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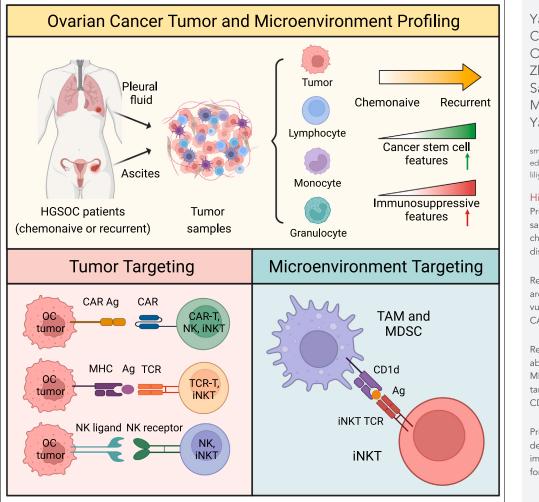
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Article

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Highlights

Profile a set of OC patient samples spanning chemonaive and recurrent diseases

Recurrent OC tumor cells are CSC-enriched and vulnerable to TCR/NKR/ CAR killing

Recurrent OC TME abounds with TAMs and MDSCs expressing a targetable biomarker CD1d

Provide a roadmap to design cell-based immunotherapy strategies for OC

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Profiling ovarian cancer tumor and microenvironment during disease progression for cell-based immunotherapy design

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SUMMARY

Ovarian cancer (OC) is highly lethal due to late detection and frequent recurrence. Initial treatments, comprising surgery and chemotherapy, lead to disease remission but are invariably associated with subsequent relapse. The identification of novel therapies and an improved understanding of the molecular and cellular characteristics of OC are urgently needed. Here, we conducted a comprehensive analysis of primary tumor cells and their microenvironment from 16 chemonaive and 10 recurrent OC patient samples. Profiling OC tumor biomarkers allowed for the identification of potential molecular targets for developing immunotherapies, while profiling the microenvironment yielded insights into its cellular composition and property changes between chemonaive and recurrent samples. Notably, we identified CD1d as a biomarker of the OC microenvironment and demonstrated its targeting by invariant natural killer T (iNKT) cells. Overall, our study presents a comprehensive immuno-profiling of OC tumor and microenvironment during disease progression, guiding the development of immunotherapies for OC treatment, especially for recurrent disease.

INTRODUCTION

Ovarian cancer (OC) is the deadliest gynecologic disease in the United States and the second in the world.^{1–3} Contributing to this lethality, OC is often diagnosed at late stages, in part, due to the non-specific symptoms associated with its development and the lack of effective screening methods.^{1,4–6} As a result, the majority of patients present with disease that has spread beyond the pelvic region.⁷ Upon diagnosis, the typical course of treatment for patients consists of a combinatorial approach of surgical removal and chemotherapy administration.^{8,9} In the case of OC, the most common chemotherapy administered is some forms of platinum-based therapy, such as carboplatin or cisplatin, in conjunction with a taxane, such as paclitaxel.^{8–11} Although most patients show a positive response to initial treatment resulting in a significant reduction in tumor burden, the majority of patients experience OC tumor growth relapse, leading to the development of a more aggressive disease.¹² One reason is the development of a resistance to platinum-based therapies, also known as platinum resistance.^{7,13} Hence, effective treatment of platinum-resistant, recurrent disease remains an unmet clinical need in OC.

Immunotherapies emerge as an attractive treatment option for patients with OC, including the use of immunomodulators, such as checkpoint inhibitors and monoclonal antibodies, as well as immune cell-based products, such as chimeric antigen receptor (CAR)-engineered T (CAR-T) cells, T cell receptor (TCR)-engineered T cells, and natural killer (NK) cells.^{14–17} Cell-based immunotherapy (CBI) in OC is currently in its early phase of development. Several clinical trials are presently underway to assess the safety and therapeutic potential of various CBIs that target diverse tumor antigens in OC, including mesothelin (NCT01583686) and NY-ESO-1 (NCT01567891). However, there are several significant challenges: the heterogeneity in tumor cells and their antigen expression, the presence of cancer stem cells (CSCs) that are therapy-resistant and immuno-evasive, and an unfavorable tumor microenvironment (TME) comprising immunosuppressive cells like regulatory

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T cells, tumor-associated macrophages (TAMs), and myeloid-derived suppressive cells (MDSCs).^{14,18–23} CSCs are cancer cells with stem-like properties such as multi-differentiation, chemoresistance, metastasis, and tumor progression.^{19,24–31} It is noteworthy that in OC there is a reciprocal interaction between CSCs and TAMs/MDSCs. Specifically, CSCs secrete certain factors that induce the polarization and persistence of TAMs/MDSCs into an immunosuppressive state,^{32–35} and TAMs/MDSCs play a role in the formation of the tumor niche that supports CSCs.^{19,26,27,32–35} In addition, immunosuppressive TAMs and MDSCs are considered major hurdles for many immunotherapies, including immune checkpoint blockade and adoptive CAR-T cell therapies.^{22,23,36–40} Therefore, the development of novel CBIs and combination therapies that can simultaneously target both the OC cancer cells and TME may hold great promise as a next-generation approach in treating this aggressive malignancy.

One major roadblock in designing an effective CBI for OC is the lack of a comprehensive immune target profile for OC that details the immune target biomarkers on OC tumor cells and in OC TME during disease progression. Here, we report a study addressing this critical need. We collected a total of 26 primary OC patient samples spanning chemonaive and recurrent disease states, profiled the OC tumor cells and TME using flow cytometry (for tumor antigens, CSC biomarkers, and TME cell compositions and properties), and evaluated various CBIs against these primary OC patient samples (for TCR-, CAR-, and NKR-directed CBIs). Our study generated a comprehensive immune target profile for OC that can valuably guide the development of next-generation CBIs for OC, especially for treating disease recurrence. Notably, we identified CD1d as a biomarker of the OC TME and highlighted the therapeutic potential of invariant natural killer T (iNKT) cell therapy, which we show is capable of targeting both OC tumor cells and the TME through multiple molecular mechanisms.

RESULTS

Collecting primary OC patient samples

To profile OC patient samples and develop corresponding cell-based therapy, a total of 26 primary OC patient samples were collected from ascites or pleural fluid sources (Table 1). We focused our analysis on ascites and pleural fluid samples due to the aggressive nature of OC that results in the presence of these fluid buildups.^{41,42} Ascitic tumor cells are shed from the primary ovarian tumor and can be thought of as portals to seed distant metastases, while pleural fluid tumor cells are the results of metastatic OC to the lung.^{41,42} Therefore, utilizing patient samples derived from these two sources will allow us to focus on the most aggressive and metastatic-like nature of OCs. All patients were diagnosed with high-grade serous ovarian cancer (HGSOC), with one patient presenting with sarcomatous (sample #6), one with endometrioid (sample #7), and one with clear cell carcinoma (sample #12) elements as well. These OC patient samples were divided into two subgroups based on chemotherapy status: chemonaive (samples #1–13, 21C, 22C, and 23C) and recurrent (samples #14–20, 21R, 22R, and 23R). Notably, three matched samples were collected from the same patient before and after chemotherapy (samples #21–23). Additionally, based on platinum sensitivity, the OC patient samples were divided into two subgroups: sensitive and resistant samples (Table 1). All 26 primary OC samples were included in subsequent experiments.

Profiling OC tumor and developing cell-based immunotherapies

In the hopes of determining the effectiveness of current cell-based immunotherapies in treating OC, we profiled the 26 OC sample-derived tumor cells using multi-panel flow cytometry to assess the surface expression of common immunotherapeutic targets (Figures 1A, 1B, and S1A–S1C). These targets included CAR antigens (i.e., mesothelin/MSLN and MUC16), TCR antigens (i.e., New York esophageal squamous cell carcinoma 1/NY-ESO-1), and NK activating ligands (i.e., ULBP-1, ULBP-2/5/6, MICA/B, CD112, and CD155)^{14,43–48} (Figures 1C and 1D). Notably, while only a selection of antigens were tested in this study, other OC antigens such as HER2, FRa, and GPC3 could also be potential subjects of investigation.^{49–51} The ESO TCR target, NY-ESO-1, exhibited minimal expression and heterogeneous expression patterns across our study cohort (Figures 1C and 1D). On the other hand, CAR targets, particularly MSLN, demonstrated relatively higher expression in certain patients but also exhibited heterogeneity in their expression levels (Figures 1C and 1D). NK ligands ULBP-1 and ULBP-2/5/6 were near absent, while other NK ligands MICA/B, CD112, and CD155 showed almost universally expression across our patient cohort, which could open the door for NK receptor (NKR)-mediated cell killing (Figures 1C and 1D). It is noteworthy that we observed minimal variation in the expression of these tumor antigens among the three pairs of matched OC patients, suggesting that these antigens exhibit conserved expression levels following chemotherapy (Figures 1C and 1D). Given the observed overall heterogeneous surface expression of immunotherapeutic targets across the 26 OC sample cohort, we set out to test the effectiveness of these three different targeting systems using *in vitro* tumor cell killing assays by co-culturing the OC patient tumor cells with specific therapeutic cells.

Using MSLN-targeting CAR-engineered T (MCAR-T) cells, we observed that OC patient tumor cells with high surface expression of MSLN were more effectively targeted by the MCAR-T cells, whereas tumor cells with medium and low surface expression of MSLN were less and not affected by the MCAR-T cells, correspondingly (Figures 1E, 1F, and S1D). We noticed a similar concordance between surface expression of NY-ESO-1 and the ability of ESO TCR-engineered T (ESO-T) cells to kill tumor cells (Figures 1G and 1H). The elevated expression levels of NK cell activating ligands on OC tumor cells facilitate the recognition and targeting of these tumor cells by NK cells (Figure S1E).¹⁵ This was verified by the effective targeting of OC patient tumor cells with peripheral blood mononuclear cell (PBMC)-derived NK cells (Figure 1I). These findings suggest that different CBIs could be developed to target OC tumor cells with distinct antigen expression profiles.

