sleep disorder with established health risks,^{53,54} we refit the fully adjusted models controlling for the apnea–hypopnea index (AHI) determined by overnight

in-home polysomnography conducted at the same exam cycle as actigraphy.⁴⁰ This measure was not included in the primary analyses because it was missing in 173 (6%) men in our sample. To rule out confounding by possible ongoing and unmeasured disease processes, we also refit the fully adjusted models after excluding 29 (1%) individuals who died during the year immediately following the sleep assessment.

RESULTS

Table 1 describes the distributions of the seven selected sleep characteristics and the frequencies of individuals with 0, 1, 2, 3, and ≥ 4 extreme sleep characteristics. The sleep characteristics had a median Spearman correlation magnitude of 0.12 (1st quartile = 0.09, 3rd quartile = 0.18). The largest correlations were observed between continuity and duration ($r = -0.45$), rhythmicity and duration ($r = 0.23$), and rhythmicity and regularity ($r = -0.21$). All other correlation magnitudes among the sleep characteristics were < 0.20 . The full set of sleep and nonsleep variables had a median Spearman correlation magnitude of only 0.04 (1st quartile < 0.001 , 3rd quartile = 0.08). See Supplementary Material for additional descriptions, including (1) the full correlation matrix among sleep characteristics, (2) a detailed characterization of the sample based on nonsleep demographic, health, and behavioral risk factors, including their associations with time to mortality, and (3) types and combinations of extreme sleep characteristics in the sample.

Cox Proportional Hazards Regression

Table 2 provides results from Cox models with sleep characteristics considered continuously. Across all models, lower sleep–wake rhythmicity (lower PsF) and lower continuity (higher WASO) were significantly associated with increased mortality risk and had the largest HRs. In the full multivariable model (i.e., adjusting for all other sleep and nonsleep risk factors), we observed HRs (95% CIs) of 1.12 (1.04, 1.22) per one *SD* decrease in rhythmicity and 1.16 (1.08, 1.24) per one *SD* decrease in continuity. Quadratic effects for timing were also significant across all models, indicating that earlier and later timing were associated with greater mortality risk.

Linear or quadratic effects for duration were significant in the univariable models and the base multivariable model, but not in the full multivariable model. Lower regularity (higher *SD* wake) was significantly associated with increased mortality risk in the base univariable models but not in any full or multivariable model. Sleepiness/alertness was not associated with mortality in any of the models. Sleep quality results were unexpected. Quality was not significantly associated with mortality in the base models. However, in the multivariable models, having *better* sleep quality was significantly associated with increased mortality risk (1.08 [1.02, 1.16] in the full multivariable model).

In general, similar inferences were made when sleep characteristics were considered categorically based on cutoffs in Table 1. In the full multivariable model, having extreme rhythmicity (1.27 [1.10, 1.46]), extreme continuity (1.33 [1.16, 1.53]), and (unexpectedly) nonextreme sleep quality (i.e., “Very Good” or “Somewhat Good”) (1.28 [1.08, 1.54]) were each significantly associated with increased risk for mortality. Full details of these categorical models are provided in Supplementary Material.

Table 2—Cox Proportional Hazards Regression Models for (Standardized) Continuous Sleep Domains Predicting Time to All-Cause Mortality.

	Univariable models: each sleep characteristic in a separate model		Multivariable models: all sleep characteristics in the same model	
	HR (95% CI)	X ² statistic (p-value)	HR (95% CI)	X ² statistic (p-value)
Base models: Sleep characteristic(s), age, and site				
Quality (PSQI quality item ^a)	1.01 (0.95, 1.07)	0.11 (.738)	0.96 (0.90, 1.02)	1.91 (.167)
Sleepiness/alertness (ESS)	1.04 (0.98, 1.11)	1.79 (.181)	1.01 (0.95, 1.08)	0.14 (.705)
Timing (sleep midpoint)	—	12.67 (.002)	—	8.13 (.017) ^b
Linear term	1.04 (0.97, 1.11)	1.18 (.277)	0.99 (0.93, 1.05)	0.14 (.707)
Quadratic term ^c	1.02 (1.01, 1.04)	11.67 (<.001)	1.03 (1.01, 1.04)	11.75 (<.001)
Duration (TST)	—	11.50 (.003)	—	NA
Linear term	0.95 (0.89, 1.01)	2.68 (.102)	1.10 (1.02, 1.18)	6.47 (.011)
Quadratic term ^c	1.03 (1.00, 1.07)	4.46 (.035)	NA	NA
Continuity (WASO)	1.23 (1.16, 1.30)	55.93 (<.001)	1.25 (1.17, 1.34)	44.83 (<.001)
Rhythmicity(PsF ^d)	0.80 (0.75, 0.86)	36.53 (<.001)	0.83 (0.77, 0.90)	22.21 (<.001)
Regularity (SD wake)	1.12 (1.06, 1.19)	14.12 (<.001)	1.04 (0.97, 1.11)	1.30 (.255)
Full models: Sleep characteristic(s) and all nonsleep characteristics ^e				
Quality (PSQI quality item ^a)	0.94 (0.88, 1.00)	3.88 (.049)	0.92 (0.86, 0.98)	7.35 (.007)
Sleepiness/Alertness (ESS)	0.99 (0.93, 1.06)	0.08 (.775)	0.98 (0.91, 1.04)	0.60 (.440)
Timing (sleep midpoint)	—	7.28 (.026)	—	6.81 (.033) ^b
Linear term	0.99 (0.93, 1.06)	0.04 (.841)	0.97 (0.91, 1.03)	0.96 (.328)
Quadratic Term ^c	1.02 (1.01, 1.04)	9.90 (.002)	1.02 (1.01, 1.04)	9.86 (.002)
Duration (TST)	0.93 (0.87, 0.98)	6.37 (.012)	1.02 (0.94, 1.10)	0.177 (.674)
Continuity (WASO)	1.15 (1.08, 1.22)	22.42 (<.001)	1.16 (1.08, 1.24)	17.83 (<.001)
Rhythmicity(PsF ^d)	0.88 (0.82, 0.95)	11.29 (<.001)	0.89 (0.82, 0.96)	8.54 (.004)
Regularity (SD wake)	1.05 (0.99, 1.12)	2.63 (.105)	1.01 (0.95, 1.08)	0.13 (.719)

HR = hazard ratio; CI = confidence interval; PSQI = Pittsburgh Sleep Quality Index; ESS = Epworth Sleepiness Scale; WASO = minutes awake after sleep onset; PsF = pseudo-F statistic; SD wake = within-subject standard deviation of wake time; ACT = actigraphy-assessed; TST = total sleep time.

^aLower values indicate worse quality.

^bLikelihood ratio test for inclusion of both linear and quadratic terms.

^cHRs associated with the quadratic term > 1 indicate a convex (i.e., "U"-shaped) associations, with larger values suggesting a steeper curve.

^dLower values indicate more irregularity of overall sleep-wake rhythm.

^eAdjusted for age, site, race, education, self-reported health status, physical activity, depressed mood, smoking, caffeine intake, daily alcohol use, cognitive function, body mass index, self-reported histories of medical conditions (arthritis, cardiovascular disease, stroke, diabetes, chronic obstructive pulmonary disease, and hypertension), and uses of medications (antidepressants, benzodiazepines, and other sedatives/hypnotics, NSAIDs, corticosteroids).

Table 3 provides results from the Cox models for the number of extreme sleep characteristics. Treated continuously, having additional extreme sleep characteristics was significantly associated with increased mortality (1.10 [1.05, 1.15] in the full model). This measure was also significantly associated with time to mortality when treated categorically. Having 3 (1.40 [1.11, 1.77]) or ≥4 (1.57 [1.23, 2.00]) versus zero extreme sleep characteristics were each associated with increased mortality risk.

Tree-Structured Model

The base survival tree model considering age, site, the seven sleep characteristics, and the number of extreme sleep characteristics is shown in **Figure 2**. Only age, sleep continuity, and the number of extreme sleep characteristics were empirically

selected to enter the model. For those aged ≤72, having >1 extreme sleep characteristic was associated with increased mortality risk (1.68 [1.21, 2.33]). For those aged >72 and ≤79, lower continuity (i.e., WASO > 98.6) was associated with increased mortality risk (1.74 [1.41, 2.14]). For those aged >79, age again splits the tree such that those aged >83 had an even further increased risk of mortality (1.79 [1.51, 2.14]). In the full survival tree model considering all sleep and nonsleep predictors, only age, physical activity, and cognition were empirically selected to enter the model (see Supplementary Material).