Here we propose a possible stratification strategy to optimize treatment approaches based on distinct OC tumor characteristics. Firstly, tumor cells showing positivity for CAR antigens but negativity for ESO TCR antigens (e.g., MSLN^{high}NY-ESO-1^{low}) could be prioritized for CAR-T cell therapy. Conversely, tumors expressing ESO TCR antigens while lacking CAR antigens (e.g., MSLN^{low}MUC16^{low}NY-ESO-1^{high}) may benefit more from ESO-T cell therapy. In cases where both CAR and ESO TCR antigens are present on OC tumor cells (e.g.,

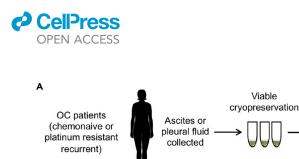


	Sample ID	Diagnosis	Туре	Stage	Treatment Status	Platinum Sensitivity
CHEMONAIVE (pre-therapy) n = 13	1	HGSOC	Ascites	3C	Chemonaive	Sensitive
	2	HGSOC	Ascites	3C	Chemonaive	Sensitive
	3	HGSOC	Ascites	3C	Chemonaive	Sensitive
	4	HGSOC	Ascites	3C	Chemonaive	Sensitive
	5	HGSOC	Ascites	3C	Chemonaive	Sensitive
	6	HGSOC (80%) with sarcomatous elements (20%)	Ascites	2B	Chemonaive	Sensitive
	7	HGSOC (90%), endometrioid (10%)	Ascites	3C	Chemonaive	Sensitive
	8	HGSOC	Ascites	4B	Chemonaive	Resistant
	9	HGSOC	Ascites	4A	Chemonaive	Resistant
	10	HGSOC	Ascites	3C	Chemonaive	Resistant
	11	HGSOC	Pleural Fluid	4B	Chemonaive	Resistant
	12	HGSOC & clear cell carcinoma	Ascites	3C	Chemonaive	Resistant
	13	HGSOC	Ascites	3C	Chemonaive	Resistant
RECURRENT n = 7	14	HGSOC	Ascites	3C	Recurrent	Resistant
	15	HGSOC	Pleural Fluid	3C	Recurrent	Resistant
	16	HGSOC	Pleural Fluid	2A	Recurrent	Resistant
	17	HGSOC	Pleural Fluid	3C	Recurrent	Resistant
	18	HGSOC	Ascites	2A	Recurrent	Sensitive
	19	HGSOC	Pleural Fluid	4A	Recurrent	Resistant
	20	HGSOC	Pleural Fluid	4B	Recurrent	Resistant
MATCHED n = 6	21C	HGSOC	Ascites	3C	Chemonaive	Sensitive
	21R	HGSOC	Pleural Fluid	3C	Recurrent	Resistant
	22C	HGSOC	Ascites	3C	Chemonaive	Sensitive
	22R	HGSOC	Ascites	3C	Recurrent	Resistant
	23C	HGSOC	Ascites	3C	Chemonaive	Resistant
	23R	HGSOC	Ascites	3C	Recurrent	Resistant

MSLN^{high}NY-ESO-1^{high}), a combination therapy involving a cell product expressing both CAR and ESO TCR could be considered. Notably, when tumor cells are negative for both CAR and ESO TCR antigens (e.g., MSLN^{low}MUC16^{low}NY-ESO-1^{low}), the uniform expression of NK ligands on these cells suggests the potential for NK cell therapy. Based on the expression levels of MSLN and NY-ESO-1, the 26 OC samples were classified into four distinct groups (Figures S2A). The effectiveness of tumor cell killing by the corresponding CBIs was verified (Figures S2B), thereby justifying the rationale of the proposed stratification strategy. Collectively, comprehensive screening and profiling of tumor cells offer a promising avenue for the development of tailored and effective cell-based therapies in the context of OC treatment.

While several studies have reported an increase in human leukocyte antigen (HLA) expression in OC cells following chemotherapy treatment,^{52–55} our analysis of the 26 OC patient samples did not reflect a difference in HLA expression between chemonaive and recurrent OC cells (Figures S3A and S3B). It is important to note that the extent and mechanism of HLA expression changes may depend on the specific chemotherapy regimen and individual tumor characteristics. Further investigation is warranted to elucidate the complex relationship between chemotherapy and HLA expression in OC.

An additional recent target for current therapies has been tumor cells with an increased stem-like nature, termed cancer stem cells (CSCs).^{19,24-31} Previous studies have identified cells with CSC-like characteristics to express markers such as CD24, CD44, CD117, CD133, Nanog, Oct3/4, and SOX2.^{26,27,29,31,56-59} Traditional stem cell transcription factors (TFs) Nanog, Oct3/4, and SOX2 have been previously noted as key regulators of stemness, and their aberrant expression in disease states promotes stem-like traits.⁶⁰ Therefore, a comprehensive profiling of CSC markers was conducted in our patient cohort to assess the presence of CSC markers in tumor cells derived from chemonaive or recurrent OC patients. In looking at the 3 pairs of OC patient-matched samples, we observed an overall increase in the expression of stem cell TFs in our recurrent samples, compared to the matched chemonaive samples (Figures 1J and S3C). Furthermore, in the entire cohort, a greater proportion of recurrent OC patient samples expressed all three stem cell TFs to a higher degree than the chemonaive OC cohort (Figures 1K, S4A, and S4B). Additionally, the recurrent OC cohort also showed a greater proportion of patients double-positive for various



с Tumor antigens (Ags) CAR Ags ESO NK ligands Sample TCR Ag ID. ULBP-ULBP-MSLN MUC16 MICA/B CD112 CD155 NY-ESO-1 1 2/5/6 1 ++ + + +++++ +++++ ++ 2 ++ + +++++ -++ ++ 3 + + + ++++4 F 4 +++++ +++++ ++ ++ 5 + + ++ ++ ++ + +++ 6 + + +++++ + -7 + -++ +++ ++ 8 + ---+++ ++ 9 ++++ +++++ +++ + ----10 +++ ++ -11 +++ ++ + 12 ++ ++++ + + ++ ++ -13 -+ + -++ +++++ +++ G 14 ++++ ++ +++ -++++ 15 ++ + + + 16 + ++++ +++++ +++++ 17 ++++ -+ + + + ++ 18 + + ++ ++++ + --19 ++++ +++ +++++ +++ + 20 +++ +++ +++++ +++ + + 21C ++ ++ + + н 21R ++ ++ + + 22C + + -++++ ++ 22R + + + + +++++ + 23C ++ + ++ -23R ++ ++++ ++

+++++ Expression > 80% ++++ Expression 60-80% + Expression 5-20%



J

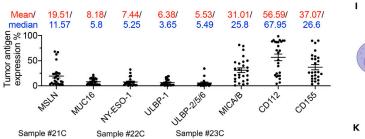
Matched samples

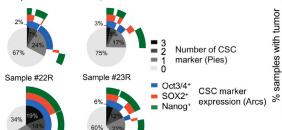
Chemonaive

Recurrent

90%

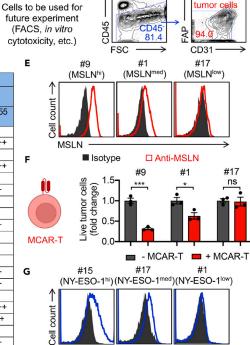
Sample #21R





+++ Expression 40-60%

- Expression < 5%

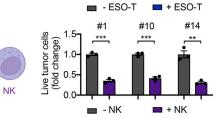


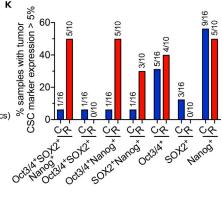
Live cells

в

NY-ESO-1

■ Isotype □ Anti-NY-ESO-1 #15 #17 #1 sign (b) 1.0 b) 0.5 ESO-T 0.5 0.0





CD45⁻ cells

FAP-CD31



Figure 1. Profile OC tumor cells and evaluate cell-based immunotherapy (CBI) strategies

(A) Experimental design to collect and study tumor and immune cells from primary OC patient samples.

(B) Flow cytometry showing the OC patient sample-derived tumor cells. Tumor cells were identified as non-immune/fibroblast/endothelial (CD45-FAP-CD31⁻) cells. FAP, fibroblast activation protein.

(C) Table showing the antigen expression level on tumor cells from 26 OC samples. CAR, chimeric antigen receptor; MSLN, mesothelin; MUC16, mucin-16; ESO, esophageal squamous cell carcinoma; NY-ESO-1, New York ESO-1; NK, natural killer cell; MICA/B, MHC class I chain related-proteins A and B; ULBP, UL16 binding protein.

(D) Quantification of tumor antigen expressions from the 26 OC samples.

(E and F) MSLN expression on tumor cells and MSLN-targeting CAR-engineered T (MCAR-T) cell targeting. (E) FACS analyses of MSLN expression on tumor cells from three OC patient samples. MSLN high-, medium-, and low-expressing tumor samples were presented. (F) OC tumor cell killing data by MCAR-T cells at 24 h (E:T = 1:1, n = 3). - MCAR-T, no MCAR-T cells co-cultured with tumor cells. (G-H) NY-ESO-1 expression on tumor cells and ESO TCR-engineered T (ESO-T) cell targeting.

(G) FACS analyses of NY-ESO-1 expression on tumor cells from three OC patient samples. NY-ESO-1 high-, medium-, and low-expressing tumor samples were presented.

(H) OC tumor cell killing data by ESO-T cells at 24 h (E:T = 2:1, n = 3). - ESO-T, no ESO-T cells co-cultured with tumor cells.