Random Survival Forest

Figure 3 shows the VIMP for each predictor as a percent of the VIMP for age (0.036) in the random survival forest. Cognition

Table 3—Cox Proportional Hazards Regression Models for the Number of Extreme Sleep Characteristics and All-Cause Mortality.

	HR (95% CI)	χ^2 statistic (p -value)
Base model: number of extreme sleep characteristics, age, and site		
Continuous	1.17 (1.12, 1.22)	56.89 (<.001)
Categorical	—	59.84 (<.001) ^a
1 vs 0	1.23 (1.00, 1.53)	3.66 (.056)
2 vs 0	1.35 (1.09, 1.68)	7.45 (.006)
3 vs 0	1.76 (1.40, 2.20)	24.17 (<.001)
4+ vs 0	2.13 (1.70, 2.68)	42.94 (<.001)
Full model: number of extreme sleep characteristics and all nonsleep characteristics ^b		
Continuous	1.10 (1.05, 1.15)	16.74 (<.001)
Categorical	—	20.71 (<.001) ^a
1 vs 0	1.09 (0.88, 1.36)	0.60 (.438)
2 vs 0	1.17 (0.94, 1.46)	1.97 (.161)
3 vs 0	1.40 (1.11, 1.77)	8.17 (.004)
4+ vs 0	1.57 (1.23, 2.00)	13.31 (<.001)

HR = hazard ratio; CI = confidence interval.

^aLikelihood ratio test for categorical variable with >2 categories.

^bAdjusted for age, site, race, education, self-reported health status, physical activity, depressed mood, smoking, caffeine intake, daily alcohol use, cognitive function, body mass index, self-reported histories of medical conditions (arthritis, cardiovascular disease, stroke, diabetes, chronic obstructive pulmonary disease, and hypertension), and uses of medications (antidepressants, benzodiazepines, and other sedatives/hypnotics, NSAIDs, corticosteroids).

and CVD had VIMPs that were approximately 20% of the VIMP of age. The joint VIMP for all sleep information (“7 Sleep + # Extreme”) was 14.4% of the VIMP for age. VIMPs for physical activity (PASE), rhythmicity, and the seven sleep characteristics considered jointly (“7 Sleep”) were 11.5%, 9.2%, and 8.8% of the VIMP for age, respectively. Following these were depression at 8.0%, continuity at 7.7%, and the number of extreme sleep characteristics (“# Extreme”) at 5.5%. Timing, duration, quality, and sleepiness/alertness each had VIMPs < 1%.

Finally, the Cox model including age and the variables with a VIMP at least 5% of the VIMP of age (cognition CVD, physical activity, rhythmicity, depression, continuity, and the number of extreme sleep characteristics) is shown in Table 4. Older age (1.70 [1.60, 1.81]), CVD (1.49 [1.32, 1.69]), lower cognition (1.19 [1.14, 1.25]), and lower physical activity (1.14 [1.05, 1.22]) conferred the strongest risk for mortality. Closely following was lower sleep continuity (1.13 [1.06, 1.20]), lower rhythmicity (1.09 [1.01, 1.18]), and higher depressive symptoms (1.08 [1.02, 1.15]). After adjusting for these risk factors, the number of extreme sleep characteristics was no longer significantly associated with mortality (1.03 [0.98, 1.09]).

Sensitivity Analyses

Models Adjusted for AHI (N = 2,714)

We obtained very similar results across approaches when AHI was included among potential covariates. In the full multivariable Cox model, rhythmicity (1.11 [1.03, 1.20]) and continuity (1.15 [1.07, 1.23]) remained the strongest predictors of time to all-cause mortality, whereas no significant association was

observed for AHI (1.00 [0.93, 1.07]). In a fully adjusted Cox model, the number of extreme sleep characteristics treated continuously was associated with mortality (1.09 [1.04, 1.15]), whereas AHI was not (1.01 [0.94, 1.08]). Similarly, the number of extreme sleep characteristics treated categorially was associated with mortality ($X^2 = 17.06$, $p = .0002$; HR [95%CI] for 3 vs. 0 = 1.37 [1.08, 1.74]; HR [95%CI] for ≥ 4 versus 0 = 1.56 [1.21, 2.00]), whereas AHI was not (1.00 [0.94, 1.07]). In the survival tree model considering AHI, the identical variables (age, cognition, and physical activity) and cut-points were empirically selected as in the tree model that did not consider AHI (see Supplementary Material). Finally, in the random survival forest including AHI, the top 10 predictors were identical to those in the random survival forest that did not include AHI, with only slight changes in order. AHI was ranked as the 21st most important predictor in the random survival forest, with a VIMP that was 1.03% of that of age. Additional details are provided in Supplementary Material.

Models Addressing Reverse Causality (N = 2,858)

We obtained very similar results excluding those who died in the year following the Sleep Visit (addressing “reverse causality”). In the full multivariable Cox model, rhythmicity (1.11 [1.03, 1.20]) and continuity (1.16 [1.08, 1.24]) remained the strongest predictors of time to all-cause mortality. In a full Cox model, the number of extreme sleep characteristics treated continuously was associated with mortality (1.09 [1.04, 1.14]). Similarly, the number of extreme characteristics treated categorially was associated with mortality [$X^2 = 17.06$, $p = .0002$]