(I) OC tumor cell killing data by PBMC-derived NK cells at 24 h (E:T = 5:1, n = 3). - NK, no NK cells co-cultured with tumor cells.

(J and K) FACS analyses of cancer stem cell (CSC) features of tumor cells from 26 OC samples. Transcription factors Oct3/4. SOX2, and Nanog were used as OC CSC markers. (J) SPICE analysis of CSC marker expression from 3 pairs of matched OC samples. Pie charts reflect proportions of indicated tumor cell groups expressing indicated numbers (0–3) of CSC markers. Colored arcs indicate the specific combinations of CSC markers expressed. (K) Summary of OC patient samples categorized based on the expression level of CSC markers. The ratios above the bar represent the number of marker-positive samples versus the total number of samples. Representative of 1 (C, D, J, and K) and >20 (A, B, and E-I) experiments. Data are presented as the mean \pm SEM. ns, not significant, *p < 0.05, **p < 0.01, ***p < 0.001, by Student's t test.

combinations of the stem cell TFs, including Oct3/4/Nanog and SOX2/Nanog, compared to the chemonaive OC patient samples (Figure 1K). Although CSC surface markers (CD24, CD44, CD117, and CD133) were similarly analyzed, we did not see a consistent difference within the patient-matched and entire cohort (Figures S4C and S4D).

Deciphering OC TME

Given the limited efficacy of conventional immunotherapeutic approaches in treating OC patients, a significant contributing factor is the impact of the TME on negating treatment effectiveness. $^{61-63}$ In light of this, we aim to investigate the cellular composition of our OC patient cohort by thoroughly examining the immune cell populations present within the TME.

Using a multi-panel set of surface markers, we were able to determine the immune cell proportions in TME of the 26 OC patient samples (Figure 2A). A major contributor to the cellular makeup of the TME in the OC cohort was immunosuppressive myeloid cells, such as TAMs and MDSCs (Figure 2A). We investigated the surface expression of different markers associated with M1-like macrophages (i.e., CD86) and M2-like macrophages (i.e., CD163 and CD206)^{64–68} and found that the expression of all markers was higher in TAMs compared to other immunosuppressive cells such as MDSCs (Figures 2B and 2C). These findings indicate that TAMs possess more mature and differentiated characteristics when compared to MDSCs, which align with previous research demonstrating that infiltrating MDSCs can differentiate into TAMs and contribute to TAM replenishment via Toll-like receptor (TLR) and cytokine signaling.^{69–71} Other immune cells in OC TME were also detected and analyzed including CD4 T, CD8 T, NK, B, and other cells (e.g., neutrophils, eosinophils, and basophils) (Figure 2D).

It is worth noting that of the 26 OC patient samples analyzed, those that were platinum resistant exhibited a greater proportion of TAMs and a significantly higher ratio of CD4/CD8 T cells compared to their platinum-sensitive counterparts. This increase in CD4/CD8 T cell ratio was also seen when comparing chemonaive samples to their respective recurrent counterparts in our patient-matched cohort (Figures 2E–2H). Further investigation and analysis of CD4 and CD8 T cell populations showed that this increased CD4/CD8 proportion was not due to increased CD4 T cells, but rather a decrease in the population of CD8 T cells (Figures 2I and S5A). In light of the reported correlation between the intratumoral accumulation of CD8 T cells and the overall survival of HGSOC patients, the reduction in CD8 T cell numbers within recurrent OC patient samples presents a potential explanation for their tumor relapse and compromised antitumor reactivity.^{72–74} In addition, the analysis revealed a lower proportion of B cells in the TME of platinum-resistant patients, while no significant difference was observed for NK cells (Figure 2I).

We also observed significantly elevated levels of the immunosuppressive TAM marker CD206 and major histocompatibility complex (MHC) II molecule HLA-DR on TAMs from recurrent OC patient samples, as compared to their chemonaive state (Figures 2J–2M). Moreover, there was a downregulation of the M1-like macrophage marker CD86 on TAMs from chemonaive samples to recurrent samples in the three matched OC patients (Figures S5B–S5C). The observed upregulation of M2-like TAM markers and downregulation of M1-like macrophage marker suggest enhanced immunosuppressive features of TAMs within the TME of recurrent patients, which may be associated with tumor relapse and poor therapeutic outcomes.^{68,75,76} The high expression of HLA-DR could potentially account for the high CD4/CD8 T ratio observed, although further investigation is needed to confirm this (Figures 2G and 2H). Our analysis unveils a heterogeneous cellular composition within the ascites and pleural fluid accumulations frequently observed in OC patients. Notably, these fluid samples contain a significant abundance of immunosuppressive cells, with TAMs constituting an average of approximately 26.8% of CD45⁺ immune cells across the 26 OC patient samples analyzed (Figure S5D). Therefore, being able to effectively and safely target these immunosuppressive cells seen in OC may prove significant in shifting the balance within the TME to an antitumor state.



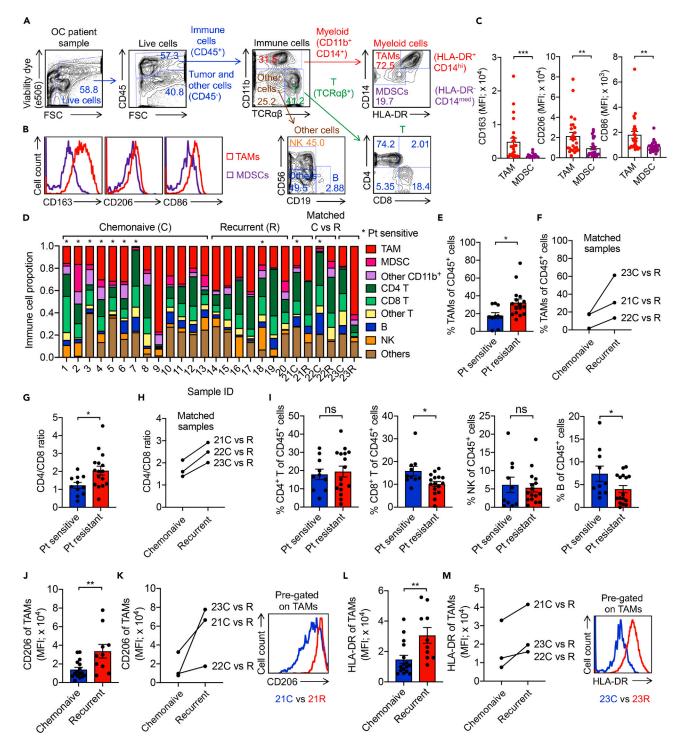


Figure 2. Profile OC tumor microenvironment (TME)

(A) Flow cytometry showing the OC patient sample-derived immune cells. Immune cells were identified as CD45⁺ cells. TAM, tumor-associated macrophage; MDSC, myeloid-derived suppressive cell.

(B and C) FACS analyses of CD163, CD206, and CD86 expressions on TAMs and MDSCs from 26 OC samples. (B) FACS plots from one representative sample. (C) Quantification of the three marker expressions.

(D) Immune cell composition of 26 OC samples. Pt, Platinum.

(E and F) Quantification of TAM percentage of CD45⁺ immune cells between Pt sensitive and resistant samples. Total 26 OC samples (E) and 3 paired of matched samples (F) were shown.



Figure 2. Continued

(G and H) Quantification of CD4⁺ T:CD8⁺ T ratio between Pt sensitive and resistant samples. Total 26 OC samples (G) and 3 paired of matched samples (H) were shown.

(I) Quantification of CD4⁺ T, CD8⁺ T, B, and NK cell percentage of CD45⁺ immune cells between Pt sensitive and resistant samples.

(J and K) Quantification of CD206 expression on TAMs between chemonaive and recurrent samples. Total 26 OC samples (J) and 3 paired of matched samples (K) were shown.

(L and M) Quantification of HLA-DR expression on TAMs between chemonaive and recurrent samples. Total 26 OC samples (L) and 3 paired of matched samples (M) were shown. Representative of 1 (C-M) and >20 (A and B) experiments. Data are presented as the mean \pm SEM. ns, not significant, *p < 0.05, **p < 0.01, ***p < 0.001, by Student's t test.

Identifying CD1d as an OC TME target for iNKT cell-based therapy

Alternative therapeutic strategies for OC patients who become platinum resistant and/or who develop tumor recurrence are needed.^{1,4,7,13} One target under investigation has been the immunosuppressive network of myeloid cells in the OC TME, including TAMs and MDSCs.^{22,23,65,77–80} Our ^{Allo}HSC-iNKT platform, which can target cells via NK-mediated and CD1d recognition pathways, may serve as an effective universal treatment for OC patients.^{23,81–83} Thus, we evaluated the effectiveness of ^{Allo}HSC-iNKT cells against immunosuppressive myeloid cells in the TME via CD1d-mediated recognition.

Flow cytometry analysis of our OC patient samples revealed a significantly increased expression of CD1d on TAMs/MDSCs compared to other cell types, such as T cells, B cells, and NK cells (Figures 3A and 3B). Nonetheless, a degree of variability in CD1d expression was discerned across all 26 OC samples (Figure S5E). We also observed higher expression of CD1d on TAMs compared to MDSCs, indicating a plausible involvement of iNKT cells in modulating TAM activity within the OC TME (Figure 3B). Additionally, our analysis revealed that CD1d expression exhibited similarity between TAMs from chemonaive and recurrent patients (Figure 3C), implying that iNKT cells may maintain comparable efficacy in targeting the TME in both chemonaive and recurrent OC patients. Given the high expression of CD1d on TAMs/ MDSCs in our OC patient samples, we have chosen to assess the efficacy of our laboratory's previously generated allogeneic hematopoietic stem cell-engineered invariant natural killer T (^{Allo}HSC-iNKT) cells^{23,81–83} in targeting these particular cell populations (Figures 3D, S6A, and S6B).