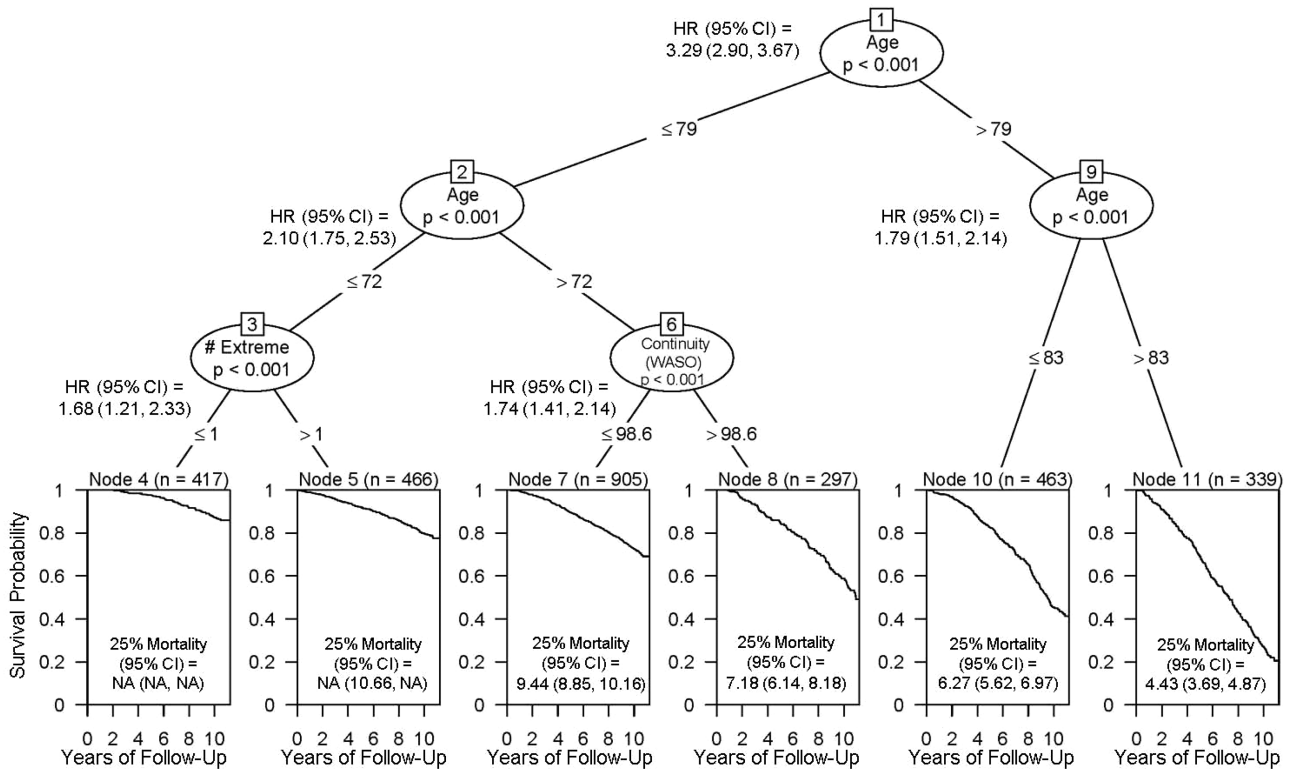


Figure 2—Conditional inference tree model. Characteristics considered for inclusion were the seven individual sleep characteristics, the number of extreme sleep characteristics, age, and site. Terminal nodes show time in years to 25% mortality (95% CI). NA indicates that the estimate cannot be obtained because either the 25% mortality rate or its upper or lower confidence limit was not observed. HR = hazard ratio; CI = confidence interval; #Extreme = number of extreme sleep characteristics; PASE = Physical Activity Scale for the elderly.

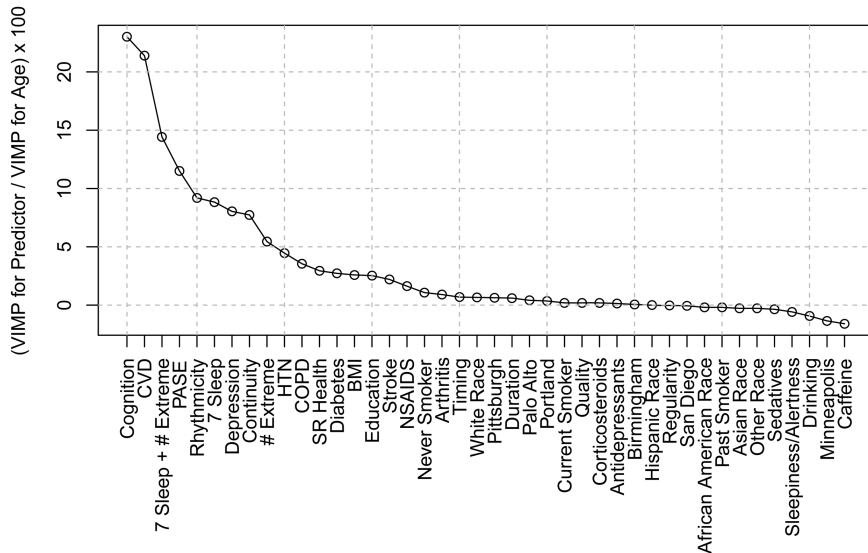


Figure 3—Variable importance (VIMP) from a random survival forest, expressed as the percentage relative to age. The model included the seven individual sleep characteristics, the number of extreme characteristics (# Extreme), and all nonsleep predictors. Joint VIMPs for the seven sleep characteristics (“7 Sleep”) and the seven sleep characteristics plus the number of extreme sleep characteristics (“7 sleep + # Extreme”) were also calculated. CVD = cardiovascular disease; PASE = Physical Activity Scale for the elderly; HTN = hypertension; SR Health = Self-Reported Health Status; COPD = chronic obstructive pulmonary disease; BMI = body mass index; NSAIDS = nonsteroidal anti-inflammatory drugs.

Table 4.—Multivariable Cox Model Including Age and Variables With Variable Importance Statistics (VIMPs) at Least 5% as Large as Age (Identified From Random Forest).

Predictor	HR (95% CI)	X ² statistic (p-value)
Age	1.70 (1.60, 1.81)	292.37 (<.001)
Cognition (MMSE)	0.84 (0.80, 0.88)	45.25 (<.001)
History of cardiovascular disease	1.49 (1.32, 1.69)	39.80 (<.001)
Physical activity (PASE)	0.88 (0.82, 0.95)	11.80 (<.001)
Rhythmicity (PsF)	0.92 (0.85, 0.99)	5.25 (.022)
Depression (GDS)	1.08 (1.02, 1.15)	7.12 (.008)
Continuity (WASO)	1.13 (1.06, 1.20)	14.47 (<.001)
Number of extreme sleep characteristics	1.03 (0.98, 1.09)	1.58 (.209)

MMSE = Mini-Mental State Exam; CVD = History of cardiovascular disease; PASE = Physical Activity Scale for the elderly; GDS = Geriatric Depression Scale; WASO = minutes awake after sleep onset.

such that having 3 versus 0 (1.37 [1.08, 1.73]) or ≥ 4 versus 0 (1.50 [1.17, 1.91]), extreme sleep characteristics were significantly associated with mortality. In the survival tree model, only age and CVD were empirically selected (see Supplementary Material). This is different from the results based on the full sample, where age, cognition, and physical activity were empirically selected to enter the tree model. Finally, in the random survival forest, the top 10 predictors were identical to those in the full sample, with only slight changes in order. Additional details are provided in Supplementary Material.

DISCUSSION

This study used a multivariable sleep health framework²⁹ to simultaneously examine seven sleep characteristics as predictors of time to all-cause mortality in older men. Across multivariable approaches, lower sleep-wake rhythmicity and lower sleep continuity increased risk for all-cause mortality even after considering other important sleep, demographic, health, and behavioral risk factors. Similar findings regarding the importance of measures of continuity (here, measured by actigraphy-assessed minutes awake after sleep onset) and rhythmicity (here, measured by actigraphy-derived PsF) for predicting mortality in older adults have been observed previously by at least one other study.²⁰ However, current sleep recommendations from the National Sleep Foundation^{55,56} and the American Academy of Sleep Medicine⁵⁷ are primarily focused on sleep duration, which has been most widely studied. In our Cox models that focused on duration as the only sleep characteristic (with or without other nonsleep risk factors), duration was a significant predictor. However, in the full multivariable models including all sleep and nonsleep predictors, duration was no longer significant. This highlights the importance of studying sleep within a multidimensional sleep health framework and considering multiple sleep characteristics simultaneously.

Our findings also emphasize how the simultaneous consideration of multiple sleep characteristics can enhance predictive power. In the random survival forest, only age, cognition, and history of CVD were more important than all of the sleep variables considered simultaneously (i.e., the seven sleep

characteristics and the number of extreme characteristics). This finding, combined with the fact that sleep is modifiable through behavioral techniques, suggests that multidimensional sleep measures could play an important role in future prognostic models, and that it may also be an important target for large-scale population interventions.

The number of extreme sleep characteristics was a significant predictor of mortality even after adjusting for numerous non-sleep risk factors in a Cox regression model. It was also empirically selected as the best predictor of mortality among men aged <72 in the base tree-structured survival model. However, the random survival forest indicated that rhythmicity and continuity were more important for predicting mortality than the number of extreme sleep characteristics, and the number of extreme sleep characteristics was not significant in a multivariable Cox model that also included rhythmicity and continuity. As such, it is probably that rhythmicity and continuity are the primary contributors to the “number of extreme sleep characteristics” variable. To explore this further, we recalculated the number of extreme sleep characteristics variable in two ways: (1) counting only rhythmicity and continuity (ranging from 0 to 2), and (2) counting only duration, continuity, rhythmicity, regularity, and quality (i.e., excluding rhythmicity and continuity, ranging from 0 to 5). The continuous measure counting only rhythmicity and continuity was significantly associated with mortality (HR [95% CI] = 1.31 [1.19, 1.43]). However, the continuous measure excluding rhythmicity and continuity was not significantly associated with mortality (HR [95%CI] = 1.05 [0.99, 1.11]). These findings provide further support for the importance of both rhythmicity and continuity in predicting mortality among older men.

Our strategy of using both traditional Cox models and flexible, nonlinear tree-modeling approaches allowed us to establish which findings are most robust amidst potentially complex associations. In general, our findings from the Cox model regarding rhythmicity, continuity, and the number of extreme sleep characteristics were replicated using flexible tree-structured analysis and/or the random forests. However, the finding from the fully adjusted Cox models showing that *better* quality

(captured by the PSQI sleep quality item) was significantly associated with increased mortality risk was not replicated in the tree-structured model or the random forest. This discrepancy suggests that it is unlikely that better sleep quality increases mortality risk. It also highlights the importance of considering flexible, nonlinear alternatives such as tree-structured models and random forests when studying multivariable sleep health.