Our ^{Allo}HSC-iNKT cells have already shown great promise in utilizing iNKT TCR-mediated mechanisms of action for targeting CD1d⁺ tumor cells and inflammatory monocytes.^{23,81–83} Co-culturing OC patient samples with ^{Allo}HSC-iNKT cells revealed a significant ability of ^{Allo}HSC-iNKT cells to target CD11b⁺CD1d⁺ TAMs/MDSCs from all chemonaive and recurrent OC patient samples (Figures 3E–3H, S6C, and S6D). However, ^{Allo}HSC-iNKT cells had limited effects on other immune cell subsets like T, B, and NK cells (Figure 3I), which could be due to their relatively low CD1d expression levels (Figure 3B). These results imply that the administration of ^{Allo}HSC-iNKT cell therapy holds the potential to maintain the normal immune reactivity of T, B, and NK cells.^{23,81–83} Of note, the killing of TAMs/MDSCs by ^{Allo}HSC-iNKT cells was reduced when CD1d was blocked (Figures 3J and 3K), indicating that recognition of CD1d/iNKT TCR is involved in the elimination of TAMs and MDSCs. However, the use of anti-CD1d antibody did not entirely inhibit the elimination of TAMs and MDSCs (Figures 3J and 3K), suggesting the potential engagement of alternative iNKT recognition pathways, such as NKR-mediated pathways, warranting further scrutiny.^{81–87}

In addition to targeting TAMs/MDSCs, our ^{Allo}HSC-iNKT cells were able to effectively target OC patient-derived tumor cells via NKRs, regardless of treatment status (Figures 3L, 3M, S6B, and S6E), highlighting the potent antitumor potential of ^{Allo}HSC-iNKT cell-based therapy for treating OC patients, particularly those who have experienced relapse following chemotherapy. In response to the robust elimination of TAMs/MDSCs and OC tumor cells, ^{Allo}HSC-iNKT cells exhibited a substantial upregulation of activation marker (i.e., CD69) and demonstrated heightened production of cytotoxic molecules (i.e., Perforin and Granzyme B) (Figure S6G). These results collectively suggest that the cytotoxic activity of ^{Allo}HSC-iNKT cells is at least partially mediated by the Perforin/Granzyme B pathway.

DISCUSSION

OC is a highly heterogeneous and devastating disease that almost certainly recurs.^{1,4,5} Confounding this is the fact that many OC cases will become platinum resistant and no longer respond to traditional therapies.^{7,13,88} In this case, alternative approaches that are effective in treating OC patients is an unmet clinical need.^{1,4–7,12,13} Here we have profiled a series of primary OC patient samples in the hopes to better understand the antigenic and environmental factors that distinguish chemonaive from recurrent, platinum-sensitive from platinum-resistant states, and how to more effectively target OC.

During the course of OC progression, we observed an increase in the proportion of OC tumor cells expressing CSC TFs (i.e., Nanog, Oct3/ 4, and SOX2), ^{56–60} in both patient-matched and the overall cohort of recurrent OC samples (Figures 1J and 1K). The presence of CSCs in OC tumors is thought to confer resistance to certain chemotherapies and contribute to disease relapse in these patients.^{19,24–31} Notably, we did not observe significant differences in the expression of surface tumor antigens that we studied, including CAR antigens (i.e., MSLN and MUC16), ESO TCR antigen (i.e., NY-ESO-1), and NK ligands (i.e., ULBPs, MICA/B, CD112, and CD155), between chemonaive and recurrent OC tumor cells (Figure 1C), suggesting that antigen-targeting cell-based therapies remain a viable option to treat these tumor cells.^{14,43–48}

Another important distinction between our chemonaive and recurrent OC samples lies in the presence of a more immunosuppressive TME characterized by higher levels of TAMs and MDSCs, ^{18,20,21,43,89–93} along with their enhanced immunosuppressive properties (Figure 2). These TAMs and MDSCs may provide a supportive niche for CSCs and also inhibit the effectiveness of CBIs, such as T and NK cell-based





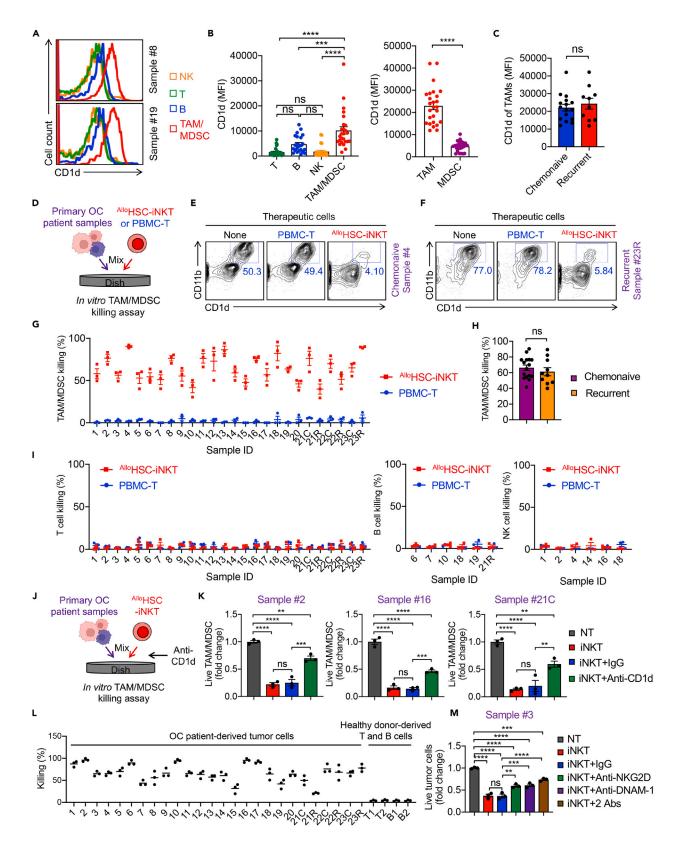




Figure 3. Identify CD1d as an OC TME biomarker and assess TME targeting strategy via iNKT cells

(A–C) FACS analyses of CD1d expression on the indicated immune cells. (A) CD1d expression on T, B, NK, and TAM/MDSC cells. Data from sample #8 and #19 were shown. (B) Quantification of CD1d expression on different immune cells (n = 26). (C) Quantification of CD1d expression on TAMs between chemonaive and recurrent samples. Total 26 OC samples were shown. (D-I) Studying OC TME targeting by ^{Allo}HSC-iNKT cells. Healthy donor peripheral blood mononuclear cell-derived $\alpha\beta$ T (PBMC-T) cells were included as a therapeutic cell control.

(D) Experimental design.

(E and F) FACS analyses of live CD11b⁺CD1d⁺ cells 24 h after co-culturing with ^{Allo}HSC-iNKT or PBMC-T cells. Data of chemonaive sample #4 (E) and recurrent sample #23R (F) were shown.

(G) TAM/MDSC killing data by Allo HSC-iNKT and PBMC-T cells at 24 h (n = 3).

(H) TAM/MDSC killing efficacy of ^{Allo}HSC-iNKT cells on chemonaive and recurrent OC samples. Data from a total 26 OC samples were shown.

(I) T, B, and NK cell killing data by AlloHSC-iNKT and PBMC-T cells at 24 h (n = 3). Results from OC samples with a high proportion of B and NK cells were presented to ensure sufficient cell numbers for analysis.

(J and K) Studying CD1d-mediated OC TME targeting by ^{Allo}HSC-iNKT cells. Anti-CD1d antibody was added into the coculture to block CD1d/iNKT TCR recognition. (J) Experimental design. (K) TAM/MDSC killing data by ^{Allo}HSC-iNKT cells at 24 h (n = 3). (L-M) Studying the tumor cell killing efficacy and mechanisms of ^{Allo}HSC-iNKT cells.

(L) Tumor cell killing data of OC tumor cells by AlloHSC-iNKT cells at 24 h (E:T = 2:1, n = 3). Healthy donor-derived T and B cells were included as target cell controls.

(M) Tumor cell killing data at 24 h (E:T = 2:1, n = 3). Anti-NKG2D and/or anti-DNAM-1 antibodies were added into the coculture to block NK receptor/ligand recognition. Representative of 1 (B, C, G-I, and L) and >20 (A, D-F, J, K, and M) experiments. Data are presented as the mean \pm SEM. ns, not significant, **p < 0.01, ***p < 0.01, by Student's t test (B right, C, and H), and by one-way ANOVA (B left, K, and M).

therapies.^{19,22,23,26,27,32–40} Overall, our findings highlight the potential role of CSCs and immunosuppressive TME in promoting OC tumor progression and therapy resistance^{19,22–31,37–40,61–63} (Figures 1J, 1K, and 2E–2M). The presence of CSCs in recurrent OC samples, coupled with the enrichment of immunosuppressive TME, underscores the need for the development of novel cell therapies that can simultaneously target tumor antigens and alter the TME.^{18–21,24–31,43,89–93}

A range of strategies have been employed to target the TME in OC and other diseases, including the use of colony-stimulating factor-1 receptor (CSF-1R) inhibitors, interleukin-6 (IL-6) inhibitors, and CXCR4 antagonists to reduce the recruitment and activation of immunosuppressive cells, such as TAMs and MDSCs, in the TME.^{18,39,93–95} Therefore, a multi-therapeutic strategy could prove more effective in targeting both TAMs/MDSCs and tumor cells.^{65,77–79} However, these combinatorial therapeutic approaches may not be clinically feasible at the moment due to the required costs and risks of drug toxicity.^{14–17,36–39,61} Given the large portion of TAMs/MDSCs in the OC TME and the increased proportion coinciding with disease recurrence, these populations of cells would make ideal targets for the goal of treating OC more effectively.^{22,23,65,77–80} Notably, in this study, CD1d was identified as a targetable biomarker of the OC TME in primary patient samples and was found to be expressed at high levels on immunosuppressive TAMs and MDSCs regardless of OC disease state and platinum sensitivity (Figures 3B and 3C). Importantly, iNKT cells could specifically target CD1d-expressing TAMs and MDSCs (Figures 3E–3H) without affecting other cell types such as T, B, and NK cells (Figure 3I). Furthermore, owing to the comparatively reduced expression of CD1d on normal monocytes, the cytotoxicity of ^{Allo}HSC-iNKT cells against these healthy monocytes was markedly lower when contrasted with their cytotoxicity against TAMs and MDSCs within the TME (Figures S7A–S7E). Our study highlights the potential of CD1d as a therapeutic target for OC TME and underscores the promise of ^{Allo}HSC-iNKT cell-based therapy as a next-generation off-the-shelf medicine for this devastating disease. These findings further reinforce the need for continued research and development of innovative immunotherapeutic approaches to tackle this challenging disease.

In addition, our study provides evidence of the direct cytotoxicity of iNKT cells against OC tumor cells via NKRs, along with their ability to target the OC TME, including immunosuppressive TAMs and MDSCs, via iNKT TCR (Figures 3G, 3H, and 3L). These results underscore the therapeutic potential of iNKT cells in the treatment of OC, particularly in the challenging context of chemoresistant refractory OC tumors.^{23,81–83} Notably, the expression of CAR antigens on recurrent OC tumor cells presents an opportunity for additional targeting by engineering iNKT cells to express CARs^{14,43–48} (Figure 4). Moreover, our previous study demonstrated the feasibility of utilizing iNKT cells as an allogeneic off-the-shelf cell carrier for cancer treatment.^{23,81–83} Collectively, our results highlight the promising role of iNKT cells as an advanced cell carrier for the next-generation off-the-shelf CBI strategies to effectively address OC, particularly in cases of recurrent OC.^{23,81–83,96}

In conclusion, the development of effective therapeutic treatments for OC is imperative due to the emergence of platinum-resistant, recurrent disease.^{1,4,7,13} Despite the availability of current immunotherapies for OC patients, their effectiveness is limited by the lack of uniform target surface antigen expression, the high prevalence of immunosuppressive TAMs/MDSCs, and the aggressive nature of cancer stemlike cells.^{14,18–20} Our study provides a comprehensive profile of OC patient samples, encompassing both tumor cells and the TME, which serves as a roadmap for the selection of appropriate antigen targets and the development of corresponding CBIs for OC, particularly for recurrent disease (Figure 4). Furthermore, the knowledge gained from this study can be readily extended to other cancer types, particularly those exhibiting high CSC features and immunosuppressive TME.^{32–35} Consequently, this research lays the foundation for the development of corresponding CBI approaches, as well as other immunotherapeutic strategies such as checkpoint blockade, monoclonal antibody therapies, and combinational therapies.





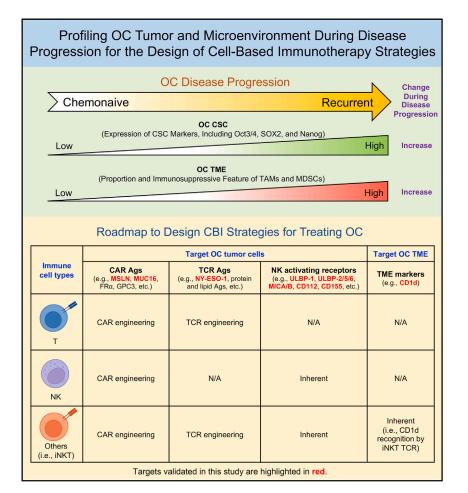


Figure 4. Summary of the OC tumor cell and TME changes during OC disease progression, and the roadmap to design the cell-based immunotherapies

Limitations of the study

Our current investigation is primarily centered around the phenotypic characterization of diverse cellular populations, such as TAMs and MDSCs, employing flow cytometry. Given the intricate array of functions and subsets inherent to these cells, including M1-like and M2-like TAMs, as well as polymorphonuclear-MDSCs versus monocytic-MDSCs, a deeper exploration into their functional attributes and more precise identification warrant further exploration. Furthermore, while our study encompasses a substantial collection of primary OC patient samples across varying platinum sensitivities and disease states, the scope of patient samples employed may present limitations. Additionally, *in vivo* modeling using patient-derived xenograft (PDX) models to validate the efficacy of CBIs may provide further evaluation, although ultimately any cell therapy efficacy can only be fully evaluated in clinical trials. There is a growing portfolio of cell-based immune therapy strategies, of which we have selectively tested some, focusing on CAR-T, TCR-T, NK, and iNKT cell-based therapies. Overall, the study provides a roadmap to design and develop new generations of CBI strategies targeting recurrent OC.

STAR*METHODS

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SUPPLEMENTAL INFORMATION

Supplemental information can be found online at https://doi.org/10.1016/j.isci.2023.107952.

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AUTHOR CONTRIBUTIONS

Y-R.L., C.J.O, S.M., and L.Y. designed the experiments, analyzed the data, and wrote the manuscript. S.M. and L.Y. conceived and oversaw the study, with assistance from Y-R.L. and C.J.O. Y-R.L. and C.J.O performed all experiments, with assistance from Y.Z., A.K., M.W., Y.F., Y.C., T.S., G.D., E.Z., and D.L. T.S. assisted with writing the manuscript. N.A.M. verified the presence of tumor cells in histologic sections of patient samples. J.B. assisted with the screening, consenting, and collection of patient samples. J.J.Z. assisted with biostatistical analysis.

DECLARATION OF INTERESTS

Y.-R.L., D.L., and L.Y. are inventors on patents relating to this manuscript. L.Y. is a scientific advisor to AlzChem and Amberstone Biosciences and a co-founder, stockholder, and advisory board member of Appia Bio. None of the declared companies contributed to or directed any of the writing of this manuscript.

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STAR*METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER	
ntibodies			
nti-human CD45 (Clone H130)	Biolegend	CAT#304026, RFID: AB_893337	
nti-human TCR αβ (Clone I26)	Biolegend	CAT#306716, RRID: AB_1953257	
nti-human TCR Vβ13.1 (Clone H131)	Biolegend	CAT#362403, RRID: AB_2564031	
nti-human CD4 (Clone OKT4)	Biolegend	CAT#317414, RRID: AB_571959	
nti-human CD8 (Clone SK1)	Biolegend	CAT#344714, RRID: AB_2044006	
nti-human CD19 (Clone SJ25C1)	Biolegend	CAT#363005, RRID: AB_2564127	
nti-human CD31 (Clone WM59)	Biolegend	CAT#303117, RRID: AB_2114314	
nti-human CD34 (Clone 581)	Biolegend	CAT#555822, RRID: AB_396151	
nti-human CD44 (Clone BJ18)	Biolegend	CAT#397503, RRID: AB_2814372	
nti-human CD24 (Clone ML5)	Biolegend	CAT#311113, RRID: AB_2561283	
nti-human CD133 (Clone clone7)	Biolegend	CAT#372805, RRID: AB_2632881	
nti-human CD117 (Clone 104D2)	Biolegend	CAT#313227, RRID: AB_2566214	
nti-human CD112 (Clone TX31)	Biolegend	CAT#337409, RRID: AB_2174163	
nti-human CD155 (Clone SKII.4)	Biolegend	CAT#337613, RRID: AB_2565746	
nti-human CD14 (Clone HCD14)	Biolegend	CAT#325608, RRID: AB_830681	
nti-human CD1d (Clone 51.1)	Biolegend	CAT#350308, RRID: AB_10642829	
nti-human CD11b (Clone ICRF44)	Biolegend	CAT#301330, RRID: AB_2561703	
nti-human CD56 (Clone HCD56)	Biolegend	CAT#362545, RRID: AB_2565963	
nti-human CD206 (Clone 15-2)	Biolegend	CAT#321110, RRID: AB_571885	
nti-human CD163 (Clone GHI/61)	Biolegend	CAT#333621, RRID: AB_2563611	
nti-human CD86 (Clone IT2.2)	Biolegend	CAT#374209, RRID: AB_2728391	
nti-human NKp30 (Clone P30-15)	Biolegend	CAT#325207, RRID: AB_756111	
nti-human NKp44 (Clone P44-8)	Biolegend	CAT#325107, RRID: AB_756099	
nti-human NKG2D (Clone 1D11)	Biolegend	CAT#320812, RRID: AB_2234394	
nti-human DNAM-1 (Clone 11A8)	Biolegend	CAT#338312, RRID: AB_2561952	
nti-human Granzyme B (Clone QA16A02)	Biolegend	CAT#372204, RRID: AB_2687028	
nti-human Perforin (Clone dG9)	Biolegend	CAT#308126, RRID: AB_2572049	
nti-human b2-microglobulin (B2M) (Clone 2M2)	Biolegend	CAT#316312, RRID: AB_10641281	
nti-human HLA-DR (Clone L243)	Biolegend	CAT#307618, RRID: AB_493586	
nti-human HLA-A,B,C (Clone W6/32)	Biolegend	CAT#311421, RRID: AB_1501265	
nti-human MICA/MICB (Clone 6D4)	Biolegend	CAT#320908, RRID: AB_493195	
nti-human CTAG1B (W19067B)	Biolegend	CAT#382302, RRID: AB_2922615	
nti-human CA125 (Clone 618F)	Biolegend	CAT#666904, RRID: AB_2629540	
nti-SOX2 (Clone 14A6A34)	Biolegend	CAT#656112, RRID: AB_2566189	
nti-Oct3/4 (Clone 3A2A20)	Biolegend	CAT#653704, RRID: AB_2562018	
EAF purified anti-human NKG2D antibody (Clone 1D11)	Biolegend	CAT#320810, RRID: AB_2133276	
EAF purified anti-human CD1d antibody (Clone 51.1)	Biolegend	CAT#350304, RRID: AB_10641291	
ouse IgG1, k isotype control antibody (Clone MOPC-21)	Biolegend	CAT#400124, RRID: AB_2890215	
EAF purified Mouse IgG2b, k isotype ctrl (Clone MG2b-57)	Biolegend	CAT#401201, RRID: AB_2744505	
uman Fc Receptor Blocking Solution (TrueStain FcX)	Biolegend	CAT#422302, RRID: AB_2818986	