Our variable selection strategy was to preselect one sleep characteristic for each domain, prioritizing measures that are clinically relevant, stable, representative of daily life, and based on prior scientific evidence. This strategy is likely to yield clinically meaningful model interpretations and reduces the risk of spurious findings because of the scientific evidence behind our selections. However, it does not necessarily result in the greatest predictive power, nor does it provide a comprehensive assessment of exactly which characteristics within each sleep domain are most representative or predictive. In future research, it may be useful to apply factor analysis and develop representative domain scores (e.g., through item response theory) for use in subsequent models. Alternatively, the tree-structured models and random forests used herein, as well as regularized regression approaches (e.g., the elastic net⁵⁸), allow for many potentially correlated variables to be considered simultaneously. Using these multivariable approaches to explore which sleep characteristics (out of a much larger, exploratory pool of potential sleep characteristics) are the strongest predictors of health outcomes could yield important findings that differ from the results presented here.

Our findings should be interpreted in the context of some limitations. First, we explored multiple models and analytic strategies, and as such, our findings are hypothesis-generating and need to be validated in future studies. However, the fact that rhythmicity and continuity consistently emerged as important across a variety of modeling strategies and sensitivity analyses suggests that these findings are robust within our sample. Second, although conditional inference tree-structured models and random forests have numerous strengths as discussed above, they also have weaknesses. Conditional inference tree models can be unstable in their structure, are less efficient than regression when associations between predictors and the outcome are truly linear, and are not necessarily optimal predictors (i.e., each split is selected to optimally predict the outcome at each node; however, this does not necessarily result in an entire tree that optimally predicts the outcome). Although random forests mitigate these limitations of the single tree model, a disadvantage is that they do not facilitate a nuanced interpretation of the direction and magnitude of the associations. Third, our results are specific to older men enrolled in the MrOS study and an all-cause mortality outcome. Similar analyses should be conducted with other outcomes (e.g., cause-specific mortality or specific disease states) and populations (e.g., women) to assess generalizability.

A final limitation is that we did not investigate mechanisms through which sleep characteristics (namely, sleep-wake rhythmicity and sleep continuity) may relate to mortality. Thus, we cannot discern whether these sleep characteristics are directly related to mortality or whether they are indirectly related to mortality through other factors. Prior studies investigating mechanistic links between sleep and mortality have primarily (but not exclusively^{59,60}) focused on long or short sleep duration.^{47,61–63}

Our study suggests that shifting the focus of these mechanistic studies from sleep duration to measures of sleep-wake rhythmicity and sleep continuity may be a promising direction of future research, especially given their associations with potential mortality-related mechanisms including immunity,^{60,64} inflammation,^{22,59} depression,⁶⁵ cognitive deficits,^{66,67} high blood pressure,⁶⁸ and obesity.⁶⁹ Notably, a previously published study⁵⁹ using a subset of our MrOS sample investigated inflammation and morbidity or lifestyle factors (measured concurrently with sleep) as mechanisms through which actigraphy-measured WASO (i.e., sleep continuity) predicted mortality. However, the WASO-mortality association was found to be independent of these potential mechanisms.

In conclusion, we found that the simultaneous consideration of multiple sleep characteristics can enhance predictive power for mortality among older men, and that rhythmicity and continuity in particular confer the strongest risk for mortality. Critical next steps in the study of multivariable sleep health will be to conduct studies to elucidate the physiological, psychological, and behavioral mechanisms through which rhythmicity and continuity (individually and in combination with one another) relate to all-cause mortality; to develop new treatments that target the specific sleep profiles that cause morbidity and mortality; and to create enhanced health screening tools that incorporate multivariable sleep measures.

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SUPPLEMENTARY MATERIAL

Supplementary material is available at *SLEEP* online.

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Address correspondence to: Meredith Wallace, 3811 O’Hara Street,

Pittsburgh, PA 15132, USA. Telephone: (412) 648-5938; Fax: (412) 383-5412;

Email: lotzmj@upmc.edu

DISCLOSURE STATEMENT

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