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
Goat anti-mouse IgG (minimal x-reactivity) Antibody	Biolegend	CAT#405305, RRID: AB_315008
Goat anti-rat IgG (minimal x-reactivity) Antibody	Biolegend	CAT#405413, RRID: AB_10661733
Donkey anti-rabbit IgG (minimal x-reactivity) Antibody	Biolegend	CAT#406421, RRID: AB_2563484
treptavidin	Biolegend	CAT#405207
nti-human TCR Va24-Jb18 (Clone 6B11)	BD Biosciences	CAT#552825, RRID: AB_394478
EAF purified anti-human DNAM-1 antibody (Clone DX11)	BD Biosciences	CAT#559786, RRID: AB_397327
1ouse Fc Block (anti-mouse CD16/32)	BD Biosciences	CAT#553142, RRID: AB_394657
anti-human mesothelin (MSLN) (Clone 420411)	R&D Systems	CAT#FAB32652P, RRID: AB_1151946
nti-human fibroblast activation protein alpha/FAP (Clone 427819)	R&D Systems	CAT# MAB3715-SP
nti-human ULBP-1 (Clone 170818)	R&D Systems	CAT#FAB1380P, RRID: AB_2687471
nti-human ULBP-2,5,6 (Clone 165903)	R&D Systems	CAT#FAB1298A, RRID: AB_2257142
ioat anti-Mouse IgG F(ab')2 Secondary Antibody, Biotin	ThermoFisher	CAT#31803, RRID: AB_228311
Inti-Nanoq (Clone EPR2027-2)	Abcam	CAT#ab109250, RRID: AB_10863442
nti-human Vβ11	Beckman-Coulter	CAT#A66905
acterial and virus strains		
	T I ·	
enti/iNKT-sr39TK	This paper	N/A
enti/MCAR	This paper	N/A
enti/1G4-TK	This paper	N/A
iological samples		
luman peripheral blood mononuclear cells (PBMCs)	UCLA	N/A
luman cord blood CD34 ⁺ hematopoietic stem	UCLA	N/A
nd progenitor cells (HSCs)		
luman ovarian cancer patient samples	UCLA	N/A
Chemicals, peptides, and recombinant proteins		
treptavidin-HRP conjugate	Invitrogen	CAT#SA10001
ecombinant human IL-2	Peprotech	CAT#200-02
ecombinant human IL-3	Peprotech	CAT#200-03
ecombinant human IL-7	Peprotech	CAT#200-07
ecombinant human IL-15	Peprotech	CAT#200–15
ecombinant human Flt3-Ligand	Peprotech	CAT#300-19
lecombinant human SCF	Peprotech	CAT#300-07
lecombinant human TPO	Peprotech	CAT#300–18
ecombinant human GM-CSF	Peprotech	CAT#300-03
-ascorbic acid 2-phosphate	Sigma	CAT#A8960-5G
27" Supplement (50X), serumfree	ThermoFisher	CAT#17504044
-Galactosylceramide (KRN7000)	Avanti Polar Lipids	SKU#867000P-1mg
-VIVO 15 Serum-free Hematopoietic Cell Medium	Lonza	CAT#04-418Q
IltraCULTURE media	Lonza	CAT#BP12725F
PMI1640 cell culture medium	Corning Cellgro	CAT#10-040-CV
MEM cell culture medium		CAT#10-040-CV
	Corning Cellgro	
etal Bovine Serum (FBS)	Sigma	CAT#F2442
ACS BSA stock solution	Miltenyi	CAT#130-091-376
0% BSA	Gemini	CAT#50-753-3079
enicillin-Streptomycine-Glutamine (P/S/G)	Gibco	CAT#10378016
enicillin: streptomycin (pen:strep) solution (P/S)	Gemini Bio-products	CAT#400-109



Continued			
REAGENT or RESOURCE	SOURCE	IDENTIFIER	
MEM non-essential amino acids (NEAA)	Gibco	CAT#11140050	
HEPES Buffer Solution	Gibco	CAT#15630056	
Sodium Pyruvate	Gibco	CAT#11360070	
Beta-Mercaptoethanol	Sigma	SKU#M6250	
Normocin	InvivoGen	CAT#ant-nr-2	
Cell Fixation/Permeabilization Kit	BD Biosciences	CAT#554714	
RetroNectin recombination human fibronectin fragment, 2.5mg	Takara	CAT#T100B	
Phosphate Buffered Saline (PBS) pH 7.4 (1X)	Gibco	CAT#10010-023	
Poloxamer Synperonic F108	Sigma	CAT#07579-250G-F	
Prostaglandin E2	Cayman Chemical	CAT#14-190-1	
Collagenase-Type I	ThermoFisher	CAT#17100017	
Dispase II	ThermoFisher	CAT#17105041	
DNase I	Sigma-Aldrich	CAT #10104159001	
Fixable Viability Dye eFluor506 affymetrix	eBioscience	CAT#65-0866-14	
Critical commercial assays			
Human CD34 MicroBeads Kit	Miltenyi Biotec	CAT#130-046-703	
Human CD14 MicroBeads Kit	Miltenyi Biotec	CAT#130-050-201	
Human Anti-iNKT MicroBeads	Miltenyi Biotec	CAT#130-094-842	
Human tumor cell isolation kit	Miltenyi Biotec	CAT#130-108-339	
Fixation/Permeabilization Solution Kit	BD Sciences	CAT#55474	
Foxp3 / Transcription Factor Staining Buffer Set	eBioscience	CAT#00-5523-00	
StemSpan™™ Lymphoid Differentiation Coating Material (100X)	Stem Cell Technologies	CAT#9925	
StemSpan™ SFEM II	Stem Cell Technologies	CAT#9605	
mmunoCult" Human CD3/CD28/CD2 T Cell Activator	Stem Cell Technologies	CAT#10970	
TransIT-Lenti Transfection Reagent	Mirus Bio	CAT#MIR 6600	
Amicon Ultra-15 Centrifugal Filter Unit	Millipore Sigma	CAT#UFC910024	
Cryostor cell cryopreservation media	Sigma	CAT#C2874-100ML	
Recombinant DNA			
Vector: parental lentivector pMNDW	97,98	N/A	
Software and algorithms			
FlowJo Software 9	FlowJo	https://www.flowjo.com/solutions/ flowjo/downloads	
Prism 8	Graphpad	https://www.graphpad.com/ scientific-software/prism/	

RESOURCE AVAILABILITY

Lead contact

Further information and requests for new reagents generated in this study may be directed to, and will be fulfilled by the lead contact, Lili Yang (liliyang@ucla.edu).

Materials availability

All unique/stable reagents generated in this study are available from the lead contact with a completed Materials Transfer Agreement.

Data and code availability

- All data reported in this manuscript are available from the lead contact without restriction.
- No custom computer code was reported in this manuscript.





• Any additional information required to reanalyze the data reported in this paper is available from the lead contact on request.

EXPERIMENTAL MODELS AND STUDY PARTICIPANTS

Human Cord blood (CB) CD34⁺ HSCs and peripheral blood mononuclear cells (PBMCs)

Purified CB CD34⁺ cells were purchased from HemaCare, and isolated through magnetic-activated cell sorting using ClinMACs CD34⁺ microbeads (Miltenyi Biotech; Auburn, CA, USA). Healthy donor human PBMCs were obtained from the UCLA/CFAR Virology Core Laboratory, without identification information under federal and state regulations. Cells were cryopreserved in Cryostor CS10 (Sigma St. Louis, MO, USA) using CoolCell (BioCision, Larkspur, CA, UCA), and were frozen in liquid nitrogen for all experiments and long-term storage.

Primary ovarian cancer (OC) patient samples

This study was approved by the UCLA Office of the Human Research Protection Program, IRB #10-000727 and IRB #20-001659. Primary OC patient samples were collected from consented patients through an IRB-approved protocol (IRB #10-000727) and processed.

Media and reagents

α-Galactosylceramide (αGC, KRN7000) was purchased from Avanti Polar Lipids (Alabaster, AL, USA). Recombinant human IL-2, IL-3, IL-7, IL-15, Flt3-ligand (FLT3-L), stem cell factor (SCF), and thrombopoietin (TPO) were purchased from PeproTech (Hamburg, Germany).

X-VIVO 15 Serum-free Hematopoietic Cell Medium was purchased from Lonza (Bend, OR, USA). RPMI1640 and DMEM cell culture medium were purchased from Corning Cellgro (Manassas, VA, USA). Fetal bovine serum (FBS) and Beta-Mercaptoethanol (β -ME) were purchased from Sigma. Medium supplements, including Penicillin-Streptomycin-Glutamine (P/S/G), MEM non-essential amino acids (NEAA), HEPES Buffer Solution, and Sodium Pyruvate, were purchased from GIBCO (Waltham, MA, USA). Normocin was purchased from InvivoGen (San Diego, CA, USA). Complete lymphocyte culture medium (denoted as C10 medium) was made of RPMI 1640 supplemented with FBS (10% vol/ vol), P/S/G (1% vol/vol), MEM NEAA (1% vol/vol), HEPES (10 mM), Sodium Pyruvate (1 mM), β -ME (50 μ M), and Normocin (100 μ g/mL). Adherent cell culture medium (denoted as D10 medium) was made of DMEM supplemented with FBS (10% vol/vol), P/S/G (1% vol/vol),

METHOD DETAILS

Lentiviral vectors and transduction

Lentiviral vectors used in this study were all constructed from a parental lentivector pMNDW.^{83,99} The Lenti/MCAR vector was constructed by inserting a synthetic gene encoding mesothelin-targeting chimeric antigen receptor (MCAR) into pMNDW. The MCAR design contains a mesothelin-targeting single-chain variable fragment (scFv), a CD8 hinge, a CD28 transmembrane domain, a CD28 and 4-1BB co-stimulatory domain, and a CD3ζ domain. The Lenti/1G4-TK vector was constructed by inserting a tricistronic synthetic gene encoding human NY-ESO-1-specific HLA-A2.01-restricted TCRα-F2A-TCRβ-P2A-sr39TK into pMNDW; the Lenti/iNKT-sr39TK vector was constructed by inserting a synthetic tricistronic gene encoding human iNKT TCRα-F2A-TCRβ-P2A-sr39TK into pMNDW. The synthetic gene fragments were obtained from GenScript (Piscataway, NJ, USA) or IDT (Coralville, IA, USA). Lentiviruses were produced using HEK-293T cells following a standard transfection protocol. Briefly, HEK-293T cells were co-transfected with three plasmids: a lentiviral vector plasmid, a lentiviral glycoprotein plasmid (pCMV-VSVG), and a lentiviral packaging plasmid (pCMV-Delta R8.9), using TransIT-Lenti Transfection Reagent (Mirus Bio; Cat. MIR 6600) for 16 to 18 hours. This was followed by treatment with 10 mM sodium butyrate for 8 hours. Subsequently, virus-containing supernatants were generated in serum-free UltraCULTURE media (Lonza Walkersville; Cat. BP12725F) for 48 hours. The supernatants were concentrated using a 100KDa Amicon Ultra-15 Centrifugal Filter Unit (Millipore Sigma; Cat. UFC910024) at 4000 rcf for 40 minutes at 4°C, and stored as aliquots at -80°C. Lentivector titers were measured by transducing HEK-293T cells with serial dilutions and performing flow cytometry.

Primary patient sample collection and processing

OC patient samples were initially collected, spun down, and red blood cell (RBC) lysis was performed using BioLegend's RBC lysis protocol (BioLegend, San Diego, CA, USA, CAT #420302). Following RBC lysis, cells either proceeded to be analyzed fresh or were resuspended after RBC lysis in a cryopreservation buffer and stored in liquid nitrogen for later experimental use. All samples, both fresh and cryopreserved, were enzymatically digested using 2 mg/mL collagenase-Type I (ThermoFisher Scientific, Waltham, MA, USA, CAT #17100017) and 2 mg/mL dispase II (ThermoFisher Scientific, CAT #17105041) solution, supplemented with 1mg/mL DNase I (Sigma-Aldrich, CAT #10104159001) for 60 min at 37°C while rotating. For samples analyzed after cryopreservation, resuscitation consisted of first washing cells with DMEM supplemented with FBS (10% vol/vol) and P/S/G (1% vol/vol). In total, 16 chemonaive and 10 recurrent patient samples were used in the analysis. Samples were either derived from ascites or pleural fluid sources.

Classification of platinum sensitivity in OC patient samples and treatment status

A prospective assessment of chemonaive OC patients was taken to determine platinum sensitivity status based on disease recurrence. In following the treatment status of chemonaive OC patients, if disease relapse was seen < 6 months following the last infusion of platinum-based chemotherapy, the sample collected was classified as platinum resistant. Samples collected from patients for whom disease relapse





was seen \geq 6 months after the last infusion of platinum-based chemotherapy were considered platinum sensitive. A retrospective assessment of a patient's previous treatment history was used to classify platinum sensitivity of recurrent patient samples. Those samples that were collected from patients who experienced a recurrence of disease within < 6 months of the last infusion of platinum-based chemotherapy were annotated as platinum resistant. In addition, patients who had evidence of disease progression on platinum therapy were classified as platinum resistant. While samples collected from patients who experienced disease recurrence at a time \geq 6 months following the last infusion of platinum-based chemotherapy were classified as platinum sensitive.

Antibodies and flow cytometry

The samples were stained with Fixable Viability Dye eFluor506 (e506) mixed with Mouse Fc Block (anti-mouse CD16/32) or Human Fc Receptor Blocking Solution (TrueStain FcX) prior to antibody staining. Antibody staining was performed at a dilution according to the manufacturer's instructions. Fluorochrome-conjugated antibodies specific for human CD45 (Clone H130, PerCP or Pacific Blue-conjugated, 1:500), TCR αβ (Clone I26, Pacific Blue or PE-Cy7-conjugated, 1:25), TCR Vβ13.1 (Clone H131, FITC-conjugated, 1:100), CD4 (Clone OKT4, PE-Cy7, Pacific Blue, or FITC-conjugated, 1:400), CD8 (Clone SK1, APC-Cy7, PerCP, or PE-conjugated, 1:500), CD31 (Clone WM59, PE-Cy7-conjugated, 1:100), CD34 (Clone 581, PE-conjugated, 1:500), CD44 (Clone BJ18, PE-conjugated, 1:2000), CD24 (Clone ML5, PerCP-conjugated, 1:500), CD133 (Clone clone7, APC-conjugated, 1:500), CD117 (Clone 104D2, APC-Cy7-conjugated, 1:25), CD112 (Clone TX31, PE-conjugated, 1:50), CD155 (Clone SKII.4, PE-Cy7-conjugated, 1:250), CD56 (Clone HCD56, PerCP or FITC-conjugated, 1:10), CD14 (Clone HCD14, Pacific Blue-conjugated, 1:100), CD1d (Clone 51.1, APC or PE-Cy7-conjugated, 1:50), CD11b (clone ICRF44, PE or FITC-conjugated, 1:3000), CD206 (Clone 15-2, APC-conjugated, 1:500), CD163 (Clone GHI/61, APC-Cy7-conjugated, 1:500), CD86 (Clone IT2.2, PE-Cy7-conjugated, 1:100), CD19 (Clone HIB19, APC-Cy7-conjugated, 1:150), NKG2D (Clone 1D11, PE-Cy7-conjugated, 1:50), DNAM-1 (Clone 11A8, APC-conjugated, 1:50), NKp30 (Clone P30-15, PE-conjugated, 1:50), NKp44 (Clone P44-8, PE-conjugated, 1:50), β2-microglobulin (B2M) (Clone 2M2, FITC or APC-conjugated, 1:8000), HLA-A,B,C (Clone W6/32, PerCP-conjugated, 1:500), HLA-DR (Clone L243, APC-Cy7-conjugated, 1:500), MICA/ MICB (Clone 6D4, APC-conjugated, 1:25), CTAG1B (W19067B, fluorochrome-unconjugated, 1:100), CA125 (Clone 618F, fluorochrome-unconjugated, 1:100), SOX2 (Clone 14A6A34, Pacific Blue-conjugated, 1:100), Oct3/4 (Clone 3A2A20, PE-conjugated, 1:100) and Streptavidin (APC-conjugated, 1:1000) were purchased from BioLegend; Fluorochrome-conjugated antibodies specific for human mesothelin (MSLN) (Clone 420411, PE-conjugated, 1:10), fibroblast activation protein alpha/FAP (Clone 427819, fluorochrome-unconjugated, 1:100), ULBP-1 (Clone 170818, PE-conjugated, 1:25) and ULBP-2,5,6 (Clone 165903, APC-conjugated, 1:25) were purchased from R&D Systems (Minneapolis, MN, USA); Fluorochrome-conjugated antibodies specific for mouse IgG (Clone Poly4053, Pacific Blue or FITC-conjugated, 1:500), rat IgG (Clone Poly4054, PE-Cy7-conjugated, 1:500), and rabbit IgG (Clone Poly4064, PE or FITC-conjugated, 1:500) were purchased from Biolegend; PE-conjugated antibodies specific for TCR Vα24-Jβ18 (Clone 6B11, 1:10) were purchased from BD Biosciences (San Jose, CA, USA). Fixable Viability Dye e506 (1:500) was purchased from Affymetrix eBioscience. Human Fc Receptor Blocking Solution (TruStain FcX, 1:100) was purchased from BioLegend, and Mouse Fc Block (anti-mouse CD16/32, 1:50) was purchased from BD Biosciences. Intracellular cytokines were stained using a Cell Fixation/Permeabilization Kit (BD Biosciences, CAT #554714). Transcription factors were stained using a Foxp3/ Transcription Factor Staining Buffer Set (Thermofisher Scientific, CAT #00-5523-00), following manufacturer's instructions. Goat anti-mouse IgG F(ab')2 secondary antibody (1:50) was purchased from ThermoFisher Scientific. A recombinant antibody specific for human Nanog (Clone EPR2027-2, fluorochrome-unconjugated, 1:3000) was purchased from Abcam (Cambridge, UK). An APC-conjugated antibody specific for human Vβ11 (1:200) was purchased from Beckman-Coulter (Brea, CA, USA). Flow cytometry analysis was conducted using a MACSQuant Analyzer 10 flow cytometer (Miltenyi Biotech). Data acquisition and analysis were performed using FlowJo software version 9. Gating strategies and fluorescence compensation settings were established based on appropriate controls, including isotype controls. The percentage of specific cell populations was determined by gating on antibody-stained samples and isotype control stained samples. The Mean or Median Fluorescence Intensity of specific markers within cell populations was calculated using FlowJo software version 9. Statistical analyses were performed using Student's t-test or one-way ANOVA.

In vitro generation of allogeneic HSC-Engineered iNKT (^{Allo}HSC-iNKT) cells

Cord blood-derived human CD34⁺ HSCs were obtained from HemaCare and thawed and recovered in X-VIVO 15 Serum-Free Hematopoietic Stem Cell medium supplemented with human recombinant SCF (50 ng/mL), FLT3-L (50 ng/mL), TPO (50 ng/mL), and IL-3 (10 ng/mL) for 24 hours. Cells were then transduced with Lenti/iNKT-sr39TK for 24 hours. To generate ^{Allo}HSC-iNKT cells, non-tissue culture-treated 24-well plates were coated with StemSpan lymphoid differentiation coating material (LDCM, 500µl/well, StemCell Technologies, Vancouver, Canada) for 2 hours at room temperature. Transduced CD34⁺ HSCs were then suspended in StemSpan lymphoid progenitor expansion medium (LPEM, StemCell Technologies) and seeded into coated wells of the 24-well plate on day 0. The cells were then cultured for 4 days before an additional 500 µL of LPEM medium was added to each well. Half of the medium was removed and replenished every 3-4 days. On day 14, cells were harvested, counted, and reseeded into an LDCM-coated 12-well plate in 1 mL of T cell progenitor maturation medium per well (TPMM, StemCell Technologies) (5 x 10⁵ - 1 x 10⁶ cells/well). On day 18, 1 mL of fresh TPMM was added to each well. Half of the medium was removed and replenished with 1mL of TPMM every 3-4 days. On day 28, cells were harvested, counted, and re-seeded into a new coated 12-well plate in 1 mL of TPMM (1 x 10⁶ cells/mL/well) with 12.5 µL/well CD3/CD28/CD2 T Cell Activator (StemCell Technologies) and 10 ng/mL human recombinant IL-15 (PeproTech). On Day 31, 1 mL of fresh TPMM containing 10 ng/mL human recombinant IL-15 was added into the culture. On day 35, cells were harvested and analyzed by flow cytometry. The generated ^{Allo}HSC-iNKT cells were then expanded using α GC/PBMCs, in which healthy donor PBMCs were loaded with α -Galactosylceramide (α GC) and irradiated at 6,000 rads. Freshly generated





^{Allo}HSC-iNKT cells were mixed with αGC/PBMCs and cultured in C10 medium with IL-7 and IL-15. ^{Allo}HSC-iNKT cells were allowed to expand for 7-14 days, then were aliquoted and frozen in liquid nitrogen storage tanks for future use. All cultures described here were carried out in OpTmizer™ CTS™ T-Cell Expansion serum-free medium (Gibco, Thermo Fisher Scientific).

In vitro generation of healthy donor PBMC-Derived conventional αβ T (PBMC-T) cells

Healthy donor-derived PBMCs were provided by the UCLA/CFAR Virology Core Laboratory, without identification information under federal and state regulations. These PBMCs were stimulated with CD3/CD28 T-activator beads (ThermoFisher Scientific) and cultured in C10 medium supplemented with human IL-2 (20 ng/mL) for 2–3 weeks to generate PBMC-T cells, following the manufacturer's instructions.

In vitro generation of healthy donor PBMC-Derived NK (PBMC-NK) cells

Healthy donor PBMCs were FACS-sorted via human CD56 antibody (Clone HCD56; Biolegend) labeling or MACS- sorted using a human NK Cell Isolation Kit (Miltenyi Biotech). Freshly sorted PBMC-NK cells were used to the *in vitro* tumor cell killing assays.

In vitro generation of mesothelin-targeting chimeric antigen receptor-engineered conventional $\alpha\beta$ T (MCAR-T) cells

Healthy donor PBMCs were stimulated with CD3/CD28 T-activator beads (ThermoFisher Scientific) in the presence of recombinant human IL-2 (30 ng/ml), following the manufacturer's instructions. 2 days after activation of the PBMC cultures, cells were washed, resuspended in the fresh C10 medium, and then concentrated Lenti/MCAR viruses were added to the PBMC cultures. The following day, transduced cells were washed and passaged 3 times per week for 2 weeks to maintain a cell density at 0.5~1 x 10⁶ cells/mL; fresh C10 medium was added at every passage. The resulting MCAR-T cells were collected and cryopreserved for future use.

In vitro generation of NY-ESO-1-targeting TCR-Engineered conventional $\alpha\beta$ T (ESO-T) cells

Healthy donor PBMCs were stimulated with CD3/CD28 T-activator beads (ThermoFisher Scientific) in the presence of recombinant human IL-2 (30 ng/ml), following the manufacturer's instructions. 2 days after activation of the PBMC cultures, cells were washed, resuspended in the fresh C10 medium, and then concentrated Lenti/1G4-TK viruses were added to the PBMC cultures. The following day, transduced cells were washed and passaged 3 times per week for 2 weeks to maintain a cell density at 0.5~1 x 10⁶ cells/mL; fresh C10 medium was added at every passage. The resulting ESO-T cells were collected and cryopreserved for future use.

Profiling tumor cells of primary OC patient samples using flow cytometry

Phenotypes of live tumor cells (gated as e506⁻CD45⁻FAP⁻CD31⁻) from recurrent and chemonaive OC patient samples were analyzed using flow cytometry. Cell surface markers and transcription factors including CAR antigens (MSLN and MUC16), ESO TCR antigen (NY-ESO-1), NK dligands (ULBP-1, ULBP-2/5/6, MICA/B, CD112, and CD155), HLA molecules (HLA-I, and HLA-II), Cancer Stem Cell (CSC) surface markers (CD24, CD44, CD117, and CD133), and CSC transcription factors (Oct3/4, SOX2, and Nanog) were utilized to study tumor cell phenotype of primary OC patient samples.

Profiling tumor microenvironment (TME) of primary OC patient samples using flow cytometry

Composition of live immune cells (gated as e506⁻CD45⁺) from recurrent and chemonaive OC patient samples were analyzed using flow cytometry. Cell surface markers including human CD1d, T cell markers (TCR αβ, CD4 and CD8), B cell marker (CD19), NK cell marker (CD56), and macrophage markers (CD11b, CD14, CD86, CD206, HLA-DR, and CD163) were utilized to study immune cell composition and phenotype of primary OC patient samples.

In vitro primary OC patient sample-derived tumor cell killing assay

To study the OC patient sample-derived tumor cell killing capacity of therapeutic cells, the samples were first sorted using a human tumor cell isolation kit (Miltenyi Biotec, CAT #130-108-339) to deplete CD45⁺ immune cells, CD31⁺ endothelial cells, and FAP⁺ fibroblast cells following the manufacturer's instructions. Primary OC patient sample-derived tumor cells were then co-cultured with MCAR-T cells (tumor:MCAR-T ratio 1:1), ESO-T cells (tumor:ESO-T ratio 1:2), NK cells (tumor:NK ratio 1:5), or ^{Allo}HSC-iNKT cells (tumor:iNKT ratio 1:2) in 96-well round bottom plates in C10 medium for 24 hours. After the culture, cells were collected to quantify live tumor cells using flow cytometry. Healthy donor PBMC-derived T and B cells were included as safety controls to study the killing capacity of ^{Allo}HSC-iNKT cells. In some experiments, 10 μ g/ml of LEAFTM purified anti-human NKG2D (Clone 1D11, Biolegend), anti-human DNAM-1 antibody (Clone 11A8, Biolegend), or LEAFTM purified mouse lgG2bk isotype control antibody (Clone MG2B-57, Biolegend) was added to co-cultures, to study NK activating receptor-mediated tumor cell killing mechanism.

In vitro primary OC patient sample-derived immune cell killing assay

Chemonaive and recurrent OC patient samples were co-cultured with PBMC-T cells or ^{Allo}HSC-iNKT cells (ratio 1:1) in C10 medium in Corning 96-well Round Bottom Cell Culture plates for 24 hours. After 24 hours, cells were collected and ^{Allo}HSC-iNKT cell killing efficacy was assessed using flow cytometry by detecting live TAMs/MDSCs (gated as CD11b⁺), T cells (gated as CD3⁺), B cells (gated as CD19⁺) and NK cells (gated





as CD56⁺). To study the immune composition of OC patient sample-derived cells co-cultured with ^{Allo}HSC-iNKT cells, iNKT TCR⁻ (6B11⁻) cells were pre-gated to separate ^{Allo}HSC-iNKT cells and patient-derived cells. To study the immune composition of OC patient sample-derived cells co-cultured with PBMC-T cells, a pair of HLA-A2⁺ patient-derived cells and HLA-A2⁻ PBMC-T cells, or a pair of HLA-A2⁻ patient-derived cells and HLA-A2⁺ PBMC-T cells were used to set up the assay; HLA-A2 was used to separate PBMC-T cells and patient-derived cells. In some assays, 10 µg/ml LEAF™ purified anti-human CD1d antibody (Clone 51.1, Biolegend) or LEAF™ purified mouse IgG2b k isotype control antibody (Clone MG2b-57, Biolegend) was added to TAM/MDSC culture one hour prior to adding ^{Allo}HSC-iNKT cells.

In vitro healthy donor-derived immune cell killing assay

Healthy donor PBMCs were co-cultured with ^{Allo}HSC-iNKT cells (ratio 1:1) in C10 medium in Corning 96-well Round Bottom Cell Culture plates for 24 hours. After 24 hours, cells were collected and ^{Allo}HSC-iNKT cell killing efficacy was assessed using flow cytometry by detecting live T cells (gated as CD3⁺iNKT TCR⁻) and B cells (gated as CD19⁺).

QUANTIFICATION AND STATISTICAL ANALYSIS

A Prism 8 software (Graphpad) was utilized for all statistical analysis. Pairwise comparisons were performed with a 2-tailed Student's t test. Multiple comparisons were performed with an ordinary 1-way ANOVA, followed by Tukey's multiple comparisons test. Unless otherwise indicated, data are presented as the mean \pm SEM. In all figures and figure legends, "n" represents the number of biological replicates in which the experiment was performed. A P value of less than 0.05 was considered significant. ns, not significant; *p < 0.05; **p < 0.01; ***p < 0.001; ****p < 0.0001.